

The Three-Color and Two-Color TantrixTM Rotation Puzzle Problems are NP-Complete via Parsimonious Reductions*

Dorothea Baumeister and Jörg Rothe
 Institut für Informatik
 Heinrich-Heine-Universität Düsseldorf
 40225 Düsseldorf, Germany

June 9, 2008

Abstract

Holzer and Holzer [HH04] proved that the TantrixTM rotation puzzle problem with four colors is NP-complete, and they showed that the infinite variant of this problem is undecidable. In this paper, we study the three-color and two-color TantrixTM rotation puzzle problems (3-TRP and 2-TRP) and their variants. Restricting the number of allowed colors to three (respectively, to two) reduces the set of available TantrixTM tiles from 56 to 14 (respectively, to 8). We prove that 3-TRP and 2-TRP are NP-complete, which answers a question raised by Holzer and Holzer [HH04] in the affirmative. Since our reductions are parsimonious, it follows that the problems Unique-3-TRP and Unique-2-TRP are DP-complete under randomized reductions. We also show that the another-solution problems associated with 4-TRP, 3-TRP, and 2-TRP are NP-complete. Finally, we prove that the infinite variants of 3-TRP and 2-TRP are undecidable.

1 Introduction

The puzzle game TantrixTM, invented by Mike McManaway in 1991, is a domino-like strategy game played with hexagonal tiles in the plane. Each tile contains three colored lines in different patterns (see Figure 1). We are here interested in the variant of the TantrixTM rotation puzzle game whose aim it is to match the line colors of the joint edges for each pair of adjacent tiles, just by rotating the tiles around their axes while their locations remain fixed. This paper continues the complexity-theoretic study of such problems that was initiated by Holzer and Holzer [HH04]. Other results on the complexity of domino-like strategy games can be found, e.g., in Grädel’s work [Grä90]. Ueda and Nagao [UN96] and Yato and Seta [YS02] provided a framework for studying the problem of finding another solution of any given NP problem when some solutions to this NP problem are already known—an approach particularly appropriate for puzzle games. TantrixTM puzzles have also been studied with regard to “evolutionary computation,” see Downing [Dow05].

*Supported in part by DFG grants RO 1202/9-3 and RO 1202/11-1, the European Science Foundation’s EUROCORES program LogICCC, and the Alexander von Humboldt Foundation’s TransCoop program. URL: <http://ccc.cs.uni-duesseldorf.de/~rothe> (J. Rothe).

Holzer and Holzer [HH04] defined two decision problems associated with four-color TantrixTM rotation puzzles. The first problem’s instances are restricted to a finite number of tiles, and the second problem’s instances are allowed to have infinitely many tiles. They proved that the finite variant of this problem is NP-complete and that the infinite problem variant is undecidable. The constructions in [HH04] use tiles with four colors, just as the original TantrixTM tile set. Holzer and Holzer posed the question of whether the TantrixTM rotation puzzle problem remains NP-complete if restricted to only three colors, or if restricted to otherwise reduced tile sets. In this paper, we answer this question in the affirmative for the three-color and the two-color version of this problem.

For each k , $1 \leq k \leq 4$, Table 1 summarizes the previously known and our new results for k -TRP, the k -color TantrixTM rotation puzzle problem, and its variants. (All problems are formally defined in Section 2.)

k	k -TRP is	Parsimonious?	Unique- k -TRP is	AS- k -TRP is	Inf- k -TRP is
1	in P (trivial)		in P (trivial)	in P (trivial)	decidable (trivial)
2	NP-complete (see Cor. 3.6)	yes (see Thm. 3.5)	DP- \leq_{ran}^p -complete (see Cor. 3.7)	NP-complete (see Cor. 3.8)	undecidable (see Thm. 3.9)
3	NP-complete (see Cor. 3.3)	yes (see Thm. 3.2)	DP- \leq_{ran}^p -complete (see Cor. 3.7)	NP-complete (see Cor. 3.8)	undecidable (see Thm. 3.9)
4	NP-complete (see [HH04])	yes (see [BR07])	DP- \leq_{ran}^p -complete (see [BR07])	NP-complete (see Cor. 3.8)	undecidable (see [HH04])

Table 1: Overview of complexity and decidability results for k -TRP and its variants

Since the four-color TantrixTM tile set contains the three-color TantrixTM tile set, our new complexity results for 3-TRP imply the previous results for 4-TRP (both its NP-completeness [HH04] and that satisfiability *parsimoniously* reduces to 4-TRP [BR07]). In contrast, the three-color TantrixTM tile set does not contain the two-color TantrixTM tile set (see Figure 2 in Section 2). Thus, 3-TRP does not straightforwardly inherit its hardness results from those of 2-TRP, which is why both reductions, the one to 3-TRP and the one to 2-TRP, have to be presented. Note that they each substantially differ—both regarding the subpuzzles constructed and regarding the arguments showing that the constructions are correct—from the previously known reductions presented in [HH04, BR07], and we will explicitly illustrate the differences between our new and the original subpuzzles.

Our reductions will be from a boolean circuit problem, and we construct a TantrixTM rotation puzzle that simulates the computation of such a circuit, where suitable subpuzzles are used to simulate the wires and gates of the circuit. In particular, the previous reductions presented in [HH04, BR07, BR] use McColl’s planar “cross-over” circuit with AND and NOT gates to simulate wire crossings [McC81] and they employ Goldschlager’s log-space transformation from general to planar circuits [Gol77]. We take the same approach in our construction for 2-TRP. In contrast, we simulate wire crossings in the circuit in the construction for 3-TRP directly by a new subpuzzle called CROSS, which we will introduce in Section 3.1 and which will make our reduction for 3-TRP significantly more efficient compared with the reduction for 3-TRP presented in a previous version of this paper [BR]. Note that using the CROSS results in a puzzle with a considerably smaller total number of tiles that are needed to simulate a given circuit.

Since we provide *parsimonious* reductions from the satisfiability problem to 3-TRP and to 2-TRP, our reductions preserve the uniqueness of the solution. Thus, the unique variants of both 3-TRP and 2-TRP are DP-complete under polynomial-time randomized reductions, where DP is the class of differences of NP sets. In addition, we will show that our parsimonious reductions for 3-TRP and 2-TRP also provide “another-solution problem reductions” (i.e., \leq_{asp}^p -reductions, see Section 2.1), and so the “another-solution problems” associated with 3-TRP and 2-TRP are also NP-complete.¹ Moreover, since 4-TRP inherits the hardness results for 3-TRP, the another-solution problem associated with 4-TRP is NP-complete as well. Finally, we will prove that the infinite variants of 3-TRP and 2-TRP are undecidable, via a circuit construction similar to the one Holzer and Holzer [HH04] used to show that the infinite 4-TRP problem is undecidable.

We mention in passing that the present paper differs from and extends its preliminary version [BR] in various ways. First, the proof of Theorem 3.2, which was only sketched in [BR], is given here in full length, where we also display the original subpuzzles of Holzer and Holzer [HH04] to allow comparison and where we explicitly show the differences between the subpuzzles used in their original construction (that provides a reduction for 4-TRP that is not parsimonious; see [BR07] for a parsimonious reduction for 4-TRP) and in our new reduction showing 3-TRP NP-complete via a parsimonious reduction. Moreover, the proof of this result for 3-TRP presented here additionally differs from the one sketched in [BR], since the reduction given here uses the CROSS subpuzzle, which—as explained above—makes the reduction significantly more efficient. Second, we here provide the proof of Theorem 3.5, which was completely omitted in [BR]. Third, Corollary 3.8 and the related discussion of the another-solution variants of k -TRP, $k \in \{2, 3, 4\}$, are completely new to the current version.

This paper is organized as follows. Section 2 provides the complexity-theoretic definitions and notation used and defines the k -color TantrixTM rotation puzzle problem and its variants. Section 3.1 shows that the three-color TantrixTM rotation puzzle problem is NP-complete via a parsimonious reduction. To allow comparison, the original subpuzzles from Holzer and Holzer’s construction [HH04] are also presented in this section. Section 3.2 presents our result that 2-TRP is NP-complete, again via a parsimonious reduction. Section 3.3 is concerned with the complexity of the unique and infinite variants of the three-color and the two-color TantrixTM rotation puzzle problem, and with the corresponding another-solution problems.

2 Definitions and Notation

2.1 Complexity-Theoretic Notions and Notation

We assume that the reader is familiar with the standard notions of complexity theory, such as the complexity classes P (deterministic polynomial time) and NP (nondeterministic polynomial time); see, e.g., the textbooks [Pap94, Rot05]. DP denotes the class of differences

¹Informally stated, an *another-solution problem* associated with an NP problem A asks, given an instance $x \in A$ and some solutions y_1, y_2, \dots, y_n for “ $x \in A$ ” (i.e., the y_i ’s encode accepting computation paths of an NP machine solving A on input x), whether or not there exists *another* solution, $y \notin \{y_1, y_2, \dots, y_n\}$, for “ $x \in A$.” See Ueda and Nagao [UN96] and Yato and Seta [YS02] for more details and results, and also for a discussion of why these problems are particularly important for puzzle games.

of any two NP sets [PY84]. Note that DP is also known to be the second level of the boolean hierarchy over NP, see Cai et al. [CGH⁺88, CGH⁺89].

Let Σ^* denote the set of strings over the alphabet $\Sigma = \{0, 1\}$. Given any language $L \subseteq \Sigma^*$, $\|L\|$ denotes the number of elements in L . We consider both decision problems and function problems. The former are formalized as languages whose elements are those strings in Σ^* that encode the yes-instances of the problem at hand. Regarding the latter, we focus on the counting problems related to sets in NP. The counting version $\#A$ of an NP set A maps each instance x of A to the number of solutions of x . That is, counting problems are functions from Σ^* to \mathbb{N} . As an example, the counting version $\#\text{SAT}$ of SAT, the NP-complete satisfiability problem, asks how many satisfying assignments a given boolean formula has. Solutions of NP sets can be viewed as accepting paths of NP machines. Valiant [Val79] defined the function class $\#\text{P}$ to contain the functions that give the number of accepting paths of some NP machine. In particular, $\#\text{SAT}$ is in $\#\text{P}$. Another class of problems we consider are the another-solution problems (see Footnote 1 for an informal definition and Definition 2.1 for the another-solution problems associated with k -TRP).

The complexity of two decision problems, A and B , will here be compared via the *polynomial-time many-one reducibility*: $A \leq_m^p B$ if there is a polynomial-time computable function f such that for each $x \in \Sigma^*$, $x \in A$ if and only if $f(x) \in B$. A set B is said to be NP-complete if B is in NP and every NP set \leq_m^p -reduces to B .

Many-one reductions do not always preserve the number of solutions. A reduction that does preserve the number of solutions is said to be *parsimonious*. Formally, if A and B are any two sets in NP, we say A *parsimoniously reduces to* B if there exists a polynomial-time computable function f such that for each $x \in \Sigma^*$, $\#A(x) = \#B(f(x))$.

To compare two another-solution problems associated with two given NP problems, A and B , Ueda and Nagao [UN96] introduced the following notion of reducibility.² We say that $A \leq_{asp}^p B$ if A is parsimoniously reducible to B and, in addition, there exists a polynomial-time computable bijective function from the set of solutions of A to the set of solutions of B . Let AS- A and AS- B be the another-solution problems associated with A and B (see Footnote 1 for an informal definition and, specifically, Definition 2.1 for the another-solution problems associated with k -TRP). Ueda and Nagao [UN96] show that if AS- A is NP-complete and $A \leq_{asp}^p B$, then AS- B is also NP-complete [UN96]. In particular, AS-SAT is known to be NP-complete [YS02].

Valiant and Vazirani [VV86] introduced the following type of *randomized polynomial-time many-one reducibility*: $A \leq_{ran}^p B$ if there exists a polynomial-time randomized algorithm F and a polynomial p such that for each $x \in \Sigma^*$, if $x \in A$ then $F(x) \in B$ with probability at least $1/p(|x|)$, and if $x \notin A$ then $F(x) \notin B$ with certainty. In particular, they proved that the unique version of the satisfiability problem, Unique-SAT, is DP-complete under randomized reductions; see also Chang, Kadin, and Rohatgi [CKR95] for further related results.

²They call this notion “parsimonious reduction with the property (*)” [UN96]. Yato and Seta [YS02] introduce a similar notion (albeit tailored to the case of function problems), which they denote by “polynomial-time ASP reduction.”

2.2 Variants of the TantrixTM Rotation Puzzle Problem

2.2.1 Tile Sets, Color Sequences, and Orientations

The TantrixTM rotation puzzle consists of four different kinds of hexagonal tiles, named *Sint*, *Brid*, *Chin*, and *Rond*. Each tile has three lines colored differently, where the three colors of a tile are chosen among four possible colors, see Figures 1(a)–(d). The original TantrixTM colors are *red*, *yellow*, *blue*, and *green*, which we encode here as shown in Figures 1(e)–(h). The combination of four kinds of tiles having three out of four colors each gives a total of 56 different tiles.

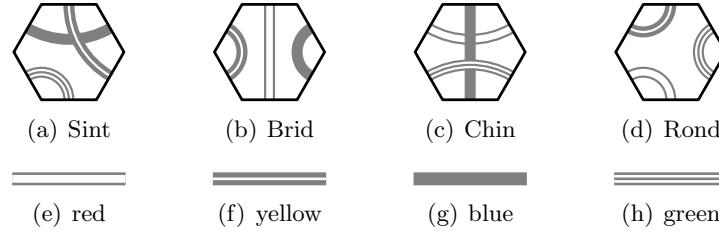


Figure 1: TantrixTM tile types and the encoding of TantrixTM line colors

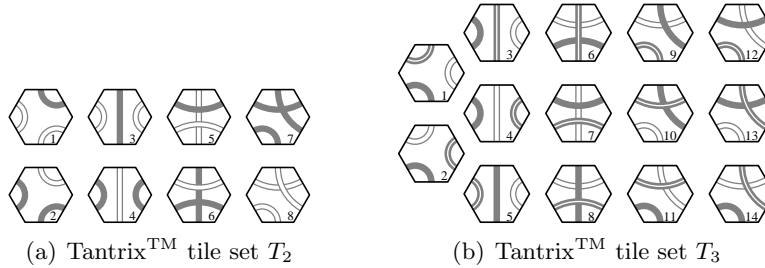


Figure 2: TantrixTM tile sets T_2 (for *red* and *blue*) and T_3 (for *red*, *yellow*, and *blue*)

Since we wish to study TantrixTM rotation puzzle problems for which the number of allowed colors is restricted, the set of TantrixTM tiles available in a given problem instance depends on which variant of the TantrixTM rotation puzzle problem we are interested in. Let C be the set that contains the four colors *red*, *yellow*, *blue*, and *green*. For each $i \in \{1, 2, 3, 4\}$, let $C_i \subseteq C$ be some fixed subset of size i , and let T_i denote the set of TantrixTM tiles available when the line colors for each tile are restricted to C_i . For example, T_4 is the original TantrixTM tile set containing 56 tiles, and if C_3 contains, say, the three colors *red*, *yellow*, and *blue*, then tile set T_3 contains the 14 tiles shown in Figure 2(b).

Some more remarks on the tile sets are in order. First, for T_3 and T_4 , we require the three lines on each tile to have distinct colors, as in the original TantrixTM tile set. For T_1 and T_2 , however, this is not possible, so we allow the same color being used for more than one of the three lines of any tile. Second, note that we care only about the sequence of colors on a tile,³ where we always use the clockwise direction to represent color sequences.

³The reason for this and the resulting conventions on the tile sets stated in this paragraph is that our problems refer to the variant of the TantrixTM game that seeks, via rotations, to make the line colors match

However, since different types of tiles can yield the same color sequence, we will use just one such tile to represent the corresponding color sequence. For example, if C_2 contains, say, the two colors *red* and *blue*, then the color sequence *red-red-blue-blue-blue-blue* (which we abbreviate as **rrbbbbb**) can be represented by a *Sint*, a *Brid*, or a *Rond* each having one short *red* arc and two *blue* additional lines, and we add only one such tile (say, the *Rond*) to the tile set T_2 . That is, though there is some freedom in choosing a particular set of tiles, to be specific we fix the tile set T_2 shown in Figure 2(a). Thus, we have $\|T_1\| = 1$, $\|T_2\| = 8$, $\|T_3\| = 14$, and $\|T_4\| = 56$, regardless of which colors are chosen to be in C_i , $1 \leq i \leq 4$.

<i>Rond</i>		<i>Brid</i>		<i>Chin</i>		<i>Sint</i>	
t_1	t_2	t_3	t_4	t_5	t_6	t_7	t_8
brrrrr	rrbbbbb	brrbrr	rbbrbb	rbrrrb	brbbbr	bbbbbb	rrrrrr

Table 2: Color sequences of the tiles in T_2

<i>Rond</i>		<i>Brid</i>			<i>Chin</i>		
t_1	t_2	t_3	t_4	t_5	t_6	t_7	t_8
yrrbby	ryybbr	yrrybb	ryyrbb	brrbyy	yrbybr	rbyryb	brybyr
<i>Sint</i>							
t_9	t_{10}	t_{11}	t_{12}	t_{13}	t_{14}		
brbyyr	bybrry	ryrbby	rbryyb	ybyrrb	yrybbr		

Table 3: Color sequences of the tiles in T_3

Tables 2 and 3 show the color sequences for the eight tiles in T_2 and for the 14 tiles in T_3 that are presented in Figures 2(a) and 2(b), respectively. Tables 4 and 5 give the six possible orientations for each tile in T_2 and in T_3 , which can be described by permuting the color sequences cyclically and where repetitions of color sequences are omitted. Regarding the latter, note that some of the tiles in T_2 (namely, tiles t_3 , t_4 , t_7 , and t_8 in Table 4) have orientations that yield identical color sequences due to symmetry, and so repetitions can be omitted. In contrast, no such repetitions occur for the 14 tiles in T_3 when permuted cyclically to yield the six possible orientations (see Table 5).

Note that, for example, tile t_7 from T_2 (see Table 4) has the same color sequence (namely, **bbbbbb**) in each of its six orientations. In Section 3, we will consider the counting versions of TantrixTM rotation puzzle problems and will construct parsimonious reductions. When counting the solutions of TantrixTM rotation puzzles, we will focus on color sequences only. That is, whenever some tile (such as t_7 from T_2) has distinct orientations with identical color sequences, we will count this as just one solution (and disregard such repetitions). In this sense, our reduction to be presented in the proof of Theorem 3.5 will be parsimonious.

on all joint edges of adjacent tiles. The objective of other TantrixTM games is to create lines and loops of the same color as long as possible; for problems related to these TantrixTM game variants, other conventions on the sets of allowed tiles would be reasonable.

Tile Number	Orientation					
	1	2	3	4	5	6
1	bbrrrr	rbrrrr	rrbbrr	rrrbbr	rrrrbb	brrrrb
2	rrbbbb	brrbbb	brrrb	bbbrrb	bbbbr	rbbbbb
3	brrbrr	rbrrbr	rrbrrb			
4	rbbrbb	brbbrb	bbrbbr			
5	rbrrrb	brbrrr	rbrbrr	rrbrbr	rrrbrb	brrrbr
6	brbbbr	rbrbbb	brbrbb	bbrbrb	bbbbr	rbbbrb
7	bbbbbb					
8	rrrrrr					

Table 4: Color sequences of the tiles in T_2 in their six orientations

Tile Number	Orientation					
	1	2	3	4	5	6
1	yrrbby	yyrrbb	byyrrb	bbyyrr	rbbyyr	rrbbyy
2	ryybbr	rryybb	brryyb	bbrryy	ybbrry	yybbr
3	yrrybb	byrryb	bbyrry	ybbryr	rybbyr	rrybyy
4	ryyrb	bryyrb	bbryyr	rbbryy	yrbbyr	yyrbbr
5	brrbyy	ybrby	yybrrb	bbybrr	rbyybr	rrbyyb
6	yrbybr	ryrbyb	bryrby	ybryrb	bybryr	rbybry
7	rbyryb	brbyry	ybrbyr	rybrby	yrybrb	byrybr
8	brybyr	rbryby	yrbyrb	byrbry	ybyrbr	rybyrb
9	brbyyr	rbrbyy	yrbrby	yyrbrb	bbyrbr	rbyyrb
10	bybrry	ybybrr	rybybr	rrybyb	brryby	ybrryb
11	ryrbby	yryrb	byryrb	bbyryr	rbbyry	yrbbyr
12	rbryyb	brbryy	ybrbry	yybrbr	ryybrb	bryybr
13	ybyrrb	bybyrr	rbybyr	rrbyby	yrrbyb	byrrby
14	yrybbr	ryrybb	bryryb	bbryry	ybbryr	rybbry

Table 5: Color sequences of the tiles in T_3 in their six orientations

2.2.2 Definition of the Problems

We now recall some useful notation that Holzer and Holzer [HH04] introduced in order to formalize problems related to the TantrixTM rotation puzzle. The instances of such problems are TantrixTM tiles firmly arranged in the plane. To represent their positions, we use a two-dimensional hexagonal coordinate system shown in Figure 3. Let $T \in \{T_1, T_2, T_3, T_4\}$ be some tile set as defined above. Let $\mathcal{A} : \mathbb{Z}^2 \rightarrow T$ be a function mapping points in \mathbb{Z}^2 to tiles in T , i.e., $\mathcal{A}(x)$ is the type of the tile located at position x . Note that \mathcal{A} is a partial function; throughout this paper (except in Theorem 3.9 and its proof), we restrict our problem instances to finitely many given tiles, and the regions of \mathbb{Z}^2 they cover may have holes (which is a difference to the original TantrixTM game).

Define $\text{shape}(\mathcal{A})$ to be the set of points $x \in \mathbb{Z}^2$ for which $\mathcal{A}(x)$ is defined. For any two distinct points $x = (a, b)$ and $y = (c, d)$ in \mathbb{Z}^2 , x and y are neighbors if and only if $(a = c$

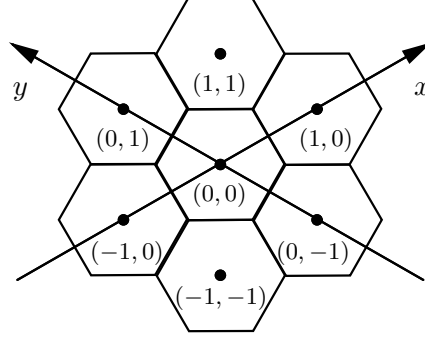


Figure 3: A two-dimensional hexagonal coordinate system

and $|b - d| = 1$) or $(|a - c| = 1 \text{ and } b = d)$ or $(a - c = 1 \text{ and } b - d = 1)$ or $(a - c = -1 \text{ and } b - d = -1)$. For any two points x and y in $\text{shape}(\mathcal{A})$, $\mathcal{A}(x)$ and $\mathcal{A}(y)$ are said to be neighbors exactly if x and y are neighbors.

We now define the TantrixTM rotation puzzle problems we are interested in, where the parameter k is chosen from $\{1, 2, 3, 4\}$:

Name: k -Color TantrixTM Rotation Puzzle (k -TRP, for short).

Instance: A finite shape function $\mathcal{A} : \mathbb{Z}^2 \rightarrow T_k$, appropriately encoded as a string in Σ^* .

Question: Is there a solution to the rotation puzzle defined by \mathcal{A} , i.e., does there exist a rotation of the given tiles in $\text{shape}(\mathcal{A})$ such that the colors of the lines of any two adjacent tiles match at their joint edge?

Clearly, 1-TRP can be solved trivially, so 1-TRP is in P. On the other hand, Holzer and Holzer [HH04] showed that 4-TRP is NP-complete and that the infinite variant of 4-TRP is undecidable. Baumeister and Rothe [BR07] investigated the counting and the unique variant of 4-TRP and, in particular, provided a parsimonious reduction from SAT to 4-TRP. In this paper, we study the three-color and two-color versions of this problem, 3-TRP and 2-TRP, and their counting, unique, another-solution, and infinite variants.

Definition 2.1 1. A solution to a k -TRP instance \mathcal{A} specifies an orientation of each tile in $\text{shape}(\mathcal{A})$ such that the colors of the lines of any two adjacent tiles match at their joint edge. Let $\text{SOL}_{k\text{-TRP}}(\mathcal{A})$ denote the set of solutions of \mathcal{A} .

2. Define the counting version of k -TRP to be the function $\#k\text{-TRP}$ mapping from Σ^* to \mathbb{N} such that $\#k\text{-TRP}(\mathcal{A}) = \|\text{SOL}_{k\text{-TRP}}(\mathcal{A})\|$.

3. Define the unique version of k -TRP as $\text{Unique-}k\text{-TRP} = \{\mathcal{A} \mid \#k\text{-TRP}(\mathcal{A}) = 1\}$.

4. Define the another-solution problem associated with k -TRP as

$$\text{AS-}k\text{-TRP} = \{(\mathcal{A}, y_1, \dots, y_n) \mid y_1, \dots, y_n \in \text{SOL}_{k\text{-TRP}}(\mathcal{A}) \text{ and } \|\text{SOL}_{k\text{-TRP}}(\mathcal{A})\| > n\}.$$

The above problems are defined for the case of finite problem instances. The infinite TantrixTM rotation puzzle problem with k colors (Inf- k -TRP, for short) is defined exactly as k -TRP, the only difference being that the shape function \mathcal{A} is not required to be finite and is represented by the encoding of a Turing machine computing $\mathcal{A} : \mathbb{Z}^2 \rightarrow T_k$.

3 Results

3.1 Parsimonious Reduction from SAT to 3-TRP

Theorem 3.2 below is the main result of this section. Notwithstanding that our proof follows the general approach of Holzer and Holzer [HH04], our specific construction and our proof of correctness will differ substantially from theirs. We will provide a parsimonious reduction from SAT to 3-TRP. Let $\text{Circuit}_{\wedge, \neg}\text{-SAT}$ denote the problem of deciding, given a boolean circuit c with AND and NOT gates only, whether or not there is a satisfying truth assignment to the input variables of c . The NP-completeness of $\text{Circuit}_{\wedge, \neg}\text{-SAT}$ was shown by Cook [Coo71]. The following lemma (stated, e.g., in [BR07]) is straightforward.

Lemma 3.1 *SAT parsimoniously reduces to $\text{Circuit}_{\wedge, \neg}\text{-SAT}$.*

Theorem 3.2 *SAT parsimoniously reduces to 3-TRP.*

Proof. By Lemma 3.1, it is enough to show that $\text{Circuit}_{\wedge, \neg}\text{-SAT}$ parsimoniously reduces to 3-TRP. The resulting 3-TRP instance simulates a boolean circuit with AND and NOT gates such that the number of solutions of the rotation puzzle equals the number of satisfying truth assignments to the variables of the circuit.

General remarks on our proof approach: The rotation puzzle to be constructed from a given circuit consists of different subpuzzles each using only three colors. The color *green* was employed by Holzer and Holzer [HH04] only to exclude certain rotations, so we choose to eliminate this color in our three-color rotation puzzle. Thus, letting C_3 contain the colors *blue*, *red*, and *yellow*, we have the tile set $T_3 = \{t_1, t_2, \dots, t_{14}\}$, where the enumeration of tiles corresponds to Figure 2(b). Furthermore, our construction will be parsimonious, i.e., there will be a one-to-one correspondence between the solutions of the given $\text{Circuit}_{\wedge, \neg}\text{-SAT}$ instance and the solutions of the resulting rotation puzzle instance. Note that part of our work is already done, since some subpuzzles constructed in [BR07] use only three colors and they each have unique solutions. However, the remaining subpuzzles have to be either modified substantially or to be constructed completely differently, and the arguments of why our modified construction is correct differs considerably from previous work [HH04, BR07].

Since it is not so easy to exclude undesired rotations without having the color *green* available, let us first analyze the 14 tiles in T_3 . For $u, v \in C_3$ and for each tile t_i in T_3 , where $1 \leq i \leq 14$, Table 6 shows which substrings of the form uv occur in the color sequence of t_i (as indicated by an \bullet entry in row uv and column i). In the remainder of this proof, when showing that our construction is correct, our arguments will often be based on which substrings do or do not occur in the color sequences of certain tiles from T_3 , and Table 6 may then be looked up for convenience.

Holzer and Holzer [HH04] consider a boolean circuit c on input variables x_1, x_2, \dots, x_n as a sequence $(\alpha_1, \alpha_2, \dots, \alpha_m)$ of computation steps (or “instructions”), and we adopt this approach here. For the i th instruction, α_i , we have $\alpha_i = x_i$ if $1 \leq i \leq n$, and if $n+1 \leq i \leq m$ then we have either $\alpha_i = \text{NOT}(j)$ or $\alpha_i = \text{AND}(j, k)$, where $j \leq k < i$. Circuits are evaluated in the standard way. We will represent the truth value *true* by the color *blue* and the truth value *false* by the color *red* in our rotation puzzle.

	<i>Rond</i>		<i>Brid</i>			<i>Chin</i>			<i>Sint</i>					
<i>uv</i>	1	2	3	4	5	6	7	8	9	10	11	12	13	14
bb	•	•	•	•							•			•
rr	•	•	•		•					•			•	
yy	•	•		•	•				•			•		
br		•		•	•	•	•	•	•	•		•		•
rb	•			•	•	•	•	•	•		•	•	•	
by	•		•		•	•	•	•	•	•	•		•	
yb		•	•		•	•	•	•		•		•	•	•
ry		•	•	•		•	•	•		•	•	•		•
yr	•		•	•		•	•	•	•		•		•	•

Table 6: Substrings uv that occur in the color sequences of the tiles in T_3

A technical difficulty in the construction results from the wire crossings that circuits can have. To construct rotation puzzles from *planar* circuits, Holzer and Holzer use McColl’s planar “cross-over” circuit with AND and NOT gates to simulate such wire crossings [McC81], and in particular they employ Goldschlager’s log-space transformation from general to planar circuits [Gol77]. For the details of this transformation, we refer to Holzer and Holzer’s work [HH04].

We use a different approach to overcome the difficulty caused by wire crossings. Our construction will employ a new subpuzzle for this purpose. Holzer and Holzer’s circuit construction uses several cross-over circuits, and each of them consists of twelve AND and nine NOT gates, and in addition it increases the number of instruction steps by 14. We will avoid this blow-up by using the CROSS subpuzzle, which achieves a direct crossing of two adjacent wires in our TantrixTM puzzle and thus is much more efficient.

For the sake of comparison, we also present the original subpuzzles from Holzer and Holzer’s construction ([HH04]) in this section, with the following conventions: Tiles having more than one possible orientation as well as tiles containing *green* lines will always have a grey instead of a black edging, and modified or inserted tiles in our new subpuzzles will always be highlighted by having a grey background. This will illustrate the differences between our new and the previously known original subpuzzles.

Wire subpuzzles: Wires of the circuit are simulated by the subpuzzles WIRE, MOVE, and COPY.

A vertical wire is represented by a WIRE subpuzzle, which is shown in Figure 5. The original WIRE subpuzzle from [HH04] (see Figure 4) does not contain *green* but it does not have a unique solution, while the WIRE subpuzzle from [BR07], which is not displayed here, ensures the uniqueness of the solution but is using a tile with a *green* line. In the original WIRE subpuzzle, both tiles, a and b , have two possible orientations for each input color. Inserting two new tiles at positions x and y (see Figure 5) makes the solution unique. If the input color is *blue*, tile x must contain one of the following color-sequence substrings for the edges joint with tiles b and a : **ry**, **rr**, **yy**, or **yr**. If the input color is *red*, x must contain one of these substrings: **bb**, **yb**, **yy**, or **by**. Tile t_{12} satisfies the conditions **yy** and **ry** for the input color *blue*, and the conditions **yb** and **yy** for the input color *red*.

The solution must now be fixed with tile y . The possible color-sequence substrings of y at the edges joint with a and b are \mathbf{rr} and \mathbf{ry} for the input color *blue*, and \mathbf{yb} and \mathbf{bb} for the input color *red*. Tile t_{13} has exactly one of these sequences for each input color. Thus, the solution for this subpuzzle contains only three colors and is unique.

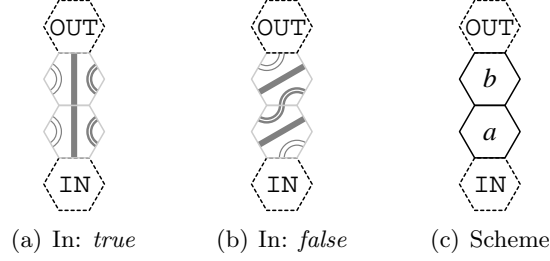


Figure 4: Original WIRE subpuzzle, see [HH04]

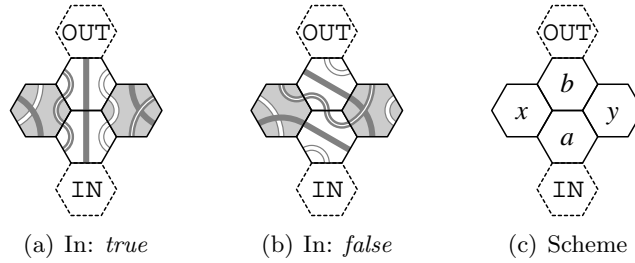


Figure 5: Three-color WIRE subpuzzle

The MOVE subpuzzle is needed to move a wire by two positions to the left or to the right. The original MOVE subpuzzle from [HH04] contains only three colors but has several solutions. One solution for each input color is shown in Figure 6, where the tiles with a grey edging have more than one possible orientation. However, the modified subpuzzle from [BR07], which is presented in Figure 7, contains also only three colors but has a unique solution.

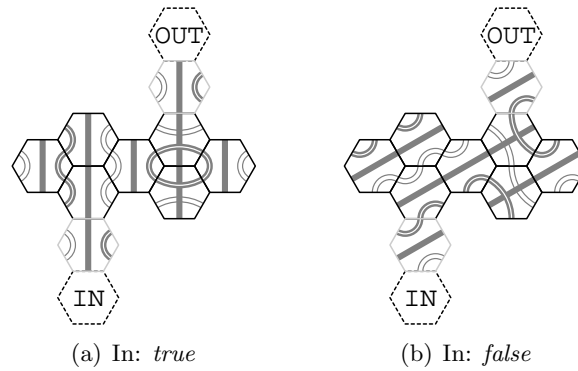


Figure 6: Original MOVE subpuzzle, see [HH04]

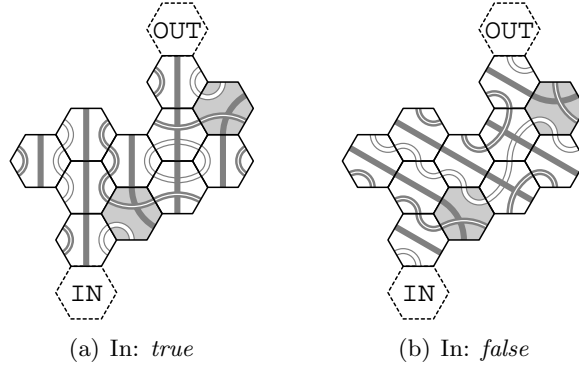


Figure 7: Three-color MOVE subpuzzle, see [BR07]

The COPY subpuzzle is used to “split” a wire into two copies. By the same arguments as above we can take the modified COPY subpuzzle from [BR07], which is presented in Figure 9. Figure 8 shows the original COPY subpuzzle from [HH04].

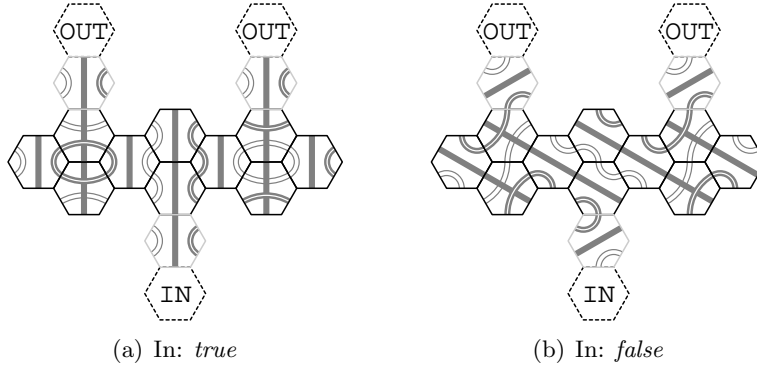


Figure 8: Original COPY subpuzzle, see [HH04]

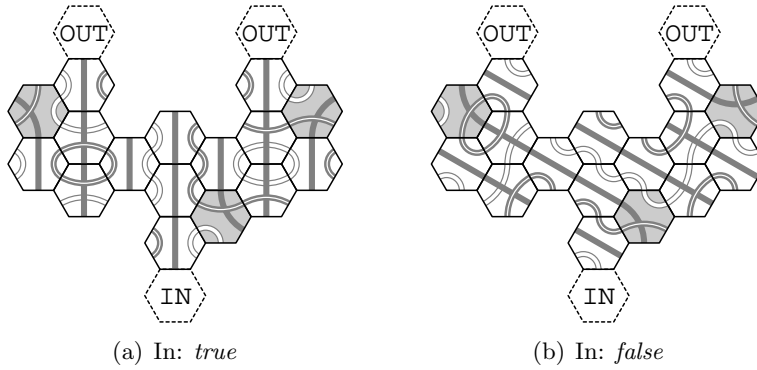


Figure 9: Three-color COPY subpuzzle, see [BR07]

The last subpuzzle needed to simulate the wires of the circuit is our new CROSS subpuzzle shown in Figure 10. This subpuzzle has two inputs and two outputs, and it ensures

that the input colors will be swapped at the outputs. This subpuzzle uses only three colors and has unique solutions for each combination of input colors.

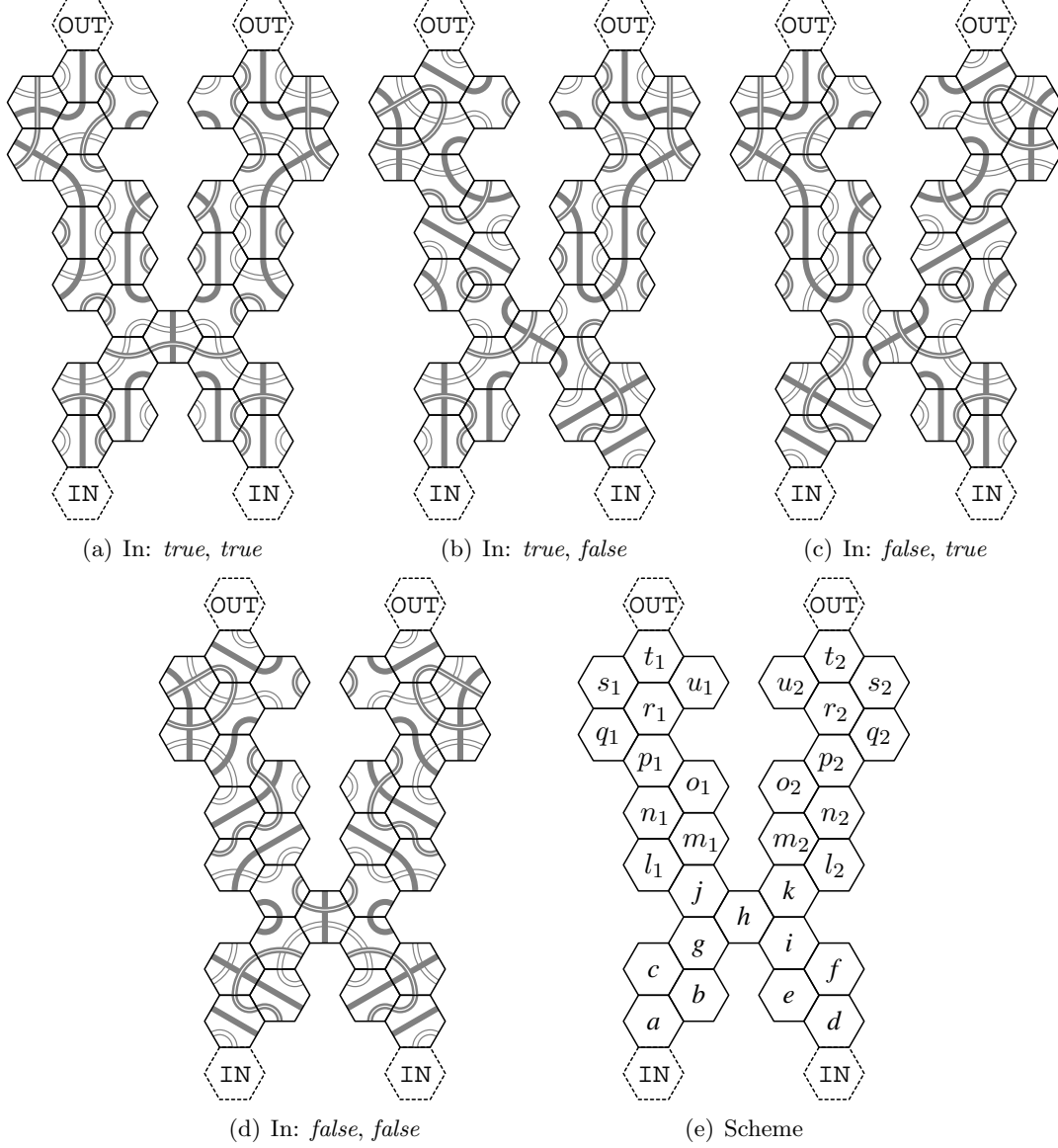


Figure 10: CROSS subpuzzle

The CROSS subpuzzle can be subdivided into three distinct parts: the lower part consisting of tiles a through k , the upper left part consisting of tiles l_1 through u_1 , and the upper right part consisting of tiles l_2 through u_2 .

Let us first consider the upper left part. Consider the three possible colors that can occur at the edge of tile j joint with tile m_1 .

Case 1: Assume that the joint edge of these two tiles is *blue*. One possible orientation for tile m_1 has *yellow* at the edge joint with tile l_1 . This leaves two possible orientations for tile l_1 . The first one has *red* at the edge joint with tile n_1 , but n_1 does not contain

the color sequence *yr*. The second possible orientation has *yellow* at the edge joint with tile n_1 , but this leads to *blue* at the edges of tiles m_1 and n_1 with tile o_1 . Since o_1 does not contain the color sequence *bb* this is not possible either. The orientation of tile m_1 is now fixed with *red* at the edge joint with tile l_1 .

There are two orientations of tile l_1 , but they both have *blue* at the edge joint with tile n_1 . In the analysis of the lower part we will see that both solutions are needed. The first one has *yellow* at the edge joint with tile j and the second one has *blue* at this edge. The orientation of tile n_1 is fixed with *red* and *blue* at the edges joint with tiles m_1 and l_1 . Tile o_1 has a fixed orientation due to the color-sequence substring *br* at the edges joint with tiles m_1 and n_1 . For tile p_1 there are two orientations left, because this tile contains the color-sequence substring *rb* for the edges joint with tiles o_1 and n_1 , twice. The first one has *red* at the edge joint with tile r_1 and *yellow* at the edge joint with tile q_1 . Thus, it is not possible that tile r_1 has *yellow* at the edge joint with tile q_1 , since q_1 does not contain the color-sequence substring *yy*. Neither is it possible that r_1 has *blue* at the edge joint with tile q_1 , because this leads to the color-sequence substring *yr* at the edges of tiles r_1 and s_1 with tile t_1 . So the orientation of tile p_1 is fixed with *blue* at the edge joint with tile q_1 and *yellow* at the edge joint with tile r_1 . Tile r_1 forces the edge joint with tile q_1 to be *red*, and since s_1 does not contain the color sequence *yy*, the orientation of tiles r_1 and s_1 is fixed with *blue* at their joint edge. This immediately fixes the orientation of all other tiles, and the output color at the left output tile will be *blue*.

Case 2: Now we assume that the joint edge of tiles j and m_1 is *red*. There are two possible orientations for tile m_1 . The first one has *red* at the edge joint with tile l_1 and *blue* at the edge joint with tile n_1 . This is not possible because then the joint edge of tiles l_1 and n_1 would have to be *blue*, but tile n_1 does not contain the color-sequence substring *bb*. So the orientation of tile m_1 is fixed with *blue* at the edge joint with tile l_1 and *yellow* at the edges joint with tiles n_1 and o_1 . Since n_1 does not contain the color-sequence substring *yr*, the orientation of tiles l_1 and n_1 is fixed with *yellow* at their joint edge. The joint edge of tiles o_1 and p_1 cannot be *red*, since p_1 does not contain the color-sequence substring *rr* for the edges joint with tiles o_1 and n_1 , so the joint edge of tiles o_1 and p_1 is *yellow*, and their orientation is fixed.

Now, there are two possible orientations for tile r_1 . The first one with *yellow* at the edge joint with tile s_1 is not possible, since this would lead to the color-sequence substring *yb* for tile u_1 at the edges joint with tiles r_1 and t_1 . So we fix the orientation of tile r_1 with *yellow* at the edge joint with tile q_1 . This also fixes the orientation of tile q_1 with *blue* at the edge joint with tile s_1 . The edges of tile t_1 joint with tiles r_1 and s_1 are both *yellow*, and the orientation of all other tiles is fixed. The output of the subpuzzle's left output tile will thus be *red*.

Case 3: The last possible color for the joint edge of tiles j and m_1 is *yellow*. We first assume that the edge of tile m_1 joint with tile l_1 is *blue*.

There are two possible orientations for tile l_1 . The first one has *yellow* at the edge joint with tile n_1 and thus is not possible, since n_1 does not contain the color-sequence substring *ry*. The second one has *red* at the edge joint with tile n_1 . Since the edge of

tile m_1 joint with tile o_1 is *red*, this is not possible either, because o_1 does not contain the color-sequence substring *rb*. So the orientation of tile m_1 is fixed with *yellow* at the edge joint with tile l_1 . And since tile j does not contain the color-sequence substring *by*, the orientation of tile l_1 is fixed as well

The given colors at the edges of tiles l_1 and m_1 immediately fix the orientation of tiles n_1 and o_1 with *blue* and *yellow* at the edges joint with tile p_1 , which contains the color-sequence substring *by* only once and so has a fixed orientation as well. Now we have the same situation as in the previous case, since the joint edge of tile p_1 with r_1 is *blue* and the joint edge of p_1 with tile q_1 is *red*. As to color *red* at the joint edge of tiles j and m_1 this case will also result in a unique solution with the output color *red* at the left output tile.

Due to symmetry the upper right part can be handled analogously with the upper left part. All *Brid* and *Chin* tiles are the same, and the *Rond* is replaced by the other *Rond*, and the *Sint* tiles are replaced by the respective other *Sint* tiles having a small arc of the same color. So we obtain a symmetrical subpuzzle and similar arguments as for the upper left part apply.

We now analyze the lower part of this subpuzzle. We first consider tiles a , b , and c . If the left input is *blue* then there is only one possible solution to these tiles. Obviously tiles a and c must have a vertical *blue* line, and since tile g does not contain the color-sequence substring *by*, the orientation of these three tiles is fixed with *yellow* at the edges of tiles b joint with tiles c and a . The orientation of tile g is fixed as well, since it contains the color-sequence substring *br* only once. If the input to this part is *red*, we have a fixed orientation with the color-sequence substring *ry* for the edges joint with tile g by similar arguments. Note that tile g has two possible solutions left. Since tiles d , e , and f are the same as tiles a , b , and c , and tile i is a mirrored tile g , the same arguments hold for the right input. To analyze the whole lower part, we will distinguish the following four possible pairs of input colors:

- First we assume that both input colors are *blue* (see Figure 10(a)). We have seen that the orientation of tiles g and i is fixed with *yellow* at their edges joint with tile h , and *red* at their edges joint with tiles j and k , respectively. The orientation of tile h is fixed with *red* at the edges joint with tiles j and k , and so they are fixed with the color-sequence substring *by* for the edges joint with tiles l_1 and m_1 and with the color-sequence substring *yb* for the edges joint with tiles m_2 and l_2 . In the analysis of the upper part we have seen, that both output colors will be *blue* in this case, as desired.
- Now, let the right input color be *blue* and let the left input color be *red* (see Figure 10(c)). The two possible colors for tile g joint with tile h are *blue* and *red*. The color for the joint edge of tiles i and h is *yellow*, and since h contains the color-sequence substring *ymb* but not *ymr*, where x stands for an arbitrary color (chosen among *blue*, *red*, and *yellow*), the orientation of tiles g and h is fixed. This also fixes the orientation of tiles j and k . Tile j has *blue* at the edges joint with tiles l_1 and m_1 , and (as we have seen in the analysis of the upper part) the left output color will be *blue*, just like the right input color. The edges of tile k joint with tiles m_2 and l_2 are *yellow*, and so the right output color will be *red*, as desired.

- The case of *blue* being the left input color and *red* being the right input color (see Figure 10(b)) is similar to the second case. The output colors will again be the exchanged input colors, as desired.
- The last case is that both input colors are *red* (see Figure 10(d)). We have seen that the two possible colors for tiles *g* and *i* joint with tile *h* are *blue* and *red*. Obviously, they cannot both be *blue*. If the joint edge of tiles *g* and *h* is *blue*, the joint edges of tiles *g* and *h* with *j* are both *yellow*. This is not possible, because the combination of *blue* at the joint edge of tiles *j* and *l₁* and *red* at the joint edge of tiles *j* and *m₁* is not possible. The case of *blue* at the edge of tile *i* joint with tile *h* is not possible due to similar arguments for tile *k* and the upper right part. So the edges of tiles *g* and *i* joint with tile *h* must both be *red*. This leads to *red* at the edges of tile *j* joint with the upper left part, and tile *k* joint with the upper right part. We have already seen that this combination leads to both output colors being *red*, as desired.

So we have unique solutions with the desired effect of exchanging the input colors at the output tiles for all four possible combinations of input colors for the CROSS subpuzzle.

Gate subpuzzles: The boolean gates AND and NOT are represented by the AND and NOT subpuzzles. Both the original four-color NOT subpuzzle from [HH04] (see Figure 11) and the modified four-color NOT subpuzzle from [BR07], which is not displayed here, use tiles with *green* lines to exclude certain rotations. Our three-color NOT subpuzzle is shown in Figure 12. Tiles *a*, *b*, *c*, and *d* from the original NOT subpuzzle shown in Figure 11 remain unchanged. Tiles *e*, *f*, and *g* in this original NOT subpuzzle ensure that the output color will be correct, since the joint edge of *e* and *b* is always *red*. So for our new NOT subpuzzle in Figure 12, we have to show that the edge between tiles *x* and *b* is always *red*, and that we have unique solutions for both input colors.

First, let the input color be *blue* and suppose for a contradiction that the joint edge of tiles *b* and *x* were *blue*. Then the joint edge of tiles *b* and *c* would be *yellow*. Since *x* is a tile of type *t₁₃* and so does not contain the color-sequence substring *bb*, the edge between tiles *c* and *x* must be *yellow*. But then the edges of tile *w* joint with tiles *c* and *x* must both be *blue*. This is not possible, however, because *w* (which is of type *t₁₀*) does not contain the color-sequence substring *bb*. So if the input color is *blue*, the orientation of tile *b* is fixed with *yellow* at the edge of *b* joint with tile *y*, and with *red* at the edges of *b* joint with tiles *c* and *x*. This already ensures that the output color will be *red*, because tiles *c* and *d* behave like a WIRE subpuzzle. Tile *x* does not contain the color-sequence substring *br*, so the orientation of tile *c* is also fixed with *blue* at the joint edge of tiles *c* and *w*. As a consequence, the joint edge of tiles *w* and *d* is *yellow*, and due to the fact that the joint edge of tiles *w* and *x* is also *yellow*, the orientation of *w* and *d* is fixed as well. Regarding tile *a*, the edge joint with tile *y* can be *yellow* or *red*, but tile *x* has *blue* at the edge joint with tile *y*, so the joint edge of tiles *y* and *a* is *yellow*, and the orientation of all tiles is fixed for the input color *blue*. The case of *red* being the input color can be handled analogously.

The most complicated figure (besides the CROSS) is the AND subpuzzle. The original four-color version from [HH04] (see Figure 13) uses four tiles with *green* lines and the modified four-color AND subpuzzle from [BR07], which is not displayed here, uses seven

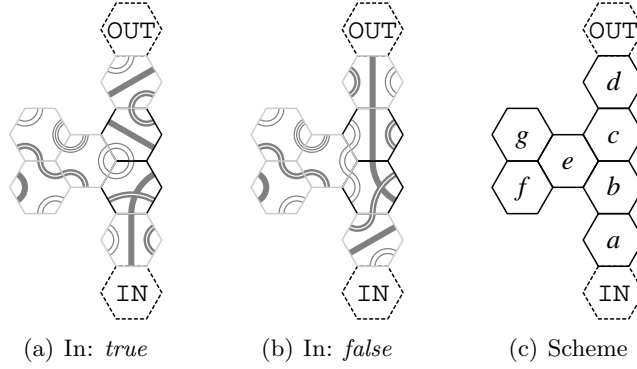


Figure 11: Original NOT subpuzzle, see [HH04]

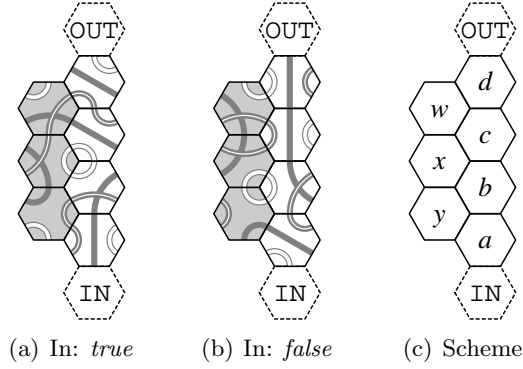


Figure 12: Three-color NOT subpuzzle

tiles with *green* lines. Figure 14 shows our new AND subpuzzle using only three colors and having unique solutions for all four possible combinations of input colors. To analyze this subpuzzle, we subdivide it into a lower and an upper part. The lower part ends with tile c and has four possible solutions (one for each combination of input colors), while the upper part, which begins with tile j , has only two possible solutions (one for each possible output color). The lower part can again be subdivided into three different parts.

The lower left part contains the tiles a , b , x , and h . If the input color to this part is *blue* (see Figures 14(a) and 14(b)), the joint edge of tiles b and x is always *red*, and since tile x (which is of type t_{11}) does not contain the color-sequence substring rr , the orientation of tiles a and x is fixed. The orientation of tiles b and h is also fixed, since h (which is of type t_2) does not contain the color-sequence substring by but the color-sequence substring yy for the edges joint with tiles b and x . By similar arguments we obtain a unique solution for these tiles if the left input color is *red* (see Figures 14(c) and 14(d)). The connecting edge to the rest of the subpuzzle is the joint edge between tiles b and c , and tile b will have the same color at this edge as the left input color.

Tiles d , e , i , w , and y form the lower right part. If the input color to this part is *blue* (see Figures 14(a) and 14(c)), the joint edge of tiles d and y must be *yellow*, since tile y (which is of type t_9) does not contain the color-sequence substrings rr nor ry for the edges joint with tiles d and e . Thus the joint edge of tiles y and e must be *yellow*, since i (which

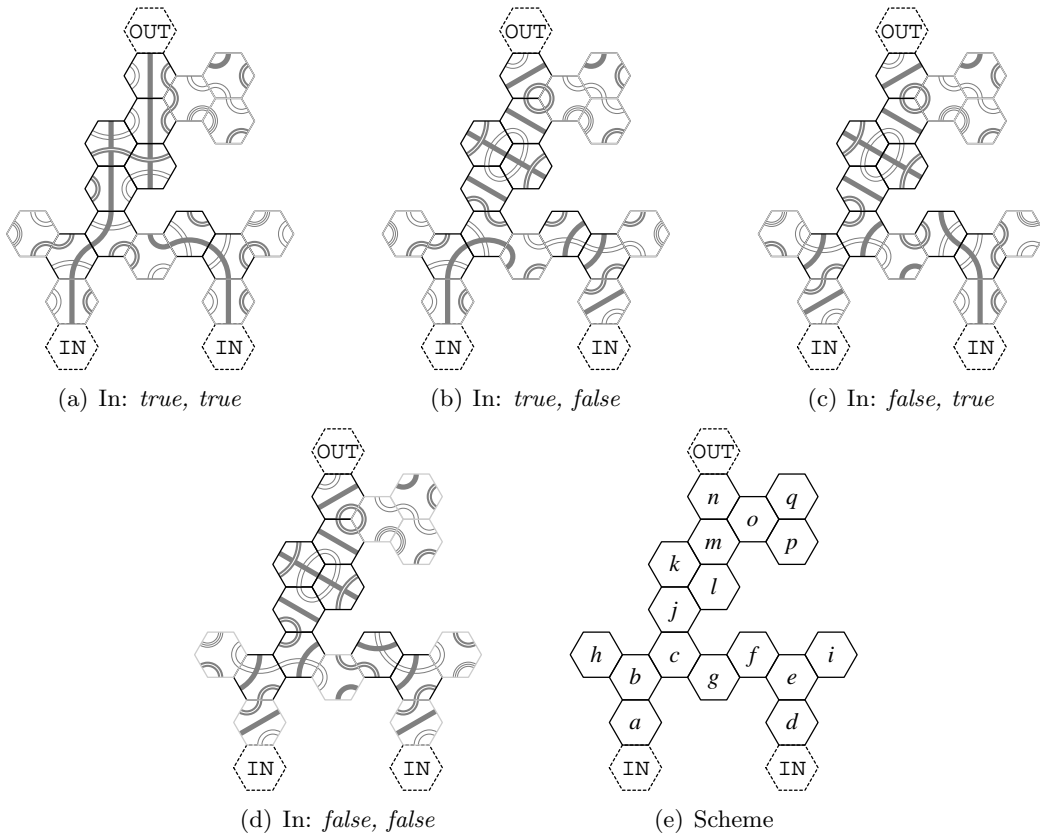


Figure 13: Original AND subpuzzle, see [HH04]

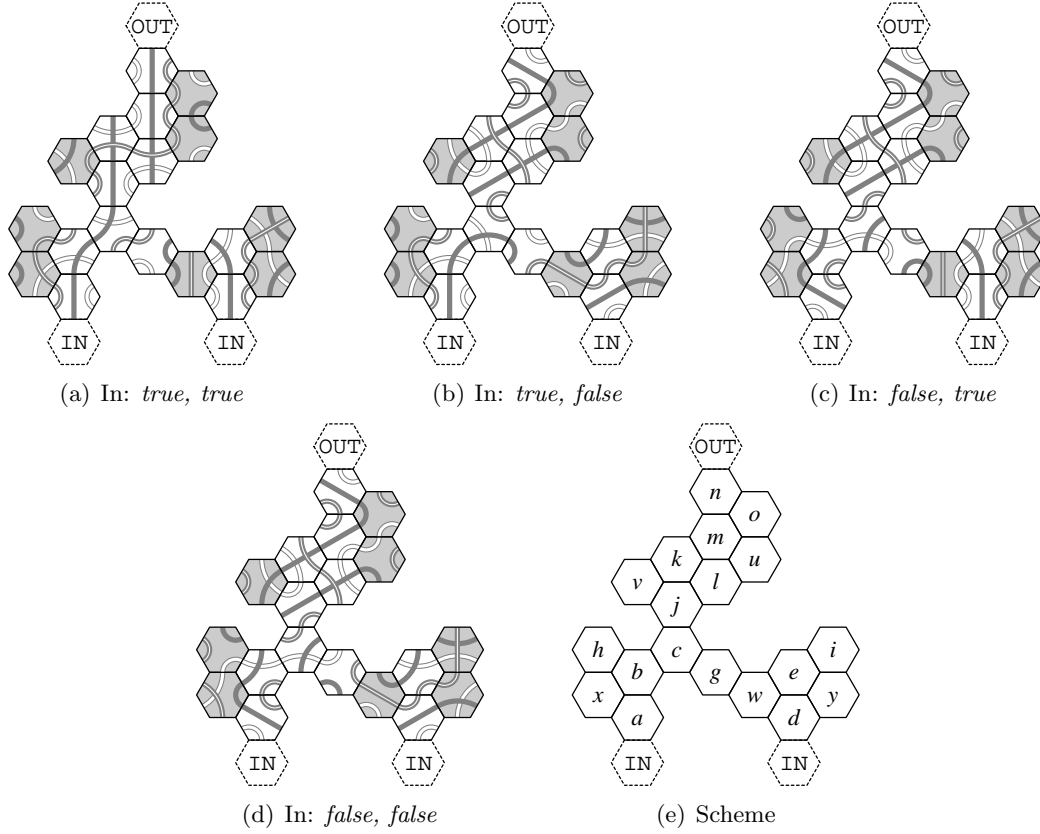


Figure 14: Three-color AND subpuzzle

is of type t_6) does not contain the color-sequence substring \mathbf{bb} for the edges joint with tiles y and e . This implies that the tiles i and w also have a fixed orientation. If the input color to the lower right part is *red* (see Figures 14(b) and 14(d)), a unique solution is obtained by similar arguments. The connection of the lower right part to the rest of the subpuzzle is the edge between tiles w and g . If the right input color is *blue*, this edge will also be *blue*, and if the right input color is *red*, this edge will be *yellow*.

The heart of the AND subpuzzle is its lower middle part, formed by the tiles c and g . The colors at the joint edge between tiles b and c and at the joint edge between tiles w and g determine the orientation of the tiles c and g uniquely for all four possible combinations of input colors. The output of this part is the color at the edge between c and j . If both input colors are *blue*, this edge will also be *blue*, and otherwise this edge will always be *yellow*.

The output of the whole AND subpuzzle will be *red* if the edge between c and j is *yellow*, and if this edge is *blue* then the output of the whole subpuzzle will also be *blue*. If the input color for the upper part is *blue* (see Figure 14(a)), each of the tiles j, k, l, m , and n has a vertical *blue* line. Note that since the colors *red* and *yellow* are symmetrical in these tiles, we would have several possible solutions without tiles o, u , and v . However, tile v (which is of type t_9) contains neither \mathbf{rr} nor \mathbf{ry} for the edges joint with tiles k and j , so the orientation of the tiles j through n is fixed, except that tile n without tiles o and u would still have two possible orientations. Tile u (which is of type t_2) is fixed because of

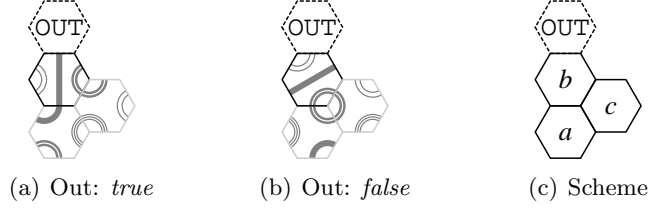


Figure 15: Original BOOL subpuzzle, see [HH04]

its color-sequence substring yy at the edges joint with l and m , so due to tiles o and u the only color possible at the edge between n and o is *yellow*, and we have a unique solution. If the input color for the upper part is *yellow* (see Figures 14(b)–(d)), we obtain unique solutions by similar arguments. Hence, this new AND subpuzzle uses only three colors and has unique solutions for each of the four possible combinations of input colors.

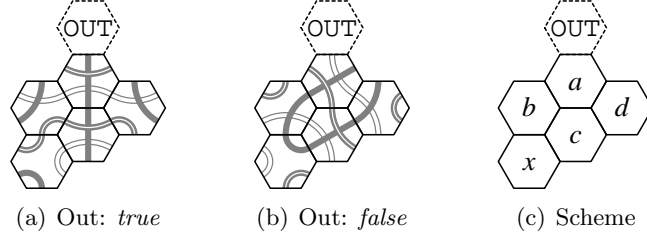


Figure 16: Three-color BOOL subpuzzle

Input and output subpuzzles: The input variables of the boolean circuit are represented by the subpuzzle BOOL. The original four-color BOOL subpuzzle from [HH04] is shown in Figure 15. Our new three-color BOOL subpuzzle is presented in Figure 16, and since it is completely different from the original subpuzzle, no tiles are marked here. This subpuzzle has only two possible solutions, one with the output color *blue* (if the corresponding variable is *true*), and one with the output color *red* (if the corresponding variable is *false*). The original four-color BOOL subpuzzle from [HH04] (which was not modified in [BR07]) contains tiles with *green* lines to exclude certain rotations. Our three-color BOOL subpuzzle does not contain any *green* lines, but it might not be that obvious that there are only two possible solutions, one for each output color.

First, we show that the output color *yellow* is not possible. If the output color were *yellow*, there would be two possible orientations for tile a . In the first orientation, the joint edge between a and b is *blue*. This is not possible, however, since c (which is a *Chin*, namely a tile of type t_8) does not contain the color-sequence substring rr . By a similar argument for tile d , the other orientation with the output color *yellow* is not possible either.

Second, we show that tile x makes the solution unique. For the output color *blue*, there are two possible orientations for each of the tiles a , b , c , and d . In order to exclude one of these orientations in each case, tile x must contain either of the color-sequence substrings br or yr at its edges joint with tiles b and c . On the other hand, for the output color *red*, tile x must not contain the color-sequence substring ry at its edges joint with b and c , because

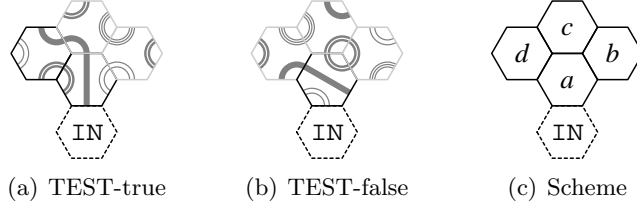


Figure 17: Original TEST subpuzzles, see [HH04]

this would leave two possible orientations for tile d . Tile t_1 satisfies all these conditions and makes the solution of the BOOL subpuzzle unique, while using only three colors.

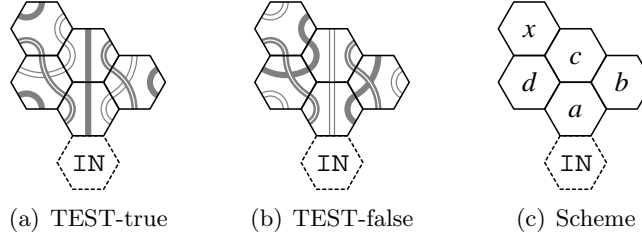


Figure 18: Three-color TEST subpuzzles

Finally, a subpuzzle is needed to check whether or not the circuit evaluates to *true*. This is achieved by the subpuzzle TEST-true shown in Figure 18(a). It has only one valid solution, namely that its input color is *blue*. Just like the subpuzzle BOOL, the original four-color TEST-true subpuzzle from [HH04], which is shown in Figure 17(a) and which was not modified in [BR07], uses *green* lines to exclude certain rotations. Again, since the new TEST-true subpuzzle is completely different from the original subpuzzle, no tiles are marked here. Note that in the three-color TEST-true subpuzzle of Figure 18(a), a and c are the same tiles as a and b in the WIRE subpuzzle of Figure 5. To ensure that the input color is *blue*, we have to consider all possible color-sequence substrings at the edges of d joint with c and a , and at the edges of b joint with a and c . For each input color, there are four possibilities.

Assume that the input color is *red*. Then the possible color-sequence substrings for tile d at the edges joint with c and a are: **bb**, **yb**, **yy**, and **by**. Similarly, the possible color-sequence substrings for tile b at the edges joint with a and c are: **yy**, **yb**, **bb**, and **by**. Tile t_{14} at position d excludes **by** and **yy**, while tile t_{11} at position b excludes **yy** and **yb**. Thus, *red* is not possible as the input color. The input color *yellow* can be excluded by similar arguments. It follows that *blue* is the only possible input color. It is clear that the tiles a and c have a vertical *blue* line. Due to the fact that neither t_{11} nor t_{14} contains the color-sequence substrings **rr** or **yy** for the edges joint with tiles a and c , two possible solutions are still left. The color-sequence substrings for these solutions at the edges of x joint with c and d are **ry** and **yr**. Since tile t_2 at position x contains the former but not the latter sequence, the TEST-true subpuzzle uses only three colors and has a unique solution.

(Note: The TEST-false subpuzzles in Figures 18(b) and 24(e) will be needed for a circuit construction in Section 3.3, see Figure 25. In particular, the three-color TEST-false subpuzzle in Figure 18(b) is identical to the three-color TEST-true subpuzzle from

Figure 18(a), except that the colors *blue* and *red* are exchanged. By the above argument, the TEST-false subpuzzle has only one valid solution, namely that its input color is *red*.)

The shapes of the subpuzzles constructed above have changed slightly. However, by Holzer and Holzer’s argument [HH04] about the minimal horizontal distance between two wires and/or gates being at least four, unintended interactions between the subpuzzles do not occur. This concludes the proof of Theorem 3.2. \square

Theorem 3.2 immediately gives the following corollary.

Corollary 3.3 *3-TRP is NP-complete.*

Since the tile set T_3 is a subset of the tileset T_4 , we have $3\text{-TRP} \leq_m^p 4\text{-TRP}$. Thus, the hardness results for 3-TRP and its variants proven in this paper immediately are inherited by 4-TRP and its variants, which provides an alternative proof of these hardness results for 4-TRP and its variants established in [HH04, BR07]. In particular, Corollary 3.4 follows from Theorem 3.2 and Corollary 3.3.

Corollary 3.4 ([HH04, BR07]) *4-TRP is NP-complete, via a parsimonious reduction from SAT.*

3.2 Parsimonious Reduction from SAT to 2-TRP

In contrast to the above-mentioned fact that $3\text{-TRP} \leq_m^p 4\text{-TRP}$ holds trivially, the reduction $2\text{-TRP} \leq_m^p 3\text{-TRP}$ (which we will show to hold due to both problems being NP-complete, see Corollaries 3.3 and 3.6) is not immediately straightforward, since the tile set T_2 is not a subset of the tile set T_3 (recall Figure 2 in Section 2). In this section, we study 2-TRP and its variants. Our main result here is Theorem 3.5 below.

Theorem 3.5 *SAT parsimoniously reduces to 2-TRP.*

Proof. As in the proof of Theorem 3.2, we again provide a reduction from $\text{Circuit}_{\wedge, \neg}\text{-SAT}$, but here we use McColl’s planar cross-over circuit [McC81] instead of a CROSS subpuzzle.⁴

We choose our color set C_2 to contain the colors *blue* and *red* (corresponding to the truth values *true* and *false*), and we use the tileset T_2 shown in Figure 2(a). To simulate a boolean circuit with AND and NOT gates, we now present the subpuzzles constructed only with tiles from T_2 .

Wire subpuzzles: We again use *Brid* tiles with a straight *blue* line to construct the WIRE subpuzzle with the colors *blue* and *red* as shown in Figure 19. If the input color is *blue*, then tiles *a* and *b* must have a vertical *blue* line, so the output color will be *blue*. If the input color is *red*, then the edge between *a* and *b* must be *red* too, and it follows that the output color will also be *red*. Tile *x* forces tiles *a* and *b* to fix the orientation of the *blue*

⁴Whether there exists an analogous two-color CROSS subpuzzle to simplify this construction, is still an open question.

line for the input color *red*. Since we care only about distinct color sequences of the tiles (recall the remarks made in Section 2.2.1),⁵ we have unique solutions for both input colors.

Note that this construction allows wires of arbitrary height, unlike the WIRE subpuzzle constructed in the proof of Theorem 3.2 or the WIRE subpuzzles constructed in [HH04, BR07], which all are constructed so as to have even height. To construct two-color WIRE subpuzzles of arbitrary height, tile x of type t_8 in Figure 19 would have to be placed on alternating sides of tiles a , b , etc. in each level.

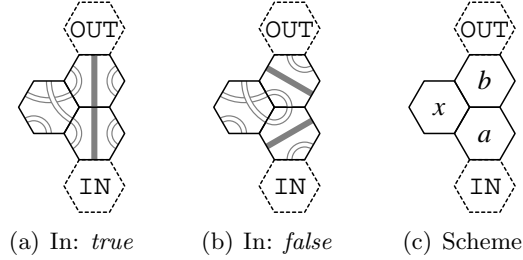


Figure 19: Two-color WIRE subpuzzle

The two-color MOVE subpuzzle is shown in Figure 20. Just like the WIRE subpuzzle, it consists only of tiles of types t_3 and t_8 (see Figure 2(a)). For the input color *blue*, it is obvious that all tiles must have vertical *blue* lines and so the output color is also *blue*. If the input color is *red*, then the edge between a and b is *red*, too. Since neither c nor d contains the color-sequence substring **bb**, the blue lines of these four tiles have all the same direction. The same argument applies to tiles e and f , and since tiles f , g , and x behave like a WIRE subpuzzle, the output color will be *red* in this case. As above, since we care only about the color sequences of the tiles, we obtain unique solutions for both input colors.

Note that Figure 20 shows a move to the right. A move to the left can be made symmetrically, simply by mirroring this subpuzzle.

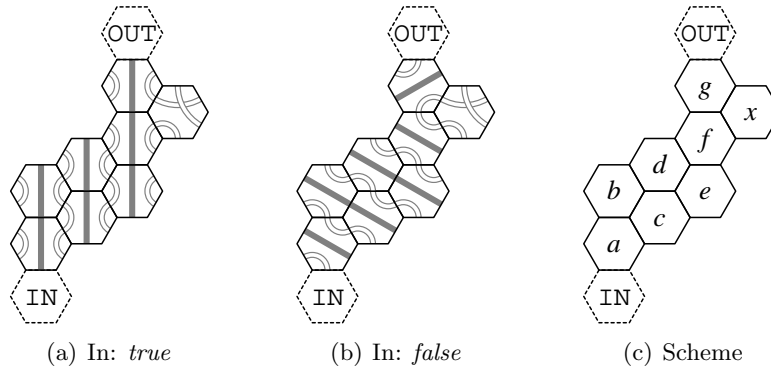


Figure 20: Two-color MOVE subpuzzle

⁵By contrast, if we were to count all distinct orientations of the tiles even if they have identical color sequences, we would obtain two solutions each for tiles a and b , and six solutions for tile x , which gives a total of 24 solutions for each input color in the WIRE subpuzzle. However, as argued in Section 2.2.1, since our focus is on the color sequences, we have unique solutions and thus a parsimonious reduction from SAT to 2-TRP.

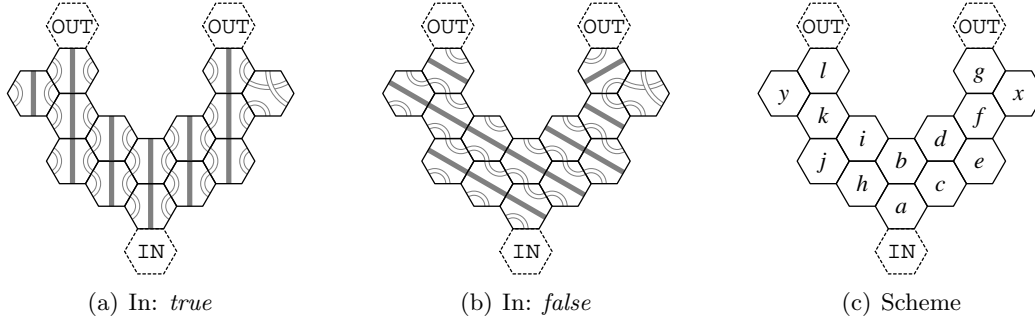


Figure 21: Two-color COPY subpuzzle

The last subpuzzle needed to simulate the wires of the boolean circuit is the COPY subpuzzle in Figure 21. This subpuzzle is akin to the subpuzzle obtained by mirroring the MOVE subpuzzle in both directions,⁶ so similar arguments as above work. Again, since we disregard the repetitions of color sequences, we have unique solutions for both input colors.

Gate subpuzzles: The construction of the NOT subpuzzle presented in Figure 22 is similar to the corresponding subpuzzle with three colors (see Figure 12). Tiles b and d in the two-color version allow only two possible orientations of tile c , one for each input color. The first one has *blue* at the edge joint with a and, consequently, *red* at the edge joint with e ; the second possible orientation has the same colors exchanged. Since tiles e , f , and x behave like a WIRE subpuzzle, the output color will “negate” the input color, i.e., the output color will be *blue* if the input color is *red*, and it will be *red* if the input color is *blue*. Tile x fixes the orientation of tiles f and e and the orientation of tile a is fixed by tile b . We again obtain unique solutions, since we focus on color sequences.

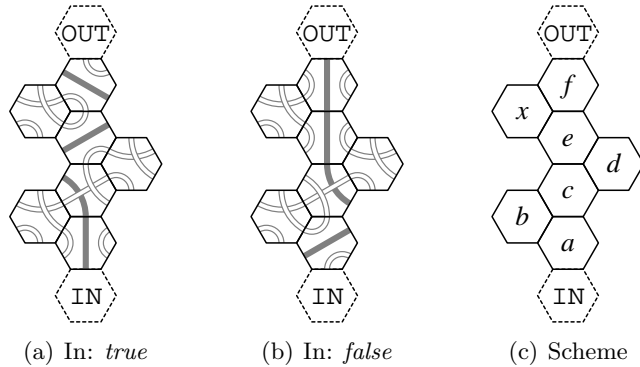


Figure 22: Two-color NOT subpuzzle

⁶We here say “is akin to...” because the COPY subpuzzle in Figure 21 differs from a true two-sided mirror version of MOVE by having a tile of type t_3 at position y instead of a t_8 as in position x . Why? By the arguments for the MOVE subpuzzle, tile x already fixes the orientation of tiles a through k but not of l (if the input color is *red*, see Figure 21(b)). The orientation of tile l is then fixed by a t_3 tile at position y , since obviously a t_8 would not lead to a solution. However, it is clear that an argument analogous to that for the MOVE subpuzzle shows that all *blue* lines (except that of g in Figure 21(b)) have the same direction.

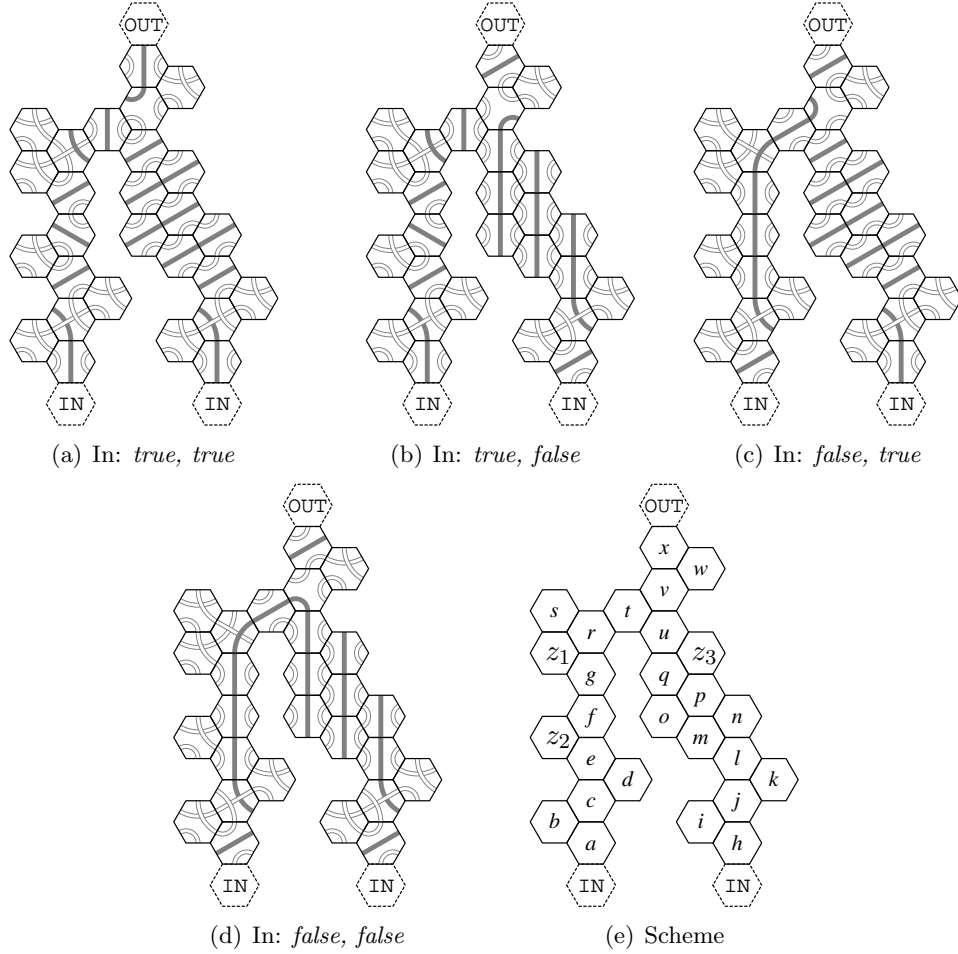


Figure 23: Two-color AND subpuzzle

The AND subpuzzle is again the most complicated one. To analyze this subpuzzle, we subdivide it into three disjoint parts:

1. The first part consists of the tiles a through g , z_1 , and z_2 . Tiles a through f and z_2 form a two-color NOT subpuzzle, and tile g passes the color at the edge between tiles f and g on to the edge between tiles g and r . So the negated left input color will be at the edge between tiles g and r . Tile z_1 fixes the orientation of tile g to obtain a unique solution for this part of the subpuzzle.
2. The second part is formed by the tiles h through q , and z_3 . This part is made from a two-color NOT and a two-color MOVE subpuzzle to negate the right input and move it by two positions to the left, which both are slightly modified with respect to the NOT in Figure 22 and the MOVE in Figure 20.

First, the minor differences between the move-to-the-left analog of the MOVE subpuzzle from Figure 20 and this modified MOVE subpuzzle as part of the AND subpuzzle are the following: (a) tile z_3 is positioned to the right of tiles q and u and not to their left, and (b) z_3 is a t_3 tile, whereas the tile at position x in Figure 20 is of type t_8 .

However, it is clear that the orientation of the *blue* lines of tiles l through q is fixed by tile k , and z_3 enforces u and q to have the same direction of *blue* lines.

Second, the minor difference between the NOT from Figure 22 and this modified NOT subpuzzle as part of the AND subpuzzle is that tile m is not of type t_8 (as is the x in Figure 22) but of type t_3 , since the modified NOT and MOVE subpuzzles have been merged. These changes are needed to ensure that we get a suitable height for this part of the AND subpuzzle. However, it is again clear that the orientation of the *blue* lines of tiles l through q is fixed by tile k .

3. Finally, the third part, formed by the tiles r through x , behaves like a two-color subpuzzle simulating a boolean NOR gate, which is defined as $\neg(\alpha \vee \beta) \equiv \neg\alpha \wedge \neg\beta$. The two inputs to the NOR subpuzzle come from the edges between g and r and between q and u .

If the left input color (at the edge between g and r) is *red*, then tiles s and z_1 ensure that the edge between r and t will also be *red*. If the left input color is *blue*, then the edge between r and t will be *blue* by similar arguments, and since tile t is of type t_3 , it passes this input color on to its joint edge with v in both cases. The right input to the upper part (at the edge between q and u) is passed on by tile u to the edge between u and v .

Now, we have both input colors at the edges between t and v and between u and v . If both of these edges are *red* (see Figure 23(a)), then tile w enforces that the edge between v and x will be *blue*. On the other hand, if one or both of v 's edges with t and u are *blue*, then v 's short *blue* arc must be at these edges, which enforces that the color at the edge between v and x will be *red*. Finally, tile x passes the color at the edge joint with tile v to the output. With the negated inputs of the first and second part, this subpuzzle behaves like an AND gate, i.e., as a whole this subpuzzle simulates the computation of the boolean function AND: $\neg(\neg\alpha \vee \neg\beta) \equiv \neg\neg\alpha \wedge \neg\neg\beta \equiv \alpha \wedge \beta$.

Again, since we care only about the color sequences of the tiles, we obtain unique solutions for each pair of input colors.

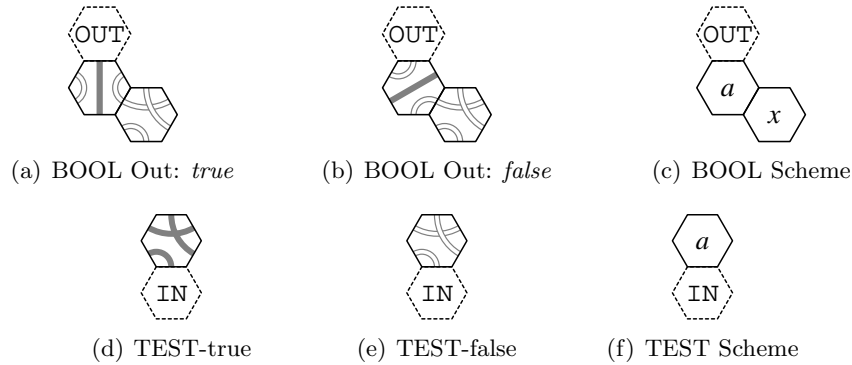


Figure 24: Two-color BOOL and TEST subpuzzles

Input and output subpuzzles: The input variables of the circuit are simulated by the subpuzzle **BOOL**. Constructing a subpuzzle with the only possible outputs *blue* or *red* is quite easy, since all tiles except t_7 and t_8 satisfy this condition. Figures 24(a)–(c) show our two-color **BOOL** subpuzzle. Note that tile x ensures the uniqueness of the solutions.

The last step is to check if the output of the whole circuit is *true*. This is done by the subpuzzle **TEST-true** shown in Figure 24(d), which sits on top of the subpuzzle simulating the circuit’s output gate. Since tile t_7 contains only *blue* lines, the solution is unique.

(Note: The subpuzzle **TEST-false** in Figure 24(e) will again be needed in Section 3.3, see Figure 25. It has only *red* lines, so the input is always *red* and the solution is unique.) \square

Theorem 3.5 immediately gives the following corollary.

Corollary 3.6 *2-TRP is NP-complete.*

3.3 Complexity of the Unique, Another-Solution, and Infinite Variants of 3-TRP and 2-TRP

Parsimonious reductions preserve the number of solutions and, in particular, the uniqueness of solutions. Thus, Theorems 3.2 and 3.5 imply Corollary 3.7 below that also employs Valiant and Vazirani’s results on the DP-hardness of Unique-SAT under \leq_{ran}^p -reductions (which were defined in Section 2). The proof of Corollary 3.7 follows the lines of the proof of [BR07, Theorem 6], which states the analogous result for Unique-4-TRP in place of Unique-3-TRP and Unique-2-TRP.

Corollary 3.7 *1. Unique-SAT parsimoniously reduces to the problems Unique-3-TRP and Unique-2-TRP.*

2. Both Unique-3-TRP and Unique-2-TRP are DP-complete under \leq_{ran}^p -reductions.

We now turn to the another-solution problems for k -TRP.

Corollary 3.8 *1. For each $k \in \{2, 3, 4\}$, $\text{SAT} \leq_{\text{asp}}^p k\text{-TRP}$.*

2. For $k \in \{2, 3, 4\}$, AS- k -TRP is NP-complete.

Proof. In Sections 3.1 and 3.2, we showed a parsimonious reduction from $\text{Circuit}_{\wedge, \neg}\text{-SAT}$ to 3-TRP and 2-TRP. To prove the first part of this corollary, we have to show (see Section 2.1) that there is a polynomial-time computable function bijectively mapping the solutions of any given $\text{Circuit}_{\wedge, \neg}\text{-SAT}$ instance C to the solutions of the k -TRP instance corresponding to C , for each $k \in \{2, 3, 4\}$. However, note that a satisfying assignment to the variables of the circuit C immediately gives the solution for the **BOOL** subpuzzles according to our reduction for k -TRP, see the proof of Theorem 3.5 (for $k = 2$), of Theorem 3.2 (for $k = 3$), and of the result presented for 4-TRP in [BR07] (for $k = 4$).

In each case, our circuit is constructed as a sequence of steps, so the solutions for the **BOOL** subpuzzles determine the color at the input for all subpuzzles at the next step, and so on. Since all subpuzzles have unique solutions we can construct a solution to our puzzle in polynomial time from bottom to top using the parsimonious reductions mentioned above. Now, given the assignment of the variables, we just have to place the tiles of the

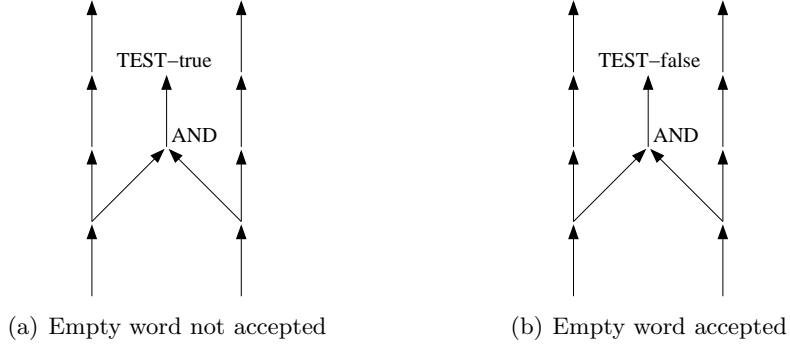


Figure 25: Two choices for the i th layer of the infinite circuit for Inf-2-TRP and Inf-3-TRP

single subpuzzles according to the determined solution and so specify their orientation. Conversely, if we have a solution of a resulting k -TRP instance for $k \in \{2, 3, 4\}$, the output colors at the BOOL subpuzzles gives the corresponding satisfying assignment to the variables of the circuit.

To prove the second part of Corollary 3.8, note that AS-SAT is NP-complete [YS02], and since the parsimonious reduction from SAT to $\text{Circuit}_{\wedge, \neg}$ -SAT provides a bijective transformation between these problems' solution sets, $\text{AS-Circuit}_{\wedge, \neg}$ -SAT is also NP-complete. It follows immediately, that the problems AS-3-TRP and AS-2-TRP are NP-complete. Furthermore, AS-4-TRP inherits the NP-completeness result from AS-3-TRP. \square

Holzer and Holzer [HH04] proved that Inf-4-TRP, the infinite TantrixTM rotation puzzle problem with four colors, is undecidable, via a reduction from (the complement of) the empty-word problem for Turing machines. The proof of Theorem 3.9 below uses essentially the same argument but is based on our modified three-color and two-color constructions.

Theorem 3.9 *Both Inf-2-TRP and Inf-3-TRP are undecidable.*

Proof. The empty-word problem for Turing machines asks whether the empty word, λ , belongs to the language $L(M)$ accepted by a given Turing machine M . By Rice's Theorem [Ric53], both this problem and its complement are undecidable. To reduce the latter problem to either Inf-2-TRP or Inf-3-TRP, we do the following. Let M_i denote the simulation of a Turing machine M for exactly i steps. Then, M_i accepts its input if and only if M accepts the input within i steps.

We employ another circuit construction that will be simulated by a TantrixTM rotation puzzle. First, two wires are initialized with the boolean value *true*. Then, in each step, we use either the circuit shown in Figure 25(a) or the one shown in Figure 25(b). The former circuit is chosen in step i if $\lambda \notin L(M_i)$, and the latter one is chosen in step i if $\lambda \in L(M_i)$. To transform this circuit into an Inf- k -TRP instance, where k is either two or three, we use the TEST-true subpuzzle from either Figure 18(a) or Figure 24(d), rotated by 180 degrees and with the “IN” tile becoming an “OUT” tile, in order to initialize both wires with the input *true*. Then we substitute the single layers of the circuit by the subpuzzles described above, step by step, always choosing either the circuit from Figure 25(a) (where TEST-true is the subpuzzle from Figure 18(a) if $k = 3$, or from Figure 24(d) if $k = 2$), or the circuit

from Figure 25(b) (where TEST-false is the subpuzzle from Figure 18(b) if $k = 3$, or from Figure 24(e) if $k = 2$).

Since both wires are initialized with the value *true*, it is obvious that the constructed subpuzzle has a solution if and only if $\lambda \notin L(M)$. Note that the layout of the circuit is computable, and our reduction will output the encoding of a Turing machine computing first this circuit layout and then the transformation to the TantrixTM rotation puzzle as described above. By this reduction, both Inf-2-TRP and Inf-3-TRP are shown to be undecidable. \square

4 Conclusions

This paper studied the three-color and two-color TantrixTM rotation puzzle problems, 3-TRP and 2-TRP, and their unique, another-solution, and infinite variants. Our main contribution is that both 3-TRP and 2-TRP are NP-complete via a parsimonious reduction from SAT, which in particular solves a question raised by Holzer and Holzer [HH04]. Since restricting the number of colors to three and two, respectively, drastically reduces the number of TantrixTM tiles available, our constructions as well as our correctness arguments substantially differ from those in [HH04, BR07]. Table 1 in Section 1 shows that our results give a complete picture of the complexity of k -TRP, $1 \leq k \leq 4$. An interesting question still remaining open is whether the analogs of k -TRP *without holes* still are NP-complete.

Acknowledgments: We are grateful to Markus Holzer and Piotr Faliszewski for inspiring discussions on TantrixTM rotation puzzles, and we thank Thomas Baumeister for his help with producing reasonably small figures. We thank the anonymous LATA 2008 referees for helpful comments, and in particular the referee who let us know that he or she has also written a program for verifying the correctness of our constructions.

References

- [BR] D. Baumeister and J. Rothe. The three-color and two-color TantrixTM rotation puzzle problems are NP-complete via parsimonious reductions. In *Proceedings of the 2nd International Conference on Language and Automata Theory and Applications*. Springer-Verlag *Lecture Notes in Computer Science*. To appear.
- [BR07] D. Baumeister and J. Rothe. Satisfiability parsimoniously reduces to the TantrixTM rotation puzzle problem. In *Proceedings of the 5th Conference on Machines, Computations and Universality*, pages 134–145. Springer-Verlag *Lecture Notes in Computer Science* #4664, September 2007.
- [CGH⁺88] J. Cai, T. Gundermann, J. Hartmanis, L. Hemachandra, V. Sewelson, K. Wagner, and G. Wechsung. The boolean hierarchy I: Structural properties. *SIAM Journal on Computing*, 17(6):1232–1252, 1988.
- [CGH⁺89] J. Cai, T. Gundermann, J. Hartmanis, L. Hemachandra, V. Sewelson, K. Wagner, and G. Wechsung. The boolean hierarchy II: Applications. *SIAM Journal on Computing*, 18(1):95–111, 1989.

- [CKR95] R. Chang, J. Kadin, and P. Rohatgi. On unique satisfiability and the threshold behavior of randomized reductions. *Journal of Computer and System Sciences*, 50(3):359–373, 1995.
- [Coo71] S. Cook. The complexity of theorem-proving procedures. In *Proceedings of the 3rd ACM Symposium on Theory of Computing*, pages 151–158. ACM Press, 1971.
- [Dow05] K. Downing. Tantrix: A minute to learn, 100 (genetic algorithm) generations to master. *Genetic Programming and Evolvable Machines*, 6(4):381–406, 2005.
- [Gol77] L. Goldschlager. The monotone and planar circuit value problems are log space complete for P. *SIGACT News*, 9(2):25–29, 1977.
- [Grä90] E. Grädel. Domino games and complexity. *SIAM Journal on Computing*, 19(5):787–804, 1990.
- [HH04] M. Holzer and W. Holzer. TantrixTM rotation puzzles are intractable. *Discrete Applied Mathematics*, 144(3):345–358, 2004.
- [McC81] W. McColl. Planar crossovers. *IEEE Transactions on Computers*, C-30(3):223–225, 1981.
- [Pap94] C. Papadimitriou. *Computational Complexity*. Addison-Wesley, 1994.
- [PY84] C. Papadimitriou and M. Yannakakis. The complexity of facets (and some facets of complexity). *Journal of Computer and System Sciences*, 28(2):244–259, 1984.
- [Ric53] H. Rice. Classes of recursively enumerable sets and their decision problems. *Transactions of the American Mathematical Society*, 74:358–366, 1953.
- [Rot05] J. Rothe. *Complexity Theory and Cryptology. An Introduction to Cryptocomplexity*. EATCS Texts in Theoretical Computer Science. Springer-Verlag, Berlin, Heidelberg, New York, 2005.
- [UN96] N. Ueda and T. Nagao. NP-completeness results for NONOGRAM via parsimonious reductions. Technical Report TR96-0008, Tokyo Institute of Technology, Department of Information Science, Tokyo, Japan, May 1996.
- [Val79] L. Valiant. The complexity of computing the permanent. *Theoretical Computer Science*, 8(2):189–201, 1979.
- [VV86] L. Valiant and V. Vazirani. NP is as easy as detecting unique solutions. *Theoretical Computer Science*, 47:85–93, 1986.
- [YS02] T. Yato and T. Seta. Complexity and completeness of finding another solution and its application to puzzles. *Joho Shori Gakkai Kenkyu Hokoku*, 2002(103(AL-87)):9–16, 2002.