# Fast Approximation in Subspaces by Doubling Metric Decomposition \*

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Abstract. In this paper we propose and study a new complexity model for approximation algorithms. The main motivation are practical problems over large data sets that need to be solved many times for different scenarios, e.g., many multicast trees that need to be constructed for different groups of users. In our model we allow a preprocessing phase, when some information of the input graph G = (V, E) is stored in a limited size data structure. Next, the data structure enables processing queries of the form "solve problem A for an input  $S \subseteq V$ ". We consider problems like STEINER FOREST, FACILITY LOCATION, k-MEDIAN, k-CENTER and TSP in the case when the graph induces a doubling metric. Our main results are data structures of near-linear size that are able to answer queries in time close to linear in |S|. This improves over typical worst case reuniting time of approximation algorithms in the classical setting which is  $\Omega(|E|)$  independently of the query size. In most cases, our approximation guarantees are arbitrarily close to those in the classical setting. Additionally, we present the first fully dynamic algorithm for the Steiner tree problem.

# 1 Introduction

*Motivation* The complexity and size of the existing communication networks has grown extremely in the recent times. It is now hard to imagine that a group of users willing to communicate sets up a minimum cost communication network or a multicast tree according to an approximate solution to STEINER TREE problem. Instead we are forced to use heuristics that are computationally more efficient but may deliver suboptimal results [27,20]. It is easy to imagine other problems that in principle can be solved with constant approximation factors using state of art algorithms, but due to immense size of the data it is impossible in timely manner. However, in many applications the network is fixed and we need to solve the problem many times for different groups of users.

Here, we propose a completely new approach that exploits this fact to overcome the obstacles stemming from huge data sizes. It is able to efficiently deliver results that have good approximation guarantee thanks to the following two assumptions. We assume that the network can be preprocessed beforehand and that the group of users that communicates is substantially smaller than the size of the network. The preprocessing

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step is independent of the group of users and hence afterwards we can, for example, efficiently compute a Steiner tree for any set of users.

More formally, in the STEINER TREE problem the algorithm is given a weighted graph G = (V, E) on n vertices and is allowed some preprocessing. The results of the preprocessing step need to be stored in limited memory. Afterwards, the set  $S \subseteq V$  of terminals is defined and the algorithm should generate as fast as possible a Steiner tree for S, i.e., a tree in G of low weight which contains all vertices in S. Given the query set S of k vertices we should compute the Steiner tree T in time depending only (or, mostly) on k.

The trivial approach to this problem is to compute the metric closure  $G^*$  of G and then answer each query by solving the STEINER TREE problem on  $G^*[S]$ . This approach delivers results with constant approximation ratio, but requires  $O(n^2)$  space of the data structure and  $\tilde{O}(k^2)$  query time. Hence it is far from being practical. In this work we aim at solutions that substantially improve both of these bounds; more formally the data structure space should be close to O(n), while the query time should be close to O(k). Since in a typical situation probably  $k = O(\log n)$ , so even a  $O(k \log n)$ query time is not considered fast enough, as then  $k \log n = \theta(k^2)$ . Note that the O(n)bound on the structure size is very restrictive: in a way, this bound is sublinear in the sense that we are allowed neither to store the whole distance matrix, nor (if G is dense) all the edges of G. This models a situation when during the preprocessing one can use vast resources (e.g., a huge cluster of servers), but the resources are not granted forever and when the system processes the queries the available space is much smaller.

New Model In our model, computations are divided into two stages: the preprocessing stage and the query stage. In the preprocessing stage, the input is a weighted graph G = (V, E) and we should compute our data structure in polynomial time and space. Apart from the graph G some additional, problem-specific information may be also provided. In the query stage the algorithm is given the data structure computed in the preprocessing stage, but not G itself, and a set S of points of V (the query — possibly a set of pairs of points from V, or a weighted set of points from V, etc.) and computes a solution for the set S. The definition of "the solution for the set S" depends on the specific problem. In this work we consider so-called metric problems, so G corresponds to a metric space (V, d) where d can be represented as the full distance matrix M. One should keep in mind that the function d cannot be quickly computed (e.g. in constant time) without the  $\Omega(n^2)$  size matrix M. In particular, we assume that there is no distance oracle available in the query stage.

Hence, there are three key parameters of an algorithm within our model: the size of the data structure, the query time and the approximation ratio. Less important, but not irrelevant is the preprocessing time. Let us note that though our model is inspired by large datasets, in this work we ignore streaming effects, external memory issues etc.

Above we have formulated the STEINER TREE problem in our model, now we describe the remaining problems. In STEINER FOREST problem the algorithm is allowed to preprocess a weighted graph G = (V, E), whereas the query is composed of the set  $S \subseteq V \times V$  of pairs. The algorithm should generate the Steiner forest for S, i.e., a subgraph H of G of small weight such that each pair in S is connected in H. In FACILITY LOCATION problem the algorithm is given in the preprocessing phase a weighted graph

with facility opening costs in the nodes. We consider two variants of this problem in our model. In the variant with unrestricted facilities, the query is a set  $S \subseteq V$  of clients for which we should open facilities. The goal is to open a subset  $F \subseteq V$  of facilities, and connect each city to an open facility so that the sum of the total opening and connection costs is minimized. In the other variant, one with restricted facilities, the facilities that can be opened are given as a part of query (together with their opening costs).

*Our Results* In this paper we restrict our attention to doubling metric spaces which include growth-restricted metric spaces and constant dimensional Euclidean spaces. In other words we assume that the graph G induces a doubling metric and the algorithms are given the distance matrix  $G^*$  as an input or compute it at the beginning of the preprocessing phase. This restriction is often assumed in the routing setting [12,7] and hence it is a natural question to see how it can impact the multicast problems. Using this assumption we show that solutions with nearly optimal bounds are possible. The main result of the paper is the data structure that requires  $O(n \log n)$  memory and can find a constant ratio approximate Steiner tree over a given set of size k in  $O(k(\log k + \log \log n))$  time. Moreover, we show data structures with essentially the same complexities for solving STEINER FOREST, both versions of FACILITY LOCATION, k-MEDIAN and TSP. The query bound is optimal, up to  $\log k$  and  $\log \log n$  factors, as no algorithm can answer queries in time less than linear in k as it needs to read the input. For the exact approximation ratios of our algorithms refer to Sections 3.2 and E.

All of these results are based on a new hierarchical data structure for representing a doubling metric that approximates original distances with  $(1 + \epsilon)$ -multiplicative factor. The concept of a hierarchical data structure for representing a doubling metric is not novel – it originates from the work of Clarkson [8] and was then used in a number of papers, in particular our data structure is based on the one due to Jia et al. [16]. Our main technical contribution here is adapting and extending this data structure so that for any subset  $S \subset V$  a substructure corresponding to S can be retrieved in  $O(k(\log k + \log \log n))$  using only the information in the data structure, without a distance oracle. The substructure is then transformed to a pseudo-spanner described above. Note that our complexity bounds do not depend on the stretch of the metrics, unlike in many previous works (e.g. [17]). Another original concept in our work is an application of spanners (or, more precisely, pseudo-spanners) to improve working time of approximation algorithms for metric problems. As a result, the query times for the metric problems we consider are  $O(k(\operatorname{polylog} k + \log \log n))$ .

Astonishingly, our hierarchical data structure can be used to obtain dynamic algorithms for the STEINER TREE problem. This problem attracted considerable attention [3,5,11,4] in the recent years. However, due to the hardness of the problem none of these papers has given any improvement in the running time over the static algorithms. Here, we give first fully dynamic algorithm for the problem in the case of doubling metric. Our algorithm is given a static graph and then maintains information about the Steiner tree built on a given set X of nodes. It supports insertion of vertices in  $O(\log^5 k + \log \log n)$  time, and deletion in  $O(\log^5 k)$  time, where k = |X|.

*Related Work* The problems considered in this paper are related to several algorithmic topics studied extensively in recent years. Many researchers tried to answer the question

whether problems in huge networks can be solved more efficiently than by processing the whole input. Nevertheless, the model proposed in this paper has never been considered before. Moreover, we believe that within the proposed framework it is possible to achieve complexities that are close to being practical. We present such results only in the case of doubling metric, but hope that the further study will extend these results to a more general setting. Our results are related to the following concepts:

- Universal Algorithms this model does not allow any processing in the query time, we allow it and get much better approximation ratios,
- Spanners and Approximate Distance Oracles although a spanner of a subspace of a doubling metric can be constructed in  $O(k \log k)$ -time, the construction algorithm requires a distance oracle (i.e. the full  $\Theta(n^2)$ -size distance matrix).
- Sublinear Approximation Algorithms here we cannot preprocess the data, allowing it we can get much better approximation ratios,
- Dynamic Spanning Trees most existing results are only applicable to dynamic MST and not dynamic Steiner tree, and the ones concerning the latter work in different models than ours.

Due to space limitation of this extended abstract an extensive discussion of the related work is attached in Appendix A and will be included in the full version of the paper.

# 2 Space partition tree

In this section we extend the techniques developed by Jia et al. [16]. Several statements as well as the overall construction are similar to those given by Jia et al. However, our approach is tuned to better suit our needs, in particular to allow for a fast subtree extraction and a spanner construction – techniques introduced in Sections 2 and 3 that are crucial for efficient approximation algorithms.

Let (V, d) be a finite doubling metric space with |V| = n and a doubling constant  $\lambda$ , i.e., for every r > 0, every ball of radius 2r can be covered with at most  $\lambda$  balls of radius r. By stretch we denote the stretch of the metric d, that is, the largest distance in V divided by the smallest distance. We use space partition schemes for doubling metrics to create a partition tree. In the next two subsections, we show that this tree can be stored in  $O(n \log n)$  space, and that a subtree induced by any subset  $S \subset V$  can be extracted efficiently.

Let us first briefly introduce the notion of a space partition tree, that is used in the remainder of this paper. Precise definitions and proofs (in particular a proof of existence of such a partition tree) can be found in Appendix B.

The basic idea is to construct a sequence  $S_0, S_1, \ldots, S_M$  of partitions of V. We require that  $S_0 = \{\{v\} : v \in V\}$ , and  $S_M = \{V\}$ , and in general the diameters of the sets in  $S_k$  are growing exponentially in k. We also maintain the neighbourhood structure for each  $S_k$ , i.e., we know which sets in  $S_k$  are close to each other (this is explained in more detail later on). Notice that the partitions together with the neighbourhood structure are enough to approximate the distance between any two points x, y — one only needs to find the smallest k, such that the sets in  $S_k$  containing x and y are close to each other (or are the same set). There are two natural parameters in this sort of scheme. One of them is how fast the diameters of the sets grow, this is controlled by  $\tau \in \mathbb{R}, \tau \ge 1$  in our constructions. The faster the set diameters grow, the smaller the number of partitions is. The second parameter is how distant can the sets in a partition be to be still considered neighbours, this is controlled by a nonnegative integer  $\eta$  in our constructions. The smaller this parameter is, the smaller the number of neighbours is. Manipulating these parameters allows us to decrease the space required to store the partitions, and consequently also the running time of our algorithms. However, this also comes at a price of lower quality approximation.

In what follows, each  $\mathbb{S}_k$  is a subpartition of  $\mathbb{S}_{k+1}$  for  $k = 0, \ldots, M-1$ . That is, the elements of these partitions form a tree, denoted by  $\mathbb{T}$ , with  $\mathbb{S}_0$  being the set of leaves and  $\mathbb{S}_M$  being the root. We say that  $S \in \mathbb{S}_j$  is a *child* of  $S^* \in \mathbb{S}_{j+1}$  in  $\mathbb{T}$  if  $S \subset S^*$ .

Let  $r_0$  be smaller than the minimal distance between points in V and let  $r_j = \tau^j r_0$ . We show (in Appendix B) that  $\mathbb{S}_k$ -s and  $\mathbb{T}$  satisfying the following properties can be constructed in polynomial time:

- (1) Exponential growth: Every  $S \in \mathbb{S}_i$  is contained in a ball of radius  $r_i \tau 2^{-\eta} / (\tau 1)$ .
- (2) Small neighbourhoods: For every S ∈ S<sub>j</sub>, the union ∪{B<sub>r<sub>j</sub></sub>(v) : v ∈ S} crosses at most λ<sup>3+η</sup> sets S' from the partition S<sub>j</sub> we say that S knows these S'. We also extend this notation and say that if S knows S', then every v ∈ S knows S'.
- (3) Small degrees: For every  $S^* \in \mathbb{S}_{j+1}$  all children of  $S^*$  know each other and, consequently, there are at most  $\lambda^{\eta+3}$  children of  $S^*$ .
- (4) Distance approximation: If v, v<sup>\*</sup> ∈ V are different points such that v ∈ S<sub>1</sub> ∈ S<sub>j</sub>, v ∈ S<sub>2</sub> ∈ S<sub>j+1</sub> and v<sup>\*</sup> ∈ S<sub>1</sub><sup>\*</sup> ∈ S<sub>j</sub>, v<sup>\*</sup> ∈ S<sub>2</sub><sup>\*</sup> ∈ S<sub>j+1</sub> and S<sub>2</sub> knows S<sub>2</sub><sup>\*</sup> but S<sub>1</sub> does not know S<sub>1</sub><sup>\*</sup>, then

$$r_j \le d(v, v^*) < \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right) \tau r_j;$$

For any  $\varepsilon > 0$ , the  $\tau$  and  $\eta$  constants can be adjusted so that the last condition becomes  $r_j \leq d(v, v^*) \leq (1 + \varepsilon)r_j$  (see Remark 32).

*Remark 1.* We note that not all values of  $\tau$  and  $\eta$  make sense for our construction. We omit these additional constraints here.

#### 2.1 The compressed tree $\hat{\mathbb{T}}$ and additional information at nodes

Let us now show how to efficiently compute and store the tree  $\mathbb{T}$ . Recall that the leaves of  $\mathbb{T}$  are one point sets and, while going up in the tree, these sets join into bigger sets.

Note that if S is an inner node of  $\mathbb{T}$  and it has only one child S' then both nodes S and S' represent the same set. Nodes S and S' can differ only by their sets of acquaintances, i.e. the sets of nodes known to them. If these sets are equal, there is some sort of redundancy in  $\mathbb{T}$ . To reduce the space usage we store only a compressed version of the tree  $\mathbb{T}$ .

Let us introduce some useful notation. For a node v of  $\mathbb{T}$  let set(v) denote the set corresponding to v and let level(v) denote the level of v, where leaves are at level zero. Let  $S_a$ ,  $S_b$  be a pair of sets that know each other at level  $j_{ab}$  and do not know each other at level  $j_{ab} - 1$ . Then the triple  $(S_a, S_b, j_{ab})$  is called a *meeting* of  $S_a$  and  $S_b$  at level  $j_{ab}$ .

**Definition 2** (Compressed tree). The compressed version of  $\mathbb{T}$ , denoted  $\hat{\mathbb{T}}$ , is obtained from  $\mathbb{T}$  by replacing all maximal paths such that all inner nodes have exactly one child by a single edge. For each node v of  $\hat{\mathbb{T}}$  we store level(v) (the lowest level of set(v)in  $\mathbb{T}$ ) and a list of all meetings of set(v), sorted by level.

Obviously  $\hat{\mathbb{T}}$  has at most 2n - 1 nodes since it has exactly n leaves and each inner node has at least two children but we also have to ensure that the total number of meetings is reasonable.

Note that the sets at nodes of  $\hat{\mathbb{T}}$  are pairwise distinct. To simplify the presentation we will identify nodes and the corresponding sets. Consider a meeting  $m = (S_a, S_b, j_{ab})$ . Let  $p_a$  (resp.  $p_b$ ) denote the parent of  $S_a$  (resp.  $S_b$ ) in  $\hat{\mathbb{T}}$ . We say that  $S_a$  is *responsible* for the meeting m when  $\texttt{level}(p_a) \leq \texttt{level}(p_b)$  (when  $\texttt{level}(p_a) = \texttt{level}(p_b)$ , both  $S_a$  and  $S_b$  are responsible for the meeting m). Note that if  $S_a$  is responsible for a meeting  $(S_a, S_b, j_{ab})$ , then  $S_a$  knows  $S_b$  at  $\texttt{level}(p_a) - 1$ . From this and Property 2 of the partition tree we get the following.

**Lemma 3.** Each set in  $\hat{\mathbb{T}}$  is responsible for at most  $\lambda^{3+\eta}$  meetings.

**Corollary 4.** There are  $\leq (2n-1)\lambda^{3+\eta}$  meetings stored in the compressed tree  $\hat{\mathbb{T}}$ , *i.e.*  $\hat{\mathbb{T}}$  takes O(n) space.

**Lemma 5.** One can augment the tree  $\hat{\mathbb{T}}$  with additional information of size  $O(n\lambda^{3+\eta})$ , so that for any pair of nodes x, y of  $\hat{\mathbb{T}}$  one can decide if x and y know each other, and if that is the case the level of the meeting is returned. The query takes  $O(\eta \log \lambda)$  time.

*Proof.* For each node v in  $\hat{\mathbb{T}}$  we store all the meetings it is responsible for, using a dictionary D(m) — the searches take  $O(\log(\lambda^{3+\eta})) = O(\eta \log \lambda)$  time. To process the query it suffices to check if there is an appropriate meeting in D(x) or in D(y).  $\Box$ 

In order to give a fast subtree extraction algorithm, we need to define the following operation meet. Let  $u, v \in \hat{\mathbb{T}}$  be two given nodes. Let v(j) denote the node in  $\mathbb{T}$  on the path from v to the root at level j, similarly define u(j). The value of meet(u, v) is the lowest level, such that v(j) and u(j) know each other. Such level always exists, because in the end all nodes merge into root and nodes know each other at one level before they are merged (see Property 3 of the partition tree). A technical proof of the following lemma is moved to Appendix C due to space limitations.

**Lemma 6.** The tree  $\hat{\mathbb{T}}$  can be augmented so that the meet operation can be performed in  $O(\eta \log \lambda \log \log n)$  time. The augmented  $\mathbb{T}$  tree can be stored in  $O(\lambda^{3+\eta} n \log n)$ space and computed in polynomial time.

#### 2.2 Fast subtree extraction

For any subset  $S \subseteq V$  we are going to define an *S*-subtree of  $\hat{\mathbb{T}}$ , denoted  $\hat{\mathbb{T}}(S)$ . Intuitively, this is the subtree of  $\hat{\mathbb{T}}$  induced by the leaves corresponding to *S*. Additionally we store all the meetings in  $\hat{\mathbb{T}}$  between the nodes corresponding to the nodes of  $\hat{\mathbb{T}}(S)$ .

More precisely, the set of nodes of  $\hat{\mathbb{T}}(S)$  is defined as  $\{A \cap S : A \subseteq V \text{ and } A \text{ is} a \text{ node of } \hat{\mathbb{T}}\}$ . A node Q of  $\hat{\mathbb{T}}(S)$  is an ancestor of a node R of  $\hat{\mathbb{T}}(S)$  iff  $R \subseteq Q$ . This defines the edges of  $\hat{\mathbb{T}}(S)$ . Moreover, for two nodes A, B of  $\hat{\mathbb{T}}$  such that both A and B intersect S, if A knows B at level j, we say that  $A \cap S$  knows  $B \cap S$  in  $\hat{\mathbb{T}}(S)$  at level j. A triple  $(Q, R, j_{QR})$ , where  $j_{QR}$  is a minimal level such that Q knows R at level  $j_{QR}$ , is called a *meeting*. The *level* of a node Q of  $\hat{\mathbb{T}}(S)$  is the lowest level of a node A of  $\hat{\mathbb{T}}$  such that  $Q = A \cap S$ . Together with each node Q of  $\hat{\mathbb{T}}(S)$  we store its level and a list of all its meetings  $(Q, R, j_{QR})$ . A node Q is *responsible* for a meeting (Q, R, l) when  $\texttt{level}(\texttt{parent}(Q)) \leq \texttt{level}(\texttt{parent}(R))$ .

*Remark* 7. The subtree  $\hat{\mathbb{T}}(S)$  is not necessarily equal to any compressed tree for the metric space  $(S, d|_{S^2})$ .

In this subsection we describe how to extract  $\hat{\mathbb{T}}(S)$  from  $\hat{\mathbb{T}}$  efficiently. The extraction runs in two phases. In the first phase we find the nodes and edges of  $\hat{\mathbb{T}}(S)$  and in the second phase we find the meetings.

Finding the nodes and edges of  $\hat{\mathbb{T}}(S)$  We construct the extracted tree in a bottom-up fashion. Note that we can not simply go up the tree from the leaves corresponding to S because we could visit a lot of nodes of  $\hat{\mathbb{T}}$  which are not the nodes of  $\hat{\mathbb{T}}(S)$ . The key observation is that if A and B are nodes of  $\hat{\mathbb{T}}$ , such that  $A \cap S$  and  $B \cap S$  are nodes of  $\hat{\mathbb{T}}(S)$  and C is the lowest common ancestor of A and B, then  $C \cap S$  is a node of  $\hat{\mathbb{T}}(S)$  and it has level level(C).

- Sort the leaves of Î corresponding to the elements of S according to their inorder value in Î, i.e., from left to right.
- 2. For all pairs (A, B) of neighboring nodes in the sorted order, insert into a dictionary M a key-value pair where the key is the pair  $(level(lca_{\hat{\mathbb{T}}}(A, B)), lca_{\hat{\mathbb{T}}}(A, B))$  and the value is the pair (A, B). The dictionary M may contain multiple elements with the same key.
- 3. Insert all nodes from S to a second dictionary P, where nodes are sorted according to their inorder value from the tree  $\hat{\mathbb{T}}$ .
- 4. while M contains more than one element
  - (a) Let x = (l, C) be the smallest key in M.
  - (b) Extract from M all key-value pairs with the key x, denote those values as  $(A_1, B_1), \ldots, (A_m, B_m)$ .
  - (c) Set  $P = P \setminus \bigcup_i \{A_i, B_i\}$ .
  - (d) Create a new node Q, make the nodes erased from P the children of Q. Store l as the level of Q.
  - (e) Insert C into P. Set  $\operatorname{origin}(Q) = C$ .
  - (f) If C is not the smallest element in P (according to the inorder value) let  $C_l$  be the largest element in P smaller than C and add a key-value pair to M where the key is equal to  $(level(lca_{\hat{\mathbb{T}}}(C_l, C)), lca_{\hat{\mathbb{T}}}(C_l, C))$  and the value is  $(C_l, C)$ .

(g) If C is not the largest element in P let  $C_r$  be the smallest element in P larger than C and add a key-value pair to M where the key is given by the pair  $(level(lca_{\hat{T}}(C, C_r)), lca_{\hat{T}}(C, C_r))$  and the value is the pair  $(C, C_r)$ .

Note that in the above procedure, for each node Q of  $\hat{\mathbb{T}}(S)$  we compute the corresponding node in  $\hat{\mathbb{T}}$ , namely  $\operatorname{origin}(Q)$ . Observe that  $\operatorname{origin}(Q)$  is the lowest common ancestor of the leaves corresponding to elements of Q, and  $\operatorname{origin}(Q) \cap S = Q$ .

**Lemma 8.** The tree  $\hat{\mathbb{T}}$  can be augmented so that the above procedure runs in  $O(k \log k)$  time and when it ends the only key in M is the root of the extracted tree

*Proof.* All dictionary operations can be easily implemented in  $O(\log k)$  time whereas the lowest common ancestor can be found in O(1) time after an O(n)-time preprocessing (see [2]). This preprocessing requires O(n) space and has to be performed when  $\hat{\mathbb{T}}$  is constructed. Since we perform O(k) of such operations  $O(k \log k)$  is the complexity of our algorithm.

Finding the meetings in  $\hat{\mathbb{T}}(S)$  We generate meetings in a top-down fashion. We consider the nodes of  $\hat{\mathbb{T}}(S)$  in groups. Each group corresponds to a single level. Now assume we consider a group of nodes  $u_1, \ldots, u_t$  at some level  $\ell$ . Let  $v_1, \ldots, v_{t'}$  be the set of children of all nodes  $u_i$  in  $\hat{\mathbb{T}}(S)$ . For each node  $v_i$ ,  $i = 1, \ldots, t'$  we are going to find all the meetings it is responsible for. Any such meeting  $(v_i, x, j)$  is of one of two types:

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1. parent(x) \in \{u_1, \ldots, u_t\}, possibly parent(x) = parent(v_i), or
2. parent(x) \notin \{u_1, \ldots, u_t\}, i.e. level(parent(x)) > \ell.
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The meetings of the first kind are generated as follows. Consider the following set of nodes of  $\hat{\mathbb{T}}$  (drawn as grey disks in Figure 1).

$$L = \{x : x \text{ is the first node on the path in } \hat{\mathbb{T}} \text{ from } \text{origin}(u_i) \text{ to } \text{origin}(v_j),$$
  
for some  $i = 1, \ldots, t, j = 1, \ldots, t'\}$ 

We mark all the nodes of L. Next, we identify all pairs of nodes of L that know each other. By Lemma 3 there are at most  $\lambda^{3+\eta}t' = O(t')$  such pairs and these pairs can be easily found by scanning, for each  $x \in L$ , all the meetings x is responsible for and such that the node x meets is in L. In this way we identify all pairs of children  $(v_i, v_j)$  such that  $v_i$  knows  $v_j$ , namely if  $x, y \in L$  and x knows y in  $\hat{\mathbb{T}}$ , then  $x \cap S$  knows  $y \cap S$  in  $\hat{\mathbb{T}}(S)$ . Then, if  $v_i$  knows  $v_j$ , the level of their meeting can be found in  $O(\tau \log \lambda \log \log n)$  time using operation meet(origin $(v_i)$ , origin $(v_j)$ ) from Lemma 6. Hence, finding the meetings of the first type takes  $O(\lambda^{3+\eta} \log \lambda \tau t' \log \log n)$  time for one group of nodes, and  $O(\lambda^{3+\eta} \log \lambda \tau k \log \log n)$  time in total.

Finding the meetings of the second type is easier. Consider any second type meeting  $(v_i, w, l)$ . Let  $u_j$  be the parent of  $v_i$ . Then there is a meeting  $(u_j, w, \texttt{level}(u_j))$  stored in  $u_j$ . Hence it suffices to consider, for each  $u_j$  all its meetings at  $\texttt{level}(u_j)$ . For every such meeting  $(u_j, w, \texttt{level}(u_j))$ , and for every child  $v_i$  of  $u_j$  we can apply  $\texttt{meet}(\texttt{origin}(v_i), \texttt{origin}(w))$  from Lemma 6 to find the meeting of  $v_i$  and w. For



**Fig. 1.** Extracting meetings. The figure contains a part of tree  $\hat{\mathbb{T}}$ . Nodes corresponding to the nodes of  $\hat{\mathbb{T}}(S)$  are surrounded by dashed circles. The currently processed group of nodes  $(u_i, i = 1, ..., k)$  are filled with black. Nodes from the set L are filled with gray. The nodes below the gray nodes are the the nodes  $v_j$ , i.e. the children of nodes  $u_i$  in  $\hat{\mathbb{T}}(S)$ .

the time complexity, note that by Property 2 of the partition tree, a node  $u_j$  meets  $\lambda^{3+\eta} = O(1)$  nodes at level  $|evel(u_j)|$ . Since we can store the lists of meetings sorted by levels, we can extract all those meetings in  $O(\lambda^{3+\eta})$  time. For each meeting we iterate over the children of  $u_j$  (Property 3 of the partition tree) and apply Lemma 6. This results in  $O(\lambda^{3+\eta} \log \lambda \tau \log \log n)$  time per a child, hence  $O(\lambda^{3+\eta} \log \lambda \tau k \log \log n)$  time in total.

After extracting all the meetings, we sort them by levels in  $O(k \log k)$  time.

We can claim now the following theorem.

**Theorem 9.** For a given set  $S \subseteq V(|S| = k)$  we can extract the S-subtree of the compressed tree  $\hat{\mathbb{T}}$  in time  $O(\lambda^{3+\eta} \log \lambda \tau k (\log k + \log \log n)) = O(k(\log k + \log \log n)).$ 

# **3** Pseudospanner construction and applications in approximation

In this section we use the subtree extraction procedure described in the previous section, to construct for any set  $S \subseteq V$ , a graph that is essentially a small constant stretch spanner for S. We then use it to give fast approximations algorithms for several problems.

# 3.1 Pseudospanner construction

**Definition 10.** Let  $G = (V, E_G)$  be an undirected connected graph with a weight function  $w_G : E_G \to \mathbb{R}_+$ . A graph  $H = (V, E_H)$ ,  $E_H \subseteq E_G$  with a weight function  $w_H : E_H \to \mathbb{R}_+$  is an f-pseudospanner for G if for every pair of vertices  $u, v \in V$ we have  $d_G(u, v) \leq d_H(u, v) \leq f \cdot d_G(u, v)$ , where  $d_G$  and  $d_H$  are shortest path metrics induced by  $w_G$  and  $w_H$ . The number f in this definition is called the stretch of the pseudospanner. A pseudospanner for a metric space is simply a pseudospanner for the complete weighted graph induced by the metric space.

*Remark 11.* Note the subtle difference between the above definition and the classical spanner definition. A pseudospanner H is a subgraph of G in terms of vertex sets and edge sets but it does not inherit the weight function  $w_G$ . We cannot construct spanners in the usual sense without maintaining the entire distance matrix, which would require prohibitive quadratic space. However, pseudospanners constructed below become classical spanners when provided the original weight function.

Also note, that it immediately follows from the definition of a pseudospanner that for all  $uv \in E_H$  we have  $w_G(u, v) \leq w_H(u, v)$ .

In the remainder of this section we let (V, d) be a metric space of size n, where d is doubling with doubling constant  $\lambda$ . We also use  $\hat{\mathbb{T}}$  to denote the hierarchical tree data structure corresponding to (V, d), and  $\eta$  and  $\tau$  denote the parameters of  $\hat{\mathbb{T}}$ . For any  $S \subset V$ , we use  $\hat{\mathbb{T}}(S)$  to denote the subtree of  $\hat{\mathbb{T}}$  corresponding to S, as described in the previous section. Finally, we define a constant  $C(\eta, \tau) = \left(1 + \left(\frac{\tau}{\tau-1}\right)^2 2^{3-\eta}\right) \tau r_j$ .

**Theorem 12.** Given  $\hat{\mathbb{T}}$  and set  $S \subseteq V$ , where |S| = k, one can construct a  $C(\eta, \tau)$ -pseudospanner for S in time  $O(k(\log k + \log \log n))$ . This spanner has size O(k).

The proof is in the appendix.

*Remark 13.* Similarly to Property 4 of the partition tree, we can argue that the above theorem gives a  $(1 + \varepsilon)$ -pseudospanner for any  $\varepsilon > 0$ . Here, we need to take  $\tau = 1 + \frac{\varepsilon}{3}$  and  $\eta = O(\frac{1}{\varepsilon^3})$ .

*Remark 14.* It is of course possible to store the whole distance matrix of V and construct a spanner for any given subspace S using standard algorithms. However, this approach has a prohibitive  $\Theta(n^2)$  space complexity.

#### 3.2 Applications in Approximation

Results of the previous subsection immediately give several interesting approximation algorithms. In all the corollaries below we assume the tree  $\hat{\mathbb{T}}$  is already constructed.

**Corollary 15 (Steiner Forest).** Given a set of points  $S \subseteq V$ , |S| = k, together with a set of requirements R consisting of pairs of elements of S, a Steiner forest with total edge-length at most  $2C(\eta, \tau)OPT=(2 + \varepsilon)OPT$ , for any  $\varepsilon > 0$  can be constructed in time  $O(k(\log^2 k + \log \log n))$ .

*Proof.* We use the  $O(m \log^2 n)$  algorithm of Cole et al. [9] (where *m* is the number of edges) on the pseudospanner guaranteed by Theorem 12. This algorithm can give a guarantee  $2 + \epsilon$  for an arbitrarily small  $\epsilon$ .

Similarly by using the MST approximation for TSP we get

**Corollary 16 (TSP).** Given a set of points  $S \subseteq V$ , |S| = k, a Hamiltonian cycle for S of total length at most  $2C(\eta, \tau)OPT = (2 + \varepsilon)OPT$  for any  $\varepsilon > 0$  can be constructed in time  $O(k(\log k + \log \log n))$ .

Currently, the best approximation algorithm for the facility location problem is the 1.52-approximation of Mahdian, Ye and Zhang [18]. A fast implementation using Thorup's ideas [22] runs in deterministic  $O(m \log m)$  time, where  $m = |F| \cdot |C|$ , and if the input is given as a weighted graph of n vertices and m edges, in  $\tilde{O}(n + m)$  time, with high probability (i.e. with probability  $\geq 1 - 1/n^{\omega(1)}$ ). In an earlier work, Thorup [23] considers also the k-center and k-median problems in the graph model. When the input is given as a weighted graph of n vertices and m edges, his algorithms run in  $\tilde{O}(n+m)$  time, w.h.p. and have approximation guarantees of 2 for the k-center problem and 12 + o(1) for the k-median problem. By using this latter algorithm with our fast spanner extraction we get the following corollary.

**Corollary 17 (Facility Location with restricted facilities).** Given two sets of points  $C \subseteq V$  (cities) and  $F \subseteq V$  (facilities) together with opening cost  $f_i$  for each facility  $i \in F$ , for any  $\varepsilon > 0$ , a  $(1.52 + \varepsilon)$ -approximate solution to the facility location problem can be constructed in time  $O((|C| + |F|)(\log^{O(1)}(|C| + |F|) + \log \log |V|))$ , w.h.p.

The application of our results to the variant of FACILITY LOCATION with unrestricted facilities is not so immediate. We were able to obtain the following.

**Theorem 18 (Facility Location with unrestricted facilities).** Assume that for each point of n-point V there is assigned an opening cost f(x). Given a set of k points  $C \subseteq V$ , for any  $\varepsilon > 0$ , a  $(3.04+\varepsilon)$ -approximate solution to the facility location problem with cities' set C and facilities' set V can be constructed in time  $O(k \log k(\log^{O(1)} k + \log \log n))$ , w.h.p.

The above result is described in Appendix E. Our approach there is a reduction to the variant with restricted facilities. The general, rough idea is the following: during the preprocessing phase, for every point  $x \in V$  we compute a small set F(x) of facilities that seem a good choice for x, and when processing a query for a set of cities C, we just apply Corollary 17 to cities' set C and facilities' set  $\bigcup_{c \in C} F(c)$ .

**Corollary 19** (*k*-center and *k*-median). Given a set of points  $C \subseteq V$  and a number  $r \in \mathbb{N}$ , for any  $\varepsilon > 0$ , one can construct:

(i) a  $(2 + \varepsilon)$ -approximate solution to the *r*-center problem, or (ii) a  $(12 + \varepsilon)$ -approximate solution to the *r*-median problem

in time  $O(|C|(\log |C| + \log \log |V|))$ , w.h.p.

# 4 Dynamic Minimum Spanning Tree and Steiner Tree

In this section we give one last application of our hierarchical data structure. It has a different flavour from the other applications presented in this paper since it is not based on constructing a spanner, but uses the data structure directly. We solve the Dynamic Minimum Spanning Tree / Steiner Tree (DMST/DST) problem, where we need to maintain a spanning/Steiner tree of a subspace  $X \subseteq V$  throughout a sequence of vertex additions and removals to/from X.

The quality of our algorithm is measured by the total cost of the tree produced relative to the optimum tree, and time required to add/delete vertices. Let |V| = n, |X| = k. Our goal is to give an algorithm that maintains a constant factor approximation of the optimum tree, while updates are polylogarithmic in k, and do not depend (or depend only slightly) on n. It is clear that it is enough to find such an algorithm for DMST. Due to space limitations, in this section we only formulate the results. Precise proofs are gathered in Appendix F.

**Theorem 20.** Given the compressed tree  $\hat{\mathbb{T}}(V)$ , we can maintain an O(1)-approximate Minimum Spanning Tree for a subset X subject to insertions and deletions of vertices. The insert operation works in  $O(\log^5 k + \log \log n)$  time and the delete operation works in  $O(\log^5 k)$  time, k = |X|. Both times are expected and amortized.

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# A Related Work

In the next few paragraphs we review different approaches to this problem, state the differences and try to point out the advantage of the results presented here.

Universal Algorithms In the case of STEINER TREE and TSP results pointing in the direction studied here have been already obtained. In the so called, universal approximation algorithms introduced by Jia *et. al* [16], for each element of the request we need to fix an universal solution in advance. More precisely, in the case of STEINER TREE problem for each  $v \in V$  we fix a path  $\pi_v$ , and a solution to S is given as  $\{\pi_v : v \in S\}$ . Using universal algorithms we need very small space to remember the precomputed solution and we are usually able to answer queries efficiently, but the corresponding approximation ratios are relatively weak, i.e., for STEINER TREE the approximation ratio is  $O(\log^4 n/\log \log n)$ . Moreover, there is no direct way of answering queries in  $\tilde{O}(k)$  time, and in order to achieve this bound one needs to use similar techniques as we use in Section 2.2. In our model we loosen the assumption that the solution itself has to be precomputed beforehand, but the data output of the preprocessing is of roughly the same size (up to polylogarithmic factors). Also, we allow the algorithm slightly more time for answering the queries and, as a result are able to improve the approximation ratio substantially — from polylogarithmic to a constant.

Spanners and Distance Oracles The question whether the graph can be approximately represented using less space than its size was previously captured by the notion of spanners and approximate distance oracles. Both of these data structures represent the distances in the graphs up to a given multiplicative factor f. The difference is that the spanner needs to be a subgraph of the input graph hence distances between vertices are to be computed by ourselves, whereas the distance oracle can be an arbitrary data structure that can compute the distances when needed. However, both are limited in size. For general graphs (2t - 1)-spanners (i.e., the approximation factor is f = 2t - 1) are of size  $O(n^{1+1/t})$  and can be constructed in randomized linear time as shown by Baswana and Sen [1]. On the other hand, Thorup and Zwick [24] have shown that the (2t - 1)-approximate oracles of size  $O(tn^{1+1/t})$ , can be constructed in  $O(tmn^{1+1/t})$  time, and are able to answer distance queries in O(t) time. It seems that there is no direct way to obtain, based on these results, an algorithm that could answer our type of queries faster then  $O(k^2)$ .

The construction of spanners can be improved in the case of doubling metric. The papers [12,7] give a construction of  $(1 + \epsilon)$ -spanners that have linear size in the case when  $\epsilon$  and the doubling dimension of the metric are constant. Moreover, Har-Peled and Mendel [12] give  $O(n \log n)$  time construction of such spanners. A hierarchical structure similar to that of [17] and the one we use in this paper was also used by Roditty [19] to maintain a dynamic spanner of a doubling metric, with a  $O(\log n)$  update time. However, all these approaches assume the existence of a distance oracle. When storing the whole distance matrix, these results, combined with known approximation algorithms in the classical setting [18,22,23,9], imply a data-structure that can answer STEINER TREE, FACILITY LOCATION with restricted facilities and k-MEDIAN queries in  $O(k \log k)$  time. However, it does not seem to be easy to use this approach

to solve the variant of FACILITY LOCATION with unrestricted facilities. To sum up, spanners seem to be a good solution in our model in the case when a  $O(n^2)$  space is available for the data structure. The key advantage of our solution is the low space requirement. On the other hand, storing the spanner requires nearly linear space, but then we need  $\tilde{O}(n)$  time to answer each query. The distance matrix is unavailable and we will need to process the whole spanner to respond to a query on a given set of vertices.

Sublinear Approximation Algorithms Another way of looking at the problem is the attempt to devise sublinear algorithm that would be able to solve approximation problems for a given metric. This study was started by Indyk [15] who gave constant approximation ratio O(n)-time algorithms for: FURTHEST PAIR, k-MEDIAN (for constant k), MINIMUM ROUTING COST SPANNING TREE, MULTIPLE SEQUENCE ALIGNMENT, MAXIMUM TRAVELING SALESMAN PROBLEM, MAXIMUM SPANNING TREE and AVERAGE DISTANCE. Later on Bădoiu *et. al* [6] gave an  $O(n \log n)$  time algorithm for computing the cost of the uniform-cost metric FACILITY LOCATION problem. These algorithms work much faster that the  $O(n^2)$ -size metric description. However, the paper contains many negative conclusions as well. The authors show that for the following problems O(n)-time constant approximation algorithms do not exists: general metric FACILITY LOCATION, MINIMUM-COST MATCHING and k-MEDIAN for k = n/2. In contrary, our results show that if we allow the algorithm to preprocess partial, usually fixed, data we can answer queries in sublinear time afterwards.

Dynamic Spanning Trees The study of online and dynamic Steiner tree was started in the paper of [14]. However, the model considered there was not taking the computation time into account, but only minimized the number of edges changed in the Steiner tree. More recently the Steiner tree problem was studied in a setting more related to ours [3,5,4,11]. The first three of these paper study the approximation ratio possible to achieve when the algorithm is given an optimal solution together with the change of the data. The efficiency issue is only raised in [11], but the presented algorithm in the worst case can take the same as computing the solution from scratch. The problem most related to our results is the dynamic minimum spanning tree (MST) problem. The study of this problem was finished by showing deterministic algorithm supporting edge updates in polylogarithmic time in [13]. The dynamic Steiner tree problem is a direct generalization of the dynamic MST problem, and we were able to show similar time bounds. However, there are important differences between the two problems that one needs to keep in mind. In the case of MST, by definition, the set of terminals remains unchanged, whereas in the dynamic Steiner tree we can change it. On the other hand we cannot hope to get polylogarithmic update times if we allow to change the edge weights, because this would require to maintain dynamic distances in the graph. The dynamic distance problem seems to require polynomial time for updates [10].

# **B** Partition tree — precise definitions and proofs

To start with, let us recall partition and partition scheme definitions.

**Definition 21 (Jia et al [16], Definition 1).** A  $(r, \sigma, I)$ -partition is a partition of V into disjoint subsets  $S_i$  such that diam  $S_i \leq r\sigma$  for all i and for all  $v \in V$ , the ball  $B_r(v)$  intersects at most I sets in the partition.

A  $(\sigma, I)$  partition scheme is an algorithm that produces  $(r, \sigma, I)$ -partition for arbitrary  $r \in \mathbb{R}, r > 0$ .

**Lemma 22** (similar to Jia et al [16], Lemma 2). Let  $\eta \ge 0$  be a nonnegative integer. For V being a doubling metric space with doubling constant  $\lambda$ , there exists  $(2^{-\eta}, \lambda^{3+\eta})$  partition scheme that works in polynomial time. Moreover, for every r the generated partition  $\mathbb{S}_r$  has the following property: for every  $S \in \mathbb{S}_r$  there exists  $\texttt{leader}(S) \in S$  such that  $S \subset B_{2^{-\eta-1}r}(\texttt{leader}(S))$ .

*Proof.* Take arbitrary r. Start with  $V_0 = V$ . At step i for i = 0, 1, ... take any  $v_i \in V_i$  and take  $S_i = B_{2^{-\eta-1}r}(v_i) \cap V_i$ . Set  $V_{i+1} = V_i \setminus S_i$  and proceed to next step. Obviously,  $S_i \subset B_{2^{-\eta-1}r}(v_i)$ , so  $diam S_i < 2^{-\eta}r$  and we set  $leader(S_i) = v_i$ .

Take any  $v \in V$  and consider all sets  $S_i$  crossed by ball  $B_r(v)$ . Every such set is contained in  $B_{(1+2^{-\eta})r}(v) \subset B_{2r}(v)$ , which can be covered by at most  $\lambda^{3+\eta}$  balls of radius  $2^{-\eta-2}r$ . But for every  $i \neq j$ ,  $d(v_i, v_j) > 2^{-\eta-1}r$ , so every leader of set crossed by  $B_r(v)$  must be in a different ball. Therefore there are at most  $\lambda^{3+\eta}$  sets crossed.  $\Box$ 

Let us define the space partition tree  $\mathbb{T}$ .

**Algorithm 23** Assume we have doubling metric space (V, d) and  $(2^{-\eta}, \lambda^{3+\eta})$  partition scheme form Lemma 22. Let us assume  $\eta \ge 2$  and let  $\tau$  be a real constant satisfying:

$$-2\frac{\tau 2^{-\eta}}{\tau - 1} \le 1, i.e, \tau \ge \frac{1}{2^{\eta - 1} - 1} + 1 - \tau \le 2^{\eta}.$$

*Then construct space partition tree*  $\mathbb{T}$  *as follows:* 

- 1. Start with partition  $\mathbb{S}_0 = \{\{v\} : v \in V\}$ , and  $r_0 < \min\{d(u, v) : u, v \in V, u \neq v\}$ . For every  $\{v\} \in \mathbb{S}_0$  let  $\texttt{leader}(\{v\}) = v$ . Let  $\mathbb{S}'_0 = \mathbb{S}_0$ .
- 2. Let j := 0.
- *3.* While  $\mathbb{S}_i$  has more than one element do:
  - (a) Fix  $r_{j+1} := \tau r_j = \tau^j r_0$ .
  - (b) Let  $\mathbb{S}'_{j+1}$  be a partition of the set  $L_j = \{ \texttt{leader}(S) : S \in \mathbb{S}_j \}$  generated by given partition scheme for  $r = 2r_{j+1}$ .
  - (c) Let  $\mathbb{S}_{j+1} := \{ \bigcup \{ S : \texttt{leader}(S) \in S' \} : S' \in \mathbb{S}'_{j+1} \}.$
  - (d) Set leader( $\bigcup \{S : \text{leader}(S) \in S'\}$ ) = leader(S') for any  $S' \in \mathbb{S}'_{j+1}$ .
  - (e) j := j + 1.

Note that for every j,  $\mathbb{S}_j$  is a partition of V. We will denote by  $\texttt{leader}_j(v)$  the leader of set  $S \in \mathbb{S}_j$  that  $v \in S$ .

**Definition 24.** We will say that  $S^* \in \mathbb{S}_{j+1}$  is a parent of  $S \in \mathbb{S}_j$  if  $leader(S) \in S^*$  (equally  $S \subset S^*$ ). This allows us to consider sets  $\mathbb{S}_j$  generated by Algorithm 23 as nodes of a tree  $\mathbb{T}$  with root being the set V.

**Lemma 25.** For every j and for every  $v \in S$  the following holds:

$$d(v, \texttt{leader}_j(v)) < \frac{\tau 2^{-\eta}}{\tau - 1} r_j.$$

Proof. Note that

$$d(v, \texttt{leader}_j(v)) \leq \sum_{i=1}^j d(\texttt{leader}_i(v), \texttt{leader}_{i-1}(v))$$

We use bound from Lemma 22:

$$\sum_{i=1}^{j} d(\texttt{leader}_i(v), \texttt{leader}_{i-1}(v)) \le \sum_{i=1}^{j} 2^{-\eta-1} \cdot 2\tau^i r_0 = 2^{-\eta} \tau \frac{\tau^{j} - 1}{\tau - 1} r_0 < \frac{\tau 2^{-\eta}}{\tau - 1} r_j.$$

**Lemma 26.** For every j, for every  $S \in S_j$ , the union of balls  $\bigcup \{B_{r_j}(v) : v \in S\}$  crosses at most  $\lambda^{3+\eta}$  sets from the partition  $S_j$ .

*Proof.* For j = 0 this is obvious, since  $r_0$  is smaller than any d(u, v) for  $u \neq v$ . Let us assume j > 0.

Let  $v \in S \in \mathbb{S}_j$ ,  $v^* \in S^* \in \mathbb{S}_j$ ,  $S \neq S^*$  and  $d(v, v^*) < r_j$ . Then, using Lemma 25,

 $d(\texttt{leader}_j(v),\texttt{leader}_j(v^*)) \leq d(\texttt{leader}_j(v),v) + d(v,v^*) + d(v^*,\texttt{leader}_j(v^*)) < d(\texttt{leader}_j(v),v) + d(v,v^*) + d$ 

$$< r_j \left( 1 + 2\frac{\tau 2^{-\eta}}{\tau - 1} r_j \right) < 2r_j$$

Since, by partition properties,  $B_{2r_j}(\texttt{leader}_j(v))$  crosses at most C sets from  $S'_j$  and  $\texttt{leader}_j(v^*) \in B_{2r_j}(\texttt{leader}_j(v))$ , this finishes the proof.  $\Box$ 

**Definition 27.** We say that a set  $S \in S_j$  knows a set  $S' \in S_j$  if  $\bigcup \{B_{r_j}(v) : v \in S\} \cap S' \neq \emptyset$ . We say that  $v \in V$  knows  $S' \in S_j$  if  $v \in S \in S_j$  and S knows S' or S = S'.

Note that Lemma 26 implies the following:

**Corollary 28.** A set (and therefore a node too) at a fixed level j has at most  $\lambda^{3+\eta}$  acquaintances.

**Lemma 29.** Let  $S \in \mathbb{S}_j$  be a child of  $S^* \in \mathbb{S}_{j+1}$  and let S know  $S' \in \mathbb{S}_j$ . Then either  $S' \subset S^*$  or  $S^*$  knows the parent of S'.

*Proof.* Assume that S' is not a child (subset) of  $S^*$  and let  $S^{**} \in \mathbb{S}_{j+1}$  be the parent of S'. Since S knows S', there exist  $v \in S$ ,  $v' \in S'$  satisfying  $d(v, v') < r_j$ . But  $r_j < r_{j+1}$  and  $v \in S^*$  and  $v' \in S^{**}$ .

**Lemma 30.** Set  $S^* \in \mathbb{S}_j$  has at most  $\lambda^{3+\eta}$  children in the tree  $\mathbb{T}$ .

*Proof.* By construction of level j, let  $S \in \mathbb{S}_{j-1}$  be such a set that  $\texttt{leader}(S) = \texttt{leader}(S^*)$  (in construction step we divided sets of leaders  $L_{j-1}$  into partition  $\mathbb{S}'_j$ ). Let  $S' \in \mathbb{S}_{j-1}$  be another child of  $S^*$ . Then, by construction and assumption that  $\tau \leq 2^{\eta}$ :

$$d(\texttt{leader}(S'),\texttt{leader}(S)) < 2r_j \cdot 2^{-\eta-1} = 2^{-\eta}r_j \le r_{j-1}.$$

However, by Lemma 26,  $B_{r_{j-1}}(\texttt{leader}(S))$  crosses at most  $\lambda^{3+\eta}$  sets at level j-1. That finishes the proof.

**Lemma 31.** Let  $v, v^* \in V$  be different points such that  $v \in S_1 \in \mathbb{S}_j$ ,  $v \in S_2 \in \mathbb{S}_{j+1}$ and  $v^* \in S_1^* \in \mathbb{S}_j$ ,  $v^* \in S_2^* \in \mathbb{S}_{j+1}$  and  $S_2$  knows  $S_2^*$  but  $S_1$  does not know  $S_1^*$ . Then

$$r_j \le d(v, v^*) < \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right) \tau r_j.$$

For  $\tau = 2$  and  $\eta = 2$  this implies  $r_j \leq d(v, v^*) \leq 6r_j$ .

*Proof.* Since  $S_1$  and  $S_1^*$  do not know each other, v and  $v^*$  are in distance at least  $r_j$ . Since  $S_2$  knows  $S_2^*$ , there exist  $u \in S_2$  and  $u^* \in S_2^*$  such that  $d(u, u^*) < r_{j+1}$ . Therefore

$$\begin{aligned} d(v, v^*) &\leq \\ &\leq d(v, \texttt{leader}(S_2)) + d(\texttt{leader}(S_2), u) + d(u, u^*) + \\ &+ d(\texttt{leader}(S_2^*), u^*) + d(\texttt{leader}(S_2^*), v^*) < \\ &< 4 \cdot \frac{\tau 2^{-\eta}}{\tau - 1} r_{j+1} + r_{j+1} = \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right) \tau r_j. \end{aligned}$$

*Remark 32.* Imagine we want in Lemma 31 to obtain bound  $r_j \leq d(v, v^*) \leq (1 + \varepsilon)r_j$  for some small  $1 > \varepsilon > 0$ . Take  $\tau = 1 + \frac{\varepsilon}{3}$ . We want here the following:  $\frac{4\tau 2^{-\eta}}{\tau - 1} < \frac{\varepsilon}{3}$ , i.e.,  $2^{-\eta} < \frac{\varepsilon^2}{12(1+\varepsilon)} < \frac{\varepsilon^2}{24}$ . Then we have

$$d(v,v^*) < \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right)\tau r_j < \left(1 + \frac{\varepsilon}{3}\right)^2 r_j < (1 + \varepsilon)r_j.$$

Note, that to obtain this we need  $2^{\eta} = O(\frac{1}{\varepsilon^2})$ . Note, that conditions in Algorithm 23 for  $\eta$  and  $\tau$  are much weaker than we assumed here.

# **C** Implementation of the meet and jump operations

In this section we provide realizations of meet and jump operations that work fast, i.e., roughly in  $O(\log \log n)$  time.

Let us now recall the semantics of the meet operation, which was used in the fast subtree extraction in Section 2.2. For nodes u and v, by u(j) and v(j) we denote the ancestor of u (resp. v) in the tree at level j. The meet(v, u) operation returns the lowest level j such that u(j) and v(j) knows each other. This operation can be performed in  $O(\lambda^{\eta+3}\log\log n)$  time.

Operation jump is used by the dynamic algorithms in Section 4, and its semantics is as follows. In the compressed tree, for each set S we store a list of all meetings of S, sorted by level. The jump(v, i), given node v and level i outputs the set S and a meeting (S, S', j) such that  $v \in S$  and j is the lowest possible level such that  $i \leq j$ . Informally speaking, it looks for the first meeting of a set containing v such that its level is at least i. The jump operation works in  $O(\log \log n + \log \log \log \text{stretch})$ . If we require that there is some meeting at level i somewhere, maybe distant from v, in the tree, the time reduces to  $O((\log \eta + \log \log \lambda) \log \log n)$ .

#### C.1 Path partition

In order to implement the jump and meet operations efficiently we need to store additional information concerning the structure of  $\hat{\mathbb{T}}$ , namely a path partition. The following lemma defines the notion.

**Lemma 33.** The set of edges of the tree  $\hat{\mathbb{T}}$  can be partitioned into a set of paths  $\mathbb{P} = \{P_1, \ldots, P_m\}$  such that each path starts at some node of  $\hat{\mathbb{T}}$  and goes down the tree only and for each node v of the tree  $\hat{\mathbb{T}}$  the path from v to the root contains edges from at most  $\lceil \log_2 n \rceil$  paths of the path decomposition  $\mathbb{P}$ . Moreover  $\mathbb{P}$  can be found O(n) time.

*Proof.* We use a concept similar to the one used by Sleator and Tarjan in [21]. We start from the root and each edge incident to the root is a beginning of a new path. We then proceed to decompose each subtree of the root recursively. When considering a subtree rooted at a node v we lengthen the path going down from the parent of v by one edge going to the subtree containing the largest number of nodes (breaking ties arbitrarily). Each of the remaining edges leaving v starts a new path.

It is easy to see that each path goes down the tree only. Now consider a node v. When we go up from v to the root, every time we reach an end of some path from  $\mathbb{P}$ , the size of the subtree rooted at the node we move into doubles. This ends the proof since there are at most 2n - 1 vertices.

We now describe additional information related to the path decomposition that we need to store. Each node v of  $\hat{\mathbb{T}}$  maintains a set paths, where  $(i, level) \in paths(v)$  if the path from v to the root contains at least one edge of the path  $P_i$ , and the lowest such edge has its bottom endpoint at level *level*. In other words,  $P_i$  enters the path from v to the root at level *level*. We use two different representations of the set paths simultaneously. One is a dictionary implemented as a hash table, and the other is an array sorted by *level*. Because of the properties of the path decomposition  $\mathbb{P}$  from Lemma 33 for each node v we have  $|paths(v)| \leq \lceil \log_2(n) \rceil$ .

Let  $P_i \in \mathbb{P}$  be a path with vertices  $\{v_1, \ldots, v_t\}$  (given in order of increasing level). We define interior $(P_i)$  to be the set  $\{v_1, \ldots, v_{t-1}\}$ , i.e. we exclude the top vertex of  $P_i$ . We also define toplevel $(P_i)$  to be the level of  $v_{t-1}$ , i.e. the highest level among interior nodes of  $P_i$ .

#### C.2 The meet operation

In order to benefit from the path decomposition to implement meet operation, we also need to store adjacency information for paths, similar to the information we store for single nodes. Let  $P_a, P_b \in \mathbb{P}$  be two paths, such that their interior nodes know each other at level  $j_{ab}$ , but not at level  $j_{ab} - 1$ . Then the triple  $(P_a, P_b, j_{ab})$  is called a *meeting* of  $P_a$  and  $P_b$  at level  $j_{ab}$ . We also say that  $P_a$  and  $P_b$  meet at level  $j_{ab}$ , or that they know each other. This definition is just a generalisation of a similar definition for pairs of nodes of  $\mathbb{T}$ . We may also define a notion of *responsibility* for paths which is analogous to the definition for nodes and formulate a lemma analogous to Lemma 5.

**Lemma 34.** One can augment the tree  $\hat{\mathbb{T}}$  with additional information of size  $O(n\lambda^{3+\eta})$ , so that for any pair of paths  $P_x, P_y \in \mathbb{P}$  one can decide if  $P_x$  and  $P_y$  know each other, and if that is the case the level of the meeting is returned. The whole query takes  $O(\eta \log \lambda)$  time.

Now, suppose we are given two nodes  $u, v \in \hat{\mathbb{T}}$  and we are to compute meet(u, v). The following lemma provides a crucial insight into how this can be done.

**Lemma 35.** Let  $(i, j) \in \text{paths}(u)$ , which means that the path  $P_i$  reaches the path from u to the root at level j and assume that nodes u, v start to know each other at level  $j_{uv} = \text{meet}(u, v)$ , where  $j_{uv} \leq \text{toplevel}(P_i)$ . Then either  $(i, \ell) \in \text{paths}(v)$  for some  $\ell$ , or there exists i', such that paths  $P_i$  and  $P_{i'}$  know each other,  $P_i$  is responsible for their meeting, and  $(i', \ell) \in \text{paths}(v)$  for some  $\ell$ . Moreover, this condition can be checked in  $O(\lambda^{\eta+3})$  time.

*Proof.* Since  $j_{uv} \leq \text{toplevel}(P_i)$  we know that at level  $\text{toplevel}(P_i)$  paths from u to the root and from v to the root either merged, or else nodes on those paths at level  $\text{toplevel}(P_i)$  know each other. If those paths merged, than  $P_i$  intersects the path from v to the root, and we know that  $(i, *) \in \text{paths}(v)$ . This can be checked in hash table for paths(v) in O(1) time.

Otherwise as i' we take  $P_i$  to be the lowest path  $P_{i''} \in \mathbb{P}$ , such that  $(i'', \ell) \in \text{paths}(v)$  for some  $\ell$ , and toplevel $(P''_i) \geq \text{toplevel}(P_i)$ . To check if this occurs, we take  $S_i$  — the interior node of  $P_i$  with the highest level, and iterate over all  $S'_i$  known by  $S_i$  and look for path containing  $S'_i$  in the hashtable for paths(v). As  $S_i$  knows at most  $\lambda^{\eta+3}$  sets, the bound follows.

Now, using Lemma 35 we can do a binary search over the elements of  $\mathtt{paths}(u)$ , and find a pair  $(i_u, j_u) \in \mathtt{paths}(u)$  such that  $\mathtt{meet}(u, v) \leq \mathtt{toplevel}(P_{i_u})$  and  $\mathtt{meet}(u, v) \geq j_u$ . Namely, we look for the lowest path in  $\mathtt{paths}(u)$  that satisfies Lemma 35. Similarly, we can find  $(i_v, j_v) \in \mathtt{paths}(v)$ . Since  $\mathtt{paths} P_{i_u}$  and  $P_{i_v}$  know each other, we simply use Lemma 34 to find the exact level j where they meet, and as the result of  $\mathtt{meet}(u, v)$  return  $\max(j_u, j_v, j)$ . We need to take the maximum of those values, because  $\mathtt{paths} P_{i_u}$  and  $P_{i_v}$  could possibly meet before they enter the paths from u and v to the root.

**Lemma 36** (Lemma 6 restated). The tree  $\hat{\mathbb{T}}$  can be augmented so that the meet operation can be performed in  $O(\eta \log \lambda \log \log n)$  time. The augmented  $\mathbb{T}$  tree can be stored in  $O(\lambda^{3+\eta}n \log n)$  space and computed in polynomial time.

*Proof.* Since  $|\mathtt{paths}(u)| \leq \lceil \log_2 n \rceil$  we perform  $O(\log \log n)$  steps of the binary search. During each step we perform  $O(\lambda^{\eta+3})$  searches in a hash table, thus we can find the result of  $\mathtt{meet}(u, v)$  in  $O(\log \log n)$  time.

The space bound follows from Corollary 4 (the additional  $\log n$  factor in the space bound comes from the size of paths(x) for each node x). Now we need only to describe how to obtain running time independent of the stretch of the metric. In order to compute the  $\hat{T}$  tree (without augmentation) we can slightly improve our construction algorithm: instead of going into the next level, one can compute the smallest distance between current sets and jump directly to the level when some pair of sets merges or begins to know each other.

*Remark 37.* We could avoid storing paths in arrays by maintaining, for each path in  $\mathbb{P}$ , links to paths distant by powers of two in the direction of the root (i.e. at most  $\log \log n$  links for each path).

Also, to obtain better space bound, we could use a balanced tree instead of the hash tables to keep the first copy of paths. If we use persistent balanced trees, we can get an  $O(n \log \log n)$  total space bound. However, in that case the search time would be increased to  $O((\log \log n)^2)$  for one call to the meet operation.

#### C.3 The jump operation

**Lemma 38.** The compressed tree  $\hat{\mathbb{T}}$  can be enhanced with additional information of size  $O(\lambda^{\eta+3}n \log n)$  in such a way that the jump(v, i) operation can be performed in  $O(\log \log n + \log \log \log \texttt{stretch})$  time, where stretch denotes the stretch of the metric. If we require that there is some meeting at level *i* somewhere in the tree (possibly not involving *v*), the jump operation can be performed in  $O((\log \eta + \log \log \lambda) \log \log n)$  time.

*Proof.* To calculate jump(v, i), we first look at paths(v) and binary search lowest path  $P \in paths(v)$  such that the highest node in P has level greater than i. If  $P = \{v_1, \ldots, v_t\}$  (given in order of increasing level), that means that  $level(v_1) \leq i < level(v_t)$ . This step takes  $O(\log \log n)$  time.

To finish the jump operation, we need, among meetings on path P, find the lowest one with the level not smaller than i. As levels are numbered from 0 to log stretch, this can be done using y-Fast Tree data structure [25,26]. The y-Fast Tree uses linear space and answers predecessor queries in  $O(\log \log u)$  time, where u is the size of the universe, here  $u = \log \text{stretch}$ .

To erase dependency on stretch, note that according to Corollary 4, where are at most  $M := (2n-1)\lambda^{\eta+3}$  meetings in tree  $\hat{\mathbb{T}}$ . Therefore, we can assign to every level j, where some meeting occurs, a number  $0 \le n(j) < M$  and for two such levels j and j', j < j' iff n(j) < n(j'). The mapping  $n(\cdot)$  can be implemented as a hash table, thus calculating n(j) takes O(1) time. Instead of using y-Fast Trees with level numbers as universe, we use numbers  $n(\cdots)$ . This requires  $O(\log \log n + \log \log M) =$  $O((\log \eta + \log \log \lambda) \log \log n)$  time, but we need to have the key i in the hash table, i.e., there needs to be some meeting at level i somewhere in the tree.  $\Box$ 

# **D** Omitted Proofs

#### Proof (of Theorem 12).

Recall that nodes of  $\hat{\mathbb{T}}(S)$  are simply certain subsets of S, in particular all singleelement subsets of S are nodes of  $\hat{\mathbb{T}}(S)$ . Associate with every node A of  $\hat{\mathbb{T}}(S)$ , an element a of A, which we will call leader(A), so that:

- if  $A = \{a\}$  (which means A is a leaf in  $\widehat{\mathbb{T}}(S)$ ), then leader(A) = a,
- if A has sons  $A_1, \ldots, A_m$  in  $\hat{\mathbb{T}}(S)$ , then let leader(A) be any of  $\texttt{leader}(A_i)$ ,  $i = 1, \ldots, m$ .

If two nodes A, B in  $\hat{\mathbb{T}}(S)$  know each other, we will also say that their leaders leader(A) and leader(B) know each other. Also, if A is the parent of B, and  $a \neq b$ , where a = leader(A) and b = leader(B), we will say that a is the parent of b. We will also say that a beats b at level L, where L is the level at which A appears as a node — this is exactly the level where b stops being a leader, and is just an ordinary element of a set where a is a leader.

Now we are ready do define the pseudospanner. Let H = (S, E), where E contains all edges  $uv, u \neq v$  such that:

- 1. v is the father of u, or
- 2. u and v know each other.

We cannot assign to these edges their real weights, because we do not know them. Instead, we define  $w_H(u, v)$  to be an upper bound on d(u, v), which is also a good approximation of d(u, v). In particular:

If u is a son of v and v beats u at level j, we put w<sub>H</sub>(u, v) = 2<sup>τ2<sup>-η</sup></sup>/<sub>τ-1</sub>r<sub>j</sub>.
 If u and v first meet each other at level j, we put w<sub>H</sub>(u, v) = (1 + 4τ2<sup>-η</sup>/<sub>τ-1</sub>)τr<sub>j</sub>.

We claim that H is a  $C(\eta, \tau)$ -spanner for V of size O(n).

It easily follows from Lemmas 25 and 31 that  $d(u, v) \leq w_H(u, v)$ , hence also for any  $u, v \in V$  we have  $d(u, v) \leq d_H(u, v)$ , where  $d_H$  is the shortest distance metric in H.

Now, we only need to prove that for every pair of vertices  $v, v^* \in X$ , we have  $d_H(v, v^*) \leq C(\eta, \tau)d(v, v^*)$ . The proof is similar to that of Lemma 31. As before, let  $v \in S_1 \in \mathbb{S}_j, v \in S_2 \in \mathbb{S}_{j+1}$  and  $v^* \in S_1^* \in \mathbb{S}_j, v^* \in S_2^* \in \mathbb{S}_{j+1}$  and assume  $S_2$  knows  $S_2^*$  but  $S_1$  does not know  $S_1^*$  (all that is assumed to hold in  $\hat{\mathbb{T}}$ , not in  $\hat{\mathbb{T}}(S)$ ). Then, since  $S_1$  and  $S_1^*$  do not know each other, v and  $v^*$  are at distance at least  $r_j$ . On the other hand, since  $S_2$  knows  $S_2^*$  in  $\hat{\mathbb{T}}$ , we also have that  $S_2 \cap S$  knows  $S_2^* \cap S$  in  $\hat{\mathbb{T}}(S)$ . Let  $u = \texttt{leader}(S_2 \cap S), u^* = \texttt{leader}(S_2^* \cap S)$ . It follows from the definition of H, that  $uu^*$  is an edge in H and it has weight  $w_H(u, u^*) \leq \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right)\tau r_j$ .

Now consider the path from v to  $S_2 \cap S$  in  $\hat{\mathbb{T}}(S)$ . Initially, v is the leader of the singleton set  $\{v\}$ , then it might get beaten by some other vertex  $v_1$ , then  $v_1$  can get

beaten by some other vertex  $v_2$ , and so on. Finally, at some level u emerges as a leader. This gives a path  $v = v_0, v_1, \ldots, v_m = u$  in H. We have

$$w_H(v_i v_{i+1}) = 2 \frac{\tau 2^{-\eta}}{\tau - 1} r_{l_{i+1}}$$

where  $l_{i+1}$  is the level at which  $v_{i+1}$  beats  $v_i$ . Since all these levels are different and all of them are at most j + 1, we get:

$$d_H(v,u) \le \sum_{i=0}^{m-1} w_H(v_i, v_{i+1}) \le 2\frac{\tau 2^{-\eta}}{\tau - 1} r_0 \sum_{i=0}^{j} \tau^i \le 2\frac{\tau 2^{-\eta}}{\tau - 1} \frac{\tau^{j+1} - 1}{\tau - 1} r_0 \le \frac{2\tau}{\tau - 1} \cdot \frac{\tau 2^{-\eta}}{\tau - 1} \tau r_j.$$

We can argue in the same way for  $v^*$  and  $u^*$ . Joining all 3 bounds we get:

$$d_H(v, v^*) \le d_H(v, u) + w_H(u, u^*) + d_H(u^*, v^*) \le \\ \le \left(1 + \frac{8\tau 2^{-\eta}}{\tau - 1}\right)\tau r_j + 2 \cdot \frac{2\tau}{\tau - 1} \cdot \frac{\tau 2^{-\eta}}{\tau - 1}\tau r_j.$$

and finally

$$d_H(v, v^*) \le \left(1 + \left(\frac{\tau}{\tau - 1}\right)^2 2^{3-\eta}\right) \tau r_j \le C(\tau, \eta) d(v, v^*).$$

Since every edge of the spanner either corresponds to a father-son edge in  $\hat{\mathbb{T}}(S)$  or to a meeting of two nodes in  $\hat{\mathbb{T}}(S)$ , it follows from Lemma 4 that H has size O(n). The time complexity of constructing H is essentially the same as that of constructing  $\hat{\mathbb{T}}(S)$ , i.e.  $O(k(\log k + \log \log n))$ .

# **E** Facility location with unrestricted facilities

In this section we study the variant of FACILITY LOCATION with unrestricted facilities (see Introduction). We show that our data structure can be augmented to process such queries in  $\tilde{O}(k(\log k + \log \log n))$  time, with the approximation guarantee of  $3.04 + \varepsilon$ .

Our approach here is a reduction to the problem solved in Corollary 17. The general idea is roughly the following: during the preprocessing phase, for every point  $x \in V$  we compute a small set F(x) of facilities that seem a good choice for x, and when processing a query for a set of cities C, we just apply Corollary 17 to cities' set C and facilities' set  $\bigcup_{c \in C} F(c)$ . In what follows we describe the preprocessing and the query algorithm in more detail, and we analyze the resulting approximation guarantee.

In this section we consider a slightly different representation of tree  $\mathbb{T}$ . Namely, we replace each edge (v, parent(v)) of the original  $\hat{\mathbb{T}}$  with a path containing a node for each meeting of v. The nodes on the path are sorted by level, and for any of such nodes v, level(v) denotes the level of the corresponding meeting. The new tree will be denoted  $\bar{\mathbb{T}}$ .

#### E.1 Preprocessing

Let us denote  $\operatorname{vis}(j) = \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right) \tau r_j$ , i.e.  $\operatorname{vis}(j)$  is the upper bound from Lemma 31. Note that  $\operatorname{vis}(j)$  is an upper bound on the distance between two points v and w such that  $v \in S_1$  and  $w \in S_2$  for two sets  $S_1, S_2$  that know each other and belong to the same partition  $\mathbb{S}_j$ . For a node v of tree  $\mathbb{T}$  we will also denote  $\operatorname{vis}(v) = \operatorname{vis}(\operatorname{level}(v))$ .

In the preprocessing, we begin with computing the compressed tree  $\mathbb{T}$ . Next, for each node v of  $\overline{\mathbb{T}}$  we compute a point in the sets which v knows, with the smallest opening cost among these points. Let us denote this point by cheap(v). Finally, for each  $x \in V$  consider the path P in  $\overline{\mathbb{T}}$  from the leaf corresponding to  $\{x\}$  to the root. Let  $P = (v_1, v_2, \ldots, v_{|P|})$  and for  $i = 1, \ldots, |P|$  let  $x_i = cheap(v_i)$ . Let p the smallest number such that  $f(x_p) \leq n/\varepsilon_0 \cdot vis(v_p)$ , where  $\varepsilon_0$  is a small constant, which we determine later; now we just assume that  $\varepsilon_0 \in (0, 1]$ . Let q be the smallest number such that  $q \geq p$  and  $f(x_q) \leq \varepsilon_0 \cdot vis(v_q)$ . If p exists, we let  $F(x) = \{v_p, v_{p+1}, \ldots, v_q\}$  and otherwise  $F(x) = \emptyset$ .

**Lemma 39.** For any 
$$x \in V$$
,  $|F(x)| = O(\log n)$ .

*Proof.* Let  $r = p + \lceil \log_{\tau}(n/\varepsilon_0^2) \rceil$ . Note that for any  $i = p, \ldots, r-1$ ,  $level(v_i) < level(v_{i+1})$ . Hence

$$\begin{split} \operatorname{vis}(\operatorname{level}(v_p)) &= \operatorname{vis}(0) \tau^{\operatorname{level}(v_p)} \leq \frac{\operatorname{vis}(0) \tau^{\operatorname{level}(v_r)}}{\tau^{r-p}} \leq \\ &\leq \frac{\varepsilon_0^2 \operatorname{vis}(0) \tau^{\operatorname{level}(v_r)}}{n} = \frac{\varepsilon_0^2}{n} \cdot \operatorname{vis}(\operatorname{level}(v_r)). \end{split}$$

It follows that  $f(x_p) \leq \varepsilon_0 \cdot vis(level(v_r))$ . Then  $q \leq r$ , since  $x_p \in set(v_r)$ .  $\Box$ 

It is straightforward to see that all the sets F(x) can be found in  $O(n \log n)$  time.

The intuition behind our choice of F(x) is the following. If  $f(x_i) > n/\varepsilon_0 \cdot vis(v_i)$ , then the opening cost of  $x_i$  is too high, because even if n cities contribute to the opening of  $x_i$ , each of them has to pay more than  $vis(v_i)$  on average (the constant  $\varepsilon_0$  here is needed to deal with some degenerate case, see further), i.e. more than an approximation of its connection cost. Hence it is reasonable for cities in  $set(v_i)$  to look a bit further for a cheaper facility. On the other hand, when  $f(x_i) \leq \varepsilon_0 \cdot vis(v_i)$ , then even if city xopens facility  $x_i$  alone it pays much less than its connection cost to  $x_i$ . Since the possible cheaper facilities are further than  $x_i$ , choosing  $x_i$  would be a  $(1 + \varepsilon_0)$ -approximation.

#### E.2 Query

Let  $C \subseteq V$  be a set of cities passed the query argument. Denote k = |C|. Now for each  $c \in C$  we choose the set of facilities

$$F_k(c) = \{ \mathtt{cheap}(v) : v \in F(c) \text{ and } f(\mathtt{cheap}(v)) \le k/\varepsilon_0 \cdot \mathtt{vis}(v) \}.$$

Similarly as in Lemma 39 we can show that  $|F_k(c)| = O(\log k)$ . Clearly,  $F_k(c)$  can be extracted from F(c) in  $O(\log k)$  time: if F(c) is sorted w.r.t. the level, we just

check whether  $f(\operatorname{cheap}(v)) \leq k/\varepsilon_0 \cdot \operatorname{vis}(v)$  beginning from the highest level vertex and stop when this condition does not hold. Finally, we compute the union  $F(C) = \bigcup_{c \in C} F_k(c) \cup \{\operatorname{cheap}(\operatorname{root}(\overline{\mathbb{T}})\}\)$  and we apply Corollary 17 to cities' set C and facilities' set F(C). Note that F contains  $\operatorname{cheap}(\operatorname{root}(\overline{\mathbb{T}}))$  - i.e. the point of V with the smallest opening  $\operatorname{cost}$  — this is needed to handle some degenerate case.

#### E.3 Analysis

**Theorem 40.** Let SOL be a solution of the facility location problem for the cities' set C and facilities' set V. Then, for any  $\varepsilon > 0$ , there are values of parameters  $\tau$ ,  $\eta$  and  $\varepsilon_0$  such that there is a solution SOL' of cost at most  $(2 + \varepsilon)$ cost(SOL), which uses only facilities from set F(C).

*Proof.* We construct SOL' from SOL as follows. For each opened facility x of SOL, such that  $x \notin F(C)$ , we consider the set C(x) of all the cities connected to x in SOL. We choose a facility  $x' \in F(C)$  and reconnect all the cities from C(x) to x'.

Let  $c^*$  be the city of C(x) which is closest to x. Consider the path P of  $\overline{\mathbb{T}}$  from the leaf corresponding to  $c^*$  to the root. Let v be the first node on this path such that v knows x and

$$\operatorname{vis}(v) \ge \frac{\varepsilon_0 f(x)}{|C(x)|}.$$
(1)

Note that by the first inequality of Lemma 31, for the first node w on P that knows x,

$$\operatorname{vis}(w) \leq \Big(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\Big)\tau r_{\operatorname{level}(w)} \leq \Big(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\Big)\tau d(x, c^*)$$

On the other hand, again by Lemma 31, for the first node u on P such that  $vis(u) \ge \frac{\varepsilon_0 f(x)}{|C(x)|}$ , there is  $vis(u) \le \tau \frac{\varepsilon_0 f(x)}{|C(x)|}$ . Hence, since v is the higher of w and u,

$$\operatorname{vis}(v) \le \tau \max\left\{\frac{\varepsilon_0 f(x)}{|C(x)|}, \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right) d(x, c^*)\right\}.$$
(2)

First we consider the non-degenerate case when  $F_k(c^*) \neq \emptyset$ . Let  $v_p, \ldots, v_q$  be the subpath of P which was chosen during the preprocessing. Let  $p' \in \{p, \ldots, q\}$  be the smallest number such that  $\operatorname{cheap}(p') \leq k/\varepsilon_0 \cdot \operatorname{vis}(v_{p'})$ . Recall that  $F_k(c^*) = \{\operatorname{cheap}(v_i) : p' \leq i \leq q\}$ . If  $v \in \{v_{p'}, \ldots, v_q\}$ , then  $F_k(c^*)$  contains a facility of opening cost at most f(x), at distance at most  $\operatorname{vis}(v)$ . Otherwise v is higher than  $v_q$  on P, so  $F_k(c^*)$  contains a facility of cost at most  $\operatorname{vis}(v)$ , at distance at most  $\operatorname{vis}(v)$ , at distance at most  $\operatorname{vis}(v)$ . To sum up,  $F_k(c^*)$  contains a facility of cost at most  $\max\{f(x), \varepsilon_0 \cdot \operatorname{vis}(v)\}$ , at distance at most  $\operatorname{vis}(v)$ . Denote it by x'. We reconnect all of C(x) to x'.

Now let us bound the cost of connecting C(x) to x'. From the triangle inequality, (2), and the fact that  $c^*$  is closest to x we get

$$\sum_{c \in C(x)} d(c, x') \leq \sum_{c \in C(x)} d(c, x) + |C(x)| \operatorname{vis}(v)$$

$$\leq \sum_{c \in C(x)} d(c, x) + \tau \max\left\{ \varepsilon_0 f(x), \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right) \sum_{c \in C(x)} d(c, x) \right\}$$

$$\leq \tau \varepsilon_0 f(x) + \left(1 + \tau \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right)\right) \sum_{c \in C(x)} d(c, x). \tag{3}$$

Now let us expand the bound for f(x'):

$$f(x') \leq \max\left\{f(x), \varepsilon_0 \tau \max\left\{\frac{\varepsilon_0 f(x)}{|C(x)|}, \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right) d(x, c^*)\right\}\right\}$$
$$\leq (1 + \varepsilon_0 \tau) f(x) + \varepsilon_0 \tau \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right) \cdot \sum_{c \in C(x)} d(c, x).$$
(4)

From (3) and (4) together we get

$$f(x') + \sum_{c \in C(x)} d(c, x') \leq$$

$$\leq (1 + 2\varepsilon_0 \tau) f(x) + \left(1 + (\tau + \tau \varepsilon_0) \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right)\right) \sum_{c \in C(x)} d(c, x).$$
(5)

Finally, we handle the degenerate case when  $F_k(c^*) = \emptyset$ . Then we just connect all C(x) to the facility  $x' = \text{cheap}(\text{root}(\bar{\mathbb{T}}))$ , i.e. the facility with the smallest opening cost in V. Note that  $F_k(c^*) = \emptyset$  implies that for any point  $y \in V$  (and hence also for x),

$$f(y) > k/\varepsilon_0 \texttt{vis}(\operatorname{root}(\bar{\mathbb{T}})) \geq k/\varepsilon_0 \max_{x,y \in V} d(x,y)$$

Hence,  $\sum_{c\in C(x)}d(c,x')\leq |C(x)|\max_{x,y\in V}d(x,y)\leq (|C(x)|/n)\varepsilon_0f(x)\leq \varepsilon_0f(x).$  It follows that

$$f(x') + \sum_{c \in C(x)} d(c, x') \le (1 + \varepsilon_0) f(x).$$
(6)

From (5) and (6), we get that

$$\operatorname{cost}(\operatorname{SOL}') \le \left(1 + (\tau + \tau \varepsilon_0) \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right)\right) \operatorname{cost}(\operatorname{SOL}).$$

One can easily seen that the constants  $\varepsilon_0$ ,  $\tau$  and  $\eta$  can be adjusted so that the coefficient before cost(SOL) is arbitrarily close to 2. This proves our claim.

From Theorem 40 and Corollary 17 we immediately get the following.

**Corollary 41 (Facility Location with unrestricted facilities, Theorem 18 restated).** Assume that for each point of *n*-point V there is assigned an opening cost f(x). Given  $\hat{\mathbb{T}}$  and a set of k points  $C \subseteq V$ , for any  $\varepsilon > 0$ , a  $(3.04 + \varepsilon)$ -approximate solution to the facility location problem with cities' set C and facilities' set V can be constructed in time  $O(k \log k(\log^{O(1)} k + \log \log n))$ , w.h.p.

# F Dynamic Minimum Spanning Tree and Steiner Tree — algorithm details

In this section we give details on the proof of Theorem 20, that is, we describe an algorithm for MST in the static setting and than we make it dynamic.

We assume we have constructed the compressed tree  $\mathbb{T}(V)$ . Apart from Lemma 44, we treat  $\lambda$ ,  $\eta$  and  $\tau$  as constants and omit them in the big–O notation. Recall that we are given a subset  $X \subset V$ , |V| = n, |X| = k. In the static setting, we are to give a constant approximation of a MST for the set X in time almost linear in k. In the dynamic setting, the allowed operations are additions and removals of vertices to/from X and the goal is achieve polylogarithmic times on updates.

#### F.1 Static Minimum Spanning Tree

We first show how the compressed tree  $\mathbb{T}(V)$  can be used to solve the static version of the Minimum Spanning Tree problem, i.e. we are given a set  $X \subseteq V$  and we want to find a constant approximation of the Minimum Spanning Tree of X in time almost linear in k.

Let r (called the *root*) be any fixed vertex in X and let  $L_i(X) = \{x \in X : \text{meet}(x, r) = i + 1\}$ . Also, let  $D(\tau) = \left(1 + \frac{4\tau 2^{-\eta}}{\tau - 1}\right)\tau$ . As a consequence of Property 4 of the partition tree, we get the following:

**Lemma 42.** Let  $x, y \in L_i(X)$ . Then  $r_i \leq d(x, r) \leq D(\tau)r_i$ . Moreover, at level  $i + 1 + \log_{\tau}(2D(\tau)) = i + O(1)$  of  $\mathbb{T}$  the sets containing x and y are equal or know each other.

A spanning tree T of X is said to be *layered* if it is a sum of spanning trees for each of the sets  $\{r\} \cup L_i(X)$ . The following is very similar to Lemma 8 in Jia et al. [16].

**Lemma 43.** There exists a layered tree  $T_L$  of X with weight at most O(1)OPT, where OPT is the weight of the MST of X.

*Proof.* Let  $T_{\text{OPT}}$  be any minimum spanning tree of X with cost OPT. Let  $m = \lceil \log_{\tau}(1 + D(\tau)) \rceil$  and  $\mathcal{L}^j = \{r\} \cup \bigcup \{L_i(X) : i \mod m = j\}$  for  $0 \le j < m$ . Double the edges of  $T_{\text{OPT}}$ , walk the resulting graph along its Euler-tour and shortcut all vertices not belonging to  $\mathcal{L}^j$ . In this way we obtain the tree  $T_{\text{OPT}}^j$ —a spanning tree of  $\mathcal{L}^j$  with cost at most 2OPT. Clearly  $\bigcup_{j=0}^{m-1} T_{\text{OPT}}^j$  is a spanning tree for X with cost at most 2mOPT.

Let xy be an edge of  $T_{\text{OPT}}^{j}$  such that x and y belong to different layers, that is,  $x \in L_{i}(X), y \in L_{i'}(X), i < i'$ . Then  $i' \ge i + m$  and due to Lemma 31:

$$d(y,r) \ge r_{i'} \ge (1+D(\tau))r_i \ge r_i + d(x,r).$$

Therefore  $d(x, y) \ge r_i$  and, as  $d(x, r) \le D(\tau)r_i$ ,  $d(x, r) \le D(\tau)d(x, y)$ . Moreover:

$$d(y,r) \le d(x,y) + d(x,r) \le d(x,y) + D(\tau)r_i \le (1+D(\tau))d(x,y).$$

Therefore by replacing xy by one of the edges xr or yr, we increase the cost of this edge at most  $(1 + D(\tau))$  times. If we replace all such edges xy in all  $T_{\text{OPT}}^j$  for  $0 \le j < m$ , we obtain a layered spanning tree of X with cost at most  $2m(1 + D(\tau))$ OPT.

Our strategy is to construct an O(1)-approximation  $T_i(X)$  to MST for each layer separately and connect all these trees to r, which gives an O(1)-approximation to MST for X by the above lemma. The spanning tree  $T_i(X)$  is constructed using a sparse spanning graph  $G_i(X)$  of  $L_i(X)$ . In order to build  $G_i(X)$  we investigate the levels  $\mathbb{T}$ at which the sets containing vertices of  $L_i(X)$  meet each other. The following technical Lemma shows that we can extract this information efficiently:

**Lemma 44.** Let  $x \in X$  and let  $i \leq j$  be levels of  $\mathbb{T}$ . Then, for each level of  $\mathbb{T}$  in range [i, j], we can find all sets known to x, in total time  $O(\log \log \log \texttt{stretch} + \log \log n + \lambda^{\eta+3}(j-i))$ . If we are given level  $i_0$  such that there exists a meeting (possibly not involving x) at level  $i_0$ , the above query takes  $O((\log \eta + \log \log \lambda) \log \log n + |i_0 - i| + \lambda^{\eta+3}(j-i))$  time.

To perform these queries, the tree needs to be equipped with additional information of size  $O(\lambda^{2\eta+6}n)$ .

*Proof.* First we perform jump(x, i) and, starting with the returned meeting, we go up the tree, one meeting at a time, until we reach level j. In this way, we iterate over all meetings of x between levels i and j. We store in the tree, for each meeting (S, S', i'), the current set of acquaintances of S and S'. The answer to our query can be retrieved directly from this information. Also note that this extra information takes  $O(\lambda^{2\eta+6}n)$  space, as there are at most  $(2n-1)\lambda^{\eta+3}$  meetings and each set knows at most  $\lambda^{\eta+3}$  other sets at any fixed level. If we are given level  $i_0$ , we may simply perform  $jump(x, i_0)$  and walk the tree to level i.

**Theorem 45.** Given the compressed tree  $\hat{\mathbb{T}}(V)$  and a subset  $X \subseteq V$  one can construct an O(1)-approximation T to MST in time  $O(k(\log \log n + \log k))$ .

*Proof.* Designate any  $r \in X$  as the root of the tree. Split all the remaining vertices into layers  $L_i(X)$ . For each nonempty layer pick a single edge connecting a vertex in this layer to r and add it T. Furthermore, add to T an approximate MST for each layer, denoted  $T_i(X)$ , constructed as follows.

Consider a layer  $L_i(X)$  with  $k_i > 0$  elements. We construct a sparse auxiliary graph  $G_i(X)$  with  $L_i(X)$  as its vertex set. We use Lemma 44 to find for each vertex  $x \in L_i(X)$  and every level in  $l \in [i - \log_{\tau} k + 1, i + 1 + \log_{\tau}(2D(i))]$  all the sets known to x at level l in T. Using level i + 1 = meet(x, r) as the anchor  $i_0$ , this can be done in  $O(\log \log n + \log k)$  time per element  $x \in L_i(X)$ . Using this information we find, for every l as above and every set S at level l known to at least one  $x \in L_i(X)$ , a bucket  $B_{l,S}$ . This bucket contains all  $x \in L_i(X)$  that know S at level l. Note that the total size of the buckets is  $O(k_i \log k)$ , because we are scanning  $O(\log k)$  levels, and a vertex can only know O(1) sets at each level. Now we are ready to define the edges of  $E(G_i(X))$ . For every bucket  $B_{l,S}$ , we add to  $E(G_i(X))$  an arbitrary path through all elements in  $B_{l,S}$ . We also assign to each edge on this path a weight of  $2D(\tau)r_{l-1}$ .

Since the total size of the buckets is  $O(k_i \log k)$ , we also have that  $G_i(X)$  has  $O(k_i \log k)$  edges. We let  $T_i(X)$  be the MST of  $G_i(X)$ . Note that  $T_i(X)$  can be found in time  $O(k_i \log k)$  by the following adjustment of the Kruskal's algorithm. If we consider buckets ordered in the increasing order of l, the edges on the paths are given in the increasing order of their lengths. At every step of Kruskal's algorithm, we keep current set of connected components of  $T_i(X)$  as an array, where every  $x \in L_i(X)$  knows the ID of its component. We also keep the size of each component. Whenever two components are joined by a new edge, the new component inherits ID from the bigger subcomponent. This ensures that for every  $x \in L_i(X)$  we change its ID at most  $\lceil \log k_i \rceil$  times.

We now claim that

**Lemma 46.** The total weight of  $T_i(X)$  is  $O(1)(OPT_{L_i(X)} + r_i)$ , where  $OPT_{L_i(X)}$  is the weight of the MST for  $L_i(X)$ .

*Proof (Proof of Lemma 46).* First, note that the Kruskal's algorithm connects the whole bucket  $B_{l,S}$  when considering edges of length  $2D(\tau)r_{l-1}$ . Therefore we may modify graph  $G_i(X)$  to  $G'_i(X)$  such that all pairs of vertices in  $B_{l,S}$  are connected by edges of length  $2D(\tau)r_{l-1}$ , without changing the weight of the MST. Let  $d_i$  be the metric in  $G'_i(X)$ . By Lemma 31,  $d_i(x,y) \ge d(x,y)$ , as the sets containing x and y at level, where x and y are not placed in the same bucket, do not know each other. If  $d(x,y) < r_{i-\log_{\tau} k}$ , then  $d_i(x,y) = 2D(\tau)r_{i-\log_{\tau} k} = 2D(\tau)r_i/k$ , as both x and y meet in some bucket at level  $i - \log_{\tau} k + 1$ . Otherwise, if  $d(x,y) \ge r_{i-\log_{\tau} k}$ , by Lemma 31 again,  $d_i(x,y) \le 2D(\tau)d(x,y)$ , as both x and y know S at level l.

Let us replace metric d by d' defined as follows: for  $x \neq y, d'(x, y) = 2D(\tau)d(x, y)$ if  $d(x, y) \geq r_{i-\log_{\tau} k}$  and  $d'(x, y) = r_{i-\log_{\tau} k}$  otherwise. Clearly  $d(x, y) \leq d_i(x, y) \leq d'(x, y)$ . Note that d' satisfies the following condition: for each  $x, y, x', y' \in L_i(X)$ ,  $d(x, y) \leq d(x', y')$  iff  $d'(x, y) \leq d'(x', y')$ . Therefore, the Kruskal's algorithm for MST in  $(L_i(X), d)$  chooses the same tree  $T_{\text{OPT}}$  as when run on  $(L_i(X), d')$ . Let  $d(T_{\text{OPT}})$  $(d_i(T_{\text{OPT}}), d'(T_{\text{OPT}}))$  denote the weight of  $T_{\text{OPT}}$  with respect to metric d (resp.  $d_i$  and d'). Let us now bound  $d'(T_{\text{OPT}})$ .  $T_{\text{OPT}}$  consists of  $k_i - 1$  edges, so the total cost of edges xy such that  $d(x, y) < r_{i-\log_{\tau} k}$  is at most  $(k_i - 1)2D(\tau)\frac{r_i}{k} < 2D(\tau)r_i$ . Other edges cost at most  $D(\tau)$  times more than when using metric d, so in total  $d'(T_{\text{OPT}}) \leq$  $2D(\tau)(r_i + d(T_{\text{OPT}}))$ . As  $d_i(T_{\text{OPT}}) \leq d'(T_{\text{OPT}})$ , and Kruskal's algorithm finds minimum MST with respect to  $d_i$ , the lemma is proven.

Now we are ready to prove that T is an O(1)-approximation of MST for X. Let OPT be the weight of MST for X, and OPT<sub>L</sub> be the weight of the optimal layered MST of X. We know that OPT<sub>L</sub>  $\leq O(1)$ OPT by Lemma 43.

The optimal solution has to connect r with the vertex  $x \in X$  which is the furthest from r. We have  $d(r, x) \ge r_{\max}$ , where  $r_{\max} = r_i$  for the biggest i with non-empty  $L_i(X)$ . It follows that OPT  $\ge r_{\max} \ge O(1) \sum_i r_i$ , because the  $r_i$ -s form a geometric sequence. Thus, the cost of connecting all layers to r is bounded by O(1)OPT. Moreover, Lemma 46 implies that sum of the weights of all  $T_i(X)$ -s is bounded by:

$$O(1)\sum_{i} \left(r_{i} + \operatorname{OPT}_{L_{i}(X)}\right) \leq O(1)\left(\operatorname{OPT} + \operatorname{OPT}_{L}\right) \leq O(1)\operatorname{OPT}$$

Thus the constructed tree T is an O(1)-approximation of the MST of X.

#### F.2 Dynamic Minimum Spanning Tree

The dynamic approximation algorithm for MST builds on the ideas from the previous subsection. However, we tackle the following obstacles:

- we do not have a fixed root vertex around which the layers could be constructed,
- the number of distance levels considered when building auxiliary graphs is dependent on k, and as such can change during the execution of the algorithm, and finally,
- we need to compute the minimum spanning trees in auxiliary graphs dynamically.

The following theorem shows that all of these problems can be solved successfully.

**Theorem 47 (Theorem 20 restated).** Given the compressed tree  $\mathbb{T}(V)$ , we can maintain an O(1)-approximate Minimum Spanning Tree for a subset X subject to insertions and deletions of vertices. The insert operation works in  $O(\log^5 k + \log \log n)$  time and the delete operation works in  $O(\log^5 k)$  time, k = |X|. Both times are expected and amortized.

*Proof.* The basic idea is to maintain the layers and the auxiliary graphs described in the proof of Theorem 45. However, since we are not guaranteed that any vertex is going to permanently stay in X, we might need to occasionally recompute the layers and graphs from scratch, namely when our current root is removed. However, if we always pick root randomly, the probability of this happening as a result of any given operation is  $\leq \frac{1}{k}$  and so it will not affect our time bounds. It does, however, make them randomized.

The number of distance levels considered for each layer is  $\log_{\tau} k + O(1)$  and so it might change during the execution of the algorithm. This can be remedied in many different ways, for example we might recompute all the data structures from scratch every time k changes by a given constant factor.

The above remarks should make it clear that we can actually maintain the layer structure and the auxiliary graph (as a collection of paths in non–empty buckets  $B_{l,S}$ ) for each layer with low cost (expected and amortized) per update. We now need to show how to use these structures to dynamically maintain a spanning tree. We use the algorithm of de Lichtenberg, Holm and Thorup (LHT) [13] that maintains a minimum spanning tree in a graph subject to insertions and deletions of edges, both in time  $O(\log^4 n)$ , where *n* is the number of vertices.

We are going to use the LHT algorithm for each auxiliary graph separately. Note that inserting or deleting a vertex corresponds to inserting or deleting  $O(\log k)$  edges to this graph, as every vertex is in  $O(\log k)$  buckets.

In case of insertion of a vertex x, we need  $O(\log \log n)$  time to perform meet(v, root)and find the appropriate layer. Non-empty layers and their non-empty buckets may be stored in a dictionary, so the search for a fixed layer or a fixed bucket is performed in  $O(\log k)$  time. Having appropriate layer, we insert x into all known buckets, taking  $O(\log^4 k)$  time to update edges in each bucket. Therefore the insert operation works in expected and amortized time  $O(\log^5 k + \log \log n)$ .

In case of deletion of a vertex x, we may maintain for each vertex in X a list of its occurrences in buckets, and in this way we may fast access incident edges. For each occurrence, we delete two incident to x edges and connect the neighbors of x. Therefore the delete operation works in expected and amortized time  $O(\log^5 k)$ .