# Balls and Funnels: Energy Efficient Group-to-Group Anycasts

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Abstract. We introduce group-to-group anycast (g2g-anycast), a network design problem of substantial practical importance and considerable generality. Given a collection of groups and requirements for directed connectivity from source groups to destination groups, the solution network must contain, for each requirement, an omni-directional down-link broadcast, centered at any node of the source group, called the ball; the ball must contain some node from the destination group in the requirement and all such destination nodes in the ball must aggregate into a tree directed towards the source, called the funnel-tree. The solution network is a collection of balls along with the funnel-trees they contain. g2g-anycast models DBS (Digital Broadcast Satellite), Cable TV systems and drone swarms. It generalizes several well known network design problems including minimum energy unicast, multicast, broadcast, Steiner-tree, Steiner-forest and Group-Steiner tree. Our main achievement is an  $O(\log^4 n)$  approximation, counterbalanced by an  $\log^{(2-\epsilon)} n$ hardness of approximation, for general weights. Given the applicability to wireless communication, we present a scalable and easily implemented  $O(\log n)$  approximation algorithm, Cover-and-Grow for fixeddimensional Euclidean space with path-loss exponent at least 2.

Keywords: Network design, wireless, approximation

## 1 Introduction

#### 1.1 Motivation

Consider a DBS (Digital Broadcast Satellite) system such as Dish or DIRECTV in the USA (see Fig. 1). The down-link is an omni-directional broadcast from constellations of satellites to groups of apartments or neighborhoods serviced by one or more dish installations. The up-link is sometimes a wired network but in remote areas it is usually structured as a tree consisting of point-to-point wireless links directed towards the network provider's head-end (root). The high availability requirement of such services are typically satisfied by having multiple head-ends and anycasting to them. The same architecture is found in CATV (originally Community Antenna TV), or cable TV distribution systems as well as sensor networks where an omni-directional broadcast from a beacon is used to activate and control the sensors; the sensors then funnel their information back using relays. Moreover, this architecture is also beginning to emerge in drone networks, for broadcasting the Internet, by companies such as Google [10] and Facebook's Connectivity Labs [8]. The Internet is to be broadcast from drones flying fixed patterns in the sky to a collection of homes on the ground. The Internet up-link from the homes is then aggregated using wireless links organized as a tree to be sent back to the drones. Anycasting is an integral part of high-availability services such as Content Delivery Networks (CDNs) where reliable connectivity is achieved by reaching some node in the group. What is the common architecture underlying all these applications and what is the constraining resource that is driving their form?

The various distribution systems can be abstractly seen to consist of a downlink *ball* and an up-link *funnel-tree* (see Fig. 1). The ball is an omni-directional

broadcast from the publisher or content-producer to a large collection of subscribers or content-consumers. At the same time, the consumers have information that they need to dynamically send back to the publisher in order to convey their preferences and requirements. The funnel-tree achieves this up-link efficiently in terms of both time and energy. Aggregation of information and use of relays uses less energy as compared to omni-directional broadcasts by each node back to the publisher and also avoids the scheduling needed to avoid interference. In this work, we focus primarily on total energy consumption. The application scenarios mentioned in the opening paragraph are all energy sensitive.

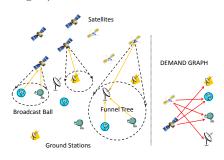


Fig. 1: Pictogram of Digital Broadcast Satellite System with 2 satellite groups and 4 ground station groups on left with associated demand graph on the right. The broadcast balls are denoted by dotted black lines, and the funnel trees by solid yellow lines

Sensor networks [11] and drone fleets [12] are particularly vulnerable to energy depletion. For the purpose of energy conservation, generally each wireless node can dynamically adjust its transmitting power based on the distance of the receiving nodes and background noise. In the most common power-attenuation model [14], the signal power falls as  $\frac{1}{r\kappa}$  where r is the distance from the transmitter to the receiver and  $\kappa$  is the path-loss exponent - a constant between 2 and 4 dependent on the wireless environment. A key implication of non-linear power attenuation is that relaying through an intermediate node can sometimes be more energy efficient than transmitting directly - a counter-intuitive violation of the triangle inequality - e.g., in a triangle ABC with obtuse angle ABC, where  $d_{AB}^2 + d_{BC}^2 < d_{AC}^2$ .

## 1.2 Problem Formulation and Terminology

In this paper, we consider a general formulation that encompasses a wide variety of scenarios: given a collection of groups (of nodes) along with a directed demand graph over these groups the goal is to design a collection of balls and associated funnel-trees of lowest cost so that every demand requirement is met - meaning that if there is an arc from a source group to a destination group then the solution must have a ball centered at a node of the source group that includes a funnel-tree containing a node of the destination group.

Formally, we define the *group-to-group anycast* problem, or *g2q-anycast*, as follows: as input we are given n nodes along with a collection of source groups  $S_1, S_2, \ldots, S_p$  and a collection of destination groups  $T_1, T_2, \ldots, T_q$  which are subsets of these nodes; a demand graph on these groups consisting of directed arcs from source groups  $S_i$  to destination groups  $T_j$ . A nonegative cost  $c_{uv}$  is specified between every pair of nodes; when a node u incurs a cost C in doing an omni-directional broadcast it reaches all nodes v such that  $c_{uv} \leq C$ . A metric  $d_{uv}$  is also specified between every pair of nodes and when a node u connects to node v in the funnel-tree using a point-to-point link it incurs a cost  $d_{uv}$ . A solution consists of a broadcast ball around every source node s (we give a radius which the source can broadcast to), and a funnel tree rooted at s. A demand  $S_i, T_j$  is satisfied if there is a broadcast ball from some  $s \in S_i$  which contains some  $t \in T_i$  and the funnel tree of s also includes t. The cost of the solution is the sum of the ball-radii around the source nodes (under the broadcast costs c) and the sum of the costs of the funnel trees (under the funnel metric d) that connect all terminal-nodes used to cover the demands to the source nodes within whose balls they lie. We do not allow funnel trees to share edges (even if they are going to the same source group), and will pay for each copy of an edge used.

- First, the bipartite demand graph is no less general than an arbitrary demand graph since a given group can be both a source group and destination group.
- Second, since funnel trees sharing the same edge pay seperately, solutions to the problem decompose across the sources and it is sufficient to solve the case where we have exactly one source group  $S = \{s_1, s_2, \ldots, s_k\}$  and destination groups  $T_1, T_2, \ldots, T_q$  (i.e. the demand graph is a star consisting of all arcs  $(S, T_j), 1 \leq j \leq q$ ). This observation also enables parallelized implementations.
- Lastly, there is no loss of generality in assuming a metric  $d_{uv}$  for funnel-tree costs; even if the costs were arbitrary their metric completion is sufficient for determining the optimal funnel-tree.

We refer collectively to the (ball) costs  $c_{uv}$  and (funnel-tree) metric distances  $d_{uv}$  as weights. In this paper we consider two cases - one, the general case where the weights can be arbitrary and two, the special case where the nodes are embedded in a Euclidean space and all weights are induced from the embedding.

## 1.3 Our Contributions

Our main results on the minimum energy g2g-anycast problem are as follows:

	g2g, any metric	g2s, any metric	g2g, $\ell_2^2$ norm
Uppe		$2 \ln n$	$O(\log n)$
Lowe	$\operatorname{r}  \Omega(\log^{2-\epsilon} n)$	$\Omega(\log n)$	$(1-o(1))\ln n$

Fig. 2: A summary of upper and lower bounds achieved in the different problems. The lower bound holds for every fixed  $\epsilon > 0$ 

- 1. We present a polynomial-time  $O(\log^4 n)$  approximation algorithm for the g2g-anycast problem on n nodes with general weights. We complement this with an  $\Omega(\log^{2-\epsilon} n)$  hardness of approximation, for any  $\epsilon > 0$  (Section 2).
- 2. One scenario with practical application is where every destination group is a singleton set while source groups continue to have more than one node; we refer to this special case of g2g-anycast as g2s anycast. We present a tight logarithmic approximation result for g2s-anycast (Section 3).
- 3. For the realistic scenario where the nodes are embedded in a 2-D Euclidean plane with path-loss exponent  $\kappa \geq 2$ , we design an efficient  $O(\log n)$ -approximation algorithm Cover-and-Grow, and also establish a matching logarithmic hardness of approximation result (Section 4).
- 4. Lastly, we compare Cover-and-Grow with 4 alternative heuristics on random 2-D Euclidean instances; we discover that Cover-and-Grow does well in a wide variety of practical situations in terms of both running time and quality, besides possessing provable guarantees. This makes Cover-and-Grow a go-to solution for designing near-optimal data dissemination networks in the wireless infrastructure space (Section 5).

## 1.4 Related Work

A variety of power attenuation models for wireless networks have been studied in the literature [14]. Though admittedly coarse, the model based on the path loss exponent (varying from 2, in free space to 4, in lossy environments) is the standard way of characterizing attenuation [13]. The problems of energy efficient multicast and broadcast in this model have been extensively studied [17,18,16,9]. Two points worth mentioning in this context are: one, we consider the funneltree as consisting of point-to-point directional transmissions rather than an omnidirectional broadcast since the nonlinear cost of energy makes it more economical to relay through an intermediate node, and two, we consider only energy spent in transmission but not in reception.

Network design problems are notoriously NP-hard. Over time sophisticated approximation techniques have been developed, ranging from linear programming and randomized rounding to metric embeddings [19]. The g2g-anycast problem with general weights is a substantial generalization including problems such as minimum spanning trees, multicast trees, broadcast trees, Steiner trees and Steiner forests. Even the set cover problem can be seen as a special case where the destination groups are singletons. The g2g-anycast also generalizes the much harder group Steiner tree problem [5,6].

# 2 Approximating g2g-anycast

In this section, we present an  $O(\log^4 n)$ -approximation for the g2g-anycast problem with general weights by a reduction to the generalized set-connectivity problem. We then give a reduction from the group Steiner tree problem that demonstrates that there is no polynomial-time  $\log^{2-\epsilon} n$ -approximation algorithm for g2g-anycast unless P = NP.

#### 2.1 Approximation algorithm for g2g-anycast with general weights

The generalized set-connectivity problem [3] takes as input an edge-weighted undirected graph G = (V, E), and collection of demands  $\{(S_1, T_1), \ldots, (S_k, T_k)\}$ , each pair are disjoint vertex sets. The goal is to find a minimum-weight subgraph that contains a path from any node in  $S_i$  to any node in  $T_i$  for every  $i \in$  $\{1, \ldots, k\}$ . Without loss of generality, the edge weights can be assumed to form a metric. Chekuri et al [3] present an  $O(\log^2 n \log^2 k)$ -approximation for this problem using minimum density junction trees.

We show a reduction from the g2g-anycast problem with general weights to the generalized set-connectivity problem. Recall that without loss of generality, we may assume that in the g2g problem, we are given a single source group S, a collection of destination groups  $T_1, \ldots, T_q$ , nonegative (broadcast) costs  $c_{uv}$ , and (funnel-tree) metric costs  $d_{uv}$ .

#### 2.2 The Reduction

The main idea of the reduction is to overload the broadcast cost of the ball radius around each node in the source group S into a larger single metric in which we use the generalized setconnectivity algorithm. In particular, for every source node  $s_i \in S$ , we sort the nodes in  $T_1 \cup \ldots \cup T_q$  in increasing order of broadcast cost from  $s_i$ to get the sorted order, say  $t_1^i, \ldots, t_r^i$ where  $t_j^i$  is at distance  $c_{ij}$  from  $s_i$ , and we have  $c_{i1} \leq c_{i2} \ldots \leq c_{ir}$ , where  $|T_1 \cup \ldots \cup T_q| = r$ . We now build r dif-

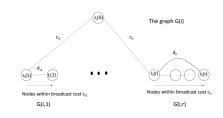


Fig. 3: A connected component G(i) in the reduction of the g2g-anycast problem with general weights to the generalized set connectivity problem.

ferent graphs  $G(i, 1), \ldots, G(i, r)$  where G(i, j) is a copy of the metric completion of G under the funnel tree costs d induced on the node set  $\{s_i, t_1^i, \ldots, t_j^i\}$ , with the copies denoted as  $\{s_i(j), t_1^i(j), \ldots, t_j^i(j)\}$ . (Note that the terminal node  $t_a^i$  appears in copies a through r.) Finally, we take the r copies of the node  $s_i$  denoted  $s_i(1), s_i(2), \ldots, s_i(r)$  and connect them to a new node  $s_i(0)$  where the cost of the edge from  $s_i(j)$  to  $s_i(0)$  is  $c_{ij}$ . Thus these r different copies  $G(i, 1), \ldots, G(i, r)$  all connected to the new node  $s_i(0)$  together form one connected component G(i). We now repeat this process for every source node  $s_i$  for  $i \in \{1, ..., k\}$  to get k different graphs G(1), ..., G(k).

We are now ready to define the generalized set connectivity demands. We define a new super source set  $SS = \{s_1(0), s_2(0), \ldots, s_k(0)\}$ . For each of the destination groups  $T_x$ , we define the terminal set  $TT_x$  to be the union of the copies of all corresponding terminal nodes in any of the copies G(i). More precisely  $TT_x = \{\bigcup_i t_a^i(j) | a \leq j \leq r, t_a^i \in T_x\}$ . The final demand pairs for the set connectivity problem are  $\{(SS, TT_1), \ldots, (SS, TT_q)\}$ .

**Lemma 1.** Given an optimal solution to the g2g-anycast problem, there is a solution to the resulting set connectivity problem described above of the same cost.

*Proof.* Suppose the solution of the g2g problem involved picking broadcast ball radii  $c_1, \ldots, c_k$  from source nodes  $s_1, \ldots, s_k$  respectively. We also have funnel trees  $H_1, \ldots, H_k$  that connect terminals  $T(H_1), \ldots, T(H_k)$  to  $s_1, \ldots, s_k$  respectively. Note that all terminals in  $T(H_x)$  are within the thresholds that receive the broadcast from  $s_x$ , i.e. for every such terminal  $t \in H_x$ , the broadcast cost of the edge between  $s_x$  and t is at most the radius threshold  $c_x$  at which  $s_x$  is broadcasting.

Consider the tree  $H_x$  with terminals  $T(H_x)$  connected to the root  $s_x$ , so that  $c_x$  is the largest weight of any of the edges from  $s_x$  to any terminal in  $T(H_x)$ . (If all of them were even closer, we can reduce the broadcast cost  $c_x$  of broadcasting from  $s_x$  and reduce the cost of the g2g solution.) Let the terminal in the funnel tree with this broadcast cost be t(x) and in the sorted order of weights from  $s_x$  let the rank of t(x) be p. We now consider the graph copy G(x, p) and take a copy of the funnel tree  $H_x$  in this copy. To this we add an edge from the root  $s_x(p)$  to the node  $s_x(0)$  of cost  $c_{xp}$ . The total cost of this tree thus contains the funnel tree cost of  $H_x$  (denoted by  $d(H_x)$ ) as well as the broadcast cost of  $c_{xp}$  from  $s_x$ . Taking the union of such funnel trees over all the copies gives the lemma.

**Lemma 2.** Given an optimal solution to the set connectivity problem described above, there is a solution to the g2g-anycast problem from which it was derived of the same total weight.

*Proof.* In the other direction, consider each copy G(x) in turn and consider the set of edges in the tree containing the source node  $s_x(0)$  in the solution to the generalized set-connectivity instance. First notice that it contains at most one of the edges to a copy  $s_x(q)$  for some q. Indeed if we have edges to two different copies  $s_x(p)$  and  $s_x(q)$  from  $s_x(0)$  for p < q, then since  $G(x,p) \subset G(x,q)$ , we can consider the tree edges in G(x,p) and buy them in G(x,q) where they also occur to cover the same set of terminals at smaller cost. In this way, we can save the broadcast cost of the copy of the edge from  $s_x(0)$  to  $s_x(p)$  contradicting the optimality of the solution. Now that we have only one of the edges, say to  $s_x(q)$  from  $s_x(0)$ , we can consider all the edges of the tree in the copy G(x,q) and include these edges in a funnel tree  $H'_x$ . The distance of the edge from  $s_x(0)$  to

 $s_x(q)$  pays for the broadcasting cost from  $s_x$  in the original instance and the cost of the rest of the tree is the same as the funnel tree cost of  $H'_q$  (Note that our observation above implies that edges in the metric completion in the tree can be converted to paths in the graph and hence connect all the nodes in the tree).

Since every terminal superset  $TT_j$  is connected to some source node of SS, all the demands of the g2g problem must be satisfied in the collection of funnel trees  $H'_x$  constructed in this way giving a solution to the g2g problem of the same cost.

The above two lemmas with the result of [3] gives us the following result.

**Theorem 1.** The general weights version of the g2g-anycast problem with k destination groups admits a polynomial-time approximation algorithm with performance ratio  $O(\log^2(k)\log^2 n)$  in an n-node graph.

#### 2.3 Hardness of approximating g2g-anycast

We observe that the g2g-anycast problem with general weights can capture the group Steiner tree problem which is known to be  $\log^{2-\epsilon} n$ -hard to approximate unless NP is contained in quasi-polynomial time [7].

In the group Steiner tree problem, we are given an undirected graph with metric edge costs, a root s and a set of subsets of nodes called groups, say  $T_1, \ldots, T_g$ , and the goal is to find a minimum cost tree that connects the root with at least one node from each group. We can easily define this as a g2g-anycast problem with a singleton source group  $S = \{s\}$  with the single root node. The terminal sets for the g2g-anycast problem are the groups  $T_1, \ldots, T_g$ , with the demand graph  $(S, T_1), \ldots, (S, T_g)$ . We can set the broadcast costs of any node in the graph from s to be zero; we use the given metric costs in the group Steiner tree. Any solution to the resulting g2g-anycast problem is a single tree connecting s to at least one node in each of the groups as required and its total weight is just its funnel tree cost that reflects precisely the cost of this feasible group Steiner tree solution. The hardness follows from this approximation-preserving reduction.

## 3 Approximating g2s-anycast

In this section, we consider g2s-anycast, a special case of the g2g-anycast, in which each destination group is a singleton set (i.e., has exactly one terminal). Let S denote the source-set and  $t_1, \ldots, t_q$  denote the terminals.

The desired solution is a collection of broadcast balls and funnel trees  $T_v$ , each rooted at a source node v, so that for every demand  $(S, t_j)$ , there exists at least one node v in S such that  $t_j \in T_v$ .

We now present a  $\Theta(\log n)$ -approximation algorithm for g2s-anycast problem. Our algorithm iteratively computes an approximation to a minimum density assignment, which assigns a subset of as yet unassigned terminals to a source node, and then combines these assignments to form the final solution. Minimum density assignment. We seek a source s and a tree  $T_s$  rooted at s that connects s to a subset of terminals, such that the ratio  $(c(T_s) + d(T_s))/|T_s|$  is minimized among all choices of s and  $T_s$  (here  $c(T_s)$  denotes the minimum broadcast cost for s to reach the terminals in  $T_s$ , while  $d(T_s$  denotes the funnel-tree cost, i.e. the sum of the metric distances  $d_{uv}$  over all edges  $uv \in T_s$ ). We present a constant-approximation to the problem, using a constant factor approximation algorithm for the rooted k-MST problem, which is defined as follows: given a graph G with weights on edges and a root node, determine a tree of minimum weight that spans at least k vertices. The best known approximation factor for the k-MST problem [15] is 2 [4]. We now present our algorithm for minimum density assignment.

- For each source  $s \in S$ , integer  $k \in [1, n]$ , and integer r drawn from the set  $\{c_{st_j|1 \leq j \leq q}\}$ :
  - Let  $\overline{G'}$  denote the graph with vertex set  $\{s\} \cup \{t_j | c_{st_j} \leq r\}$ , and edge weights given by d.
  - Compute a 2-approximation T'(s, r, k) to the k-MST problem over the graph G' with s being the root.
- Among all trees computed in the above iterations, return a tree that minimizes  $\min_{s,r,k} (d(T'(s,r,k)) + r)/k.$

**Lemma 3.** The above algorithm is a polynomial-time 2-approximation algorithm for the minimum density assignment problem.

*Proof.* We first show that the algorithm is polynomial time. The number of different choices for the source equals the size of the source set, the number of choices for k is n, and the number of different values for r is the number of different broadcast costs, which is at most n. Thus the number of iterations in the for loop is at most  $n^3$ . Consider an optimal solution T to the minimum density assignment problem, rooted at source s. It is a valid solution to the k-MST problem in the iteration given by s, r = c(T), k = |T|. For this particular iteration, the tree T'(s, r, k) satisfies  $(d(T'(s, r, k) + r)/k \leq (2d(T) + r)/k \leq 2 \cdot (d(T) + r)/k)$ . Since our algorithm returns the tree that has the best density, we have a 2-approximation for the minimum density assignment.

Approximation algorithm for g2s-anycast. Our algorithm is a greedy iterative algorithm, in which we repeatedly compute an approximation to the minimum density assignment problem, and return an appropriate union of all of the trees computed.

- For each source s, set  $T_s$  to  $\{s\}$ .
- While all terminals are not assigned:
  - Compute a 2-approximation T to the minimum density assignment problem using any source s and the unassigned terminals.
  - If T is rooted at source s, then set  $T_s$  to be the minimum spanning tree of the union of the trees T and  $T_s$ .
- Return the collection  $\{T_s\}$ .

**Theorem 2.** The greedy algorithm yields an approximation algorithm with performance ratio  $2 \ln n$  to the g2s-anycast problem.

*Proof.* Let OPT denote the cost of the optimal solution to the problem. Any solution is composed of at most m trees, one for each of the sources, with each singleton group being included as a node in one of these trees. Let  $T_s^*$  denote the tree rooted at source s in an optimal solution.

Consider any iteration i of our algorithm. Let  $n_i$  denote the number of unassigned terminals at the start of the iteration i. By an averaging argument, we know there exists a source s such that

$$\frac{d(T^*_s)+c(T^*_s)}{|T^*_s|} \leq \frac{OPT}{n_i}$$

By Lemma 3, it follows that in the *i*th iteration of the greedy algorithm, if  $T_i$  is the tree computed in the step, then

$$\frac{d(T_i) + c(T_i)}{|T_i|} \le \frac{2 \cdot OPT}{n_i},$$

Adding over all steps, we obtain that the total cost is

$$\sum_{i} (d(T_i) + c(T_i)) \le 2 \cdot OPT \cdot \sum_{i} \frac{|T_i|}{n_i} \le 2 \cdot OPT \cdot H_n \le 2OPT \ln n$$

Hardness of approximation We complement the positive result with a matching inapproximability result which shows that the above problem is as hard as set cover.

**Theorem 3.** Unless NP = P there is no polynomial-time  $\alpha \ln n$  approximation to the g2s-anycast problem, for a suitable constant  $\alpha > 0$ .

We defer the proof of this theorem to Appendix A.

## 4 Euclidean g2g-anycast

In this section, we present a  $\Theta(\log n)$ -approximation for the more realistic version of the g2g-anycast problem in the 2-D Euclidean plane. We achieve our results by a reduction to an appropriately defined set cover problem.

In detail, all the points in both the source group S and destination groups  $T_1, \ldots, T_q$  lie in the 2-D Euclidean plane. The cost of an edge (u, v) is the Euclidean distance between u and v raised to the path loss exponent  $\kappa$ . For the rest of this section, we assume that  $\kappa = 2$ . (The corresponding results for  $\kappa > 2$  follow with very simple modifications.) First we show that even this special case of the g2g-anycast problem does not permit an approximation algorithm with ratio  $(1 - \epsilon) \ln n$  on an instance with n nodes unless NP is in quasi-polynomial time. Next, we present *Cover-and-Grow*, an  $O(\log n)$ -approximation algorithm that applies a greedy heuristic to an appropriately defined instance of the set covering problem.

Hardness of 2-D g2g-anycast Again we can prove a hardness via a reduction from set cover.

**Theorem 4.** The 2-D Euclidean version of the g2g problem on n nodes does not permit a polynomial-time  $(1 - o(1)) \ln n$  approximation algorithm unless NP = P.

The proof of this is deferred to Appendix B.

#### 4.1 Cover-and-Grow

We now describe a matching  $O(\log n)$ -approximation for the problem. For this we first need the following property of minimum spanning trees of points in the 2-D Euclidean plane within a unit square, when the costs of any edge in the tree are the squared Euclidean distances between the edge's endpoints.

**Theorem 5.** [2] The weight of a minimum spanning tree of a finite number of points in the 2-D Euclidean plane within a unit square, where the weight of any edge is the square of the Euclidean distance between its endpoints, is at most 3.42.

We can apply this theorem to bound the cost of the funnel trees within any demand ball in the solution within a factor of at most 3.42 of the cost of the ball. Indeed, by scaling the diameter of the demand ball to correspond to unit distance, the above theorem shows that for any finite set of terminal nodes (i.e. nodes in the destination group) within the ball, a funnel tree which is an MST that connects these terminal nodes to the center of the ball has total cost at most 3.42. The cost of the demand ball is the square of the Euclidean distance of the ball radius which, in the scaled version, has  $\cot\left(\frac{1}{2}\right)^2 = \frac{1}{4}$ . This shows that the funnel tree has cost at most 13.68 times the cost of the funnel ball. This motivates an algorithm that uses balls of varying radii around each source node as a "set" that has cost equal to the square of the ball radius (the ball cost) and covers all the terminal nodes within this ball (which can be connected in a funnel tree of cost at most 13.68 times that of the demand ball).

Algorithm Cover-and-Grow

- 1. Initialize the solution to be empty.
- 2. While there is still an unsatisfied demand edge
  - For every source node  $s_i$ , for every possible radius at which there is a terminal node belonging to some destination group T for which the demand (S, T) is yet unsatisfied, compute the ratio of the square of the Euclidean radius of the ball to the number of as yet unsatisfied destination groups whose terminal nodes lie in the ball.
  - Pick the source node and ball radius whose ratio is minimum among all the available balls, and add it to the solution (both the demand ball around this node and a funnel tree from one node of each destination group whose demand is unsatisfied at this point). Update the set of unsatisfied demands accordingly.

**Theorem 6.** Algorithm Cover-and-grow runs in polynomial time and gives an  $O(\log n)$ -approximate solution for the 2-D g2g-anycast problem in an n-node graph.

*Proof.* We will use a reduction from the given 2-D g2g-anycast problem to an appropriate set cover problem as described in the algorithm: The elements of the set cover problem are the terminal sets  $T_j$  such that the demand graph has the edge  $(S, T_j)$ . For every source node  $s_i \in S$ , and for every possible radius r at which there is a terminal node belonging to some destination group T for which there is a demand (S, T), we consider a set  $X(s_i, r)$  that contains all the destination groups  $T_j$  such that some node of  $T_j$  lies within this ball. The cost of this set is  $r^2$ .

First, we argue that an optimal solution for the 2-D g2g-anycast problem of cost  $C^*$  gives a solution of cost at most  $C^*$  to this set cover problem. Next, we show how any feasible solution to the set cover problem of cost C gives a feasible solution to the 2-D g2g-anycast problem of cost at most 14.68C. These two observations give us the result since the algorithm we describe is the standard greedy approximation algorithm for set cover.

To see the first observation, given an optimal solution for the 2-D g2g-anycast problem of cost  $C^*$ , we pick the sets corresponding to the demand balls in the solution for the set cover problem. Since these demand balls are a feasible solution to the anycast problem, they together contain at least one terminal from each of the destination groups  $T_j$  for which there is a demand edge  $(S, T_j)$ . These balls form a solution to the set cover problem and the demand ball costs of the anycast solution alone pay for the corresponding costs of the set cover problem. Hence this feasible set cover solution has cost at most  $C^*$ .

For the other direction, given any feasible solution to the set cover problem of cost C, note that this pays for the demand balls around the source nodes in this set cover solution. Now we can use the implication in the paragraph following Theorem 5 to construct a funnel tree for each of these demand balls that connects all the terminals within these balls to the source node at the center of the ball with cost at most 13.68 times the cost of the demand ball around the source node. Summing over all such balls in the solution gives the result.

## 5 Empirical Results

We conducted simulations comparing Cover-and-Grow with four different natural heuristics for points embedded in a unit square in the 2-D Euclidean plane. These simulations allow us gain perspective on the real-world utility of Coverand-Grow vis a vis alternatives that do not possess provable guarantees but yet have the potential to be practical. The specifics of the simulation and the details of the results are discussed in Appendix C. Cover-and-Grow performs comparably to the heuristics in performance; and the runtime of Cover-and-Grow was better than the heuristics except for the T-centric approach.

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# A g2s-anycast Hardness

**Theorem 7.** Unless NP = P there is no polynomial-time  $\alpha \ln n$  approximation to the g2s-anycast problem, for a suitable constant  $\alpha > 0$ .

Proof. Our proof is by a reduction from the minimum set cover problem. Let  $\mathcal{U}$  denote a collection of elements, and let  $X_1, \ldots, X_m$  denote the sets containing elements from  $\mathcal{U}$ . The set covering objective is to minimize the number of subsets whose union is  $\mathcal{U}$ . We construct the following instance of the g2s-anycast problem. The terminal nodes (i.e. nodes of the destination groups) correspond to the elements of the set cover instance. The source nodes correspond to the sets. We set the funnel-tree distance between a source  $X_i$  and an element e in  $X_i$  to be 1. The remaining distances are captured by the metric completion of these distances. We next set the broadcast costs. For any source node  $X_i$  and element e in  $X_i$ , we set the cost  $c(X_i, e)$  to be L, for a suitably large  $L \gg n$ ; for all  $e \notin X_i$ , we set  $c(X_i, e)$  to  $M \gg L$ .

If there is a solution of cost C to the set cover instance, there is a solution of  $\cot CL + n$  to the g2s-anycast problem. On the other hand, consider any solution to the g2s-anycast problem. It incurs a funnel tree cost of at least n. If, in the solution, every source node broadcasts only to terminal nodes corresponding to its set in the set cover instance, then the broadcast cost equals L times the cost of the resulting set cover obtained by those source nodes that broadcast to at least one terminal; we refer to such as a solution as a canonical solution. If the solution has a source node that broadcasts to a terminal outside its corresponding set, then the broadcast cost is at least M. Given that there is a solution to the set cover instance using all of the sets, there is a canonical solution to the anycast problem of cost at most mL + n. By selecting M to be  $\Omega(mL \ln n)$ , we can ensure that any  $O(\lg n)$ -approximation to the g2s-anycast instance will produce a canonical solution. From this, we obtain that if we set L to be sufficiently larger than n, any  $\alpha \ln n$  approximation to the g2s-anycast instance yields an  $(\alpha - \epsilon) \ln n$  approximation, for an  $\epsilon > 0$  that can be made arbitrarily small by making L sufficiently large. This, along with the hardness for set cover in [1], completes the proof of the theorem.

# B Euclidean g2g Hardness

Recall that in the set covering problem, we are given a ground set E of n elements and a collection of subsets  $X_1, \ldots, X_m \subseteq E$  of elements, and the goal is to find a minimum number of these subsets whose union is E. We present an approximation-preserving reduction from a given instance of the set covering problem to one of the 2-D g2g-anycast as follows.

For each subset  $X_i$  we pick a point  $x_i$  in the plane such that any pair of such "set-points" are quite far from each other (distance >> n) in the plane. Our source set S will consist of these m different set-points.

For each point  $x_i$ , we pick a point  $y_i$  at unit distance from  $x_i$  in the plane to place copies of the element-points. For each element  $e \in E$ , we create a destination group  $T_e$ , which consists of as many nodes as the number of sets in which e occurs. If  $e \in X_i$ , then we create a terminal node  $t(e)_i$  at the point  $y_i$ in the plane. Note that all elements that belong to a set  $X_i$  are co-located in the point  $y_i$  at unit distance from the set-point  $x_i$ . The demand graph for the resulting g2g-anycast problem is all pairs of the form  $(S, T_e)$  for every element e in the set cover problem. The following observations are now immediate.

**Lemma 4.** Given an optimal solution to the set cover problem with  $k^*$  sets, there is a solution to the 2-D g2g-anycast problem using the above reduction of cost  $2k^*$ .

*Proof.* To convert an optimal solution of the set cover problem, for each set  $X_i$  in the optimal set cover, we pick the set-point  $x_i$  and draw a unit ball around it, which encloses the point  $y_i$  containing all the terminal nodes corresponding to elements contained in the set  $X_i$ . For all these element terminal nodes colocated at  $y_i$ , we build a funnel tree of a single edge from  $y_i$  back to  $x_i$ . The sum of the Euclidean length squared costs of the ball around  $x_i$  and the funnel tree is two. Repeating for every set in the optimal solution, we get a solution to the g2g-anycast problem.

**Lemma 5.** Given an optimal solution to the 2-D g2g-anycast problem arising from a reduction from a set cover problem as described above of cost C, there is a solution to the set cover from which it was derived that contains at most  $\frac{C}{2}$  sets.

*Proof.* Observe that all minimal solutions correspond to unit-radius balls around a set of set-points  $x_i$ , and the funnel trees for each of these points in the solution all consist of a single edge from  $y_i$  to  $x_i$ . Since the g2g-anycast solution is feasible, these balls around the set-points cover all demands and hence form a feasible set cover. The number of sets in the solution is exactly  $\frac{C}{2}$ .

We now get the following lower bound on the approximability of the problem using [1].

**Theorem 8.** The 2-D Euclidean version of the g2g problem on n nodes does not permit a polynomial-time  $(1 - o(1)) \ln n$  approximation algorithm, unless NP = P.

## C Empirical Results

We conducted simulations comparing Cover-and-Grow with four different heuristics for points embedded in a unit square. Both broadcast costs and the funneltree costs are assumed to be the square of the Euclidean distances (using the path-loss exponent value of  $\kappa = 2$ ).

In our simulations we had one S group (this is sufficient as mentioned in Section 1.2) and for |S| we chose 1, 4, 16 and 64. We had 10 T groups each with 10 terminals. We ran our trials on two different basic point distributions; the uniform distribution over a unit square in the plane and a Gaussian distribution in the whole two dimensional plane. Our results are averaged over 100 trials for each choice of parameter settings. The variance across the trials was negligible (and so we do not show any error bars as they would only clutter our plots). The

simulations were run on an enterprise class server with an Intel(R) Core(TM) i7-4500U (dual core) CPU @ 3.0 GHz Turbo with 32 GB of RAM. The entire suite of simulations took 50 hours to complete. Due to the inherent combinatorial explosiveness of g2g-anycast it was entirely infeasible to compute the optimal solution; therefore, in our figures we depict the quality of the solutions of the heuristics relative to the quality of Cover-and-Grow normalized to 1.

We now describe the four heuristics we implemented (in addition to Coverand-Grow). The descriptions below only detail the construction of the funneltrees since it follows that in a minimal solution the ball at each  $s \in S$  node will be the smallest one that encloses the funnel-trees containing s.

- Smallest Edge repeatedly adds the smallest edge not yet in the set which does not create a cycle or a component with two S nodes. The process stops when every  $T_j$  has a vertex in some component with an S node. It then (repeatedly) removes all edges that are in a component with no S node, as well as the largest edges whose removal would not result in a disconnection of any  $T_j$  from S. Note that this heuristic requires re-computation of shortest distances between sets (current components) and nodes at every iteration which can make it quite time-consuming.
- *T*-Centric for each  $T_j$ , finds the pair  $s \in S, t \in T_j$  such that d(s,t) is minimized and assigns t to s. For each s, this process builds an MST on s and the nodes t which were assigned to s.
- T-Adaptive grows clusters starting with each s in its own cluster. It repeatedly finds the closest pair r, t such that r is in a cluster and t is in a  $T_j$  none of whose nodes are in a cluster yet and adds edge r, t. If we think of *Smallest Edge* as a loose analog of Kruskal's algorithm for MSTs tailored to our problem, then T-Adaptive would be the corresponding Prim variant. Unlike *Smallest Edge*, which uses Steiner nodes, this heuristic requires only distances from any source cluster and a unassigned terminal node making it less intensive computationally.
- Smallest Increment grows clusters starting with each s in it's own cluster. It repeatedly finds the r, t such that r is in a cluster and t is in a  $T_j$  none of whose nodes are in a cluster yet and such that attaching t to r using the shortest path increases the total cost of the solution (i.e. funnel-tree cost as well as ball cost) the least. Just like Smallest Edge, this heuristic also requires re-computation of shortest paths between clusters and nodes at every step, making it potentially time intensive. This heuristic is also similar to T-Adaptive in growing from source clusters but the differences are the consideration of not just direct edges but shortest paths, as well as the additional increase due to the broadcast cost.

Figures 4a and 4b show the quality of the solution of the heuristics relative to Cover-and-Grow (normalized to 1) under the two distributions of points. Cover-and-Grow performed as well as the heuristics, losing out only marginally to Smallest Increment. Figure 5 shows the (absolute) runtimes of the heuristics under the uniform distribution. (We do not show the runtimes for the Gaussian distribution since the plot is identical.) The runtimes plot was plotted on a

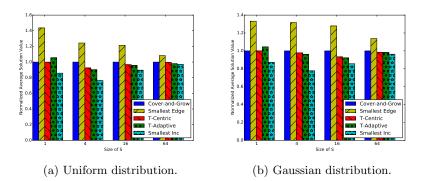


Fig. 4: Relative costs of the results of the algorithms on two different distributions.

logrithmic scale due to the large differences in the runtime. Smallest Edge and Smallest Increment both incorporate Steiner nodes that have the potential to greatly reduce the weight of the funnel trees with respect to  $\ell_2^2$ . On the flip side, allowing Steiner nodes increases the runtime of these algorithms by up to a factor n.

The picture that emerges from the plots is that Smallest Edge and Smallest Increment are impractical timewise. T-Adaptive performed well on the larger instances and scaled well time-wise, but did not do as well as Cover-and-Grow on small instances. This leaves T-Centric which is about the same as Cover-and-Grow in terms of quality of solution, and in general runs much faster than Cover-and-Grow. T-centric is by far the fastest as it does not depend on what has been already added or steiner nodes, so it can make all the assignments in one iteration. However, the following simple example shows that T-Centric

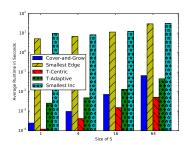


Fig. 5: Absolute runtimes in seconds for the Uniform Distribution. Runtime for the Gaussian distribution was identical, as the algorithms did not depend on the distribution of points.

can be as much as a factor q worse than the optimal: consider the unit square with corners (0,0), (0,1), (1,0), (1,1); let  $S = \{(\frac{1}{q}, 0), (\frac{2}{q}, 0), \dots, (1,0)\}$  and let  $1 \leq i \leq q, T_i = \{(\frac{i}{q}, 1), (0,1)\}$ ; it is easy to see T-Centric's solution (ball and funnel-trees) is  $\Omega(q)$  whereas the optimal is O(1). Thus, not only does Coverand-Grow come with provable guarantees but in practice, it is superior to the natural alternative that we have been able to come up with. This begs the question - why does Cover-and-Grow do so well even though it too is myopic? We believe that the answer lies in the fact that by focusing on the appropriate density ratio it is greedy in an intelligent way avoiding corner case like the one depicted above.