

Computer Music Instruments II

Victor Lazzarini

Computer Music Instruments II

Realtime and Object-Oriented Audio



Springer

Victor Lazzarini
Department of Music
Maynooth University
Maynooth, Kildare, Ireland

ISBN 978-3-030-13711-3 ISBN 978-3-030-13712-0 (eBook)
<https://doi.org/10.1007/978-3-030-13712-0>

Library of Congress Control Number: 2017953821

© Springer Nature Switzerland AG 2019

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, expressed or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

This Springer imprint is published by the registered company Springer Nature Switzerland AG.
The registered company address is: Gewerbestrasse 11, 6330 Cham, Switzerland

Foreword

Today's tools for music production have become increasingly democratised. Since the advent of the personal computer in the 1980s, means of audio synthesis, recording, editing and processing have become available to the general public. Before that time, a composer or other creative individual would need to go to a big studio or a computer centre to be able to work professionally with sonic creations. Likewise means of content distribution and tools for reaching audiences have become generally available, both for passive and for interactive listening media. Seen together, these technological changes have deeply affected the conditions for creative audio work. With wider and more affordable access, many more individuals from diverse backgrounds can work in this manner, and also the possible outcomes have multiplied. In tandem with this evolution, we have seen that the tools have become easier and easier to use. Many aspects of the expert knowledge of audio practitioners of earlier decades have been coded into the tools. Any piece of technology will affect the possible outcomes of a production process utilising it. This is also the case with audio production tools, by means of the affordances given to the creative individuals working with them. With ease of use comes also a delimitation of possible outcomes: some of the tools offered to the broad mass of creative consumers can be said to offer 'off-the-shelf creativity'. The individual using these tools is not so much creating but instead recombining the elements offered in pleasing ways. With some of the creative decisions being aided by the properties of the tools used, it becomes increasingly important to be able to make our own tools. This book provides a solid basis for doing so by introducing computational concepts and audio programming paradigms together with a firm foundation in programming.

As the book starts with the basics of the operating system, we are never lost for context. We then deal with compiling and running programs, getting to know C and C++ from the ground up and then proceed directly into realtime audio programming. There's as much DSP as we need to get to work and make things. Then, by the time the need for more occurs, the reader's general acquaintance with the field through practical work means that they should be well equipped to understand the literature needed to solve specialised problems outside the scope of this book. The interleaving of programming languages, by means of interfacing them with each

other, allows freedom to choose the best tool for the job. This ability to create freely also allows freedom from the imperatives of commercial actors, as well as freedom to create commercial products should one wish to do so.

I got to know Victor through international communities for open source audio programming, first and foremost though the Csound community. I deeply respect Victor's skills as a programmer, composer, musician, researcher and writer. His productivity seems to know no limit. I had the good fortune of contributing to the book 'Csound: A Sound and Music Computing System' together with Victor, John Fitch, Steven Yi, Iain McCurdy and Joachim Heintz in 2016. I also count myself lucky to be working with Victor in a current research project on crossadaptive processing, where we have also developed new methods of live convolution together with Sigurd Saue.

With all of the creative freedom afforded by the knowledge presented in this book, one could easily forget an additional benefit of this manner of working: transparency. For any future research on the creation process, to be able to trace the steps taken in the production, and to be able to study the intentions and incentives invested in the process of a work's creation could be of great value. Many of today's tools for the creative industry are closed source commercial products that are not compatible across versions of the same tool. This makes archiving for one's own purposes a hard task, and archiving for longer-term purposes nearly impossible. This is not to say that all our current creations deserve to be studied in the future, but it might just happen that someone sometime may be interested in knowing what we did and how we worked. Working with open source software does not in any way guarantee that our projects can be run on future versions of the same software. It merely allows the possibility for someone interested to be able to decode how the software was supposed to work, and then by careful reconstruction to be able to create the environment to open those saved projects. Reconstruction will always be time consuming, but by using open source, at least we offer the opportunity to do so.

Trondheim, March 2018

Øyvind Brandtsegg

Preface

This book can be read in a number of different ways. First and foremost, it is a companion volume to *Computer Music Instruments: Foundations, Design and Development*. Here, many ideas and concepts introduced in that book are broken down and explored at a lower level. Another way to read this book is to take it as a fairly complete course on C11 programming, with a slant towards sound and music computing, and an added introduction to key concepts of C++ and object-oriented programming (OOP). It is also possible to take this as an applied Digital Signal Processing text, which uses programming to discuss mathematical concepts. I would also think that a number of other readings can be attempted.

In any case, this book is complementary to its companion, but can also be taken on its own, as an independent text. It is true that many ideas explored here at an implementation level work out the elements of what was described there in more formal ways. There is however a conscious choice (in both books actually) to develop everything from first principles. In this text, we will also pay some attention to the discipline involved in writing code, and for this reason, programming problems are suggested in each chapter. It is my belief that we can only achieve fluency with plenty of practice, and readers who want to achieve a good level of C/C++ programming skills should attempt to solve every exercise proposed.

The book is divided into two parts, the first of which, as I have outlined above, is a comprehensive exploration of the C programming language and fundamental programming concepts, from the ground up. The fact that this language can be discussed fully in this space is one of the great attributes of C: being small. Part I traces a journey from zero to complete realtime audio programming. It equips readers with all the tools necessary to create realtime audio instruments at a reasonably low level. From early on, it prioritises examples and applications that have direct relevance to making sound with computers.

Chapter 1 introduces the reader to the desktop programming environment. In some ways, it picks up where we left off in the first *Computer Music Instruments* book, where a description of modern computing platforms for music making was offered. In the following chapters, we introduce all the components of C programming in a stepwise manner: data types, variables, arithmetics, input and output, control of

flow, arrays, pointers, functions, and data structures. By the time we reach Chapter 8, all of the language has been dealt with, and we start looking at key elements of the C standard library, such as memory allocation, and file input and output.

From Chapter 10 onwards, the focus is completely turned on to sound computing. In fact, we had introduced principles of audio signals as early as Chapter 4. As soon as we find some means of iterating operations, we are off producing sound waveforms. We discuss realtime audio synthesis and processing in Chapter 11 and complement it with MIDI control in the last chapter of Part I. At this stage, many key concepts of audio programming have been explored and we are ready to dive into DSP components, which is one of the main themes of Part II.

The other theme, of course, is OOP. Throughout the chapters in Part II, we continuously demonstrate how this paradigm is extremely useful for the modelling of computer music instruments. In Chapter 13, we introduce it gently by applying its principles to the development of a cornerstone of sound synthesis: the oscillator. Each chapter in Part II is devoted to a set of instrument components that are paired with key C++ programming concepts. Midway through, we are able to discuss the development of a fully-fledged object-oriented library, AuLib, which is used to illustrate the discussion of DSP algorithms, as well as OOP.

The following two chapters are devoted to specific audio processing concepts: delay lines and spectral manipulation. The latter connects very firmly with its companion text, Chapter 7 of *Computer Music Instruments*, and provides a complementary perspective to it. It covers similar ground, but uses programming as the main means to explore frequency-domain processing in a mostly non-mathematical way. The book closes with a look at the concept of plugins, also from an object-oriented perspective. At this point, we return, full circle, to Csound and study the means of developing the building blocks of instruments, opcodes, using C++. This final chapter connects very closely with the topics in the companion text, as it provides the means to implement in a native form many of the principles outlined in that earlier book.

The target audience of this book is aligned with that of its predecessor. While some understanding of acoustics and electronic music would be helpful in assisting the reader to understand some applications, it is not strictly necessary to have prior knowledge of audio DSP or even programming. Familiarity with other languages is also not a requirement, but may allow a faster progression through the first part of the book. C/C++ programmers with no experience with audio may be able to jump into the specific sections dealing with sound and music computing. Together with its companion volume, the present book aims to provide a comprehensive discussion of computational instruments for sound and music.

Acknowledgements

Much of this book has been the result of over fifteen years of audio programming teaching at postgraduate level to music technology students. The flow and balance of topics has been tested in a large number of classes and seminars over the years. So I am deeply indebted to all of the students who have worked with me over the years, some of whom have gone on to become researchers, lecturers, and developers, and have made great contributions to the field themselves. In particular, I would like to thank Rory Walsh for taking the time to read some of the trickier sections of this book, helping me to pitch them at the right level, and providing useful comments.

I would also like to acknowledge the help and encouragement of the computer music community, as well as the various contributions to software development, ideas, and concepts that have arisen from them. Special thanks should go to colleagues in the Csound development team John ffitich, Steven Yi, Tarmo Johannes, Joachim Heintz, Stephen Kyne, François Pinot, Alex Hoffmann, and Bernt Isak Waerstad, for their input into this open-source project and also for the enlightening discussions on all matters to do with audio programming and beyond.

I am very grateful for the endorsement given by Øyvind Brandtsegg, who very kindly wrote the foreword for this book. Our collaboration stretches back many years, and recently I have had the chance to work closely with him and Sigurd Saue on some very interesting musical signal processing bits and pieces, which have indirectly contributed to elements in this book.

It is important to note the continued support of Ronan Nugent at Springer, who has been very helpful in facilitating the editorial process for this book.

As ever, the work for this book has been thoroughly supported by the patience and help I get from my wife Alice, and our children Danny, Ellie, and Chris. They are an integral part of any achievements I might be in a position to claim.

Contents

Part I Towards Realtime Audio in C

1	Introduction to the Programming Environment	3
1.1	The Operating System	3
1.1.1	The File System	4
1.1.2	The Terminal	5
1.1.3	Processes	8
1.1.4	The Manual	9
1.1.5	The POSIX Standard	9
1.2	The C/C++ Toolchain	9
1.2.1	Compilers and Interpreters	9
1.2.2	Compiling	10
1.2.3	Running Programs from the Terminal	11
1.3	Introduction to C Programming	11
1.3.1	Character and Keyword Sets	12
1.3.2	Entry Point	12
1.3.3	The <code>shin</code> Program	14
1.3.4	Summary	15
1.4	Conclusions	16
	Problems	17
2	Data Types and Operators	19
2.1	Variables and Types	19
2.1.1	Encoding	20
2.1.2	Integers	22
2.1.3	Real Numbers	22
2.1.4	Characters	23
2.2	Initialisation, Assignment and Arithmetic Operations	24
2.2.1	Variable Scope	24
2.2.2	Constants	25
2.2.3	Operations	26

2.2.4	Conversion	27
2.2.5	Arithmetic Order	28
2.2.6	The sizeof Operator	28
2.3	Conclusions	28
	Problems	29
3	Standard Input and Output	31
3.1	Printing to the Terminal	31
3.1.1	The Format String	32
3.2	Getting Input from the Terminal	34
3.2.1	Pattern Matching	34
3.3	Character Input and Output	35
3.4	The <code>calc</code> Program	36
3.5	Conclusions	37
	Problems	37
4	Control of Flow	39
4.1	Conditional and Logical Expressions	39
4.2	Conditional Execution	40
4.2.1	Conditional Operator	42
4.3	Switch	43
4.4	Iteration	45
4.4.1	The while and do – while Loops	45
4.4.2	The for Loop	47
4.4.3	The break and continue Statements	48
4.5	A First Synthesis Program	48
4.5.1	Plotting the Waveform	49
4.5.2	Playing the Sound	52
4.5.3	Other Waveforms	52
4.6	Conclusions	53
	Problems	53
5	Arrays and Pointers	55
5.1	Arrays	55
5.1.1	Two-Dimensional Arrays	57
5.2	Strings	57
5.3	Pointers	58
5.4	Pointers and Arrays	60
5.4.1	Pointer Arithmetic	60
5.4.2	Pointers and Strings	63
5.5	Conclusions	65
	Problems	65

- 6 Functions** 67
 - 6.1 Function Definition 67
 - 6.1.1 Arguments 68
 - 6.1.2 Variable Lifetime 69
 - 6.1.3 Call Semantics 69
 - 6.1.4 Function Prototypes 70
 - 6.1.5 Parametrised Macros and Inline Functions 70
 - 6.1.6 Variable Argument Lists 72
 - 6.1.7 Recursive Calls 73
 - 6.2 Modular Programming 73
 - 6.3 Pointers to Functions 75
 - 6.4 The C Standard Library 77
 - 6.5 Another Synthesis Program 77
 - 6.5.1 Plotting 79
 - 6.5.2 Realtime 80
 - 6.6 Arguments to `main()` 81
 - 6.6.1 Translating Arguments 82
 - 6.7 Conclusions 83
 - Problems 83
- 7 Structures** 85
 - 7.1 Defining a New Type 85
 - 7.1.1 Member Access 86
 - 7.1.2 Pointers to Structures 87
 - 7.2 Functions in Structures 88
 - 7.3 Unions 89
 - 7.4 Enumerations 89
 - 7.5 Bitwise Operations 90
 - 7.5.1 Bitwise Logic 90
 - 7.5.2 Bitshift Operators 92
 - 7.6 Conclusions 93
 - Problems 93
- 8 Memory Management** 95
 - 8.1 Allocating Memory 95
 - 8.1.1 Reallocation 96
 - 8.1.2 Freeing Memory 97
 - 8.1.3 Setting and Copying Memory Blocks 97
 - 8.2 Dynamic Arrays 97
 - 8.3 Linked Lists 99
 - 8.4 Conclusions 102
 - Problems 103

9	File Input and Output	105
9.1	Standard C Library File IO	105
9.2	Text File Functions	107
9.3	Direct File IO Functions	108
9.3.1	Reading/Writing Position	109
9.3.2	Error Reporting	109
9.4	File System Functions	110
9.5	Programming Examples	110
9.5.1	The <code>tobin</code> Program	110
9.5.2	External Score Generation for Csound	111
9.6	Conclusions	112
	Problems	113
10	Soundfiles	115
10.1	Digital Audio	115
10.1.1	Sampling Frequency	116
10.1.2	Sample Precision	117
10.1.3	Audio Channels	118
10.2	Basic Operations on Signals	119
10.2.1	A Synthesis Example	120
10.2.2	Byte Order	121
10.2.3	Self-Describing Soundfile Formats	121
10.3	The <code>libsndfile</code> Library	122
10.3.1	Opening Files	122
10.3.2	Reading and Writing	124
10.3.3	Seeking	125
10.3.4	An Example Program	126
10.4	Conclusions	128
	Problems	128
11	Realtime Audio	131
11.1	Portaudio	132
11.1.1	Listing Devices	133
11.1.2	Stream Parameters	134
11.1.3	Opening Devices	135
11.1.4	Synchronous Mode	136
11.1.5	Asynchronous Mode	137
11.1.6	Closing Up	139
11.1.7	The <code>todac</code> Program	139
11.1.8	An Audio Effect	141
11.2	The Jack Connection Kit	145
11.2.1	Opening a Client	146
11.2.2	Registering Ports	147
11.2.3	The Processing Callback	148
11.2.4	Connecting Ports	148

11.2.5 Closing a Client	149
11.2.6 Application Example	149
11.3 Conclusions	154
Problems	154
12 Realtime MIDI	155
12.1 The Protocol	155
12.1.1 Hexadecimal Notation Revisited	156
12.1.2 MIDI Messages	156
12.1.3 Packing and Unpacking the Status Byte	158
12.2 MIDI Programming Basics	158
12.2.1 MIDI on MacOS	159
12.3 MIDI Programming with Portmidi	163
12.3.1 Timers	164
12.3.2 Opening Devices	165
12.3.3 Output	166
12.3.4 Input	168
12.3.5 A MIDI Synthesiser	169
12.4 MIDI on Jack	174
12.4.1 Example	176
12.5 Conclusions	182
Problems	182
 Part II Object-Oriented Audio in C++	
13 Oscillators	185
13.1 Moving to C++	187
13.1.1 C++ Structures	188
13.1.2 Overloading and Optional Parameters	190
13.1.3 Memory Management	191
13.2 The Table Lookup Oscillator	192
13.3 Conclusions	196
Problems	196
14 Interpolation	199
14.1 Linear Interpolation	200
14.2 Cubic Interpolation	201
14.3 Inheritance	202
14.3.1 Polymorphism	205
14.3.2 Oscillator Inheritance Tree	205
14.4 Function Table Objects	209
14.5 Reference Types	210
14.5.1 Copy Constructors	212
14.5.2 Object Reference Arguments	212
14.5.3 Self References	214
14.6 Phase Generators and Table Readers	214

14.6.1	The Phasor	215
14.6.2	Table Reader	215
14.7	Conclusions	216
	Problems	217
15	Envelopes	219
15.1	Envelope Generators	219
15.1.1	Linear Envelopes	220
15.1.2	Exponential Envelopes	221
15.2	Access Control and Classes	222
15.2.1	Namespaces	224
15.2.2	A Line Class	225
15.3	Operator Overloading	228
15.3.1	Standard IO Revisited	229
15.4	An Audio Output Class	230
15.5	Conclusions	232
	Problems	232
16	Filters	235
16.1	Feedback Filters	236
16.1.1	First-Order Tone Filters	236
16.1.2	Second-Order Filters	238
16.1.3	Fourth-Order Filters	241
16.1.4	Balancing	242
16.2	Templates	243
16.2.1	Templates in the Standard C++ Library	244
16.2.2	Range-Based Loops	247
16.3	Conclusions	247
	Problems	248
17	AuLib	249
17.1	Object-Oriented Audio Systems	250
17.2	Library Design	251
17.2.1	Stateful versus Stateless Representations	251
17.2.2	Abstraction and Encapsulation	253
17.2.3	Code Reuse	253
17.2.4	Connectivity	255
17.3	A Tour of the Library	256
17.3.1	Signal Generators	257
17.3.2	Signal Processors	259
17.3.3	Audio Input and Output	259
17.4	Synthesis and Processing Control	260
17.5	An AuLib Instrument	260
17.6	Conclusions	264
	Problems	264

18	Delay Line Processing	265
18.1	Circular Buffers	266
18.2	Fixed-Delay Effects	267
18.2.1	Comb Filters	272
18.2.2	All-Pass Filters	273
18.3	Variable Delay Lines	275
18.4	Multiple Taps	278
18.4.1	Convolution	280
18.5	Lambda Functions	282
18.5.1	Auto Types	283
18.6	Conclusions	284
	Problems	285
19	Frequency-Domain Processing	287
19.1	Fundamental Principles	288
19.1.1	Complex Numbers	289
19.1.2	Spectral Analysis	290
19.2	The Fast Fourier Transform	292
19.2.1	Real-to-Complex and Complex-to-Real Transforms	298
19.3	Fast Convolution	302
19.3.1	Overlap Add	305
19.3.2	Overlap Save	305
19.3.3	Multiple Partitions	306
19.3.4	Convolution Reverb	310
19.4	Streaming Spectral Processing	312
19.4.1	Spectral Analysis	315
19.4.2	Resynthesis	317
19.4.3	Spectral Manipulation	320
19.5	Conclusions	323
	Problems	324
20	Plugins	325
20.1	Plugins in Csound	325
20.2	Framework Design	326
20.2.1	The Base Classes	327
20.2.2	Deriving Opcode Classes	328
20.2.3	Registering Opcodes with Csound	331
20.3	The Csound Engine Object	332
20.4	Opcode Programming	333
20.4.1	Delay Line	333
20.4.2	Table-Lookup Oscillator	335
20.4.3	Text Processing	336
20.4.4	Spectral Processing	337
20.4.5	Array Processing	339
20.4.6	External Resources	341

20.4.7 Multithreading Opcodes	343
20.5 Conclusions	343
Problems	343
 Appendix	
A AuLib Reference	347
A.1 Library-Wide Definitions	347
A.2 AudioBase	348
A.3 Deriving New Classes	350
A.4 Audio DSP Classes	352
A.5 Control Classes	354
A.5.1 MIDI Synth Example	356
A.6 Other Classes	360
A.7 Building AuLib	360
 References	363
 Index	367

Acronyms

Odbfs	Zero decibel full scale
ADC	Analogue-to-Digital Converter
ADSR	Attack-Decay-Sustain-Release
AP	All Pass
API	Application Programming Interface
BP	Band Pass
BR	Band Reject
cps	cycles per second
DAC	Digital-to-Analogue Converter
dB	Decibel
DFT	Discrete Fourier Transform
DSP	Digital Signal Processing
FFT	Fast Fourier Transform
FIFO	First In First Out
FIR	Finite Impulse Response
FS	File System
GUI	Graphical User Interface
HAL	Hardware Audio Layer
HP	High Pass
Hz	Hertz
IDFT	Inverse Discrete Fourier Transform
IF	Instantaneous Frequency
IIR	Infinite Impulse Response
IO	Input-Output
IR	Impulse Response
ISTFT	Inverse Short-Time Fourier Transform
LFO	Low Frequency Oscillator
LP	Low Pass
LSB	Least Significant Byte
MIDI	Musical Instrument Digital Interface
MSB	Most Significant Byte

OLA	Overlap-Add
OLS	Overlap-Save
OOP	Object-Oriented Programming
OS	Operating System
PCM	Pulse Code Modulation
PID	Process Identifier
PV	Phase Vocoder
RMS	Root Mean Square
STFT	Short-Time Fourier Transform