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# Segmentation of Noisy Discrete Surfaces\*

L. Provot and I. Debled-Rennesson

LORIA Nancy  
Campus Scientifique - BP 239  
54506 Vandœuvre-lès-Nancy Cedex, FRANCE  
{provot, debled}@loria.fr

**Abstract.** We propose in this paper a segmentation process that can deal with noisy discrete objects. A flexible approach considering arithmetic discrete planes with a variable width is used to avoid the over-segmentation that might happen when classical segmentation algorithms based on regular discrete planes are used to decompose the surface of the object. A method to choose a seed and different segmentation strategies according to the shape of the surface are also proposed.

## 1 Introduction

Three-dimensional discrete objects are widely used in medical area. Due to their internal structure and their huge size, the manipulation of such objects is not an easy task. Rendering algorithms for instance, cannot apply usual techniques to obtain a nice visualization of the objects. A general idea to address this problem is to transform the discrete volume into a Euclidean polyhedra. The segmentation of the border of such objects into discrete primitives is thus a natural first step and several studies have been led on the subject.

In [6], L. Papier and J. Françon propose a segmentation of the surface of a discrete object into pieces of standard arithmetic discrete planes. These standard planes are recognized using a Fourier-Motskin elimination algorithm [7] and are forced to be homeomorphic to a topological disk in order to be used in a polyhedrization process. They state that the resulting segmentation heavily depends on the choice of the seed to start the recognition of a new face and the tracking order of the points chosen for enlarging the current face, but do not address these problems.

In [2], J. Burguet and R. Malgouyres have developed a polyhedrization algorithm based on the computation of a topological Voronoï diagram. Seeds are distributed on the surface of the discrete object according to its curvature [9] and a thinning algorithm is used to generate the skeleton of this surface without the seeds. The resulting Voronoï regions can then be seen as a segmentation of the surface.

In the framework of surface area estimation [8], R. Klette and H.J. Sun have proposed a segmentation of the surface into digital planar segments (DPS) –

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which are actually pieces of standard discrete planes. To incrementally check whether a set of points is a DPS, they compute the convex hull of this set to retrieve a specific pair of parallel planes for which the main diagonal distance has to be less than  $\sqrt{3}$ . A breadth-first search of the surfel graph representing the surface is used to incrementally add points into the DPS.

In [13], I. Sivignon *et al.* have compared different tracking processes to decompose the surface of a discrete object into naive discrete planes. A dual-space approach is used to incrementally recognize the pieces of planes [15]. In [14] the authors have also studied the relation between a segmentation into naive and standard discrete planes depending on the considered surface definition.

Although these methods are reversible and behave well with regular discrete objects, they might lead to an over-segmentation with noisy ones. In this paper we try to be more flexible and address the problem by considering a segmentation into pieces of discrete planes with a variable width. A first pre-processing step is done to compute geometric features of the surface of a possibly noisy discrete object. We then use these geometric features to take into account the shape of the object to choose the seeds and to guide the incremental growth of the segments.

In section 2, after recalling the definition of blurred pieces of discrete planes, we summarize results from [11] about geometric features for noisy discrete surfaces. The different steps of the segmentation process are then described in section 3, followed by some results on noisy and non-noisy objects. The paper ends up with a conclusion and some perspectives in section 4.

## 2 Background

We recall in this section the definition of a width- $\nu$  blurred piece of discrete plane, an arithmetical discrete primitive introduced in [10], that allows to deal with noisy discrete data. Relying on this primitive, we present the notion of a width- $\nu$  patch centered at a border point of a discrete object and some features of the border obtained from this patch. More details about the construction of the patch and the study of different features of the border can be found in [11].

### 2.1 Blurred Pieces of Discrete Planes

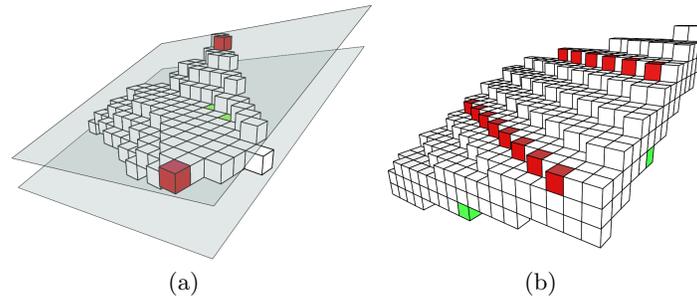
One can see a blurred piece of discrete plane as an arithmetic discrete plane for which some points are missing. More formally:

**Definition 1.** Let  $N$  be a norm on  $\mathbb{R}^3$  and  $\mathcal{E}$  a set of points in  $\mathbb{Z}^3$ . We say that the discrete plane  $\mathcal{P}(a, b, c, \mu, \omega)$ <sup>1</sup> is a **bounding plane of  $\mathcal{E}$**  if all the points of  $\mathcal{E}$  belong to  $\mathcal{P}$ , and we call **width of  $\mathcal{P}(a, b, c, \mu, \omega)$** , the value  $\frac{\omega-1}{N(a,b,c)}$ .

A bounding plane of  $\mathcal{E}$  is said **optimal** if its width is minimal.

<sup>1</sup> An arithmetic discrete plane  $\mathcal{P}(a, b, c, \mu, \omega)$  is the set of integer points  $(x, y, z)$  verifying  $\mu \leq ax + by + cz < \mu + \omega$ , where  $(a, b, c) \in \mathbb{Z}^3$  is the normal vector of the plane.  $\mu \in \mathbb{Z}$  is named the translation constant and  $\omega \in \mathbb{Z}$  the arithmetical thickness.

**Definition 2.** A set  $\mathcal{E}$  of points in  $\mathbb{Z}^3$  is a **width- $\nu$  blurred piece of discrete plane** if and only if the width of its optimal bounding plane is less than or equal to  $\nu$ .



**Fig. 1.** (a) A width-3 blurred piece of discrete plane and (b) a piece of its optimal bounding plane  $\mathcal{P}(4, 8, 19, -80, 49)$ , using the Euclidean norm.

Two recognition algorithms of blurred pieces of discrete planes have been proposed in [10]. The first one considers the Euclidean norm and, for a set of points  $P$  in  $\mathbb{Z}^3$ , it solves the recognition problem by using the geometry of the convex hull of  $P$ . The second one considers the infinity norm and uses methods from linear programming to solve the recognition problem.

Thereafter, we denote by  $\mathcal{O}_b$  a possibly noisy 6-connected discrete object. We call *surface* or *border* of  $\mathcal{O}_b$  the set of points  $\mathcal{B}_b$  which have a 6-neighbor that does not belong to  $\mathcal{O}_b$ . All the results we present on this type of objects have been obtained by considering the geometrical approach which uses the Euclidean norm.

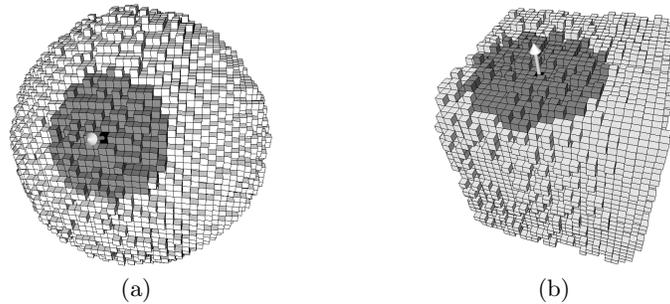
## 2.2 Width- $\nu$ Discrete Patches

If we are working on a noisy discrete surface and need to extract some of its local geometric features, such as the normal vector or the curvature, it is wise to use estimators that take into account the irregularity of this surface to compute these kinds of features. A way to achieve this task at a point  $p$  of the surface is to gather the information of points lying in an extended neighborhood of  $p$ . The notion of patch we present hereafter takes place in this framework, considering an adaptative neighborhood around  $p$ .

**Definition 3.** Let  $\mathcal{B}_b$  be the border of a discrete object,  $p$  a point in  $\mathcal{B}_b$  and  $\nu$  the greatest real value allowed. Let  $d$  be a distance. At each point  $q \in \mathcal{B}_b$  we associate the weighting factor  $d_p(q) = d(p, q)$ . We call **width- $\nu$  patch centered at  $p$** , and denote by  $\Gamma_\nu(p)$ , a width- $\nu$  blurred piece of discrete plane incrementally recognized from  $p$  by adding points  $q$  of  $\mathcal{B}_b$  following the increasing values of  $d_p(q)$ .

**About the Incremental Recognition:** We construct a *width- $\nu$  patch centered at  $p$*  using the incremental recognition algorithm of blurred pieces of discrete planes introduced in [10]. We add the points following the increasing values of  $d_p$  and, as soon as the width of the blurred piece of discrete plane becomes greater than  $\nu$ , we stop the recognition process.

**About the Distance  $d$ :** To uniformly spread the patch in all directions, the best solution would be to use a geodesic distance. Nevertheless, for efficiency, we have chosen to rely on a distance based on a chamfer mask  $\langle 3, 4, 5 \rangle$  which is a good approximation of the geodesic distance [1]. The aim is to have a well-balanced patch around  $p$  which looks almost circular. With this method we obtain patches like those in Fig. 2.



**Fig. 2.** An example of width-2 patches spread on the surface of different noisy objects. (a) A sphere of radius 20 and (b) a cube of edge 25.

### 2.3 Patch Features

A patch  $\Gamma_\nu(p)$ , as previously defined, characterizes the planarity of the surface around  $p$  (with respect to the width  $\nu$ ). Thus, the more the patch is spread, the less the surface around  $p$  is bent.

In addition, if the growth of  $\Gamma_\nu(p)$  stopped, it means that the close neighboring points outside  $\Gamma_\nu(p)$  would bend the patch too much if they were added. In that case the patch could no longer be regarded as flat. Therefore, it is possible to deduce a conformation of the discrete surface around  $p$  by studying the patches centered along the points of the outline of  $\Gamma_\nu(p)$ .

The following definitions give a formal quantization of all these observations.

**Width- $\nu$  Normal** With the previous intuition we can see that the normal vector of  $\Gamma_\nu(p)$  is a good estimation of the normal at  $p$ . Thus, assimilating the normal vector of  $\Gamma_\nu(p)$  to the normal of the surface at  $p$ , we define a normal vector estimator for each point of the surface of a possibly noisy discrete object.

**Definition 4.** Let  $\mathcal{B}_b$  be the border of a discrete object and  $p$  a point of  $\mathcal{B}_b$ . We call **width- $\nu$  normal at  $p$**  the normal vector

$$\vec{n}_\nu(p) = \vec{n}(\Gamma_\nu(p))$$

where  $\vec{n}(\Gamma_\nu(p))$  is the normal vector of the patch  $\Gamma_\nu(p)$ .

**Width- $\nu$  Patch Area** Given a Euclidean surface  $\mathcal{S}$  and its normal vector field  $\{\vec{n}\}$ , in the continuous space we can compute the area of  $\mathcal{S}$  with the formula:

$$\mathcal{A}(\mathcal{S}) = \int_{\mathcal{S}} \vec{n}(s) ds$$

The discrete version of this equation given in [4] has been adapted as follows to compute the area of the surface of a width- $\nu$  patch:

$$E_{\mathcal{A}}(\Gamma_\nu(p)) = \sum_{s \in \mathcal{S}_{\Gamma_\nu(p)}} \vec{n}_\nu(p) \cdot \vec{n}_{el}(s) = \vec{n}_\nu(p) \cdot \sum_{s \in \mathcal{S}_{\Gamma_\nu(p)}} \vec{n}_{el}(s)$$

where  $\mathcal{S}_{\Gamma_\nu(p)}$  is the set of surfels<sup>2</sup> of the patch surface, and  $\vec{n}_{el}(s)$  the elementary normal vector of  $s$ .

**Shape Estimator** An estimator that enables the characterization of the shape (concave, convex or flat) of the surface around a border point of a possibly noisy discrete object has been developed. It is based on the study of the conformation of the patches which are centered on points belonging to the outline of  $\Gamma_\nu(p)$ .

**Definition 5 (Patch Outline).** Let  $\mathcal{B}_b$  be the border of a discrete object  $\mathcal{O}_b$ . We denote by  $\mathcal{S}_b$  the set of surfels of  $\mathcal{B}_b$  which are incident to a point that does not belong to  $\mathcal{O}_b$ , and  $\mathcal{S}_{\Gamma_\nu(p)}$  the subset of  $\mathcal{S}_b$  that belongs to  $\Gamma_\nu(p)$ . A point  $q$  belongs to the outline of  $\Gamma_\nu(p)$  if the voxel representation of  $q$  has a surfel  $s \in \mathcal{S}_{\Gamma_\nu(p)}$  and if there exists a surfel  $s' \in \mathcal{S}_b \setminus \mathcal{S}_{\Gamma_\nu(p)}$  such that  $s$  and  $s'$  are adjacent by edge.

Let  $C$  be the set of points that belong to the outline of  $\Gamma_\nu(p)$ . Our shape estimator of the surface around a point  $p$  is then given by the formula :

$$\mathcal{F}_\nu(p) = \frac{1}{|C|} \sum_{\forall q \in C} (\widehat{\vec{n}_\nu(p), \vec{n}_\nu(q)}) \cdot \frac{E_{\mathcal{A}}(\Gamma_\nu(q))}{E_{\mathcal{A}}(\Gamma_\nu(p))}$$

where  $(\widehat{\vec{n}_\nu(p), \vec{n}_\nu(q)})$  is the oriented angle value between the two normal vectors. So, the estimator  $\mathcal{F}_\nu(p)$  is a weighted mean of the angle values between  $\vec{n}_\nu(p)$  and the  $\vec{n}_\nu(q_i)_{1 \leq i \leq |C|}$ .

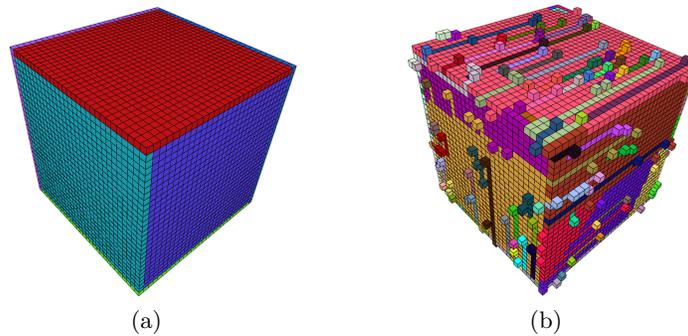
<sup>2</sup> Faces of a voxel are called *surfels*

$\mathcal{F}_\nu(p)$  is positive when the surface around  $p$  is rather convex and  $\mathcal{F}_\nu(p)$  is negative when the surface around  $p$  is rather concave. An increasing value of  $|\mathcal{F}_\nu(p)|$  means that the surface around  $p$  is more strongly concave or convex. Moreover, if  $\Gamma_\nu(p)$  is big, a value  $\mathcal{F}_\nu(p)$  close to zero means that the area around  $p$  is almost flat (according to the width  $\nu$  we chose). If  $\Gamma_\nu(p)$  is small, then the area around  $p$  is strongly distorted, but in a way we can neither qualify concave, nor qualify convex (a saddle point for instance).

### 3 Segmentation

#### 3.1 Introduction

The segmentation of a tridimensional discrete object we will describe in this section consists in partitioning the border of the object into pieces of discrete planes. Some studies have been led on the subject [8, 13, 14, 2, 6] but they all consider regular planes with a fixed width (mainly naive or standard arithmetic discrete planes). Although these methods give good results with regular discrete objects (Fig. 3(a)), it is not always the case when we have to deal with irregular or noisy discrete objects (Fig. 3(b)). In particular, irregularities force to create lots of small segments. The approach we present hereafter is more flexible and considers a segmentation into pieces of planes with a variable width, width- $\nu$  blurred pieces of discrete planes (denoted  $BPDP_\nu$  in the sequel) to be specific, to deal with noisy data.



**Fig. 3.** Segmentation of (a) a regular cube of edge 25 and (b) a noisy counterpart by using the DSD algorithm (<http://liris.cnrs.fr/isabelle.sivignon/DSD.html>) proposed by I. Sivignon [13, 14].

#### 3.2 Segmentation into Blurred Pieces of Discrete Planes

Firstly, a pre-processing step is done on the border  $\mathcal{B}_b$  of the discrete object we want to segment. Given a real  $\nu$ , for each point  $p \in \mathcal{B}_b$  we compute a width- $\nu$

patch centered at  $p$  as explained in section 2.2. At each point  $p$  we can thus associate the features presented in section 2.3, that is:

- the normal vector  $\vec{n}_\nu(p)$ ,
- the area factor  $E_{\mathcal{A}}(\Gamma_\nu(p))$ ,
- and the shape factor  $\mathcal{F}_\nu(p)$ .

Our segmentation process can be summed up to the following steps: a *seed* is chosen among points of  $\mathcal{B}_b$  to start a first  $BPDP_\nu$  *recognition* that grows through a process of accretion. An adjacent point is selected and added to  $BPDP_\nu$  if it satisfies some *required criteria*. The  $BPDP_\nu$  eventually stops growing when there are no more adjacent points that can be added without contradicting the criteria. This procedure is repeated from a new seed until all points of  $\mathcal{B}_b$  belong to a  $BPDP_\nu$ .

In the following paragraphs we will discuss more in details the different key points of this segmentation algorithm.

**Seed Selection.** The easiest way to choose a seed is to randomly pick a border point which does not belong to a  $BPDP_\nu$  and to start the recognition process from there. The problem with this approach is that we have no control over the segmentation. To segment a cube for instance, a bad choice would be to start from seeds that lie near an edge of the cube. This would result in an over-segmentation as shown in Fig 4.

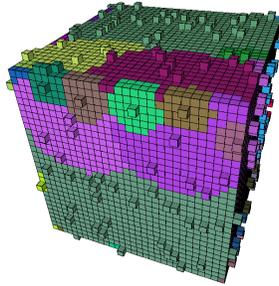
A better choice is to start from seeds that are lying in flat areas. It is indeed more meaningful to give a higher priority to flat areas than to bent areas since the underlying primitive of a  $BPDP_\nu$  is an arithmetical discrete plane. Chances to have a better approximation are thus higher. Therefore we have chosen to rely on the area estimator  $E_{\mathcal{A}}(\Gamma_\nu(p))$  to find the seeds. The idea is to pick the border point  $p$  (not yet processed) which has the highest  $E_{\mathcal{A}}(\Gamma_\nu(p))$  value as the next seed.

**$BPDP_\nu$  Recognition.** The algorithm used to incrementally recognize width- $\nu$  blurred pieces of discrete planes is the geometrical one proposed in [10] by considering the Euclidean norm.

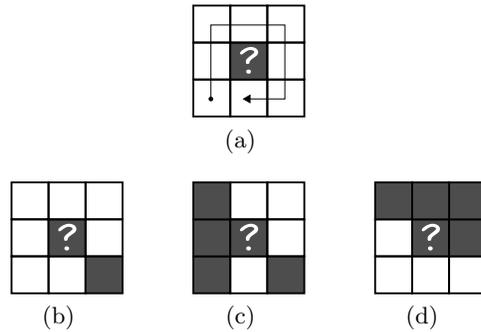
The spreading of a  $BPDP_\nu$  heavily depends on the way the neighborhood of the seed is visited as explained in [13]. For the same reasons as before, the value  $E_{\mathcal{A}}(\Gamma_\nu(p))$  is used in the accretion process. Points  $p$  adjacent to the evolving  $BPDP_\nu$  are added according to their decreasing  $E_{\mathcal{A}}(\Gamma_\nu(p))$  values.

To implement this behaviour we use a priority queue  $Q$ . We start by pushing the seed into the queue with a weight equals to its area factor and mark this seed as visited. Then, while  $Q$  is not empty, we pop out of  $Q$  the point  $p$  with the highest weight  $w$  and we add  $p$  to the evolving  $BPDP_\nu$  if it satisfies the required criteria presented in the following paragraph. We then add the non-visited 26-neighbours of  $p$  which belong to the border and their associated area factor into the priority queue  $Q$  and mark them as visited.

Using this technique the  $BPDP_\nu$  does not stop growing if a point cannot be added.



**Fig. 4.** Over-segmentation due to randomly chosen seeds.



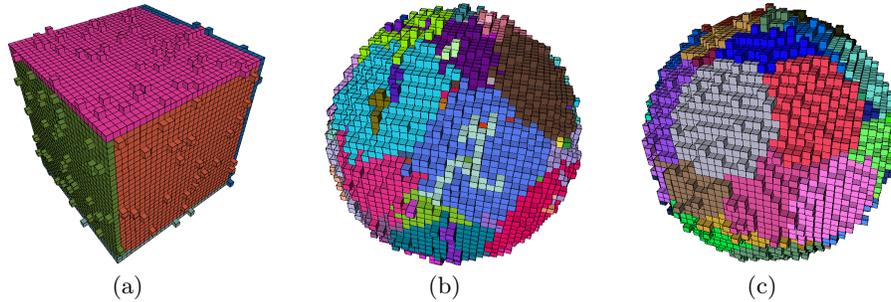
**Fig. 5.** The points that belong to the  $BPDP_\nu$  are in grey (a) Processing order of the neighborhood. (b-d) Some possible configurations when we try to add the point with the question mark: (b) it cannot be added because it is not 4-connected to another grey point; (c) it cannot be added because it creates a hole; and (d) it can be added.

**Required Criteria.** The first criterion that has to be satisfied is that the width of the evolving  $BPDP_\nu$  must not exceed  $\nu$  when a point  $p$  is added. But this is implicitly checked in the recognition algorithm.

Moreover, as we plan to use the segmentation in a future work to develop a polyhedrization algorithm for noisy discrete objects, the  $BPDP_\nu$  segments have to satisfy some constraints of good formation. In particular we want a  $BPDP_\nu$  segment to be 4-connected and without holes, i.e. homeomorphic to a topological disk, according to the main direction of the normal vector of its seed. To check these constraints we use a simplified version of a method proposed in [12] (p.153). We work in the projection plane associated to the normal vector of the seed. We consider the 8-neighborhood of the point we are trying to add in the evolving  $BPDP_\nu$  and process the 8-neighbors in the order shown in Fig. 5(a). During the processing a zero-initialized counter is incremented at each time we go from a point which belongs to  $BPDP_\nu$  to a point which does not, and vice-versa. At the end, if the counter value is greater than two it means that the point cannot be added without creating a hole. In the same time we check that at least one 4-neighbor belongs to  $BPDP_\nu$ . If a point does not pass these checks it is marked as non-visited to give the tracking process the opportunity to visit it later on.

Some results obtained with this segmentation method are given in Fig. 6(a) and 6(b). On the one hand, if we look at the cube in Fig. 6(a), we can see that the segmentation is rather good and partition the object into six segments which correspond to the six faces of the cube. On the other hand, the segmentation of the sphere in Fig. 6(b) could be better. The problem with the sphere is its curved border. The tracking process of points described in section 3.2 has the

opportunity to skirt round the points that cannot be added and on curved parts it tends to create rough-crescent-shaped  $BPDP_\nu$ . If we now use a width- $\nu$  patch-based segmentation, as described in section 2.2, we can see that the result is far better (see Fig. 6(c)). This is due to the chamfer-mask-based tracking process used to grow the patches.



**Fig. 6.** Width-2 segmentation of a weakly (a) noisy cube of edge 30; (b) noisy sphere of radius 20 with the method presented in section 3.2. (c) Segmentation of the same sphere using only width-2 patches.

### 3.3 Hybrid Method

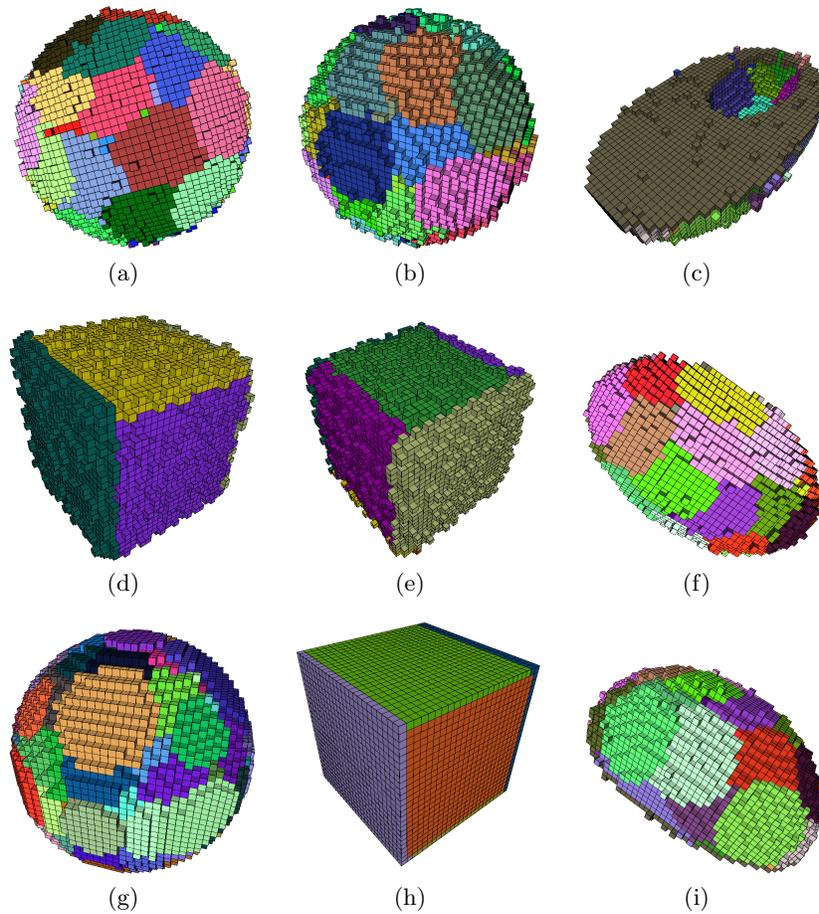
Relying on the previous observations, we have developed an hybrid segmentation method. For seeds that lie in a flat part of the object we develop a  $BPDP_\nu$  segment as described in 3.2 and for seeds that lie in curved parts we develop a patch  $\Gamma_\nu(p)$  (see section 2.2).

To distinguish between flat parts and curved parts we use the shape factor  $\mathcal{F}_\nu(p)$ . As previously explained, an increasing value of  $|\mathcal{F}_\nu(p)|$  means that the surface around  $p$  is more strongly bent. Thus, given a threshold value  $\sigma$  and a seed  $s$ , if  $|\mathcal{F}_\nu(s)| < \sigma$  we develop a  $BPDP_\nu$  segment, otherwise we develop a patch  $\Gamma_\nu(s)$ .

Results obtained with this method are shown in Fig. 7 and 8. In Fig. 7 synthetical objects with different shapes have been segmented at different widths. We can see in Fig. 7(g) and 7(h) that the method still works for non-noisy objects. Furthermore, due to the hybrid approach, both the flat and the curved areas of the noisy half hallowed ellipsoid in Fig 7(c), 7(f) and 7(i), are well segmented. In Fig. 8 a real-life object – an old Dodge car, available on the TC18 website<sup>3</sup> – has been segmented with both, the DSD algorithm proposed by I. Sivignon and the hybrid approach. We can notice that, with the hybrid approach, the flat

<sup>3</sup> [http://www.cb.uu.se/~tc18/code\\_data\\_set/3D\\_images.html](http://www.cb.uu.se/~tc18/code_data_set/3D_images.html)

areas, i.e the roof, the parts of the hood and the windshield, are well segmented, with respect to the shape of the car and with a little number of segments. In curved areas the two segmentations are close, but it is difficult to decide what is a “good” segmentation in these areas.

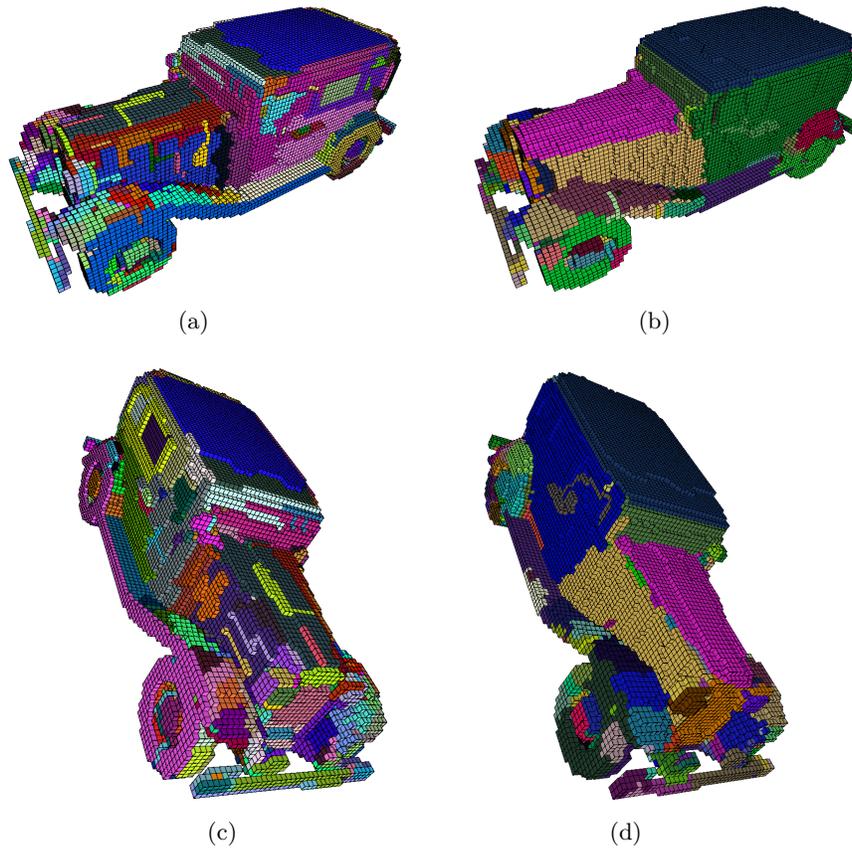


**Fig. 7.** Results of different segmentations by using the hybrid method on synthetic objects: (a, b) a width-2 segmentation of a weakly noisy sphere of radius 20; (d, e) a width-3 segmentation of a strongly noisy cube of edge 25; (g, h) a width-1 segmentation of non-noisy objects; and (c, f, i) a width-2 segmentation of an half hollowed ellipsoid.

Note that, at this time, the threshold have to be set manually, but we would like to investigate more to find a way to automatically choose a good value for  $\sigma$ .

## 4 Conclusion

In this paper we have presented a segmentation method to decompose a possibly noisy discrete object into pieces of discrete planes with a variable width. Different segmentation strategies have been proposed, guided by geometric features of the border of the object, computed in a pre-process step. Good results have been obtained for both noisy and non-noisy objects, but we still have to investigate more to automatically choose good values for the different parameters of the method.



**Fig. 8.** The segmentation of a car (a, c) by using the DSD algorithm of I. Sivignon; and (b, d) by using the width-2 hybrid method.

In a future work, we intend to use this segmentation to develop a smoothing and a polyhedrization algorithms for noisy discrete objects. But some work have to be done to propose a good definition for facets and to study the way to group

them together to build a Euclidean polyhedron. The strategies used in [5] and in [3] could help us in that way. We also intend to lead formal studies on the notion of *noise* to give a theoretical validation of the presented approach.

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