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Explainable AI: Interpreting, Explaining and Visualizing Deep Learning



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Explainable AI – Preface

Shortly after the emergence of the first computers, researchers have been interested in developing 'intelligent' systems that can make decisions and perform autonomously [6]. Until then, some of these tasks were carried out by humans. Transferring the decision process to an AI system might in principle lead to faster and more consistent decisions, additionally freeing human resources for more creative tasks. AI techniques, such as machine learning, have made tremendous progress over the past decades and many prototypes have been considered for use in areas as diverse as personal assistants, logistics, surveillance systems, high-frequency trading, health care, and scientific research. While some AI systems have already been deployed, what remains a truly limiting factor for a broader adoption of AI technology is the inherent and undoubtable risks that come with giving up human control and oversight to 'intelligent' machines [1]. Clearly, for sensitive tasks involving critical infrastructures and affecting human well-being or health, it is crucial to limit the possibility of improper, non-robust, and unsafe decisions and actions [4]. Before deploying an AI system, we see a strong need to validate its behavior, and thus establish guarantees that it will continue to perform as expected when deployed in a real-world environment.

In pursuit of that objective, ways for humans to verify the agreement between the AI decision structure and their own ground-truth knowledge [7] have been explored. Simple models such as shallow decision trees or response curves are readily interpretable, but their predicting capability is limited. More recent deep learning based neural networks provide far superior predictive power, but at the price of behaving as a 'black-box' where the underlying reasoning is much more difficult to extract. Explainable AI (XAI) has developed as a subfield of AI, focused on exposing complex AI models to humans in a systematic and interpretable manner.

A number of XAI techniques [2, 3, 5, 8] have been proposed. Some of them have already proven useful by revealing to the user unsuspected flaws or strategies in commonly used ML models. However, many questions remain on whether these explanations are robust, reliable, and sufficiently comprehensive to fully assess the quality of the AI system. A series of workshops have taken place at major machine learning conferences on the topic of interpretable and explainable AI. The present book has emerged from our NIPS 2017 workshop "Interpreting, Explaining and Visualizing Deep Learning ... now what?". The goal of the workshop was to assess the current state of the research on XAI, and to discuss ways to mature this young field.

Therefore, in essence, this book does not provide final answers to the problem of interpretable AI. It is a snapshot of interpretable AI techniques that have been proposed recently, reflecting the current discourse in this field and providing directions of future development.

Our goal was to organize these contributions into a coherent structure, and to explain how each of them may contribute in the 'big picture' of interpretable and explainable AI. A number of chapters in this book are extensions of the workshop contributions. Other papers are contributions from non-participants that have been added to obtain a more comprehensive coverage of the current research flavors. Each chapter has received at least two peer-reviews and the revised contributions have greatly profited from this process.

The book is organized in six parts:

Part 1: Towards AI Transparency

Part 2: Methods for Interpreting AI Systems

Part 3: Explaining the Decisions of AI Systems

Part 4: Evaluating Interpretability and Explanations

Part 5: Applications of Explainable AI

Part 6: Software for Explainable AI

Although not being able to cover the full breadth of topics, the 22 chapters in this book provide a timely snapshot of algorithms, theory, and applications of interpretable and explainable AI. Many challenges still exist both on the methods and theory side, as well as regarding the way explanations are used in practice. We consider the book an excellent starting point that will hopefully enable future work resolving open challenges of this active field of research.

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Wojciech Samek Grégoire Montavon Andrea Vedaldi Lars Kai Hansen Klaus-Robert Müller

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