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Marc Joye · Michael Tunstall  
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# Fault Analysis in Cryptography

*Editors*

Marc Joye  
Technicolor  
1 avenue de Belle Fontaine  
Cesson-Sévigné Cedex  
35576  
France

Michael Tunstall  
Department of Computer Science  
University of Bristol  
Woodland Road  
Bristol  
BS8 1UB  
UK

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*Juliette Joye*

# Foreword

Fault attacks is an active area of research in cryptography, currently explored in hundreds of research papers and dedicated conferences. This book is the first comprehensive treatment of the subject covering both the theory and practice of these attacks as well as defense techniques.

Fault attacks exploit the fact that computers sometimes make mistakes. These mistakes can result from a programming error, as in the case of the infamous Intel floating-point bug. Or they can result from direct interference by an attacker, say by running the computer in a hostile environment. This book explores what happens to cryptographic algorithms when the computer implementing the algorithm makes a calculation error. Very often these errors, called faults, can have disastrous consequences, rendering the system completely insecure. As an extreme example, a single mistake during the calculation of an RSA digital signature can completely expose the signer's secret key to anyone who obtains the faulty signature. Over the years it has been shown that a wide range of cryptographic algorithms succumb to fault attacks. This book does a beautiful job of presenting powerful fault attacks against a wide range of systems.

Preventing fault attacks without sacrificing performance is nontrivial. Over the years a number of innovative ideas have been proposed for efficiently verifying cryptographic computations. Many defense strategies are described in the book, some of which are already deployed in real-world cryptographic libraries. Nevertheless, many implementations remain vulnerable. I was thrilled to see the material covered in the book and hope that it will make fault defense the standard practice in the minds of developers.

Dan Boneh  
Stanford University

# Preface

One of the first examples of fault injection in microprocessors was unintentional. May and Woods noticed that radioactive particles produced by elements present in the packaging materials used to protect microprocessors were energetic enough to cause faults [277]. Specifically, it was observed that  $\alpha$  particles were released by uranium-235, uranium-238, and thorium-230 residues present in the packaging, decaying to lead-206. These particles were able to create a large enough charge that bits in sensitive areas of a chip could be made to flip. These elements were only present in two or three parts per million, but this concentration was sufficient to change the behavior of a microprocessor.

Further research into the physical effects that could affect the behavior of microprocessors included studying and simulating the effects of cosmic rays on semiconductors [435]. While the effect of cosmic rays are very weak at ground level because of the Earth's atmosphere, their effect becomes more pronounced in the upper atmosphere and outer space. This is important as faults in airborne electronic systems have potentially catastrophic consequences. This provoked research by organizations such as NASA and Boeing to “harden” electronic devices so that they are able to operate in harsh environments.

Since then other physical means of inducing errors have been discovered but all of these have had somewhat similar effect. In 1992, for example, Habing determined that a laser beam could be used to imitate the effect of charge particles on microprocessors [173]. The different faults that can be produced have been characterized to enable the design of suitable protection mechanisms.

The first academic publication that discussed using such a fault to intentionally break a cryptographic algorithm was described by Boneh, DeMillo, and Lipton in 1997 [56]. It was observed, among other things, that an implementation of RSA that uses the Chinese Remainder Theorem to compute a modular exponentiation is very sensitive to fault attacks (see [Sect. 8.2](#)). A similar publication followed this that described a fault to intentionally break a secret key cryptographic algorithm [49]. More specifically, this attack applied techniques from differential cryptanalysis that would allow an attacker to exploit a fault to break an implementation of DES (see [Sect. 3.3](#)).

Aumüller, Bier, Fischer, Hofreiter, and Seifert published the first academic paper detailing an implementation of one of these attacks [18]. They describe an implementation of the attack by Boneh et al. breaking an implementation of RSA computed using the Chinese Remainder Theorem.

Since then numerous attacks and countermeasures have been proposed and implemented. This book presents a summary of the state of the art in the theoretical and practical aspects of fault analysis and countermeasures. *Happy reading!*

Rennes (France), Bristol (UK), April 2011

Marc Joye  
Michael Tunstall

# Contents

## Part I Introductory Material

- 1 Side-Channel Analysis and Its Relevance to Fault Attacks . . . . . 3**  
Elisabeth Oswald and François-Xavier Standaert

## Part II Fault Analysis in Secret Key Cryptography

- 2 Attacking Block Ciphers. . . . . 19**  
Christophe Clavier
- 3 Differential Fault Analysis of DES. . . . . 37**  
Matthieu Rivain
- 4 Differential Fault Analysis of the Advanced  
Encryption Standard . . . . . 55**  
Christophe Giraud
- 5 Countermeasures for Symmetric Key Ciphers. . . . . 73**  
Jörn-Marc Schmidt and Marcel Medwed
- 6 On Countermeasures Against Fault Attacks  
on the Advanced Encryption Standard . . . . . 89**  
Kaouthar Bousselam, Giorgio Di Natale, Marie-Lise Flottes  
and Bruno Rouzeyre



### Part III Fault Analysis in Public Key Cryptography

<b>7</b>	<b>A Survey of Differential Fault Analysis Against Classical RSA Implementations. . . . .</b>	<b>111</b>
	Alexandre Berzati, Cécile Canovas-Dumas and Louis Goubin	
<b>8</b>	<b>Fault Attacks Against RSA-CRT Implementation . . . . .</b>	<b>125</b>
	Chong Hee Kim and Jean-Jacques Quisquater	
<b>9</b>	<b>Fault Attacks on Elliptic Curve Cryptosystems . . . . .</b>	<b>137</b>
	Abdulaziz Alkhoraidly, Agustín Domínguez-Oviedo and M. Anwar Hasan	
<b>10</b>	<b>On Countermeasures Against Fault Attacks on Elliptic Curve Cryptography Using Fault Detection. . . . .</b>	<b>157</b>
	Arash Hariri and Arash Reyhani-Masoleh	
<b>11</b>	<b>Design of Cryptographic Devices Resilient to Fault Injection Attacks Using Nonlinear Robust Codes. . . . .</b>	<b>171</b>
	Kahraman D. Akdemir, Zhen Wang, Mark Karpovsky and Berk Sunar	
<b>12</b>	<b>Lattice-Based Fault Attacks on Signatures. . . . .</b>	<b>201</b>
	Phong Q. Nguyen and Mehdi Tibouchi	
<b>13</b>	<b>Fault Attacks on Pairing-Based Cryptography. . . . .</b>	<b>221</b>
	Nadia El Mrabet, Dan Page and Frederik Vercauteren	

### Part IV Miscellaneous

<b>14</b>	<b>Fault Attacks on Stream Ciphers . . . . .</b>	<b>239</b>
	Alessandro Barengi and Elena Trichina	
<b>15</b>	<b>Interaction Between Fault Attack Countermeasures and the Resistance Against Power Analysis Attacks . . . . .</b>	<b>257</b>
	Francesco Regazzoni, Luca Breveglieri, Paolo Ienne and Israel Koren	

**Part V   Implementing Fault Attacks**

**16   Injection Technologies for Fault Attacks on Microprocessors . . . .**    275  
Alessandro Barengi, Guido M. Bertoni, Luca Breveglieri,  
Mauro Pelliccioli and Gerardo Pelosi

**17   Global Faults on Cryptographic Circuits . . . . .**    295  
Sylvain Guilley and Jean-Luc Danger

**18   Fault Injection and Key Retrieval Experiments  
on an Evaluation Board . . . . .**    313  
Junko Takahashi, Toshinori Fukunaga, Shigeto Gomisawa,  
Yang Li, Kazuo Sakiyama and Kazuo Ohta

**References . . . . .**    333

# Contributors

**Kahraman D. Akdemir** Worcester Polytechnic Institute, USA

**Abdulaziz Alkhoraidly** University of Waterloo, Waterloo, Canada

**Alessandro Barengi** Politecnico di Milano, Italy

**Alexandre Berzati** CEA Leti, France

**Guido M. Bertoni** STMicroelectronics, Italy

**Kaouthar Bouselam** Université de Montpellier II, France

**Luca Breveglieri** Politecnico di Milano, Milan, Italy

**Cécile Canovas-Dumas** CEA Leti, France

**Christophe Clavier** XLIM & Université de Limoges, France

**Jean-Luc Danger** Telecom ParisTech, France

**Giorgio Di Natale** LIRMM / CNRS, France

**Agustín Domínguez-Oviedo** Tecnológico de Monterrey, Mexico

**Nadia El Mrabet** Université de Caen, France

**Marie-Lise Flottes** LIRMM / CNRS, France

**Toshinori Fukunaga** NTT Information Sharing Platform Laboratories, Japan

**Christophe Giraud** Oberthur Technologies, France

**Shigeto Gomisawa** The University of Electro-Communications, Japan

**Louis Goubin** Université de Versailles Saint-Quentin-en-Yvelines, France

**Sylvain Guilley** Telecom ParisTech, France

**Arash Hariri** The University of Western Ontario, Canada

- M. Anwar Hasan** University of Waterloo, Waterloo, Canada
- Paolo Ienne** École Polytechnique Fédérale de Lausanne, Switzerland
- Mark Karpovsky** Boston University, USA
- Chong Hee Kim** Université Catholique de Louvain, Belgium
- Israel Koren** University of Massachusetts, USA
- Yang Li** The University of Electro-Communications, Japan
- Marcel Medwed** Université Catholique de Louvain, Belgium; Graz University of Technology, Austria
- Phong Q. Nguyen** École Normale Supérieure, France
- Kazuo Ohta** The University of Electro-Communications, Japan
- Elisabeth Oswald** University of Bristol, UK
- Dan Page** University of Bristol, UK
- Mauro Pelliccioli** Politecnico di Milano, Italy
- Gerardo Pelosi** Politecnico di Milano, Italy
- Jean-Jacques Quisquater** Université Catholique de Louvain, Belgium
- Francesco Regazzoni** Université Catholique de Louvain, Belgium; University of Lugano, Switzerland
- Arash Reyhani-Masoleh** The University of Western Ontario, Canada
- Matthieu Rivain** CryptoExperts, France
- Bruno Rouzeyre** Université de Montpellier II, France
- Kazuo Sakiyama** The University of Electro-Communications, Japan
- Jörn-Marc Schmidt** Graz University of Technology, Austria
- François-Xavier Standaert** Université Catholique de Louvain, Belgium
- Berk Sunar** Worcester Polytechnic Institute, USA
- Junko Takahashi** NTT Information Sharing Platform Laboratories, The University of Electro-Communications, Japan
- Mehdi Tibouchi** École Normale Supérieure, France
- Elena Trichina** STMicroelectronics, Italy
- Frederik Vercauteren** Katholieke Universiteit Leuven, Belgium
- Zhen Wang** Boston University, USA