# Lecture Notes in Computer Science

5372

Commenced Publication in 1973
Founding and Former Series Editors:
Gerhard Goos, Juris Hartmanis, and Jan van Leeuwen

### **Editorial Board**

**David Hutchison** 

Lancaster University, UK

Takeo Kanade

Carnegie Mellon University, Pittsburgh, PA, USA

Josef Kittler

University of Surrey, Guildford, UK

Jon M. Kleinberg

Cornell University, Ithaca, NY, USA

Alfred Kobsa

University of California, Irvine, CA, USA

Friedemann Mattern

ETH Zurich, Switzerland

John C. Mitchell

Stanford University, CA, USA

Moni Naor

Weizmann Institute of Science, Rehovot, Israel

Oscar Nierstrasz

University of Bern, Switzerland

C. Pandu Rangan

Indian Institute of Technology, Madras, India

Bernhard Steffen

University of Dortmund, Germany

Madhu Sudan

Massachusetts Institute of Technology, MA, USA

Demetri Terzopoulos

University of California, Los Angeles, CA, USA

Doug Tygar

University of California, Berkeley, CA, USA

Gerhard Weikum

Max-Planck Institute of Computer Science, Saarbruecken, Germany

Ben Schouten Niels Christian Juul Andrzej Drygajlo Massimo Tistarelli (Eds.)

# Biometrics and Identity Management

First European Workshop, BIOID 2008 Roskilde, Denmark, May 7-9, 2008 Revised Selected Papers



### Volume Editors

Ben Schouten
Centre for Mathematics and Computer Science
1098 SJ Amsterdam, The Netherlands
and
Fontys University of Applied Science

Eindhoven 5600 AH, The Netherlands E-mail: ben.schouten@fontys.nl

Niels Christian Juul Roskilde University

4000 Roskilde, Denmark E-mail: ncjuul@ruc.dk

Andrzej Drygajlo Swiss Federal Institute of Technology Lausanne (EPFL)

1015 Lausanne, Switzerland E-mail: andrzej.drygajlo@epfl.ch

Massimo Tistarelli University of Sassari 07041 Alghero, Italy E-mail: tista@uniss.it

Library of Congress Control Number: Applied for

CR Subject Classification (1998): J.3, D.4.6, K.6.5, K.4.1

LNCS Sublibrary: SL 6 – Image Processing, Computer Vision, Pattern Recognition, and Graphics

ISSN 0302-9743

ISBN-10 3-540-89990-1 Springer Berlin Heidelberg New York ISBN-13 978-3-540-89990-7 Springer Berlin Heidelberg New York

This work is subject to copyright. All rights are reserved, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, re-use of illustrations, recitation, broadcasting, reproduction on microfilms or in any other way, and storage in data banks. Duplication of this publication or parts thereof is permitted only under the provisions of the German Copyright Law of September 9, 1965, in its current version, and permission for use must always be obtained from Springer. Violations are liable to prosecution under the German Copyright Law.

springer.com

© Springer-Verlag Berlin Heidelberg 2008 Printed in Germany

Typesetting: Camera-ready by author, data conversion by Scientific Publishing Services, Chennai, India Printed on acid-free paper SPIN: 12587612 06/3180 5 4 3 2 1 0

### **Preface**

A key driving factor for biometrics is the widespread national and international deployment of biometric systems that has been initiated in the past two years and is about to accelerate. While nearly all current biometric deployments are government-led and principally concerned with national security and border control scenarios, it is now apparent that the widespread availability of biometrics in everyday life will also spin out an everincreasing number of (private) applications in other domains. Crucial to this vision is the management of the user's identity, which does not only imply the creation and update of a biometric template, but requires the development of instruments to properly handle all the data and operations related to the user identity.

COST Action 2101 on Biometrics for Identity Documents and Smart Cards has operated as a valuable and effective platform for close collaboration of European scientists from academia and industry researching biometrics for identity documents and smartcards. This has led to the continuous advances achieved in various classes of biometrics and their implementations in the identity management domain. These contributions to knowledge in this field were first presented at the First European Workshop on Biometrics and Identity Management (BioID 2008) organized in Roskilde, Denmark during May 7–9, 2008.

The scope of this first COST 2101 open workshop covered all the research aspects of the Action, from biometric data quality, through biometric templates and modalities, to biometric attacks and countermeasures, as well as biometric interfaces and standards. More information about COST Action 2101 may be found at http://www.cost2101.org/. This site also hosts the official websites for the BioID 2008 workshop at http://www.cost2101.org/BIOID2008.

These proceedings contain the revised papers that were presented during the workshop. Position papers by invited speakers presented at the workshop are also included. The volume is divided into several sections:

- 1. Biometric Data Quality
- 2. Biometrical Templates: Face Recognition
- 3. Biometrical Templates: Other Modalities
- 4. Biometric Attacks and Countermeasures
- 5. Biometric Interfaces, Standards and Privacy
- 6. Position Papers by Invited Speakers on Biometrics and Identity Management

The first section is concerned with the quality of biometric data. Not only on how to quantify and qualify data representing unique biometric characteristics, but also to provide classifications for comparison of different biometric-based solutions to identity management.

The next two sections are concerned with biometrical templates, firstly focusing on face recognition, and highlight several aspects on the contextual conditions such as pose and illumination. A new database is presented in two papers that includes several

facial expressions. Secondly other modalities like speech, signature, and fingerprint are researched upon. In Sect. 4 several contributions are dedicated to the important aspect of security and trust in biometrical applications.

In Sect. 5, several systems and interfaces are introduced as well as standards for biometrics, and the last section concludes with papers addressing the current state in the art of biometrics and identity management. Ben Schouten et al. focus on 19 urgent research topics (the research agenda) for biometrics and identity management. Andrzej Drygajlo lays out the actions within COST 2101 to address these issues. Emilio Mordini discusses the ethical issues related to biometrics and John Gill investigates authentication systems and applications for the blind and shows how solutions are applicable for a much broader set of vulnerable groups (outliers).

We are grateful for all contributions towards making the workshop and these proceedings a success. BioID 2008 was an initiative of the COST Action 2101 on Biometrics for Identity Documents and Smart Cards. It was supported by the EU Framework 7 Program. Other sponsors of the workshop were: The European Biometrics Forum, The Danish Biometrics Research Project Consortium, the UK Biometrics Institute, the Institution of Engineering and Technology, Fontys University of Applied Science and Roskilde University.

Extended thanks go to everyone who made the first workshop a success and a fruitful platform for the exchange and dissemination of results within biometrics and identity management at future workshops as well; COST Action 2101 has planned the second workshop to be held in the fall of 2009 in Madrid, Spain.

The Chairs want to extend their thanks to the nine members of the Program Committee; who had a tough, but enjoyable, job of reviewing the submissions. The final decision was made by the Chairs.

August 2008

Ben Schouten Andrzej Drygajlo Niels Christian Juul Michael Fairhurst

# **Organization**

### Chairs

Ben Schouten CWI/Fontys, The Netherlands

Andrzej Drygajlo EPFL, Switzerland

Niels Christian Juul Roskilde University, Denmark

Michael Fairhurst University of Kent, UK

### **Local Workshop Organization**

Niels Christian Juul (Chair) Roskilde University, Denmark Agnete Nebsager Roskilde University, Denmark Heidi Lundquist Roskilde University, Denmark

## **Program Committee**

Ben Schouten (Chair) CWI/Fontys, The Netherlands University of Hertfordshire, UK Aladdin Ariyaeeinia

Nicolas Delvaux Sagem, France Andrzej Drygajlo EPFL. Switzerland Michael Fairhurst University of Kent, UK Niels Christian Juul Roskilde University, Denmark University of Zagreb, Croatia Slobodan Ribaric Albert Ali Salah CWI, The Netherlands

Bülent Sankur Bogazici University, Turkey

# **Sponsoring Institutions**

- COST Action 2101: Biometrics for Identity Documents and Smart Cards
- European Biometrics Forum (EBF)
- The Danish Biometrics Research Project Consortium
- UK Biometrics Institute
- Institution of Engineering and Technology (IET)
- Fontys University of Applied Science
- Roskilde University

# **Table of Contents**

Biometric Data Quality	
Quality-Based Score Normalization and Frame Selection for Video-Based Person Authentication	1
Face Quality Assessment System in Video Sequences	10
On Quality of Quality Measures for Classification	19
Definition of Fingerprint Scanner Image Quality Specifications by Operational Quality	29
Biometrical Templates: Face Recognition	
Modeling Marginal Distributions of Gabor Coefficients: Application to Biometric Template Reduction	37
Bosphorus Database for 3D Face Analysis	47
3D Face Recognition Benchmarks on the Bosphorus Database with Focus on Facial Expressions	57
Identity Management in Face Recognition Systems	67
Discriminant Non-negative Matrix Factorization and Projected Gradients for Frontal Face Verification	82
Biometrical Templates: Other Modalities	
Discrimination Effectiveness of Speech Cepstral Features	91

Multimodal Speaker Identification Based on Text and Speech  Panagiotis Moschonas and Constantine Kotropoulos	100
A Palmprint Verification System Based on Phase Congruency Features	110
Vitomir Štruc and Nikola Pavešić	
Some Unusual Experiments with PCA-Based Palmprint and Face Recognition	120
An Empirical Comparison of Individual Machine Learning Techniques in Signature and Fingerprint Classification	130
Promoting Diversity in Gaussian Mixture Ensembles: An Application to Signature Verification	140
Biometric Attacks and Countermeasures	
Advanced Studies on Reproducibility of Biometric Hashes	150
Additive Block Coding Schemes for Biometric Authentication with the	
DNA Data	160
Template Protection for On-Line Signature-Based Recognition	
Systems Emanuele Maiorana, Patrizio Campisi, and Alessandro Neri	170
Direct Attacks Using Fake Images in Iris Verification	181
Biometric Interfaces, Standards and Privacy	
Evaluating Systems Assessing Face-Image Compliance with ICAO/ISO Standards	191
Automatic Evaluation of Stroke Slope	200
Biometric System Based on Voice Recognition Using Multiclassifiers  Mohamed Chenafa, Dan Istrate, Valeriu Vrabie, and Michel Herbin	206

259

Emilio Mordini