

## An invariant of spatial graphs

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**Introduction.** Some useful invariants for links have appeared in the last few years, e. g. Jones polynomial, 2-variable Jones polynomial, Kawffman polynomial, etc. But these invariants are not defined for spatial graphs. The Alexander ideals and the Alexander polynomials of spatial graphs [3,4,5,7] are determined by the fundamental groups of the complements of spatial graphs. Therefore they are neighborhood equivalence class invariant of spatial graphs. So, the two spatial graphs shown in Figure 7 can not be distinguished by them.

In this paper, we will introduce a 1-variable Laurent polynomial invariant for non-directed spatial graphs. It is a simple and useful invariant. We will define two types of spatial graphs, one is of spatial graphs with flat vertices, and the other is of spatial graphs with pliable vertices. Our polynomial is an invariant for flat vertex graphs. Also, it is an invariant for pliable vertex graphs whose maximum degrees are less than 4. In the case of  $\theta_n$ -curves, our argument will be made more precisely by the twisting number of diagrams of  $\theta_n$ -curves.

The restriction of our invariant to 2-regular graphs is an invariant of links. We will show that it is a specialization of

Kauffman's Dubrovnik polynomial, moreover, it is the Jones polynomial of the  $(2,0)$ -cabling for a knot.

### 1. Spatial graphs, diagrams and Reidemeister moves.

Throughout this paper we work in the piecewise-linear category.

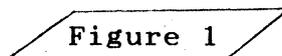
Let  $G=(V,E)$  be a graph embedded in  $\mathbb{R}^3$ , we say  $G$  is a *spatial graph*. If for each vertex  $v$  of  $G$ , there exist a neighborhood

$B_v$  of  $v$  and a small flat plane  $P_v$  such that  $G \cap B_v \subset P_v$ , then we say that  $G$  is a *flat vertex graph*. For two spatial graphs  $G, G'$ , if there exists an isotopy  $h_t: \mathbb{R}^3 \rightarrow \mathbb{R}^3$   $t \in [0,1]$  such that  $h_0 = \text{id}$  and  $h_1(G) = G'$  then we say that  $G$  and  $G'$  are *ambient isotopic as pliable vertex graphs (plially isotopic)*. For two flat vertex

graphs  $G, G'$ , if there exists an isotopy  $h_t: \mathbb{R}^3 \rightarrow \mathbb{R}^3$   $t \in [0,1]$  such that  $h_0 = \text{id}$ ,  $h_1(G) = G'$  and  $h_t(G)$  is a flat vertex graph for each  $t \in [0,1]$  then we say that  $G$  and  $G'$  are *ambient isotopic as flat vertex graphs (flatly isotopic)*.

Let  $G \subset \mathbb{R}^3$  be a spatial graph. We say that a projection  $p: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  is a regular projection corresponding to  $G$  if each multi point of  $p(G)$  is a double point of transversal two edges. Then we say the image  $p(G)$  with the informations about the over crossings at all crossings of  $p(G)$  a *diagram* of  $G$ .

We shall define fundamental moves of diagrams, called Reidemeister moves, as in Figure 1.



It is easy to see that (0) is generated by (I), (I) is included in (VI), (II) is included in (IV) and that (V) is generated by (II), (III) and (VI). We say the deformation generated by (I)~(VI) *pliable deformation*, one generated by (I)~(V) *flat deformation*, and we say one generated by (0), (II)~(IV) *regular deformation*.

The next is a primitive lemma in this paper.

**Lemma 1.** *Let  $G_0$  and  $G_1$  be two spatial graphs.  $G_0$  is plially isotopic (resp. flatly isotopic) to  $G_1$  if and only if a diagram of  $G_0$  is deformable to a diagram of  $G_1$  by pliable deformation (resp. flat deformation).*

(Proof) Let  $h_t: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be an ambient isotopy which transforms  $G$  to  $G'$ . We can assume that for any time  $t_0$ , there exist a positive real number  $\varepsilon$  and a 0-simplex  $v_0$  of the PL-structure of  $G$  such that for any  $t \in (t_0 - \varepsilon, t_0 + \varepsilon)$  and for any 0-simplex  $v$  of the PL-structure of  $G$  except for  $v_0$ ,  $h_{t_0}(v) = h_t(v)$ . Then we can take a suitable projection  $p: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  such that the state of the deformation of  $p \cdot h_t(G)$  around  $v$  is one of the Reidemeister moves (I)~(VI) through  $t \in (t_0 - \varepsilon, t_0 + \varepsilon)$ . Therefore we can trace the isotopic deformation of  $h_t(G)$  by a pliable deformation on diagrams.

Let  $h_t: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be an ambient isotopy which transforms  $G$  to  $G'$  as flat vertex graphs. Let  $v$  be a vertex of  $G$  and  $P_v$  be

the small plane which contains  $G \cap B_v$  where  $B_v$  is a neighborhood of  $v$ . Let  $p: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be a projection. Assume that for a real number  $t_0 \in [0, 1]$ ,  $p \cdot h_{t_0}(P_v)$  degenerates and it is turned over at the time  $t_0$ . Then the diagram  $p \cdot h_t(G)$  is deformed as shown in Figure 2 through  $t \in [t_0 - \varepsilon, t_0 + \varepsilon]$  for some positive real number  $\varepsilon$ . Figure 3 shows that this deformation is generated by Reidemeister moves (I)~(V).

The sufficiency is trivial.  $\square$

Figure 2

Figure 3

## 2. An invariant of spatial graphs.

Let  $G=(V,E)$  be a graph, where  $V$  is the vertex set and  $E$  is the edge set of  $G$ . Let  $\mu(G)$  and  $\beta(G)$  be the number of connected components of  $G$  and the first Betti number of  $G$ , respectively. Put  $f(G) = x^{\mu(G)} y^{\beta(G)}$  and define a 2-variable Laurent polynomial by

$$h(G) = h(G)(x,y) = \sum_{F \subseteq E} (-x)^{-|F|} f(G-F),$$

where  $F$  ranges over the family of subsets of  $E$ ,  $|F|$  is the number of elements of  $F$ ,  $G-F = (V, E-F)$  and  $x$  and  $y$  are indeterminates. In particular, define  $h(\emptyset)=1$ .

Of course,  $h(G)$  is an invariant of graph  $G$ . And it is a specialization of Negami's polynomial [6] of  $G$ . This has the following properties.

**Proposition 1.** *Let  $e$  be a not loop edge of a graph  $G$ . Then  $h(G) = h(G/e) - 1/x h(G-e)$ . Where  $G/e$  is the graph obtained from  $G$  by contracting  $e$  to a point and  $G-e = G-\{e\}$ .*

$$\begin{aligned}
 (\text{Proof}) \quad h(G) &= \sum_{e \in F \subseteq E} (-x)^{-|F|} f(G-F) + \sum_{e \in F \subseteq E} (-x)^{-|F|} f(G-F) \\
 &= \sum_{F \subseteq E-e} (-x)^{-|F|} f(G/e-F) - 1/x \sum_{F' \subseteq E-e} (-x)^{-|F'|} f(G-e-F) \\
 &= h(G/e) - 1/x h(G-e). \quad \square
 \end{aligned}$$

For two graphs  $G_1$  and  $G_2$ ,  $G_1 \amalg G_2$  denotes the disjoint union of  $G_1$  and  $G_2$  and  $G_1 \vee G_2$  denotes a wedge at a vertex of  $G_1$  and  $G_2$ , i. e.  $G_1 \vee G_2 = G_1 \cup G_2$  and  $G_1 \cap G_2 = \{\text{a vertex}\}$ . The symbol  $\vee$  is quoted from [7]. Then the following proposition holds.

**Proposition 2.**

- (1)  $h(G_1 \amalg G_2) = h(G_1)h(G_2)$ ,
- (2)  $h(G_1 \vee G_2) = 1/x h(G_1)h(G_2)$ ,
- (3) If  $G$  has a cut edge then  $h(G)=0$ .

(Proof) (1) and (2) are trivial.

(3): Let  $e$  is the cut edge of  $G$ . Then  $G-e = G_1 \amalg G_2$  and  $G/e = G_1 \vee G_2$  for some graphs  $G_1$  and  $G_2$ . By proposition 1,  $h(G) = h(G_1 \vee G_2) - 1/x h(G_1 \amalg G_2) = 0$ .  $\square$

**Theorem 1.** Let  $v$  be a vertex of a graph  $G=(V,E)$  which is a terminal point of just two not loop edges  $e_1$  and  $e_2$ . Then  $h(G) = h(G/e_1)$ ,

$$i. e. \quad h\left( \begin{array}{c} \diagup \quad \diagdown \\ \vdots \quad \vdots \\ \text{---} e_1 \quad v \quad e_2 \text{---} \\ \vdots \quad \vdots \\ \diagdown \quad \diagup \end{array} \right) = h\left( \begin{array}{c} \diagup \quad \diagdown \\ \vdots \quad \vdots \\ \text{---} G/e_1 \text{---} \\ \vdots \quad \vdots \\ \diagdown \quad \diagup \end{array} \right).$$

(Proof) The graph  $G-e_1$  has a cut edge  $e_2$ , so  $h(G-e_1)=0$ .

Therefore from the previous propositions,

$$h(G/e_1) = h(G) - 1/x h(G-e_1) = h(G). \quad \square$$

**Corollary 1.**  $h(G)$  is a topological invariant of a graph  $G$ . i. e. if  $G$  is homeomorphic to a graph  $G'$  then  $h(G)=h(G')$ .

Figure 4

Now, we will define an invariant of spatial graphs. Let  $g$  be a diagram of a graph. For a crossing  $c$  of  $g$ , we define  $s_+$ ,  $s_-$  and  $s_0$  called the spin of +1, -1 and 0, as shown in Figure 4. Let  $S$  be the plane graph obtained from  $g$  by replacing each crossing with a spin.  $S$  is called a state on  $g$  and  $\mathcal{S}(g)$  denotes the set of states on  $g$ . Put  $\{g|S\} = A^{p-q}$ , where  $p$  and  $q$  are the numbers of crossings with spin of +1 and spin of -1 in  $S$  respectively and  $A$  is an indeterminate. We define a 1-variable Laurent polynomial  $R(g)(A)$  as follows.

$$R(g) = R(g)(A) = \sum_{S \in \mathcal{G}(g)} \{g|S\} H(S),$$

where  $H(S) = h(S)(-1, -A-2-A^{-1})$ . In particular, define that  $R(\emptyset) = 1$ .

This polynomial has the following properties. The next proposition is derived from the definition of  $R(g)$  and previous propositions.

**Proposition 3.**

$$(1) \quad R(\text{diag}_1) = A R(\text{diag}_2) + A^{-1} R(\text{diag}_3) + R(\text{diag}_4),$$

$$(2) \quad R(\text{diag}_5) = R(\text{diag}_6) + R(\text{diag}_7),$$

$$(3) \quad R(g_1 \cup g_2) = R(g_1)R(g_2),$$

$$(4) \quad R(g_1 \vee g_2) = -R(g_1)R(g_2),$$

$$(5) \quad \text{If } g \text{ has a cut edge then } R(g) = 0.$$

(Remark) *Those figures in a equation represent diagrams that differ only as indicated in the figures.*

The next proposition is very useful for the proof of invariance of  $R(g)$  and the calculation of it.

**Proposition 4.**

$$(1) \quad R(\text{circle}) = \sigma, \quad \text{where } \sigma = A+1+A^{-1},$$

$$(2) \quad R(\text{diag}_8) = -\sigma R(\text{diag}_9),$$

$$(3) \quad R(\text{diagram}) = -A R(\text{diagram}) - (A^2+A) R(\text{diagram}),$$

$$(4) \quad R(\text{diagram}) = -A^{-1} R(\text{diagram}) - (A^{-2}+A^{-1}) R(\text{diagram}),$$

$$(5) \quad R(\text{diagram}) = -A R(\text{diagram}), \quad R(\text{diagram}) = -A^{-1} R(\text{diagram}),$$

$$(6) \quad R(\text{diagram}) = A^2 R(\text{diagram}), \quad R(\text{diagram}) = A^{-2} R(\text{diagram}).$$

(Proof) (1):  $h(\bigcirc)(x,y) = xy-1$ , so  $R(\bigcirc) = H(\bigcirc)$

$h(\bigcirc)(-1, -A^{-2}-A^{-1}) = A+1+A^{-1}$ . Others are easy calculation using Proposition 3.  $\square$

**Theorem 2.**  $R(g)$  is a regular deformation invariant of a diagram  $g$ .

(Proof) We shall show that  $R(g)$  does not change under the Reidemeister moves (0), (II)~(IV).

(0): It is derived from Proposition 4-(6).

$$\begin{aligned} (II): \quad R(\text{diagram}) &= R(\text{diagram}) + (A^2+A^{-2}+\sigma) R(\text{diagram}) + (A+A^{-1}) R(\text{diagram}) \\ &\quad + A R(\text{diagram}) + A^{-1} R(\text{diagram}) + R(\text{diagram}) \\ &= R(\text{diagram}) + (A^2+A^{-2}+\sigma-A\sigma-A^{-1}\sigma+1) R(\text{diagram}) \\ &= R(\text{diagram}). \end{aligned}$$

(IV): Let  $v$  be the moving vertex in the figure of Reidemeister move (IV). Our proof is an induction on the degree of

v. If  $\text{degree}(v)=1$  then such diagrams have cut edges, so both of their polynomials are zero. If  $\text{degree}(v)=2$  then it is shown in the case of (II) of this proof. If  $\text{degree}(v)=3$ ,

$$\begin{aligned} R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) &= A^2 R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) + A^{-2} R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) + R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) + R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) \\ &+ AR\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) + A^{-1}R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) + A^{-1}R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) + AR\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) + R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) \\ &= R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) + A R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) + A^{-1}R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) + R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right). \end{aligned}$$

$$\begin{aligned} R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) &= A R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) + A^{-1} R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) + R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) \\ &= A R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) + A^{-1} R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) + R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) + R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right). \end{aligned}$$

So,  $R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) = R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right)$ . And  $R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) = R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) = R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right)$ . If  $\text{degree}(v)>3$ , from Proposition 3-(2) and the hypothesis of the induction,

$$\begin{aligned} R\left(\frac{\text{triangle with 4 vertices}}{\text{line}}\right) &= R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) - R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) \\ &= R\left(\frac{\text{triangle with 3 vertices}}{\text{line}}\right) - R\left(\frac{\text{triangle with 2 vertices}}{\text{line}}\right) \\ &= R\left(\frac{\text{triangle with 4 vertices}}{\text{line}}\right). \end{aligned}$$

The other equation is shown similarly.

(III): From the definition of  $R(g)$  and its invariance under the Reidemeister moves (II) and (IV),

$$R\left(\frac{\text{crossing}}{\text{line}}\right) = A R\left(\frac{\text{crossing}}{\text{line}}\right) + A^{-1} R\left(\frac{\text{crossing}}{\text{line}}\right) + R\left(\frac{\text{crossing}}{\text{line}}\right)$$

$$\begin{aligned}
 &= A R\left(\begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array}\right) + A^{-1} R\left(\begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array}\right) + R\left(\begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \end{array}\right) \\
 &= R\left(\begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array}\right).
 \end{aligned}$$

This completes the proof.  $\square$

**Proposition 5.**

$$R\left(\begin{array}{c} \text{Diagram 1} \\ \vdots \\ \text{Diagram } n \end{array}\right) = (-A)^n R\left(\begin{array}{c} \text{Diagram 2} \\ \vdots \\ \text{Diagram } n \end{array}\right),$$

$$R\left(\begin{array}{c} \text{Diagram 3} \\ \vdots \\ \text{Diagram } n \end{array}\right) = (-A)^{-n} R\left(\begin{array}{c} \text{Diagram 4} \\ \vdots \\ \text{Diagram } n \end{array}\right).$$

(Proof) Our proof is an induction on  $n$ . If  $n=1$  then such diagrams have a cut edge, so both of their polynomials are zero.

If  $n=2$  then it is shown in Proposition 4-(6). If  $n=3$ ,

$$R\left(\begin{array}{c} \text{Diagram 5} \\ \vdots \\ \text{Diagram } n \end{array}\right) = R\left(\begin{array}{c} \text{Diagram 6} \\ \vdots \\ \text{Diagram } n \end{array}\right) = A^2 R\left(\begin{array}{c} \text{Diagram 7} \\ \vdots \\ \text{Diagram } n \end{array}\right) = -A^3 R\left(\begin{array}{c} \text{Diagram 8} \\ \vdots \\ \text{Diagram } n \end{array}\right).$$

If  $n>3$  then from the hypothesis of the induction,

$$\begin{aligned}
 R\left(\begin{array}{c} \text{Diagram 9} \\ \vdots \\ \text{Diagram } n \end{array}\right) &= R\left(\begin{array}{c} \text{Diagram 10} \\ \vdots \\ \text{Diagram } n \end{array}\right) - R\left(\begin{array}{c} \text{Diagram 11} \\ \vdots \\ \text{Diagram } n \end{array}\right) \\
 &= R\left(\begin{array}{c} \text{Diagram 12} \\ \vdots \\ \text{Diagram } n \end{array}\right) - R\left(\begin{array}{c} \text{Diagram 13} \\ \vdots \\ \text{Diagram } n \end{array}\right)
 \end{aligned}$$

$$\begin{aligned}
&= (-A)^n R( \text{Diagram 1} ) - (-A)^n R( \text{Diagram 2} ) \\
&= (-A)^n R( \text{Diagram 3} ).
\end{aligned}$$

The other equation is shown similarly. This completes the proof.

The above proposition implies the next theorem.

**Theorem 3.**  $R(g)$  is a flat deformation invariant of a diagram  $g$  up to multiplying  $(-A)^n$  for some integer  $n$ .

Let  $g_1$  and  $g_2$  be diagrams of a graph whose maximum degree is less than 4, where the maximum degree of a graph  $G=(V,E)$  is  $\max\{\text{degree}(v) | v \in V\}$ . Then,  $g_1$  is pliable deformable to  $g_2$  if and only if  $g_1$  is flatly deformable to  $g_2$ . Because the Reidemeister move (VI) is generated by (I)~(V) for such diagrams. So, we get the next theorem.

**Theorem 4.** If  $g$  is a diagram of a graph whose maximum degree is less than 4 then  $R(g)$  is a pliable deformation invariant of  $g$  up to multiplying  $(-A)^n$  for some integer  $n$ .

For a spatial graph  $G$ , define  $\bar{R}(G) = (-A)^{-m}R(g)$  where  $g$  is a diagram of  $G$  and  $m$  is the lowest degree of  $R(g)$ . By Theorem 3,  $\bar{R}(G)$  is a flat isotopy invariant, moreover by Theorem 4, if  $G$  is a graph whose maximum degree is less than 4 then

$\bar{R}(G)$  is a pliable isotopy invariant of  $G$ .

### 3. Connected sum of graphs.

For a positive integer  $n$  and graphs  $G$  and  $G'$ , let  $v$  (resp.  $v'$ ) be vertex of  $G$  (resp.  $G'$ ) of degree  $n$  and  $e_1, \dots, e_n$  (resp.  $e'_1, \dots, e'_n$ ) be the edges which are adjacent to  $v$  (resp.  $v'$ ). Then, we construct a graph  $G\#_n G' = (V \cup V' \cup \{v_1, \dots, v_n\} \setminus \{v, v'\}, E \cup E')$  by removing  $v$  and  $v'$  from  $G \cup G'$  and adding  $n$  vertices  $v_1, \dots, v_n$  and changing the end point  $v$  and  $v'$  of  $e_i$  and  $e'_i$  to  $v_i$  for  $i=1 \dots n$ . We say  $G\#_n G'$  a *connected sum* of  $G$  and  $G'$  of order  $n$ . See Figure 5.

/ Figure 5 /

For a positive integer  $n$  and spatial graphs  $G, G', v$  (resp.  $v'$ ) be vertex of  $G$  (resp.  $G'$ ) of degree  $n$ . Assume that  $G$  is in the upper half-space  $\mathbb{R}^3_{\geq} = \{(x, y, z) \in \mathbb{R}^3 \mid z \geq 0\}$  except for some small neighborhood of  $v$ , and  $G'$  is in the lower half-space  $\mathbb{R}^3_{\leq} = \{(x, y, z) \in \mathbb{R}^3 \mid z \leq 0\}$  except for some small neighborhood of  $v'$ , and  $G \cap \mathbb{R}^2_{\geq} = G' \cap \mathbb{R}^2_{\leq} = \{n \text{ points}\}$ , where  $\mathbb{R}^2_{\geq}$  is the boundary plane of those half-space. Then the spatial graph  $(G \cap \mathbb{R}^3_{\geq}) \cup (G' \cap \mathbb{R}^3_{\leq}) \in \mathbb{R}^3$  is called a *connected sum* of  $G$  and  $G'$  of order  $n$  and it is denote by  $G\#_n G'$ .

For a positive integer  $n$  and diagrams  $g, g' \subset \mathbb{R}^2$ , diagrams of  $v$  (resp.  $v'$ ) be vertex of  $g$  (resp.  $g'$ ) of degree  $n$ . Assume

that  $g$  is in upper half-plane  $\mathbb{R}_+^2$  except for some small neighbourhood of  $v$ , and  $g'$  is in under half-plane  $\mathbb{R}_-^2$  except for some small neighbourhood of  $v'$ , and  $g \cap \mathbb{R}_0 = g' \cap \mathbb{R}_0 = \{n \text{ points}\}$ , where  $\mathbb{R}_0$  is the boundary line of those half-planes. Then the diagram  $(g \cap \mathbb{R}_+^2) \cup (g' \cap \mathbb{R}_-^2)$  is called a *connected sum* of  $g$  and  $g'$  and it is denoted by  $g \#_n g'$ .

Let  $\theta_n$  be the graph which consists of two vertices and  $n$  edges, which are not loops. We say  $\theta_n$  the  $\theta_n$ -graph.

**Proposition 6.**

$$(1) \quad h(G \#_2 G') = h(G)h(G')/h(\theta_2),$$

$$(2) \quad h(G \#_3 G') = h(G)h(G')/h(\theta_3).$$

(Proof) In this proof let  $n=2$  or  $3$ . Let  $v, v'$  be the vertices of  $G, G'$  which are removed when construct the connected sum  $G \#_n G'$  and  $e_1, \dots, e_n, e'_1, \dots, e'_n$  be the edges of  $G, G'$  which are adjacent to  $v, v'$ , respectively. It suffices to assume that  $G$  and  $G'$  are connected and has no cut edge. Our proof is an induction on the number  $k = |E \cup E'| - 2n$ , where  $E$  and  $E'$  are the edge sets of  $G$  and  $G'$ , respectively.

If  $k=0$ ,  $G, G'$  and  $G \#_n G'$  are homeomorphic to the  $\theta_n$ -graph  $\theta_n$ , hence the equalities hold. If  $k>0$ , let  $e$  be an edge which is neither of  $e_1, \dots, e_n, e'_1, \dots, e'_n$ . It suffices to assume that  $e$  is an edge of  $G$ . We shall prove in the next two cases.

If  $e$  is a loop, from Proposition 2 and the hypothesis of the

induction,

$$\begin{aligned} h(G\#_n G') &= (y-1/x)h((G-e)\#_n G') \\ &= (y-1/x)h(G-e)h(G')/h(\theta_n) \\ &= h(G)R(G')/h(\theta_n). \end{aligned}$$

If  $e$  is not a loop, by Proposition 1 and the hypothesis of the induction,

$$\begin{aligned} h(G\#_n G') &= h((G/e)\#_n G') - 1/x h((G-e)\#_n G') \\ &= h(G/e)h(G')/h(\theta_n) - 1/x h(G-e)h(G')/h(\theta_n) \\ &= (h(G/e) - 1/x h(G-e))h(G')/h(\theta_n) \\ &= h(G)h(G')/h(\theta_n). \end{aligned}$$

This completes the proof.  $\square$

This proposition implies the next theorem.

**Theorem 5.**

- (1)  $R(g\#_2 g') = R(g)R(g')/\sigma,$
- (2)  $R(g\#_3 g') = R(g)R(g')/(\sigma-\sigma^2),$  where  $\sigma = A+1+A^{-1}.$

(Proof) In this proof let  $n = 2$  or  $3$ . By Proposition 6,

$$R(g\#_n g') = \sum_{\substack{S \in \mathcal{S}(g) \\ S' \in \mathcal{S}(g')}} \{g\#_n g' | S\#_n S'\} H(S\#_n S')$$

$$\begin{aligned}
&= \sum_{\substack{S \in \mathcal{G}(g) \\ S' \in \mathcal{G}(g')}} \{g|S\}H(S)\{g'|S'\}H(S')/H(\theta_n) \\
&= \sum_{S \in \mathcal{G}(g)} \{g|S\}H(S) \sum_{S' \in \mathcal{G}(g')} \{g'|S'\}H(S')/H(\theta_n) \\
&= R(g)R(g')/H(\theta_n),
\end{aligned}$$

And  $H(\theta_2) = \sigma$ ,  $H(\theta_3) = \sigma - \sigma^2$ . Hence we complete the proof.  $\square$

#### 4. Twisting number and the invariant of $\theta_n$ -curves.

Let  $k$  be a knot diagram i. e. a diagram of a 2-regular 1-component graph. We define the *twisting number*  $t(k)$  as follows. We fix an orientation on  $k$ , and put  $t(k) = \sum_c \text{sign}(c)$ , where  $c$  ranges over the all crossings of  $k$  and  $\text{sign}(\begin{smallmatrix} \nearrow \\ \searrow \end{smallmatrix}) = +1$ ,  $\text{sign}(\begin{smallmatrix} \nwarrow \\ \nearrow \end{smallmatrix}) = -1$ .  $t(k)$  is not depend on the choice of the orientation of  $k$ .

Let  $\theta_n = (\{u, v\}, \{e_1, \dots, e_n\})$  be a spatial  $\theta_n$ -graph. We say  $\theta_n$  a  $\theta_n$ -curve. In particular we say  $\theta_3$  a  $\theta$ -curve. Let  $C_{ij}$  be the cycle  $u \cdot e_i \cdot v \cdot e_j \cdot u$  of  $\theta_n$  ( $i \neq j$ ). Let  $\theta_n$  be a diagram of  $\theta_n$  and  $c_{ij}$  be the subdiagram of  $\theta_n$  corresponding to  $C_{ij}$ . Then, we define the *twisting number* of  $\theta_n$  by

$$t(\theta_n) = \sum_{i < j} t(c_{ij}) / (n-1).$$

More generally, let  $\Xi = \theta_{n_1} \cup \dots \cup \theta_{n_s}$  be a link of some  $\theta_n$ -curves and  $\xi$  be a diagram of  $\Xi$  and  $\theta_{n_i}$  be the subdiagram of  $\xi$  corresponding to  $\theta_{n_i}$ . We define the *twisting number*  $t(\xi)$

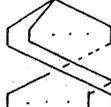
of  $\xi$  by  $t(\xi) = \sum_{i=1}^s t(\theta_{n_i})$ . It is easy to see that  $t(\xi') = -t(\xi)$

where  $\xi'$  is the mirror image of  $\xi$ .

Now we define that  $S(\xi) = (-A)^{-2t(\xi)} R(\xi)$ .

**Theorem 6.**  $S(\xi)$  is a flat deformation invariant of  $\xi$ .

(Proof) It is easy to see that the twisting number is a regular deformation invariant. So,  $S(\xi)$  does not change under the regular deformation. We shall show the invariance of  $R(\xi)$  under

the Reidemeister move (V). Put  $\xi =$   ,  $\xi' =$  

Then  $t(\xi') = t(\xi) + n/2$ . By proposition 5,  $R(\xi') = A^n R(\xi)$ . So,

$$R(\xi') = (-A)^{-2(t(\xi) + n/2)} (-A)^n R(\xi) = (-A)^{-2t(\xi)} R(\xi) = S(\xi).$$

The other equation is shown similarly.  $\square$

So, we define  $S(\Xi) = S(\xi)$ . Then  $S(\Xi)$  is a flat isotopy invariant of  $\Xi$ . Theorem 4 and the above theorem imply the next.

**Theorem 7.** Let  $\Xi$  be a link of some  $\theta$ -curves and knots. Then  $S(\Xi)$  is a pliable isotopy invariant of  $\Xi$ .

## 5. Recursive formula of $R(g)$ and invariants of links.

From the definition of  $R(g)$  and the previous propositions we get the following formulas.

$$(1) \quad R(\text{X}) = A R(\text{C}) + A^{-1} R(\text{C}') + R(\text{X}').$$

$$(2) \quad R(\text{C} \text{---} e \text{---} \text{C}') = R(\text{C} \text{---} \text{C}') + R(\text{X}'), \quad \text{where } e \text{ is a}$$

not loop edge.

$$(3) \quad R(g_1 \cup g_2) = R(g_1)R(g_2).$$

(4)  $R(B_n) = -(-\sigma)^n$ , where  $B_n$  is the  $n$ -leafed bouquet (See Figure 6) and  $\sigma = A+1+A^{-1}$ .

$$(5) \quad R(\emptyset) = 1, \quad R(\cdot) = R(B_0) = -1, \quad R(\bigcirc) = R(B_1) = \sigma.$$

Figure 6

We can adopt the above formulas for the definition of  $R(g)$ . In fact, for any diagram  $g$ , we can resolve  $R(g)$  to a summation of the invariant of some disjoint union of some bouquets with some coefficients by using (1) and (2) of the above formulas.

Kauffman discovered a regular deformation invariant  $D(\mathcal{L})(a, z)$  of a link diagram  $\mathcal{L}$  (i. e. a diagram of 2-regular graph) [2]. That is called Dubrovnik polynomial and defined by the following recursive definition.

$$D(\text{X}) - D(\text{X}') = z \{D(\text{C}) - D(\text{C}')\}$$

$$D(\text{Q}) = a D(\text{---})$$

$$D(\text{Q}) = a^{-1} D(\text{---})$$

$$D(\emptyset) = 1, \quad D(\bigcirc) = (a - a^{-1})/z + 1.$$

The above definition is different from the original one. The original one defines that  $D(\bigcirc) = 1$ .

The next equation (1)' is derived from (1) of the recursive definition of  $R(\mathfrak{g})$ .

$$(1)' \quad R(\text{X}) = A^{-1} R(\text{---}) + A R(\text{---}) + R(\text{X}).$$

By (1)-(1)',

$$R(\text{X}) - R(\text{X}) = (A - A^{-1}) \{R(\text{---}) - R(\text{---})\}.$$

Moreover  $R(\text{Q}) = A^2 R(\text{---})$ ,  $R(\text{Q}) = A^{-2} R(\text{---})$  and  $R(\bigcirc) = A + 1 + A^{-1}$ . So  $R(\mathfrak{l})(A)$  satisfies the defining formulas of  $D(\mathfrak{l})(A^2, A - A^{-1})$ . Now we get the next theorem.

**Theorem 8.** *Let  $\mathfrak{l}$  be a link diagram, then  $R(\mathfrak{l})(A) = D(\mathfrak{l})(A^2, A - A^{-1})$ .*

It is shown in [8] that  $-(t^{\frac{1}{2}} + t^{-\frac{1}{2}})V_{K^p(2)}(t) =$

$D(\tilde{K})(t^{-2}, t^{-1} - t) + 1$ , where  $V_{K^p(2)}(t)$  is the Jones polynomial [1] of the (2,0)-cabling of a knot  $K$  and  $\tilde{K}$  is a diagram of  $K$  such that  $t(\tilde{K}) = 0$ . Then we get the next.

**Corollary 2.**  $-(t^{\frac{1}{2}} + t^{-\frac{1}{2}})V_{K^p(2)}(t) = R(\tilde{K})(t^{-1}) + 1.$

## 6. Applications.

Let  $g_1$  and  $g_2$  be the diagrams shown in Figure 7. Then  $R(g_1)=0$  and  $R(g_2)=-A^5-A^4-A^3-A^2+A^{-1}+A^{-2}+A^{-3}+A^{-4}$ . Therefore, the two spatial graphs  $G_1$  and  $G_2$  presented by  $g_1$  and  $g_2$  are not pliable isotopic. Note that  $(\mathbb{R}^3, G_1)$  and  $(\mathbb{R}^3, G_2)$  are also neighbourhood equivalent (after S. Suzuki [7]), i. e.  $(\mathbb{R}^3, N(G_1)) \cong (\mathbb{R}^3, N(G_2))$ .

**Proposition 6.** *Let  $g'$  be the mirror image of a diagram  $g$ . Then  $R(g')(A) = R(g)(A^{-1})$ .*

This proposition implies the following theorems.

**Theorem 9.** *Let  $G$  be a spatial graph. If  $G$  is amphicheiral (i. e. isotopic to the mirror image of it) as a flat vertex graph then  $\bar{R}(G)(A) = (-A)^{-d} \bar{R}(G)(A^{-1})$ , where  $d$  is the degree of  $\bar{R}(G)(A)$ .*

**Theorem 10.** *Let  $G$  be a spatial graph whose maximum degree is less than 4. If  $G$  is amphicheiral as a pliable vertex graph then  $\bar{R}(G)(A) = (-A)^{-d} \bar{R}(G)(A^{-1})$ , where  $d$  is the degree of  $\bar{R}(G)(A)$ .*

**Theorem 11.** *Let  $\Theta$  be an amphicheiral  $\theta$ -curve then  $S(\Theta)(A^{-1}) =$*

$s(\theta)(A)$ .

Let  $\theta_1$  and  $\theta_2$  be the diagram shown in Figure 8. Let  $\theta_1$  and  $\theta_2$  be the spatial graphs presented by  $\theta_1$  and  $\theta_2$ . Then

$$t(\theta_1)=0, \quad R(\theta_1)=-A^2-A-2-A^{-1}-A^{-2}, \quad t(\theta_2)=-3/2,$$

$$R(\theta_2)=A^9-A^8-2A^7+A^6-A^5+2A^3+A^2+2A+A^{-1}-A^{-3}+A^{-4}+A^{-5}-A^{-6}+A^{-7}+A^{-8}.$$

Therefore  $\theta_2$  is not plially isotopic to trivial  $\theta$ -curve  $\theta_1$ . Moreover, by Theorem 9,  $\theta_2$  is not amphicheiral as a pliable vertex graph. Note that each of the three cycles of  $\theta_2$  is a trivial knot.

Those  $\theta$ -curves shown in Figure 7 and Figure 8 are presented in [3,4].

Figure 7

Figure 8

#### Acknowledgement.

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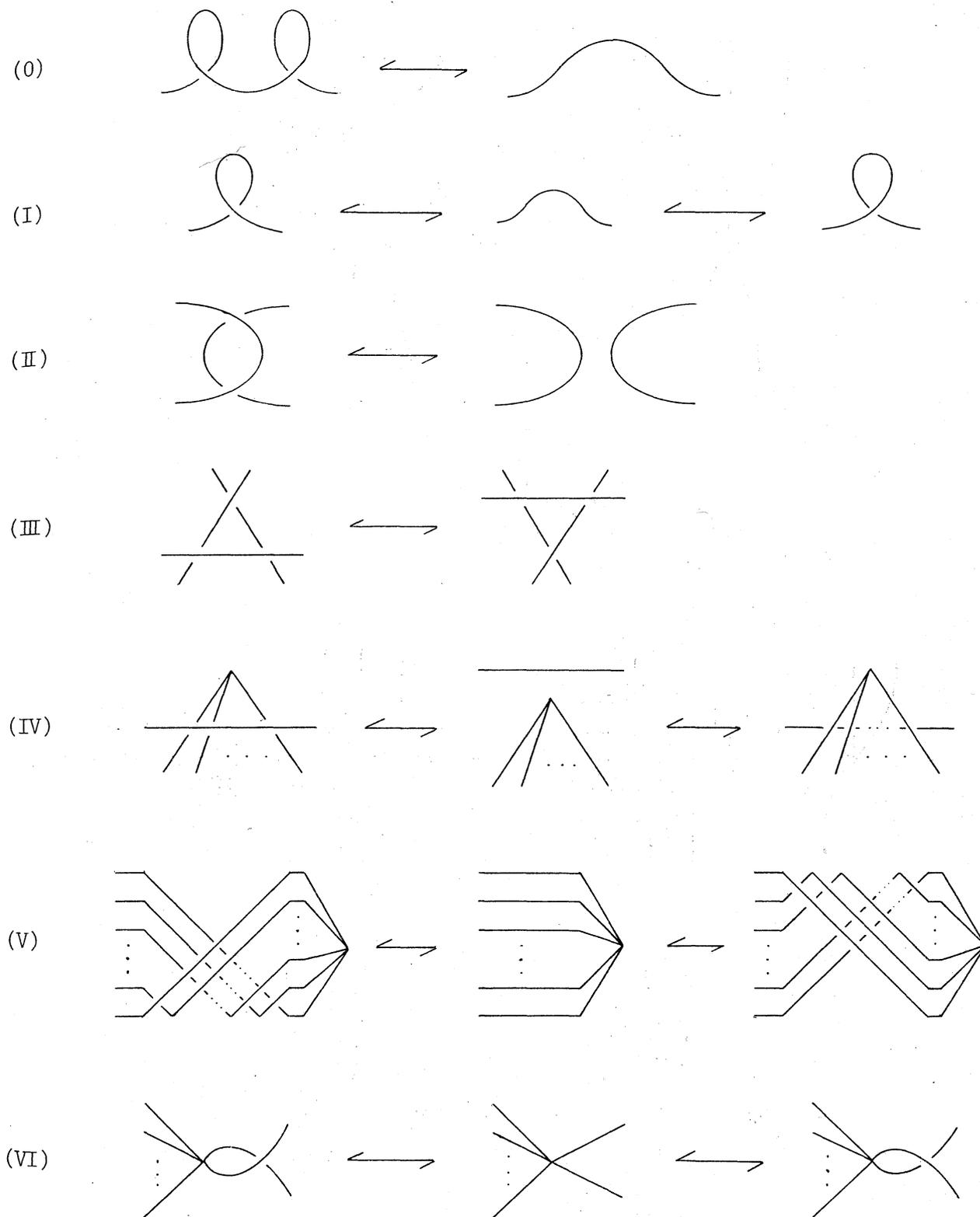


Figure 1

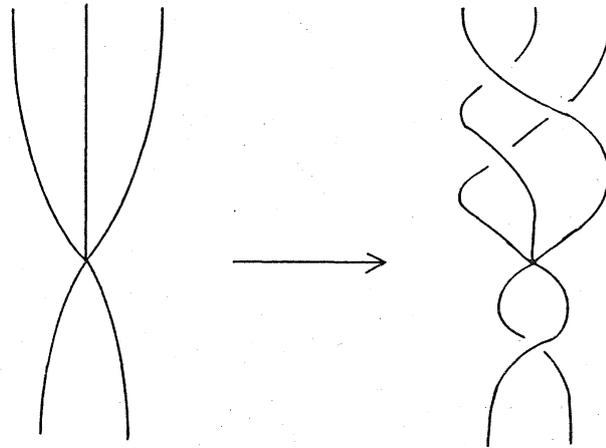


Figure 2

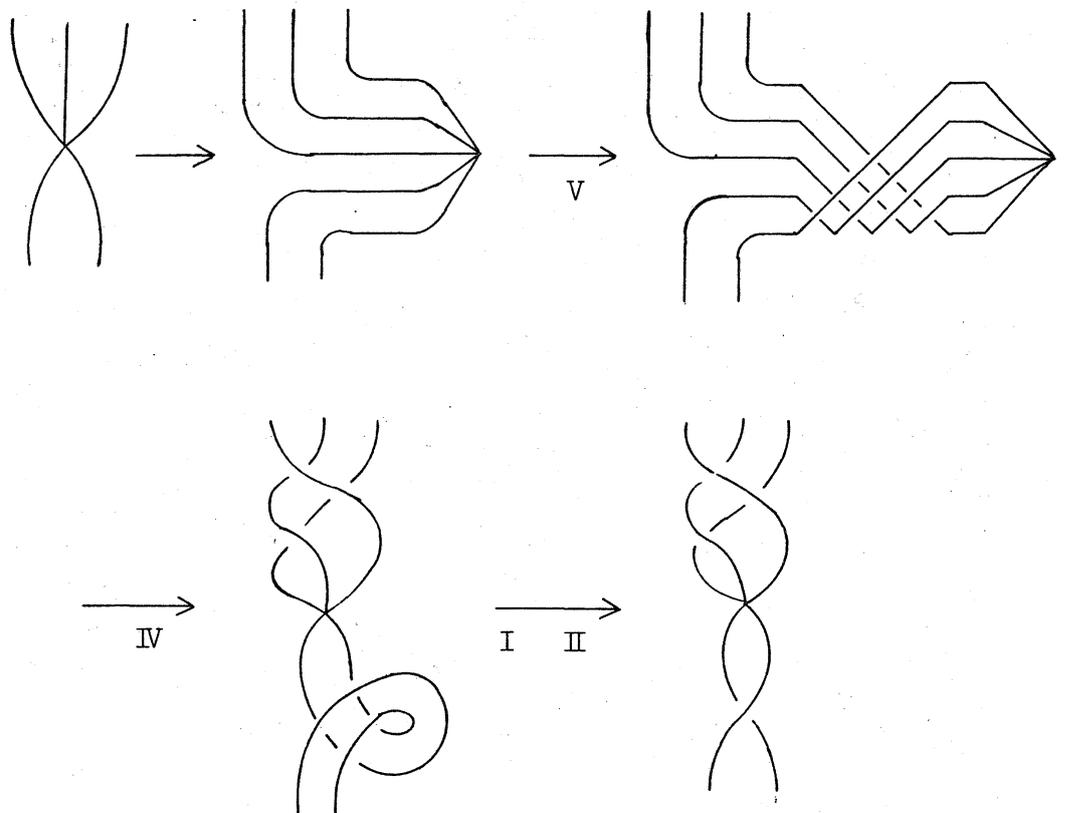


Figure 3

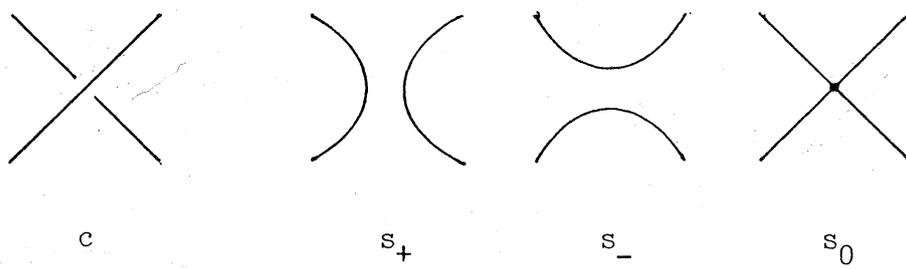


Figure 4

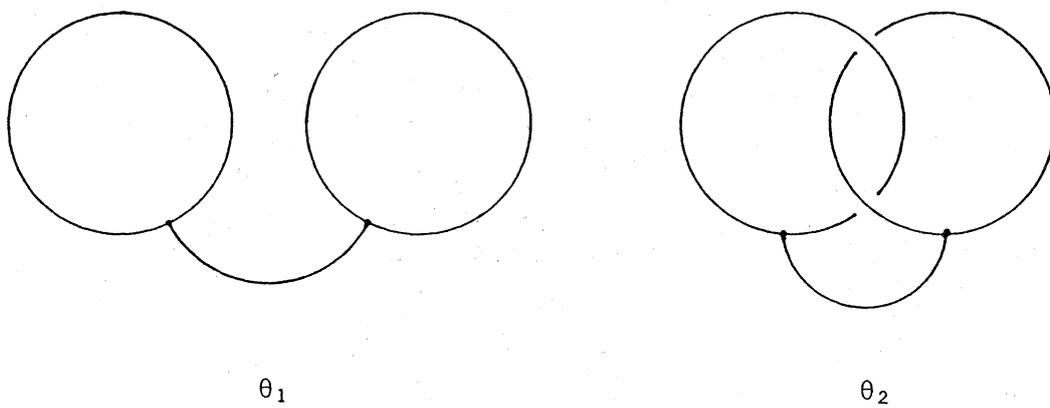


Figure 7

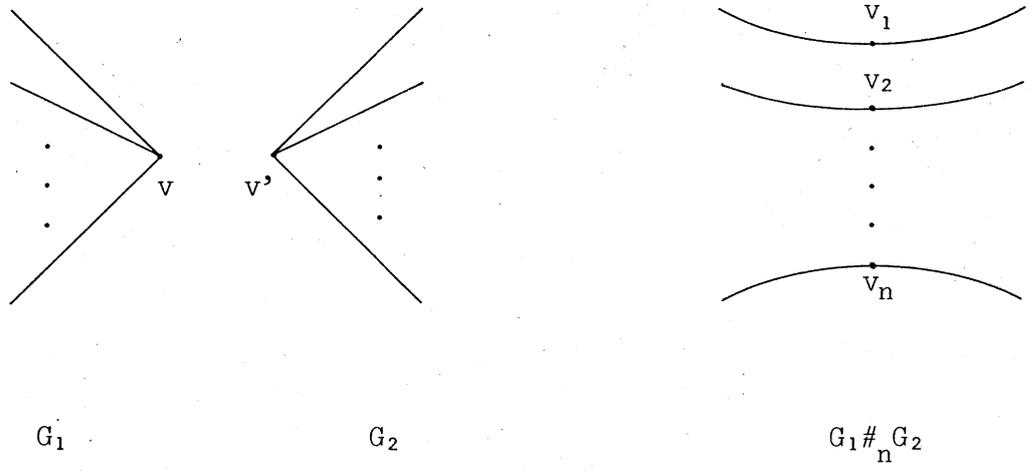
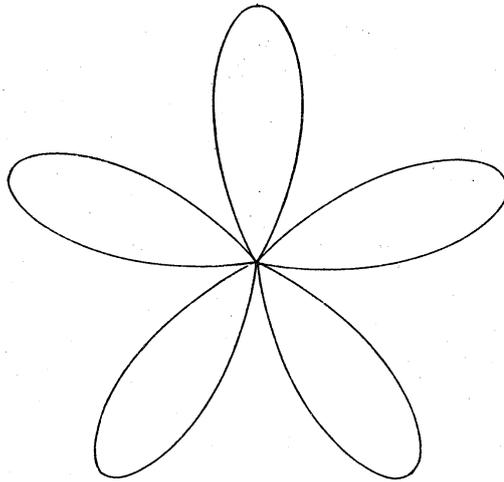


Figure 5



$B_5$

Figure 6

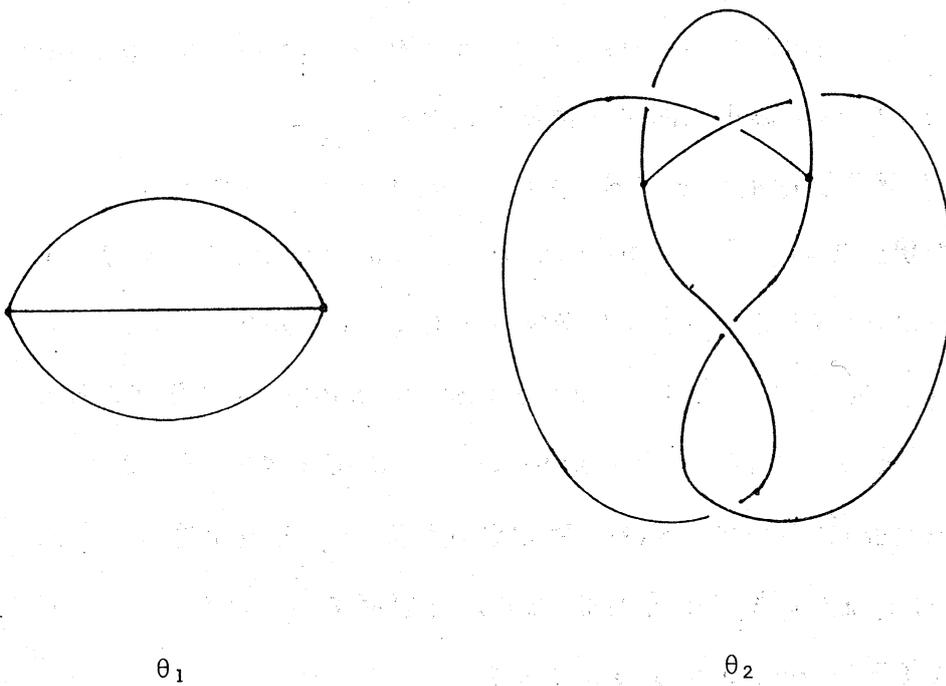


Figure 8