Intelligent Systems Reference Library

Volume 156

Series Editors

Janusz Kacprzyk, Polish Academy of Sciences, Warsaw, Poland

Lakhmi C. Jain, Faculty of Engineering and Information Technology, Centre for Artificial Intelligence, University of Technology, Sydney, NSW, Australia; Faculty of Science, Technology and Mathematics, University of Canberra, Canberra, ACT, Australia; KES International, Shoreham-by-Sea, UK; Liverpool Hope University, Liverpool, UK

The aim of this series is to publish a Reference Library, including novel advances and developments in all aspects of Intelligent Systems in an easily accessible and well structured form. The series includes reference works, handbooks, compendia, textbooks, well-structured monographs, dictionaries, and encyclopedias. It contains well integrated knowledge and current information in the field of Intelligent Systems. The series covers the theory, applications, and design methods of Intelligent Systems. Virtually all disciplines such as engineering, computer science, avionics, business, e-commerce, environment, healthcare, physics and life science are included. The list of topics spans all the areas of modern intelligent systems such as: Ambient intelligence, Computational intelligence, Social intelligence, Computational neuroscience, Artificial life, Virtual society, Cognitive systems, DNA and immunity-based systems, e-Learning and teaching, Human-centred computing and Machine ethics, Intelligent control, Intelligent data analysis, Knowledge-based paradigms, Knowledge management, Intelligent agents, Intelligent decision making, Intelligent network security, Interactive entertainment, Learning paradigms, Recommender systems, Robotics and Mechatronics including human-machine teaming, Self-organizing and adaptive systems, Soft computing including Neural systems, Fuzzy systems, Evolutionary computing and the Fusion of these paradigms, Perception and Vision, Web intelligence and Multimedia. ** Indexing: The books of this series are submitted to ISI Web of Science, SCOPUS, DBLP and Springerlink.

More information about this series at http://www.springer.com/series/8578

Fawaz Alsolami · Mohammad Azad · Igor Chikalov · Mikhail Moshkov

Decision and Inhibitory Trees and Rules for Decision Tables with Many-valued Decisions



Fawaz Alsolami Computer, Electrical and Mathematical Sciences and Engineering Division King Abdullah University of Science and Technology Thuwal, Saudi Arabia

Igor Chikalov Computer, Electrical and Mathematical Sciences and Engineering Division King Abdullah University of Science and Technology Thuwal, Saudi Arabia Mohammad Azad Computer, Electrical and Mathematical Sciences and Engineering Division King Abdullah University of Science and Technology Thuwal, Saudi Arabia

Mikhail Moshkov Computer, Electrical and Mathematical Sciences and Engineering Division King Abdullah University of Science and Technology Thuwal, Saudi Arabia

ISSN 1868-4394 ISSN 1868-4408 (electronic) Intelligent Systems Reference Library ISBN 978-3-030-12853-1 ISBN 978-3-030-12854-8 (eBook) https://doi.org/10.1007/978-3-030-12854-8

Library of Congress Control Number: 2019930960

© Springer Nature Switzerland AG 2020

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

This Springer imprint is published by the registered company Springer Nature Switzerland AG The registered company address is: Gewerbestrasse 11, 6330 Cham, Switzerland



Preface

This book is devoted to the study of decision and inhibitory trees and rules for decision tables with many-valued decisions. In conventional decision tables, a single decision is associated with each row. However, situations in which a set of decisions is associated with each row are often possible. For some decision tables, inhibitory trees and rules can represent more information than decision trees and rules.

We consider various examples of problems and decision tables with many-valued decisions and discuss the difference between decision and inhibitory trees and rules for decision tables with many-valued decisions. We mention without proofs some relatively simple results obtained earlier for decision trees, tests, rules, and rule systems for binary decision tables with many-valued decisions. We generalize these results to the inhibitory trees, tests, rules, and rule systems.

We extend the multi-stage and bi-criteria optimization approaches to the case of decision trees and rules for decision tables with many-valued decisions and then generalize them to the case of inhibitory trees and rules. The applications of these techniques include the study of totally optimal (optimal relative to a number of criteria simultaneously) decision and inhibitory trees and rules, the comparison of greedy heuristics for tree and rule construction as single-criterion and bi-criteria optimization algorithms, the development of the restricted multi-pruning approach used in classification and knowledge representation, etc.

We also study the time complexity of decision and inhibitory trees and rule systems over arbitrary sets of attributes represented by information systems.

The results presented in this book can be useful for researchers in data mining, knowledge discovery, and machine learning, especially those who work in rough set theory, test theory, and logical analysis of data. The book can be used for the creation of courses for graduate students.

Thuwal, Saudi Arabia November 2018 Fawaz Alsolami Mohammad Azad Igor Chikalov Mikhail Moshkov

Acknowledgements

We are greatly indebted to King Abdullah University of Science and Technology for the immense support.

We are grateful to our coauthors in papers devoted to the creation of extensions of dynamic programming for decision and inhibitory trees, rules, and rule systems: Hassan AbouEisha, Mohammed Al Farhan, Abdulaziz Alkhalid, Saad Alrawaf, Talha Amin, Monther Busbait, Shahid Hussain, and Beata Zielosko.

We are thankful to Prof. Andrzej Skowron for stimulating discussions.

We extend an expression of gratitude to Prof. Janusz Kacprzyk, to Dr. Thomas Ditzinger, and to the Series Intelligent Systems Reference Library staff at Springer for their support in making this book possible.

Contents

Intro	oduction
1.1	Decision and Inhibitory Interpretations of Decision Tables
	with Many-valued Decisions
	1.1.1 Decision Interpretation
	1.1.2 Inhibitory Interpretation
1.2	Main Directions of Study
	1.2.1 Explaining Examples and Preliminary Results
	1.2.2 Extensions of Dynamic Programming for Decision
	and Inhibitory Trees, Rules, and Systems of Rules
	1.2.3 Study of Decision and Inhibitory Trees and Rule
	Systems Over Arbitrary Information Systems
1.3	Contents of Book
	1.3.1 Part I. Explaining Examples and Preliminary
	Results
	1.3.2 Part II. Extensions of Dynamic Programming
	for Decision and Inhibitory Trees
	1.3.3 Part III. Extensions of Dynamic Programming
	for Decision and Inhibitory Rules and Systems
	of Rules
	1.3.4 Part IV. Study of Decision and Inhibitory Trees
	and Rule Systems Over Arbitrary Information
	Systems
1.4	Use of Book
Dofo	*************************************

xii Contents

Part	I E	Explaining Examples and Preliminary Results			
2	Expl	aining Examples			
	2.1	Problems and Decision Tables			
		2.1.1 Problems with Many-valued Decisions			
		2.1.2 Decision Tables Corresponding to Problems			
	2.2	Examples of Decision Tables with Many-valued Decisions			
		2.2.1 Problem of Three Post-offices			
		2.2.2 Traveling Salesman Problem with Four Cities			
		2.2.3 Diagnosis of One-Gate Circuit			
		2.2.4 Example of Inconsistent Decision Table			
	2.3	Difference Between Decision and Inhibitory Rules			
		and Trees			
		2.3.1 Prediction Problem			
		2.3.2 Knowledge Representation Problem			
	Refer	rences			
	Thro	ee Approaches to Handle Inconsistency in Decision Tables			
	3.1	Inconsistent Decision Tables and Three Approaches			
	3.1	to Handle Them			
	3.2	Decision Tables Used in Experiments			
	3.3	Comparison of Complexity of Decision Trees.			
	3.4	Comparison of Accuracy of Classifiers			
		rences			
	Preliminary Results for Decision and Inhibitory Trees,				
		s, Rules, and Rule Systems			
	4.1	Main Notions			
		4.1.1 Binary Decision Tables with Many-valued			
		Decisions			
		4.1.2 Decision Trees, Rule Systems, and Tests			
		4.1.3 Inhibitory Trees, Rule Systems, and Tests			
		4.1.4 Complementary Decision Table			
	4.2	Relationships Among Trees, Rule Systems, and Tests			
		4.2.1 Decision Interpretation			
		4.2.2 Inhibitory Interpretation			
	4.3	Lower Bounds on Complexity of Trees, Rules, Rule Systems,			
		and Tests			
		4.3.1 Decision Interpretation			
		4.3.2 Inhibitory Interpretation			
	4.4	Upper Bounds on Complexity of Trees, Rule Systems,			
		and Tests			
		4.4.1 Decision Interpretation			
		4.4.2 Inhibitory Interpretation			

Contents xiii

	4.5	Approximate Algorithms for Optimization of Decision	
		and Inhibitory Tests and Rules	
		4.5.1 Greedy Algorithm for Set Cover Problem 5	
		4.5.2 Optimization of Decision Tests 5	
		4.5.3 Optimization of Inhibitory Tests 6	
		4.5.4 Optimization of Decision Rules 6	
		4.5.5 Optimization of Inhibitory Rules 6	4
	4.6	Approximate Algorithms for Decision and Inhibitory	
		Tree Optimization	8
		4.6.1 Optimization of Decision Trees 6	8
		4.6.2 Optimization of Inhibitory Trees 6	9
	4.7	Exact Algorithms for Optimization of Decision Trees,	
		Rules, and Tests	1
		4.7.1 Decision Interpretation	1
		4.7.2 Inhibitory Interpretation	2
	Refe	rences	3
Par	t II	Extensions of Dynamic Programming for Decision	
		and Inhibitory Trees	
5	Doci	sion Tables and Tools for Study of Pareto-Optimal Points 7	רי
3	5.1	Decision Tables	
	5.2	Uncertainty Measures 7	
	5.3	Completeness Measures	
	5.4	Directed Acyclic Graph $\Delta_{U,\alpha}(T)$ 8	
	5.5	Restricted Information Systems	
	5.6	Time Complexity of Algorithms on $\Delta_{U,\alpha}(T)$	
	5.7	Tools for Study of Pareto Optimal Points	
	Kele	rences	'U
6	Deci	sion and Inhibitory Trees	1
	6.1	Different Kinds of Decision Trees	1
		6.1.1 Decision Trees for T	1
		6.1.2 (U, α) -Decision Trees for T	13
		6.1.3 Cardinality of the Set $Tree(G, \Theta)$	4
		6.1.4 U^{max} -Decision Trees for T	6
		6.1.5 <i>U</i> ^{sum} -Decision Trees	7
		6.1.6 Cost Functions for Decision Trees	8
	6.2	Different Kinds of Inhibitory Trees	1
	Refe	rences	
7	Muli	ti-stage Optimization of Decision and Inhibitory Trees 10	۱5
,	7.1	Multi-stage Optimization of Decision Trees	
	7.1	Multi-stage Optimization of Inhibitory Trees	
	1.4	mun sage Opunization of innottory frees 11	. 1

xiv Contents

	7.3	Decision Trees for Sorting	113
	7.4	Experimental Study of Totally Optimal Trees	114
		7.4.1 Decision Tables Used in Experiments	114
		7.4.2 Totally Optimal Trees Relative to Two Cost	
		Functions	116
		7.4.3 Totally Optimal Trees Relative to Three Cost	
		Functions	117
	Refer	rences	118
8		iteria Optimization Problem for Decision and Inhibitory	
		s: Cost Versus Cost	121
	8.1	Bi-criteria Optimization Problem for Decision Trees:	
		Cost Versus Cost	122
		8.1.1 Pareto Optimal Points: Cost Versus Cost	122
	0.0	8.1.2 Relationships Between Two Cost Functions	127
	8.2	Bi-criteria Optimization Problem for Inhibitory Trees:	4.00
	0.2	Cost Versus Cost	128
	8.3	Greedy Heuristics for Construction of Decision	1.00
		and Inhibitory Trees	129
		8.3.1 Uncertainty Measures	130
		8.3.2 Impurity Types and Impurity Functions	130
		8.3.3 Greedy Heuristics for Decision Tree Construction	130
	0.4	8.3.4 Greedy Heuristics for Inhibitory Tree Construction	132
	8.4	Comparison of Greedy Heuristics	132
	8.5	Decision Trees for Knowledge Representation	137
	Kerei	rences	138
9		iteria Optimization Problem for Decision (Inhibitory) Trees:	
		Versus Uncertainty (Completeness)	141
	9.1	Bi-criteria Optimization Problem for Decision Trees:	
		Cost Versus Uncertainty	141
		9.1.1 Pareto Optimal Points: Cost Versus Uncertainty	141
	0.0	9.1.2 Relationships Between Cost and Uncertainty	147
	9.2	Bi-criteria Optimization Problem for Inhibitory Trees:	1.40
	0.2	Cost Versus Completeness	148
	9.3	Illustrative Examples	149
		rences	151
10	Multi-pruning and Restricted Multi-pruning of Decision Trees		
	10.1	Decision Tables	155
	10.2	(m_1, m_2, U) -Decision Trees	156
	10.3	$DAG\;G_{m_1,m_2,U}(T)\;\ldots\;\ldots\;\ldots\;\ldots\;\ldots\;\ldots$	157
	10.4	Set of Pareto Optimal Points $POP_{m_1,m_2,U}(T)$	158
	10.5	Multi-pruning (MP) and Restricted Multi-pruning	
		(RMP) Approaches	159

Contents xv

	10.6	Decisio	n Tables Used in Experiments	159
	10.7	Experin	nental Results: Knowledge Representation	161
		10.7.1	Decision Tables with Single-valued Decisions	162
		10.7.2	Decision Tables with Many-valued Decisions	165
	10.8	Experin	nental Results: Classification	166
		10.8.1	Decision Tables with Single-valued Decisions	167
		10.8.2	Decision Tables with Many-valued Decisions	171
	Refe	rences		173
Part	Ш		ons of Dynamic Programming for Decision and	
		Inhibito	ry Rules and Systems of Rules	
11	Decis		Inhibitory Rules and Systems of Rules	177
	11.1		n Rules and Systems of Rules	177
		11.1.1	Decision Rules	177
		11.1.2	Systems of Decision Rules	180
	11.2		ory Rules and Systems of Rules	180
		11.2.1	Inhibitory Rules	181
		11.2.2	Systems of Inhibitory Rules	182
	Refe	rences		184
12	Mult		Optimization of Decision and Inhibitory Rules	185
	12.1	Multi-st	tage Optimization of Decision Rules	185
		12.1.1	Representation of the Set of (U, α) -Decision Rules	185
		12.1.2	Procedure of Optimization	186
		12.1.3	Experimental Results: Optimization of Decision	
			Rules	190
		12.1.4	Number of Rules in $Rule(G, \Theta, r)$	192
		12.1.5	Simulation of Greedy Algorithm for Construction	
			of Decision Rule Set	194
		12.1.6	Experimental Results: Simulation of Greedy	
		26.11	Algorithm	196
	12.2		tage Optimization of Inhibitory Rules	198
		12.2.1	From Decision to Inhibitory Rules	198
		12.2.2	Experimental Results: Optimization of Inhibitory	200
	D 0		Rules	200
	Refe	rences		201
13			ptimization Problem for Rules and Systems	
			t Versus Cost	203
	13.1		ria Optimization Problem for Decision Rules	
		•	stems of Rules: Cost Versus Cost	203
		13.1.1		
			Cost Versus Cost	204

xvi Contents

		13.1.2	Relationships for Decision Rules: Cost Versus Cost	207
		13.1.3	Pareto Optimal Points for Systems of Decision	207
		13.1.3	Rules: Cost Versus Cost	208
		13.1.4	Relationships for Systems of Decision Rules:	200
		13.1.4	Cost Versus Cost	211
	13.2	Compa	rison of Heuristics for Decision Rule Construction	212
	13.2	13.2.1	Greedy Heuristics	212
		13.2.2	Experimental Results	213
	13.3		ria Optimization of Inhibitory Rules: Cost Versus	-10
	10.0			218
		13.3.1		
			Cost Versus Cost	218
		13.3.2	Pareto Optimal Points for Systems of Inhibitory	
			Rules: Cost Versus Cost	219
		13.3.3	Comparison of Greedy Heuristics for Inhibitory	
			Rule Construction	221
	Refer	ences		223
1.1	D: and		utiningtion Buckley for Bulgs and Systems	
14			ptimization Problem for Rules and Systems	225
	14.1		et Versus Uncertainty (Completeness)	223
	14.1		stems of Rules: Cost Versus Uncertainty	225
		14.1.1	Pareto Optimal Points for Decision Rules: Cost	223
		14.1.1	Versus Uncertainty	225
		14.1.2	Relationships for Decision Rules: Cost Versus	223
		14.1.2	Uncertainty	231
		14.1.3	Pareto Optimal Points for Systems of Decision Rules:	231
		11.1.3	Cost Versus Uncertainty	232
		14.1.4	Relationships for Systems of Decision Rules: Cost	232
		1	Versus Uncertainty	234
		14.1.5	Experimental Results for Relationships Cost Versus	
			Uncertainty	235
	14.2	Bi-crite	ria Optimization of Inhibitory Rules: Cost Versus	
			eteness	236
		14.2.1	Pareto Optimal Points for Inhibitory Rules: Cost	
			Versus Completeness	237
		14.2.2	Pareto Optimal Points for Systems of Inhibitory	
			Rules: Cost Versus Completeness	238
		14.2.3	Experimental Results for Relationships Cost Versus	
			Completeness	239

Contents xvii

Part		Study of Decision and Inhibitory Trees and Rule Systems Over Arbitrary Information Systems	
		and Global Approaches to Study of Decision and Inhibitory	
	Trees	·	245
	15.1	71	247
		15.1.1 Shannon Functions for Decision Trees and Rule	
		Systems. Problems with Single-valued Decisions	247
		15.1.2 Shannon Functions for Decision Trees and Rule	
		Systems. Problems with Many-valued Decisions	249
		15.1.3 Shannon Functions for Inhibitory Trees and Rule	
		Systems. Problems with Many-valued Decisions	251
	15.2	Problems with Single-valued Decisions Over Finite	
		Information Systems. Global Approach	25 3
			256
	15.3	Behavior of Shannon Functions for Problems with	
		Many-valued Decisions	263
			264
		The state of the s	265
			266
			267
	Refer	11	268
Final	Rem	arks	271
Inde	x	,	273