Joint Cache Partition and Job Assignment on Multi-Core Processors*

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Abstract

Multicore shared cache processors pose a challenge for designers of embedded systems who try to achieve minimal and predictable execution time of workloads consisting of several jobs. To address this challenge the cache is statically partitioned among the cores and the jobs are assigned to the cores so as to minimize the makespan. Several heuristic algorithms have been proposed that jointly decide how to partition the cache among the cores and assign the jobs. We initiate a theoretical study of this problem which we call the joint cache partition and job assignment problem.

By a careful analysis of the possible cache partitions we obtain a constant approximation algorithm for this problem. For some practical special cases we obtain a 2-approximation algorithm, and show how to improve the approximation factor even further by allowing the algorithm to use additional cache. We also study possible improvements that can be obtained by allowing dynamic cache partitions and dynamic job assignments.

We define a natural special case of the well known scheduling problem on unrelated machines in which machines are ordered by "strength". Our joint cache partition and job assignment problem generalizes this scheduling problem which we think is of independent interest. We give a polynomial time algorithm for this scheduling problem for instances obtained by fixing the cache partition in a practical case of the joint cache partition and job assignment problem where job loads are step functions.

1 Introduction

We study the problem of assigning n jobs to c cores on a multi-core processor, and simultanously partitioning a shared cache of size K among the cores. Each job j is given by a non-increasing function $T_j(x)$ indicating the running time of job j on a core with cache of size x. A solution is a cache partition p, assigning p(i) cache to each core i, and a job assignment S assigning each job jto core S(j). The total cache allocated to the cores in the solution is K, that is $\sum_{i=1}^{c} p(i) = K$. The makespan of a cache partition p and a job assignment S is $\max_i \sum_{j|S(j)=i} T_j(p(i))$. Our goal is to find a cache partition and a job assignment that minimize the makespan.

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Multi-core processors are the prevalent computational architecture used today in PC's, mobile devices and high performance computing. Having multiple cores running jobs concurrently, while sharing the same level 2 and/or level 3 cache, results in complex interactions between the jobs, thereby posing a significant challenge in determining the makespan of a set of jobs. Cache partitioning has emerged as a technique to increase run time predictability and increase performance on multi-core processors [8, 14]. Theoretic research on online multi-core caching shows that the cache partition (which may be dynamic) has more influence on the performance than the eviction policy [5, 12]. To obtain effective cache partitions, methods have been developed to estimate the running time of jobs as a function of allocated cache, that is the functions $T_j(x)$ (see for example the cache locking technique of [10]).

Recent empirical research [11, 9] suggests that jointly solving for the cache partition among the cores and for the job assignment to cores leads to significant improvements over combining separate algorithms for the two problems. The papers [11, 9] suggest and test heuristic algorithms for the joint cache partition and job assignment problem. Our work initiates the theoretic study of this problem.

We study this problem in the context of multi-core caching, but our formulation and results are applicable in a more general setting, where the running time of a job depends on the availability of some shared resource (cache, CPU, RAM, budget, etc.) that is allocated to the machines. This setting is applicable, for example, for users of a public cloud infrastructure like Amazon's Elastic Cloud. When a user decides on her public cloud setup, there is usually a limited resource (e.g. budget), that can be spent on different machines in the cloud. The more budget is spent on a machine, it runs jobs faster and the user is interested in minimizing the makespan of its set of jobs, while staying within the given budget.

Related Work: Theoretic study of multi-core caching have shown that traditional online paging algorithms are not competitive in the multi-core scenario [5, 12]. Both papers [5, 12] show that the offline decision version of the caching problem is NP-complete, in slightly different models. Much of the difficulty in designing competitive online algorithms for multi-core caching stems from the fact that the way in which the request sequences of the different cores interleave is dependent on the algorithm. An algorithm with good competitive ratio is obtained in [1], when the interleaving of the request sequences is fixed.

For related work on scheduling see Section 2.

Our results: We present a 36-approximation algorithm for the joint cache partition and job assignment problem in Section 3. We obtain this algorithm by showing that it suffices to consider a subset of polynomial size of the cache partitions.

We obtain better approximation guarantees for special cases of the joint cache partition and job assignment problem.

When each job has a fixed running time and a minimal cache demand, we present , in Section 4, a 2-approximation algorithm, a $\frac{3}{2}$ -approximation algorithm that uses 2K cache and a $\frac{4}{3}$ -approximation algorithm that uses 3K cache. We call this problem the *single load minimal cache demand* problem. Our $\frac{4}{3}$ -approximation algorithm is based on an algorithm presented in Section 4.5 that finds a dominant perfect matching in a threshold graph that has a perfect matching. This algorithm and the existence of such a matching are of independent interest.

We present in Section 4.6 a polynomial time approximation scheme for a special case of the single load minimal cache demand problem, in which there is a correlation between the jobs' loads and cache demands. Such a model is inspired by practical cases where there is an underlying notion

of a job's "hardness" that affects both its load and its cache demand.

We study, in Section 5, the case where the load functions of the jobs, $T_j(x)$, are step functions. That is, job j takes l_j time to run if given at least x_j cache, and otherwise it takes $h_j > l_j$ time. For the case where there are a constant number of different l_j 's and h_j 's we reduce the problem to the single load minimal cache demand problem and thereby obtain the same approximation results as for that problem (Section 5).

We define the problem of scheduling on ordered unrelated machines, a natural special case of the classical job scheduling problem on unrelated machines. In this problem there is a total order on the machines which captures their relative strength. Each job has a different running time on each machine and these running times are non-increasing with the strength of the machine. We show a reduction from this problem to the joint cache partition and job assignment problem. We also give a polynomial time dynamic programming algorithm for a special case of this problem that arises when we fix the cache partition in the special case where the number of l_j s and h_j s is constant (Section 5).

In section 6 we generalize the joint cache partition and job assignment problem and consider dynamic cache partitions and dynamic job schedules. We show upper and lower bounds on the makespan improvement that can be gained by using dynamic partitions and dynamic assignments.

2 The ordered unrelated machines problem

The ordered unrelated machines scheduling problem is defined as follows. There are c machines and a set J of jobs. The input is a matrix T(i, j) giving the running time of job j on machine i, such that for each two machines $i_1 < i_2$ and any job j, $T(i_1, j) \ge T(i_2, j)$. The goal is to assign the jobs to the machines such that the makespan is minimized.

The ordered unrelated machines scheduling problem is a special case of scheduling on unrelated machines in which there is a total order on the machines that captures their relative strengths. This special case is natural since in many practical scenarios the machines have some underlying notion of strength and jobs run faster on a stronger machine. For example a newer computer typically dominates an older one in all parameters, or a more experienced employee does any job faster than a new recruit.

Lenstra et al [7] gave a 2 approximation algorithm for scheduling on unrelated machines based on rounding an optimal fractional solution to a linear program, and proved that it is NP-hard to approximate the problem to within a factor better than $\frac{3}{2}$. Shchepin and Vakhania [15] improved Lenstra's rounding technique and obtained a $2 - \frac{1}{c}$ approximation algorithm. It is currently an open question if there are better approximation algorithms for ordered unrelated machines than the more general algorithms that approximate unrelated machines.

Another well-studied scheduling problem is scheduling on uniformly related machines. In this problem, the time it takes for machine *i* to run job *j* is $\frac{l_j}{s_i}$ where l_j is the load of job *j* and s_i is the speed of machine *i*. A polynomial time approximation scheme for related machines is described in [6]. It is easy to see that the problem of scheduling on related machines is a special case of the problem of scheduling on ordered unrelated machines, and therefore the ordered unrelated machines problem is NP-hard.

The ordered unrelated machines problem is closely related to the joint cache partition and job assignment problem. Consider an instance of the joint cache partition and job assignment problem with c cores, K cache and a set of jobs J such that $T_i(x)$ is the load function of job

j. If we fix the cache partition to be some arbitrary partition p, and we index the cores in nondecreasing order of their cache allocation, then we get an instance of the ordered unrelated machines problem, where $T(i, j) = T_j(p(i))$. Our constant approximation algorithm for the joint cache partition and job assignment problem, described in Section 3, uses this observation as well as Lenstra's 2-approximation for unrelated machines. In the rest of this section we prove that the joint cache partition and job assignment problem is at least as hard as the ordered unrelated machines scheduling problem.

We reduce the ordered unrelated machine problem to the joint cache partition and job assignment problem. Consider the decision version of the ordered unrelated scheduling problem, with c machines and n = |J| jobs, where job j takes time T(i, j) to run on machine i. We want to decide if it is possible to schedule the jobs on the machines with makespan at most M.

Define the following instance of the joint cache partition and job assignment problem. This instance has c cores, a total cache K = c(c+1)/2 and n' = n+c jobs. The first n jobs $(1 \le j \le n)$ correspond to the jobs in the original ordered unrelated machines problem, and c jobs are new jobs $(n+1 \le j \le n+c)$. The load function $T_j(x)$ of job j, where $1 \le j \le n$, equals T(x,j) if $x \le c$ and equals T(c,j) if x > c. The load function $T_j(x)$ of job j, where $n+1 \le j \le n+c$, equals $M + \delta$ if $x \ge j - n$ for some $\delta > 0$ and equals ∞ if x < j - n. Our load functions $T_j(x)$ are non-increasing because the original T(i, j)'s are non-increasing in the machine index i.

Lemma 2.1. The makespan of the joint cache partition and job assignment instance defined above is at most $2M + \delta$ if and only if the makespan of the original unrelated scheduling problem is at most M.

Proof. Assume there is an assignment S' of the jobs in the original ordered unrelated machines instance of makespan at most M. We show a cache partition p and job assignment S for the joint cache partition and job assignment instance with makespan at most $2M + \delta$.

The cache partition p is defined such that p(i) = i for each core i. The partition p uses exactly K = c(c+1)/2 cache. The job assignment S is defined such that for a job j > n, S(j) = j - n and for a job $j \le n$, S(j) = S'(j). The partition p assigns i cache to core i, which is exactly enough for job n + i, which is assigned to core i by S, to run in time $M + \delta$. It is easy to verify that p, S is a solution to the joint cache partition and job assignment instance with makespan at most $2M + \delta$.

Assume there is a solution p, S for the joint cache partition and job assignment instance, with makespan at most $2M + \delta$. Job j, such that $n < j \le n + c$, must run on a core with cache at least j - n, or else the makespan would be infinite. Moreover, no two jobs $j_1 > n$ and $j_2 > n$ are assigned by S the same core, as this would give a makespan of at least $2M + 2\delta$. Combining these observations with the fact that the total available cache is K = c(c+1)/2, we get that the cache partition must be p(i) = i for each core i. Furthermore, each job j > n is assigned by S to core j - n and all the other jobs assigned by S to core j - n are jobs corresponding to original jobs in the ordered unrelated machines instance. Therefore, the total load of original jobs assigned by Sto core i is at most M.

We define S', a job assignment for the original ordered unrelated machines instance, by setting S'(j) = S(j) for each $j \leq n$. Since S assigns original jobs of total load at most M on each core, it follows that the makespace of S' is at most M.

The following theorem follows immediately from Lemma 2.1

Theorem 2.2. There is a polynomial-time reduction from the ordered unrelated machines scheduling problem to the joint cache partition and job assignment problem. The reduction in the proof of Lemma 2.1 does not preserve approximation guarantees. However by choosing δ carefully we can get the following result.

Theorem 2.3. Given an algorithm A for the joint cache partition and job assignment problem that approximates the optimal makespan up to a factor of $1 + \epsilon$, for $0 < \epsilon < 1$, we can construct an algorithm for the ordered unrelated machines scheduling problem that approximates the optimal makespan up to a factor of $1 + 2\epsilon + \frac{2\epsilon^2}{1-\epsilon-\chi}$ for any $\chi > 0$.

Proof. We first obtain a $\left(1 + 2\epsilon + \frac{2\epsilon^2}{1-\epsilon-\chi}\right)$ -approximation algorithm for the decision version of the ordered unrelated machines scheduling problem. That is, an algorithm that given a value M, either decides that there is no assignment of makespan M or finds an assignment with makespan $\left(1 + 2\epsilon + \frac{2\epsilon^2}{1-\epsilon-\chi}\right)M$.

Given an instance of the ordered unrelated machines scheduling problem, we construct an instance of the joint cache partition and job assignment as described before lemma 2.1, and set $\delta = \frac{2\epsilon M}{1-\epsilon-\chi}$, for an arbitrarily small $\chi > 0$. We use algorithm A to solve the resulting instance of the joint cache partition and job assignment problem. Let p, S be the solution returned by A. We define S'(j) = S(j) for each $1 \leq j \leq n$. If the makespan of S' is at most $\left(1 + 2\epsilon + \frac{2\epsilon^2}{1-\epsilon-\chi}\right)M$ we return S' as the solution and otherwise decide that there is no solution with makespan at most M.

If the makespan of the original instance is at most M, then by lemma 2.1 there is a solution to the joint cache partition and job assignment instance resulting from the reduction, with makespan at most $2M + \delta$. Therefore p, S, the solution returned by algorithm A, is of makespan at most $(1 + \epsilon)(2M + \delta)$.

By our choice of δ we have that $(1 + \epsilon)(2M + \delta) < 2M + 2\delta$ and therefore each core is assigned by S at most one job j, such that j > n. In addition, any job j such that $n < j \le n + c$, must run on a core with cache at least j - n, or else the makespan would be infinite. Combining these observations with the fact that the total available cache is K = c(c+1)/2, we get that the cache partition must be p(i) = i for each core i. Furthermore, each job j > n is assigned by S to core j - n and all the other jobs assigned by S to core j - n are jobs corresponding to original jobs in the ordered unrelated machines instance. Therefore, the total load of original jobs assigned by S to core i is at most $(1 + \epsilon)(2M + \delta) - M - \delta$. It follows that the makespan of S' is at most $(1 + \epsilon)(2M + \delta) - M - \delta = M\left(1 + 2\epsilon + \frac{2\epsilon^2}{1-\epsilon-\chi}\right)$. We obtained a $\left(1 + 2\epsilon + \frac{2\epsilon^2}{1-\epsilon-\chi}\right)$ -approximation algorithm for the decision version of the ordered

We obtained a $\left(1 + 2\epsilon + \frac{2\epsilon^2}{1-\epsilon-\chi}\right)$ -approximation algorithm for the decision version of the ordered unrelated machines scheduling problem. In order to approximately solve the optimization problem, we can perform a binary search for the optimal makespan using the approximation algorithm for the decision version of the problem and get a $\left(1 + 2\epsilon + \frac{2\epsilon^2}{1-\epsilon-\chi}\right)$ -approximation algorithm for the optimization problem. We obtain an initial search range for the binary search by using $\sum_{j=1}^{n} T(c, j)$ as an upper bound on the makespan of the optimal schedule and $\frac{1}{c}\sum_{j=1}^{n} T(c, j)$ as a lower bound. (See section 4.4 for a detailed discussion of a similar case of using an approximate decision algorithm in a binary search framework to obtain an approximate optimization algorithm.)

3 A constant approximation algorithm

We first obtain an 18-approximation algorithm that for the joint cache partition and job assignment problem that uses $(1 + \frac{5}{2}\epsilon)K$ cache for some constant $0 < \epsilon < \frac{1}{2}$. We then show another algorithm that uses K cache and approximates the makespan up to a factor of 36.

Our first algorithm, denoted by A, enumerates over a subset of cache partitions, denoted by $P(K, c, \epsilon)$. For each partition in this set A approximates the makespan of the corresponding scheduling problem, using Lenstra's algorithm, and returns the partition and associated job assignment with the smallest makespan.

Let $K' = (1 + \epsilon)^{\lceil \log_{1+\epsilon}(K) \rceil}$, the smallest integral power of $(1 + \epsilon)$ which is at least K. The set $P(K, c, \epsilon)$ contains cache partitions in which the cache allocated to each core is an integral power of $(1 + \epsilon)$ and the number of different integral powers used by the partition is at most $\log_2(c)$. We denote by b the number of different cache sizes in a partition. Each core is allocated $\frac{K'}{(1+\epsilon)^{l_j}}$ cache, where $l_j \in \mathbb{N}$ and $1 \leq j \leq b$. The smallest possible cache allocated to any core is the smallest integral power of $(1 + \epsilon)$ which is at least $\frac{K\epsilon}{c}$ and the largest possible cache allocated to a core is K'. We denote by $\hat{\sigma}_j$ the number of cores with cache at least $\frac{K'}{(1+\epsilon)^{l_j}}$. It follows that there are $(\hat{\sigma}_j - \hat{\sigma}_{j-1})$ cores with $\frac{K'}{(1+\epsilon)^{l_j}}$ cache. We require that $\hat{\sigma}_j$ is an integral power of 2 and that the total cache used is at most $(1 + \frac{5}{2}\epsilon) K$. Formally,

$$P(K,c,\epsilon) = \{ (l = \langle l_1, \dots, l_b \rangle, \hat{\sigma} = \langle \hat{\sigma}_0, \hat{\sigma}_1, \dots, \hat{\sigma}_b \rangle) \mid b \in \mathbb{N}, \quad 1 \le b \le \log_2 c$$
(1)

$$\forall j, l_j \in \mathbb{N}, 0 \le l_j \le \log_{1+\epsilon} \left(\frac{c}{\epsilon}\right) + 1, \quad \forall j, \ l_{j+1} > l_j \tag{2}$$

$$\forall j \; \exists u_j \in \mathbb{N} \quad s.t. \quad \hat{\sigma}_j = 2^{u_j}, \hat{\sigma}_0 = 0, \hat{\sigma}_b \le c, \quad \forall j \; \hat{\sigma}_{j+1} > \hat{\sigma}_j \tag{3}$$

$$\sum_{j=1}^{o} (\hat{\sigma}_j - \hat{\sigma}_{j-1}) \frac{K'}{(1+\epsilon)^{l_j}} \le \left(1 + \frac{5}{2}\epsilon\right) K\}$$

$$\tag{4}$$

When the parameters are clear from the context, we use P to denote $P(K, c, \epsilon)$. Let M(p, S) denote the makespan of cache partition p and job assignment S. The following theorem specifies the main property of P, and is proven in the remainder of this section.

Theorem 3.1. Let p, S be any cache partition and job assignment. There are a cache partition and a job assignment \hat{p}, \hat{S} such that $\hat{p} \in P$ and $M(\hat{p}, \hat{S}) \leq 9M(p, S)$.

An immediate corollary of Theorem 3.1 is that algorithm A described above finds a cache partition and job assignment with makespan at most 18 times the optimal makespan.

Lemma 3.2 shows that A is a polynomial time algorithm.

Lemma 3.2. The size of P is polynomial in c.

Proof. Let $(l, \hat{\sigma}) \in P$. The vector $\hat{\sigma}$ is a strictly increasing vector of integral powers of 2, where each power is at most c. Therefore the number of possible vectors for $\hat{\sigma}$ is bounded by the number of subsets of $\{2^0, \ldots, 2^{\log_2(c)}\}$ which is $O(2^{\log_2 c}) = O(c)$. The vector l is a strictly increasing vector of integers, each integer is at most $\log_{1+\epsilon}(\frac{c}{\epsilon}) + 1$. Therefore the number of vectors l is bounded by the number of subsets of integers that are at most $\log_{1+\epsilon}(\frac{c}{\epsilon}) + 1$ which is $O(2^{\log_{1+\epsilon}(\frac{c}{\epsilon})}) = O(2^{\frac{\log_2(\frac{c}{\epsilon})}{\log_2(1+\epsilon)}}) = Poly(c)$ since ϵ is a constant. Therefore $|P| = O(c 2^{\frac{\log_2(\frac{c}{\epsilon})}{\log_2(1+\epsilon)}})$.

Let (p, S) be a cache partition and a job assignment that use c cores, K cache and have a makespan M(p, S). Define a cache partition p_1 such that for each core i, if $p(i) < \frac{K\epsilon}{c}$ then $p_1(i) = \frac{K\epsilon}{c}$ and if $p(i) \geq \frac{K\epsilon}{c}$ then $p_1(i) = p(i)$. For each core i, $p_1(i) \leq p(i) + \frac{k\epsilon}{c}$ and hence the total amount of cache allocated by p_1 is bounded by $(1 + \epsilon)K$. For each core i, $p_1(i) \geq p(i)$ and therefore $M(p_1, S) \leq M(p, S)$.

Let p_2 be a cache partition such that for each core i, $p_2(i) = (1 + \epsilon)^{\lceil \log_{1+\epsilon}(p_1(i)) \rceil}$, the smallest integral power of $(1 + \epsilon)$ that is at least $p_1(i)$. For each i, $p_2(i) \ge p_1(i)$ and thus $M(p_2, S) \le M(p_1, S) \le M(p, S)$. We increased the total cache allocated by at most a multiplicative factor of $(1 + \epsilon)$ and therefore the total cache used by p_2 is at most $(1 + \epsilon)^2 K \le (1 + \frac{5}{2}\epsilon)K$ since $\epsilon < \frac{1}{2}$.

Let φ be any cache partition that allocates to each core an integral power of $(1 + \epsilon)$ cache. We define the notion of *cache levels*. We say that core *i* is of *cache level l* in φ if $\varphi(i) = \frac{K'}{(1+\epsilon)^l}$. Let $c_l(\varphi)$ denote the number of cores in cache level *l* in φ . The vector of c_l 's, which we call the *cache levels vector* of φ , defines the partition φ completely since any two partitions that have the same cache level vector are identical up to a renaming of the cores.

Let $\sigma(\varphi)$ be the vector of prefix sums of the cache levels vector of φ . Formally, $\sigma_l(\varphi) = \sum_{i=0}^{l} c_i(\varphi)$. Note that $\sigma_l(\varphi)$ is the number of cores in cache partition φ with at least $\frac{K'}{(1+\epsilon)^l}$ cache and that for each l, $\sigma_l(\varphi) \leq c$.

For each such cache partition φ , we define the significant cache levels $l_i(\varphi)$ recursively as follows. The first significant cache level $l_1(\varphi)$ is the first cache level l such that $c_l(\varphi) > 0$. Assume we already defined the i-1 first significant cache levels and let $l' = l_{i-1}(\varphi)$ then $l_i(\varphi)$ is the smallest cache level l > l' such that $\sigma_l(\varphi) \ge 2\sigma_{l'}(\varphi)$.

Lemma 3.3. Let l_j and l_{j+1} be two consecutive significant cache levels of φ , then the total number of cores in cache levels in between l_j and l_{j+1} is at most $\sigma_{l_j}(\varphi)$. Let l_b be the last significant cache level of φ then the total number of cores in cache levels larger than l_b is at most $\sigma_{l_b}(\varphi)$.

Proof. Assume to the contrary that $\sum_{f=l_j+1}^{l_{j+1}-1} c_f(\varphi) \geq \sigma_{l_j}(\varphi)$. This implies that for $l' = l_{j+1} - 1$, $\sigma_{l'}(\varphi) \geq 2\sigma_{l_j}(\varphi)$ which contradicts the assumption that there are no significant cache levels in between l_j and l_{j+1} in φ . The proof of the second part of the lemma is analogous.

Let $c_l = c_l(p_2)$. For each core i, $\frac{K\epsilon}{c} \leq p_2(i) \leq K'$, so we get that if l is a cache level in p_2 such that $c_l \neq 0$ then $0 \leq l \leq \log_{1+\epsilon}(\frac{c}{\epsilon}) + 1$. Let $\sigma_l = \sigma_l(p_2)$ and $\sigma = \langle \sigma_1, \ldots, \sigma_{b'} \rangle$, where $b' = \log_{1+\epsilon}(\frac{c}{\epsilon}) + 1$. Let $l_i = l_i(p_2)$, for $1 \leq i \leq b$, where b is the number of significant cache levels in p_2 .

We adjust p_2 and S to create a new cache partition p_3 and a new job assignment S_3 . Cache partition p_3 has cores only in the significant cache levels l_1, \ldots, l_b of p_2 . We obtain p_3 from p_2 as follows. Let f be a non-significant cache level in p_2 . If there is a j such that $l_{j-1} < f < l_j$ then we take the c_f cores in cache level f in p_2 and reduce their cache so they are now in cache level l_j in p_3 . If $f > l_b$ then we remove the c_f cores at level f from our solution. It is easy to check that the significant cache levels of p_3 are the same as of p_2 , that is l_1, \ldots, l_b . Since we only reduce the cache allocated to some cores, the new cache partition p_3 uses no more cache than p_2 which is at most $(1 + \frac{5}{2}\epsilon)K$.

We construct S_3 by changing the assignment of the jobs assigned by S to cores in non-significant cache levels in p_2 . As before, let f be a nonsignificant cache level and let l_{j-1} be the maximal significant cache level such that $l_{j-1} < f$. For each core *i* in cache level *f* in p_2 we move all the jobs assigned by *S* to core *i*, to a target core in cache level l_{j-1} in p_3 . Lemma 3.4 specifies the key property of this job-reassignment.

Lemma 3.4. We can construct S_3 such that each core in a significant level of p_3 is the target of the jobs from at most two cores in a nonsignificant level of p_2 .

Proof. Let c^3 denote the cache levels vector of p_3 and let σ^3 denote the vector of prefix sums of c^3 . From the definition of p_3 follows that for all j, $\sigma^3_{l_j} = \sigma_{l_j}$, and that for j > 1, $c^3_{l_j} = \sigma^3_{l_j} - \sigma^3_{l_{j-1}} = \sigma_{l_j} - \sigma_{l_{j-1}}$.

By Lemma 3.3 the number of cores in nonsignificant levels in p_2 whose jobs are reassigned to one of the $c_{l_j}^3$ cores in level l_j in p_3 is at most σ_{l_j} . So for j > 1 the ratio between the number of cores whose jobs are reassigned to the number of target cores in level l_j in p_3 is at most $\frac{\sigma_{l_j}}{\sigma_{l_j} - \sigma_{l_{j-1}}} = 1 + \frac{\sigma_{l_{j-1}}}{\sigma_{l_j} - \sigma_{l_{j-1}}} \leq 2$. For j = 1 the number of target cores in level l_1 of p_3 is $c_{l_1}^3 = \sigma_{l_1}$ which is at least as large as the number of cores at nonsignificant levels between l_1 and l_2 in p_2 so we can reassign the jobs of a single core of a nonsignificant level between l_1 and l_2 in p_2 to each target core.

Corollary 3.5. $M(p_3, S_3) \le 3M(p, S)$

Proof. In the new cache partition p_3 and job assignment S_3 we have added to each core at a significant level in p_3 the jobs from at most 2 other cores at nonsignificant levels in p_2 . The target core always has more cache than the original core, thus the added load from each original core is at most $M(p_2, S)$. It follows that $M(p_3, S_3) \leq 3M(p_2, S) \leq 3M(p, S)$.

Let c^3 denote the cache levels vector of p_3 and let σ^3 denote the vector of prefix sums of c^3 . We now define another cache partition \hat{p} based on p_3 . Let $u_j = \lfloor \log_2(\sigma_{l_j}^3) \rfloor$. The partition \hat{p} has 2^{u_1} cores in cache level l_1 , and $2^{u_j} - 2^{u_{j-1}}$ cores in cache level l_j for $1 < j \leq b$. The cache levels l_1, \ldots, l_b are the significant cache levels of \hat{p} and \hat{p} has cores only in its significant cache levels. Let \hat{c}_{l_j} denote the number of cores in the significant cache level l_j in \hat{p} .

Lemma 3.6. $3\hat{c}_{l_i} \ge c_{l_i}^3$

Proof. By the definition of u_j , we have that $2^{u_j} \leq \sigma_{l_j}^3 < 2^{u_j+1}$. So for j > 1

$$\frac{\hat{c}_{l_j}}{c_{l_j}^3} = \frac{2^{u_j} - 2^{u_{j-1}}}{\sigma_{l_j}^3 - \sigma_{l_{j-1}}^3} > \frac{2^{u_j} - 2^{u_{j-1}}}{2^{u_j+1} - 2^{u_{j-1}}} = \frac{2^{u_j - u_{j-1}} - 1}{2^{u_j - u_{j-1}+1} - 1}$$
(5)

Since l_j and l_{j-1} are two consecutive significant cache levels we have that $u_j - u_{j-1} \ge 1$. The ratio in 5 is an increasing function of $u_j - u_{j-1}$ and thus minimized by $u_j - u_{j-1} = 1$, yielding a lower bound of $\frac{1}{3}$. For j = 1, $\frac{\hat{c}_{l_1}}{c_{l_1}^3} = \frac{2^{u_1}}{\sigma_{l_1}^3} > \frac{2^{u_1}}{2^{u_1+1}} = \frac{1}{2}$.

Lemma 3.6 shows that the cache partition \hat{p} has in each cache level l_j at least a third of the cores that p_3 has at level l_j . Therefore, there exists a job assignment \hat{S} that assigns to each core of cache level l_j in \hat{p} the jobs that S_3 assigns to at most 3 cores in cache level l_j in p_3 . We only moved jobs within the same cache level and thus their load remains the same, and the makespan $M(\hat{p}, \hat{s}) \leq 3M(p_3, S_3) \leq 9M(p, s)$.

Lemma 3.7. Cache partition \hat{p} is in the set $P(K, c, \epsilon)$.

Proof. Let $\hat{\sigma}$ be the vector of prefix sums of \hat{c} . The vectors $\langle l_1, \ldots, l_b \rangle, \langle \hat{\sigma}_{l_1}, \ldots, \hat{\sigma}_{l_b} \rangle$ clearly satisfy properties 1-3 in the definition of $P(K, c, \epsilon)$. It remains to show that \hat{p} uses at most $(1 + \frac{5}{2}\epsilon)K$ cache (property 4).

Consider the core with the *x*th largest cache in \hat{p} . Let l_j be the cache level of this core. Thus $\hat{\sigma}_{l_j} \geq x$. Since $\hat{\sigma}_{l_j}$ is the result of rounding down $\sigma_{l_j}^3$ to the nearest integral power of 2, we have that $\hat{\sigma}_{l_j} \leq \sigma_{l_j}^3$. It follows that $\sigma_{l_j}^3 \geq x$ and therefore the core with the *x*th largest cache in p_3 is in cache level l_j or smaller and thus is it has at least as much cache as the *x*th largest core in \hat{p} . So \hat{p} uses at most the same amount of cache as p_3 which is at most $(1 + \frac{5}{2}\epsilon)K$.

This concludes the proof of Theorem 3.1, and establishes that our algorithm A is an 18approximation algorithm for the problem, using $(1 + \frac{5}{2}\epsilon)K$ cache.

We provide a variation of algorithm A that uses at most K cache, and finds a 36-approximation for the optimal makespan. Algorithm B enumerates on $r, 1 \le r \le K$, the amount of cache allocated to the first core. It then enumerates over the set of partitions $P = P(\frac{K-r}{2}, \lceil \frac{c}{2} \rceil - 1, \frac{2}{5})$. For each partition in P it adds another core with r cache and applies Lenstra's approximation algorithm on the resulting instance of the unrelated machines scheduling problem, to assign all the jobs in J to the $\lceil \frac{c}{2} \rceil$ cores. Algorithm B returns the partition and assignment with the minimal makespan it encounters.

Theorem 3.8. If there is a solution of makespan M that uses at most K cache and at most c cores then algorithm B returns a solution of makespan 36M that uses at most K cache and at most c cores.

Proof. Let (p, S) be the a solution of makespan M, K cache and c cores. W.l.o.g. assume that the cores are indexed according to the non-increasing order of their cache allocation in this solution, that is p(i + 1) > p(i).

Let $J' = \{j \in J \mid S(j) \ge 3\}$. Consider the following job assignment S' of the jobs in J' to the cores of odd indices greater than 1 in (p, S)/ The assignment S' assigns to core 2i - 1, for $i \ge 2$, all the jobs that are assigned by S to cores 2i - 1 and 2i. Note that all the jobs assigned by S' to some core are assigned by S to a core with at most the same amount of cache and thus the makespan of S' is at most 2M.

Assume r = p(1). Then $K = r + \sum_{\substack{odd \ i \ge 3 \\ p(i) \le T}} p(i) + p(i-1) \ge r + \sum_{\substack{odd \ i \ge 3 \\ p(i) \le T}} 2p(i)$ since p is non-increasing. Therefore we get that $\sum_{\substack{odd \ i \ge 3 \\ K = T}} p(i) \le \frac{K-r}{2}$. Therefore we can assign the jobs in J' to $\lceil \frac{c}{2} \rceil - 1$ cores

with a total cache of $\frac{K-r}{2}$, such that the makespan is at most 2M. By Theorem 3.1, there is a partition $\hat{p}' \in P(\frac{K-r}{2}, \lceil \frac{c}{2} \rceil - 1, \frac{2}{5})$ that allocates at most $(1 + \frac{5}{2}\frac{2}{5})\frac{K-r}{2} = K - r$ cache to $\lceil \frac{c}{2} \rceil - 1$ cores, and a job assignment \hat{S}' of the jobs in J' to these cores such that the makespan of \hat{p}', \hat{S}' is at most 18M.

Let \hat{p} be a cache partition that adds to \hat{p}' another core (called "core 1") with r cache. The total cache used by \hat{p} is at most K. Let \hat{S} be a job assignment such that $\hat{S}(j) = \hat{S}'(j)$ for $j \in J'$ and for a job $j \in J \setminus J'$ (a job that was assigned by S either to core 1 or to core 2), $\hat{S}(j) = 1$. Since the makespan of (p, S) is M we know that the load on core 1 in the solution \hat{p}, \hat{S} is at most 2M. It follows that the makespan of \hat{p}, \hat{S} is at most 18M.

When algorithm B fixes the size of the cache of the first core to be r = p(1), and considers $\hat{p}' \in P(\frac{K-r}{2}, \lceil \frac{c}{2} \rceil - 1, \frac{2}{5})$ then it obtains the cache partition \hat{p} . We know that \hat{S} is a solution to the

corresponding scheduling problem with makespan at most 18M. Therefore Lenstra's approximation algorithm finds an assignment with makespan at most 36M.

4 Jobs with a single load and a minimal cache demand

We consider a special case of the general joint cache partition and job assignment problem where each job has a minimal cache demand x_j and single load value a_j . Job j must run on a core with at least x_j cache and it contributes a load of a_j to the core. We want to decide if the jobs can be assigned to c cores, using K cache, such that the makespan is at most m? W.l.o.g. we assume m = 1.

In Section 4.1 we describe a 2-approximate decision algorithm that if the given instance has a solution of makespan at most 1, returns a solution with makespan at most 2 and otherwise may fail. In Sections 4.2 and 4.3 we improve the approximation guarantee to $\frac{3}{2}$ and $\frac{4}{3}$ at the expense of using 2K and 3K cache, respectively. In Section 4.4 we show how to obtain an approximate optimization algorithm using an approximate decision algorithm and a standard binary search technique.

4.1 2-approximation

We present a 2-approximate decision algorithm, denoted by A_2 . Algorithm A_2 sorts the jobs in a non-increasing order of their cache demand. It then assigns the jobs to the cores in this order. It keeps assigning jobs to a core until the load on the core exceeds 1. Then, A_2 starts assigning jobs to the next core. Note that among the jobs assigned to a specific core the first one is the most cache demanding and it determines the cache allocated to this core by A_2 . Algorithm A_2 fails if the generated solution uses more than c cores or more than K cache. Otherwise, A_2 returns the generated cache partition and job assignment.

Theorem 4.1. If there is a cache partition and job assignment of makespan at most 1 that use c cores and K cache then algorithm A_2 finds a cache partition and job assignment of makespan at most 2 that use at most c cores and at most K cache.

Proof. Let Y = (p, S) be the cache partition and job assignment with makespan 1 whose existence is assumed by the lemma. Y has makespan 1 so the sum of the loads of all jobs is at most c. Since A_2 loads each core, except maybe the last one, with more than 1 load it follows that A_2 uses at most c cores.

Since Y has makespan 1 the load of each of the jobs is at most 1. Algorithm A_2 only exceeds a load of 1 on a core by the load of the last job assigned to this core and thus A_2 yields a solution with makespan at most 2.

Assume w.l.o.g that the cores in Y are indexed such that for any core $i, p(i+1) \leq p(i)$. Assume that the cores in A_2 are indexed in the order in which they were loaded by A_2 . By the definition of A_2 the cores are also sorted by non-increasing order of their cache allocation. Denote by z(i)the amount of cache A_2 allocates to core i. We show that for all $i \in \{1, \ldots, c\}, z(i) \leq p(i)$. This implies that algorithm A_2 uses at most K cache.

 A_2 allocates to the first core the cache required by the most demanding job so $z(1) = \max_j x_j$. This job must be assigned in Y to some core and therefore $z(1) \leq p(1)$. Assume to the contrary that z(i) > p(i) for some i. Each job j with cache demand $x_j > p(i)$ must be assigned in Y to one of the first (i-1) cores, because all the other cores don't have enough cache to run this job. Since Y has makespan 1 we know that $\sum_{j|x_j>p(i)} a_j \leq (i-1)$. Consider all the jobs with cache demand at least z(i). Algorithm A_2 failed to assign all these jobs to the first (i-1) cores, and we know that A_2 assigns more than 1 load to each core. So $\sum_{j|x_j\geq z(i)} a_j > (i-1)$. Since z(i) > p(i) and there is a job with cache demand z(i), we have $\sum_{j|x_j\geq z(i)} a_j < \sum_{j|x_j>p(i)} a_j$ which leads to a contradiction. Therefore $z(i) \leq p(i)$ for all i and algorithm A_2 uses at most K cache.

4.2 $\frac{3}{2}$ -approximation with 2K cache

We define a job to be large if $a_j > \frac{1}{2}$ and small otherwise. Our algorithm $A_{\frac{3}{2}}$ assigns one large job to each core. Let s_i be the load on core *i* after the large jobs are assigned. Let $r_i = 1 - s_i$. We process the small jobs by non-increasing order of their cache demand x_j , and assign them to the cores in non-increasing order of the cores' r_i 's. We stop assigning jobs to a core when its load exceeds 1 and start loading the next core. Algorithm $A_{\frac{3}{2}}$ allocates to each core the cache demand of its most demanding job. Algorithm $A_{\frac{3}{2}}$ fails if the resulting solution uses more than c cores or more than 2K cache.

Theorem 4.2. If there is a cache partition and job assignment of makespan at most 1 that use c cores and K cache then $A_{\frac{3}{2}}$ finds a cache partition and job assignment that use at most 2K cache, at most c cores and have a makespan of at most $\frac{3}{2}$.

Proof. Let Y = (p, S) be the cache partition and job assignment with makespan 1 whose existence is assumed by the lemma. The existence of Y implies that there are at most c large jobs in our input and that the total volume of all the jobs is at most c. Therefore algorithm $A_{\frac{3}{2}}$ uses at most c cores to assign the large jobs. Furthermore, when $A_{\frac{3}{2}}$ assigns the small jobs it loads each core, except maybe the last one, with a load of at least 1 and thus uses at most c cores. Algorithm $A_{\frac{3}{2}}$ provides a solution with makespan at most $\frac{3}{2}$ since it can only exceed a load of 1 on any core by the load of a single small job.

Let z be the cache partition generated by $A_{\frac{3}{2}}$. Let C_l be the set of cores whose most cache demanding job is a large job and C_s be the set of cores whose most cache demanding job is a small job. For core $i \in C_l$, Let j_i be the most cache demanding job assigned to core i, so we have $z(i) = x_{j_i}$. The solution Y = (p, S) is a valid solution thus $x_{j_i} \leq p(S(j_i))$ so $z(i) \leq p(S(j_i))$. If j_1, j_2 are two large jobs then $S(j_1) \neq S(j_2)$ and we get that $\sum_{i \in C_l} z(i) \leq \sum_{i \in C_l} p(S(j_i)) \leq \sum_{i=1}^c p(i) = K$.

In the rest of the proof we index the cores in the solution of $A_{\frac{3}{2}}$ such that $r_1 \ge r_2 \ldots \ge r_c$. This is the same order in which $A_{\frac{3}{2}}$ assigns small jobs to the cores. In Y we assume that the cores are indexed such that $p(i) \ge p(i+1)$. We now prove the $z(i) \le p(i)$ for any core $i \in C_s$. Assume, to the contrary, that for some i, z(i) > p(i). Let α be the cache demand of the most cache demanding small job on core i in Y. Let $J_1 = \{j \mid a_j \le \frac{1}{2}, x_j \ge z(i)\}$ and let $J_2 = \{j \mid a_j \le \frac{1}{2}, x_j > \alpha\}$. Since $\alpha \le p(i)$ and by our assumption p(i) < z(i) we get that $\alpha < z(i)$ and therefore $J_1 \subseteq J_2$.

 $A_{\frac{3}{2}}$ does not assign all the jobs of J_1 to its first (i-1) cores and therefore the total load of the jobs in J_1 is greater than $\sum_{l=1}^{i-1} r_l$. On the other hand we know that in Y, assignment S assigns all the jobs in J_2 on its first i-1 cores while not exceeding a load of 1. Thus the total load of jobs

in J_2 is at most the space available for small jobs on the first (i-1) cores in solution Y. Since $r_1 \ge r_2 \ldots \ge r_c$, and since in any solution each core runs at most one large job, we get that $\sum_{l=1}^{i-1} r_l$ is at least as large as the space available for small jobs in any subset of (i-1) cores in any solution. It follows that the total load of jobs in J_2 is smaller than in J_1 . This contradicts the fact that $J_1 \subseteq J_2$.

We conclude that for every $i \in C_s$, $z(i) \leq p(i)$. This implies that the total cache allocated to cores in C_s is at most K. We previously showed that the total cache allocated to cores in C_l is at most K and thus the total cache used by algorithm $A_{\frac{3}{2}}$ is at most 2K.

4.3 $\frac{4}{3}$ -approximation with 3K cache, using dominant matching

We present a $\frac{4}{3}$ approximate decision algorithm, $A_{\frac{4}{3}}$, that uses at most 3K cache. The main challenge is assigning the *large jobs*, which here are defined as jobs of load greater than $\frac{1}{3}$.

There are at most 2c large jobs in our instance, because we assume there is a solution of makespan at most 1 that uses c cores. Algorithm $A_{\frac{4}{3}}$ matches these large jobs into pairs, and assigns each pair to a different core. In order to perform the matching, we construct a graph G where each vertex represents a large job j of weight $a_j > \frac{1}{3}$. If needed, we add artificial vertices of weight zero to have a total of exactly 2c vertices in the graph. Each two vertices have an edge between them if the sum of their weights is at most 1. The weight of an edge is the sum of the weights of its endpoints.

A perfect matching in a graph is a subset of edges such that every vertex in the graph is incident to exactly one edge in the subset. We note that there is a natural bijection between perfect matchings in the graph G and assignments of makespan at most 1 of the large jobs to the cores. The c edges in any perfect matching define the assignment of the large jobs to the c cores as follows: Let (a, b) be an edge in the perfect matching. If both a and b correspond to large jobs, we assign both these jobs to the same core. If a corresponds to a large job and b is an artificial vertex, we assign the job corresponding to a to its own core. If both a and b are artificial vertices, we leave a core without any large jobs assigned to it. Similarly we can injectively map any assignment of the larges jobs of makespan at most 1 to a perfect matching in G: For each core that has 2 large jobs assigned to it, we select the edge in G corresponding to these jobs, for each core with a single large job assigned to it, we select an edge between the corresponding real vertex and an arbitrary artificial vertex, and for each core with no large jobs assigned to it we select an edge in G between two artificial vertices.

A dominant perfect matching in G is a perfect matching Q such that for every i, the i heaviest edges in Q are a maximum weight matching in G of i edges. The graph G is a threshold graph [13], and in Section 4.5 we provide a polynomial time algorithm that finds a dominant perfect matching in any threshold graph that has a perfect matching. If there is a solution for the given instance of makespan at most 1 then the assignment of the large jobs in that solution correspond to a perfect matching in G and thus algorithm $A_{\frac{4}{3}}$ can apply the algorithm from Section 4.5 and find a dominant perfect matching, Q, in G.

Algorithm $A_{\frac{4}{3}}$ then assigns the small jobs (load $\leq \frac{1}{3}$) similarly to algorithms A_2 and $A_{\frac{3}{2}}$ described in Sections 4.1 and 4.2, respectively. It greedily assigns jobs to a core, until the core's load exceeds 1. Jobs are assigned in a non-increasing order of their cache demand and the algorithm goes through the cores in a non-decreasing order of the sum of loads of the large jobs on each core. Once all the jobs are assigned, the algorithm allocates cache to the cores according to the cache demand of the most demanding job on each core. Algorithm $A_{\frac{4}{3}}$ fails if it does not find a dominant perfect matching in G or if the resulting solution uses more than c cores or more than 3K cache.

Theorem 4.3. If there is a solution that assigns the jobs to c cores with makespan 1 and uses K cache then algorithm $A_{\frac{4}{3}}$ assigns the jobs to c cores with makespan at most $\frac{4}{3}$ and uses at most 3K cache.

Proof. Let Y = (p, S) be a solution of makespan at most 1, that uses c cores and K cache.

Algorithm $A_{\frac{4}{3}}$ provides a solution with makespan at most $\frac{4}{3}$ since it may only exceed a load of 1 on any core by the load of a single small job.

Algorithm $A_{\frac{4}{3}}$ uses at most c cores to assign the large jobs because the assignment is based on a perfect matching of size c in G. The existence of Y implies that the total load of all jobs is at most c. When $A_{\frac{4}{3}}$ assigns the small jobs it exceeds a load of 1 on all cores it processes, except maybe the last one, and therefore we get that $A_{\frac{4}{3}}$ uses at most c cores.

maybe the last one, and therefore we get that $A_{\frac{4}{3}}$ uses at most c cores. Let z be the cache partition generated by $A_{\frac{4}{3}}$. Let C_l be the set of cores whose most demanding job is a large job and C_s be the set of cores whose most demanding job is a small job.

Consider any core $i \in C_l$. Let j be the most cache demanding large job assigned to core i. Job j runs in solution Y on some core S(j). Therefore $z(i) = x_j \leq p(S(j))$. Since each core in Y runs at most two large jobs, we get that the total cache allocated by our algorithm to cores in C_l is at most 2K.

Consider the large jobs assigned to cores according to the dominant perfect matching Q. Denote by s_i the load on core i after the large jobs are assigned (and before the small jobs are assigned) and let $r_i = 1 - s_i$. W.l.o.g. we assume the cores in $A_{\frac{4}{3}}$ are indexed such that $r_1 \ge \ldots \ge r_c$. For every i, $\sum_{l=i}^{c} s_l$ is at least as large than this sum in any assignment of the large jobs of makespan at most 1 because any such assignment defines a perfect matching in graph G and if $\sum_{l=i}^{c} s_l$ is larger in some other assignment then Q is not a dominant perfect matching in G. Since the total volume of all large jobs is fixed, we get that for every core i the amount of free volume on cores 1 till i, $\sum_{l=1}^{i} r_l$, is maximal and can not be exceeded by any other assignment of the large jobs of makespan

l=1 at most 1.

W.l.o.g we assume that the cores in solution Y = (p, S) are indexed such that $p(i) \ge p(i+1)$. Let *i* be any core in C_s . We show that $z(i) \le p(i)$. Assume, to the contrary, that z(i) > p(i). Let α be the cache demand of the most demanding small job assigned to core *i* in solution *Y*. Let $J_1 = \{j \mid a_j \le \frac{1}{3}, x_j \ge z(i)\}$ and $J_2 = \{j \mid a_j \le \frac{1}{3}, x_j > \alpha\}$. Since $\alpha \le p(i) < z(i)$, we get that $J_1 \subseteq J_2$.

Solution Y assigns all the jobs in J_2 to its first (i-1) cores, without exceeding a makespan of 1. Therefore the total volume of jobs in J_2 is at most the total available space solution Y has on its first (i-1) cores after assigning the large jobs. Since we know that for every i, $\sum_{l=1}^{i} r_l$ is maximal and can not be exceeded by any assignment of the large jobs of makespan at most 1, we get that the total volume of jobs in J_2 is at most $\sum_{l=1}^{i} r_l$. Algorithm $A_{\frac{4}{3}}$ does not assign all the jobs in J_1

to its first (i-1) cores, and since $A_{\frac{4}{3}}$ loads each of the first (i-1) cores with at least 1, we get that the total volume of jobs in J_1 is greater than $\sum_{l=1}^{i} r_l$. So we get that the total volume of jobs in J_2 is less than the total volume of jobs in J_1 but that is a contradiction to the fact that $J_1 \subseteq J_2$. Therefore we get that $z(i) \leq p(i)$, for every $i \in C_s$. It follows that the total cache allocated by our algorithm to cores in C_s is at most K and this concludes the proof that our algorithm allocates a total of at most 3K cache to all cores.

4.4 Approximate optimization algorithms for the single load, minimal cache model

We presented approximation algorithms for the decision version of the joint cache partition and job assignment problem in the single load and minimal cache demand model. If there is a solution with makespan m, algorithms A_2 , $A_{\frac{3}{2}}$ and $A_{\frac{4}{3}}$ find a solution of makespan 2m, $\frac{3m}{2}$ and $\frac{4m}{3}$, that uses K, 2K and 3K cache, respectively. We now show how to transform these algorithms into approximate optimization algorithms using a standard binary search technique [7].

Lemma 4.4. Given m, K and c, assume there is a polynomial time approximate decision algorithm that if there is a solution of makespan m, K cache and c cores, returns a solution of makespan αm , βK cache and c cores, where α and β are at least 1. Then, there is a polynomial time approximation algorithm that finds a solution of makespan αm_{opt} , βK cache and c cores, where m_{opt} is the makespan of the optimal solution with K cache and c cores.

Proof. Let's temporarily assume that the loads of all jobs are integers. This implies that for any cache partition and job assignment the makespan is an integer.

Our approximate optimization algorithm performs a binary search for the optimal makespan and maintains a search range [L, U]. Initially, $U = \sum_{j=1}^{n} a_j$ and $L = \lfloor \frac{1}{c}U \rfloor$. Clearly these initial values of L and U are a lower and an upper bound on the optimal makespan, respectively. Let A be the approximate decision algorithm whose existence is assumed in the lemma's statement. In each iteration, we run algorithm A with parameters K, c and $m = \lfloor \frac{L+U}{2} \rfloor$. If A succeeds and returns a solution with makespan at most αm we update the upper bound U := m. If A fails, we know there is no solution of makespan at most m, and we update the lower bound L := m + 1. It is easy to see that the binary search maintains the invariant that after any iteration, if the search range is [L, U] then $m_{opt} \in [L, \alpha U]$ and we have a solution of makespan at most αU . The binary search stops when L = U.

The makespan of the solution when the binary search stops is at most $\alpha U = \alpha L \leq \alpha m_{opt}$. The binary search stops after $O(\log_2(\sum_{j=1}^n a_j))$ iterations, and since A runs in polynomial time, we get that our algorithm runs in polynomial time. This shows that our binary search algorithm is a polynomial time α -approximation algorithm.

If the loads in our instance are not integers, let $\frac{1}{2^{\phi}}$ be the precision in which the loads are given. By multiplying all loads by 2^{ϕ} we get an equivalent instance where all the loads of the jobs are integers. Note that this only adds ϕ iterations to the binary search and our algorithm still runs in polynomial time.

The following theorem follows immediately from Lemma 4.4.

Theorem 4.5. Using the approximate decision algorithms presented in this section, we obtain polynomial time approximate optimization algorithms for the single load, minimal cache demand problem with approximation factors $2, \frac{3}{2}$ and $\frac{4}{3}$ that use K, 2K and 3K cache, respectively.

4.5 Dominant perfect matching in threshold graphs

Let G = (V, E) be an undirected graph with 2c vertices where each vertex $x \in V$ has a weight $w(x) \ge 0$. The edges in the graph are defined by a threshold t > 0 to be $E = \{(x, y) \mid w(x) + w(y) \le t, x \ne y\}$. Such a graph G is known as a threshold graph [2, 13]. We say that the *weight* of an edge (x, y) is w(x, y) = w(x) + w(y).

A perfect matching A in G is a subset of the edges such that every vertex in V in incident to exactly one edge in A. Let A_i denote the *i*-th heaviest edge in A. We assume, w.l.o.g, that there is some arbitrary predefined order of the edges in E that is used, as a secondary sort criteria, to break ties in case several edges have the same weight. In particular, this implies that A_i is uniquely defined.

Definition 4.6. A perfect matching A dominates a perfect matching B if for every $x \in \{1, ..., c\}$ $\sum_{i=1}^{x} w(A_i) \ge \sum_{i=1}^{x} w(B_i)$

Definition 4.7. A perfect matching A is a dominant matching if A dominates any other perfect matching B.

Let A and B be two perfect matchings in G. We say that A and B share a prefix of length l if $A_i = B_i$ for $i \in \{1, \ldots, l\}$. The following greedy algorithm finds a dominant perfect matching in a threshold graph G that has a perfect matching. We start with $G_0 = G$. At step i, the algorithm selects the edge (x, y) with maximum weight in the graph G_i . If there are several edges of maximum weight, then (x, y) is the first by the predefined order on E. The graph G_{i+1} is obtained from G_i by removing vertices x, y and all edges incident to x or y. The algorithm stops when it selected c edges and G_c is empty.

Lemma 4.8. For every $x \in \{0, ..., c-1\}$, If graph G_x has a perfect matching, then the graph G_{x+1} has a perfect matching.

Proof. Let M_x denote the perfect matching in graph G_x . Let (a, b) be the edge of maximum weight in G_x that we remove, with its vertices and their incident edges, to obtain G_{x+1} . If $(a, b) \in M_x$ then clearly $M_x \setminus \{(a, b)\}$ is a perfect matching in G_{x+1} . If $(a, b) \notin M_x$, and since M_x is a perfect matching of G_x , there are two vertices c and d such that (a, c) and (b, d) are in M_x . The edge (a, b)is the maximum weight edge in G_x and thus $w(b) \ge w(c)$ and $w(a) \ge w(d)$. Therefore (c, d) must be an edge in G_x because $w(c) + w(d) \le w(a) + w(b) \le t$ the threshold defining the edges in our threshold graph. Let $M_{x+1} = M_x \setminus \{(a, c), (b, d)\} \cup \{(c, d)\}$. It is easy to see that M_{x+1} is a perfect matching of graph G_{x+1} .

Theorem 4.9. If G is a threshold graph with 2c vertices that has a perfect matching, then the greedy algorithm described above finds a dominant perfect matching.

Proof. Lemma 4.8 implies that our greedy algorithm is able to select a set of c edges that is a perfect matching in G. Denote this matching by Q.

Assume, to the contrary, that Q is not a dominant perfect matching in G. Let A be a perfect matching that is not dominated by Q sharing the longest possible prefix with Q. Let x denote the length of the shared prefix of Q and A. Let G_x denote the graph obtained from G by removing the x edges that are the heaviest in both A and Q, their vertices and all edges incident to these vertices.

Let $(a, b) = Q_{x+1}$. Since A and Q share a maximal prefix of length $x, A_{x+1} \neq (a, b)$. Since (a, b) is of maximum weight in G_x , it follows that $(a, b) \notin A$ (otherwise, it would have been A_{x+1}). The set of edges $\{A_{x+1}, \ldots, A_c\}$ form a perfect matching of G_x so there must be two edges and two indices $l_1 > x$ and $l_2 > x$, such that $A_{l_1} = (a, d), A_{l_2} = (b, c)$. We assume w.l.o.g. that $l_1 < l_2$. The edge (a, b) is of maximum weight in G_x therefore $w(a) \geq w(c)$ and $w(b) \geq w(d)$. It follows that $w(c, d) \leq w(a, b) \leq t$, and therefore $(c, d) \in G_x$. Let $A' = A \setminus \{(a, d), (b, c)\} \cup \{(a, b), (c, d)\}$. Clearly, A' is a perfect matching in $G, A'_{x+1} = (a, b)$ and therefore A' shares a prefix of length x + 1 with Q. If A' dominates A, then since Q does not dominate A, it follows that Q does not dominate A'. Thus A' is a perfect matching that shares a prefix of length x + 1 with Q and is not dominate A'.

Let l_3 be the index such that $A'_{l_3} = (c, d)$. Since $w(b) \ge w(d)$, $l_3 > l_2$. Let $\Delta(l) = \sum_{i=1}^l w(A'_i) - \sum_{i=1}^l w(A'_i)$

 $\sum_{i=1}^{l} w(A_i).$ The matchings A' and A share a prefix of length x, so for every $1 \le l \le x$, $\Delta(l) = 0$. For $x + 1 \le l < l_1$, $\Delta(l) = w(a, b) - w(A_l) \ge 0$ since (a, b) is the edge of maximum weight in G_x . For $l_1 \le l < l_2$, $\Delta(l) = w(a, b) - w(a, d) \ge 0$ also by the maximality (a, b). For $l_2 \le l < l_3$, $\Delta(l) = w(A'_l) - w(c) - w(d)$ which is non-negative because $l < l_3$ and therefore $w(A'_l) \ge w(A'_{l_3}) = w(c) + w(d)$. For $l \ge l_3$, $\Delta(l) = 0$. This shows that A' dominates A and concludes our proof that Q is a dominant perfect matching in G.

4.5.1 On dominant perfect matchings in *d*-uniform hypergraphs

The problem of finding a dominant perfect matching in a *d*-uniform threshold hypergraph¹ that has a perfect matching is interesting in the context of the single load, minimal cache version of the joint cache partition and job assignment problem. If we can find such a matching then an algorithm similar to Algorithm $A_{\frac{4}{3}}$ in Section 4.3 would give a solution that uses (d+1)K cache and approximates the makespan up to a factor of $\frac{d+2}{d+1}$.

However, the following example shows that in a 3-uniform threshold hypergraph that has a perfect matching, a dominant perfect matching does not necessarily exist. Let $\epsilon > 0$ be an arbitrarily small constant. Consider a hypergraph with 12 vertices, 3 vertices of each weight in $\{\frac{1}{3}, \frac{2}{9}, \frac{4}{9} - \epsilon, \epsilon\}$. Each triplet of vertices is an edge if the sum of its weights is at most 1. This hypergraph has a perfect matching. In fact, let's consider two perfect matchings in this hypergraph. Matching A consists of the edges $(\frac{1}{3}, \frac{1}{3}, \frac{1}{3}), (\frac{4}{9} - \epsilon, \frac{4}{9} - \epsilon, \epsilon), (\frac{4}{9} - \epsilon, \frac{2}{9}, \frac{2}{9})$ and $(\frac{2}{9}, \epsilon, \epsilon)$. Matching B consists of three edges of the form $(\frac{1}{3}, \frac{2}{9}, \frac{4}{9} - \epsilon)$ and one edge of the form $(\epsilon, \epsilon, \epsilon)$. It is easy to check that A and B are valid perfect matchings in this hypergraph. Any dominant perfect matching in this hypergraph must contain the edge $(\frac{1}{3}, \frac{1}{3}, \frac{1}{3})$ in order to dominate A, since this is the only edge of weight 1 in this hypergraph. The sum of the two heaviest edges in matching B is $2 - 2\epsilon$ and therefore any

¹ A *d*-uniform threshold hypergraph is defined on a set of vertices, V, each with a non-negative weight w(v). The set of edges, E, contains all the subsets $S \subset V$ of size d such that the sum of the weights of the vertices in S is at most some fixed threshold t > 0.

dominant perfect matching must have an edge of weight at least $1 - 2\epsilon$, as otherwise the matching will not dominate matching *B*. But, if the edge $(\frac{1}{3}, \frac{1}{3}, \frac{1}{3})$ is in the dominant matching, then all edges disjoint from $(\frac{1}{3}, \frac{1}{3}, \frac{1}{3})$ have a weight smaller than $1 - 2\epsilon$. Thus no dominant perfect matching exists in this hypergraph.

Matching A in the example above is the perfect matching found by applying the greedy algorithm to this hypergraph. It is interesting to note that in a 3-uniform threshold hypergraph, the greedy algorithm does not necessarily find a perfect matching at all. This is because Lemma 4.8 does not extend to 3-uniform threshold hypergraphs. Let $\epsilon > 0$ be an arbitrarily small constant. Consider a hypergraph with 9 vertices, 3 vertices of each weight in $\{\frac{1}{3}, \frac{2}{9}, \frac{4}{9} - \epsilon\}$. Each triplet of vertices is an edge if the sums of its weights is at most 1. This hypergraph has a perfect matching since the 3 edges of the form $(\frac{1}{3}, \frac{2}{9}, \frac{4}{9} - \epsilon)$ are a perfect matching in this hypergraph. However the greedy algorithm first selects the edge $(\frac{1}{3}, \frac{1}{3}, \frac{1}{3})$ and then selects an edge of the form $(\frac{2}{9}, \frac{2}{9}, \frac{4-\epsilon}{9})$. The remaining hypergraph now contains three vertices and no edges, so the greedy algorithm is stuck and fails to find a perfect matching.

4.6 PTAS for jobs with correlative single load and minimal cache demand

The main result in this section is a polynomial time approximation scheme for instances of the single load minimal cache demand problem, where there is a correlation between the load and the cache demand of jobs with non-zero cache demand. This special case is motivated by the observation that often there is some underlying notion of a job's "hardness" that affects both its load and its minimal cache demand.

Consider an instance of the single load minimal cache demand problem such that for any two jobs j, j' such that x_j and $x_{j'}$ are non-zero, $a_j \leq a_{j'} \iff x_j \leq x_{j'}$. We call a job j such that $x_j > 0$ a demanding job and a job j such that $x_j = 0$ a non-demanding job. We consider the following decision problem: We want to decide if there is a cache partition of K cache to c cores and an assignment of jobs to the cores such that the job's minimal cache demand is satisfied and that the resulting makespan is at most m? By scaling down the loads of the jobs by m, we assume w.l.o.g that m = 1.

Let $\epsilon > 0$. We present an algorithm that if there is a cache partition and a job assignment with makespan at most 1, returns a cache partition and a job assignment with makespan at most $(1 + 2\epsilon)$. Otherwise, our algorithm either decides that there is no solution of makespan at most 1 or returns a solution of makespan at most $(1 + 2\epsilon)$. Combining this algorithm with a binary search, we obtain a PTAS.

If there is a job j such that $a_j > 1$ then our algorithm decides that there is no solution of makespan at most 1. Thus we assume that for any $j, a_j \leq 1$.

Let $J = J_1 \cup J_2$, $J_1 = \{j \in J \mid a_j \ge \epsilon\}$, $J_2 = J \setminus J_1$. In the first phase, we deal only with jobs in J_1 . For each $j \in J_1$ let $u_j = \max\{u \in \mathbb{N} \mid \epsilon + u\epsilon^2 \le a_j\}$. We say that $\epsilon + u_j\epsilon^2$ is the rounded-down load of job j.

Let $U_D = \{u_j \mid j \in J_1, x_j > 0\}$ and $U_{ND} = \{u_j \mid j \in J_1, x_j = 0\}$. An assignment pattern of a core is a table that indicates for each $u \in U_D$ how many demanding jobs of rounded-down load $\epsilon + u\epsilon^2$ are assigned to the core and for each $u \in U_{ND}$ how many non-demanding jobs of rounded-down load $\epsilon + u\epsilon^2$ are assigned to the core. Note that an assignment pattern of a core does not identify the actual jobs assigned to the core. We only consider assignment patterns whose rounded-down load is at most 1. A configuration of cores is a table indicating how many cores we have of each possible assignment pattern. A configuration of cores T is valid if for every $u \in U_D$, the number of demanding jobs in J_1 whose $u_j = u$ equals the sum of the numbers of demanding jobs with $u_j = u$ in all assignment patterns in T and, similarly, for every $u \in U_{ND}$, the number of non-demanding jobs in J_1 whose $u_j = u$ equals the sum of the numbers of non-demanding jobs with $u_j = u$ in all assignment patterns in T.

The outline of our algorithm is as follows. The algorithm enumerates over all valid configurations of cores. For each valid configuration T, we find an actual assignment of the jobs in J_1 that matches T and minimizes the total cache used. We then proceed to assign the jobs in J_2 , in a way that guarantees that if there a solution of makespan 1 and K cache that matches this configuration of cores, then we obtain a solution of makespan at most $(1 + 2\epsilon)$ and at most K cache. If our algorithm does not generate a solution of makespan at most $(1 + 2\epsilon)$ and at most K cache, for all valid configurations of cores, then our algorithm decides that no solution of makespan at most 1 exists.

Let T be a valid configuration of cores. For each core $i \in \{1, \ldots, c\}$, let q_i be the maximal rounded-down load of a demanding job assigned to core i according to the assignment pattern of core i in T. Let α_i be the number of demanding jobs of rounded-down load q_i on core i, according to T. We assume w.l.o.g that the cores are indexed such that $q_i \ge q_{i+1}$. Let $Q = \{q_i \mid i \in \{1, \ldots, c\}\}$. For each $q \in Q$, let s(q) be the index of the first core i with $q_i = q$ and let e(q) be the index of the last core i with $q_i = q$. Assume that the cores $s(q), \ldots, e(q)$ are indexed such that $\alpha_{s(q)} \ge \ldots \ge \alpha_{e(q)}$. Let $J_1(q) = \{j \in J_1 \mid x_j \neq 0, \epsilon + u_j \epsilon^2 = q\}$, the set of all demanding jobs in J_1 whose rounded down load is q. Let Y(q) be the set of the $\sum_{i=s(q)}^{e(q)} \alpha_i$ jobs of smallest cache demands in $J_1(q)$.

Our algorithm builds an assignment matching T of minimal cache usage among all assignments matching T. To do so, our algorithm goes over Q in a decreasing order and distributes the jobs in Y(q) to the cores $s(q), \ldots, e(q)$ in this order of the cores such that core $i \in [s(q), e(q)]$, in turn, gets the α_i most cache demanding jobs in Y(q) that are not yet assigned. After we assign the demanding jobs with the maximal rounded-down load on each core, our algorithm arbitrarily chooses the identity of all other jobs in the configuration T. These are non-demanding jobs and demanding jobs whose rounded-down load is not of the maximal rounded-down load on their core. Each core is allocated cache according to the cache demand of the most cache demanding job that is assigned to it.

The algorithm continues with the jobs in J_2 . It first assigns the demanding jobs in J_2 , in the following greedy manner. Order these jobs from the most cache demanding to the least cache demanding. For each core, we consider two load values: its *actual load* which is the sum of the actual loads of jobs in J_1 assigned to the core, and its *rounded down load* which is the sum of rounded down loads of jobs in J_1 assigned to the core. We order the cores such that first we have all the cores that already had some cache allocated to them in the previous phase of the algorithm, in an arbitrary order. Following these cores, we order the cores with no cache allocated to them, from the least loaded core to the most loaded core, according to their rounded down loads. These cores are either empty or have only non demanding jobs, from J_1 , assigned to them. The algorithm assigns the jobs to the cores in these orders (of the jobs and of the cores) and stops adding more jobs to a core and moves to the next one when the core's actual load exceeds $1 + \epsilon$. After all these jobs are assigned, the algorithm adjusts the cache allocation of the cores whose most cache demanding job is now a job of J_2 .

Finally, it assigns the non-demanding jobs in J_2 . Each such job is assigned arbitrarily to a core whose actual load does not already exceed $1 + \epsilon$.

Lemma 4.10. The number of valid configurations of cores is $O(c^{O(1)})$.

Proof. We first consider the number of assignment patterns with rounded-down load at most 1. Since for each job j, $a_j \leq 1$, the size of U_D and the size of U_{ND} are at most $\lfloor \frac{1-\epsilon}{\epsilon^2} \rfloor = O(\frac{1}{\epsilon^2}) = O(1)$. In an assignment pattern of load at most 1, there are at most $\frac{1}{\epsilon}$ jobs in J_1 assigned to each core and thus we get that the number of possible assignment patterns is at most $O((\frac{1}{\epsilon})^{(\frac{1}{\epsilon^2})}) = O(1)$. Since the number of assignment patterns we consider is O(1), it follows that the number of possible configurations of cores is $O(c^{O(1)})$.

Since our algorithm spends a polynomial time per configuration of cores then Lemma 4.10 implies that our algorithm runs in polynomial-time.

Lemma 4.11. For any configuration of cores T there is an assignment matching T of minimal cache usage among all assignments matching T, that for each $q \in Q$ assigns the $\sum_{i=s(q)}^{e(q)} \alpha_i$ least cache demanding jobs in $J_1(q)$ (i.e. the set of jobs Y(q)) to the cores $s(q), \ldots, e(q)$.

Proof. Consider a job assignment S of minimal cache usage that matches T. Assume that for some $q \in Q$ assignment S does not assign all the jobs in Y(q) to the cores $s(q), \ldots, e(q)$. So there is a core $i \in [s(q), e(q)]$ that runs a job $j \in J_1(q) \setminus Y(q)$.

Since S assigns $\sum_{i=s(q)}^{e(q)} \alpha_i$ jobs from $J_1(q)$ to cores $s(q), \ldots, e(q)$ and since jobs in $J_1(q)$ cannot

be assigned to cores i' > e(q), it follows that there is a core i' < s(q) and a job $j' \in Y(q)$ such S(j') = i'. Suppose we switch the assignment of jobs j and j' and run job j on core i' and job j' on core i. Let S' denote the resulting assignment. The cache required by core i' does not increase, as it runs demanding jobs of rounded down load greater than q and therefore of cache demand greater than the cache demand of job j. By the choice of the jobs j and j' we know that $x_{j'} \leq x_j$ and therefore the cache required by core i in S' can only decrease compared to the cache required by core i in S. It follows that the cache usage of S' is at most that of S and since S is of the minimal cache usage of all assignments that match T, we get that the cache usage of S' must be the same as of S.

By repeating this argument as long as there is a job that violates Lemma 4.11, we obtain an assignment as required. $\hfill \Box$

Lemma 4.12. For any configuration of cores T, Let S be an assignment matching T such that for each $q \in Q$ and for each core $i \in [s(q), e(q)]$, if we index the jobs in Y(q) from the most cache demanding to the least cache demanding, assignment S assigns to core i the jobs in Y(q) of indices $\sum_{j=s(q)}^{i-1} \alpha_j + 1, \ldots, \sum_{j=s(q)}^{i} \alpha_j$. Assignment S is of minimal cache usage, among all assignments matching T.

Proof. Assume to the contrary that assignment S is not of minimal cache usage, among all assignments matching T. Let S' be an assignment whose existence is guaranteed by Lemma 4.11. Since S and S' have different cache usages, there exists $q \in Q$ such that S and S' differ on their assignment

of the jobs in Y(q). We index the jobs in Y(q) from the most cach demanding to the least cache demanding. Let $j \in Y(q)$ be the first job (most cache demanding) in Y(q) such that $S(j) \neq S'(j)$. We select S' such that it maximizes j among all assignments satisfying Lemma 4.11 that disagree with S on the assignment of the jobs in Y(q).

Denote i = S(j) and i' = S'(j). Since S and S' both assign α_i jobs from Y(q) to core i and since j is the first job in Y(q) on which S and S' disagree, then there is a job $j_2 \in Y(q), j_2 > j$ such that $S'(j_2) = i$.

We first assume that there is a job $j_1 < j$ such that $S(j_1) = i$. Let S'' be the assignment such that S''(j) = i, $S''(j_2) = i'$ and for any job $h \notin \{j, j_2\}$, S''(h) = S'(h). The cache required by core i' in S'' is at most the cache required by core i' in S', since $j < j_2$. Since $j_1 < j$ and $S(j_1) = i$, we know that $S'(j_1) = i$ and also $S''(j_1) = i$. This implies that in S'', core i requires the same amount of cache as in S'. It follows that S'' is also an assignment of minimal cache usage, and that it satisfies Lemma 4.11. Since S''(j) = S(j), we get a contradiction to the way we selected S'. Thus S is of minimal cache usage, among all assignments matching T.

We now assume that j is the first job in Y(q) such that S(j) = i. Let S'' be the following assignment. Any job that is assigned by S' to a core different than i and i' is assigned by S'' to the same core. For any job x such that S'(x) = i', S''(x) = i. All the $\alpha_{i'}$ least cache demanding jobs assigned by S' to core i are assigned by S'' to core i'. Note that $\alpha_i \ge \alpha_{i'}$ and therefore assignment S'' is well defined.

Since S and S' agrees on the assignment of jobs $\hat{j} < j$ in Y(q) and assign them to cores l < i, then job j is the most cache demanding job assigned to cores $l \ge i$ by S' and S''. Therefore in assignment S', core i' requires x_j cache and in assignment S'' core i requires x_j cache. In assignment S'', core i' is assigned a set of jobs that is a subset of the jobs assigned to core i by S'. Thus the cache required by core i' in assignment S'', is at most the cache required by core i in assignment S'. It follows that S'' is also an assignment of minimal cache usage, and that it satisfies Lemma 4.11. This contradicts the choice of S' and concludes the proof that assignment S is of minimal cache usage, among all assignments matching T.

Corollary 4.13. For each configuration of cores T our algorithm builds an actual assignment of minimal cache usage of the jobs in J_1 that matches T.

Proof. The assignment returned by our algorithm is an assignment S, as in the statement of Lemma 4.12.

Lemma 4.14. Consider an instance of the correlative single load minimal cache demand problem. If there is a cache partition and job assignment that schedules the jobs on c cores, uses at most K cache and has a makespan of at most 1 then our algorithm finds a cache partition and job assignment that schedules the jobs on c cores, uses at most K cache and has a makespan of at most $(1 + 2\epsilon)$.

Proof. Let A be a solution of makespan at most 1 with c cores and K cache, whose existence is assumed by the lemma. Let T_A be the configuration of the cores corresponding to the assignment of the jobs in J_1 by solution A and assume our algorithm currently considers T_A in its enumeration.

We show that our algorithm succeeds in assigning all the jobs to c cores. Let's assume to the contrary that it fails. It can only fail if all cores are assigned an actual load of more than $(1 + \epsilon)$ and there are still remaining jobs to assign. This indicates that the total volume to assign is larger

than $c(1 + \epsilon)$, which contradicts the fact that assignment A is able to assign the jobs to c cores with makespan at most 1.

Let S denote the assignment of all jobs on c cores that out algorithm returns when it considers T_A . We know that S matches T_A for jobs in J_1 . We now show that in S each core has an actual load of at most $1 + 2\epsilon$. When we restrict S to J_1 we know that the rounded down load on each core is at most 1 and that each core has at most $\frac{1}{\epsilon}$ jobs from J_1 assigned to it. Since the actual load of any job in J_1 is at most ϵ^2 larger than its rounded down load, we get that if we restrict assignment S to J_1 , the actual load on each core is at most $1 + \frac{\epsilon^2}{\epsilon} = 1 + \epsilon$. The way our algorithm assigns the jobs in J_2 implies that the actual load of a core in assignment S can only exceed $1 + \epsilon$ by the load of a single job from J_2 . Therefore the actual load on any core in assignment S is at most $1 + 2\epsilon$.

We show that assignment S uses at most K cache. Cache is allocated by our algorithm in two steps: when it decides on the actual assignment of the jobs in J_1 that matches T_A and when it assigns the demanding jobs in J_2 . Lemma 4.13 shows that S restricted to J_1 is of minimal cache usage of all assignments matching T_A and thus uses at most the same amount of cache as assignment A restricted to J_1 .

We show that when we also take into account the demanding jobs in J_2 , S uses at most the same amount of cache as A. Assume the cores in S are indexed according to the order in which our algorithm assigns demanding jobs from J_2 to them. Assume the cores in A are indexed such that core i in S and core i in A have the same assignment pattern. For any core in S, we say that its *free space* is $(1 + \epsilon)$ minus the sum of the actual loads of all jobs in J_1 assigned to it by S. For any core in A, we say that its free space is 1 minus the sum of the actual loads of all jobs in J_1 assigned to it by S. For any core in A, we say that its free space is 1 minus the sum of the actual loads of all jobs in J_1 assigned to it by A. For any i, core i in S has the same rounded down load as core i in A and the actual load of core i in S is at most ϵ larger than the actual load of core i in A. Therefore, by the definition of free space, the free space of core i in solution S is at least the free space of core i in solution A.

Let i_2 be the number of cores in S that have a demanding job from J_1 assigned to them. When our algorithm assigns jobs in J_2 to a core $i \leq i_2$, it does not increase the cache required by core isince any job in J_1 is at least as cache demanding as any job in J_2 . It follows that the total cache required by cores $1, \ldots, i_2$ in S is at most the total cache required by cores $1, \ldots, i_2$ in A.

Let $i > i_2$ be a core in S whose cache demand is determined by a job from J_2 . We now show that core i in S requires no more cache than core i in A. This will conclude the proof that S uses at most K cache.

The total load of demanding jobs in J_2 that S assigns to cores $1, \ldots, i-1$ is at least the sum of the free space of these cores, since our algorithm exceeds an actual load of $1 + \epsilon$ on each core before moving the next. The sum of the free space of cores $1, \ldots, i-1$ in S is at least the sum of the free space of the cores $1, \ldots, i-1$ in A, which in turn is an upper bound on the total load of demanding jobs from J_2 that are assigned in A to cores $1, \ldots, i-1$. Since our algorithm assigns the demanding jobs in J_2 in a non-increasing order of their cache demand we get that the cache demand of the most cache demanding job from J_2 on core i in S is at most the cache demand of the most cache demanding job in J_2 on core i in A.

Lemma 4.14 shows that for any $\epsilon' > 0$, we have a polynomial time $(1 + 2\epsilon')$ -approximate decision algorithm. Given $\epsilon > 0$, by applying our algorithm with $\epsilon' = \epsilon/2$ we obtain a polynomial time $(1 + \epsilon)$ -approximate decision algorithm.

By using a binary search similar to the one in Lemma 4.4 we obtain an $(1 + \epsilon)$ -approximation for the optimization problem, using our $(1 + \epsilon)$ -approximate decision algorithm. To conclude, we have proven the following theorem.

Theorem 4.15. There is a polynomial time approximation scheme for the joint cache partition and job assignment problem, when the jobs have a correlative single load and minimal cache demand.

5 Step functions with a constant number of load types

Empirical studies [3] suggest that the load of a job, as a function of available cache, is often similar to a step-function. The load of the job drops at a few places when the cache size exceeds the working-set required by some critical part. In between these critical cache sizes the load of the job decreases negligibly with additional cache. The problems we consider in this section are motivated by this observation.

Formally, each job $j \in J$ is described by two load values $l_j < h_j$ and a cache demand $x_j \in \{0, \ldots, K\}$. If job j is running on a core with at least x_j cache then it takes l_j time and otherwise it takes h_j time. If a job is assigned to a core that meets its cache demand, x_j , we say that it is assigned as a small job. If it is assigned to a core that doesn't meet its cache demand we say that it is constant and then we show a polynomial time scheduling algorithm for the corresponding special case of the ordered unrelated machines scheduling problem.

Let $L = \{l_j \mid j \in J\}$ and $H = \{h_j \mid j \in J\}$, the sets of small and large loads, respectively. Here we assume that |L| and |H| are both bounded by a constant.

For each $\alpha \in L$, $\beta \in H$, we say that job j is of small type α if $l_j = \alpha$ and we say that job j is of large type β if $h_j = \beta$. If job j is of small type α and large type β we say that it is of load type (α, β) . Note that jobs j_1, j_2 of the same load type may have different cache demands $x_{j_1} \neq x_{j_2}$ and thus if we take cache demands into account the number of different job types is $\Omega(K)$ and not O(1).

We reduce this problem to the single load minimal cache demand problem studied in Section 4. For each load type (α, β) , we enumerate on the number, $x(\alpha, \beta)$, of the jobs of load type (α, β) that are assigned as small jobs. For each setting of the values $x(\alpha, \beta)$ for all load types, we create an instance of the single load minimal cache demand problem in which each job corresponds to a job in our original instance. For each job j which is one of the $x(\alpha, \beta)$ most cache demanding jobs of load type (α, β) we create a job of load β and cache demand 0. For each job j of load type (α, β) which is not one of the $x(\alpha, \beta)$ most cache demanding job of this load type, we create a job of load α and cache demand x_j . We solve each of the resulting instances using any algorithm for the single load minimal cache demand problem presented in Section 4, and choose the solution with the minimal makespan. We transform this solution back to a solution of the original instance, by replacing each job with its corresponding job in the original instance. Note that this does not affect the makespan or the cache usage.

Lemma 5.1. Given a polynomial time α -approximation algorithm for the single load minimal cache demand problem that uses at most βK cache, the reduction described above gives a polynomial time α -approximation algorithm for the problem where job loads are step functions with a constant number of load types, that uses at most βK cache.

Proof. Consider an instance of the joint cache partition and job assignment problem with load functions that are step functions with a constant number of load types. Assume there is a solution

A for this instance of makespan m that uses at most K cache. Let $x(\alpha, \beta)$ be the number of jobs of load type (α, β) that are assigned in A as large jobs. W.l.o.g we can assume that that for each (α, β) , the $x(\alpha, \beta)$ jobs that are assigned as large jobs are the $x(\alpha, \beta)$ most cache demanding jobs of load type (α, β) . The existence of A implies that when our algorithm considers the same values for $x(\alpha, \beta)$, for each (α, β) , it generates an instance of the single load cache demand problem that has a solution of makespan at most m and at most K cache. Applying the α -approximation algorithm for the single load minimal cache demand problem, whose existence in assumed by the lemma, on this instance yields a solution of makespan at most αm that uses at most βK cache. This solution is transformed to a solution of our original instance without affecting the makespan or the cache usage.

Our algorithm runs in polynomial time since the size of the enumeration is $O(n^{|L||H|})$.

Corollary 5.2. For instances in which the load functions are step functions with a constant number of load types there are polynomial time approximation algorithms that approximate the makespan up to a factor of 2, $\frac{3}{2}$ and $\frac{4}{3}$ and use at most K, 2K and 3K, respectively.

5.1 The corresponding special case of ordered unrelated machines

Recall that if we fix the cache partition in an instance of the joint cache partition and job assignment problem then we obtain an instance of the ordered unrelated machines scheduling problem. For the case where the load functions are step functions with a constant number of load types, the resulting ordered unrelated machines instance can be solved in polynomial time using the dynamic programming algorithm described below. The dynamic program follows a structure similar to the one used in [4], where polynomial time approximation schemes are obtained for several variants of scheduling with restricted processing sets.

In this special case of the ordered unrelated scheduling problem job j runs in time l_j on some prefix of the machines, and in time h_j on the suffix (we assume that the machines are ordered in non-increasing order of their strength/cache allocation). For simplicity, we assume x_j is given as the index of the first machine on which job j has load h_j . If job j takes the same amount of time to run regardless of cache, we assume $x_j = c + 1$ and its load on any machine is l_j . As before, we assume that $L = \{l_j \mid j \in J\}$ and $H = \{h_j \mid j \in J\}$ are of constant size.

We design a polynomial time algorithm that finds a job assignment that minimizes the makespan. The algorithm does a binary search for the optimal makespan, as in Section 4.4, using an algorithm for the following decision problem: Is there an assignment of the jobs J to the c machines with makespan at most M? By scaling the loads, we assume that M = 1.

For every machine m, we define $S_m = \{j \in J \mid x_j = m+1\}$, the set of all jobs that are large on machine m+1 and small on any machine $i \leq m$. Let $S_m(\alpha, \beta) = \{j \in S_m \mid l_j = \alpha, h_j = \beta\}$ and $b_m(\alpha, \beta) = |S_m(\alpha, \beta)|$. It is convenient to think of b_m as a vector in $\{0, \ldots, n\}^{L \times H}$.

Let $a \in \{0, ..., n\}^{L \times H}$, $\delta \in \{0, ..., n\}^{H}$ and m be any machine. Let J(m, a) be a set of jobs which contains all the jobs in $\bigcup_{i=1}^{m} S_i$ together with additional $a(\alpha, \beta)$ jobs of load type (α, β) from $\bigcup_{i=m+1}^{c} S_i$, for each load type (α, β) . Let $\pi_m(a, \delta)$ be 1 if we can schedule all the jobs in J(m, a),

except for $\delta(\beta)$ jobs of each large load type β , on the first *m* machines. Note that since the additional jobs specified by *a* are small on all machines $1, \ldots, m, \pi_m(a, \delta)$ does not depend on the additional jobs' identity. Our original decision problem has a solution if and only if $\pi_c(\vec{0}, \vec{0}) = 1$.

Consider the decision problem $\pi_1(a, \delta)$. We want to decide if it is possible to schedule the jobs in J(1, a), except for $\delta(\beta)$ jobs of each large load type β , on machine 1. To decide this, our algorithm chooses the $\delta(\beta)$ jobs of each large job type β that have the largest small loads and removes them from J(1, a). If the sum of the small loads of the remaining jobs is at most 1, then $\pi_1(a, \delta) = 1$, and otherwise $\pi_1(a, \delta) = 0$.

To solve $\pi_m(a, \delta)$ we enumerate, for each load type (α, β) , on $\xi(\alpha, \beta)$, the number of jobs in J(m, a) of this load type that are assigned as small jobs to machine m. Note that these jobs are either in $S_m(\alpha, \beta)$ or in the additional set of $a(\alpha, \beta)$ jobs of type (α, β) . For each $\beta \in H$, we enumerate on the number $\lambda(\beta)$ of jobs in J(m, a) of large load type β that are assigned as large jobs to machine m. The following lemma is the basis for our dynamic programming scheme. Its proof is straightforward.

Lemma 5.3. We can schedule all the jobs in J(m, a) except for $\delta(\beta)$ jobs of large load type β (for each $\beta \in H$) on machines $1, \ldots, m$ with makespan at most 1 such that $\xi(\alpha, \beta)$ jobs of load type (α, β) are assigned to machine m as small jobs and $\lambda(\beta)$ jobs of large load type β are assigned to machine m as large jobs if and only if the following conditions hold:

- For each $(\alpha, \beta) \in L \times H$, $\xi(\alpha, \beta) \leq a(\alpha, \beta) + b_m(\alpha, \beta)$: The number of jobs of each load type that we assign as small jobs to machine m is at most the number of jobs in J(m, a) of this load type that are small on machine m.
- $\sum_{\beta \in H} \lambda(\beta)\beta + \sum_{(\alpha,\beta)\in L\times H} \xi(\alpha,\beta)\alpha \leq 1$. The total load of the jobs assigned to machine *m* is at most 1
- Let $a' = a + b_m \xi$ and $\delta' = \delta + \lambda$ then $\pi_{m-1}(a', \delta') = 1$. The jobs in J(m-1, a'), except for $\delta'(\beta)$ jobs of large load β for each $\beta \in H$, can be scheduled on machines $1, \ldots, m-1$ with makespan at most 1.

The algorithm for solving $\pi_m(a, \delta)$ sets $\pi_m(a, \delta) = 1$ if it finds λ and ξ such that the conditions in Lemma 5.3 are met. If the conditions are not met for all λ and ξ then $\pi_m(a, \delta) = 0$.

Our dynamic program solves $\pi_m(a, \delta)$ in increasing order of m from 1 to c and returns the result of $\pi_c(\vec{0}, \vec{0})$. The correctness of the dynamic program follows from Lemma 5.3 and from the fact that for m = 1, our algorithm chooses the jobs that it does not assign to machine 1 such that the remaining load on machine 1 is minimized. Therefore we set $\pi_1(a, \delta) = 1$ if and only if there is a solution of makespan at most 1.

By adding backtracking links, our algorithm can also construct a schedule with makespan at most 1. We maintain links between each $\pi_m(a, \delta)$ that is 1 to a corresponding $\pi_{m-1}(a', \delta')$ that is also 1, according to the last condition in Lemma 5.3. Tracing back the links from $\pi_c(\vec{0}, \vec{0})$ gives us an assignment with makespan at most 1 as follows. Consider a link between $\pi_m(a, \delta)$ and $\pi_{m-1}(a', \delta')$. This defines $\lambda = \delta' - \delta$ and $\xi = a + b_m - a'$. For each (α, β) we assign to machine m, $\xi(\alpha, \beta)$ arbitrary jobs of load type (α, β) from $\bigcup_{i=m}^{c} S_i$ that we have not assigned already, and we reserve $\lambda(\beta)$ slots of load β on machine m to be populated with jobs later. Our algorithm guarantees that the load on machine m is at most 1. When we reach $\pi_1(a, \delta)$, for some a and δ , in the backtracking phase, we have $\delta(\beta)$ slots of size β allocated on machines $2, \ldots, m$. The $\delta(\beta)$ jobs of large load β with the largest small loads in J(1, a) are assigned to these slots. Note that these jobs may be large on their machine and have a load of β or they may be small and have a load smaller than β . In any case, the resulting assignment assigns all the jobs in J and has a makespan of at most 1.

The number of problems $\pi_m(a, \delta)$ that our dynamic program solves is $O(cn^{|L||H|}) = O(cn^{O(1)})$. To solve each problem, we check the conditions in Lemma 5.3 for $O(n^{|L||H|})$ possible λ 's and ξ 's. This takes O(1) per λ and ξ since we already computed $\pi_{m-1}(a', \delta')$ for every a' and δ' . Thus the total complexity of this algorithm is polynomial. This concludes the proof of the following theorem.

Theorem 5.4. Our dynamic programming algorithm is a polynomial-time exact optimization algorithm for the special case of the ordered unrelated machines scheduling problem, where each job j has load l_i on some prefix of the machines, and load $h_i \ge l_i$ on the corresponding suffix.

6 Joint dynamic cache partition and job scheduling

We consider a generalization of the joint cache partition and job assignment problem that allows for dynamic cache partitions and dynamic job assignments. We define the generalized problem as follows. As before, J denotes the set of jobs, there are c cores and a total cache of size K. Each job $j \in J$ is described by a non-increasing function $T_j(x)$.

A dynamic cache partition p = p(t, i) indicates the amount of cache allocated to core i at time unit t^2 . For each time unit t, $\sum_{i=1}^{c} p(t, i) \leq K$. A dynamic assignment S = S(t, i) indicates for each core i and time unit t, the index of the job that runs on core i at time t. If no job runs on core i at time t then S(t, i) = -1. If $S(t, i) = j \neq -1$ then for any other core $i_2 \neq i$, $S(t, i_2) \neq j$. Each job has to perform 1 work unit. If job j runs for α time units on a core with x cache, then it completes $\frac{\alpha}{T_j(x)}$ work. A partition and schedule p, S are valid if all jobs complete their work. Formally, p, S are valid if for each job j, $\sum_{\langle t,i \rangle \in S^{-1}(j)} \frac{1}{T_j(p(t,i))} = 1$. The load of core i is defined as the maximum t such that $S(t,i) \neq -1$. The makespan of p, S is defined as the maximum load on any core. The goal is to find a valid dynamic cache partition and dynamic job assignment with a minimal makespan.

It is easy to verify that dynamic cache partition and dynamic job assignment, as defined above, generalize the static partition and static job assignment. The partition is static if for every fixed core i, p(t,i) is constant with respect to t. The schedule is a static assignment if for every job j, there are times $t_1 < t_2$ and a core i such that $S^{-1}(j) = \{ < t, i > | t_1 \le t \le t_2 \}$.

We consider four variants of the joint cache partition and job assignment problem. The static partition and static assignment variant studied so far, the variant in which the cache partition is dynamic and the job assignment is static, the variant in which the job assignment is dynamic and the cache partition is static and the variant in which both are dynamic.

Note that in the variant where the cache partition is dynamic but the job assignment is static we still have to specify for each core, in which time units it runs each job that is assigned to this core. That is, we have to specify a function S(t, i) for each core *i*. This is due to the fact that different schedules of the same set of jobs assigned to a particular core, when the cache partition is dynamic, may have different loads, since jobs may run with different cache allocations. When the cache partition is also static, the different schedules of the same set of jobs on a particular core have the same load, and it suffices to specify which jobs are assigned to which core.

²To simplify the presentation we assume that time is discrete.

We study the makespan improvement that can be gained by allowing a dynamic solution. We show that allowing a dynamic partition and a dynamic assignment can improve the makespan by a factor of at most c, the number of cores. We also show an instance where by using a dynamic partition and a static assignment we achieve an improvement factor arbitrarily close to c. We show that allowing a dynamic assignment of the jobs, while keeping the cache partition static, improves the makespan by at most a factor of 2, and that there is an instance where an improvement of $2 - \frac{2}{c}$ is achieved, for $c \geq 2$.

Given an instance of the joint cache partition and job assignment problem, we denote by O_{SS} the optimal static cache partition and static job assignment, by O_{DS} the optimal dynamic cache partition and static job assignment, by O_{SD} the optimal static cache partition and dynamic job schedule and by O_{DD} the optimal dynamic cache partition and dynamic job schedule. For any solution A we denote its makespan by M(A).

Lemma 6.1. For any instance of the joint cache partition and job assignment problem, $M(O_{SS}) \leq cM(O_{DD})$.

Proof. Let A be the trivial static partition and schedule, that assigns all jobs to the first core and allocates all the cache to this core. Let's consider any job j that takes a total of α time to run in the solution O_{DD} . Whenever a fraction of job j runs on some core with some cache partition, it has at most K cache available to it. Therefore, in solution A, when we run job j continuously on one core with K cache, it take at most α time. Since the total running time of all the jobs in solution O_{DD} is at most $cM(O_{DD})$, we get $M(O_{SS}) \leq M(A) \leq cM(O_{DD})$.

Corollary 6.2. For any instance of the joint cache partition and job assignment problem, $M(O_{SS}) \leq cM(O_{DS})$.

Proof. Clearly, $M(O_{DS}) \ge M(O_{DD})$ for any instance. Combine this with Lemma 6.1 and we get that $M(O_{SS}) \le cM(O_{DS})$

Lemma 6.3. For any $\epsilon > 0$ there is an instance of the joint cache partition and job assignment problem, such that $M(O_{SS}) > (c - \epsilon)M(O_{DS})$.

Proof. Let b be an arbitrary constant. Let's consider the following instance with two types of jobs. There are c jobs of type 1, such that for each such job j, $T_j(x) = \infty$, for x < K and $T_j(K) = 1$. There are bc jobs of type 2, such that for each such job j, $T_j(x) = bc$ if $x < \frac{K}{c}$ and $T_j(x) = 1$ if $x \ge \frac{K}{c}$.

Consider the following solution. The static job assignment runs b jobs of type 2 on each core. After b time units, it runs the c jobs of type 1 on core 1. The dynamic cache partition starts with each core getting $\frac{K}{c}$ cache. The cache partition changes after b time units and core 1 gets all the cache. This solution has a makespan of b + c and therefore $M(O_{DS}) \leq b + c$.

There is an optimal static cache partition and static job assignment that allocates to each core $0, \frac{K}{c}$ or K cache, because otherwise we can reduce the amount of cache allocated to a core without changing the makespan of the solution. This implies that there are only two static cache partitions that may be used by this solution optimal static solution: the partition in which $p(i) = \frac{K}{c}$ for each core i, and the partition that gives all the cache to a single core. It is easy to see that if we use the cache partition where $p(i) = \frac{K}{c}$ we get a solution with an infinite makespan because of the jobs of type 1. Therefore this optimal static solution uses a cache partition that gives all the cache to a single core. Given this partition, the optimal job assignment is to run all the c jobs of type 1 on

the core with all the cache, and assign to that core additional bc - (c - 1) jobs type 2. So the load on that core is bc + 1. Each of the c - 1 cores with no cache is assigned exactly one job of type 2, and each such core has a load of bc. Therefore the ratio $\frac{M(O_{SS})}{M(O_{DS})} \ge \frac{bc+1}{b+c}$. The lower bound on this ratio approaches c as b approaches infinity. Since b is an arbitrarily chosen constant, we can choose it large enough such that we get a lower bound that is greater than $c - \epsilon$, for any $\epsilon > 0$.

Corollary 6.4. For any $\epsilon > 0$ there is an instance of the joint cache partition and job assignment problem, such that $M(O_{SS}) > (c - \epsilon)M(O_{DD})$.

Proof. Consider the same instance as in the proof of Lemma 6.3. For that instance, $M(O_{SS}) > (c - \epsilon)M(O_{DS})$. It follows that $M(O_{SS}) > (c - \epsilon)M(O_{DD})$ for the instance in Lemma 6.3, since $M(O_{DS}) \ge M(O_{DD})$.

Lemma 6.5. For any instance of the joint cache partition and job assignment problem, $M(O_{SS}) \leq 2M(O_{SD})$.

Proof. Consider any instance of the joint cache partition and job assignment problem and let $O_{SD} = (p, S)$. Let x_{ij} be the fraction of job j's work unit that is carried out by core i. Formally, $x_{ij} = \frac{|\{t|(t,i)\in S^{-1}(j)\}|}{T_j(p(i))}$. Let's consider the instance of scheduling on unrelated machines where job j runs on core i in time $T_j(p(i))$. Since for every job j, $\sum_{i=1}^c x_{ij} = 1$ then x_{ij} is a fractional assignment for that instance of the unrelated machines scheduling problem. The makespan of this fractional solution is $M(O_{SD})$. Let y be the optimal fractional assignment of the defined instance of unrelated machines. We know that if we apply Lenstra's rounding theorem [7] to y, we get an integral assignment for the unrelated machines scheduling instance, denoted by z, such that the makespan of z is at most twice the makespan of y and therefore at most twice the makespan of x. Assignment z is a static job assignment problem of our original instance, with makespan at most twice $M(O_{SD})$. It follows that $M(O_{SS}) \leq 2M(O_{SD})$.

Lemma 6.6. For $c \ge 2$, there is an instance of the joint partition and scheduling problem such that $\frac{M(O_{SS})}{M(O_{SD})} = 2 - \frac{2}{c}$.

Proof. Consider the following instance. There are c jobs, where each takes $1 - \frac{1}{c}$ time regardless of the cache allocation, and one job that takes 1 time unit, regardless of cache. The optimal static schedule for this instance assigns two jobs of size $1 - \frac{1}{c}$ to the first core, assigns one job of size $1 - \frac{1}{c}$ to each of the cores $2, \ldots, c - 1$, and assigns the unit sized job to the last core. This yields a makespan of $2 - \frac{2}{c}$. The optimal dynamic assignment assigns one job of size $1 - \frac{1}{c}$ fully to each core, and then splits the unit job equally among the cores, to yield a makespan of 1. Notice that this can be scheduled in a way the the unit job will never run simultaneously on more than one core. This is achieved by running the *i*th fraction of size $\frac{1}{c}$ of the unit job on core *i* at time $\frac{i-1}{c}$. The other jobs, that are fully assigned to a single core, are paused and resumed later, if necessary, to accommodate the fractions of the unit sized job. Therefore in this instance the ratio $\frac{M(O_{SS})}{M(O_{SD})}$ is exactly $2 - \frac{2}{c}$.

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