

# EFFECTIVELY AND NONEFFECTIVELY NOWHERE SIMPLE SETS

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ABSTRACT. R. Shore proved that every recursively enumerable (r.e.) set can be split into two (disjoint) nowhere simple sets. Splitting theorems play an important role in recursion theory since they provide information about the lattice  $\mathcal{E}$  of all r.e. sets. Nowhere simple sets were further studied by D. Miller and J. Remmel, and we generalize some of their results. We characterize r.e. sets which can be split into two (non)effectively nowhere simple sets, and r.e. sets which can be split into two r.e. non-nowhere simple sets. We show that every r.e. set is either the disjoint union of two effectively nowhere simple sets or two noneffectively nowhere simple sets. We characterize r.e. sets whose every nontrivial splitting is into nowhere simple sets, and r.e. sets whose every nontrivial splitting is into effectively nowhere simple sets.

R. Shore proved that for every effectively nowhere simple set  $A$ , the lattice  $\mathcal{L}^*(A)$  is effectively isomorphic to  $\mathcal{E}^*$ , and that there is a nowhere simple set  $A$  such that  $\mathcal{L}^*(A)$  is not effectively isomorphic to  $\mathcal{E}^*$ . We prove that every nonzero r.e. Turing degree contains a noneffectively nowhere simple set  $A$  with the lattice  $\mathcal{L}^*(A)$  effectively isomorphic to  $\mathcal{E}^*$ .

## 1. INTRODUCTION AND NOTATION

SHORE [5] introduced the concepts of nowhere simple and effectively nowhere simple sets. Let  $A \subseteq \omega$ .  $A$  is *nowhere simple* if  $A$  is r.e. and for every r.e. set  $B$  with  $B - A$  infinite, there is an infinite r.e. set  $W$  such that  $W \subseteq B - A$ . Let  $W_0, W_1, \dots$  be a standard recursive enumeration of all r.e. sets such that  $(\forall x)(\forall t)[x \in W_{e,t} \Rightarrow x \leq t]$ .  $A$  is *effectively nowhere simple* if  $A$  is r.e. and there is a unary recursive function  $f$  such that for every  $e \in \omega$ ,  $W_{f(e)} \subseteq W_e - A$  and  $(W_e - A \text{ is infinite} \Rightarrow W_{f(e)} \text{ is infinite})$ . Since simple sets are not nowhere simple, every nonrecursive r.e. degree contains a set which is not nowhere simple. SHORE [5] and MILLER and REMMEL [3] have proven that every nonrecursive r.e. Turing degree contains a nowhere simple set which is not effectively nowhere simple.

We denote the set of all nowhere simple sets by NS and the set of all effectively nowhere simple sets by ENS. It is easy to show that NS and ENS are closed under finite intersections. The set (NS - ENS) of all *noneffectively nowhere simple* sets is denoted by NENS. Let  $\mathcal{E}$  be the lattice of all r.e. sets, and for an r.e. set  $A$ , let  $\mathcal{L}(A)$  be the lattice of all r.e. supersets of  $A$ . Let  $\mathcal{E}^*$  ( $\mathcal{L}^*(A)$ ) be  $\mathcal{E}$  ( $\mathcal{L}(A)$ ) modulo the ideal of finite sets. The properties of nowhere simplicity, effective nowhere simplicity, and noneffective nowhere simplicity are definable both in  $\mathcal{E}$  and  $\mathcal{E}^*$  [3].

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For fixed recursive enumerations of r.e. sets  $W$  and  $B$ ,  $W \searrow B$  is the set of all elements first enumerated in  $W$  and later in  $B$ , and  $W \setminus B =_{def} (W \searrow B) \cup (W - B)$ . We fix  $\langle \cdot, \cdot \rangle$  to be a recursive bijection from  $\omega^2$  onto  $\omega$ , which is strictly increasing with respect to both coordinates. Let  $X, Y \subseteq \omega$ . By  $X \leq_T Y$  ( $X \leq_{1-1} Y$ ) we denote that  $X$  is Turing (1-1) reducible to  $Y$ . As usual,  $\emptyset'$  ( $\emptyset''$ ) is the first (second) jump of  $\emptyset$ . For  $n \in \omega$ ,  $\omega^{[n]} =_{def} \{\langle n, i \rangle : i \in \omega\}$ .

## 2. SPLITTING R.E. SETS INTO NOWHERE SIMPLE SETS

**Shore Splitting Theorem** [5]. *Every r.e. set  $B$  can be split into two disjoint nowhere simple sets  $A_0$  and  $A_1$ .*

Now we recall

**Friedberg Splitting Theorem.** *Every r.e. set  $B$  can be split into two disjoint r.e. sets  $A_0$  and  $A_1$  such that for every r.e. set  $W$ :*

(1)  $(W - A_0 \text{ is r.e.} \vee W - A_1 \text{ is r.e.}) \Rightarrow W - B \text{ is r.e.}$

Friedberg's strategy of splitting  $B$  into  $A_0$  and  $A_1$  is based on satisfying the following requirement for every r.e. set  $W$ :

(F)  $W \searrow B \text{ is infinite} \Rightarrow (W \cap A_0 \neq \emptyset \wedge W \cap A_1 \neq \emptyset)$ .

Shore's strategy of splitting  $B$  into  $A_0$  and  $A_1$  is based on satisfying the following requirement for every r.e. set  $W$ :

(S)  $W \searrow B \text{ is infinite} \Rightarrow (W \cap A_0 \text{ is infinite} \wedge W \cap A_1 \text{ is infinite})$ .

Requirement (S) implies the following condition, which guarantees nowhere simplicity of  $A_0$  and  $A_1$ . For every r.e. set  $W$ , we have

(2)  $(W \cap A_0 \text{ is finite} \vee W \cap A_1 \text{ is finite}) \Rightarrow W - B \text{ is r.e.}$

For  $W = \omega - A_i, i \in \{0, 1\}$ , it follows from (2) that

(3)  $(A_0 \text{ is recursive} \vee A_1 \text{ is recursive}) \Rightarrow B \text{ is recursive.}$

Since  $W = (W - A_i) \cup (W \cap A_i)$  for  $i \in \{0, 1\}$ , (2) and hence (3) follow from (1).

Thus, we have the following

**Proposition 2.1.** [1] *The sets  $A_0$  and  $A_1$  obtained in Friedberg Splitting Theorem are nowhere simple.*

MILLER and REMMEL [3] have established the following equivalence:

(4)  $A \in \text{ENS} \Leftrightarrow (\exists \text{ r.e. } T)[T \cap A = \emptyset \wedge \forall i(W_i - A \text{ is infinite} \Rightarrow W_i \cap T \text{ is infinite})]$ .

An r.e. set  $T$  satisfying the matrix of the right-hand side of formula (4) is called a *witness set* for  $A$ .

**Proposition 2.2.** *In formula (4),  $(W_i \cap T \text{ is infinite})$  can be replaced by  $(W_i \cap T \neq \emptyset)$ .*

*Proof.* Let  $A \in \text{ENS}$  and let  $T$  be such that  $\forall i(W_i - A \text{ is infinite} \Rightarrow W_i \cap T \neq \emptyset)$ . For  $i \in \omega$ , let  $h(\cdot, \cdot)$  be a (recursive) function such that  $W_{h(i,0)} = W_i$  and  $W_{h(i,x)} = W_i - \{0, \dots, x-1\}$  for  $x \in \omega - \{0\}$ . Assume that  $W_i - A$  is infinite. Then  $\forall x(W_{h(i,x)} - A \text{ is infinite})$ . Hence  $\forall x(W_{h(i,x)} \cap T \neq \emptyset)$ . Thus,  $W_i \cap T$  is infinite. ■

RODGERS [4, Section 8.7] has introduced the following sets of r.e. sets:

$\mathcal{C}_0$  = the set of all recursive sets,

$\mathcal{C}_1$  = the set of all simple sets,

$\mathcal{C}_2 = \{A \in \mathcal{E} - \mathcal{C}_0 : (\exists \text{ r.e. } W)(W \text{ is infinite} \wedge W \cap A = \emptyset \wedge W \cup A \text{ is simple})\}$ ,

$\mathcal{C}_3 = \{A \in \mathcal{E} : A \text{ is not creative} \wedge (\forall \text{ r.e. } B)[B \subseteq \bar{A} \Rightarrow (\exists \text{ r.e. } W)(W \text{ is infinite} \wedge W \subseteq \bar{A} \wedge W \cap B = \emptyset)]\}$ ,

$\mathcal{C}_4$  = the set of all creative sets.

Clearly,  $\mathcal{C}_0 \subseteq \text{ENS}$  and  $\mathcal{C}_1 \cap \text{NS} = \emptyset$ . SHORE [5] has shown that  $\mathcal{C}_2 \cap \text{NS} \neq \emptyset$ ,  $\mathcal{C}_3 \cap \text{NS} \neq \emptyset$ , and  $\mathcal{C}_4 \cap \text{NS} = \emptyset$ .

**Theorem 2.3.** *The following are equivalent for an r.e. set  $A$ :*

- (i)  $A \in \text{NENS}$ ;
- (ii)  $A \in \text{NS} \wedge A \notin \mathcal{C}_0 \wedge (\forall \text{ r.e. } W)[A \cap W = \emptyset \Rightarrow A \cup W \text{ is not simple}]$ ;
- (iii)  $A \in \text{NS} \wedge A \notin \mathcal{C}_0 \cup \mathcal{C}_1 \cup \mathcal{C}_2$ .

*Proof.* (ii)  $\Leftrightarrow$  (iii) follows immediately.

(i)  $\Rightarrow$  (ii): Assume that  $A \in \text{NENS}$ . Clearly,  $A \in \text{NS}$  and  $A \notin \mathcal{C}_0$ . Let an r.e. set  $W$  be such that  $A \cap W = \emptyset$ . Then, since  $W$  is not a witness set for  $A$ , there is an r.e. set  $U$  such that  $U - A$  is infinite and  $U \cap W = \emptyset$ . Since  $A \in \text{NS}$ , there is an infinite r.e. set  $R$  such that  $R \subseteq U - A$ . Since  $R \cap (A \cup W) = \emptyset$ , we conclude that  $A \cup W$  is not simple.

(ii)  $\Rightarrow$  (i): Let  $A$  be as in (ii). Let an r.e. set  $W$  be such that  $A \cap W = \emptyset$ . It follows that  $A \cup W$  is coinfinite, since otherwise  $A =^* W$ , which contradicts the assumption that  $A$  is nonrecursive. Since  $A \cup W$  is not simple, there is an infinite r.e. set  $R$  such that  $R \cap (A \cup W) = \emptyset$ . Since  $R \cap W = \emptyset$ ,  $W$  is not a witness set for  $A$ . Thus,  $A \in \text{NENS}$ . ■

**Theorem 2.4.** *The following are equivalent for an r.e. set  $A$ :*

- (i)  $A$  is the disjoint union of two noneffectively nowhere simple sets;
- (ii)  $A \notin \mathcal{C}_0 \wedge (\forall \text{ r.e. } W)[A \cap W = \emptyset \Rightarrow A \cup W \text{ is not simple}]$ ;
- (iii)  $A \notin \mathcal{C}_0 \cup \mathcal{C}_1 \cup \mathcal{C}_2$ .

*Proof.* (ii)  $\Leftrightarrow$  (iii) follows immediately.

(i)  $\Rightarrow$  (ii): Assume that  $A = A_0 \cup A_1$ , where  $A_0 \cap A_1 = \emptyset$  and  $A_0, A_1 \in \text{NENS}$ . It follows that  $A$  is nonrecursive, since  $A_0$  is nonrecursive and  $\overline{A_0} = A_1 \cup \overline{A}$ . Let an r.e. set  $W$  be such that  $A \cap W = \emptyset$ . Since  $A \cup W = A_0 \cup (A_1 \cup W)$ ,  $A_0 \cap (A_1 \cup W) = \emptyset$  and  $A_0 \in \text{NENS}$ , it follows, by Theorem 2.3, that  $A \cup W$  is not simple.

(ii)  $\Rightarrow$  (i): Let  $A$  be as in (ii). Let  $A$  be split into nowhere simple sets  $A_0$  and  $A_1$  using Shore's splitting strategy. Then (2) is satisfied and, by (3),  $A_0$  and  $A_1$  are nonrecursive. We will prove that  $A_0 \in \text{NENS}$  by showing that it satisfies (ii) of Theorem 2.3. Let an r.e. set  $W$  be such that  $A_0 \cap W = \emptyset$ . By (2), we conclude that  $W - A$  is r.e.. It follows that  $A \cup W$  is coinfinite, since otherwise  $\overline{A} =^* W - A$ , hence  $\overline{A}$  is r.e., contradicting the fact that  $A$  is nonrecursive. Since  $A \cup W$  is not simple, there is an infinite r.e. set  $R$  such that  $R \cap (A \cup W) = \emptyset$  and, thus,  $R \cap A_0 = \emptyset$ . Therefore,  $A_0 \cup W$  is not simple, so  $A_0 \in \text{NENS}$ . Similarly,  $A_1 \in \text{NENS}$ . ■

It follows from Theorems 2.3 and 2.4 that every noneffectively nowhere simple set is the disjoint union of two noneffectively nowhere simple sets.

**Theorem 2.5.** *The following are equivalent for an r.e. set  $A$ :*

- (i)  $A$  is the disjoint union of two effectively nowhere simple sets;
- (ii)  $A \in \mathcal{C}_0 \vee (\exists \text{ r.e. } W)[A \cap W = \emptyset \wedge A \cup W \text{ is simple}]$ ;
- (iii)  $A \in \mathcal{C}_0 \cup \mathcal{C}_1 \cup \mathcal{C}_2$ ;
- (iv) Every splitting of  $A$  into two nowhere simple sets is into effectively nowhere simple sets.

*Proof.* (ii)  $\Leftrightarrow$  (iii) and (iv)  $\Rightarrow$  (i) follow immediately.

(i)  $\Rightarrow$  (ii): Assume that  $A = A_0 \cup A_1$ , where  $A_0 \cap A_1 = \emptyset$  and  $A_0, A_1 \in \text{ENS}$ . Let  $T_i$  be a witness set for  $A_i, i \in \{0, 1\}$ . Let  $W =_{\text{def}} T_0 \cap T_1$ . Clearly,  $A \cap W = \emptyset$  since

$A_i \cap T_i = \emptyset$  for  $i \in \{0, 1\}$ . Let  $S =_{def} A \cup W$ . If  $S$  is cofinite, then  $\overline{A} =^* W$ , hence  $A$  is recursive. Now assume that  $S$  is coinfinite. We will show that  $S$  is simple. Assume otherwise. Then there is an infinite r.e. set  $U$  such that  $U \cap S = \emptyset$ . Since  $U \cap A_0 = \emptyset$ , it follows that  $U \cap T_0$  is infinite. Similarly, since  $(U \cap T_0) \cap A_1 = \emptyset$ , it follows that  $(U \cap T_0) \cap T_1 = U \cap S$  is infinite, which is a contradiction.

(ii)  $\Rightarrow$  (iv): Let (ii) be satisfied. Assume that  $A$  is split into nowhere simple sets  $B_0$  and  $B_1$ . We will show that  $B_0 \in \text{ENS}$ . If  $B_0$  is recursive, then  $B_0 \in \text{ENS}$ . Assume that  $B_0$  is not recursive. Then  $A$  is not recursive. Let  $W$  be a corresponding r.e. set for  $A$ . Since  $A \cup W = B_0 \cup (B_1 \cup W)$  and  $B_0 \cap (B_1 \cup W) = \emptyset$ , it follows that  $B_0 \cup (B_1 \cup W)$  is simple. Thus, by Theorem 2.3,  $B_0 \notin \text{NENS}$ . Hence  $B_0 \in \text{ENS}$ . Similarly,  $B_1 \in \text{ENS}$ . ■

**Corollary 2.6.** *Every r.e. set is either the disjoint union of two effectively nowhere simple sets or of two noneffectively nowhere simple sets.*

MILLER and REMMEL [3, Thm. 12] have proven that in every nonzero r.e. degree, there is an r.e. set  $A$  which is not nowhere simple, such that  $A$  is not the disjoint union of two effectively nowhere simple sets (hence  $A$  is not simple). As a consequence of this result and Theorem 2.5, we have the following

**Corollary 2.7.** *In every nonzero r.e. degree, there is an r.e. set  $A$  which is not nowhere simple and which is not half of a splitting of a simple set.*

**Definition 2.1.** (i) *A splitting of an r.e. set into two disjoint nonempty sets is called nontrivial if both subsets are nonrecursive.*

(ii) *A set  $A$  is maximal in a set  $B$  if  $A$  is r.e.,  $A \subseteq B$ ,  $B - A$  infinite, and there is no r.e. set  $W$  such that  $A \subseteq W \subseteq B$  and both  $B - W$  and  $W - A$  are infinite.*

(iii) *A set  $A$  is simple in a set  $B$  if  $A$  is r.e.,  $A \subseteq B$ ,  $B - A$  infinite, and there is no infinite r.e. set  $W$  such that  $W \subseteq B - A$ .*

Thus,  $A$  is nowhere simple if and only if it is not simple in any r.e. set  $B$ . SHORE [5, Thm. 11] has constructed an r.e. set  $A$  such that  $A$  is not nowhere simple and  $A$  is not simple in any nowhere simple set.

DOWNEY and STOB [1, Thm. 1.7] have proven that there is a nonrecursive effectively nowhere simple set  $A_0$  which is not half of a nontrivial splitting of a simple set  $B$  such that condition (F) is satisfied.

**Theorem 2.8.** *Let  $A$  be an r.e. set.*

*Every nontrivial splitting of  $A$  into two r.e. sets is into nowhere simple sets*

*$\Leftrightarrow (A \in \text{NS} \vee A$  is maximal in some recursive set).*

*Proof.*  $\Leftarrow$ -part: Assume that  $A \in \text{NS}$  and that  $A = A_0 \cup A_1$ , where  $A_0 \cap A_1 = \emptyset$ . In order to prove that  $A_0$  is nowhere simple, assume that  $W$  is an r.e. set such that  $A_0 \subseteq W$  and  $W - A_0$  is infinite. If  $W \cap A_1$  is infinite,  $W \cap A_1$  is a required r.e. set since  $W \cap A_1 \subseteq W - A_0$ . Otherwise,  $W - A$  must be infinite. Since  $A \in \text{NS}$ , there is an infinite r.e. set  $R$  such that  $R \subseteq W - A$ , and hence  $R \subseteq W - A_0$ . Thus  $A_0 \in \text{NS}$ .

Now, assume that  $A$  is maximal in a recursive set  $B$ . Then  $A \subseteq B$  and  $B - A$  is infinite. Let  $A = A_0 \cup A_1$ , where  $A_0 \cap A_1 = \emptyset$  and  $A_0, A_1$  are nonrecursive r.e. sets. We will show that  $A_0 \in \text{ENS}$  by showing that  $A_1 \cup \overline{B}$  is a corresponding witness set. Assume that  $A_1 \cup \overline{B}$  is not a witness set. Then there is an r.e. set  $W$  such that  $A_0 \subseteq W$ ,  $W - A_0$  is infinite and  $W \cap (A_1 \cup \overline{B}) = \emptyset$ . Since  $A$  is maximal in  $B$ , we

conclude that  $B - W$  is finite, hence  $\overline{A_1} =^* W \cup \overline{B}$ . Thus  $\overline{A_1}$  is r.e., contradicting the fact that  $\overline{A_1}$  is nonrecursive. Similarly, we prove that  $A_1 \in \text{ENS}$ .

$\Rightarrow$ -part: Assume that  $A$  is not nowhere simple and that  $A$  is not maximal in any recursive set. We will prove that there is a nontrivial splitting of  $A$  into two r.e. sets, at least one of which is not nowhere simple. Since  $A$  is not nowhere simple, there is an r.e. set  $W$  such that  $A \subseteq W$ ,  $W - A$  is infinite and  $W - A$  does not contain an infinite r.e. subset. If  $W$  is nonrecursive, let  $U =_{\text{def}} W$ . If  $W$  is recursive, then  $A$  is not maximal in  $W$ , so there exists an r.e. set  $U$  such that  $A \subseteq U \subseteq W$  and both  $W - U$  and  $U - A$  are infinite.  $U$  is nonrecursive, since otherwise  $W - U = W \cap \overline{U}$  would be an infinite r.e. set contained in  $W - A$ .

In any case, there is a nonrecursive set  $U$  such that  $A \subseteq U$ ,  $U - A$  is infinite and  $U - A$  does not contain an infinite r.e. subset. Now we split  $U$  into two nonrecursive r.e. sets,  $U_0$  and  $U_1$ . Let  $A_i =_{\text{def}} U_i \cap A$  for  $i \in \{0, 1\}$ . Assume that both  $U_0 - A_0$  and  $U_1 - A_1$  are infinite. Then  $A_0 \notin \text{NS}$  and  $A_1 \notin \text{NS}$ . Hence both  $A_0$  and  $A_1$  are nonrecursive, as required in a nontrivial splitting. Now, for example, assume that  $U_0 - A_0$  is infinite and  $U_1 - A_1$  is finite. Clearly,  $A_0 \notin \text{NS}$ , so  $A_0$  is not recursive. In addition,  $A_1$  is nonrecursive since  $A_1 =^* U_1$ . ■

**Theorem 2.9.** *Let  $A$  be an r.e. set.*

*Every nontrivial splitting of  $A$  into two r.e. sets is into effectively nowhere simple sets*

$\Leftrightarrow (A \in \text{ENS} \vee A \text{ is maximal in some recursive set}).$

*Proof.*  $\Leftarrow$ -part: Assume that  $A \in \text{ENS}$ . It follows, by Theorem 2.5 (also by Proposition 6 in [3]), that every splitting of  $A$  into two r.e. sets is into effectively nowhere simple sets.

If  $A$  is maximal in a recursive set then, by the  $\Leftarrow$ -part of the proof of Theorem 2.8, every nontrivial splitting of  $A$  into two r.e. sets is into effectively nowhere simple sets.

$\Rightarrow$ -part: Assume that  $A$  is not effectively nowhere simple and that  $A$  is not maximal in any recursive set. We will prove that there is a nontrivial splitting of  $A$  into two r.e. sets, at least one of which is not effectively nowhere simple. If  $A$  is not nowhere simple, then the conclusion follows by the  $\Rightarrow$ -part of the proof of Theorem 2.8. Now assume that  $A \in \text{NENS}$ . Hence  $A$  is nonrecursive. Moreover, by Theorem 2.3,  $A \notin \mathcal{C}_0 \cup \mathcal{C}_1 \cup \mathcal{C}_2$ . Now we split  $A$  into two nonrecursive r.e. sets  $A_0$  and  $A_1$ . By Theorem 2.5,  $A$  is not the disjoint union of two effectively nowhere simple sets, so not both  $A_0$  and  $A_1$  are effectively nowhere simple. ■

**Corollary 2.10.** (i) [3, Thm. 8(a)] *Every nontrivial splitting of a maximal set  $M$  into two r.e. sets is into effectively nowhere simple sets.*

(ii) [3, Prop. 11] *Let  $M$  be a maximal set and let  $R$  be an infinite recursive subset of  $M$ . Then every nontrivial splitting of  $M - R$  is into effectively nowhere simple sets.*

*Proof.* (i)  $M$  is maximal in  $\omega$ .

(ii)  $M - R$  is maximal in  $\overline{R}$ . ■

**Theorem 2.11.** *Let  $A$  be an r.e. set.*

*$A$  is the disjoint union of two r.e. sets, neither of which is nowhere simple*

$\Leftrightarrow (\exists \text{ r.e. } X, Y)[X \cap Y = A \wedge A \text{ is simple both in } X \text{ and } Y].$

*Proof.*  $\Rightarrow$ -part: Let  $A = A_0 \cup A_1$ , where  $A_0 \cap A_1 = \emptyset$  and  $A_0, A_1 \notin \text{NS}$ . Since  $A_0$  is not nowhere simple, there is an r.e. set  $W_0$  such that  $W_0 - A_0$  is an infinite set which does not contain any infinite r.e. subset. Hence  $W_0 \cap A_1$  is finite. Let  $U_0 =_{\text{def}} W_0 - A_1$ . Since  $A_1$  is not nowhere simple, there is an r.e. set  $W_1$  such that  $W_1 - A_1$  is an infinite set which does not contain any infinite r.e. subset. Hence  $W_1 \cap U_0$  is finite. Let  $U_1 =_{\text{def}} W_1 - U_0$ . Now we define  $X = U_0 \cup A$  and  $Y = U_1 \cup A$ . Clearly,  $A$  is simple in both  $X$  and  $Y$ , and  $X \cap Y = A$ .

$\Leftarrow$ -part: Assume that  $A$  is simple in both  $X$  and  $Y$ , where  $X$  and  $Y$  are r.e. sets such that  $X \cap Y = A$ . By the reduction principle for r.e. sets, there are r.e. sets  $U$  and  $V$  such that  $U \subseteq X$ ,  $V \subseteq Y$ ,  $U \cup V = X \cup Y$  and  $U \cap V = \emptyset$ . Now we define  $A_0 = A \cap U$  and  $A_1 = A \cap V$ . Clearly,  $A_0$  and  $A_1$  are not nowhere simple. ■

Recall that a set  $A \subseteq \omega$  is *r-maximal* if  $A$  is r.e., coinfinite and there is no recursive set  $R$  such that both  $R \cap \overline{A}$  and  $\overline{R} \cap \overline{A}$  infinite. Clearly, every maximal set is *r-maximal*. The converse does not hold. Also, there are simple sets which are not *r-maximal* (see [6, p. 211]).

**Corollary 2.12.** (i) *Every nonmaximal hh-simple set  $A$  is the disjoint union of two r.e. sets, neither of which is nowhere simple.*  
(ii) *Every simple set  $A$  which is not *r-maximal* is the disjoint union of two r.e. sets, neither of which is nowhere simple.*

*Proof.* (i) Since  $A$  is not maximal, there exists an r.e. set  $X$  such that  $A \subseteq X$  and both  $X - A$  and  $\overline{X}$  are infinite. Since  $A$  is hh-simple, there exists an r.e. set  $Y$  such that  $A \subseteq Y$ ,  $X \cap Y = A$  and  $X \cup Y = \omega$ . Hence  $Y - A$  is infinite. Since  $A$  is simple, it is simple both in  $X$  and  $Y$ .

(ii) Let  $R$  be a recursive set such that both  $R \cap \overline{A}$  and  $\overline{R} \cap \overline{A}$  are infinite. Define  $X = R \cup A$  and  $Y = \overline{R} \cup A$ . ■

### 3. SEMILOWNESS PROPERTIES OF NOWHERE SIMPLE SETS

We recall that a set

$X$  is *semilow* iff  $\{e : W_e \cap X \neq \emptyset\} \leq_T \emptyset'$ ,

$X$  is *semilow*<sub>1.5</sub> iff  $\{e : W_e \cap X \text{ is infinite}\} \leq_{1-1} \{e : W_e \text{ is infinite}\}$ , and

$X$  is *semilow*<sub>2</sub> iff  $\{e : W_e \cap X \text{ is infinite}\} \leq_T \emptyset''$ .

MAASS [2, Lemma 2.1] has shown that if  $A$  is r.e., then  $\overline{A}$  is *semilow*<sub>1.5</sub> if and only if there is an enumeration of  $A$ , simultaneously with an enumeration of r.e. sets  $(U_e)_{e \in \omega}$ , such that for every  $e \in \omega$ ,  $W_e =^* U_e$  and  $(U_e \setminus A \text{ is infinite} \Rightarrow U_e \cap \overline{A} \text{ is infinite})$ . MAASS [2] has further proven that for an r.e. set  $A$ ,  $\mathcal{L}^*(A)$  is effectively isomorphic to  $\mathcal{E}^*$  ( $\mathcal{L}^*(A) \cong_{\text{eff}} \mathcal{E}^*$ ) if and only if  $\overline{A}$  is infinite and *semilow*<sub>1.5</sub>.

SHORE [5] has proven that the complement of every nowhere simple set is *semilow*<sub>2</sub>. The converse is not true since maximal sets are not nowhere simple and their complements are *semilow*<sub>2</sub>. SHORE [5] has proven that for every effectively nowhere simple set  $A$ ,  $\overline{A}$  is *semilow*<sub>1.5</sub>, and hence, if  $\overline{A}$  is infinite,  $\mathcal{L}^*(A)$  is effectively isomorphic to  $\mathcal{E}^*$ . SHORE [5] has also constructed a (noneffectively) nowhere simple set  $A$  such that  $\mathcal{L}^*(A)$  is not effectively isomorphic to  $\mathcal{E}^*$  (see also [3, Thm. 3]). The following theorem supplements these results.

**Theorem 3.1.** *Every nonzero r.e. Turing degree contains a noneffectively nowhere simple set  $A$  such that  $\overline{A}$  is *semilow*<sub>1.5</sub> (and hence  $\mathcal{L}^*(A) \cong_{\text{eff}} \mathcal{E}^*$ ).*

*Proof.* Let  $\delta$  be a nonzero r.e. Turing degree and let  $C$  be an r.e. set of degree  $\delta$ . Let  $B =_{\text{def}} \{\langle n, i \rangle : n \in C \wedge i \in \omega\}$ . We consider the following enumeration of  $B$ .

Let  $B_{-1} =_{\text{def}} \emptyset$ .

*Stage  $s$ :* For every  $n \in C_s$ , let  $k$  be the greatest number such that  $\langle n, k \rangle \leq s + 1$ . Enumerate all elements among  $\langle n, 0 \rangle, \dots, \langle n, k \rangle$  which are not in  $B_{s-1}$  into  $B_s$ .

Now  $A$  is constructed as in Theorem 4 of [5], to be a thick subset of  $B$ . For every  $e \in \omega$ , we have a unique marker  $\Delta_e$ .

*Construction*

*Stage  $s$ .* For every  $e \leq s$ , check whether there is an element  $x \in \omega^{[n]}$  for some  $n > e$ , such that  $x \in W_{e,s} - A_s$  and no element of  $\omega^{[n]}$  is marked by  $\Delta_e$ . Place  $\Delta_e$  on the least such element  $x$ , if it exists. Enumerate all unmarked elements of  $B_s - B_{s-1}$  into  $A_s$ .

First, we show that the enumeration of  $B$  has the property:

(P) if  $n \in C$  and  $e \in \omega$ , then for all but finitely many  $i \in \omega$ ,  $\langle n, i \rangle \in B \setminus W_e$ .

Assume that  $n \in C_t$ . Let  $x \in \omega^{[n]}$  be such that  $x > t$ . Then  $x \notin W_{e,t}$  (since  $x > t$ ). Thus, if  $x \in W_e$ , then there is  $s > t$  such that  $x \in W_{e,s+1} - W_{e,s}$ . Hence  $x \leq s + 1$ , and  $x \in B_s$ .

In order to prove that  $A$  is  $\text{semilow}_{1.5}$ , it is enough to show that for every  $e \in \omega$ ,  $(W_e \setminus A \text{ is infinite} \Rightarrow W_e \cap \overline{A} \text{ is infinite})$ . Assume otherwise. That is, for some  $e$ ,  $W_e \setminus A$  is infinite and  $W_e \cap \overline{A}$  is finite. Then  $W_e \searrow A$  is infinite. Since  $A_s \subseteq B_s$ , by (P) there is no single  $n \in C$  such that  $(W_e \searrow A) \cap \omega^{[n]}$  is infinite. Hence there are infinitely many  $n \in C$  such that  $(W_e \searrow A) \cap \omega^{[n]} \neq \emptyset$ . Let  $x \in \omega^{[n]}$  be such that  $n > e$  and  $x \in W_e \searrow A$ . Then for some  $s$ ,  $x \in W_{e,s} - A_s$ . Therefore, there is a marked element  $y \in \omega^{[n]}$  such that  $y \in W_e$ . Hence  $y \in \overline{A}$ . Thus,  $W_e \cap \overline{A}$  is infinite, which is a contradiction.

Now, as in Theorem 4 of [5], we show that  $A$  is a nowhere simple set of degree  $\delta$  and, as in Theorem 3 of [3], we show that  $A$  is not effectively nowhere simple. ■

Harrington and Soare (unpublished) have recently proven that there is a nowhere simple set  $A$  such that  $\mathcal{L}^*(A)$  is not isomorphic to  $\mathcal{E}^*$ .

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