

# Simultaneous Embedding of a Planar Graph and Its Dual on the Grid

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**Abstract.** Traditional representations of graphs and their duals suggest the requirement that the dual vertices be placed inside their corresponding primal faces, and the edges of the dual graph cross only their corresponding primal edges. We consider the problem of simultaneously embedding a planar graph and its dual into a small integer grid such that the edges are drawn as straight-line segments and the only crossings are between primal-dual pairs of edges. We provide a linear-time algorithm that simultaneously embeds a 3-connected planar graph and its dual on a  $(2n - 2) \times (2n - 2)$  integer grid, where  $n$  is the total number of vertices in the graph and its dual. Furthermore our embedding algorithm satisfies the two natural requirements mentioned above.

**Key Words.** Graph drawing, computational geometry, planar graphs, planar embedding.

## 1 Introduction

In this paper we address the problem of simultaneously drawing a planar graph and its dual on a small integer grid. The *planar dual* of an embedded planar graph  $G$  is the graph  $G'$  formed by placing a vertex inside each face of  $G$ , and connecting those vertices of  $G'$  whose corresponding faces in  $G$  share an edge. Each vertex in  $G'$  has a corresponding primal face and each edge in  $G'$  has a corresponding primal edge in the original graph  $G$ . The traditional manual representations of a graph and its dual, suggest two natural requirements. One requirement is that we place a dual vertex inside its corresponding primal face and the other is that we draw a dual edge so that it only crosses its corresponding primal edge. We provide a linear-time algorithm that simultaneously draws a planar graph and its dual using straight-line segments on the integer grid while satisfying these two requirements.

Straight-line embedding a planar graph  $G$  on the grid, i.e., mapping the vertices of  $G$  into a small integer grid such that each edge can be drawn as a straight-line segment and that no crossings between edges are created, is a well-studied graph drawing problem. The first solution to this problem was given by Fraysseix, Pach and Pollack [5] who provided an algorithm that embeds a planar graph on  $n$  vertices on the  $(2n - 4) \times (n - 2)$  integer grid. Later, Schnyder [10] developed another method that reduces the grid size to  $(n - 2) \times (n - 2)$ . Since

then there have been many studies regarding different restrictions of the problem. Harel and Sardas [6] provide an algorithm to embed a biconnected graph on a  $(2n-4) \times (n-2)$  grid without triangulating the graph initially. The algorithm of Chrobak and Kant [3] embeds a 3-connected planar graph on a  $(n-2) \times (n-2)$  grid so that each face is convex. Miura, Nakano, and Nishizeki [9] further restrict the graphs under consideration to be 4-connected and present an algorithm for straight-line embedding of such graphs on a  $(\lceil n/2 \rceil - 1) \times (\lfloor n/2 \rfloor)$  grid.

Another related problem is that of simultaneously embedding more than one planar graph. In particular, consider two planar graphs on the same set of vertices:  $H_1 = (V, E_1)$  and  $H_2 = (V, E_2)$ . We would like to embed  $H_1$  and  $H_2$  simultaneously so that the vertices in  $V$  are mapped on the integer grid and each of  $H_1$  and  $H_2$  is realized with straight-line segments and no crossings. Similarly, we would like to simultaneously embed two related graphs, not necessarily on the same vertex set. Such simultaneous embedding would enhance the visual comparison of two graphs. In this paper we address the related problem of embedding a planar graph and its dual on a small grid. Previous researchers have considered two versions of the problem.

In a paper dating back to 1963, Tutte [11] shows that there exists a simultaneous straight-line representation of a planar graph and its dual in which the only intersections are between corresponding primal-dual edges. However, a disadvantage of this representation is that the area required by the algorithm can be exponential in the number of vertices of the graph. Bern and Gilbert [1] address a variation of the problem: finding suitable locations for dual vertices, given a straight-line planar embedding of a planar graph, so that the edges of the dual graph are also straight-line segments and cross only their corresponding primal edges. They present a linear time algorithm for the problem in the case of convex 4-sided faces and show that the problem is NP-hard for the case of convex 5-sided faces.

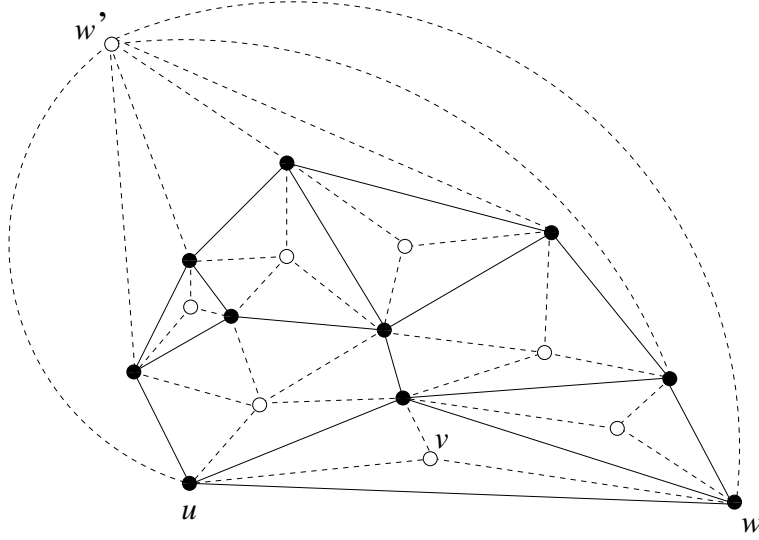
In this paper we consider the problem of embedding a given planar graph  $G$  and its dual graph simultaneously so that following conditions are met:

- The primal graph is drawn with straight-line segments without crossings.
- The dual graph is drawn with straight-line segments without crossings.
- Each dual vertex lies inside its primal face.
- A pair of edges cross if and only if the edges are a primal-dual pair.
- Both the primal and the dual vertices are on the  $(2n-2) \times (2n-2)$  grid, where  $n$  is the number of vertices in the primal and dual graphs.

In the next section we present a linear-time algorithm for this problem which relies on finding a strictly convex drawing for fully quadrilateralated graphs.

## 2 Algorithm for Embedding a Graph and Its Dual

Let  $G_1$  be a 3-connected planar graph. We construct a new graph  $G_2$  that combines information about both the planar graph  $G_1$  and its dual. For this construction we make some changes in  $G_1$ . We introduce a new vertex  $v_i'$  corresponding to a face  $\mathcal{F}_i'$  of  $G_1$ , for all  $1 \leq i \leq f$ , where  $f$  is the number of



**Fig. 1.** 3-connected graph  $G_1$ . The inserted dual vertices are shown as empty circles. Dashed lines represent the inserted edges. To obtain  $G_2$  we remove the original edges of  $G_1$  (drawn with solid lines).

faces of  $G_1$ . We connect each newly added vertex  $v_i'$  to each vertex  $v_j$  of  $\mathcal{F}_i'$  with a single new edge and delete all the edges that originally belonged to  $G_1$ . Fig. 1 shows a sample construction. We call the resulting planar graph  $G_2$  *fully-quadrilateralated(FQ)*, i.e., every face of  $G_2$  is a quadrilateral. Since the original graph  $G_1$  is 3-connected, the resulting graph  $G_2$  is also 3-connected (proven formally in [11]).

**Observation:** If we can embed the graph  $G_2$  on the grid so that each inner face of  $G_2$  is strictly convex and the outer face of  $G_2$  lies on a strictly concave quadrilateral, then we can embed the initial graph  $G_1$  and its dual so that we meet all the problem requirements with the only exception that one edge of the primal graph  $G_1$  (or its dual) is drawn with one bend.

The requirement that the edges of the dual graph be straight and cross only their corresponding primal edges is guaranteed by the strict convexity of the quadrilateral faces. Let the outer face of the graph  $G_2$  be  $(u, v, w, w')$ , where  $u, w$  are primal vertices and  $v, w'$  are dual vertices, as shown in Fig. 1. The exception arises from the fact that we need to draw  $(u, w)$  and  $(v, w')$ , while both of these edges can not lie inside the quadrilateral  $(u, v, w, w')$ . In order to get around this problem we embed the quadrilateral  $(u, v, w, w')$  so that it is strictly concave. This way only one bend for one of the edges  $(u, w)$  or  $(v, w')$  will be sufficient. As a result all the edges in the primal and the dual graph are embedded as straight-lines, except for one edge. In fact, it is easy to choose the exact edge we need (either from the primal or from the dual).

Hence, the original problem can be transformed into a problem of straight-line embedding an FQ-3-connected planar graph  $G$  on the grid so that each internal face of  $G$  is strictly convex and the outer face of  $G$  lies on a strictly concave quadrilateral. Note that this problem can be solved by the algorithm of Chrobak *et al.* [2]. However, the area guaranteed by their algorithm is  $O(n^3) \times O(n^3)$ , whereas our algorithm guarantees a drawing on the  $(2n - 2) \times (2n - 2)$  grid, which is stated in the main theorem in this paper:

**Theorem 1.** *Given a 3-connected planar graph  $G_1$ , we can embed  $G_1$  and its dual on a  $(2n - 2) \times (2n - 2)$  grid, where  $n$  is the number of vertices in  $G_1$  and its dual, so that each dual vertex lies inside its primal face, each dual edge crosses only its primal edge and every edge in the overall embedding is a straight-line segment except for one edge which has a bend placed on the grid. Furthermore, the running time of the algorithm is  $O(n)$ .*

## 2.1 Overview of the Algorithm

Given a 3-connected graph  $G_1$ , we summarize our algorithm to simultaneously embed  $G_1$  and its dual as follows:

- Find a topological embedding of  $G_1$  using [7].
- Apply the construction described above to find  $G_2$ .
- Let  $G = G_2$ , where  $G$  is an FQ-3-connected planar graph.
- Find a suitable canonical labeling of the vertices of  $G$ .
- Place the vertices of  $G$  on the grid one at a time using this ordering.
- Remove all the edges of  $G$  and draw the edges of  $G_1$  and its dual.

Note that our method works only for 3-connected graphs. A commonly used technique for drawing a general planar graph is to embed the graph after fully triangulating it by adding some extra edges and then to remove the extra edges from the final embedding. Using the same idea, we could first fully triangulate any given planar graph. Then after embedding the resulting 3-connected planar graph and its dual, we could remove the extra edges that were inserted initially. However, the problem with this approach is that after removing the extra edges there could be faces with multiple dual vertices inside. Thus the issue of choosing a suitable location for the duals of such faces remains unresolved. In fact, depending on the drawing of that face, it could as well be the case that no suitable location for the dual exists [1]. In the rest of the paper we consider only 3-connected graphs.

## 2.2 The Canonical Labeling

We present the canonical labeling for the type of graphs under consideration. It is a simple restriction of the canonical labeling of [8], which in turn is based on the ordering defined in [5].

Let  $G$  be an FQ-3-connected planar graph with  $n$  vertices. Let  $(u, v, w, w')$  be the outer face of  $G$  s.t.  $u, w$  are primal vertices and  $v, w'$  are dual vertices.

Then there exists a mapping  $\delta$  from the vertices of  $G$  onto  $v_i$ ,  $1 \leq i \leq m$  such that  $\delta$  maps  $u$  and  $v$  to  $v_1$ ,  $w'$  to  $v_m$  and satisfies the following invariants for every  $3 \leq k \leq m$ :

1. The subgraph  $G_{k-1} \subseteq G$ , induced by the vertices labeled  $v_i$ ,  $1 \leq i \leq k-1$  is biconnected and the boundary of its exterior face is a cycle  $C_{k-1}$  containing the edge  $(u, v)$ .
2. Either one vertex or two vertices can be labeled  $v_k$ .
  - (a) Let  $z_0$  be the only vertex labeled  $v_k$ . Then  $z_0$  belongs to the exterior face of  $G_{k-1}$ , has at least two neighbors in  $G_{k-1}$  and at least one neighbor in  $G - G_k$ .
  - (b) Let  $z_0, z_1$  be the two vertices labeled  $v_k$ , where  $(z_0, z_1)$  is an edge in  $G$ . Then  $z_0, z_1$  belong to the outer face of  $G_{k-1}$ , each has exactly one neighbor in  $G_{k-1}$  and at least one neighbor in  $G - G_k$ .

Since  $G$  is FQ, all the faces created by adding  $v_k$ ,  $3 \leq k \leq m$ , have to be quadrilaterals, see Fig. 2.

Note that assigning the mappings onto  $v_1$  and  $v_m$  as above provides us the embedding where all the edges of both the primal and the dual graph are straight except for one primal edge,  $(u, w)$ , which has a bend. Alternatively assigning  $v$  and  $w$  to map onto  $v_1$ , and  $u$  to map onto  $v_m$  would choose a dual edge,  $(v, w')$ , to have a bend.

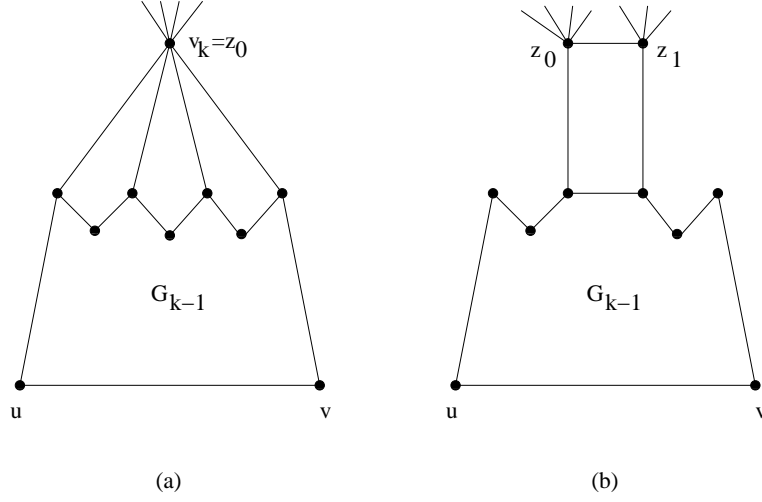
**Lemma 1.** *Every FQ-3-connected planar graph has a canonical labeling as defined above.*

Kant [8] provides a linear-time algorithm to find a canonical labeling of a general 3-connected planar graph. It is easy to see that the canonical labeling definition of [8] when applied to FQ-3-connected planar graphs, gives us the labeling defined above.

### 2.3 The Placement of the Vertices

The main idea behind most of the straight-line grid embedding algorithms is to come up with a suitable ordering of the vertices and then place the vertices one at a time using the given order, while making sure that the newly placed vertex (or vertices) is (are) visible to all the neighbors. In order to realize this last goal, at each step, a set of vertices are shifted to the right without affecting the planarity of the drawing so far. Our placement algorithm is similar to the algorithm of Chrobak and Kant [3], with some changes in the invariants that we maintain to guarantee the visibility together with strict convexity of the faces.

Let the canonical labeling,  $\delta$ , that maps the vertices of  $G$  onto  $v_1, v_2, \dots, v_m$  be defined as in the previous section. Let  $\mathcal{U}(g_i)$  denote the vertices *under*  $g_i$ .  $\mathcal{U}(g_i)$  should be shifted to the right whenever the vertex  $g_i$  is shifted to the right.  $\mathcal{U}(g_i)$  is initialized to  $\{g_i\}$  for every vertex  $g_i$  of  $G$ . Let  $\delta(g_i) = v_{i'}$  and  $\delta(g_j) = v_{j'}$ . Then we define  $Low(g_i, g_j) = i$  if  $i' < j'$ ,  $Low(g_i, g_j) = j$  if  $j' < i'$ . If  $i' = j'$  then let  $Low(g_i, g_j)$  be the one that is placed to the left. Let  $x(g_i)$ ,  $y(g_i)$  respectively denote the  $x$  and  $y$  coordinates of the vertex  $g_i$ .



**Fig. 2.** a) Only one vertex,  $z_0$ , is labeled  $v_k$  b) Two vertices,  $z_0$  and  $z_1$  are labeled  $v_k$ .

• *Embed the First Quadrilateral Face:* We start by placing the vertices mapped onto  $v_1$  and  $v_2$ . The ones that are mapped onto  $v_1$  are  $u$  and  $v$ . We place  $u$  at  $(0,0)$  and  $v$  at  $(3,0)$ . Note that two vertices should be mapped to  $v_2$ . We place the vertex that is mapped to  $v_2$  and that has an edge with  $u$  at  $(1,1)$  and the other at  $(2,1)$ .

Then, for every  $k$ ,  $3 \leq k \leq m$ , we do the following:

• *Update  $\mathcal{U}(g_i)$ :* Let  $C_{k-1} = (u = c_1, c_2, \dots, c_r = v)$ . Let  $c_p, c_q \in C_{k-1}$ , respectively be the first and the last neighbor of the vertex(vertices) mapped to  $v_k$ . If only one vertex,  $z_0$ , is mapped to  $v_k$ , we update  $\mathcal{U}(c_p)$ ,  $\mathcal{U}(c_q)$  and  $\mathcal{U}(z_0)$  as follows:

$$\text{Low}(c_p, c_{p+1}) = p + 1 \implies \mathcal{U}(c_p) = \mathcal{U}(c_p) \cup \mathcal{U}(c_{p+1})$$

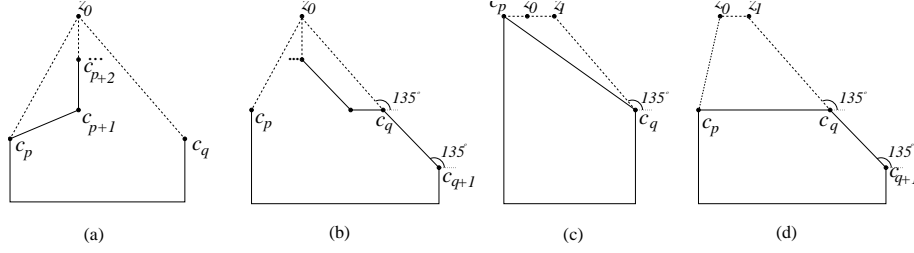
$$\text{Low}(c_{q-2}, c_{q-1}) = q - 2 \implies \mathcal{U}(c_q) = \mathcal{U}(c_q) \cup \mathcal{U}(c_{q-1})$$

$$\mathcal{U}(z_0) = \mathcal{U}(z_0) \cup \bigcup_{i=\text{Low}(c_p, c_{p+1})+1}^{\text{Low}(c_{q-2}, c_{q-1})} \mathcal{U}(c_i)$$

We do not change  $\mathcal{U}(g_i)$  if two vertices,  $z_0$  and  $z_1$ , are mapped to  $v_k$ .

• *Shift to the right:* We then perform the necessary shifting. We shift each vertex  $g_i \in \bigcup_{i=q}^r \mathcal{U}(c_i)$  to the right by one if only one vertex is mapped to  $v_k$ , by two otherwise.

• *Locate the New Vertices:* Finally we locate the vertex(vertices) mapped to  $v_k$  on the grid. Let  $|v_k|$  denote the number of vertices mapped to  $v_k$ . Then we have:



**Fig. 3.** Possible degenerate cases. a)Type  $d_1$  b)Type  $d_2$  c)Type  $d_3$  d)Type  $d_4$

If  $c_p$  has no neighbors in  $G - G_k$

$$x(z_0) = x(c_p)$$

$$y(z_0) = y(c_q) + x(c_q) - x(c_p) - |v_k| + 1$$

otherwise

$$x(z_0) = x(c_p) + 1$$

$$y(z_0) = y(c_q) + x(c_q) - x(c_p) - |v_k|$$

If  $|v_k| = 2$  define  $z_1$  also:

$$x(z_1) = x(z_0) + 1$$

$$y(z_1) = y(z_0)$$

Upto this step the algorithm is just a restriction of the one in [3] and it guarantees the convex drawing of the faces. Then, in order to guarantee strict-convexity, we note the following degenerate cases, see Fig. 3:

•*Degeneracies:* We check for the following:

If only one vertex,  $z_0$ , is mapped to  $v_k$

( $d_1$ ) If  $x(z_0) = x(c_{p+1}) = x(c_{p+2})$

Shift each vertex  $g_i \in \bigcup_{i=p+1}^r \mathcal{U}(c_i)$  to the right by one.

Perform the location calculation for  $z_0$  again.

( $d_2$ ) If  $k < m$  and  $z_0, c_q, c_{q+1}$  are aligned and  $c_q$  has no neighbors in  $G - G_k$

Shift each vertex  $g_i \in \bigcup_{i=q+1}^r \mathcal{U}(c_i)$  to the right by one.

If two vertices,  $z_0$  and  $z_1$  are mapped to  $v_k$

( $d_3$ ) If  $y(z_0) = y(z_1) = y(c_p)$

Shift each vertex  $g_i \in \bigcup_{i=q}^r \mathcal{U}(c_i)$  to the right by one.

Perform the location calculation for  $z_0$  and  $z_1$  again.

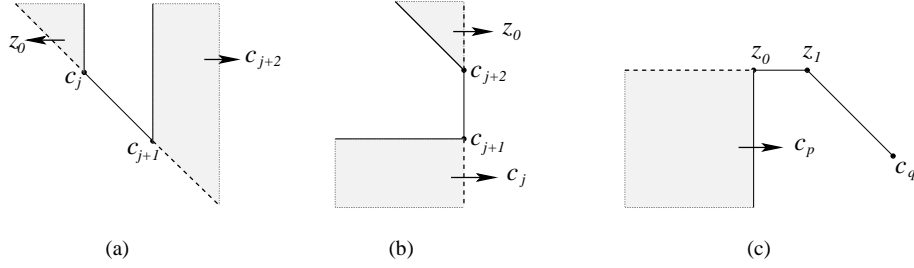
( $d_4$ ) If  $k < m$  and  $z_1, c_q, c_{q+1}$  are aligned and  $c_q$  has no neighbors in  $G - G_k$

Shift each vertex  $g_i \in \bigcup_{i=q+1}^r \mathcal{U}(c_i)$  to the right by one.

## 2.4 Proof of Correctness

**Lemma 2.** Let  $C_k = (u = c_1, c_2, \dots, c_r = v)$  be the exterior face of  $G_k$  after the  $k^{th}$  placement step. Let  $\alpha(c_j, c_{j+1})$  denote the angle of the vector  $\mathbf{c}_j \mathbf{c}_{j+1}$ , for  $1 \leq j \leq r - 1$ . The following holds for  $2 \leq k \leq m - 1$ :

1.  $\alpha(c_j, c_{j+1})$  lies in  $[-45^\circ, \arctan -1/2] \cup \{0\} \cup [45^\circ, 90^\circ]$ . It can not lie in  $(-45^\circ, \arctan -1/2]$  if  $c_j$  has a neighbor in  $G - G_k$ .



**Fig. 4.** The vertices pointed to by the arrows must lie in the indicated area. The dashed lines are to indicate open boundaries that are not included in the area.

2. If  $c_j \in C_k, c_j \notin \{c_1, c_r\}$  s.t.  $c_j$  does not have a neighbor in  $G - G_k$ , then:
  - (a) If  $Low(c_{j-1}, c_j) = j - 1$  then  $\alpha(c_j, c_{j+1}) = 90^\circ$  otherwise  $\alpha(c_{j-1}, c_j) = -45^\circ$ .
  - (b) If  $\alpha(c_j, c_{j+1}) = 90^\circ$  then  $\alpha(c_{j-1}, c_j) \neq 90^\circ$ .
  - (c) If  $\alpha(c_j, c_{j+1}) = -45^\circ$  then  $\alpha(c_{j-1}, c_j) \neq -45^\circ$ .

We provide the proof of the above lemma in the Appendix.

*Preserving Planarity* Let only one vertex,  $z_0$ , be mapped to  $v_k$ . If  $(z_0, c_j)$  is an edge in  $G_k$  for some  $c_j \in C_{k-1}$ , then the placement algorithm and the previous lemma guarantees that  $-90 < \alpha(z_0, c_j) < -45$ , for  $j \neq p, j \neq q$ . Then no crossing is created between a new edge  $(z_0, c_j)$  and the edges of  $C_{k-1}$ . Because such a crossing would imply that there exists  $j' < j$  s.t.  $c_{j'} \in C_k$  and  $\alpha(c_{j'}, c_j) < -45$ . But this is impossible by the first part of the above lemma. The same idea applies to the case where  $|v_k| = 2$ . Then the following corollary holds:

**Corollary 1.** *Insertion of the vertex(vertices) mapped to  $v_k$ , at the  $k^{th}$  placement step, where  $2 \leq k \leq m$  preserves planarity.*

*Strictly Convex Faces* Let  $|v_k| = 1$  and  $z_0$  be the vertex mapped to  $v_k$ . Let  $\mathcal{F}_j = (c_j, c_{j+1}, c_{j+2}, z_0)$  be a quadrilateral face created after the insertion of  $z_0$ . If  $Low(c_j, c_{j+1}) = j + 1$ , then by the previous lemma  $\alpha(c_j, c_{j+1}) = -45^\circ$ . Fig. 4(a) shows the area where  $z_0$  and  $c_{j+2}$  must lie. If  $Low(c_j, c_{j+1}) = j$ , then  $\alpha(c_{j+1}, c_{j+2}) = 90^\circ$ . Fig. 4(b) shows the area where  $z_0$  and  $c_{j+2}$  must lie in this case. Both cases imply that  $\mathcal{F}_j = (c_j, c_{j+1}, c_{j+2}, z_0)$  is strictly convex.

If  $|v_k| = 2$  and  $z_0, z_1$  are mapped to  $v_k$ , the placement algorithm requires that  $c_p$  must lie in the area shown in Fig. 4(c), which implies that the newly created face is strictly convex. The following corollary holds:

**Corollary 2.** *The newly created faces after the insertion of the vertex(vertices) mapped to  $v_k$ , at the  $k^{th}$  placement step, where  $2 \leq k \leq m$ , are strictly convex.*

*Shifting Preserves Planarity and Strictly Convex Faces* The above discussion shows that after the insertion of the vertex(vertices) at the  $k^{th}$  placement step,



no new edge crossing is created and all the newly added faces are strictly convex. In order to complete the proof of correctness we only need to prove that the same holds for shifting also:

**Lemma 3.** *Let  $C_k = (u = c_1, c_2, \dots, c_r = v)$  be the exterior face of  $G_k$  after the  $k^{\text{th}}$  placement step, where  $2 \leq k < m$ . For any given  $j$ , where  $1 \leq j \leq r$ , shifting the vertices in  $\bigcup_{i=j}^r \mathcal{U}(c_i)$ , to the right by  $s$  units preserves the planarity and the strictly convex faces of  $G_k$ .*

*Proof Sketch:* The claim holds trivially for  $k = 2$ . Assume it holds for  $k' = k - 1$ , where  $2 \leq k' < m - 1$ . We assume  $|v_k| = 1$ . The case where  $|v_k| = 2$  is similar. Let  $z_0$  be the vertex mapped to  $v_k$  and  $c_p, c_q \in C_{k-1}$ , respectively be the first and the last neighbor of  $z_0$  in  $G_{k-1}$ .

If  $j \leq p$  then by the inductive assumption the planarity of  $G_{k-1}$  and the strictly convex faces of  $G_{k-1}$  are preserved. The faces introduced by  $z_0$  shifts rigidly to the right, which, by the previous corollaries, implies that  $G_k$  is planar and all its faces are strictly convex.

If  $j > q$ , then by the inductive assumption the planarity of  $G_{k-1}$  and the strictly convex faces are preserved. Since neither  $z_0$  nor any of its neighbors in  $G_{k-1}$  are shifted the lemma follows.

If shifting the newly inserted vertex  $z_0$ , we inductively apply the shifting to  $j' = \text{Low}(c_p, c_{p+1}) + 1$  in  $G_{k-1}$ . By the inductive assumption the planarity and strictly convex faces are preserved for  $G_{k-1}$ . Since we applied a shifting starting with  $j'$  then, all the faces except the first one are shifted rigidly to the right, which implies that those faces are strictly convex. Then the only problem could arise with the leftmost face. If  $\text{Low}(c_p, c_{p+1}) = p$ , then  $c_{p+1}, c_{p+2}$  and  $z_0$  are all shifted to the right by the same amount. Since initially the face  $(c_p, c_{p+1}, c_{p+2}, z_0)$  was strictly convex, it continues to be so after shifting those three vertices also. In the case where  $\text{Low}(c_p, c_{p+1}) = p + 1$ , the only shifted vertices are  $z_0$  and  $c_{p+2}$ . Again shifting those two vertices does not change the property that the face is convex.

If  $j = q$ , the situation is very similar to the previous case, except now the only deformed face is the rightmost face, instead of the leftmost one. The same idea applies to this case also, i.e., given that initially the face is strictly convex, it remains to be so after shifting  $\square$

## 2.5 Grid Size

**Lemma 4.** *The placement algorithm requires a grid of size at most  $(2n - 4) \times (2n - 4)$ .*

*Proof Sketch:* If no degeneracies are created then the exact grid size required is  $(n - 1) \times (n - 1)$ . We show that each degenerate case can be associated with a newly added quadrilateral face of  $G$ .

Degenerate case of type  $d_1$  is associated with the face  $(c_p, c_{p+1}, c_{p+2}, z_0)$ . Degenerate case of type  $d_2$  at some step  $k$  of the algorithm, is associated with a face  $(z_0, c_q, c_{q+1}, g_i)$ , where  $g_i$  is a vertex that will be added at some step

$k' > k$  of the algorithm. We know that such a face exists, since  $k < m$ ,  $c_q$  has no neighbors in  $G - G_k$  and each face under consideration is a quadrilateral. Similar argument holds for degenerate case of type  $d_4$ . Finally degenerate case of type  $d_3$  is associated with the face  $(c_p, c_q, z_1, z_0)$ . Fig. 3 shows all four types of degeneracies that can occur. Note that each quadrilateral face is associated with at most one degeneracy.

Since an  $FQ$  graph  $G$  with  $n$  vertices has  $n - 3$  inside faces, the placement algorithm requires grid size of at most  $(2n - 4) \times (2n - 4)$ .  $\square$

*Final Shifting* Let  $(u, v, w, w')$  be the outer face of  $G$ . The placement algorithm and Lemma-2 imply that the outer face is the isosceles right triangle  $\triangle uvw'$  and that  $w$  lies on the line segment  $(v, w')$ . We need to do one final right shift to guarantee that the outer face  $(u, v, w, w')$  lies on a strictly concave quadrilateral. For this we just shift  $v$  to the right by one. As a result we can draw the edge  $(v, w')$  as a straight-line segment. In order to draw the edge  $(u, w)$ , we place a bend point at  $(x(w') - 1, y(w') + 2)$ , where  $x(w')$  and  $y(w')$ , respectively denote the  $x$  and  $y$  coordinates of the vertex  $w'$ . We connect the bend point with  $u$  and  $w$ . Then the total area required is  $(2n - 2) \times (2n - 2)$  and Theorem-1 follows.

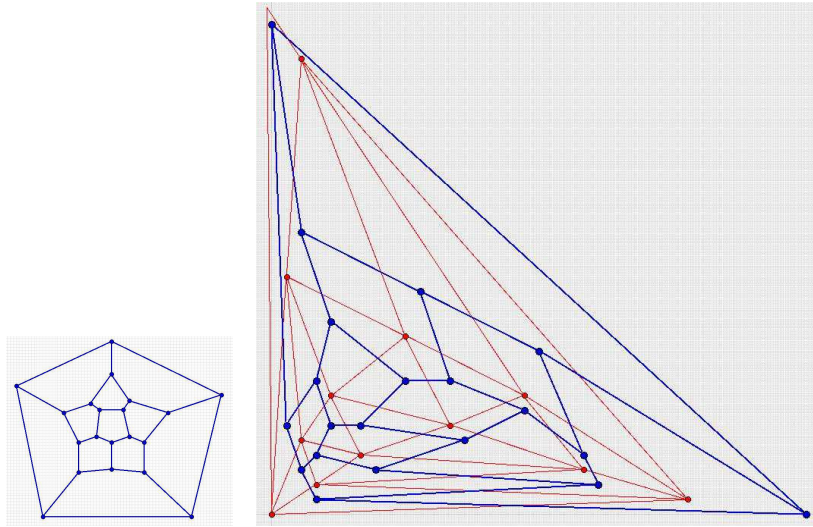
### 3 Implementation

We have implemented our algorithm to visualize 3-connected planar graphs and their duals. Finding a suitable canonical labeling takes linear time [8]. We make use of the technique introduced by [4] to do the placement step. It is based on the fact that storing relative x-coordinates of the previously embedded vertices is sufficient at every step. Then the placement step also requires only linear time. Overall, the algorithm runs in linear time. Fig. 5 shows the primal/dual drawing we get for the dodecahedral graph and Fig. 6 shows the primal/dual drawing of an arbitrary 3-connected planar graph.

### 4 Conclusion and Open Problems

We have shown how to embed a planar graph and its dual on a small grid so that the embedding satisfies certain criteria. In particular, the dual vertices should be placed inside their primal faces and the dual edges should cross only their primal edges. We have provided a linear-time algorithm that finds a straight-line planar embedding of a 3-connected planar graph and its dual on a  $(2n - 2) \times (2n - 2)$  grid such that the embedding satisfies the requirements.

The following open problems arise from this work. Is there a larger class of planar graphs that allows for primal-dual embedding on a small grid, so that the drawing requirements can be met? For what class of planar graphs can we guarantee stronger results, such as perpendicular planar-dual crossing, i.e., one in which the dual edges cross the primal edges at right angles. Finally, how can we generalize the idea of simultaneous embedding of graphs not only for planar-dual pairs, but to any given two planar graphs, so that the resulting embedding

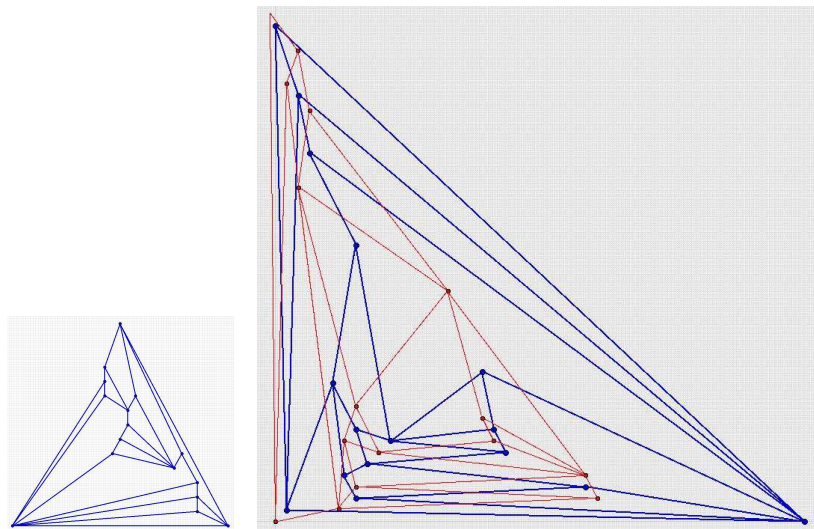


**Fig. 5.** Dodecahedral graph and its dual representation. The blue vertices(edges) in the primal/dual representation correspond to vertices(edges) of the primal graph, and the red ones correspond to the ones of the dual.

of the graphs provides a nice representation and enhances the visual comparison between the two?

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**Fig. 6.** An arbitrary 3-connected planar graph with 16 vertices and its dual representation.

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## Appendix

**Lemma 3.** *Let  $C_k = (u = c_1, c_2, \dots, c_r = v)$  be the exterior face of  $G_k$  after the  $k^{\text{th}}$  placement step. Let  $\alpha(c_j, c_{j+1})$  denote the angle of the vector  $\mathbf{c}_j \mathbf{c}_{j+1}$ , for  $1 \leq j \leq r - 1$ . The following holds for  $2 \leq k \leq m - 1$ :*

1.  $\alpha(c_j, c_{j+1})$  lies in  $[-45^\circ, \arctan -1/2] \cup \{0\} \cup [45^\circ, 90^\circ]$ . It can not lie in  $(-45^\circ, \arctan -1/2]$  if  $c_j$  has a neighbor in  $G - G_k$ .
2. If  $c_j \in C_k, c_j \notin \{c_1, c_r\}$  s.t.  $c_j$  does not have a neighbor in  $G - G_k$ , then:
  - (a) If  $\text{Low}(c_{j-1}, c_j) = j - 1$  then  $\alpha(c_j, c_{j+1}) = 90^\circ$  otherwise  $\alpha(c_{j-1}, c_j) = -45^\circ$ .
  - (b) If  $\alpha(c_j, c_{j+1}) = 90^\circ$  then  $\alpha(c_{j-1}, c_j) \neq 90^\circ$ .
  - (c) If  $\alpha(c_j, c_{j+1}) = -45^\circ$  then  $\alpha(c_{j-1}, c_j) \neq -45^\circ$ .

*Proof:*

*Part-1.* We prove (1) by induction on  $k$ . For  $k = 2$ , the lemma holds by the placement of  $u = c_1, w = c_2$  and  $v = c_3$ . Assume (1) holds for  $k' = k - 1$  where  $2 \leq k' < m - 1$ . Let  $c_p, c_q \in C_{k-1}$ , respectively be the first and the last neighbor of the vertex(vertices) mapped to  $v_k$ . If  $|v_k| = 1$  and  $z_0$  is the vertex mapped to  $v_k$ , the newly added edges on  $C_k$ , are  $(c_p, z_0)$  and  $(z_0, c_q)$ . The lemma holds for these edges by the placement algorithm. It holds for the rest of the edges of  $C_k$ , except for  $(c_q, c_{q+1})$ , by induction. For  $(c_q, c_{q+1})$ , if  $z_0, c_q$  and  $c_{q+1}$  are aligned and  $c_q$  does not have a neighbor in  $G - G_k$ , the placement algorithm guarantees that  $\alpha(c_q, c_{q+1})$  lies in  $(-45^\circ, \arctan -1/2]$ , otherwise it holds by induction. For  $|v_k| = 2$ , (1) holds trivially by the placement algorithm, for the new edges and by induction for the rest.

*Part-2.* The proof of (2) is similarly by induction on  $k$ . For  $k = 2$ , each of  $u = c_1, w = c_2$  and  $v = c_3$  have neighbors in  $G - G_k$  and the lemma holds trivially. Assume (2) holds for  $k' = k - 1$  where  $2 \leq k' < m - 1$  and let  $c_p, c_q \in C_{k-1}$  be defined as before.

We assume  $|v_k| = 1$ . The case where  $|v_k| = 2$  is similar. Let  $z_0$  be the vertex mapped to  $v_k$ . We need to prove that (2) holds for any  $c_j \in C_k = (c_1, \dots, c_p, z_0, c_q, \dots, c_r)$ . Since by the definition of canonical ordering,  $z_0$  has an edge in  $G - G_k$ , (2) holds for  $c_j = z_0$ . It holds for  $c_j \in \{c_1, \dots, c_{p-1}\}$  by induction, since we do not make any changes in the locations of the vertices in  $\{c_1, \dots, c_p\}$  after inserting  $z_0$ . It also holds for  $c_j \in \{c_{q+2}, \dots, c_r\}$  by induction, since those vertices are shifted by the same amount to the right after inserting  $z_0$ . Then, we just need to prove that it holds for any  $c_j \in \{c_p, c_q, c_{q+1}\}$ . We prove the cases where  $c_j$  does not have an edge in  $G - G_k$ , since otherwise the lemma holds trivially.

For  $c_j = c_p$ , we can safely assume that  $c_{p-1}$  has at least one neighbor in  $G - G_k$ . This is true, since otherwise both  $c_p$  and  $c_{p-1}$  have no neighbors in  $G - G_k$ . Because of the fact that  $G$  is fully quadrilateralated this implies that  $k = m$ , which contradicts the initial assumption about  $k$ . Now if  $\text{Low}(c_{p-1}, c_p) = p - 1$  then (2a) holds trivially by the placement algorithm. If  $\text{Low}(c_{p-1}, c_p) = p$ , then by the first part of the lemma  $\alpha(c_{p-1}, c_p)$  lies in  $\{-45^\circ, 0\} \cup [45^\circ, 90^\circ]$ . The

placement algorithm guarantees that if  $Low(c_{p-1}, c_p) = p$ , then  $y(c_{p-1}) > y(c_p)$ . This implies  $\alpha(c_{p-1}, c_p) = -45$ . The proof of (2b) is by contradiction. Assume  $\alpha(c_p, z_0) = 90^\circ$  and  $\alpha(c_{p-1}, c_p) = 90^\circ$  which, by the placement algorithm, implies that  $c_{p-1}$  doesn't have any neighbors in  $G - G_k$ . Since both  $c_p$  and  $c_{p-1}$  don't have any edges in  $G - G_k$ ,  $k = m$ , which is a contradiction. (2c) holds by the placement algorithm for  $c_j = c_p$ , since  $\alpha(c_p, z_0) = 90^\circ$ .

For  $c_j = c_q$ , (2a), (2b) and (2c) hold by the placement algorithm.

For  $c_j = c_{q+1}$ , if  $c_q$  and  $c_{q+1}$  are not aligned with  $z_0$  during the initial placement, or  $c_q$  has a neighbor in  $G - G_k$ , then  $c_q$  and  $c_{q+1}$  are shifted the same amount to the right. Then in this case, the lemma holds by induction. Note that we are assuming that  $c_{q+1}$  doesn't have a neighbor in  $G - G_k$ . Now assume that after the initial placement all three are aligned and  $c_q$  doesn't have a neighbor in  $G - G_k$  either. Since  $G$  is  $FQ$ , this implies  $k = m$ , which contradicts the initial assumption about  $k$ .  $\square$