The Complexity of Recognizing Geometric Hypergraphs

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Abstract. As set systems, hypergraphs are omnipresent and have various representations ranging from Euler and Venn diagrams to contact representations. In a *geometric representation* of a hypergraph $H = (V, E)$, each vertex $v \in V$ is associated with a point $p_v \in \mathbb{R}^d$ and each hyperedge $e \in E$ is associated with a connected set $s_e \subset \mathbb{R}^d$ such that $\{p_v \mid v \in V\} \cap s_e = \{p_v \mid v \in e\}$ for all $e \in E$. We say that a given hypergraph H is *representable* by some (infinite) family $\mathcal{F}$ of sets in $\mathbb{R}^d$, if there exist $P \subset \mathbb{R}^d$ and $S \subseteq \mathcal{F}$ such that (P, S) is a geometric representation of H. For a family $\mathcal{F}$, we define RECOGNITION($\mathcal{F}$) as the problem to determine if a given hypergraph is representable by $\mathcal{F}$. It is known that the RECOGNITION problem is $\exists\mathbb{R}$-hard for halfspaces in $\mathbb{R}^d$. We study the families of translates of balls and ellipsoids in $\mathbb{R}^d$, as well as of other convex sets, and show that their RECOGNITION problems are also $\exists\mathbb{R}$-complete. This means that these recognition problems are equivalent to deciding whether a multivariate system of polynomial equations with integer coefficients has a real solution.

Keywords: Hypergraph · geometric hypergraph · recognition · computational complexity · convex · ball · ellipsoid · halfplane · halfspace.

1 Introduction

As set systems, hypergraphs appear in various contexts, such as databases, clustering, and machine learning. They are also known as *range spaces* (in computational geometry) or *voting games* (in social choice theory). A hypergraph can be represented in various ways, e.g., by a bipartite incidence graph, a simplicial representation (if the set system is closed under taking subsets), Euler or Venn diagrams etc. Similar to classical graph drawing, one can represent vertices by points and hyperedges by connected sets in $\mathbb{R}^d$ such that each set contains exactly the points of a hyperedge. For the purposes of legibility, uniformity, or also for aesthetic reasons, it is desirable that these sets satisfy additional properties,

e.g., being convex or having similar appearance such as being homothetic copies or even translates of each other.

For an introductory example, suppose we are organizing a conference and have a list of accepted talks. Clearly, each participant wants to quickly identify talks of their specific interest. In order to create a good overview, we want to find a good representation. To this end, we label each talk by several tags, e.g., `hypergraphs`, `complexity theory`, `planar graphs`, `beyond planarity`, `straight-line drawing`, `crossing numbers`, etc. Then, we create a representation, where each tag is represented by a unit disk (or another nice geometric object of our choice) containing points representing the talks that have this tag, see Figure 1 for an example. In other words, we are interested in a geometric representation of the hypergraph where the vertex set is given by the talks and tags define the hyperedges.

Fig. 1: A geometric representation with unit disks of the abstract hypergraph $H = (V, E)$ with $V = [8]$ and $E = \{\{1, 2, 3\}, \{3, 4, 5, 6\}, \{5, 6, 7\}, \{6, 7, 8\}\}$.

In this work, we investigate the complexity of deciding whether a given hypergraph has such a geometric representation. We start with a formal definition.

Problem Definition. In a *geometric representation* of a hypergraph $H = (V, E)$, each vertex $v \in V$ is associated with a point $p_v \in \mathbb{R}^d$ and each hyperedge $e \in E$ is associated with a connected set $s_e \subset \mathbb{R}^d$ such that $\{p_v \mid v \in V\} \cap s_e = \{p_v \mid v \in e\}$ for all $e \in E$. We say that a given hypergraph H is *representable* by some (possibly infinite) family $\mathcal{F}$ of sets in $\mathbb{R}^d$, if there exist $P \subset \mathbb{R}^d$ and $S \subseteq \mathcal{F}$ such that (P, S) is a geometric representation of H. For a family $\mathcal{F}$ of geometric objects in $\mathbb{R}^d$, we define RECOGNITION$(\mathcal{F})$ as the problem to determine whether a given hypergraph is representable by $\mathcal{F}$. Next, we give some definitions describing the geometric families studied in this work.

Bi-curved, Difference-separable, and Computable Convex Sets. We study convex sets that are bi-curved, difference-separable and computable. While the first two properties are needed for $\exists \mathbb{R}$-hardness, the last one is used to show $\exists \mathbb{R}$-membership.

Let $C \subset \mathbb{R}^d$ be a convex set. We call C *computable* if for any point $p \in \mathbb{R}^d$ we can decide in polynomial time on a real RAM whether p is contained in C. We say that C is *bi-curved* if there exists a unit vector $v \in \mathbb{R}^d$, such that there are two distinct tangent hyperplanes on C with normal vector v; with each of these hyperplanes intersecting C in a single point, and C being *smooth* at both of these intersection points. Informally, a convex set is bi-curved, if its boundary has two smoothly curved parts in which the tangent hyperplanes are parallel. Note that a convex, bi-curved set is necessarily bounded. As a matter of fact, any strictly convex bounded set in any dimension is bi-curved. For such sets, any unit vector v fulfills the conditions. As can be seen in Figure 2a, being strictly convex is not necessary for being bi-curved.

(a) This burger-like set is bi-curved as shown by the two tangent hyperplanes.

(b) A hyperplane separating the symmetric difference of two translates of the burger-like set.

(c) Two cubes in $\mathbb{R}^3$ whose symmetric difference cannot be separated by a plane.

Fig. 2: Illustration for the notions bi-curved and difference-separable.

We call C *difference-separable* if for any two translates C_1, C_2 of C, there exists a hyperplane which strictly separates $C_1 \setminus C_2$ from $C_2 \setminus C_1$. Being difference-separable is fulfilled by any convex set in $\mathbb{R}^2$, see Figure 2b for an example. For a proof of this fact we refer to [32, Corollary 2.1.2.2]. However, in higher dimensions this is not the case: for a counterexample, consider two 3-cubes as in Figure 2c. In higher dimensions, the bi-curved and difference-separable families include the balls and ellipsoids. We are not aware of other natural geometric families with those two properties. Note that balls and ellipsoids are naturally computable.

We are now ready to state our results.

1.1 Results

We study the recognition problem of geometric hypergraphs. We first consider the maybe simplest type of geometric hypergraphs, namely those that stem from halfspaces. It is known due to Tanenbaum, Goodrich, and Scheinerman [56] that the RECOGNITION problem for geometric hypergraphs of halfspaces is NP-hard, but their proof actually implies ∃ℝ-hardness as well. We present a slightly different proof of this fact due to two reasons. Firstly, their proof lacks details

about extensions to higher dimensions. Secondly, it is a good stepping stone towards our proof of Theorem 2.

Theorem 1 (Tanenbaum, Goodrich, Scheinerman [56]). *For every $d \geq 2$, RECOGNITION($\mathcal{F}$) is $\exists\mathbb{R}$-complete for the family $\mathcal{F}$ of halfspaces in $\mathbb{R}^d$.*

Next we consider families of objects that are translates of a given object.

Theorem 2. *For $d \geq 2$, let $C \subseteq \mathbb{R}^d$ be a convex, bi-curved, difference-separable and computable set, and let $\mathcal{F}$ be the family of all translates of C. Then RECOGNITION($\mathcal{F}$) is $\exists\mathbb{R}$-complete.*

We note that for $d = 1$, the RECOGNITION problems of halfspaces and translates of convex sets can be solved by sorting. Consequently, they can be decided in polynomial time.

One might be under the impression that the RECOGNITION problem is $\exists\mathbb{R}$-complete for every reasonable family of geometric objects of dimension at least two. However, we show that the problem is contained in NP for translates of polygons and thus, if $\mathsf{NP} \subsetneq \exists\mathbb{R}$ as widely believed, not $\exists\mathbb{R}$-complete.

Theorem 3. *Let P be a simple polygon with integer coordinates in $\mathbb{R}^2$, and $\mathcal{F}$ the family of all translates of P. Then RECOGNITION($\mathcal{F}$) is contained in NP.*

Organization. We give an overview over our proof techniques in Section 1.3. Full proofs of Theorem 3 as well as the membership parts of Theorems 1 and 2 are found in Section 2. We introduce the version of pseudohyperplane stretchability used in our hardness reductions in Section 3. Full proofs of the hardness parts of Theorems 1 and 2 can be found in Sections 4 and 5, respectively.

1.2 Related work

In this section we give a concise overview over related work on the complexity class $\exists\mathbb{R}$, geometric intersection graphs, and on other set systems related to hypergraphs.

The Existential Theory of the Reals. The complexity class $\exists\mathbb{R}$ (pronounced as 'ER' or 'exists R') is defined via its canonical complete problem ETR (short for *Existential Theory of the Reals*) and contains all problems that polynomial-time many-one reduce to it. In an ETR instance, we are given a sentence of the form

$$\exists x_1, \ldots, x_n \in \mathbb{R} : \varphi(x_1, \ldots, x_n),$$

where φ is a well-formed and quantifier-free formula consisting of polynomial equations and inequalities in the variables and the logical connectives $\{\wedge, \vee, \neg\}$. The goal is to decide whether this sentence is true.

The complexity class $\exists\mathbb{R}$ gains its importance from its numerous influential complete problems. Important $\exists\mathbb{R}$-completeness results include the realizability

of abstract order types [39,51], geometric linkages [44], and the recognition of geometric intersection graphs, as further discussed below.

More results concern graph drawing [20,21,31,45], the Hausdorff distance [27], polytopes [19,42], Nash-equilibria [8,10,11,24,47], training neural networks [3,9], matrix factorization [17,48,49,50,57], continuous constraint satisfaction problems [38], geometric packing [5], the art gallery problem [2,55], and covering polygons with convex polygons [1].

Geometric Hypergraphs. Many aspects of hypergraphs with geometric representations have been studied. Hypergraphs represented by touching polygons in $\mathbb{R}^3$ have been studied by Evans et al. [23]. Bounds on the number of hyperedges in hypergraphs representable by homothets of a fixed convex set S have been established by Axenovich and Ueckerdt [7]. Smorodinsky studied the chromatic number and the complexity of coloring of hypergraphs represented by various types of sets in the plane [53]. Dey and Pach [18] generalize many extremal properties of geometric graphs to hypergraphs where the hyperedges are induced simplices of some point set in $\mathbb{R}^d$. Haussler and Welzl [25] defined ϵ-nets, subsets of vertices of hypergraphs called range spaces with nice properties. Such ϵ-nets of geometric hypergraphs have been studied quite intensely [6,35,40,41].

While there are many structural results, we are not aware of any research into the complexity of recognizing hypergraphs given by geometric representations, other than the recognition of embeddability of simplicial complexes, as we will discuss in the next paragraph.

Other Representations of Hypergraphs. Hypergraphs are in close relation with abstract simplicial complexes. In particular, an abstract simplicial complex (complex for short) is a set system that is closed under taking subsets. A k-complex is a complex in which the maximum size of a set is k. In a geometric representation of an abstract simplicial complex $H = (V, E)$ each ℓ-set of E is represented by a ℓ-simplex such that two simplices of any two sets intersect exactly in the simplex defined by their intersection (and are disjoint in case of an empty intersection). Note that 1-complexes are graphs and hence deciding the representability in the plane corresponds to graph planarity (which is in P). In stark contrast, Abrahamsen, Kleist and Miltzow recently showed that deciding whether a 2-complex has a geometric embedding in $\mathbb{R}^3$ is $\exists\mathbb{R}$-complete [4]; they also prove hardness for other dimensions. Similarly, piecewise linear embeddings of simplicial complexes have been studied [13,14,15,33,34,37,52].

Recognizing Geometric Intersection Graphs. Given a set of geometric objects, its intersection graph has a vertex for each object, and an edge between any two intersecting objects. The complexity of recognizing geometric intersection graphs has been studied for various geometric objects. We summarize these results in Figure 3.

While intersection graphs of circle chords (Spinnrad [54]), unit intervals (Looges and Olariu [30]) and intervals (Booth and Lueker [12]) can be recognized in polynomial time, recognizing string graphs (Schaefer and Sedgwick [46])

Fig. 3: Containment relations of geometric intersection graphs. Recognition of a green class is in P, of a grey class is NP-complete, of a blue class is $\exists\mathbb{R}$-complete, and of a white class is unknown.

is NP-complete. In contrast, $\exists\mathbb{R}$-completeness of recognizing intersection graphs has been proved for (unit) disks by McDiarmid and Müller [36], convex sets by Schaefer [43], downward rays by Cardinal et al. [16], outer segments by Cardinal et al. [16], unit segments by Hoffmann et al. [26], segments by Kratochvíl and Matoušek [29], k-polylines by Hoffmann et al. [26], and unit balls by Kang and Müller [28].

The existing research landscape indicates that recognition problems of intersection graphs are $\exists\mathbb{R}$-complete in case that the family of objects satisfy two conditions: Firstly, they need to be "geometrically solid", i.e., not strings. Secondly, some non-linearity must be present by either allowing rotations, or by the objects having some curvature. Our results indicate that this general intuition might translate to the recognition of geometric hypergraphs.

1.3 Overview of Proof Techniques

We prove containment in $\exists\mathbb{R}$ and NP using standard arguments, providing witnesses and verification algorithms.

We prove the hardness parts of Theorems 1 and 2 by reduction from stretchability of pseudohyperplane arrangements. The hypergraph we build from the given arrangement differs from the one built in the proof of Theorem 1 given in [56], since we wish to use a single construction which works nicely for both

theorems. Given a simple pseudohyperplane arrangement $\mathcal{A}$, we construct a hypergraph H as follows: We double each pseudohyperplane by giving it a parallel *twin*. In this arrangement, we place a point in every d-dimensional cell. These points represent the vertices of H. Every pseudohyperplane ℓ then defines a hyperedge, which contains all of the points on the same side of ℓ as its twin pseudohyperplane. See Figure 5 for an illustration of this construction.

Because this construction can also be performed on a hyperplane arrangement, it is straightforward to prove that if $\mathcal{A}$ is stretchable, H can be represented by halfspaces. Conversely, we show that the hyperplanes bounding the halfspaces in a representation of H must be a stretching of $\mathcal{A}$.

For Theorem 2, bi-curvedness of a set C implies that locally, C can approximate any halfspace with normal vector close to v as in the definition of bi-curved. This allows us to prove that stretchability of $\mathcal{A}$ implies representability of H by translates of C. The set C being difference-separable is used when reconstructing a hyperplane arrangement from a representation of H.

2 Membership

In this section we show $\exists\mathbb{R}$- and NP-membership.

2.1 Halfspaces

For a given hypergraph H, it is not difficult to formulate an ETR formula describing all needed properties for a geometric representation by halfspaces. Therefore, we get the $\exists\mathbb{R}$-membership part of Theorem 1.

Lemma 1. *Fix $d \geq 1$ and let $\mathcal{F}$ denote the family of halfspaces in $\mathbb{R}^d$. Then* $\textsc{Recognition}(\mathcal{F})$ *is contained in $\exists\mathbb{R}$.*

The $\exists\mathbb{R}$-membership part of Theorem 2 is obtained by providing a simple verification algorithm [22] (similar to how NP-membership can be shown), based on the fact that our considered set C is computable.

Lemma 2. *For some $d \geq 1$, let $C \subseteq \mathbb{R}^d$ be a computable set and let $\mathcal{F}$ be the family of all translates of C. Then, $\textsc{Recognition}(\mathcal{F})$ is contained in $\exists\mathbb{R}$.*

The full proofs of Lemmas 1 and 2 have been omitted due to space constraints and can be found in Appendix A.

2.2 Translates of Polygons – Proof of Theorem 3

Here, we show Theorem 3, i.e., NP-membership of $\textsc{Recognition}$ of translates of some simple polygon P.

Theorem 3. *Let P be a simple polygon with integer coordinates in $\mathbb{R}^2$, and $\mathcal{F}$ the family of all translates of P. Then $\textsc{Recognition}(\mathcal{F})$ is contained in NP.*

Proof. The proof uses a similar argument to the one used to show that the problem of packing translates of polygons inside a polygon is in NP [5]. For an illustration, consider Figure 4. We first triangulate the convex hull of P, such that each edge of P appears in the triangulation. Then, a representation of a hypergraph H by translates of P gives rise to a certificate as follows: For each pair of a point p and a translate P' of P, we specify whether p lies in the convex hull of P'. If it does, we specify in which triangle p lies. Otherwise, we specify an edge of the convex hull for which p and P' lie on opposite sides of the line through the edge.

Fig. 4: The polygon P', a triangulation of its convex hull, and the triangle that contains p.

Such a certificate can be tested in polynomial time: we create a linear program whose variables describe the locations of the points p and the translation vectors of each translate of P, and whose constraints enforce the points to lie in the areas described by the certificate. This linear program has a number of constraints and variables polynomial in the size of H, and can be thus solved in polynomial time.

The solution of this linear program gives the location of the points and the translation vectors of the polygons. This implies that these coordinates are all polynomial and could be used as a certificate directly. □

3   Pseudohyperplane Stretchability

A *pseudohyperplane arrangement* in $\mathbb{R}^d$ is an arrangement of *pseudohyperplanes*, where a pseudohyperplane is a set homeomorphic to a hyperplane, and each intersection of pseudohyperplanes is homeomorphic to a plane of some dimension. In the classical definition, every set of d pseudohyperplanes has a non-empty intersection. Here, we consider *partial pseudohyperplane arrangements (PPHAs)*, where not necessarily every set of $\leq d$ pseudohyperplanes has a common intersection.

A PPHA is *simple* if no more than k pseudohyperplanes intersect in a space of dimension $d-k$, in particular, no $d+1$ pseudohyperplanes have a common intersection. We call the 0-dimensional intersection points of d pseudohyperplanes the *vertices* of the arrangement. A simple PPHA $\mathcal{A}$ is called *stretchable* if there exists a hyperplane arrangement $\mathcal{A}'$ such that each vertex in $\mathcal{A}$ also exists in $\mathcal{A}'$ and each (pseudo-)hyperplane splits this set of vertices the same way in $\mathcal{A}$

and $\mathcal{A}'$. In other words, each vertex of $\mathcal{A}$ lies on the correct side of each hyperplane in $\mathcal{A}'$. We then call the hyperplane arrangement $\mathcal{A}'$ a *stretching* of $\mathcal{A}$.

The problem d-STRETCHABILITY is the problem of deciding whether a simple PPHA in $\mathbb{R}^d$ is stretchable. For $d = 2$, d-STRETCHABILITY contains the stretchability of simple pseudoline arrangements which is known to be $\exists\mathbb{R}$-hard [39,51]. It is straightforward to prove $\exists\mathbb{R}$-hardness for all $d \geq 2$; the proof can be found in Appendix B.

Theorem 4. *d-STRETCHABILITY is $\exists\mathbb{R}$-hard for all $d \geq 2$.*

Similar extensions of pseudoline stretchability to higher dimensions have been studied in the literature. For example, Mnëv's universality theorem [39] extends to higher dimensions, however we are not aware of any existing proofs that it also implies $\exists\mathbb{R}$-hardness in $d > 2$. Kang and Müller [28] also studied a similar version of stretchability of partial arrangements of pseudohyperplanes.

4 Hardness for Halfspaces – Proof of Theorem 1

We now present the hardness part of Theorem 1.

Theorem 1 (Tanenbaum, Goodrich, Scheinerman [56]). *For every $d \geq 2$, RECOGNITION($\mathcal{F}$) is $\exists\mathbb{R}$-complete for the family $\mathcal{F}$ of halfspaces in $\mathbb{R}^d$.*

Proof (of Theorem 1). We reduce from d-STRETCHABILITY. Let $\mathcal{A}$ be a simple PPHA. For an example consider Figure 5a. In a first step, we insert a parallel twin ℓ' for each pseudohyperplane ℓ. The twin is close enough to ℓ such that ℓ and ℓ' have the same intersection pattern. Since ℓ and ℓ' are parallel, they do not intersect each other. This yields an arrangement $\mathcal{A}'$, see Figure 5b.

In a second step, we introduce a point in each d-dimensional cell of $\mathcal{A}'$; each point represents a vertex in our hypergraph H. Lastly, we define a hyperedge for each pseudohyperplane ℓ of $\mathcal{A}'$: The hyperedge contains all of the points that lie on the side of ℓ that the twin pseudohyperplane ℓ' lies in, see Figure 5c. Note that

(a)   (b)   (c)

Fig. 5: Construction of the hypergraph H. (a) A simple PPHA $\mathcal{A}$. (b) The arrangement $\mathcal{A}'$ obtained by inserting twins. (c) The vertices of H are the points in the cells, hyperedges of H are defined by the pseudohalfspaces; the gray region shows one of the hyperedges.

we define such a hyperedge for every pseudohyperplane of $\mathcal{A}'$. Thus, for every pseudohyperplane ℓ of the original arrangement $\mathcal{A}$ we define two hyperedges, whose union contains all vertices of H.

It remains to show that H is representable by halfspaces if and only if $\mathcal{A}$ is stretchable. If $\mathcal{A}$ is stretchable, the construction of a representation of H is straightforward: Consider a hyperplane arrangement $\mathcal{B}$ which is a stretching of $\mathcal{A}$. Then, for each hyperplane, we add a parallel hyperplane very close, so that their intersection patterns coincide. This results in a hyperplane arrangement $\mathcal{B}'$. We now prove that every d-dimensional cell of $\mathcal{A}'$ must also exist in $\mathcal{B}'$. First, note that each such cell corresponds to a cell of $\mathcal{A}$, which has at least one vertex on its boundary. All vertices of $\mathcal{A}$ exist in $\mathcal{B}$ by definition of a stretching. Furthermore, the subarrangement of the d hyperplanes in $\mathcal{B}$ intersecting in this vertex must be simple, since their intersection could not be 0-dimensional otherwise. In the twinned hyperplane arrangement $\mathcal{B}'$, all 3^d of the d-dimensional cells incident to this vertex (a cell is given by the following choice for each of the hyperplane pairs: above both hyperplanes, between the hyperplanes, or below both hyperplanes) must exist. This proves that all d-dimensional cells of $\mathcal{A}'$ also exist in $\mathcal{B}'$. Inserting a point in each such d-dimensional cell and considering the (correct) halfspaces bounded by the hyperplanes of $\mathcal{B}'$ yields a representation of H.

We now consider the reverse direction. Let $(P, \mathcal{H})$ be a tuple of points and halfspaces representing H. Let $h_{i,1}$ and $h_{i,2}$ be the two halfspaces associated with a pseudohyperplane ℓ_i of $\mathcal{A}$. Let p_i denote the $(d-1)$-dimensional hyperplane bounding $h_{i,1}$. We show that the family $\{p_i\}_i$ of these hyperplanes is a stretching of $\mathcal{A}$.

For each intersection point q of d pseudohyperplanes $\ell_1, \ldots \ell_d$ in $\mathcal{A}$, we consider the corresponding $2d$ pseudohyperplanes in $\mathcal{A}'$. The PPHA $\mathcal{A}'$ contains 3^d d-dimensional cells incident to their 2^d intersections; each of which contains a point. We first show that the associated halfspaces must induce at least 3^d cells, one of which is bounded and represents the intersection point, see also Figure 6a: These 3^d points have pairwise distinct patterns of whether or not they are contained in each of the $2d$ halfspaces. Thus, these points need to lie in distinct cells of the arrangement of halfspaces, which proves the claim. Moreover, every point in P belongs to exactly one of these 3^d cells. In particular, the central bounded cell, denoted by $c(q)$, contains exactly one point of P, see Figure 6b.

Now, we argue that the complete cell $c(q)$ (and thus in particular the intersection point of the hyperplanes representing q) lies on the correct side of each hyperplane p in $\{p_i\}_i$. Note that, by construction of the hypergraph H, the 3^d points of q lie on the same side of p. Suppose for a contradiction that p intersects $c(q)$, see Figure 6c. Then there exist two unbounded cells incident to $c(q)$ which lie on different sides of p; these cells can be identified by translating p until it intersects $c(q)$ only in the boundary. This yields a contradiction to the fact that the 3^d points of q lie on the same side of p.

We conclude that each intersection point of d pseudohyperplanes in $\mathcal{A}$ also exists in the arrangement $\{p_i\}_i$ and lies on the correct side of all hyperplanes. Thus, $\{p_i\}_i$ is a stretching of $\mathcal{A}$ and $\mathcal{A}$ is stretchable. $\qquad\square$

Fig. 6: Illustration for the proof of Theorem 1 for $d = 2$ showing that representability of H implies stretchability of $\mathcal{A}$. (a) Any two pseudolines ℓ_i, ℓ_j in $\mathcal{A}$ have four corresponding lines bounding the respective halfplanes in H; these four lines induce 9 cells, each of which contains a point. (b) Each point in P belongs to exactly one of these 9 cells; the central bounded cell contains a unique point representing the intersection of ℓ_i and ℓ_j. (c) The central bounded cell cannot be intersected by a line p_k with $k \neq i, j$.

5 Hardness for Convex, Bi-curved, and Difference-separable Sets – Proof of Theorem 2

We are now going to prove the hardness part of Theorem 2.

Theorem 2. *For $d \geq 2$, let $C \subseteq \mathbb{R}^d$ be a convex, bi-curved, difference-separable and computable set, and let $\mathcal{F}$ be the family of all translates of C. Then RECOGNITION($\mathcal{F}$) is $\exists\mathbb{R}$-complete.*

To this end, consider any fixed convex, bi-curved, and difference-separable set C in $\mathbb{R}^d$. Note that we can assume C to be fully-dimensional, since otherwise each connected component would live in some lower-dimensional affine subspace, with no interaction between such components. We use the same reduction from the problem d-STRETCHABILITY as in the proof for halfspaces in the previous section and show that the constructed hypergraph H is representable by translates of C if and only if the given PPHA $\mathcal{A}$ is stretchable.

Lemma 3. *If $\mathcal{A}$ is stretchable, H is representable by translates of C.*

The full proof of this lemma can be found in Appendix C. The idea behind the proof is that a stretching $\mathcal{A}'$ of $\mathcal{A}$ can be scaled and stretched in such a way that every hyperplane has a normal vector close to the vector v witnessing that C is bi-curved, and such that all the vertices lie within some sufficiently small box. Then, for every halfspace $h_\pm$ bounded by some hyperplane h in $\mathcal{A}'$, there exists a translate of C which approximates $h_\pm$ within the small box. This intuition is shown in Figure 7. Since the hyperplane arrangement is simple, and there is some slack between the hyperplanes bounding the two twin halfspaces (as we argued above in the proof of Theorem 1), such an approximation is sufficient.

Lemma 4. *If the hypergraph H is representable by translates of C, then $\mathcal{A}$ is stretchable.*

Fig. 7: Illustration for the proof of lemma 3. Within the small box (dark grey), the translate of C (green) approximates the halfspace (light grey) bounded by h.

Proof. Assume H is representable. By construction, the two translates $C_{i,r}, C_{i,l}$ of C corresponding to the two hyperedges of each pseudohyperplane ℓ_i must intersect as they contain at least one common point. We call their convex intersection the *lens* of this pseudohyperplane. For each pseudohyperplane ℓ_i of $\mathcal{A}$, we consider some hyperplane p_i which separates $C_{i,r} \setminus C_{i,l}$ from $C_{i,l} \setminus C_{i,r}$. Such a hyperplane exists since C is difference-separable. Let $\mathcal{P} := \{p_i\}_i$ be the hyperplane arrangement consisting of all these separators. We aim to show that $\mathcal{P}$ is a stretching of $\mathcal{A}$.

To this end, consider d pseudohyperplanes $\ell_1, \ldots, \ell_d$ which intersect in $\mathcal{A}$. Figure 8 displays the case $d = 2$. Furthermore, consider one more pseudohyperplane ℓ', and let p', C'_r, C'_l denote the separator hyperplane and translates of C corresponding to ℓ'. We show that the intersection $I_p := p_1 \cap \ldots \cap p_d$ is a single point which lies on the same side of p' as the point $I_\ell := \ell_1 \cap \ldots \cap \ell_d$ lies of ℓ'.

(a) Pseudohyperplanes ℓ_1, ℓ_2, ℓ' in $\mathcal{A}$ (b) Hyperplanes p_1, p_2, p' in $\mathcal{P}$.

Fig. 8: Illustration for the proof of Lemma 4 for $d = 2$. Some pseudohyperplanes in $\mathcal{A}$ and their corresponding hyperplanes in $\mathcal{P}$.

The hyperplane p' divides the space into two halfspaces h_r and h_l such that $C'_r \backslash C'_l \subseteq h_r$ and $C'_l \backslash C'_r \subseteq h_l$. By construction, the two hyperedges defined for ℓ' cover all vertices of H and the vertices in the cells around I_ℓ belong to only one hyperedge. Suppose without loss of generality that these vertices only belong to the hyperedge represented by C'_l. We will show that the intersection I_p must then be a point in h_l.

We first show that the intersection I_p is a point, i.e., 0-dimensional. Consider all 2^d d-dimensional cells of $\mathcal{A}$ around I_ℓ. The construction of H implies that each such cells contains a distinct point, and these points must all lie in distinct cells of the sub-arrangement of the involved hyperplanes $p_1, \dots, p_d$. Assuming that I_p is not a single point, this sub-arrangement is not simple, and the hyperplanes divide space into strictly fewer than 2^d cells, which results in a contradiction.

Next we prove that I_p is in h_l. Assume towards a contradiction that $I_p \in h_r$, see also Figure 9. Consider the d lines that are formed by the intersections of subsets of $d-1$ hyperplanes among $p_1, \dots, p_d$. Each of these lines is the union of two rays beginning at I_p. Observe that the hyperplane p' can only intersect one of the two rays forming each line. Let S be the convex cone centered at I_p defined by the d non-intersected rays. Observe that S does not intersect p', so S must be fully contained in h_r, i.e., $S \cap h_l = \emptyset$. Note, however, by the construction of the hypergraph, there must be a point that lies in $S \cap (C'_l \setminus C'_r) \subseteq S \cap h_l$, which is a contradiction.

Fig. 9: Illustration for the proof of Lemma 4 for $d = 2$. The cone S must intersect $C'_l \setminus C'_r$, which contradicts I_p lying in h_r.

We conclude that $\mathcal{P}$ is a stretching of $\mathcal{A}$, and thus $\mathcal{A}$ is stretchable. $\qquad \square$

Lemmas 3 and 4 combined now prove hardness of $\textsc{Recognition}(\mathcal{F})$ for the family $\mathcal{F}$ of translates of C. This completes the proof of Theorem 2.

6 Future directions

We conclude with a list of interesting open problems: As mentioned above, we are not aware of interesting families of bi-curved and difference-separable sets in higher dimensions beyond balls and ellipsoids. The families of translates of a

given polygon show the need for some curvature in order to show ∃ℝ-hardness. We wonder if it is sufficient for ∃ℝ-hardness to assume curvature at only one boundary part instead of two opposite ones. Another open question is to consider families that include rotated copies or homothetic copies of a fixed geometric object. Allowing for rotation, it is conceivable that ∃ℝ-hardness even holds for polygons. Allowing for homothetic copies, the next natural question would be to study the family of all balls, not just balls of the same size.

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A Details of membership, Lemmas 1 and 2

Lemma 1. *Fix $d \geq 1$ and let $\mathcal{F}$ denote the family of halfspaces in $\mathbb{R}^d$. Then RECOGNITION($\mathcal{F}$) is contained in $\exists\mathbb{R}$.*

Proof. For a given hypergraph H, we formulate an ETR formula as follows. For each vertex/point, we create variables $p = (p_1, \ldots, p_d)$ to represent the point. Similarly, for each hyperedge/halfspace, we create variables $h = (h_1, \ldots, h_{d+1})$ to represent the coefficients of the halfspace. Then for each point p that is supposed to be in some halfspace h, we create the constraint:

$$h_1 p_1 + \ldots h_d p_d \leq h_{d+1}.$$

Similarly, if p is not contained in a halfspace h, we create the constraint:

$$h_1 p_1 + \ldots h_d p_d > h_{d+1}.$$

This is a valid ETR sentence that is equivalent to the representability of H. Note that for any fixed dimension d the ETR sentence is of polynomial size. $\square$

Recall that the class NP is usually described by the existence of a witness and a verification algorithm. The same characterization exists for $\exists\mathbb{R}$ using a real verification algorithm. Instead of the witness consisting of binary words of polynomial length, in addition a polynomial number of real-valued numbers are allowed as a witness. Furthermore, in order to be able to use those real numbers, the verification algorithm is allowed to work on the so-called real RAM model of computation. The real RAM allows arithmetic operations with real numbers in constant time [22].

Lemma 2. *For some $d \geq 1$, let $C \subseteq \mathbb{R}^d$ be a computable set and let $\mathcal{F}$ be the family of all translates of C. Then, RECOGNITION($\mathcal{F}$) is contained in $\exists\mathbb{R}$.*

Proof. We describe a real verification algorithm as mentioned above. The witness consists of the (real) coordinates of the points representing the vertices and the coefficients of the translation vectors representing the hyperedges. By definition of computable, a verification algorithm can efficiently check if each point is contained in the correct sets. $\square$

B Details of Theorem 4

Theorem 4. *d-STRETCHABILITY is $\exists\mathbb{R}$-hard for all $d \geq 2$.*

Proof. We reduce from stretchability of simple pseudoline arrangements, which is $\exists\mathbb{R}$-hard as shown in [39,51].

Consider a simple pseudoline arrangement L in the $x_1 x_2$-plane. We consider $d - 2$ pairwise orthogonal hyperplanes $h_1, \ldots, h_{d-2}$ whose common intersection is the $x_1 x_2$-plane; e.g., the hyperplanes defined $x_i = 0$ for $i = 3, \ldots, d$. The

Fig. 10: Extending a simple pseudoline arrangement (dashed) to a partial pseudohyperplane arrangement in $\mathbb{R}^3$. The grey hyperplane serves as the "canvas".

intersection of these hyperplanes serves as a canvas in which we aim to embed L. We extend each pseudoline of ℓ to a pseudohyperplane h_ℓ by extending it orthogonally to all $h_1, \ldots, h_{d-2}$, see Figure 10.

Clearly, the resulting pseudohyperplane arrangement $\mathcal{A}$ can be built in polynomial time. Note that all intersection points of d pseudohyperplanes in $\mathcal{A}$ correspond to intersection points of L.

If L is stretchable, $\mathcal{A}$ is clearly stretchable, as the above construction can be applied to the stretched line arrangement of L.

If $\mathcal{A}$ is stretchable, L is stretchable, since restricting each hyperplane h_ℓ to the intersection of the hyperplanes $h_1, \ldots, h_{d-2}$ yields a line arrangement which is equivalent to L.

As we have thus reduced stretchability of simple pseudoline arrangements to d-STRETCHABILITY, this concludes the proof. □

C Details of Lemma 3

Lemma 3. *If $\mathcal{A}$ is stretchable, H is representable by translates of C.*

Proof. We assume that $\mathcal{A}$ is stretchable. We already proved in the previous section that thus there exists an arrangement of hyperplanes, in which we can create a twin of each hyperplane (with a tiny distance α between the twins), and in which we can place all the vertices of H in the appropriate d-dimensional cells. If a vertex is placed between two twin hyperplanes, we assume it to be equidistant to them. As before, we denote this arrangement of hyperplanes and points by $\mathcal{B}'$.

Let v be the unit vector certifying that C is bi-curved; recall the definition in Section 1. Because C is smooth at the touching points of the tangent hyperplanes with normal vector v, there exists $\epsilon > 0$, such that any unit vector w with $\|w - v\|_2 \leq \varepsilon$ also fulfills the conditions to certify that C is bi-curved.

We now assume that $\mathcal{B}'$ fulfills the following properties:

1. the normal vectors of all hyperplanes have distance at most ε to v or to $-v$

2. every intersection point of d hyperplanes as well as every point representing a vertex of H, is contained in $[-1, 1]^d$.

Both properties can be achieved by applying some affine transformation with positive determinant, thus preserving the combinatorial structure of $\mathcal{B}'$.

To represent the hyperedges of H, we will now use very large copies of C. Note that technically we are not allowed to scale C, but scaling C by a factor f is equivalent to scaling the arrangement by a factor $1/f$. Let C^f be the set C scaled by factor f.

In order to determine the necessary scaling factor f, we consider the curvature of C^f in all the points where the tangent hyperplanes of C^f with normal vector w for $\|w - v\|_2 \leq \varepsilon$ intersect C^f. In each such tangent hyperplane h with (unit) normal vector w, we draw a $(d-1)$-ball B of radius $10\sqrt{d}$ around the touching point $h \cap C^f$. Note that $10\sqrt{d}$ is larger than the length of any line segment contained in the box $[-1, 1]^d$. Now, f has to be large enough such that C^f contains every point $p + w \cdot \lambda$, for $p \in B$ and $\alpha/10 \leq \lambda \leq 10\sqrt{d}$. This ensures that the boundary of C^f does not curve away from the tangent hyperplane too quickly, and that C^f is "thick". In other words, C^f locally behaves like an only very slightly curved halfspace. See Figure 11 for an illustration of this requirement on C^f.

Fig. 11: An illustration of the requirement on the scaling factor f. The set C^f must contain the grey region.

We now replace each hyperplane h of the arrangement $\mathcal{B}'$ by a translate C_h^f of C^f, placed such that h is a tangent hyperplane of C_h^f, the single point $h \cap C_h^f$

lies within the box $[-1,1]^d$, and C_h^f lies completely to the side of h containing its twin hyperplane. It remains to prove that C_h^f contains exactly those points of $\mathcal{B}'$ which are on this side of h. Firstly, C_h^f cannot contain more points, since C_h^f is a subset of the halfspace delimited by h containing its twin hyperplane. Second, we claim that C_h^f contains all these points. To see this, note that within the box $[-1,1]^d$ containing all points, the boundary of C_h^f is close enough to h that it must contain all points between h and its twin, since these points are located equidistant to the two hyperplanes. Furthermore, all points on the other side of the twin hyperplane are also contained in C_h^f since within the box $[-1,1]^d$, the boundary $\delta(C_h^f)$ lies completely between h and its twin hyperplane. $\qquad\square$