The Design and Architecture of the τ -Synopses System

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Abstract

Data synopses are concise representations of data sets, that enable effective processing of approximate queries to the data sets. Approximate query processing provides important alternatives when exact query answers are not required. τ -Synopses is a system designed to provide a runtime environment for remote execution of various synopses for both relational as well as XML databases. It enables easy registration of new synopses from remote platforms, after which the system can manage these synopses, including triggering their construction, rebuild and update, and invoking them for approximate query processing. The system captures and analyzes query workloads, enabling its registered synopses to significantly boost their effectiveness (efficiency, accuracy, confidence), by exploiting workload information for synopses construction and update. The system can also serve as a research platform for experimental evaluation and comparison of different synopses.

1 Introduction

In large data recording and warehousing environments, it is often advantageous to provide fast, approximate answers to queries, whenever possible. The goal is to provide a quick response in orders of magnitude less time than the time to compute an exact answer, by avoiding or minimizing the number of accesses to the base data.

Techniques for fast approximate answers can also be used in a more traditional role within a query optimizer to estimate plan costs, again with very fast response time.

Approximate query processing is supported by synopses that are compact representation of the original data, such as histograms, splines, sampling, wavelets or other methods.

Increased interest in approximate query processing resulted with proliferation of new synopses addressing new problems as well as proposed alternatives to previously suggested synopses. In particular, synopses are becoming more advanced, supporting updates to data, aware-

ness to workload, and adaptive to changes in workload (e.g., [2, 7, 8, 9, 12, 13, 20]).

Several systems and projects address approximate query processing and data synopses. In the AQUA Project [14, 4, 3], synopses are precomputed and stored in a DBMS. It provides approximate answers by rewriting the queries to run on these synopses, and enables keeping synopses up-to-date as the database changes. Various aspects of approximate query processing were studied by Microsoft Research DB group (e.g., [7, 9]). The question of how to reconcile various synopses for large information sources with many tables was studied in [16, 15].

While the above research works deal mostly with relational database systems, we see increasing interest towards XML synopses. The extensible mark-up language (XML) is becoming ubiquitous as a data exchange and storage format. Almost all commercial RDBMSs include support for XML data; native XML databases such as Xyleme [26] are specially designed to store and query XML data on the web. Efficient query processing over XML data requires accurate estimation of the selectivities of the path expressions contained in the query. Thus, maintaining concise synopses structures is crucial for the XML databases as well as for the traditional relational databases. This problem has recently attracted the attention of the database research community, and several techniques [17, 21, 22, 11] have been proposed targeting different aspects of the problem.

Operational systems require the management and consolidation of many synopses. These include various synopses addressing different types of queries, each requiring its own type of synopsis; furthermore, even for the same type of query, many different instances of the same synopsis should be used to represent different data sets (e.g., different relations, or different columns of the same relation). Finally, in some cases it would be beneficial to hold more than one synopsis for the same type of queries, to benefit from the different properties of the various synopses (e.g., having an answer based on all supporting synopses, letting each synopsis support a particular subset of the queries etc).

For research purposes, it would also be beneficial to sup-

port many synopses. Indeed, for each query there may be different possible types of synopses that could be useful. Furthermore, for each particular synopsis, it would be useful to compare different implementations for evaluation and benchmarking purposes. Therefore, it is advantageous to have a system that can accommodate *multiple synopses*, and have an easy way to integrate new synopses and manage them.

The multiple synopses in use in either operational or research system could be placed in remote locations for various reasons: they may be implemented on different types of platforms, they may be summarizing remote data whose transfer is undesirable or impossible due to performance or security constraints, and it would be beneficial to share the load of operating a large number of synopses using different systems for load balancing and redundancy reasons. Therefore, it would be beneficial to have a system support *remote execution* of registered synopses.

Synopses are more effective when they are adaptive for predicted workload, changes in workload, changes in data, and changes in performance requirements (query time, accuracy, confidence, and available memory). This motivates the use of a system of *managed synopses*. This is a single system that registers all synopses, triggers their construction and maintenance (in response to new data, data changes, predicted changed workload, or by demand). Having a managed synopses system enables providing information required by all relevant synopses from a single repository (e.g., data, workload, changes in data and workload).

1.1 τ -Synopses framework overview

Motivated by the above, the τ -Synopses system was designed to provide a run-time environment for remote execution of various synopses. It enables easy registration of new synopses from remote SOAP-enabled platforms, after which the system can manage these synopses, including triggering their construction, rebuild and update, and invoking them for approximate query processing. The system captures and analyzes query workloads, enabling its registered synopses to significantly boost their effectiveness (efficiency, accuracy, confidence), by exploiting workload information for synopses construction and update. The system can serve as a research platform for experimental evaluation and comparison of different synopses.

The τ -Synopses framework software demo was previously presented at the EDBT'04 and ICDE'04 [27]. Since then the framework was extended to support XML data sources and manage XML synopses for approximate selectivity estimations. This paper, for the first time, reveals the detailed description, architecture and design details of the τ -Synopses framework.

The τ -Synopses is a stand alone system, and can work

with data sources such as existing relational, xml or other database systems. It supports two types of users: synopses providers who register their synopses within the system, and end-users who send queries to the system. The system administrator defines available data sources and provides general administration of the system.

When a new synopsis is registered, the relevant data set and the supported queries are defined. A query submitted to the system is executed using the appropriate synopsis, based on the registration and other information. The result is returned to the user or optionally processed by other modules in the system. The system transforms updated data from its original datasource to be consistent with the format known to the synopses, so that synopses are not required to support any data transformation functionality or database connectivity logic. Any relational/xml database or even real-time data providers can be datasources in the system.

Workload information is recorded by the system and becomes available to the registered workload-sensitive synopses.

The τ -Synopses system has the following key features:

- various data sources: The system supports both relational and XML data sources.
- multiple synopses: The system can accommodate various types of synopses. New synopses can be added with their defined functionalities.
- pluggable integration: For integration purposes, a synopsis has to implement a simple interface, regardless of its internal implementation. By utilizing a light-weight host provided by the system, the synopsis can be executed on any SOAP-enabled platform.
- remote execution: Synopses can be transparently executed on remote machines, over TCP/IP or HTTP protocols, within local area networks or over the internet.
- managed synopses: The system allocates resources to synopses, triggers their construction and maintenance, selects appropriate synopses for execution, and provides all required data to the various synopses.
- workload support: Workload is captured, maintained and analyzed in a centralized location, and made available to the various synopses for construction and maintenance.
- research platform: The system provides a single, consistent source of data, training and test workload for experimental comparison and benchmarking, as well as performance measurements. It can therefore serve as an effective research platform for comparing different synopses without re-implementing them.

The rest of the paper is organized as follows. Section 2 supplies the necessary background for query optimization and approximate queries in both relational and XML databases. Sections 3 reveals in details the functionality of the τ -Synopses framework, while sections 4-5 focus on the software engineering aspects. We present our experimental evaluation in Section 6 and draw conclusions in Section 7.

2 Background

This section supplies the necessary background for query optimization and approximate queries in both relational and XML databases.

2.1 Relational Databases

Approximate queries. So, what is fast approximate answers? In relational databases it used primarily for aggregate queries, calculation of which requires access to large part of the original data. The primary goal is to quickly report for example leading digits of answers in second instead of hours. For example, a calculation of the average salary over database of all people in US will take about 10 min, but approximate answers can be provided in 10 sec. The approximate answers can be achieved by answering the query based on some synopses of data that are orders of magnitude smaller than the original data.

Synopses. Approximate query processing is supported by synopses that are compact representation of the original data. To handle many base tables and many types of queries, a large number of the synopses may be needed. Moreover, for fast response times that avoid disk access altogether, synopses that are frequently used for query processing should remain in the main memory. Thus we evaluate effectiveness of a synopsis as a function of its size. The effectiveness of a synopsis can be measured by the accuracy of the answers it provides, and response time. Over the past few years there has been extensive research in data synopses, including precomputed samples, various histograms, and wavelet synopses. Some of the techniques boost accuracy of query answers by adapting synopsis structure to available workload information.

Precomputed samples are based on the use of random samples as synopses for large data sets (see [13, 2, 9] and references therein). Histograms are commonly used synopses used to capture the distribution of the data stored in a database relation and are used to guide selectivity estimation as well as approximate query processing. Many different histograms have been proposed in the literature and some have been deployed in commercial RDBMSs. Equi-depth histograms (e.g., [2]) are popular histograms that are easy to implement. New histograms types can be derived by combining effective aspects of different

histogram methods, including compressed histograms, voptimal histograms, and maxdiff histograms. Recently, wavelet-based histograms, built from a wavelet decomposition, were introduced as a mean for improved histogram accuracy [19, 23, 8]. Wavelets are a mathematical tool for hierarchical decomposition of functions, whose adaptive nature make them good candidate for a "lossy" data representation. The use of wavelet-based synopses in database has recently drawn increasing attention. One of the latest developments was the introduction of probabilistic wavelets synopses [12], a wavelet-based data reduction technique attempting to provide increased accuracy for individual approximate answers.

Aggregate queries. Queries in relational databases are typically formulated using the SQL query language. The below aggregate range query is supported by most synopses:

```
SELECT Sum(Data) FROM Relation
WHERE filter > 1 AND filter < h</pre>
```

The above query calculates the sum of data values in the data column in the specified range. There are number of different aggregation functions: such as count, average, maximum and minimum.

2.2 XML Databases

XML - extensible mark-up language, allows encapsulating semi-structured data in tree structured documents that might be stored either as a regular ASCII file or inside a native XML database. XML Schema provides a structure validating mechanism for XML documents.

XML [6] has rapidly evolved from a mark-up language to a de-facto standard for data exchange and integration over the web. A testament to this is the increasing volume of published XML data, together with the concurrent development of XML query processors that will allow users to tap into the vast amount of XML data available on the Internet. The successful deployment of such query processors depends crucially on the existence of high-level declarative query languages. There exist numerous proposals that cover a wide range of paradigms, but a common characteristic among all XML-language proposals is the use of path expressions as the basic method to access and retrieve specific elements from the XML database. A path expression essentially defines a complex navigational path, which can be predicated on the existence of sibling paths or on constraints on the values of visited elements. As a concrete example, in a bibliography database, the path expression //author[book]/paper/sigmod/title (which adheres to the syntax of the standard XPath language [10]) selects the set of all title data elements discovered by the label path //author/paper/sigmod/title, but only for author elements that have at least one book child (a condition specified by the author[book] branch). Selectivity of a path expression is the number of data elements retrieved by it (and not the retrieved data itself).

Similarly to relational optimization, optimizing XML queries with complex path expressions depends crucially on the existence of concise summaries that can provide effective compile-time estimates for the selectivity of these expressions over the underlying (large) graph-structured XML database. This problem has recently attracted the attention of the database research community, and several techniques [17, 21, 22, 11] have been proposed targeting different aspects of the problem. Among the techniques there are graph-structured solutions, markov based synopses and schema-based synopses. The synopses differ on the subset of supported XPath expressions, self-tuning capabilities, etc.

3 τ -Synopses Specification

The main operational processes supported by the τ -Synopses are: constructing and rebuilding of number of pluggable synopses, interception and analysis of query workload, interception and analysis of data updates, approximate query processing.

- Interception of Workload: User workload can be tracked using various methods: analysis of databases log files, logging of all queries that executed directly by τ-Synopses framework, execution database tracing utilities (like SQL Profiler in SQL Server). Collecting statistics of user workload will allow effective construction process of synopses. In addition, analyzing of workload change trends for triggering rebuilding of synopses when needed.
- Data updates: Updates issued in the underlying relational data can be recorded using analysis of databases log files, execution of trace utilities or based on database triggers. This updates will be analyzed and forwarded to synopses rebuilding processes.
- Approximate Query Processing: One of the aims of τ-Synopses framework is to support general SQL queries. The system then will be responsible for rewriting of incoming queries by using available relations in the calculated synopses. This will produce building and execution optimal query execution plans.
- *Remote Integration*: The system allows an integration of remote synopses into the run-time environment.

The user interface provides an administrator user with a capability to manage data sources, synopses specifications,

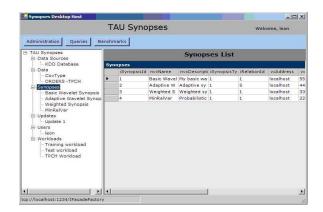


Figure 1. Administration Module

updates and pre-defined workloads. The framework provides also a user management mechanism. Figure 1 depicts the main adminstration module.

- data sources: Relational data source is specified by
 the database connection information (host, user, password and database instance name). XML data source
 is specified by an absolute path to a data file and optionally a path for a validating schema file. As an XML
 data source represents single XML document, there is
 no need for any further specifications. With relational
 databases, a user must also define a data relation the
 subset data of the database. It is specified by determining the target table name plus filter and data column
 names.
- synopses: A synopsis is registered by providing the location of the execution host of the synopsis (server name plus the listening port) and the data relation for which the synopsis will be built. Invoking the construction of the synopsis requires setting the size limit and optionally the training workload. Both data relation and the workload are chosen among those registered in the system.
- *updates*: An update for particular data relation is determined by another instance of same relation, representing the updated data.
- workloads: The queries workload is produced by the system based on user specifications. The users set the number of queries in the workload, the data relation to be processed and the workload construction parameters. For workloads on relational data the parameters are the zipfian skew, low and high values, focus and range values. For xml workload the parameters include the minimum and maximum lengths of the path expression and of the branching predicates, as well as

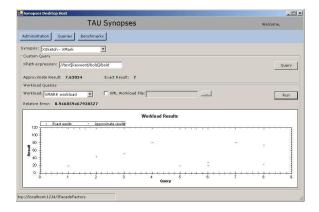


Figure 2. Query Mode

the maximum number of branching predicates and the probability of their appearance.

The end-user is supplied with the capability to test and compare different synopses that are registered in the system. The Query execution mode provides the user with the ability to evaluate single synopsis at a time, figure 2 depicts the Query mode UI adopted for querying an XML synopsis. The Benchmark mode enables multiple synopses evaluation over pre-defined workloads and their comparison using visual display. The user interface for the benchmark mode is depicted in figure 3. Full size screen shots are available at http://www.cs.tau.ac.il/kreimern/TauSynopses.

WHERE filter > 1 AND filter < h .

The user supplies the range query parameters: low and high values; while the data and the filter column are those of the data relation upon which the synopsis was built. Extending the support for native SQL queries is the term for future work.

For XML synopsis, the query is an XPath expression. The system validates the user input expression for the XPath syntax validity and the tag labels are validated against existing labels of the underlying XML document.

After the query is executed (by the remote registered synopsis), the result is displayed. The system displays also the real result of evaluating the query over the data source itself; this functionality depicts the accuracy of the tested synopsis and is relevant for research issues.

Query mode allows also evaluating multiple queries at a time by specifying a workload to be evaluated. The synopsis results are depicted together with the real results computed by the system.

Benchmark Mode: The user chooses the synopses to evaluate and the workload to be used for the evaluation. For

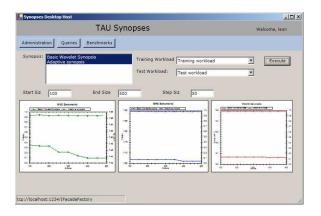


Figure 3. Benchmark

the performance measurements, the minimum, maximum and step size for the synopses construction are set by the user. The system invokes construction of the different synopses, then all the synopses evaluated over the chosen workload. The system calculates the accuracy of the different synopses using several error metrics. MSE (mean square error), MRE (mean relative error) and MAXRE (maximum relative error) performance measurements are depicted in the results graph.

4 Architecture

4.1 Design Goals

In order to provide an effective operational and research platform τ -Synopses must commit to the following design goals.

- research platform- The system should provide a single, consistent source of data, training and test workload for experimental comparison and benchmarking, as well as performance measurements.
- multiple synopses A system should be able to accommodate multiple synopses
- easy integration To allow external user to easily integrate his synopsis, the system should provide an available, simple synopsis wrapper.
- pluggable integration For integration purposes, a synopsis has to implement a simple interface, regardless of its internal implementation. By utilizing a lightweight host provided by the system, the synopsis can be executed on any SOAP-enabled platform.
- remote execution- Synopses can be transparently executed on remote machines, over TCP/IP or HTTP protocols, within local area networks or over the internet.

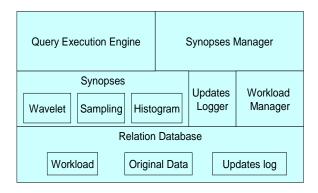


Figure 4. Synopses Framework Architecture

- distributed client-server environment The client application should reside locally on the end-station while the framework core should be centralized. In addition, the client and server deployment should be independent.
- flexibility and scalability The framework design should allow easy extending of the framework for nonrelational data sources.
- *privacy preservation* The system should enable its users to protect their resources (synopses, workloads).
- low bandwidth Low bandwidth support is important for efficient client-server communication and a communication with the remote synopses.

Case Study: The τ -Synopses framework actually hosts two independent applications - relational synopses framework and xml synopses framework. These applications are independent at first sight as they treat databases with totally different storage schema, different query languages and they even target a slightly different problem of approximate processing. As it was stated earlier, at the beginning, the framework supported synopses for relational databases only. However, the modularity of the framework design and implementation allowed easy adaptation of the already developed relational synopsis framework to an xml synopses framework.

4.2 High Level Design

The core of the τ -Synopses system architecture features the following components, and depicted in Figure 4: Query Execution Engine, Synopses Manager, Updates Logger, and Workload Manager. In addition, it includes a query application which is used by end-users, an administration application used by the administrator and by synopses-providers, and a pool of registered synopses.

The Synopses Manager is used for registration and maintenance of the synopses. A new synopsis is added to the

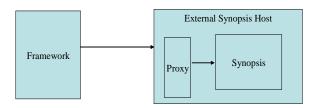


Figure 5. Synopses Integration

system by registering its parameters (including list of supported queries and data sets) in the Synopses Manager Catalog.

The Query Execution Engine provides interface for receiving query request from end-users and invoking the appropriate synopsis (or synopses), as determined by the Synopses Manager in order to process such query.

The Updates Logger provides all data updates to the registered synopses by intercepting data updates information in the data sources.

The Workload Manager captures, maintains and analyzes workload information for building, maintaining and testing synopses.

Figure 5 depicts integration process of a remote synopsis within the framework. The system provides a light-weight host process, inside which the custom synopsis will be running. The host is responsible for all communication with the system and is transparent to the synopsis. This design enables unconstraint deployment. A remote synopsis can be integrated into the system by deploying or adapting such host into the remote system, and connecting the synopsis module locally into the host.

Figure 6 illustrates an overall view of the system in a distributed environment, consisting of multiple remote synopses, each representing its local data source.

4.3 Physical Architecture

To answer the design goals stated above, the system was designed using the N-tier concept; Client -(remoting)- Facade - Business Logic - Data Access Layer - Database. See Figure 7.

The client layer implements the user interface of the system. All user actions are treated by the client, however the necessary processing is forwarded to the system server via remoting. Facade interface of the server side provides the single point of access for the client application. The processing is further forwarded to an appropriate class inside the business logic layer. The data access layer is responsible for storing and retrieving information from the database.

The system stores all the internal data (administration information, synopses specifications, etc) in the SQL Server database. The data access layer enables the application to be

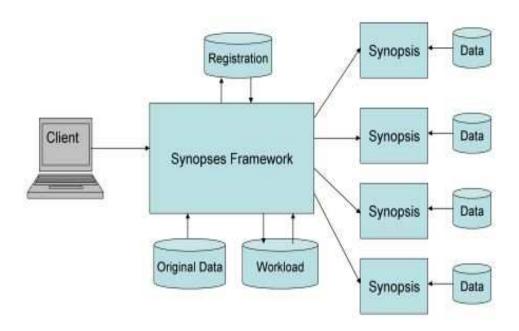


Figure 6. Distributed Environment

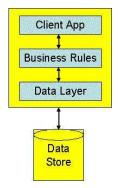


Figure 7. N-tier Architecture

easily converted to use another commercial database. Substituting the database provider remains transparent for the rest layers of the system.

4.4 The τ -Synopses Core Design

In this section we stand on the design of τ -Synopses server side, i.e. the system core. The core implements all the components revealed in the 4.2 section. Also, as stated in the 4.3 section, the server side is divided into several layers (Facade, Business logic and Data Access). Below we will reveal the major design principals.

The Facade layer is based on the the factory design pattern. The facade factory provides access to the following internal sub-systems:

- *DataSourcesSystem* manages the data sources specification (database connection details for a relational data and data file location for an XML data)
- RelationsSystem manages concrete row data specifications for relational data sources. A relation is specified for a pre-defined data source by determining the target table name, filter and data column names. This module is also responsible for evaluating the queries over the original data.
- *SynopsesSystem* manages synopses specification as well as synopsis construction invocation.
- *UpdatesSystem* manages update specifications
- *UsersSystem* manages users in the system, thus enabling privacy and user privileges."
- WorkloadsSystem manages workload specification, generation and storage.
- *QuerySystem* responsible for invoking suitable synopsis for approximate estimation of a query.
- BenchmarkSystem responsible for invoking the construction of various synopses and evaluating their accuracy based on the specified query workloads.

Each sub-system is divided into the above layers: facade business logic - data access layer.

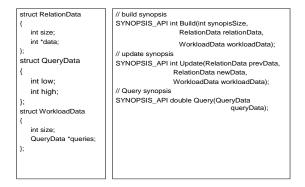


Figure 8. Basic required interfaces

In order to integrate a new synopsis, it is sufficient to have it implemented on a SOAP-enabled platform. Figure 8 shows the interfaces required for the basic functionality. The synopsis should implement the Build, Update and Query interface methods. After incorporating these interfaces into a synopsis, it can already be used in the system.

4.5 Database Design

Figure 9 depicts the entities diagram (ERD) of the τ -Synopses. We maintain a separate table for each object managed by the system: data source, data relation, workload, synopsis, update and user. The dependency relations between the objects are captured by foreign key relations between the corresponding tables. For example we can see that update, workload and synopsis objects reference the relation object.

While designing the implementation of XML synopses framework, its requirements were mapped to existing objects of the relational synopses framework. The database schema was extended for supporting XML synopses by adding additional columns to existing tables, thus reflecting the adaptation of the objects to XML support.

5 System Implementation

Below are the major implementation characteristics of the τ -Synopses. The system modules were implemented in the .NET framework, with remote modules communicating through the .NET Remoting. Both Client and Framework applications were developed using the C# programming language. The Client is a windows-forms application while the framework is a windows console application. SOL Server was used as a database provider.

The .NET Framework supplies a rich variety of the readyto-use components which makes the development much

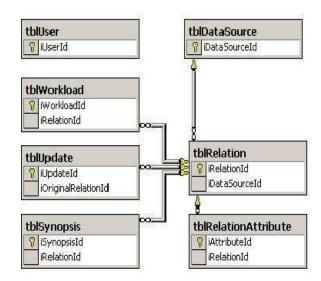


Figure 9. ERD

faster, the code much clearer and easier to maintain. The most significant components of the .NET Framework that were utilized in our system are ADO.NET, Remoting, Serialization, XML support and Data Binding. We will briefly review these components and how they were used.

- NET Remoting: Remoting enables client applications to use objects in other processes on the same computer or on any other computer available on its network. In the τ-Synopses the client application is connecting to the server side using the .NET Remoting via a TCP/IP protocol. Synopses are transparently executed on remote machines, over TCP/IP or HTTP protocols, within local area networks or over the internet. The framework host and the synopsis host are console applications. Both of them are registered on a user specified port in order to be invocable by the remoting.
- ADO.NET: ADO.NET is a set of classes that expose data access services to the .NET programmer. In τ -Synopses the SQL database server is accessed using the ADO.NET, each data object represented by a typed dataset.
- Data Binding: All user input is managed by data binding of the input fields to the the corresponding column in a typed dataset.
- XML support: The .NET Framework provide a comprehensive and integrated set of classes, allowing you to work with XML documents and data. In τ-Synopses XML classes were used while processing the XML data sources.
- Serialization: Serialization is the process of converting the state of an object into a form that can be persisted

or transported. Binary serialization provided by the .NET framework Binary Formatter class was used for workload storage implementation.

Network disconnected operational mode. The data access layer enables the application to be easily converted to use another commercial database. Substituting the database provider remains transparent for the rest layers of the system. The storage of the system internal data can be even implemented inside XML data files. This feature was indeed implemented in our synopsis framework in order to make it more portable. Just by substituting the data access library we can create a light weight version of our system suitable for any disconnected laptop running Microsoft Windows operational system. However in a disconnected mode the system is limited to the XML data sources unless there are any relational databases locally installed. The version without database storage was implemented by serializing the typed datasets to XML documents and storing them in files.

6 Experience with the System

The τ -Synopses framework was successfully tested by deploying remote synopses for both relational and xml data sources.

Several synopses for approximate queries over relational databases were tested and evaluated using the framework run-time environment. The platform allowed comparison of different techniques - histogram based, sampling and wavelet based solutions were evaluated and compared.

The list of the currently integrated synopses: *Histograms* - Equi-depth, Dynamic, Self-tuning; *Samples* - Random, ICICLES, Congressional; *Wavelet Synopses* - Basic, Adaptive, Probabilistic, Weighted.

These synopses were developed by about 40 undergraduate and graduate students as part of their academic duties. They were implemented using different programming languages, running on different platforms, and all operated by the τ -Synopses system, through the .Net framework. The synopses were connected to the framework using API from Figure 8. All the relational data sources used during the evaluation were stored in an SQL Server database.

The system allows to easily compare hevarious combinations tween of synopses for a variety of data sets, as can be seen at "http://www.cs.tau.ac.il/kreimern/SynopsesTaxonomy". These screen shots taken from [18].

The XML support of the system was tested with two already implemented synopses techniques. The XS-ketches [21] and the fXSketches [11] structured synopses for XML databases were integrated into the framework and successfully evaluated. Figure 10 depicts the peformance

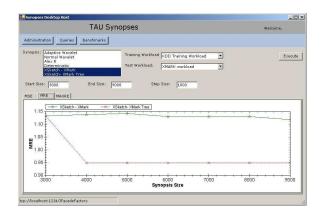


Figure 10. Benchmark

comparison for XSketch synopses constructed over different data sets.

au-Synopses framework was proved to be an efficient research platform.

7 Conclusions

The τ -Synopses framework provide a run-time environment for remote execution and management of pluggable synopses. It was proved to be an efficient research platform for benchmarking and comparison of approximate queries techniques for both relational and XML data sources.

We now encourage other research groups connect their synopses to the τ -Synopses system. This would allow access to a wide variety of different datasources and workloads, and a fair comparison with other synopses with little effort.

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