# Cyber Situational Awareness

# **Advances in Information Security**

## Sushil Jajodia,

Consulting Editor
Center for Secure Information Systems
George Mason University
Fairfax, VA 22030-4444
email: jajodia@gmu.edu

The goals of the Springer International Series on ADVANCES IN INFORMATION SECURITY are, one, to establish the state of the art of, and set the course for future research in information security and, two, to serve as a central reference source for advanced and timely topics in information security research and development. The scope of this series includes all aspects of computer and network security and related areas such as fault tolerance and software assurance.

ADVANCES IN INFORMATION SECURITY aims to publish thorough and cohesive overviews of specific topics in information security, as well as works that are larger in scope or that contain more detailed background information than can be accommodated in shorter survey articles. The series also serves as a forum for topics that may not have reached a level of maturity to warrant a comprehensive textbook treatment.

Researchers, as well as developers, are encouraged to contact Professor Sushil Jajodia with ideas for books under this series.

#### Additional titles in the series:

*SECURITY AND DEPENDABILITY FOR AMBIENT INTELLIGENCE* edited by George Spanoudakis, Antonia Mana Gomez and Spyros Kokolakis; ISBN: 978-0-387-88774-6

*IDENTIFYING MALICIOUS CODE THROUGH REVERSE ENGINEERING* edited by Abhishek Singh; ISBN: 978-0-387-09824-1

SECURE MULTI-PARTY NON-REPUDIATION PROTOCOLS AND APPLICATIONS by José A. Onieva, Javier Lopez, Jianying Zhou; ISBN: 978-0-387-75629-5

*GLOBAL INITIATIVES TO SECURE CYBERSPACE: An Emerging Langscape* edited by Michael Portnoy and Seymour Goodman; ISBN: 978-0-387-09763-3

SECURE KEY ESTABLISHMENTS by Kim-Kwang Raymond Choo; ISBN: 978-0-387-87968-0

SECURITY FOR TELECOMMUNICATIONS NETWORKS by Patrick Traynor, Patrick McDaniel and Thomas La Porta; ISBN: 978-0-387-72441-6

INSIDER ATTACK AND CYBER SECURITY: Beyond the Hacker edited by Salvatore Stolfo, Steven M. Bellovin, Angelos D. Keromytis, Sara Sinclaire, Sean W. Smith; ISBN: 978-0-387-77321-6

For other titles published in this series, go to www.springer.com/series/5576

Sushil Jajodia · Peng Liu · Vipin Swarup · Cliff Wang
Editors

# Cyber Situational Awareness

Issues and Research



Editors
Sushil Jajodia
George Mason University
Ctr. Secure Information
Systems
Fairfax VA 22030-4444
USA
jajodia@gmu.edu

Vipin Swarup The MITRE Corporation 7515 Colshire Dr. McLean VA 22102-7508 USA swarup@mitre.org Peng Liu Pennsylvania State University College of Information Science & Technology University Park PA 16802-6823 USA pliu@ist.psu.edu

Cliff Wang
US Army Research Office
Computing and Information
Science Div.
P.O.Box 12211
Research Triangle Park NC 27709-2211
USA
cliff.wang@us.army.mil

ISSN 1568-2633 ISBN 978-1-4419-0139-2 e-ISBN 978-1-4419-0140-8 DOI 10.1007/978-1-4419-0140-8 Springer New York Dordrecht Heidelberg London

Library of Congress Control Number: 2009936719

#### © Springer Science+Business Media, LLC 2010

All rights reserved. This work may not be translated or copied in whole or in part without the written permission of the publisher (Springer Science+Business Media, LLC, 233 Spring Street, New York, NY 10013, USA), except for brief excerpts in connection with reviews or scholarly analysis. Use in connection with any form of information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed is forbidden. The use in this publication of trade names, trademarks, service marks, and similar terms, even if they are not identified as such, is not to be taken as an expression of opinion as to whether or not they are subject to proprietary rights.

Printed on acid-free paper

Springer is part of Springer Science+Business Media (www.springer.com)

#### **Preface**

#### *Motivation for the Book*

This book seeks to establish the state of the art in the cyber situational awareness area and to set the course for future research. A multidisciplinary group of leading researchers from cyber security, cognitive science, and decision science areas elaborate on the fundamental challenges facing the research community and identify promising solution paths.

Today, when a security incident occurs, the top three questions security administrators would ask are in essence: What has happened? Why did it happen? What should I do? Answers to the first two questions form the core of Cyber Situational Awareness. Whether the last question can be satisfactorily answered is greatly dependent upon the cyber situational awareness capability of an enterprise.

A variety of computer and network security research topics (especially some systems security topics) belong to or touch the scope of Cyber Situational Awareness. However, the Cyber Situational Awareness capability of an enterprise is still very limited for several reasons:

- Inaccurate and incomplete vulnerability analysis, intrusion detection, and forensics.
- Lack of capability to monitor certain microscopic system/attack behavior.
- Limited capability to transform/fuse/distill information into cyber intelligence.
- Limited capability to handle uncertainty.
- Existing system designs are not very "friendly" to Cyber Situational Awareness.

The goal of this book is to explore ways to elevate the Cyber Situational Awareness capability of an enterprise to the next level by measures such as developing holistic Cyber Situational Awareness approaches and evolving existing system designs into new systems that can achieve self-awareness. One major output of this

vi Preface

book is a set of scientific research objectives and challenges in the area of Cyber Situational Awareness.

#### About the Book

Chapters in this book can be roughly divided into the following six areas: *Overview* 

- Cyber SA: Situational Awareness for Cyber Defense
- Overview of Cyber Situation Awareness

The Reasoning and Decision Making Aspects

- RPD-based Hypothesis Reasoning for Cyber Situation Awareness
- Uncertainty and Risk Management in Cyber Situational Awareness

Macroscopic Cyber Situational Awareness

- Employing Honeynets For Network Situational Awareness
- Assessing Cybercrime Through the Eyes of the WOMBAT

Enterprise Cyber Situational Awareness

- Topological Vulnerability Analysis
- Cross-Layer Damage Assessment for Cyber Situational Awareness

Microscopic Cyber Situational Awareness

- A Declarative Framework for Intrusion Analysis
- Automated Software Vulnerability Analysis

The Machine Learning Aspect

• Machine Learning Methods for High Level Cyber Situation Awareness

#### Acknowledgements

We are extremely grateful to all those who contributed to this book. It is a pleasure to acknowledge the authors for their contributions. Special thanks go to Susan Lagerstrom-Fife, Senior Publishing Editor for Springer, and Sharon Palleschi, Editorial Assistant at Springer for their support of this project. Special thanks also go to Shengzhi Zhang at Penn State University, who helped transform several chapters from MS Word to LaTex.

Sushil Jajodia Peng Liu Vipin Swarup Cliff Wang

## **Contents**

### Part I Overview of Cyber Situational Awareness

I	Cybe	er SA: Si	tuational Awareness for Cyber Defense	3				
	P. Ba	rford, M	. Dacier, T. G. Dietterich, M. Fredrikson, J. Giffin,					
	S. Jajodia, S. Jha, J. Li, P. Liu, P. Ning, X. Ou, D. Song, L. Strater,							
	V. Sv	V. Swarup, G. Tadda, C. Wang, and J. Yen						
	1.1	Scope	of the Cyber SA Problem	3				
	1.2	Backgr	ound	5				
	1.3	Resear	ch Goals	6				
	1.4	Resear	ch Agenda	7				
		1.4.1		7				
		1.4.2	A Collection of Viewpoints on the Research Agenda	7				
	1.5	Conclu	sion	13				
	Refe	rences		14				
2	Over	view of	Cyber Situation Awareness	15				
	Geor	ge P. Tad	da and John S. Salerno					
	2.1	What i	s Situation Awareness (SA)?	15				
	2.2	Situation	on Awareness Reference and Process Models	18				
		2.2.1	Situation Awareness Reference Model	18				
		2.2.2	Situation Awareness Process Model	24				
	2.3	Visuali	zation	26				
	2.4	Applic	ation to the Cyber Domain	27				
	2.5		res of Performance and Effectiveness					
		2.5.1	Confidence	29				
		2.5.2	Purity	31				
		2.5.3	Cost Utility					
		2.5.4	Timeliness	33				
		2.5.5	Measures of Effectiveness					
	2.6	Conclu	ision					
	Refe							

viii Contents

Part II T	he Reas	soning an	d Decision	Making	<b>Aspects</b>
-----------	---------	-----------	------------	--------	----------------

John		Iypothesis Reasoning for Cyber Situation Awareness	39
		chael McNeese, Tracy Mullen, David Hall, Xiaocong Fan,	
	Peng Liu		
3.1		action	39
3.2		listic Decision Making as a Holistic Model for Cyber SA	41
	3.2.1	Decision and Hypotheses	41
	3.2.2	The Recognition-Primed Decision (RPD) Model	41
3.3		ased Hypothesis Generation and Reasoning for Cyber SA	42
	3.3.1	Recognition-based Hypothesis Generation	43
	3.3.2	Hypothesis-driven Story Building	43
	3.3.3	Collaborative RPD-based Hypothesis Generation and	
		Reasoning	44
3.4		graph-based Hypothesis Reasoning	
	3.4.1	Modeling Events as Network Entities	
	3.4.2	Hypergraph-based Network Analysis Techniques	46
3.5		t-based Evidence Gathering	47
3.6		ary	48
Refe	rences		49
Jasor 4.1		ning Ou, and Raj Rajagopalan ning about Uncertainty is a Necessity	51
4.2		pproaches to Handling Dynamic Uncertainty	
	4.2.1	The logical approach	
	4.2.2	C 11	
4.3		The statistical approach	
		The statistical approach	53
1.0		Attack Graphs to Bayesian Networks	53 53
1.0	From A	Attack Graphs to Bayesian Networks	53 53
	From A 4.3.1	Attack Graphs to Bayesian Networks	53 53 54
	From A 4.3.1	Attack Graphs to Bayesian Networks	53 53 54 55
4.4	From A 4.3.1 4.3.2 4.3.3	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion  Analysis  Building BN's from attack graphs	53 53 54 55
	From A 4.3.1 4.3.2 4.3.3 An Em	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion Analysis  Building BN's from attack graphs  pirical Approach to Developing a Logic for Uncertainty	53 53 54 55 56
	From A 4.3.1 4.3.2 4.3.3 An Em	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion  Analysis  Building BN's from attack graphs	53 53 54 55 56 57
	From A 4.3.1 4.3.2 4.3.3 An Em in Situa	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion Analysis  Building BN's from attack graphs  spirical Approach to Developing a Logic for Uncertainty ation Awareness  A case study	53 53 54 55 56 57
	From A 4.3.1 4.3.2 4.3.3 An Em in Situa 4.4.1	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion Analysis  Building BN's from attack graphs  apprical Approach to Developing a Logic for Uncertainty ation Awareness  A case study  Encoding the case study in logic	53 53 54 55 56 57 57 58
	From A 4.3.1 4.3.2 4.3.3 An Em in Situa 4.4.1 4.4.2 4.4.3	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion Analysis  Building BN's from attack graphs  spirical Approach to Developing a Logic for Uncertainty ation Awareness  A case study	53 53 54 55 56 57 57 58 62
4.4	From A 4.3.1 4.3.2 4.3.3 An Em in Situa 4.4.1 4.4.2 4.4.3	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion Analysis  Building BN's from attack graphs  pirical Approach to Developing a Logic for Uncertainty ation Awareness  A case study  Encoding the case study in logic  Comparison with previous approaches	53 53 54 55 56 57 57 58 62 63
4.4	From A 4.3.1 4.3.2 4.3.3 An Em in Situa 4.4.1 4.4.2 4.4.3 Static U	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion Analysis  Building BN's from attack graphs  pirical Approach to Developing a Logic for Uncertainty ation Awareness  A case study  Encoding the case study in logic  Comparison with previous approaches  Uncertainty and Risk Management	53 53 54 55 56 57 57 58 62 63 63
4.4	From A 4.3.1 4.3.2 4.3.3 An Em in Situa 4.4.1 4.4.2 4.4.3 Static U 4.5.1 4.5.2	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion Analysis  Building BN's from attack graphs  pirical Approach to Developing a Logic for Uncertainty ation Awareness  A case study  Encoding the case study in logic  Comparison with previous approaches  Uncertainty and Risk Management  CVSS metrics	53 53 54 55 56 57 57 58 62 63 63 65
4.4 4.5 4.6	From A 4.3.1 4.3.2 4.3.3 An Em in Situa 4.4.1 4.4.2 4.4.3 Static U 4.5.1 4.5.2 Conclu	Attack Graphs to Bayesian Networks  A case study  Desired properties of Bayesian Networks in Intrusion Analysis  Building BN's from attack graphs  pirical Approach to Developing a Logic for Uncertainty ation Awareness  A case study  Encoding the case study in logic  Comparison with previous approaches  Uncertainty and Risk Management  CVSS metrics  Combining CVSS and Attack Graphs	53 53 54 55 56 57 57 58 62 63 65 65

Contents ix

Part III	Macrosco	pic Cyber	<b>Situational</b>	<b>Awareness</b>
----------	----------	-----------	--------------------	------------------

5	Emp	loying H	Ioneynets For Network Situational Awareness	71
	P. Ba	rford, Y.	Chen, A. Goyal, Z. Li, V. Paxson, and V. Yegneswaran	
	5.1	Introdu	action	72
	5.2		round	
	5.3	Classif	Ying Honeynet Activity	74
	5.4	Experi	ences With Activity Classification	76
	5.5		onal Awareness In-depth	
		5.5.1	Source Arrivals	
		5.5.2	Destination/Source Net Coverage	
		5.5.3	Source Macro-analysis	
	5.6		ds Automated Classification	
	5.7	Assess	ing Botnet Scanning Patterns	
		5.7.1	Monotonic Trend Checking	85
		5.7.2	Checking for Liveness-Aware Scanning	86
		5.7.3	Uniformity Checking	87
		5.7.4	Dependency Checking	
	5.8	Extrap	olating Global Properties	
		5.8.1	Assumptions and Requirements	
		5.8.2	Estimating Global Population	
		5.8.3	Exploiting IPID/Port Continuity	
		5.8.4	Extrapolating from Interarrival Times	
	5.9	Evalua	tion of Automated Classification	
		5.9.1	Basic Characteristics of Botnet Events	
		5.9.2	Event Correlation	
		5.9.3	Property-Checking Results	
		5.9.4	Extrapolation Evaluation & Validation	
	5.10	Summa	ary	101
	Refer	ences		101
6	<b>A</b> acce	saina Cri	honorima Through the Ever of the WOMDAT	102
U			bercrime Through the Eyes of the WOMBAT Corrado Leita, Olivier Thonnard, Van-Hau Pham,	103
		Daciei, Engin Kir		
	6.1		ord	102
	6.2		iction	
	6.3			
	0.3	6.3.1	.com v1.0 Honeyd	
		6.3.2	Some technical aspects	
		6.3.3	Generic picture	
		6.3.4	Some illustrative examples	
	6.4		.com v2.0: SGNET	
	0.4	6.4.1		
		6.4.2	Increasing the level of interaction	
			ScriptGen	
		6.4.3	SGNET: a ScriptGen-based honeypot deployment	113

x Contents

	6.5	Analysi	s of Attack Events	
		6.5.1	Identification of Attack Events	. 117
		6.5.2	Armies of Zombies	. 119
		6.5.3	Impact of Observation View Point	
	6.6	Multi-E	Dimensional Analysis of Attack Events	. 123
		6.6.1	Methodology	
		6.6.2	Clique-based Clustering	
		6.6.3	Combining Cliques of Attackers	
	6.7	Beyond	Events Correlation: Exploring the epsilon-gamma-pi-mu	
		-		. 129
		6.7.1	Degrees of freedom	
		6.7.2	Interesting cases	
	6.8	Conclus	sions	
	Refere	ences		. 134
Par	t IV E	nterpris	e Cyber Situational Awareness	
7	Tr 1	• 1 \$7		120
7			ulnerability Analysis	. 139
		3	and Steven Noel	120
	7.1		ction	
	7.2		Architecture	
	7.3		tive Example	
	7.4		k Attack Modeling	
	7.5		s and Visualization	
	7.6		lity	
	7.7		Work	
	7.8		ry	
	Refere	ences		. 153
8	Cross	-Layer I	Damage Assessment for Cyber Situational Awareness .	. 155
	Peng I	Liu, Xiao	oqi Jia, Shengzhi Zhang, Xi Xiong, Yoon-Chan Jhi,	
	Kun B	ai, and J	ason Li	
	8.1	INTRO	DUCTION	. 155
		8.1.1	A Multi-Level Damage Assessment Framework	. 156
		8.1.2	Existing Damage Assessment Techniques	. 159
		8.1.3	Focus of This Work: Damage Assessment Cross	
			Instruction Level and OS Level	. 160
	8.2	PEDA:	An Architecture For Fine-Grained Damage Assessment	
		In A Pr	oduction Environment	. 161
	8.3	VM-Ba	sed Cross-Layer Damage Assessment: An Overview	. 163
		8.3.1	System Model	. 163
		8.3.2	Problem Statement	. 164
		8.3.3	Overview of Our Approach	. 165
	8.4	Design	And Implementation	
		8.4.1	Cross-Layer Damage Assessment when the Guest	
			Kernel is Not Compromised	. 166

Contents xi

		8.4.2	Cross-Layer Damage Assessment when the Guest	
			Kernel is Compromised	. 168
		8.4.3	"What-if" Damage Assessment	
	8.5	Prelim	inary Evaluation	
		8.5.1	Compromised Process Damage Assessment Experiment .	
		8.5.2	Malicious Kernel Module Experiment	
	8.6	RELA	ГЕD WORK	
	8.7		ATIONS	
	8.8		ısion	
Par	t V M	icroscop	oic Cyber Situational Awareness	
9	A Dec	clarativ	e Framework for Intrusion Analysis	179
			on, Mihai Christodorescu, Jonathon Giffin,	
		omesh J		
	9.1		iction	. 179
	9.2		rey of Related Work	
	> · <del>-</del>	9.2.1	Forensic Analysis of Intrusions	
		9.2.2	Recovery From and Remediation of Intrusions	
		9.2.3	Intrusion Detection	
		9.2.4	Security Analysis	
		9.2.5	Event Collection and Processing Infrastructure	
		9.2.6	Common Characteristics of Existing Techniques	
	9.3		ew and Case Study	
	7.5	9.3.1	Intrusion Scenario	
		9.3.2	System Auditing	
	9.4		on Analysis Framework	
	2.4	9.4.1	Information Extraction and Normalization	
		9.4.2	Event Correlation and Dependence Analysis	
		9.4.3	Simplification and Refinement	
	9.5		Log Declarative Programming Language	
	9.5	9.5.1	Language Constructs and Syntax	
		9.5.1	Semantics	
	9.6		onal Evaluation.	
	9.0	9.6.1	Collected Data	
		9.6.2		
	0.7	,.o. <u>-</u>	Usage and Results	
	9.7 Refere		ision	
10				
10			oftware Vulnerability Analysis	∠U1
	10.1		r, Chongkyung Kir, and Peng Iving	201
	10.1		on Ground	
			on Ground	. 203
	10.3		•	202
		Corrup	otion Vulnerabilities	. 403

xii Contents

		10.3.1 Generating Write Sets	204
		10.3.2 Debugging Vulnerabilities	207
		10.3.3 Automated Debugging Using MemSherlo	
	10.4	CBones: Security Debugging Using Program Stru	ctural
		Constraints	211
		10.4.1 Program Structural Constraints	212
		10.4.2 Security Debugging through Constraints	Verification 214
		10.4.3 Extracting Constraints	216
		10.4.4 Runtime Monitoring	216
		10.4.5 Security Debugging Using CBones	217
	10.5	Comparison	218
	10.6	Conclusion	219
	Refer	rences	219
Par	t VI T	The Machine Learning Aspect	
11	N / L - 1	len I come Make le for Hel I con Color Ca	4*
11		hine Learning Methods for High Level Cyber Sit	
		reness	
		nas G. Dietterich, Xinlong Bao, Victoria Keiser,	
		ianqiang Shen Introduction	227
	11.1		
	11.2	The TaskTracer System	
		11.2.2 Assisting the User	
		11.2.3 Instrumentation	
	11.3	Machine Learning for Project Associations	
	11.3	11.3.1 The Email Tagger	
		11.3.2 Project Switch Detector	
		11.3.3 The Folder Predictor	
	11.4	Discovering User Workflows	
	11.1	11.4.1 Building the Information Flow Graph	
		11.4.2 Mining the Information Flow Graph	
		11.4.3 Recognizing Workflows	
		11.4.4 Experimental Evaluation	
	11.5	Discussion	
	11.6	Concluding Remarks	
		rences	
Ant	hor In	ndev	2/10