Lecture Notes in Computer Science

2688

Edited by G. Goos, J. Hartmanis, and J. van Leeuwen

Springer Berlin

Berlin Heidelberg New York Barcelona Hong Kong London Milan Paris Tokyo

Audio- and Video-Based Biometric Person Authentication

4th International Conference, AVBPA 2003 Guildford, UK, June 9-11, 2003 Proceedings



Series Editors

Gerhard Goos, Karlsruhe University, Germany Juris Hartmanis, Cornell University, NY, USA Jan van Leeuwen, Utrecht University, The Netherlands

Volume Editors

Josef Kittler
University of Surrey
Center for Vision, Speech and Signal Proc.
Guildford, Surrey GU2 7XH, UK
E-mail: j.kittler@eim.surrey.ac.uk
Mark S. Nixon
University of Southampton
Department of Electronics and Computer Science
Southampton, SO17 1BJ, UK
E-mail: msn@ecs.soton.ac.uk

Cataloging-in-Publication Data applied for

A catalog record for this book is available from the Library of Congress

Bibliographic information published by Die Deutsche Bibliothek Die Deutsche Bibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data is available in the Internet at http://dnb.ddb.de>.

CR Subject Classification (1998): I.5, I.4, I.3, K.6.5, K.4.4, C.2.0

ISSN 0302-9743 ISBN 3-540-40302-7 Springer-Verlag Berlin Heidelberg New York

This work is subject to copyright. All rights are reserved, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, re-use of illustrations, recitation, broadcasting, reproduction on microfilms or in any other way, and storage in data banks. Duplication of this publication or parts thereof is permitted only under the provisions of the German Copyright Law of September 9, 1965, in its current version, and permission for use must always be obtained from Springer-Verlag. Violations are liable for prosecution under the German Copyright Law.

Springer-Verlag Berlin Heidelberg New York a member of BertelsmannSpringer Science+Business Media GmbH

http://www.springer.de

© Springer-Verlag Berlin Heidelberg 2003 Printed in Germany

Typesetting: Camera-ready by author, data conversion by DA-TeX Gerd Blumenstein Printed on acid-free paper SPIN 10927847 06/3142 5 4 3 2 1 0

Preface

This book collects the research work presented at the 4th International Conference on Audio- and Video-Based Biometric Person Authentication that took place at the University of Surrey, Guildford, UK, in June 2003. We were pleased to see a surge of interest in AVBPA. We received many more submissions than before and this reflects not just the good work put in by previous organizers and participants, but also the increasing world-wide interest in biometrics. With grateful thanks to our program committee, we had a fine program indeed.

The papers concentrate on major established biometrics such as face and speech, and we continue to see the emergence of gait as a new research focus, together with other innovative approaches including writer and palmprint identification. The face-recognition papers show advances not only in recognition techniques, but also in application capabilites and covariate analysis (now with the inclusion of time as a recognition factor), and even in synthesis to evaluate wider recognition capability. Fingerprint analysis now includes study of the effects of compression, and new ways for compression, together with refined study of holistic vs. minutiae and feature set selection, areas of interest to the biometrics community as a whole. The gait presentations focus on new approaches for temporal recognition together with analysis of performance capability and new approaches to improve generalization in performance.

The speech papers reflect the wide range of possible applications together with new uses of visual information. Interest in data fusion continues to increase. But it is not just the more established areas that were of interest at AVBPA 2003. As ever in this innovative technology, there are always new ways to recognize people, as reflected in papers on on-line writer identification and palm print analysis. Iris recognition is also represented, as are face and person extraction in video.

The growing industry in biometrics was reflected in presentations with a specific commercial interest: there are papers on smart cards, wireless devices, architectures, and implementation factors, all of considerable consequence in the deployment of biometric systems. A competition for the best face-authentication (verification) algorithms took place in conjunction with the conference, and the results are reported here.

The papers are complemented by invited presentations by Takeo Kanade (Carnegie Mellon University), Jerry Friedman (Stanford University), and Frederic Bimbot (INRIA). All in all, AVBPA continues to offer a snapshot of research in this area from leading institutions around the world. If these papers and this conference inspire new research in this fascinating area, then this conference can be deemed to be truly a success.

Organization

AVBPA 2003 was organized by

- the Centre for Vision, Speech and Signal Processing, University of Surrey, UK, and
- TC-14 of IAPR (International Association for Pattern Recognition).

Executive Committee

University of Surrey and

University of Southampton, UK

Local Organization Rachel Gartshore, University of Surrey

Program Committee

Samy Bengio (Switzerland)

Josef Bigun (Sweden)

Frederic Bimbot (France)

Mats Blomberg (Sweden)

Horst Bunke (Switzerland)

Hyeran Byun (South Korea)

Rama Chellappa (USA)

Gerard Chollet (France)

Timothy Cootes (UK)

Larry Davis (USA)

Farzin Deravi (UK)

Sadaoki Furui (Japan)

M. Dolores Garcia-Plaza (Spain)

Dominique Genoud (Switzerland)

Shaogang Gong (UK)

Steve Gunn (UK)

Bernd Heisele (USA)

Anil Jain (USA)

Kenneth Jonsson (Sweden)

Seong-Whan Lee (South Korea)

Stan Li (China)

John Mason (UK)

Jiri Matas (Czech Republic)

Bruce Millar (Australia)

Larry O'Gorman (USA)

Sharath Pankanti (USA)

P. Jonathon Phillips (USA)
Salil Prabhakar (USA)
Nalini Ratha (USA)
Marek Rejman-Greene (UK)
Gael Richard (France)
Massimo Tistarelli (Italy)
Patrick Verlinde (Belgium)
Juan Villanueva (Spain)
Harry Wechsher (USA)
Pong Yuen (Hong Kong)

Sponsoring Organizations

University of Surrey International Association for Pattern Recognition (IAPR) Institution of Electrical Engineers British Machine Vision Association Department of Trade and Industry (DTI) Springer-Verlag GmbH











Table of Contents

Face I

- 400 - 2
Robust Face Recognition in the Presence of Clutter
An Image Preprocessing Algorithm for Illumination Invariant Face Recognition
Quad Phase Minimum Average Correlation Energy Filters for Reduced Memory Illumination Tolerant Face Authentication
Component-Based Face Recognition with 3D Morphable Models
Face II
A Comparative Study of Automatic Face Verification Algorithms on the BANCA Database
Assessment of Time Dependency in Face Recognition: An Initial Study
Constraint Shape Model Using Edge Constraint and Gabor Wavelet Based Search
Expression-Invariant 3D Face Recognition
Speech
Automatic Estimation of a Priori Speaker Dependent Thresholds in Speaker Verification
A Bayesian Network Approach for Combining Pitch and Reliable Spectral Envelope Features for Robust Speaker Verification 78 Mijail Arcienega and Andrzej Drygajlo

X Table of Contents

Cluster-Dependent Feature Transformation for Telephone-Based Speaker Verification
Searching through a Speech Memory for Text-Independent Speaker Verification
Poster Session I
LUT-Based Adaboost for Gender Classification
Independent Component Analysis and Support Vector Machine for Face Feature Extraction
Real-Time Emotion Recognition Using Biologically Inspired Models 119 $Keith\ Anderson\ and\ Peter\ W.\ McOwan$
A Dual-Factor Authentication System Featuring Speaker Verification and Token Technology
Wavelet-Based 2-Parameter Regularized Discriminant Analysis for Face Recognition
Face Tracking and Recognition from Stereo Sequence
Face Recognition System Using Accurate and Rapid Estimation of Facial Position and Scale
Fingerprint Enhancement Using Oriented Diffusion Filter
Visual Analysis of the Use of Mixture Covariance Matrices in Face Recognition
A Face Recognition System Based on Local Feature Analysis
Face Detection Using an SVM Trained in Eigenfaces Space
Face Detection and Facial Component Extraction by Wavelet Decomposition and Support Vector Machines

U-NORM Likelihood Normalization in PIN-Based Speaker Verification Systems
Facing Position Variability in Minutiae-Based Fingerprint Verification through Multiple References and Score Normalization Techniques
Iris-Based Personal Authentication Using a Normalized Directional Energy Feature
An HMM On-line Signature Verification Algorithm
Automatic Pedestrian Detection and Tracking for Real-Time Video Surveillance
Visual Features Extracting & Selecting for Lipreading
An Evaluation of Visual Speech Features for the Tasks of Speech and Speaker Recognition
Feature Extraction Using a Chaincoded Contour Representation of Fingerprint Images
Hypotheses-Driven Affine Invariant Localization of Faces in Verification Systems
Shape Based People Detection for Visual Surveillance Systems
Real-Time Implementation of Face Recognition Algorithms on DSP Chip
Robust Face-Tracking Using Skin Color and Facial Shape
Fingerprint
Fusion of Statistical and Structural Fingerprint Classifiers

XII Table of Contents

Learning Features for Fingerprint Classification
Fingerprint Matching with Registration Pattern Inspection $\dots \dots 327$ Hong Chen, Jie Tian, and Xin Yang
Biometric Template Selection: A Case Study in Fingerprints
Orientation Scanning to Improve Lossless Compression of Fingerprint Images
Image, Video Processing, Tracking
A Nonparametric Approach to Face Detection Using Ranklets
Refining Face Tracking with Integral Projections
Glasses Removal from Facial Image Using Recursive PCA Reconstruction
Synthesis of High-Resolution Facial Image Based on Top-Down Learning
A Comparative Performance Analysis of JPEG 2000 vs. WSQ for Fingerprint Image Compression
General
New Shielding Functions to Enhance Privacy and Prevent Misuse of Biometric Templates
The NIST HumanID Evaluation Framework
Synthetic Eyes

Poster Session II

Maximum-Likelihood Deformation Analysis of Different-Sized Fingerprints
Dental Biometrics: Human Identification Using Dental Radiographs 429 Anil K. Jain, Hong Chen, and Silviu Minut
Effect of Window Size and Shift Period in Mel-Warped Cepstral Feature Extraction on GMM-Based Speaker Verification
Discriminative Face Recognition
Cross-Channel Histogram Equalisation for Colour Face Recognition 454 Stephen King, Gui Yun Tian, David Taylor, and Steve Ward
Open World Face Recognition with Credibility and Confidence Measures
Enhanced VQ-Based Algorithms for Speech Independent Speaker Identification
Fingerprint Fusion Based on Minutiae and Ridge for Enrollment
Face Hallucination and Recognition
Robust Features for Frontal Face Authentication in Difficult Image Conditions
Facial Recognition in Video
Face Authentication Based on Multiple Profiles Extracted from Range Data
Eliminating Variation of Face Images Using Face Symmetry
Combining SVM Classifiers for Multiclass Problem: Its Application to Face Recognition
A Bayesian MCMC On-line Signature Verification

Illumination Normalization Using Logarithm Transforms for Face Authentication
Performance Evaluation of Face Recognition Algorithms on the Asian Face Database, KFDB
Automatic Gait Recognition via Fourier Descriptors of Deformable Objects
A Study on Performance Evaluation of Fingerprint Sensors
An Improved Fingerprint Indexing Algorithm Based on the Triplet Approach
A Supervised Approach in Background Modelling for Visual Surveillance
Human Recognition on Combining Kinematic and Stationary Features $\dots.600$ Bir Bhanu and Ju Han
Architecture for Synchronous Multiparty Authentication Using Biometrics
Boosting a Haar-Like Feature Set for Face Verification
The BANCA Database and Evaluation Protocol
A Speaker Pruning Algorithm for Real-Time Speaker Identification 639 Tomi Kinnunen, Evgeny Karpov, and Pasi Fränti
"Poor Man" Vote with M-ary Classifiers. Application to Iris Recognition
Handwriting, Signature, Palm
Complete Signal Modeling and Score Normalization for Function-Based Dynamic Signature Verification

Personal Verification Using Palmprint and Hand Geometry Biometric 668 Ajay Kumar, David C.M. Wong, Helen C. Shen, and Anil K. Jain
A Set of Novel Features for Writer Identification
Combining Fingerprint and Hand-Geometry Verification Decisions
Iris Verification Using Correlation Filters
Gait
Gait Analysis for Human Identification
Performance Analysis of Time-Distance Gait Parameters under Different Speeds
Novel Temporal Views of Moving Objects for Gait Biometrics
Gait Shape Estimation for Identification
Fusion
Audio-Visual Speaker Identification Based on the Use of Dynamic Audio and Visual Features
Scalability Analysis of Audio-Visual Person Identity Verification
A Bayesian Approach to Audio-Visual Speaker Identification
Multimodal Authentication Using Asynchronous HMMs
Theoretic Evidence k-Nearest Neighbourhood Classifiers in a Bimodal Biometric Verification System

Poster Session III

Combined Face Detection/Recognition System for Smart Rooms
Capabilities of Biometrics for Authentication in Wireless Devices 796 Pauli Tikkanen, Seppo Puolitaival, and Ilkka Känsälä
Combining Face and Iris Biometrics for Identity Verification
Experimental Results on Fusion of Multiple Fingerprint Matchers814 Gian Luca Marcialis and Fabio Roli
Predicting Large Population Data Cumulative Match Characteristic Performance from Small Population Data
A Comparative Evaluation of Fusion Strategies for Multimodal Biometric Verification
Iris Feature Extraction Using Independent Component Analysis
BIOMET: A Multimodal Person Authentication Database Including Face, Voice, Fingerprint, Hand and Signature Modalities
Fingerprint Alignment Using Similarity Histogram
A Novel Method to Extract Features for Iris Recognition System862 Seung-In Noh, Kwanghyuk Bae, Yeunggyu Park, and Jaihie Kim
Resampling for Face Recognition
Toward Person Authentication with Point Light Display Using Neural Network Ensembles
Fingerprint Verification Using Correlation Filters
On the Correlation of Image Size to System Accuracy in Automatic Fingerprint Identification Systems

A JC-BioAPI Compliant Smart Card with Biometrics for Secure Access Control
Comparison of MLP and GMM Classifiers for Face Verification on XM2VTS
Fast Frontal-View Face Detection Using a Multi-path Decision Tree $\dots\dots.921$ $Bernhard$ Fröba and Andreas $Ernst$
Improved Audio-Visual Speaker Recognition via the Use of a Hybrid Combination Strategy
Face Recognition Vendor Test 2002 Performance Metrics
Posed Face Image Synthesis Using Nonlinear Manifold Learning
Pose for Fusing Infrared and Visible-Spectrum Imagery
AVBPA2003 Face Authentication Contest
Face Verification Competition on the XM2VTS Database
Author Index