## TITLE:

APPROXIMATION ALGORITHMS FOR MAXIMUM TWO-DIMENSIONAL PATTERN MATCHING

# Approximation Algorithms for Maximum Two-dimensional Pattern Matching 

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#### Abstract

We introduce the following optimization version of the classical pattern matching problem (referred to as the maximum pattern matching problem). Given a two-dimensional rectangular text and a 2dimensional rectangular pattern find the maximum number of non-overlapping occurrences of the the pattern in the text. Unlike the classical 2-dimensional pattern matching problem, the maximum 2-dimensional pattern matching problem is NP-complete. We devise polynomial time approximation algorithms and approximation schemes for this problem. We also briefly discuss how the approximation algorithms can be extended to include a number of other variants of the problem.


## 1 Introduction

Given a pattern string $P A T$ and a text $T$ over a finite alphabet $\Sigma$, the classical pattern matching problem is to find all occurrences of $P A T$ in $T$. In the recent years there has been growing interest in finding efficient algorithms for multi-dimensional pattern matching problems (see [2, 22, 10, 1, 5, 25] and the references therein.). Consider the following optimization variant of the classical pattern matching problem: Given a text $T$ and a pattern $P A T$ over a finite alphabet $\Sigma$, find the maximum number of non-overlapping occurrences of the pattern PAT in $T$. We call this problem the maximum pattern matching problem and use $M P M_{d}$ to denote the maximum $d$-dimensional pattern matching problem. Maximum pattern matching problem arises naturally in the areas of automated digital image processing. For example, researchers at the

[^0]Los Alamos National Laboratory are currently developing CANDID, the Comparison Algorithm for Navigating Digital Image Databases, which facilitates a query-by-example approach to image retrieval [8, 23, 24]. A user poses queries such as, "Show me all or the the maximum number of non-overlapping images in the database that contain textures similar to those in this example image". Such queries are useful in a variety of settings such as analysis of the images sent by remote sensing satellites and medical diagnostics (See [8, 23, 24] and the references therein). For other applications of two-dimensional matching and a general survey, we refer the reader to [15, 22].

## 2 Summary of Results

Here, for the first time in the literature, we study the problem $M P M_{d}$ and several of its variants. In the one dimensional case (i.e., the problem $M P M_{1}$ ), the maximum solution can be easily found by successively taking the leftmost nonoverlapping (with those already selected) location, if all possible locations are precomputed. In the case of tree matching the intersection graph corresponding to the set of matching locations is chordal [16]. Therefore, the maximum number can be found in time linear in the size of the graph and the size of the text, by combining the results in [17] and [30]. For $d \geq 2, M P M_{d}$ becomes harder to solve [9]. Specifically, we observe that a known NP-completeness result on planar geometric packing [14] implies the NP-completeness of the problem of maximum two-dimensional pattern matching ( $M P M_{2}$ ). In Section 4 , we give a simple and efficient approximation algorithm with performance guarantee of 2 for the problem $M P M_{2}$. If the set of the so-called periods of the pattern is appropriately restricted, our simple approach yields maximum solutions. In Section 5, we present our first involved approximation algorithm for $M P M_{2}$, based on good separation properties of the intersection graph of the pattern locations. Our proof of these properties might be of independent interest. The separatorbased approximation algorithm yields a solution of relative error $O(1 / \sqrt{\log \log n})$ for constant size patterns, and runs in $O(n \log n)$ time, on an input of size $n$. In Section 6, we present our second approximation algorithm for $M P M_{2}$ based on the shifting strategy introduced by Baker [4] and by Hochbaum and Maass [20; 21]. Specifically, when patterns are of fixed size, we obtain NC-approximation schemes for $M P M_{2}$. In the last Section we briefly describe various extensions of our results for $M P M_{2}$.

## 3 Preliminaries

Following [1], the two-dimensional exact pattern matching is defined as follows. Input: A text matrix $T[1, \ldots, n]\left[1, \ldots, n^{\prime}\right]$, and a pattern matrix $P A T[1, \ldots, m]$ $\left[1, \ldots, m^{\prime}\right]$ over a finite alphabet $\Sigma$.
Output: The set $L$ of all location $[i, j]$ in $T$ such that $T[i+k-1, j+l-1]=$ $P A T[k, l], 1 \leq k \leq m$ and $1 \leq l \leq m^{\prime}$.

For two-dimensional pattern matching, since there are known linear-time algorithms that find all possible locations of $P A T$ in $T[2,3,6]$, we assume that the set $L$ of all such locations is known. Following standard convention, the size of a pattern $P A T$ is the number of characters in it. Thus the size of a $m \times m^{\prime}$ pattern is $O\left(m m^{\prime}\right)$ and the size of the $n \times n^{\prime}$ text is $O\left(n n^{\prime}\right)$. Finally, we assume a RAM model of computation with uniform cost criterion.

We shall adhere to a standard notation for undirected graphs [19]. An independent set in a graph $G=(V, E)$ is a subset $S$ of vertices such that no two vertices of $S$ are adjacent in $G . S$ is maximal if every vertex in $V-S$ is adjacent to some vertex in $S . S$ is a maximum independent set if it has the maximum size among all independent sets of $G$. An $\alpha$-approximate independent set is an independent set of size at least $(1 / \alpha)$ times the maximum independent size. Also recall that an approximation algorithm for a maximization problem $I I$ has a performance guarantee of $\rho$, if for every instance $I$ of $I$, the solution value returned by the approximation algorithm is at least $\frac{1}{\rho}$ of an optimal solution for $I$.

Let $a<1, f: N \rightarrow N$, and $d>0$. A class $F$ of graphs has an ( $a, f, d$ )separator if for each $n$-vertex $G \in F$ either $n \leq d$ or there is a a subset $S$ of the set of vertices of $G$ whose removal disconnects $G$ into two subgraphs $G_{1}$ and $G_{2}$ in $F$ such that:

1. Both $G_{1}$ and $G_{2}$ have at most $a n$ vertices each; and
2. $S$ has at most $f(n)$ vertices.

We sometimes identify the notion of an ( $a, f, d$ )-separator with the separation subsets $S$. Consequently, we say that an ( $a, f, d$ )-separator is constructible in time $t$ if such $S$ are computable in time $t$.

Given T, PAT and the set $L$, we say that two locations $\left[i_{1}, j_{1}\right]$ and $\left[i_{2}, j_{2}\right]$ in $L$ overlap, if and only if $\left|i_{1}-i_{2}\right|<m$ and $\left|j_{1}-j_{2}\right|<m^{\prime}$. Let $G_{L}=\left(L, E_{L}\right)$ denote the intersection graph corresponding to $L$, i.e., for $l_{1}, l_{2} \in L,\left(l_{1}, l_{2}\right)$ is an edge of $G_{L}$ if and only if the locations $l_{1}$ and $l_{2}$ of $P A T$ overlap in $T$. The set $L$ can also be thought of as defining a set of intersecting isothetic rectangles of size $m \times m^{\prime}$ as follows. The isothetic equisized rectangles $R$ are in one-to-one correspondence with the set of locations in $L$. A rectangle $r \in R$ corresponding to a location $(i, j) \in L$ is placed with its lower left lower corner at $(i, j)$. It is clear that two rectangles in $R$ intersect if and only if the corresponding locations overlap. Now, we can apply the well known methods for reporting intersections of isothetic rectangles in order to construct $G_{L}$. By Theorem 8.9 in [28], we have the following lemma.

Lemma 1. $G_{L}$ can be constructed from $L$ in $O\left(|L| \log |L|+\left|E_{L}\right|\right)$ time.
It can be easily verified that the problem $M P M_{2}$ reduces to finding a maximum independent set in $G_{L}$. Note that in general $G_{L}$ corresponds to the intersection graph of equisized isothetic rectangles. Moreover, $\alpha$-approximate independent sets in $G_{L}$ are precisely $\alpha$-approximate solutions to $M P M_{2}$.

The NP-hardness of $M P M_{2}$ immediately follows from the NP-hardness of the planar geometric packing problem, given in [14]. An instance of this problem
consists of a set of $m$ isothetic squares laid out in the plane. The question is to decide if it is possible to find $k$ isothetic, pairwise disjoint locations of a given square (of integer side length) within an isothetic polygon with holes on an integer grid. To obtain the NP-hardness of $M P M_{2}$ we simply set $P A T$ to the square filled with 0's, and model the input polygon $P$ by setting the entries of $T$ corresponding to the grid points inside $P$ to 0 and the remaining entries to 1. Importantly, the area of the integer grid containing the instance of the packing problem, modeling an instance of $3 S A T$ in [14], is polynomial in the size of the instance of $3 S A T$. Thus $M P M_{2}$ is NP-hard. The graph representation $G_{L}$ yields the membership of $M P M_{2}$ in NP.

Theorem 2. The maximum two-dimensional pattern matching problem is NPcomplete.

## 4. Simple Approximations

Consider a maximum set $S$ of non-overlapping locations of $P A T$ in $T$. By a simple packing argument, it follows that any location in a maximal set of nonoverlapping occurrences of $P A T$ in $T$ can overlap with at most four locations in $S$. Hence, the maximal set contains at least $|S| / 4$ elements. The discussion also implies that the intersection graph $G_{L}$ is 5 -claw free graph. (A $d$-claw is the graph $K_{1, d}$, i.e., a star with $d$ independent neighbors. A graph is a $d$-claw free graph if it has no induced d-claw.) For the maximum independent set problem for $d$-claw free graphs, Halldórsson [18] gives a local improvement heuristic with performance guarantee of $\frac{d}{2}+\epsilon$, for any $\epsilon>0$. Since the intersection graphs associated with the problem $M P M_{2}$ are 5-claw free, the result in [18] can be used to obtain an algorithm for $M P M_{2}$ with asymptotic performance guarantee of 2 . We can obtain an alternative heuristic which is more efficient and has a performance guarantee 2 by observing the following. An extreme location of $P A T$ in $T$ in one of the four directions can overlap with at most two other independent locations. Let $M L$ be a maximal independent set in $G_{L}$ constructed by repeatedly taking the vertex corresponding to the leftmost location of $P A T$ and removing all its neighbors in the current graph. Then, we have the following lemma.

Lemma 3. The maximal independent set ML yields a 2-approximate solution to $M P M_{2}$.

Theorem 4. A 2-approximate solution to $M P M_{2}$ can be computed in $O\left(\left|n n^{\prime}\right|+\right.$ $\left.|L| \log |L|+\left|E_{L}\right|\right)$ time.
Proof. By Lemma 3, it suffices to construct the set $M L$ within the stated time. To achieve this, we build the graph $G_{L}$ and sort $L$ by $X$-coordinate. The operation of extracting the leftmost location takes $O(1)$ time. The operation of deleting the overlapping location takes time proportional to the degree of corresponding vertex in $G_{L}$. Finally, recall that $L$ can be constructed in $O\left(n n^{\prime}\right)$ time [9], and $G_{L}$ in $O\left(|L| \log |L|+\left|E_{L}\right|\right)$ time by Lemma 1.

### 4.1 Periods of Pattern

A period of the $m \times m^{\prime}$ pattern array $P A T$ is a non-null vector $(r, s)$ such that $-m<r<m, 0 \leq s<m^{\prime}$, and $P A T[i, j]=P A T[r+i, s+j]$ whenever both sides are within PAT. There are two classes of periods depending on whether $r$ is negative or not.

If the pattern array has periods of only one class, a simple algorithm for optimally solving $M P M_{2}$ can be designed based on the following lemma.

Lemma5. If PAT has only nonnegative (negative) periods, no two locations corresponding to two vertices in the same connected component in $G_{L}$ ara such that one lies to the right and over (respectively, under) the other.

Proof. The proof is by contradiction. Let $u, v$ respectively denote the vertices of $G_{L}$ corresponding to two locations contradicting the lemma, e.g., in the nonnegative case. Clearly, they cannot be neighbors in $G$. Consider the shortest path $P$ in $G_{L}$ connecting $u$ and $v$. Let $l$ be the first location corresponding to a vertex in $P$ such that the locations $l_{1}$ and $l_{2}$ corresponding to the neighbors in $P$ are both to the right or both to the left of $l$. Note that both $l_{1}$ and $l_{2}$ have to cover the left-upper or the right-lower corner of $l$. Hence, there is an edge connecting the two neighboring vertices in $G$. We obtain a contradiction to the optimality of $P$. The proof in the negative case is symmetrical.

By Lemma 5, we can order the vertices in each connected component of $G_{L}$ according to their relative position in $T$, from the upper left or lower left corner depending on the class of periods. Now we can refine the 2-approximation algorithm given in the proof of Theorem 4 by giving preference to the vertex corresponding to the uppermost or the lowermost location respectively in a sweep from left to right. In result, we obtain the following theorem.

Theorem 6. If PAT has only nonnegative periods (or, only negative periods), then $M P M_{2}$ can be solved in time $O\left(\left|E_{L}\right|+n n^{\prime}+|L| \log |L|\right)$.

It follows from Lemma 5 that $G_{L}$ is a unit interval graph. Hence, $G_{L}$ is in fact a chordal graph and a maximum independent set in $G_{L}$ can be found in time linear in the size of $G_{L}$ by [30] and [17]. This yields an alternative proof of Theorem 6.

## 5 Separator-based Approximation

In case $P A T$ is of small size compared with $T$, e.g., constant size, we show below that an efficient approximation to $M P M_{2}$ exists and the approximation can be made arbitrarily close to the optimal solution. Our approach is inspired by the Lipton-Tarjan's method [26] of computing approximate independent sets in planar graphs. From the sophisticated randomized and deterministic methods for
constructing separators for geometric graphs given in [27] and [11] respectively, it follows that $G_{L}$ has a good separator. Independently of [11, 27], we show that an equally good separator for $G_{L}$ is simply induced by $m-1$ consecutive columns and/or $m^{\prime}-1$ consecutive rows in $T$. This very simple separator construction is the basis of our sophisticated approximation algorithm for $M P M_{2}$.

Lemma 7. The class of graphs $G_{L}$ has an (5/6,O( $\left.\left.\sqrt{m m^{\prime}|\bar{L}|}\right), O\left(m m^{\prime}\right)\right)$-separator constructible in $O\left(|L|+n / m+n^{\prime} / m^{\prime}\right)$ time.

Proof. It is sufficient to prove the following under the assumption of $|L|>$ $(48)^{2} m m^{\prime}$. In time $O\left(|L|+n / m+n^{\prime} / m^{\prime}\right)$ one can find a sequence of $m-1$ consecutive columns or rows of $T$ such that the locations of $P A T$ in $T$ with the left-upper corner in the sequence correspond to a subset of $O\left(\sqrt{m m^{\prime}|L|}\right)$ vertices of $G_{L}$ disconnecting $G_{L}$ into two subgraphs none of which has more than $5|L| / 6$ vertices.

For convenience, we shall say that a vertex of $G_{L}$ belongs to a subset $S$ of entries of $T$ if the left-upper corner of the location of $P A T$ corresponding to this vertex is in $S$.

Group the $n$ columns of $T$ into supercolumns, each consisting of $m-1$ consecutive columns of $T$ (possibly but for the last one). Similarly, group the $n^{\prime}$ rows of $T$ into superrows, each consting of $m^{\prime}-1$ consecutive rows of $T$ (possibly but for the last one).

Note that the removal of all vertices of $G_{L}$ belonging to a single supercolumn disconnects the two subgraphs of $G_{L}$ induced by the vertices belonging respectively to the part of $T$ to the left and to the part of $T$ to the right of the supercolumn. A similar observation holds for the superrows.

Let $C_{l}$ be the leftmost supercolumn such that there are at least totally $|L| / 6$ vertices in $C_{l}$ and to the left of $C_{l}$ in $T$. Symmetrically, let $C_{r}$ be the rightmost supercolumn such that there are at least totally $|L| / 6$ vertices in $C_{r}$ and to the right of $C_{r}$ in $T$. Clearly, both $C_{l}$ and $C_{r}$ are well defined and $C_{l}$ cannot lie to the right of $C_{r}$. Let $B C$ be the block of consecutive supercolumns starting from $C_{l}$ and ending with $C_{r}$.

If $B C$ contains a supercolumn different from $G_{l}$ and $C_{r}$ with $\leq \sqrt{4 m m^{\prime}|L|}$ vertices we are done. Note that otherwise $B C$ contains less than $\sqrt{|L| /\left(4 m m^{1}\right)}+2$ supercolumns.

Similarly, we define the analogous block $B R$ of superrows. Similarly, if $B R$ contains a superrow with less than $\sqrt{4 m m^{\prime}|L|}$ vertices we are done, and otherwise $B R$ contains less than $\sqrt{|L| /\left(4 m^{\prime}\right)}+2$ superrows.

To prove that $B C$ or $B R$ always contains a supercolumn (or superrow, respectively) with $\leq \sqrt{4 \mathrm{~mm}^{\prime}|L|}$ vertices, we argue as follows.

Let $B$ be the intersection of $B C$ with $B R$ in $T$. Note that $B$ has at least $|L| / 3$ vertices. On the other hand, since $B$ has both width $<\left(\sqrt{|L| /\left(4 m m^{\prime}\right)}+2\right) m^{\prime}$ and height $<\left(\sqrt{|L| /\left(4 m m^{\prime}\right)}+2\right) m$, it cannot contain $|L| / 3$ vertices if $|L|>$ $(48)^{2} \mathrm{~mm}^{\prime}$. We thus obtain a contradiction.

To find the number of vertices in each supercolumn in $B C$ and each superrow in $B R$, we search the graph $G_{L}$. While visiting a vertex $v$, we identify the supercolumn and the superrow it belongs to, increasing the counters associated with them by one. It takes $O(|L|)$ time. To find the number of vertices to the left and to the right of each supercolumn (or, below or above each superrow, respectively), we apply prefix sums. It takes $O\left(n / m+n^{\prime} / m^{\prime}\right)$ time.

For simplicity, we put $N=|L|+n / m+n^{\prime} / m^{\prime}$ and $d=(48)^{2} m m^{\prime}$.
Theorem 8. For any $k>d, G_{L}$ has a set of vertices $C$ of size $O\left(|L| \sqrt{m m^{\prime}} / \sqrt{k}\right)$ whose removal from $G_{L}$ leaves no connected component with more than $k$ vertices. Furthermore $C$ can be found in $O(N \log |L|)$ time.

Proof. Initialize $C:=\emptyset$, and construct $C$ as follows.
while there is a connected component $H$ of $G-C$ with more than $k$ vertices do
find a separator $C^{\prime}$ of $H$ and set $C:=C \cup C^{\prime}$.
The construction of $C$ may be visualized by means of a tree, whose vertices represent subgraphs of $G$ (the root represents $G$ ) that are encountered during the execution of the procedure; the leaves correspond to the components of $G$ with at most $k$ vertices. Define the level of a vertex $v$ in the tree as the height of the full subtree rooted in $v$. Clearly, any two subgraphs on the same level are vertex-disjoint. By induction it follows that each $i$-th level ( $i \geq 1$ ) subgraph has at least $(1 / a)^{i-1} k$ vertices for some constant $a<1$. Thus the number of $i$-th level subgraphs is at most $a^{i-1}|L| / k$. Since $k>1$, the number of levels is $O(\log |L|)$. Further, we spend $O(N)$ time at each level, by Lemma 7. Hence the above procedure runs in $O(N \log |L|)$ time.

To bound the size of $C$, let $n_{1}, \ldots, n_{\ell}$ be the sizes of the subgraphs at some level $i \geq 1$. The total number of vertices added to $C$ by splitting these subgraphs is at most $O\left(\sum_{j=1}^{\ell} \sqrt{m m^{\prime} n_{j}}\right)$. This number is $O\left(a^{(i-1) / 2}|L| \sqrt{m m^{\prime}} / \sqrt{k}\right)$, since $\ell \leq a^{i-1}|L| / k$ and $\sum_{j=1}^{\ell} n_{j} \leq|L|$. Hence $|C|=O\left(|L| \sqrt{m m^{i}} / \sqrt{k}\right)$.

Theorem 9. In $O\left(\max \left\{N \log |L|, 2^{k}|L|\right\}\right)$ time, we can find an independent set $I$ in $G_{L}$ such that the relative error in the size of $I$ is $O\left(\left(\mathrm{~mm}^{\prime}\right)^{3 / 2} / \sqrt{k}\right)$.

Proof. Apply Theorem 8 to $G_{L}$ and find the set $C$. In each connected component of $G-C$, find a maximum independent set by an exhaustive search. Let $I$ be the union of all such independent sets. Consider any maximum independent set $I^{*}$ in $G$. Observe that $\left|I^{*}\right|=\Omega\left(|L| /\left(m m^{\prime}\right)\right)$, since every vertex in $G_{L}$ has degree $O\left(\mathrm{~mm}^{\prime}\right)$. Notice that the restriction of $I^{*}$ to any connected component cannot be larger than the restriction of $I$ to the same component. Thus, the difference in the sizes of $I$ and $I^{*}$ is at most the size of $C$, which is $O\left(|L| \sqrt{m m^{\prime}} / \sqrt{k}\right)$. Consequently, the relative error in the size of $I$ is $\left(\left|I^{*}\right|-|I|\right) /\left|I^{*}\right|=O\left(\left(m m^{\prime}\right)^{3 / 2} / \sqrt{k}\right)$.

To bound the time complexity, observe that the exhaustive search in each component takes $O\left(k \cdot 2^{k}\right)$ time. Thus the search over all components takes time $O\left(2^{k}|L|\right)$. Finally, by Theorem $8, C$ can be found in $O(N \log |L|)$ time.

Theorem 9 gives a trade-off between the running time of the algorithm and the quality of the solution. For small size and constant-size patterns, we have the following result by taking $k=\lfloor\log \log |L|]$.

Corollary 10. If PAT is of size $o\left((\log \log |L|)^{1 / 3}\right)$, then a solution to $M P M_{2}$ of relative error $O(1)$ can be constructed in $O(N \log |L|)$ time.

Corollary 11. If $P A T$ is of constant size, then a solution to $M P M_{2}$ of relative error $O(1 / \sqrt{\log \log |L|})$ can be constructed in $O(N \log |L|)$ time.

## 6 An Approximation Scheme for $M P M_{2}$

### 6.1 Basic Technique

The shifting strategy was used by Baker [4] for obtaining polynomial time approximation schemes (PTAS) for problems restricted to planar graphs, by Hochbaum and Maass [20,21] for devising PTAS for certain covering and packing problems in the plane, and by Feder and Greene [13] for obtaining a PTAS for a certain location problem.

We outline the basic technique by discussing our approximation scheme for $M P M_{2}$. Without loss of generality, we may assume that the intersection graph $G_{L}$ of the set $L$ of locations of $P A T T$ is connected. As in the previous section, we divide $T$ into supercoloumns composed of $m-1$ consecutive coloumns of $T$ (except the last one). For an $\epsilon>0$, we calculate the smallest integer $k$ such that $\left(\frac{k}{k+1}\right) \geq 1-\epsilon$. Next, for each $i, 0 \leq i \leq k$, we disconnect $G_{L}$ into $l$ subgraphs $G_{1}, \cdots G_{l}$ by removing the vertices of $G_{L}$ corresponding to the locations of $L$ in supercoloums with number congruent to $i \bmod (k+1)$. (A location is said to lie in a given subarray if its left-upper corner lies in that subarray). For each subgraph $G_{p}, 1 \leq p \leq l$, we find an optimal independent set in $G_{p}$. The independent set for this partition is just the union of independent sets for each $G_{p}$. By an argument similar to the shifting lemma in [20], it follows that the iteration in which the partition yields the largest solution value contains at least $\left(\frac{k}{k+1}\right) \cdot \operatorname{OPT}\left(G_{L}\right)$ vertices, where $\operatorname{OPT}\left(G_{L}\right)$ denotes the size of a maximum independent set in $G_{L}$. (For simplicity, we also denote the cardinality of a maximum independent set in $G_{L}$ by $O P T\left(G_{L}\right)$.) The algorithm takes $O(n)$ work. It is easy to see that the algorithm admits an NC implementation. We are now ready to give our approximation scheme for $M P M_{2}$. The algorithm is outlined in Figure 1.

### 6.2 Finding an optimal solution in Step 3(c)

We now discuss how to obtain an optimal solution for the independent set problem in Step 3(c) of the algorithm MAX-IS. For each fixed $k>0$, the subgraph

```
Algorithm: MAX-IS
Input: A pattern \(P A T\) of size \(m \times m^{\prime}\), a text array \(T\) of size \(n \times n^{\prime}\) and the intersection
graph \(G_{L}\) of the locations of \(P A T\) in \(T\).
Output: An independent set in \(G_{L}\) with at least \(\left(\frac{k}{k+1}\right) \cdot O P T\left(G_{L}\right)\) vertices.
1. Find the smallest integer \(k\) such that \(\left(\frac{k}{k+1}\right) \geq 1-\epsilon\).
2. Divide \(T\) into supercolumns of width \(m-1\).
3. For each \(i, 0 \leq i \leq k\) do
(a) Disconnect \(G_{L}\) into \(r_{i}\) disjoint subgraphs \(G_{i, 1} \cdots G_{i, r_{i}}\) by removing all the vertices corresponding to locations of \(P A T\) in supercolumns with numbers congruent to \(i \bmod (k+1)\);
(b) \(G_{i} \leftarrow \bigcup_{1 \leq j \leq r_{i}} G_{i, j ;}\)
(c) Compute an optimal independent set \(I S\left(G_{i, j}\right)\) in \(G_{i, j}\).
(d) \(I S\left(G_{i}\right) \leftarrow U_{i \leq j \leq r_{i}} I S\left(G_{i, j}\right)\)
4. \(I S\left(G_{L}\right) \leftarrow \max _{0 \leq i \leq k} I S\left(G_{i}\right)\)
Algorithm 1: Details of the approximation scheme for the maximum independent set problem for equisized-rectangle intersection graphs.
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$G_{i, j}$ obtained in Step 3(b) has treewidth $\leq c k$, for some constant $c>0$. Given this we can use the sequential (or NC -algorithms) for computing the maximum independent set in treewidth bounded graphs [7, 29]. Thus the optimal independent set in Step 3(c) can be found by using $O\left(\left|L_{i, j}\right|\right)$ work. Here $L_{i, j}$ denotes the vertex set of the graph $G_{i, j}$.

### 6.3 Performance Guarantee

We next prove that the algorithm given above indeed computes a near optimal independent set. That is, given any $\epsilon>0$ the algorithm will compute an independent set whose size is at least $(1-\epsilon)$ times that of an optimal independent set.

We first prove that of all the different iterations for $i$, at least one iteration has the property that the number of vertices that are not considered in the independent set computation is a small fraction of an optimal independent set.

Recall that for each $i$ we did not consider the vertices in the subgraphs $G_{j_{1}}, G_{j_{2}} \cdots G_{j_{p_{i}}}$ such that $j_{l}=i \bmod (k+1), 1 \leq l \leq p_{i}$. For each $i, 0 \leq i \leq k$, let $S_{i}$ be the set of vertices of $G_{L}$ which were not considered in the $i$-th iteration. Let $I S_{\text {opt }}\left(S_{i}\right)$ denote the vertices in the set $S_{i}$ which were chosen in the maximum independent set $\operatorname{OPT}\left(G_{L}\right)$.

## Lemma 12.

$$
\max _{0 \leq i \leq k}\left|O P T\left(G_{i}\right)\right| \geq \frac{k}{(k+1)}\left|O P T\left(G_{L}\right)\right|
$$

Proof. First observe that the following equation holds:

$$
0 \leq i, j \leq k, i \neq j, S_{i} \cap S_{j}=\phi ;
$$

since different subgraphs are considered in different iteration. It now follows that

$$
\left|I S_{\text {opt }}\left(S_{0}\right)\right|+\left|I S_{\text {opt }}\left(S_{1}\right)\right|+\cdots+\left|I S_{o p t}\left(S_{k}\right)\right|=\left|O P T\left(G_{L}\right)\right|
$$

Therefore,

$$
\min _{0 \leq t \leq k}\left|I S_{o p t}\left(S_{t}\right)\right| \leq\left|O P T\left(G_{L}\right) /(k+1)\right|
$$

$$
\max _{0 \leq i \leq k}\left|O P T\left(G_{i}\right)\right| \geq\left|O P T\left(G_{L}\right)\right|-\min _{0 \leq t \leq k}\left|I S_{o p t}\left(S_{t}\right)\right| \geq \frac{k}{(k+1)}\left|O P T\left(G_{L}\right)\right| .
$$

Theorem 13. $\left|I S\left(G_{L}\right)\right| \geq\left(\frac{k}{k+1}\right) \cdot\left|O P T\left(G_{L}\right)\right|$.
Proof. We consider the iteration when the value of $i$ is such that $\left|O P T\left(G_{i}\right)\right| \geq$ $\left(\frac{k}{k+1}\right)\left|O P T\left(G_{L}\right)\right|$. By Lemma 12 such an $i$ exists. Fix the iteration $i$.

$$
\left|O P T\left(G_{i}\right)\right|=\sum_{j=1}^{j=r}\left|O P T\left(G_{i, j}\right)\right|
$$

Using the above equations we get that

$$
\begin{aligned}
\left|I S\left(G_{L}\right)\right| & =\max _{0 \leq i \leq k}\left|I S\left(G_{i}\right)\right| \\
& =\max _{0 \leq i \leq k} \sum_{j=1}^{j=r}\left|I S\left(G_{i, j}\right)\right| \quad \text { (By Step 3(b)) } \\
& \geq \max _{0 \leq i \leq k} \sum_{j=1}^{j=r}\left|\operatorname{OPT}\left(G_{i, j}\right)\right| \quad(\text { By Step 3(c)) } \\
& \geq \quad \max _{0 \leq i \leq k}\left|\operatorname{OPT}\left(G_{i}\right)\right| \quad \text { (By Step 3(c)) } \\
& \geq \quad\left(\frac{k}{k+1}\right) \cdot\left|O P T\left(G_{L}\right)\right| \quad \text { (By Lemma 12) }
\end{aligned}
$$

The time required for each iteration of the For loop is $\Sigma_{j=1}^{j=r_{i}} O\left(\left|L_{i, j}\right|\right)=$ $O(|L|)$. Hence the total running time of our algorithm is $\Sigma_{i=0}^{i=k} O(|L|)+O(n / m)=$ $O(|L|)+O(n / m)$ (in case of the NC-algorithm the total amount of work is $O(|L|)+O(n / m)$.) Moreover, the algorithm has a performance guarantee of $(k+1) / k$.

## 7 Extensions

We briefly outline the possible extensions of our ideas presented in the previous sections.

Higher Dimensional Matching Problems Our approximation algorithms for $M P M_{2}$ directly extend to solve the problems $M P M_{d}$ for any fixed $d>2$. This can be seen by oberserving the following. For each fixed $d>0$ there is an $r>0$ such that, the intersection graph associated with the problem $M P M_{d}$ is $r$-claw free. Also note that the $d$-dimensional geometric graphs have also good separator properties [27, 11]. Finally, note that the shifting strategy can be easily extended to apply to $d$-dimensional rectangles. The performance guarantee of the algorithm based on shifting strategy for solving $M P M_{d}$ is $\left(\frac{k+1}{k}\right)^{d-1}$.

Multiple Matchings Idury and Schäffer [22] consider a variant of the classical matching problem in which we are given a set of patterns instead of single pattern. Our results extend to handle the optimization version of the multiple pattern matching problem studied in [22]. In particular, we obtain two types of results depending on the size and the number of patterns. If the number of patterns and the size of each pattern is fixed, our approximation schemes can be extended to obtain approximation schemes. To see this, note that although the rectangle graph induced now does not have equisized rectangles, we can subdivide the plane with respect to the largest rectangle. Furthermore, since the rectangles are of fixed size, for each $\epsilon>0$, the treewidth of the subgraphs obtained as a result of decomposition is still a constant. With these two observations in mind the extension is fairly straight forward. In the second case, when the shapes and the sizes of the patterns are not fixed, we can obtain a 4-approximation by modifying the algorithm in Section 4 to choose the smallest rectangle instead of choosing the leftmost rectangle. Since the graph induced by the smallest rectangle and its neighbors is $K_{1,4}$ free, the performance guarantee follows immediately by an inductive argument.

Non-Rectangular shapes As pointed out in Amir and Farach [1], several practical applications require us to match non-rectangular shapes. Using ideas similar to those outlined for the Multiple matching case, the approximation schemes for $M P M_{2}$ can also be extended to the case when we have fixed sized patterns that are non-rectangular, e.g., an $L$-shaped patterns.

Allowing Mismatches Amir and Farach [1] also study the two dimensional pattern matching problem in which we are allowed certain number of mismatches. Our approximation algorithms extend to finding a maximum number of non-overlapping patterns such that no more than $k$ mismatches are allowed per matched location.

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