

# Complexity of Coloring Graphs without Paths and Cycles

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**Abstract.** Let  $P_t$  and  $C_\ell$  denote a path on  $t$  vertices and a cycle on  $\ell$  vertices, respectively. In this paper we study the  $k$ -coloring problem for  $(P_t, C_\ell)$ -free graphs. Maffray and Morel, and Bruce, Hoang and Sawada, have proved that 3-colorability of  $P_5$ -free graphs has a finite forbidden induced subgraphs characterization, while Hoang, Moore, Recoskie, Sawada, and Vatschelle have shown that  $k$ -colorability of  $P_5$ -free graphs for  $k \geq 4$  does not. These authors have also shown, aided by a computer search, that 4-colorability of  $(P_5, C_5)$ -free graphs does have a finite forbidden induced subgraph characterization. We prove that for any  $k$ , the  $k$ -colorability of  $(P_6, C_4)$ -free graphs has a finite forbidden induced subgraph characterization. We provide the full lists of forbidden induced subgraphs for  $k = 3$  and  $k = 4$ . As an application, we obtain certifying polynomial time algorithms for 3-coloring and 4-coloring  $(P_6, C_4)$ -free graphs. (Polynomial time algorithms have been previously obtained by Golovach, Paulusma, and Song, but those algorithms are not certifying; in fact they are not efficient in practice, as they depend on multiple use of Ramsey-type results and resulting tree decompositions of very high widths.) To complement these results we show that in most other cases the  $k$ -coloring problem for  $(P_t, C_\ell)$ -free graphs is NP-complete. Specifically, for  $\ell = 5$  we show that  $k$ -coloring is NP-complete for  $(P_t, C_5)$ -free graphs when  $k \geq 4$  and  $t \geq 7$ ; for  $\ell \geq 6$  we show that  $k$ -coloring is NP-complete for  $(P_t, C_\ell)$ -free graphs when  $k \geq 5$ ,  $t \geq 6$ ; and additionally, for  $\ell = 7$ , we show that  $k$ -coloring is also NP-complete for  $(P_t, C_7)$ -free graphs if  $k = 4$  and  $t \geq 9$ . This is the first systematic study of the complexity of the  $k$ -coloring problem for  $(P_t, C_\ell)$ -free graphs. We almost completely classify the complexity for the cases when  $k \geq 4$ ,  $\ell \geq 4$ , and identify the last three open cases.

## 1 Introduction

Since the  $k$ -coloring problem is known to be NP-complete for any fixed  $k \geq 3$ , there has been considerable interest in studying restrictions to various graph classes. For instance the  $k$ -coloring problem is polynomially solvable for perfect graphs, since a perfect graph is  $k$ -colorable if and only if it has no subgraph isomorphic to  $K_{k+1}$ . (In fact the chromatic number of perfect graphs can also be computed in polynomial time [14].) One type of graph class that has been given wide attention in recent years is the class of  $H$ -free graphs, for various graphs  $H$  [3,4,12,15,24,29]. For example, if  $H$  contains a cycle, then  $k$ -coloring is NP-complete for  $H$ -free graphs. This follows from the fact, proved by Kamiński and Lozin [19] and independently Král, Kratochvíl, Tuza, and Woeginger [20], that, for any fixed  $k \geq 3$  and  $g \geq 3$ , the  $k$ -coloring problem is NP-complete for the class of graphs of girth at least  $g$ . Similarly, if  $H$  is a forest with a vertex of degree at least 3, then  $k$ -coloring is NP-complete for  $H$ -free graphs; this follows from [17] and [22]. Combining these results we conclude that  $k$ -coloring is NP-complete for  $H$ -free graphs, as long as  $H$  is not a linear forest, i.e., a union of disjoint paths. This focused attention on the case when  $H$  is a path. Woeginger and Sgall [29] have proved that 4-coloring is NP-complete for  $P_{12}$ -free graphs, and that 5-coloring is NP-complete for  $P_8$ -free graphs. Later on, these results were improved by various groups of researchers [3,4,12,21]. The strongest results so far are due to

Huang [18] who has proved that 4-coloring is NP-complete for  $P_7$ -free graphs, and that 5-coloring is NP-complete for  $P_6$ -free graphs. On the positive side, Hoàng, Kamiński, Lozin, Sawada, and Shu [15] have shown that  $k$ -coloring can be solved in polynomial time on  $P_5$ -free graphs for any fixed  $k$ . These results give a complete classification of the complexity of  $k$ -coloring  $P_t$ -free graphs for any fixed  $k \geq 5$ , and leave only 4-coloring  $P_6$ -free graphs open for  $k = 4$ . It should be noted that deciding the complexity of 3-coloring for  $P_t$ -free graphs seems difficult. It is not even known that whether or not there exists any  $t$  such that 3-coloring is NP-complete on  $P_t$ -free graphs. Randerath and Schiermeyer [24] have given a polynomial time algorithm for 3-coloring  $P_6$ -free graphs. As far as we know, this result has been extended to 3-coloring  $P_7$ -free graphs by Chudnovsky, Maceli, and Zhong [6,7].

One interesting aspect of the  $k$ -coloring problem is the number of minimal obstructions, i.e., minimal non- $k$ -colorable graphs. As noted above, there is a unique minimal non- $k$ -colorable perfect graph, namely  $K_{k+1}$ . It was shown by Bruce, Hoang and Sawada [5], that the set of minimal non-3-colorable  $P_5$ -free graphs is finite, while Hoang, Moore, Recoskie, Sawada, and Vatshelle [16] have shown that the set of minimal non- $k$ -colorable  $P_5$ -free graphs is infinite. These authors have also shown, aided by a computer search, that the set of minimal non-4-colorable  $(P_5, C_5)$ -free graphs is finite.

In this paper we undertake a systematic examination of  $k$ -coloring with inputs restricted to  $(P_t, C_\ell)$ -free graphs. Some results about  $k$ -coloring these graphs are known. In addition to the case of 4-coloring  $(P_5, C_5)$ -free graphs mentioned just above, it is known that when  $\ell = 3$ , each  $k$ -coloring is polynomial for  $t \leq 6$ , as  $(P_6, C_3)$ -free graphs have bounded cliquewidth. On the other hand, for  $t \geq 164$ , 4-coloring is NP-complete for  $(P_t, C_3)$ -free graphs [12]. When  $\ell = 4$ , each  $k$ -coloring is polynomial for  $(P_t, C_4)$ -free graphs [12]. When  $\ell \geq 5$ , 4-coloring is NP-complete for  $(P_t, C_\ell)$ -free graphs as long as  $t$  is large enough with respect to  $\ell$  [12]. (For  $\ell = 5$ , the bound on  $t$  is  $t \geq 21$ .)

We first focus on the number of minimal obstructions in a case in which polynomial time algorithms are known to exist, namely  $(P_6, C_4)$ -free graphs [12]. We prove that, for each  $k$ , the set of minimal non- $k$ -colorable  $(P_6, C_4)$ -free graphs is finite. We actually describe all the minimal non- $k$ -colorable  $(P_6, C_4)$ -free graphs for  $k = 3$  and  $k = 4$ , and then apply these results to derive efficient certifying  $k$ -coloring algorithms in these cases. We complement these results by showing that in most cases with  $k \geq 4, \ell \geq 4$ , the  $k$ -coloring problem for  $(P_t, C_\ell)$ -free graphs is NP-complete. Specifically, we prove that  $k$ -coloring is NP-complete for  $(P_t, C_5)$ -free graphs when  $k \geq 4$  and  $t \geq 7$ , and that  $k$ -coloring is NP-complete for  $(P_t, C_\ell)$ -free graphs when  $\ell \geq 6$  and  $k \geq 5, t \geq 6$ . We show that  $k$ -coloring is also NP-complete for  $(P_t, C_7)$ -free graphs if  $k = 4$  and  $t \geq 9$ . This almost completely classifies the complexity of  $k$ -coloring for  $(P_t, C_\ell)$ -free graphs when  $\ell \geq 4, k \geq 4$ . The few remaining open problems are listed in the last section.

We say that  $G$  is  $\mathcal{H}$ -free if it does not contain, as an induced subgraph, any graph  $H \in \mathcal{H}$ . If  $\mathcal{H} = \{H\}$  or  $\mathcal{H} = \{H_1, H_2\}$ , we say that  $G$  is  $H$ -free or  $(H_1, H_2)$ -free. For two disjoint vertex subsets  $X$  and  $Y$  we say that  $X$  is *complete*, respectively *anti-complete*, to  $Y$  if every vertex in  $X$  is adjacent, respectively non-adjacent, to every vertex in  $Y$ . A graph  $G$  is called a *minimal obstruction* for  $k$ -coloring if  $G$  is not  $k$ -colorable but any proper induced subgraph of  $G$  is  $k$ -colorable. We also call  $G$  a *minimal non- $k$ -colorable graph*. A minimal non- $(k-1)$ -colorable graph is also called a  *$k$ -critical graph*. A graph is *critical* if it is  $k$ -critical for some  $k$ . We shall use  $n$  and  $m$  to denote the number of vertices and edges of  $G$ , respectively.

## 2 Imperfect $(P_6, C_4)$ -Free Graphs

In this section, we analyze the structure of imperfect  $(P_6, C_4)$ -free graphs. Let  $G$  be a connected imperfect  $(P_6, C_4)$ -free graph. By the Strong Perfect Graph Theorem [8],  $G$  must contain an induced five-cycle, say  $C = v_0v_1v_2v_3v_4$ . We call a vertex  $v \in V \setminus C$  a  $p$ -vertex with respect to  $C$  if  $v$  has exactly  $p$  neighbors on  $C$ , i.e.,  $|N_C(v)| = p$ . We denote by  $S_p$  the set of  $p$ -vertices for  $0 \leq p \leq 5$ . In the following all indices are modulo 5. Let  $S_1(v_i)$  be the subset of  $S_1$  containing all 1-vertices that have  $v_i$  as their neighbor on  $C$ . Let  $S_3(v_i)$  be the subset of  $S_3$  containing all 3-vertices that have  $v_{i-1}$ ,  $v_i$  and  $v_{i+1}$  as their neighbors on  $C$ . Let  $S_2(v_i, v_{i+1})$  be the subset of  $S_2$  containing all 2-vertices that have  $v_i$  and  $v_{i+1}$  as their neighbors on  $C$ . Note that  $S_1 = \bigcup_{i=0}^4 S_1(v_i)$ ,  $S_2 = \bigcup_{i=0}^4 S_2(v_i, v_{i+1})$  and  $S_3 = \bigcup_{i=0}^4 S_3(v_i)$ .

A subset  $S \subseteq V$  is *dominating* if every vertex not in  $S$  has a neighbor in  $S$ . Brandstädt and Hoàng [2] proved the following fact about induced five-cycles in  $(P_6, C_4)$ -free graphs.

**Lemma 1.** ([2]) *Let  $G$  be a  $(P_6, C_4)$ -free graph without clique cutset. Then every induced  $C_5$  of  $G$  is dominating.*

In the rest of this section, we collect some information about imperfect  $(P_6, C_4)$ -free graphs. Recall that we assume that  $G$  is a connected  $(P_6, C_4)$ -free graph, and  $v_0v_1v_2v_3v_4$  is an induced five-cycle in  $G$ . Then the following properties must hold.

- (P0)  $S_5$  and each  $S_3(v_i)$  are cliques and  $S_4 = \emptyset$ .
- (P1)  $S_1(v_i)$  is complete to  $S_1(v_{i+2})$  and anti-complete to  $S_1(v_{i+1})$ ; moreover, if both sets  $S_1(v_i)$  and  $S_1(v_{i+2})$  are nonempty, then both are cliques.
- (P2)  $S_2(v_i, v_{i+1})$  is complete to  $S_2(v_{i+1}, v_{i+2})$  and anti-complete to  $S_2(v_{i+2}, v_{i+3})$ ; moreover, if both sets  $S_2(v_i, v_{i+1})$  and  $S_2(v_{i+1}, v_{i+2})$  are nonempty, then both are cliques.
- (P3)  $S_3(v_i)$  is anti-complete to  $S_3(v_{i+2})$ .
- (P4)  $S_1(v_i)$  is anti-complete to  $S_2(v_j, v_{j+1})$  if  $j \neq i + 2$ ; moreover, if  $y \in S_2(v_{i+2}, v_{i+3})$  is not anti-complete to  $S_1(v_i)$ , then  $y$  is a universal vertex in  $S_2(v_{i+2}, v_{i+3})$ .
- (P5)  $S_1(v_i)$  is anti-complete to  $S_3(v_{i+2})$ .
- (P6)  $S_2(v_{i+2}, v_{i+3})$  is anti-complete to  $S_3(v_i)$ .
- (P7) One of  $S_1(v_i)$  and  $S_2(v_{i+3}, v_{i+4})$  is empty, and one of  $S_1(v_i)$  and  $S_2(v_{i+1}, v_{i+2})$  is empty.
- (P8) One of  $S_2(v_{i-1}, v_i)$ ,  $S_2(v_i, v_{i+1})$  and  $S_2(v_{i+2}, v_{i+3})$  is empty.
- (P9) If both  $S_1(v_{i-1})$  and  $S_1(v_{i+1})$  are nonempty, then  $S_2 = \emptyset$ ; if both  $S_1(v_i)$  and  $S_1(v_{i+1})$  are nonempty, then  $S_2 = S_2(v_i, v_{i+1})$ .
- (P10) Let  $x \in S_3(v_i)$ . If both  $S_2(v_{i+1}, v_{i+2})$  and  $S_2(v_{i+3}, v_{i+4})$  are nonempty, then  $x$  is either complete or anti-complete to  $S_2(v_{i+1}, v_{i+2}) \cup S_2(v_{i+3}, v_{i+4})$ . In the former case, both  $S_2(v_{i+1}, v_{i+2})$  and  $S_2(v_{i+3}, v_{i+4})$  are cliques. Moreover, if  $S_2(v_{i+2}, v_{i+3})$  is also nonempty, then  $x$  is anti-complete to  $S_2(v_{i+1}, v_{i+2}) \cup S_2(v_{i+3}, v_{i+4})$ .
- (P11) If  $S_1(v_i)$  is not anti-complete to  $S_2(v_{i+2}, v_{i+3})$  then  $S_1 = S_1(v_i)$ .
- (P12) If  $G$  has no clique cutset, then  $S_1(v_i)$  is complete to  $S_3(v_i)$ .

The proofs of these properties are simple, using the absence of induced copies of  $P_6$  and  $C_4$ . The proof of property (P12) also uses Lemma 1.

### 3 Obstructions to $k$ -coloring

In this section we shall prove our first main result, that for each  $k$ , there are only finitely many minimal non- $k$ -colorable  $(P_6, C_4)$ -free graphs. In subsequent sections we then describe all minimal non-3-colorable and non-4-colorable  $(P_6, C_4)$ -free graphs, and apply these characterizations to obtain polynomial time certifying algorithms for the 3-coloring and the 4-coloring problems on  $(P_6, C_4)$ -free graphs.

The following lemma is folklore.

**Lemma 2.** *A minimal non  $k$ -colorable graph  $G$  has  $\delta(G) \geq k$  and no clique cutset.*

Let  $P$  be the graph obtained from the Peterson graph by adding one new vertex that is adjacent to every vertex of  $P$ . A graph is called *specific* if it results from replacing each vertex of  $P$  by a clique of arbitrary size (including possibly size 0, resulting in deleting the vertex).

**Lemma 3.** *([2]) Let  $G$  be a  $(P_6, C_4)$ -free graph without a clique cutset. Then either  $G$  is specific, or every induced  $C_6$  of  $G$  is dominating. Moreover, there is a linear time algorithm to decide whether or not  $G$  is specific.*

We are now ready to prove the main result of this section, the finiteness of the number of minimal obstructions for  $k$ -coloring  $(P_6, C_4)$ -free graphs. It should be observed that this result is best possible in the sense that there are infinitely many minimal non- $k$ -colorable  $P_6$ -free graphs and infinitely many minimal non- $k$ -colorable  $C_4$ -free graphs. The former fact follows from [16] where it is shown that there are infinitely many minimal non- $k$ -colorable  $P_5$ -free graphs, and the latter fact follows from [10] where it is shown that there are non- $k$ -colorable graphs of arbitrarily high girth.

**Theorem 1.** *For any  $k$ , there are only finitely many minimal non- $k$ -colorable  $(P_6, C_4)$ -free graphs.*

**Proof.** Let  $G$  be a  $(P_6, C_4)$ -free minimal non- $k$ -colorable graph. By Lemma 2,  $G$  has  $\delta(G) \geq k$  and no clique cutset. If  $G$  contains  $K_{k+1}$ , then  $G = K_{k+1}$ . Thus we assume that that  $G$  is  $K_{k+1}$ -free. If  $G$  contains an induced  $C = C_6$ , then either  $G$  is specific or  $C$  is dominating by Lemma 3. In the former case, the size of  $G$  is bounded by the definition of specific graph and the fact that  $G$  is  $K_{k+1}$ -free. In the latter case, we analyze the remaining vertices as to their connection to  $C$ , analogously to what we did in the previous section for  $C$  being a five-cycle. We define again, for any  $X \subseteq C$  the set  $S(X)$  to consist of all vertices not in  $C$  that have  $X$  as their neighborhood on  $C$ . Using the fact that  $G$  is  $(P_6, C_4)$ -free, we derive easily the fact that  $S(X) = \emptyset$  if  $X$  has size at most two, and that  $S(X)$  is a clique and thus of size at most  $k$ , if  $|X| \geq 3$ . Since there are at most  $2^6$  such set  $X$ , we conclude that  $G$  has at most  $64k$  vertices.

Therefore, we assume from now on that  $G$  is  $K_{k+1}$ -free,  $C_6$ -free, and contains an induced five-cycle  $C = v_0v_1v_2v_3v_4$ . Since  $G$  is  $K_{k+1}$ -free,  $|S_5| \leq k - 2$  and  $|S_3(v_i)| \leq k - 2$  for each  $i$ .

**Lemma 4.** *If  $S_1(v_i)$  is anti-complete to  $S_2(v_{i+2}, v_{i+3})$ , then both sets are bounded.*

**Proof of Lemma 4.** It suffices to prove this for  $i = 0$ . We bound  $S_1(v_0)$  as follows. Let  $A$  be a component of  $S_1(v_0)$  and  $x \in S_3(v_4)$ . If there exist two vertices  $y, z \in A$  such that  $xy \in E$  and  $xz \notin E$ , then we may assume that  $yz$  is an edge, by the connectivity of  $A$ . Thus,  $zyxv_4v_3v_2$  induces a  $P_6$ . This is a contradiction and therefore  $x$  is either complete or anti-complete to  $A$ . Moreover,  $x$  is complete to  $S_3(v_0)$  if  $x$  is complete to  $A$ , as  $G$  is  $C_4$ -free. The same property holds if  $x \in S_3(v_1)$ . Since  $G$  has

no clique cutset,  $A$  must be complete to a pair of vertices  $\{x, y\}$  where  $x \in S_3(v_1)$  and  $y \in S_3(v_4)$ . As  $G$  is  $C_4$ -free,  $A$  must be a clique and so of size at most  $k$ . Moreover, the number of components of  $S_1(v_0)$  is at most  $(k-2)^2$ . Otherwise an induced  $C_4$  would arise by the pigeonhole principle and the fact there are at most  $(k-2)^2$  pairs of vertices  $\{x, y\}$  with  $x \in S_3(v_1)$  and  $y \in S_3(v_4)$ . Hence,  $|S_1(v_0)| \leq k(k-2)^2 \leq k^3$ .

Let us now consider  $S_2(v_2, v_3)$ . Let  $A$  be a component of  $S_2(v_2, v_3)$ . Observe first that a vertex  $x \in S_3(v_2) \cup S_3(v_3)$ , is either complete or anti-complete to  $A$ , as  $G$  is  $P_6$ -free. Let  $S'_3(v_3)$  and  $S'_3(v_2)$  be the subsets of  $S_3(v_3)$  and  $S_3(v_2)$  consisting of all vertices that are complete to  $A$ , respectively. Moreover,  $S'_3(v_3)$  and  $S'_3(v_2)$  are complete to each other. Otherwise  $v_0v_1t'ztv_4$  would induce a  $C_6$  where  $t \in S'_3(v_3)$  and  $t' \in S'_3(v_2)$  with  $tt' \notin E$ , and  $z \in A$ . So, if  $A$  is anti-complete to  $S_3(v_1) \cup S_3(v_4)$ , then  $V' = S_5 \cup \{v_2, v_3\} \cup S'_3(v_2) \cup S'_3(v_3)$  would be a clique cutset of  $G$ .

Therefore, the set  $T$  of neighbors of  $S_3(v_1) \cup S_3(v_4)$  in  $A$  is nonempty. Let  $B$  be a component of  $A \setminus T$ . Our goal is to show that  $B = \emptyset$  by a similar clique cutset argument. It is not hard to see that every vertex  $t \in T$  is either complete or anti-complete to  $B$  as  $G$  is  $P_6$ -free. Let  $T' \subseteq T$  be the set of those vertices that are complete to  $A$ . By the definition of  $T'$ , any  $t \in T'$  is complete to  $\{v_2, v_3\} \cup S'_3(v_2) \cup S'_3(v_3)$ . Let  $x \in S_5$  and  $t \in T'$  be a neighbor of some vertex  $y \in S_3(v_1)$ . Then  $xytv_3 \neq C_4$  implies that  $tx \in E$ . Hence,  $T'$  is complete to  $S_5$ .

Next we show that  $T'$  is a clique. Let  $t$  and  $t'$  be any two vertices in  $T'$ , and  $p \in B$ . If  $t$  is a neighbor of some vertex in  $S_3(v_4)$  and  $t'$  is a neighbor of some vertex in  $S_3(v_1)$ , then  $v_0v_1t'ptv_4$  would induce a  $C_6$ , unless  $tt' \in E$ . Now we assume that both  $t$  and  $t'$  are neighbors of some vertex in  $S_3(v_4)$ . If  $t$  and  $t'$  have a common neighbor in  $S_3(v_4)$ , then  $tt' \in E$  as  $G$  is  $C_4$ -free. So we may assume that there exist two distinct vertices  $x, x' \in S_3(v_4)$  such that  $xt, x't' \in E$  but  $xt', x't \notin E$ . If  $tt' \notin E$ , then  $C^* = xtppt'x'$  would be an induced  $C_5$ . However, this contradicts Lemma 1, since  $v_1$  is anti-complete to  $C^*$ . Therefore,  $T'$  is a clique and so  $V' \cup T'$  is a clique cutset of  $G$ . Thus,  $B = \emptyset$  and  $A = T'$ . Since  $A$  is an arbitrary component of  $S_2(v_2, v_3)$ , the above argument shows that  $S_2(v_2, v_3)$  is dominated by  $S_3(v_1) \cup S_3(v_4)$ . Note that for any vertex  $x \in S_3(v_1) \cup S_3(v_4)$ , the neighbors of  $x$  in  $S_2(v_2, v_3)$  form a clique and hence have size at most  $k$ . This shows that  $|S_2(v_2, v_3)| \leq 2k(k-2) \leq 2k^2$ .  $\square$

Now we consider the following cases.

**Case 1.** There exists some  $i$  such that  $S_1(v_i)$  and  $S_1(v_{i+2})$  are nonempty.

In this case  $S_2 = \emptyset$  by the property (P9). Further, each nonempty  $S_1(v_i)$  is a clique by (P1). Hence, the size of  $G$  is bounded.

**Case 2.** There exists some  $i$  such that  $S_1(v_i)$  and  $S_1(v_{i+1})$  are nonempty.

In this case  $S_2 = S_2(v_i, v_{i+1})$  by (P9). Further,  $S_1$  and  $S_2$  are anti-complete to each other, hence by Lemma 4, the sizes of  $S_1(v_i)$  and  $S_2(v_i, v_{i+1})$  are bounded.

**Case 3.**  $S_1 = \emptyset$ . Then the size of  $G$  is bounded by Lemma 4.

**Case 4.** There is exactly one  $S_1(v_i)$  that is nonempty. We may assume that  $S_1(v_0) \neq \emptyset$  and that  $S_1(v_0)$  is not anti-complete to  $S_2(v_2, v_3)$ . If  $S_2(v_1, v_2) \neq \emptyset$  or  $S_2(v_3, v_4) \neq \emptyset$ , then each nonempty  $S_2(v_i)$  would be a clique (and hence bounded) as  $G$  is  $C_4$ -free. So we assume that  $S_2(v_1, v_2) = S_2(v_3, v_4) = \emptyset$ . By Lemma 4,  $S_2(v_0, v_4)$  and  $S_2(v_0, v_1)$  are bounded. The remaining sets are  $S_1(v_0)$  and  $S_2(v_2, v_3)$ .

**Bounding the size of  $S_1(v_0)$ .** Let  $X \subseteq S_1(v_0)$  be the set of vertices that are not anti-complete to  $S_2(v_2, v_3)$ , let  $S'_1(v_0) = S_1(v_0) \setminus X$ , and let  $A$  be a component of  $S'_1(v_0)$ . As  $G$  is  $P_6$ -free, we conclude that any vertex  $x \in X \cup S_3(v_1) \cup S_3(v_4)$  is either complete or anti-complete to  $A$ . If  $A$  has a neighbor in both  $S_3(v_1)$  and  $S_3(v_4)$ , then  $A$  must be a clique and thus of size at most  $k$ . Further, there are at most  $k^2$  such components.

Hence, we may assume that  $A$  is anti-complete to  $S_3(v_4)$ . Let  $X' \subseteq X$  be the set of vertices that are complete to  $A$ . We claim that  $X'$  is a clique. Let  $x_i \in X'$  ( $i = 1, 2$ ) and  $p \in A$ . If  $x_1$  and  $x_2$  have a common neighbor  $y \in S_2(v_2, v_3)$ , then  $x_1x_2 \in E$  or  $x_1px_2y$  would induce a  $C_4$ . So, we assume that there exist  $y_i \in S_2(v_2, v_3)$  ( $i = 1, 2$ ) such that  $x_iy_i \in E$  but  $x_iy_j \notin E$  for  $i \neq j$ . Now  $x_1y_1y_2x_2p$  is an induced  $C_5$ , and it is anti-complete to  $v_1$ , which contradicts Lemma 1. Let  $S'_3(v_1) \subseteq S_3(v_1)$  be the set of vertices that are complete to  $A$ . By  $C_4$ -freeness of  $G$  it is easy to see that  $S'_3(v_1)$  is complete to  $X'$ . Let  $V' = \{v_0\} \cup S_3(v_0) \cup S'_3(v_1)$ . If  $A$  is anti-complete to  $X'$  or  $S_5$ , then  $G$  has a clique cutset  $V' \cup S_5$  or  $V' \cup X'$ . So,  $A$  has a neighbor  $x \in X'$  and  $p \in S_5$  with  $px \notin E$ . As  $|X'| \leq k^2$  and  $|S_5| \leq k$ , there are at most  $k^3$  such pairs of vertices. Hence, there are at most  $k^3$  such components, otherwise by the pigeonhole principle an induced  $C_4$  would arise.

Hence, it suffices to bound the size of  $A$ . Let  $R \subseteq S_5$  be the set of vertices that are not anti-complete to  $A$  and have a non-neighbor in  $X'$ . Let  $S'_5 = S_5 \setminus R$ . Note that  $X'$  and  $S'_5$  are complete to each other. Let  $T \subseteq A$  be the set of vertices that are neighbors of  $R$ . Since any  $r \in R$  has a non-neighbor  $x \in X'$ , the set  $N_A(r)$  is a clique and hence  $|N_A(r)| \leq k$ . So,  $|T| \leq k^2$ . Let  $B$  be a component of  $A \setminus T$ . Observe that any  $t \in T$  is either complete or anti-complete to  $B$ . If not, let  $bb' \in E(B)$  with  $bt \in E$  but  $b't \notin E$ . Let  $r \in R$  be a neighbor of  $t$ , let  $x \in X'$  be a non-neighbor of  $r$ , and let  $y \in S_2(v_2, v_3)$  be a neighbor of  $x$ . If  $ry \in E$  then  $tryx$  would induce a  $C_4$ . But now  $b'btrv_2y$  induces a  $P_6$ .

Let  $T' \subseteq T$  be the set of vertices that are complete to  $B$ . Note that by definition  $V^* = S'_5 \cup X' \cup V'$  is a clique. Our goal is to show that  $V^* \cup T'$  is a clique. Let  $t_i \in T'$ . If  $t_1$  and  $t_2$  have a common neighbor in  $R$ , then an induced  $C_4$  would arise unless  $t_1t_2 \in E$ . So, we assume that there exist  $r_i \in R$  ( $i = 1, 2$ ) such that  $t_ir_i \in E$  but  $t_ir_j \notin E$  for  $i \neq j$ . Let  $b \in B$ . Now  $t_1r_1r_2t_2b$  induces a  $C_5$ . Let  $x_i \in X'$  be a non-neighbor of  $r_i$  and  $y_i \in S_2(v_2, v_3)$  be a neighbor of  $x_i$ . Note that for any  $r \in S_5$ ,  $r$  is either complete or anti-complete to any edge between  $S_1(v_0)$  and  $S_2(v_2, v_3)$ . If  $x_1 = x_2$  or  $y_1 = y_2$ ,  $t_1r_1r_2t_2b$  would not be dominating. Hence,  $x_1 \neq x_2$ ,  $y_1 \neq y_2$  and  $y_ix_j \notin E$  for  $i \neq j$ . Now  $x_1x_2y_2y_1$  induces a  $C_4$ . This proves that  $T'$  is a clique. By definition,  $T'$  is complete to  $X' \cup S_3(v_0) \cup \{v_0\}$ . Let  $q \in S'_3(v_1)$  and  $t \in T'$ , and  $r \in R$  be a neighbor of  $t$ . Since  $qbtr$  does not induce a  $C_4$ , we have  $tq \in E$ . Now suppose that  $q \in S'_5$  and  $q$  has a neighbor  $b \in B$ . As  $qbtr$  does not induce a  $P_4$ , we have  $qt \in E$ . Hence,  $T'$  is complete to  $S'_3(v_1) \cup S'_5$ . We have shown that  $T'$  is complete to  $V^*$  and  $T'$  is a clique. So,  $V^* \cup T'$  is a clique cutset if  $B \neq \emptyset$ . Therefore,  $A = T$  and has size at most  $k^2$ . Thus,  $|S_1(v_0)| \leq k^2 + k^2 \times k + k^2 \times k^3 = k^2 + k^3 + k^5$ .

**Bounding the size of  $S_2(v_2, v_3)$ .** Let  $Y \subseteq S_2(v_2, v_3)$  be the set of vertices that are not anti-complete to  $S_1(v_0) \cup S_3(v_1) \cup S_3(v_4)$ . Let  $A$  be a component  $S'_2(v_2, v_3) = S_2(v_2, v_3) \setminus Y$ . As in previous case, we can show that any  $y \in Y$  is either complete or anti-complete to  $A$ . Let  $Y' \subseteq Y$  be the set of vertices that are complete to  $A$ . Since any vertex in  $S_2(v_2, v_3)$  that is not anti-complete to  $S_1(v_0)$  is a universal vertex in  $S_2(v_2, v_3)$ , we conclude that  $Y'$  is a clique. Let  $S'_3(v_3)$  and  $S'_3(v_2)$  be the subsets of  $S_3(v_3)$  and  $S_3(v_2)$  consisting of all vertices that are complete to  $A$ , respectively. Let  $V' = \{v_3, v_2\} \cup S'_3(v_2) \cup S'_3(v_3)$ . If  $A$  is anti-complete to  $S_5$  or  $Y'$ , then  $V' \cup S_5$  or  $V' \cup Y'$  would be a clique cutset. Hence,  $A$  corresponds to a pair of nonadjacent vertices  $y \in Y'$  and  $r \in S_5$  such that  $r$  is not anti-complete to  $A$ . By property (P4), each  $y \in Y$  is a dominating vertex in  $S_2(v_2, v_3)$ , and so  $|Y'| \leq |Y| \leq k$ . Since  $|Y'| \leq k$  and  $|S_5| \leq k$ , there are at most  $k^2$  components of  $S'_2(v_2, v_3)$  by the pigeonhole principle and the fact that  $G$  is  $C_4$ -free.

It suffices to bound the size of  $A$ . We define  $R \subseteq S_5$ ,  $S'_5 = S_5 \setminus R$  and  $T = N_A(R)$  as in the previous case. Then  $|T| \leq k^2$ . Let  $B$  be a component of  $A \setminus T$ . Note that any  $t \in T$  is either complete or anti-complete to  $B$ . Let  $T' \subseteq T$  be the set of vertices that are complete to  $A$ . By definition,  $V^* = V' \cup S'_5 \cup Y'$  is a clique. Moreover,  $T'$  is complete to  $V^* \setminus S'_5$ . Let  $b \in B$  be a neighbor of  $q \in S'_5$ , and let  $t \in T'$ . Then  $tb \in E$ . Let  $r \in R$  be a neighbor of  $t$ . Since  $btrq$  does not induce a  $C_4$ , we have  $tq \in E$ , as  $rb \notin E$  by definition. Hence,  $T'$  is complete to vertices in  $S'_5$  that are not anti-complete

to  $B$ . Finally, we show that  $T'$  is a clique. Let  $t_i \in T'$  for  $i = 1, 2$ . Let  $r_i \in R$  be a neighbor of  $t_i$ . If  $r_1 = r_2$ , then  $t_1t_2 \in E$  or  $t_1bt_2r_1$  would induce a  $C_4$ . So  $r_1 \neq r_2$  and  $r_it_j \notin E$  if  $i \neq j$ . Suppose that  $t_1t_2 \notin E$ . Then  $bt_1r_1r_2t_2$  induces a  $C_5$ . Let  $y_i \in Y'$  be a non-neighbor of  $r_i$ , and let  $x_i \in S_1(v_0)$  be a neighbor of  $y_i$  ( $i = 1, 2$ ). If  $y_1 = y_2$  or  $x_1 = x_2$ , then  $bt_1r_1r_2t_2$  is not dominating, contradicting Lemma 1. Hence,  $y_1 \neq y_2$  and  $y_ix_j \notin E$ . Thus,  $x_1x_2 \notin E$ . Since  $\{y_1, y_2\}$  is complete to  $A$  and thus to  $\{b, t_1, t_2\}$ , the set  $\{y_1, y_2, r_1, r_2\}$  induces a disjoint union of two copies of  $K_2$ . Moreover,  $r_ix_i \notin E$  or  $x_ir_it_iy_i$  would induce a  $C_4$ . Since  $bt_1r_1r_2t_2$  is dominating, we obtain that  $r_1x_2 \in E$  and  $r_2x_1 \in E$ . But then  $\{y_1, y_2, x_1, x_2, r_1, r_2\}$  induces a  $C_6$ , a contradiction. Hence,  $A = T$  and so has size at most  $k^2$ . Therefore,  $|S_2(v_2, v_3)| \leq k^2 + k^2 \times k^2 = k^4 + k^2$ .  $\square$

## 4 Obstructions to 3-Coloring

In this section we explicitly describe all the minimal non-3-colorable  $(P_6, C_4)$ -free graphs. We note that [23], in conjunction with [5], describe all minimal non-3-colorable  $P_5$ -free graphs, and that [16] describes all minimal non-4-colorable  $(P_5, C_5)$ -free graphs.

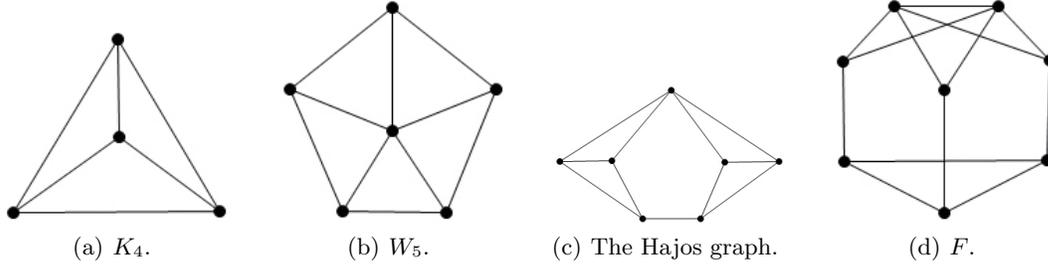


Fig. 1. All minimal non-3-colorable  $(P_6, C_4)$ -free graphs.

**Theorem 2.** *There are exactly four minimal non-3-colorable  $(P_6, C_4)$ -free graphs, depicted in Figure 1.*

**Proof.** Let  $G$  be a  $(P_6, C_4)$ -free minimal non 3-colorable graph. From the first few lines of the proof of Theorem 1 we know that  $G$  has  $\delta(G) \geq k$ , contains no clique cutset, is  $K_4$ -free, and contains an induced  $C = C_5 = v_0v_1 \dots v_4$ . We use the notation  $S_p$ ,  $S_1(v_i)$ ,  $S_2(v_i, v_{i+1})$ , and  $S_3(v_i)$  from Section 2. From Lemma 1, we have  $S_0 = \emptyset$ . It is easy to see that  $|S_5| \leq 1$ . If  $|S_5| = 1$ , then  $G = W_5$ . So we may assume that  $S_5 = \emptyset$ . If there exists an index  $i$  such that  $S_3(v_i) \neq \emptyset$  and  $S_3(v_{i+2}) \neq \emptyset$ , then  $G$  is the Hajos graph. Hence, at most two  $S_3(v_i)$ 's are nonempty. Furthermore, each  $S_3(v_i)$  is clique and contains at most one vertex, since  $G$  is  $(C_4, K_4)$ -free. Therefore,  $|S_3| \leq 2$ . We distinguish three cases.

**Case 1.**  $|S_3| = 2$ .

Without loss of generality, assume that  $S_3(v_0) = \{x\}$  and  $S_3(v_1) = \{y\}$ .  $xy \notin E$  as  $G$  is  $K_4$ -free. Also  $S_1(v_3) = \emptyset$ , otherwise let  $t \in S_1(v_3)$  and then  $tv_3v_2yv_0x = P_6$ . Moreover,  $x$  (respectively  $y$ ) is complete to  $S_2(v_3, v_4)$  (respectively  $S_2(v_2, v_3)$ ). Otherwise there exists some vertex  $z \in S_2(v_3, v_4)$  with  $xz \notin E$ . Then  $zv_3v_2yv_0x = P_6$ . Hence,  $S_2(v_3, v_4)$  and  $S_2(v_3, v_2)$  are cliques and each of them contains at most one vertex. As  $d(v_3) \geq 3$  and  $S_1(v_3) = \emptyset$ , at least one of them is nonempty. Suppose first that  $p \in S_2(v_3, v_4)$  and  $q \in S_2(v_2, v_3)$ . Then  $xp \in E$  and  $yq \in E$ . It follows from  $S_1(v_3) = \emptyset$  and property

(P7) that  $S_1 = \emptyset$ . Further,  $S_2(v_1, v_2) = S_2(v_0, v_4) = \emptyset$  by (P10). Hence we have  $S_2 = \{p, q\}$  by (P8), and therefore  $N(x) = \{v_4, v_1, v_0, p\}$ . Since  $G$  is a minimal obstruction, there exists a 3-coloring  $\phi$  of  $G - x$ . Note that we must have  $\phi(v_4) = \phi(q) = \phi(v_1)$  and  $\phi(p) = \phi(v_2) = \phi(v_0)$ . Consequently, we can extend  $\phi$  to  $G$  by setting  $\phi(x) = \{1, 2, 3\} \setminus \{\phi(v_0), \phi(v_1)\}$ . This contradicts the fact that  $G$  is not 3-colorable. Therefore, exactly one of  $S_2(v_3, v_4)$  and  $S_2(v_3, v_2)$  is empty. Without loss of generality, assume that  $S_2(v_3, v_4) = \emptyset$  and let  $z \in S_2(v_2, v_3)$ . Note that  $N(v_3) = \{v_4, v_2, z\}$ . Let  $\phi$  be a 3-coloring of  $G - v_3$ , and note that we must have  $\phi(v_4) = \phi(v_1) = \phi(z)$ . Thus we can extend  $\phi$  to  $G$ . This is a contradiction.

**Case 2.**  $|S_3| = 1$ . Without loss of generality, assume that  $x \in S_3(v_0)$ .

**Case 2.1**  $S_1(v_0) = \emptyset$ .

We claim that in this case  $S_2(v_2, v_3) = \emptyset$ . Otherwise we let  $z \in S_2(v_2, v_3)$ . Note that  $S_2(v_2, v_3)$  is independent and anti-complete to  $x$  since  $G$  is  $(C_4, K_4)$ -free. By property (P4), the set  $S_2(v_2, v_3)$  is anti-complete to  $S_1$ . Since  $\{v_2, v_3\}$  is not a clique cutset separating  $S_2(v_2, v_3)$ , one of  $S_2(v_3, v_4)$  and  $S_2(v_1, v_2)$  is nonempty. We assume by symmetry that  $S_2(v_3, v_4) \neq \emptyset$  and let  $w \in S_2(v_3, v_4)$ . By property (P7),  $S_1 = S_1(v_3)$ . Moreover,  $x$  is anti-complete to  $S_2(v_1, v_2)$  and  $S_2(v_3, v_4)$ . Otherwise consider induced  $C_5 = C' = xv_1v_2v_3v_4$ . We define  $S'_3$  with respect to  $C'$  in the same way as we define  $S_3$ . It is easy to check that  $|S'_3| \geq 2$  and we are in Case 1. Also,  $S_2(v_0, v_4) = \emptyset$ . Otherwise let  $t \in S_2(v_0, v_4)$ . Since  $xv_0twzv_2$  does not induce a  $P_6$ ,  $xt$  must be an edge, and hence  $\{x, v_0, v_4, t\}$  would induce a  $K_4$ . Therefore,  $N(x) = \{v_0, v_1, v_4\}$ . If  $S_2(v_1, v_2) \neq \emptyset$ , then in any 3-coloring  $\phi$  of  $G - x$  we would have  $\phi(v_1) = \phi(v_4)$  and so  $\phi$  can be extended to  $G$ . This contradicts that  $G$  is a minimal obstruction. Hence,  $S_2(v_1, v_2) = \emptyset$ . Note that  $S_2 = \{w, z\}$  since  $G$  is  $(C_4, K_4)$ -free, and hence  $N(v_2) = \{v_3, z, v_1\}$ . Observe that in any 3-coloring  $\phi$  of  $G - v_2$  we have  $\phi(z) = \phi(v_4) = \phi(v_1)$ . Consequently, we can extend  $\phi$  to  $G$ , and this is a contradiction. So the claim follows. By (P7), one of  $S_2(v_3, v_4)$  and  $S_1(v_2)$  is empty, and one of  $S_2(v_1, v_2)$  and  $S_1(v_3)$  is empty. On the other hand,  $S_2(v_3, v_4) \cup S_1(v_3) \neq \emptyset$  and  $S_2(v_1, v_2) \cup S_1(v_2) \neq \emptyset$  as  $\delta(G) \geq 3$ . This leads to the following two cases.

**Case 2.1.a**  $S_1(v_2) \neq \emptyset$  and  $S_1(v_3) \neq \emptyset$  while  $S_2(v_1, v_2) = S_2(v_3, v_4) = \emptyset$ .

By (P7), the set  $S_2(v_0, v_1) = S_2(v_0, v_4) = \emptyset$ , and so  $S_2 = \emptyset$ . Since  $\{v_3\}$  is not a clique cutset separating  $S_1(v_3)$ , we have  $S_1(v_1) \neq \emptyset$ . Similarly,  $S_1(v_4) \neq \emptyset$ . Let  $u_i \in S_1(v_i)$  for  $i \neq 0$ . By (P1), each  $S_1(v_i)$  is a clique, for  $i \neq 0$ . Moreover,  $|S_1(v_1)| + |S_1(v_3)| = 3$  and  $|S_1(v_2)| + |S_1(v_4)| = 3$  as  $\delta(G) \geq 3$  and  $G$  is  $K_4$ -free. If  $|S_1(v_1)| = 2$ , then  $|S_1(v_4)| = 1$  and so  $|S_1(v_2)| = 2$ . Hence,  $\{u_4, v_1, v_2\} \cup S_1(v_1) \cup S_1(v_2)$  induces a Hajos graph. Therefore,  $|S_1(v_1)| = |S_1(v_4)| = 1$  and  $|S_1(v_2)| = |S_1(v_3)| = 2$ . Note that  $x$  is anti-complete to  $\{u_1, u_4\}$  or  $G$  would contain either a  $C_4$  or a  $W_5$  as an induced subgraph. Now  $G$  has a 3-coloring:  $\{v_1, u_3, u_2, v_4\}$ ,  $\{v_0, v_3, u_1, u'_2\}$ ,  $\{x, u_4, u'_3, v_2\}$  where  $u'_2 \in S_1(v_2)$  and  $u'_3 \in S_1(v_3)$ .

**Case 2.1.b**  $S_2(v_1, v_2) \neq \emptyset$  and  $S_2(v_3, v_4) \neq \emptyset$  while  $S_1(v_2) = S_1(v_3) = \emptyset$ .

Recall that  $x$  is anti-complete to  $S_2(v_1, v_2)$  and  $S_2(v_3, v_4)$ . Let  $y \in S_2(v_3, v_4)$  and  $z \in S_2(v_1, v_2)$ . By (P8),  $S_2 = S_2(v_1, v_2) \cup S_2(v_3, v_4)$ . Since  $\{v_3, v_4\}$  is not a clique cutset,  $S_2(v_3, v_4)$  has a neighbor in  $S_1(v_1)$ . Similarly,  $S_2(v_1, v_2)$  has a neighbor in  $S_1(v_4)$ . However, this contradicts (P11).

**Case 2.2**  $S_1(v_0) \neq \emptyset$ . Let  $y \in S_1(v_0)$ .

In this case  $xy \in E$  by property (P12). It follows from properties (P7) to (P9) that  $S_2(v_1, v_2) = S_2(v_3, v_4) = \emptyset$ . If  $S_1(v_0)$  is not anti-complete to  $S_2(v_2, v_3)$ ,  $G$  would contain  $F$  as an induced subgraph and so  $G = F$ . Hence, we may assume that  $S_1(v_0)$  is anti-complete to  $S_2(v_2, v_3)$ . Therefore,  $S_2(v_2, v_3) = \emptyset$  or  $\{v_2, v_3\}$  would be a clique cutset of  $G$ . Since  $\delta(G) \geq 3$ ,  $S_1(v_2) \neq \emptyset$  and  $S_1(v_3) \neq \emptyset$ . By (P9),  $S_2 = \emptyset$ . Let  $p \in S_1(v_2)$  and  $q \in S_1(v_3)$ . Note that  $pq \notin E$ ,  $py \in E$  and  $qy \in E$ . Consider induced  $C_5 = C' = v_0v_1v_2py$ . We define  $S'_3$  and  $S'_p(v_0)$  in the same way we define  $S_3$  and  $S_p(v_0)$ . It is easy to see that  $S'_3 = S'_3(v_0) = \{x\}$ . By (P1),  $S_1(v_0)$  is a clique and hence  $S_1(v_0) = \{y\}$ . Now we are in Case 2.1 since  $S'_1(v_0) = \emptyset$ .

**Case 3.**  $|S_3| = 0$ , i.e.,  $V = C \cup S_1 \cup S_2$ .

We first claim that now  $S_1 \neq \emptyset$ . Assume that  $S_1 = \emptyset$  and thus  $S_2 \neq \emptyset$  or  $G$  is 3-colorable. Note that each  $S_2(v_i, v_{i+1})$  is an independent set. If there is exactly one nonempty  $S_2(v_i, v_{i+1})$ , then  $G$  is 3-colorable. If there are exactly three nonempty  $S_2(v_i, v_{i+1})$ 's, then each of them is a clique by property (P2). Since  $G$  is  $K_4$ -free, each  $S_2(v_i, v_{i+1})$  contains only one vertex. Therefore,  $G$  has eight vertices and it is easy to check that  $G$  is 3-colorable. Let us assume now that there are exactly two nonempty  $S_2(v_i, v_{i+1})$ . If two  $S_2(v_i, v_{i+1})$ 's are complete to each other, then we either find a  $K_4$  or conclude that  $|S_2| = 2$  so that  $G$  is 3-colorable. If two  $S_2(v_i, v_{i+1})$ 's are anti-complete to each other,  $G$  is also 3-colorable. Therefore, we may assume that  $S_1(v_0) \neq \emptyset$  and let  $x \in S_1(v_0)$ .  $S_2(v_3, v_4) = S_2(v_1, v_2) = \emptyset$  by (P7). We claim that  $S_1(v_3) \neq \emptyset$  and  $S_1(v_4) \neq \emptyset$ . Otherwise we must have  $S_2(v_2, v_3) \neq \emptyset$  and  $S_2(v_0, v_4) \neq \emptyset$ , and  $S_1(v_3) = S_1(v_4) = \emptyset$  since  $d(v_3) \geq 3$  and  $d(v_4) \geq 3$ . By properties (P7) and (P8), the set  $S_2(v_0, v_1) = S_1(v_1) = \emptyset$ . This contradicts the fact that  $\delta(G) \geq 3$ . By symmetry,  $S_1(v_1) \neq \emptyset$  and  $S_1(v_2) \neq \emptyset$ . Hence,  $S_2 = \emptyset$  and  $S_1(v_i)$  is nonempty for each  $i$ . Since  $G$  is  $K_4$ -free, we have  $5 \leq |S_1| \leq 7$ . It is easy to check that  $G$  is 3-colorable if  $|S_1| \leq 6$ . Thus  $|S_1| = 7$  and we may assume that  $|S_1(v_0)| = |S_1(v_1)| = 2$ . Let  $u_i \in S_1(v_i)$  and  $u'_0 \in S_1(v_0)$ ,  $u'_1 \in S_1(v_1)$ . The subgraph induced by  $\{u_3, u_1, v_1, v_0, u_0, u'_0, u'_1\}$  is isomorphic to the Hajos graph.  $\square$

## 5 Obstructions to 4-Coloring

**Theorem 3.** *There are exactly 13 minimal non-4-colorable  $(P_6, C_4)$ -free graphs, depicted in Figure 2.*

Our proof of Theorem 3 has two parts. The first part deals with the case when  $G$  contains an induced  $W_5$ . In the second part of the proof, we handle the case when  $G$  has no induced  $W_5$ . The technique we use is to choose some induced  $C_5$  with a certain minimality condition and derive some additional properties, valid for graphs without induced  $W_5$ .

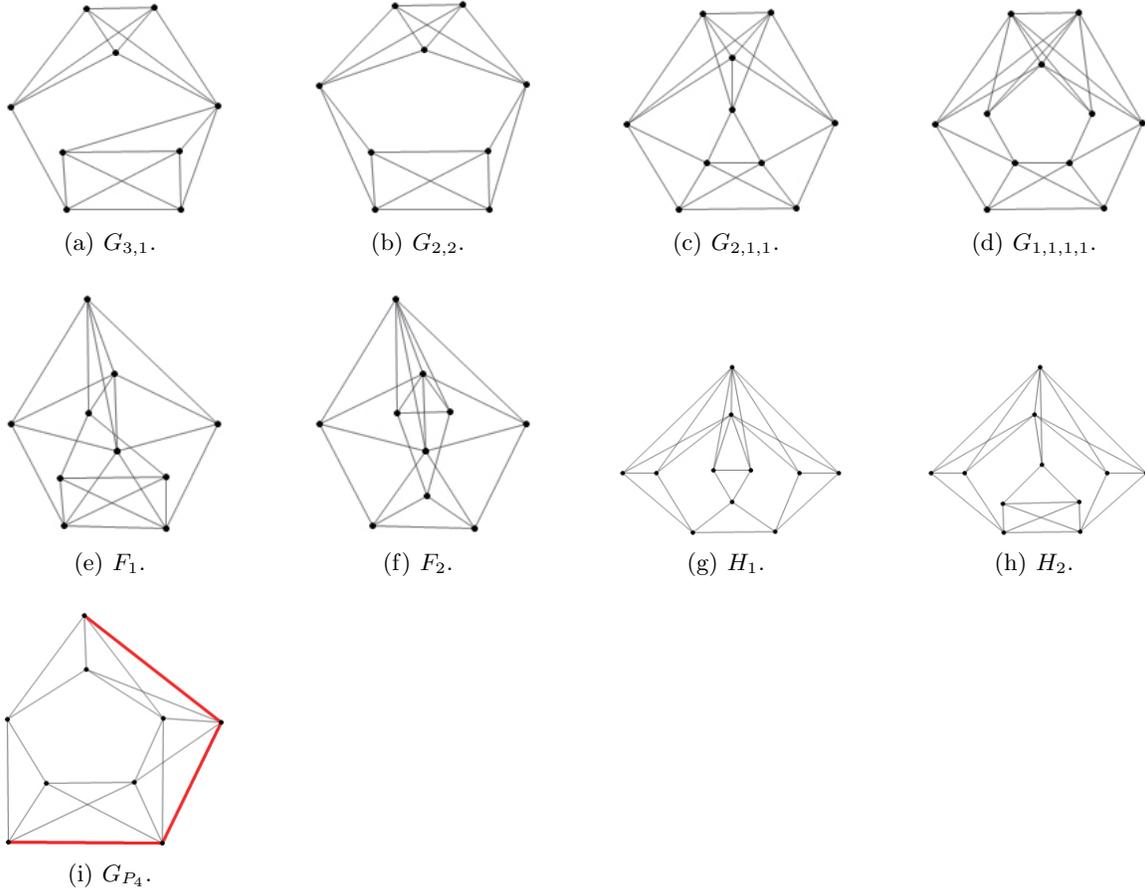
**Lemma 5.** *Let  $G$  be a  $(P_6, C_4)$ -free minimal non-4-colorable graph with an induced  $W_5$ . Then  $G$  either is one of four minimal non-3-colorable graphs with an additional dominating vertex or  $G$  is  $F_1$  or  $F_2$  from Figure 2.*

**Proof.** If  $G$  is perfect, then  $G = K_5$ . Hence, we assume that  $G$  is imperfect and  $K_5$ -free. Let  $C = v_0 \dots v_4$  be an induced  $C_5$ . If  $|S_5| \geq 2$ , then  $G$  is  $W_5$  with an additional dominating vertex. Hence we may assume that every induced  $C_5$  has at most one 5-vertex. In particular,  $|S_5| = 1$ . Let  $S_5 = \{w\}$ . Note that  $S_5$  is complete to  $S_3$ . Hence, if there exists  $i$  such that  $S_3(v_i) \neq \emptyset$  and  $S_3(v_{i+2}) \neq \emptyset$ , then  $G$  is the Hajos graph with an additional dominating vertex. So there are at most two  $S_3(v_i)$  are nonempty. Further,  $|S_3(v_i)| \leq 1$  as  $G$  contains no  $K_5$ . So  $|S_3| \leq 2$ .

**Case 1.**  $|S_3| = 2$ . Let  $x \in S_3(v_0)$  and  $y \in S_3(v_1)$ . Then  $xy \notin E$  as  $G$  contains no  $K_5$ . If  $t \in S_1(v_3)$ , then  $tv_3v_4xv_1y$  would induce a  $P_6$ . So,  $S_1(v_3) = \emptyset$ . Also,  $x$  is complete to  $S_2(v_3, v_4)$ . Otherwise let  $z \in S_2(v_3, v_4)$  with  $xz \notin E$ . Then  $zv_3v_2yv_0x$  would induce a  $P_6$ . By symmetry,  $y$  is complete to  $S_2(v_2, v_3)$ . Note that  $N(v_3) = \{v_2, v_4, w\} \cup S_2(v_3, v_4) \cup S_2(v_3, v_2)$ . Now let  $\phi$  be a 4-coloring of  $G - v_3$ . Note that  $\phi(v_4) = \phi(v_1)$ ,  $\phi(x) = \phi(y)$  and  $\phi(v_0) = \phi(v_2)$ . As  $v_4xv_0$  induces a triangle, we may assume that  $\phi(v_4) = 1$ ,  $\phi(v_0) = 2$ ,  $\phi(x) = 3$ . Hence,  $\phi(w) = 4$ . Since  $x$  is complete to  $S_2(v_3, v_4)$  and  $y$  is complete to  $S_2(v_2, v_3)$ , any vertex  $t$  in  $S_2(v_3, v_4) \cup S_2(v_3, v_2)$  has  $\phi(t) \neq 3$ . Hence, only colors 1, 2, 4 appear on  $N(v_3)$  and so we can extend  $\phi$  to  $G$  by setting  $\phi(v_3) = 3$ .

**Case 2.**  $|S_3| = 0$ . We claim that  $S_1 \neq \emptyset$ . If not,  $S_2 \neq \emptyset$ . If there is exactly one nonempty  $S_2(v_i, v_{i+1})$  then  $S_2(v_i, v_{i+1}) \cup \{w\}$  must be bipartite otherwise a  $K_5$  or  $W_5$  with an additional dominating vertex

would arise. It is easy to see  $G$  is 4-colorable. Now suppose that there are exactly three nonempty  $S_2(v_i, v_{i+1})$ . We may assume that  $S_2(v_4, v_3)$ ,  $S_2(v_3, v_2)$  and  $S_2(v_2, v_1)$  are nonempty. Observe that each  $S_2(v_i, v_{i+1})$  is a clique now and thus contains at most two vertices. Further,  $|S_2(v_i, v_{i+1})| + |S_2(v_{i+1}, v_{i+2})| \leq 3$ . Let  $p \in S_2(v_4, v_3)$ ,  $r \in S_2(v_3, v_2)$  and  $q \in S_2(v_2, v_1)$ . Suppose that  $wr \in E$ . Then the fact that  $wrqv_1$  does not induce a  $C_4$  implies that  $wq \in E$ . By symmetry,  $wp \in E$ . Let  $r' \in S_2(v_3, v_2)$ .  $wqr'v_3 \neq C_4$  implies that  $wr' \in E$ . Therefore,  $w$  is either complete or anti-complete to  $S_2$ . In the former case,  $w$  is a dominating vertex and hence  $G - w$  is a minimal non-3-colorable graph. In the latter case, it is easy to check that  $G$  is 4-colorable.



**Fig. 2.** 9 nontrivial minimal non-4-colorable  $(P_6, C_4)$ -free graphs.

Suppose now that there are exactly two nonempty  $S_2(v_i, v_{i+1})$ . If the two sets are complete to each other, then it is same as the above case. So let us assume that the two sets are anti-complete to each other. Without loss of generality, assume that  $S_2(v_0, v_1) \neq \emptyset$  and  $S_2(v_2, v_3) \neq \emptyset$ . Since  $G - v_4$  is 4-colorable, both  $\{w\} \cup S_2(v_2, v_3)$  and  $\{w\} \cup S_2(v_0, v_1)$  are bipartite. In fact,  $T = \{w\} \cup S_2(v_0, v_1) \cup S_2(v_2, v_3)$  is also bipartite. If not, let  $Q$  be an induced odd cycle in  $T$ . As  $Q \not\subseteq \{w\} \cup S_2(v_0, v_1)$  and  $Q \not\subseteq \{w\} \cup S_2(v_2, v_3)$ ,  $Q$  contains a vertex in both  $S_2(v_0, v_1)$  and  $S_2(v_3, v_4)$ . As  $S_2(v_0, v_1)$  is anti-

complete to  $S_2(v_2, v_3)$ ,  $Q$  must contain  $w$  and  $Q$  is not a triangle. However,  $Q - w$  is connected and hence is fully contained in  $S_2(v_0, v_1)$  or  $S_2(v_2, v_3)$ . This is a contradiction. We therefore can 4-color  $G$  as following:  $\phi(v_0) = \phi(v_2) = 1$ ,  $\phi(v_1) = \phi(v_3) = 2$ ,  $\phi(v_4) = 3$ ,  $\phi(w) = 4$ , and color one partite of  $T$  with color 3 and the other with color 4.

Therefore, we may assume that  $S_1(v_0) \neq \emptyset$ . Going through the same argument for Case 3 in Theorem 2, we conclude that  $S_1(v_i) \neq \emptyset$  for each  $i$  and  $S_2 = \emptyset$ . Moreover,  $w$  is either complete or anti-complete to  $S_1$  as  $G$  is  $C_4$ -free. In the former case,  $w$  is a dominating vertex and hence  $G - w$  is a minimal minimal non-3-colorable graph. In the latter case, we let  $u_i \in S_1(v_i)$  for each  $0 \leq i \leq 4$ , and  $wv_2u_2u_4u_1u_3$  induce a  $P_6$ .

**Case 3.**  $|S_3| = 1$ . Let  $x \in S_3(v_0)$ . We distinguish two cases.

**Case 3.1**  $S_1(v_0) = \emptyset$ . We claim that  $S_2(v_2, v_3) = \emptyset$ . Otherwise let  $z \in S_2(v_2, v_3)$ . By (P7), we have  $S_1(v_1) = S_1(v_4) = \emptyset$ . Note that  $S_2(v_2, v_3)$  is bipartite and is anti-complete to  $x$ . Since  $\{v_2, v_3\}$  does not separate  $S_2(v_2, v_3)$ , one of  $S_2(v_3, v_4)$  and  $S_2(v_1, v_2)$  is nonempty. By symmetry, we assume that  $p \in S_2(v_3, v_4)$ . By properties (P7) to (P9), we have  $S_1 = S_1(v_3)$  and  $S_2(v_0, v_1) = \emptyset$ . In fact,  $S_1(v_3) = \emptyset$  otherwise  $\{v_3, w\}$  would separate  $S_1(v_3)$ . Moreover,  $x$  is anti-complete to  $S_2(v_3, v_4)$ . If not, we may assume  $xp \in E$  and consider induced  $C_5 = C' = C \setminus \{v_0\} \cup \{x\}$ . Observe that  $w \in S'_5$  and  $\{v_0, p\} \subseteq S'_3$ , so we are in Case 1. Going through the same argument in Case 2 we conclude that  $w$  is either complete or anti-complete to  $S_2$ . In the former case  $w$  is a dominating vertex of  $G$  and we are done. Therefore, we assume  $w$  is anti-complete to  $S_2$ . Note also that  $2 \leq |S_2| \leq 5$ . In the following we either find a minimal obstruction or show  $G$  is 4-colorable. Consider first that  $S_2 = S_2(v_4, v_3) \cup S_2(v_3, v_2)$ . If  $S_2 = \{p, z\}$ ,  $G$  has a 4-coloring  $\phi: \{v_4, v_1, z\}, \{v_2, v_0, p\}, \{x, v_3\}, \{w\}$ . If there exists  $p' \in S_2(v_4, v_3)$  or  $z' \in S_2(v_4, v_3)$ , then we can extend  $\phi$  by adding  $p'$  or  $z'$  to  $\{w\}$ . So, we assume that  $S_2(v_4, v_0) \neq \emptyset$  and let  $r \in S_2(v_0, v_4)$ . The fact that  $v_2zprv_0x \neq P_6$  implies that  $xr \in E$ , hence  $S_2(v_4, v_0) = \{r\}$  as  $G$  is  $K_5$ -free. If  $S_2(v_4, v_3) = \{p, p'\}$ , then  $\{w, x, v_0, v_3, v_4, p, p', r\}$  induces a graph that is not 4-colorable. Note that  $v_4$  is a dominating vertex in this subgraph, and hence  $G$  is the Hajos graph with an additional dominating vertex. Thus,  $S_2(v_4, v_3) = \{p\}$ . Note that  $S_2(v_3, v_2)$  might contain a vertex  $z' \neq z$  or not. In either case,  $G$  has a 4-coloring:  $\{v_4, v_1, z\}, \{v_2, v_0, p\}, \{x, v_3\}, \{w, r, z'\}$ . Finally, we assume that  $S_2(v_0, v_4) = \emptyset$  and let  $r \in S_2(v_2, v_1)$ . If  $S_2(v_2, v_3) = \{z, z'\}$ , then  $S_2(v_4, v_3) = \{p\}$  and  $S_2(v_1, v_2) = \{r\}$  since  $G$  is  $K_5$ -free.  $G$  has a 4-coloring:  $\{v_4, v_1, z\}, \{v_2, v_0, p\}, \{x, v_3, r\}, \{w, z'\}$ . Hence,  $S_2(v_2, v_3) = \{z, \}$ . By  $\delta(G) \geq 4$  we have  $S_2(v_4, v_3) = \{p, p'\}$  and  $S_2(v_1, v_2) = \{r, r'\}$ . In this case  $G$  has a 4-coloring:  $\{v_4, v_1, z\}, \{v_2, v_0, p\}, \{x, v_3, r\}, \{w, p', r'\}$ .

Therefore,  $S_2(v_2, v_3) = \emptyset$ . Consider first that  $S_2(v_1, v_2) \neq \emptyset$  and  $S_2(v_3, v_4) \neq \emptyset$  but  $S_1(v_2) = S_1(v_3) = \emptyset$ . Then  $S_2 = S_2(v_1, v_2) \cup S_2(v_3, v_4)$  by (P7) and the fact that  $S_2(v_2, v_3) = \emptyset$ . Since  $\{v_3, v_4, w\}$  is not a clique cutset separating  $S_2(v_3, v_4)$ ,  $S_2(v_3, v_4)$  has a neighbor in  $S_1(v_1)$ . Similarly,  $S_2(v_1, v_2)$  has a neighbor in  $S_1(v_4)$ . However, this contradicts property (P11). Hence, we must have  $S_1(v_2) \neq \emptyset$  and  $S_1(v_3) \neq \emptyset$  but  $S_2(v_1, v_2) = S_2(v_3, v_4) = \emptyset$ . By (P7), we have  $S_2 = \emptyset$ .  $S_1(v_1) \neq \emptyset$ , since  $\{v_3, w\}$  is not a clique cutset separating  $S_1(v_3)$ . Similarly,  $S_1(v_4) \neq \emptyset$ . Let  $u_i \in S_1(v_i)$  for  $i \neq 0$ . Note that  $w$  is either complete or anti-complete to  $S_1$ . In the former case  $w$  is a dominating vertex and we are done. In the latter case we find an induced  $P_6 = wv_2u_2u_4u_1u_3$ .

**Case 3.2**  $S_1(v_0) \neq \emptyset$ . Let  $y \in S_1(v_0)$ .  $S_2(v_1, v_2) = S_2(v_3, v_4) = \emptyset$  by (P7). Consider first that  $S_2(v_2, v_3) = \emptyset$ . Since  $d(v_2) \geq 4$  and  $d(v_3) \geq 4$ , we have  $S_1(v_2) \neq \emptyset$  and  $S_1(v_3) \neq \emptyset$ . By properties (P7) to (P9), the set  $S_2 = \emptyset$ . Let  $p \in S_1(v_3)$  and  $q \in S_1(v_2)$ . Consider induced  $C_5 = C' = v_0v_1v_2qy$ . If  $w$  is complete to  $S_1$ ,  $w$  is a dominating vertex in  $G$  and we are done. Hence,  $w$  is anti-complete to  $S_1$ . Note that  $S_1(v_0)$  is a clique and thus contains at most two vertices. Suppose first that  $S_1(v_0) = \{y, y'\}$ . If  $S_1(v_3) = \{p, p'\}$ , then  $\{v_4, v_0, v_3, w, x, p, p', y, y'\}$  induces a  $G_{P_4}$  with respect to  $C_5 = v_0y'p'v_3w$ . Thus,  $G = G_{P_4}$  but this contradicts that  $G$  contains an induced  $W_5$ . Hence,  $S_1(v_3) = \{p\}$  and  $S_1(v_2) = \{q\}$ . As  $d(p) \geq 4$  and  $d(q) \geq 4$ , both  $S_1(v_1)$  and  $S_1(v_4)$  are nonempty. Let  $u_i \in S_1(v_i)$  for each  $i$ , and

so  $G$  contains an induced  $P_6 = wv_2u_2u_4u_1u_3$ . Hence,  $S_1(v_0) = \{y\}$ . If  $|S_1(v_3)| = |S_1(v_2)| = 3$ , then  $G = G_{3,1}$  which is  $W_5$ -free. Thus we assume that  $|S_1(v_3)| \leq 2$ . Note that  $S_1(v_1) \neq \emptyset$  as  $d(p) \geq 4$ . Let  $t \in S_1(v_1)$ , and so  $wv_1tpyq = P_6$ .

Therefore,  $S_2(v_2, v_3) \neq \emptyset$ . Let  $z \in S_2(v_2, v_3)$ . As  $\{v_2, v_3, w\}$  is not a clique cutset separating  $S_2(v_2, v_3)$ , we may assume that  $yz \in E$ . If  $wy \in E$ , then the fact that  $wyzv_3 \neq C_4$  implies that  $wz \in E$ . Hence  $G$  is the graph  $F$  with an additional dominating vertex. If  $wz \in E$ ,  $G$  is the graph  $F$  with an additional dominating vertex. Therefore,  $w$  is anti-complete to  $\{y, z\}$ . By (P11), we have  $S_1 = S_1(v_0)$ . Further,  $S_2(v_0, v_1) = \emptyset$  otherwise  $\{v_0, v_1, x, w\}$  would be a clique cutset. Similarly,  $S_2(v_0, v_4) = \emptyset$ . Hence,  $S_2 = S_2(v_2, v_3)$ . Note that  $S_1(v_0) \cup S_2(v_2, v_3)$  contains no induced  $C_5$ , since  $v_1$  is anti-complete to  $S_1(v_0) \cup S_2(v_2, v_3)$ . If  $S_1(v_0) \cup S_2(v_2, v_3)$  is not bipartite, it must contain a triangle, and hence  $G = F_1$  or  $G = F_2$ . Therefore, we assume that  $S_1(v_0) \cup S_2(v_2, v_3)$  is triangle-free and the edges between  $S_1(v_0)$  and  $S_2(v_2, v_3)$  form a matching. As  $d(y) \geq 4$  and  $d(z) \geq 4$ ,  $y$  and  $z$  have a neighbor  $y' \in S_1(v_0)$  and  $z' \in S_2(v_2, v_3)$ , respectively. Note  $y'z' \notin E$  or  $z'y'yz = C_4$ . If  $w$  is complete to  $\{y', z'\}$ ,  $\{w, y, y', z, z', x, v_0, v_2, v_3\}$  would induce a  $G_{3,1}$  with respect to  $C_5 = wy'yzz'$ . If  $wy' \in E$ , then  $wz' \notin E$  and hence  $v_1wy'yzz' = P_6$ . Thus,  $wy' \notin E$ . Similarly,  $wz' \notin E$ . By (P7), the vertex  $z$  is universal in  $S_2(v_2, v_3)$ , and so  $z'$  cannot have a neighbor different from  $z$ , as otherwise a  $K_5$  would arise. As  $d(z') \geq 4$ ,  $z'$  must have a neighbor  $y''$  in  $S_1(v_0)$ . Note that  $y'' \notin \{y, y'\}$ . Applying the argument for  $\{z, y\}$  to  $\{z', y''\}$ , we conclude that  $w$  is anti-complete to  $\{z', y''\}$ .  $y''$  is not complete to  $\{y, y'\}$  or  $K_5$  would arise. If  $y''y \in E$ , then  $y''yzz' = C_4$ . If  $y''y' \in E$ , then  $y''y \notin E$  and thus  $y''y'yzv_3w = P_6$ . As  $d(y'') \geq 4$ ,  $y''$  has a neighbor  $y''' \in S_1(v_0)$ .  $y''' \notin \{y, y', y''\}$ . Moreover,  $y'''$  is not complete to  $\{y, y'\}$ . If  $y'''y \in E$ , then  $y'''y' \notin E$  and thus  $y'y'''y''z'v_2 = P_6$ . By symmetry,  $y'''y' \notin E$ . Now  $y'''y''z'zyy' = P_6$ .  $\square$

The following holds under the assumption that  $G$  has no induced  $W_5$ .

**Observation 1** *Let  $G$  be a  $(P_6, C_4)$ -free graph without an induced  $W_5$ . Let  $C = v_0v_1v_2v_3v_4$  be an induced  $C_5$  of  $G$ . Then the following properties hold.*

(1) *If both  $S_1(v_{i-1})$  and  $S_1(v_{i+1})$  are nonempty then  $S_3(v_i)$  is anti-complete to  $S_1(v_{i-1})$  and  $S_1(v_{i+1})$ .*

(2) *If both  $S_2(v_{i-1}, v_i)$  and  $S_2(v_i, v_{i+1})$  are nonempty, then  $S_3(v_i)$  is complete to  $S_2(v_{i-1}, v_i)$  and  $S_2(v_i, v_{i+1})$ .*

(3) *Let  $x \in S_3(v_{i-1}) \cup S_3(v_{i+1})$ . Suppose that  $pq \in E$  where  $p \in S_1(v_i)$  and  $q \in S_2(v_{i+2}, v_{i+3})$ . Then  $x$  is anti-complete to  $\{p, q\}$ .*

**Lemma 6.** *Suppose that  $G$  is a  $(P_6, C_4)$ -free minimal non-4-colorable graph without an induced  $W_5$ . Then  $G \in \{G_{3,1}, G_{2,2}, G_{2,1,1}, G_{1,1,1,1}, H_1, H_2, G_{P_4}\}$  (see Figure 2).*

We postpone the lengthy proof of this lemma to the Appendix.

## 6 The Complexity of $k$ -Coloring

We now apply our results to the questions of complexity of  $k$ -coloring  $(P_6, C_4)$ -free graphs. Reference [12] gives a linear time algorithm for  $k$ -coloring  $(P_t, C_4)$ -free graphs for any  $k, t$ . However, that algorithm depends on Ramsey-type results, and end up using tree-decompositions with very high widths. We offer more practical algorithms for 3-coloring and 4-coloring  $(P_6, C_4)$ -free graphs. Our algorithms are linear time, once a clique cutset decomposition is given. Moreover, our algorithms are certifying algorithms. Indeed, they are based on our characterizations of minimal non- $k$ -colorable  $(P_6, C_4)$ -free

graphs, and when no coloring is found, they exhibit a forbidden induced subgraph from Theorems 2 and 3.

The proof of Theorem 2 can be easily turned into a linear time algorithm for 3-coloring  $(P_6, C_4)$ -free graphs without clique cutset. We first test if  $G$  is chordal. If so, we can test whether or not  $G$  is 3-colorable. Otherwise we have an induced  $C = C_\ell$  for some  $\ell \geq 4$ . Up to this point every step can be done in linear time [13]. If  $\ell = 4$  or  $\ell \geq 7$  then  $G$  is not  $(P_6, C_4)$ -free. If  $\ell = 5$  we follow the above proof, and it can be readily checked that every step can be performed in linear time. The remaining case is  $\ell = 6$ , and we can now assume  $G$  is also  $C_5$ -free. By Lemma 3, either  $G$  is specific or  $C$  is dominating. In the former case, a  $k$ -coloring of  $G$  or a  $K_4$  can be found in linear time. Therefore, we assume that  $C$  is dominating. We define  $p$ -vertices and  $S_p$  with respect to  $C$ . We either find that  $G$  is not  $(P_6, C_4)$ -free or the vertices of  $G$  consist of  $C \cup S_6 \cup S_3$ . Finally, in linear time we either find a  $K_4$  or conclude that  $G$  has at most 13 vertices, in which case a 3-coloring of  $G$  can be obtained by brute force. A similar algorithm applies to the problem of 4-coloring  $(P_6, C_4)$ -free graphs. Thus we have the following result.

**Theorem 4.** *There exist linear time certifying algorithms for 3-coloring and 4-coloring  $(P_6, C_4)$ -free graphs, given a clique cutset decomposition of the input graph.*

We note that a clique cutset decomposition can be obtained in time  $O(mn)$  [27].

We now complement our results by proving most of the remaining problems of  $k$ -coloring  $(P_t, C_\ell)$ -free graphs NP-complete (at least as long as  $k \geq 3$  and  $\ell > 3$ ).

Recently, Huang [18] proved that the 5-coloring problem for  $P_6$ -free graphs is NP-complete, and that the 4-coloring problem for  $P_7$ -free graphs is also NP-complete. The proof used the following framework. We call a  $k$ -critical graph *nice* if  $G$  contains three independent vertices  $\{c_1, c_2, c_3\}$  such that the clique number  $\omega(G - \{c_1, c_2, c_3\}) = \omega(G) = k - 1$ . For example, any odd cycle of length at least 7 is a nice 3-critical graph.

We give a reduction from 3-SAT, as in [18]. Let  $I$  be any 3-SAT instance with variables  $X = \{x_1, x_2, \dots, x_n\}$  and clauses  $\mathcal{C} = \{C_1, C_2, \dots, C_m\}$ , and let  $H$  be a nice  $k$ -critical graph with three specified independent vertices  $\{c_1, c_2, c_3\}$ . We construct a new graph  $G_{H,I}$  as follows.

- Introduce for each variable  $x_i$  a *variable component*  $T_i$  which is isomorphic to  $K_2$ , labeled by  $x_i \bar{x}_i$ . Call these vertices *X-type*.
- Introduce for each variable  $x_i$  a vertex  $d_i$ . Call these vertices *D-type*.
- Introduce for each clause  $C_j = y_{i_1} \vee y_{i_2} \vee y_{i_3}$  a *clause component*  $H_j$  which is isomorphic to  $H$ , where  $y_{i_t}$  is either  $x_{i_t}$  or  $\bar{x}_{i_t}$ . Denote three specified independent vertices in  $H_j$  by  $c_{i_t j}$  for  $t = 1, 2, 3$ . Call  $c_{i_t j}$  *C-type* and all remaining vertices *U-type*.

For any *C-type* vertex  $c_{ij}$  we call  $x_i$  or  $\bar{x}_i$  its *corresponding literal vertex*, depending on whether  $x_i \in C_j$  or  $\bar{x}_i \in C_j$ .

- Make each *U-type* vertex adjacent to each *D-type* and *X-type* vertices.
- Make each *C-type* vertex  $c_{ij}$  adjacent to  $d_i$  and its corresponding literal vertex.

We refer to [18] for the proofs of the following two lemmas.

**Lemma 7.** *Let  $H$  be a nice  $k$ -critical graph. Suppose  $G_{H,I}$  is the graph constructed from  $H$  and a 3-SAT instance  $I$ . Then  $I$  is satisfiable if and only if  $G_{H,I}$  is  $(k + 1)$ -colorable.*

**Lemma 8.** *Let  $H$  be a nice  $k$ -critical graph. Suppose  $G_{H,I}$  is the graph constructed from  $H$  and a 3-SAT instance  $I$ . If  $H$  is  $P_t$ -free where  $t \geq 6$ , then  $G_{H,I}$  is  $P_t$ -free as well.*

To obtain NP-completeness results for  $(P_t, C_\ell)$ -free graphs, we need an additional lemma.

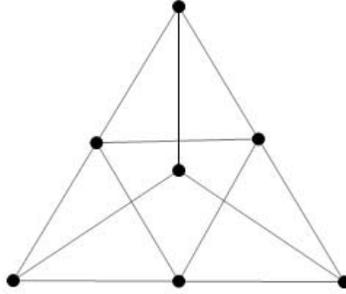
**Lemma 9.** *Let  $\ell \geq 6$ . If  $H$  is  $C_\ell$ -free, then  $G_{H,I}$  is  $C_\ell$ -free.*

**Proof.** Let  $Q = v_1 \dots v_\ell$  be an induced  $C_\ell$  in  $G_{H,I}$ . Let  $C_i$  (respectively  $\bar{C}_i$ ) be the set of  $C$ -type vertices that connect to  $x_i$  (respectively  $\bar{x}_i$ ). Let  $G_i = G[\{T_i \cup \{d_i\} \cup C_i \cup \bar{C}_i\}]$ . Note that  $G - U$  is disjoint union of  $G_i$ ,  $i = 1, 2, \dots, n$ . If  $Q \cap U = \emptyset$ , then  $Q \subseteq G_i$  for some  $i$ . It is easy to see that  $G_i$  is  $C_\ell$ -free as  $\ell \geq 6$ . Thus,  $Q \cap U \neq \emptyset$ . Without loss of generality, we assume that  $v_1$  is a  $U$ -type vertex where  $v_1$  is in the  $j$ th clause component  $H_j$ . If  $v_2$  and  $v_\ell$  are both in  $H_j$ , then  $Q \subseteq H_j$ , which contradicts our assumption that  $H_j = H$  is  $C_\ell$ -free. If  $v_2$  and  $v_\ell$  are both in  $X \cup D$ , then as  $U$ -type vertices are complete to  $X$ -type and  $D$ -type vertices, all other vertices on  $Q$  are of  $C$ -type. This is impossible since  $C$  is independent. The last case is  $v_\ell$  is in  $H_j$  and  $v_2$  is in  $X \cup D$ . Similar to the second case, we have  $v_4, v_5, \dots, v_{\ell-1}$  are  $C$ -type vertices. This contradicts that  $v_4 v_5$  is an edge.  $\square$

The following theorem follows now directly from the above lemmas.

**Theorem 5.** *Let  $\ell \geq 6$ . Then  $k$ -coloring is NP-complete for  $(P_t, C_\ell)$ -free graphs whenever there exists a  $(P_t, C_\ell)$ -free nice  $(k - 1)$ -critical graph.*

We apply Theorem 5 to derive a series of hardness results on  $(P_t, C_\ell)$ -free graphs for various values of  $k$  and  $t$ .



**Fig. 3.**  $G_1$ .

**Theorem 6.** *Let  $k \geq 5$ ,  $t \geq 6$  and  $\ell \geq 6$  be fixed integers. Then  $k$ -coloring is NP-complete for  $(P_t, C_\ell)$ -free graphs.*

**Proof.** It is easy to check that the graph  $G_1$  shown in Figure 3 is a nice 4-critical  $(P_6, C_\ell)$ -free graph for any fixed  $\ell \geq 6$ . Applying Theorem 5 with  $G_1$  will complete our proof.  $\square$

**Theorem 7.** *4-coloring is NP-complete for  $(P_t, C_\ell)$ -free graphs when  $t \geq 7$  and  $\ell \geq 6$  with  $\ell \neq 7$ ; and 4-coloring is NP-complete for  $(P_t, C_\ell)$ -free graphs when  $t \geq 9$  and  $\ell \geq 6$  with  $\ell \neq 9$ .*

**Proof.** It is easy to check that  $C_7$  is a nice 3-critical  $(P_t, C_\ell)$ -free graph for any  $t \geq 7$  and  $\ell \geq 6$  except  $\ell = 7$ , and that  $C_9$  is a nice 3-critical  $(P_t, C_\ell)$ -free graph for any  $t \geq 9$  and  $\ell \geq 6$  except  $\ell = 9$ . Applying Theorem 5 with  $C_7$  and  $C_9$  will complete the proof.  $\square$

We shall use a different reduction to prove the next result.

**Theorem 8.** *4-coloring is NP-complete for  $(P_7, C_5)$ -free graphs.*

**Proof.** We reduce NOT-ALL-EQUAL 3-SATISFIABILITY with positive literals only (NAE 3-SAT PL for short) to our problem. The NAE 3-SAT PL is NP-complete [26] and is defined as follows. Given a set  $X = \{x_1, x_2, \dots, x_n\}$  of logical variables, and a set  $C = \{C_1, C_2, \dots, C_m\}$  of three-literal clauses over  $X$  in which all literals are positive, does there exist a truth assignment for  $X$  such that each clause contains at least one true literal and at least one false literal? Given an instance  $I$  of NAE 3-SAT PL we construct a graph  $G_I$  as follows.

- For each variable  $x_i$  we introduce a single vertex named as  $x_i$ . Call these vertices  $X$ -type.
- For each variable  $x_i$  we introduce a "truth assignment" component  $F_i$  where  $F_i$  is isomorphic to  $P_4$  whose vertices are labeled by  $d_i e'_i e_i d'_i$ .
- For each clause  $C_j = x_{i_1} \vee x_{i_2} \vee x_{i_3}$  we introduce two copies of  $C_7$  denoted by  $H_j$  and  $H'_j$ . Choose three independent vertices of  $H_j$  and name them as  $c_{i_1 j}$ ,  $c_{i_2 j}$  and  $c_{i_3 j}$ . Choose three independent vertices of  $H'_j$  and name them as  $c'_{i_1 j}$ ,  $c'_{i_2 j}$  and  $c'_{i_3 j}$ . Call these vertices  $C$ -type and  $C'$ -type, respectively. The remaining vertices in clause components are said to be of  $U$ -type.
- Make each  $U$ -type vertex adjacent to each  $X$ -type vertex and each vertex in  $F_i$  for  $1 \leq i \leq n$ .
- Make each  $C$ -type vertex  $c_{ij}$  adjacent to  $x_i$  and  $d_i$  and make each  $C'$ -type vertex  $c'_{ij}$  adjacent to  $x_i$  and  $d'_i$ .

This completes the construction of  $G_I$ . It is easy to see that  $d_i$  and  $d'_i$  have no common neighbor in  $G - U$  and same for  $e_i$  and  $e'_i$ .

**Claim 1.** *The instance  $I$  is satisfiable if and only if  $G_I$  is 4-colorable.*

**Proof.** Suppose first that  $G_I$  is 4-colorable and  $\phi$  is a 4-coloring of  $G_I$ . Without loss of generality, we may assume that the two adjacent  $U$ -type vertices in  $H_1$  receive color 1 and 2, respectively. Now as  $U$  is complete to  $X \cup F$ , it follows that each  $x_i$  and each vertex in  $F_i$  receives color 3 or 4. Further,  $\phi(d_i) \neq \phi(d'_i)$  for each  $i$ . We define a truth assignment as follows.

- We set  $x_i$  to be TRUE if  $\phi(x_i) = \phi(d_i)$  and to be FALSE if  $\phi(x_i) \neq \phi(d_i)$ .

We show that every clause  $C_j$  contains at least one true literal and one false literal. Suppose  $x_{i_1}$ ,  $x_{i_2}$ , and  $x_{i_3}$  are all TRUE. Then it implies that  $\phi(d'_{i_j}) \neq \phi(x_{i_j})$  for all  $j = 1, 2, 3$ . As a result,  $c'_{i_j}$  must be colored with color 1 or 2 under  $\phi$ . Moreover, all  $U$ -type vertices in  $H'_j$  are colored with 1 or 2 under  $\phi$ . This contradicts the fact that  $H'_j = C_7$  is not 2-colorable. If  $x_{i_1}$ ,  $x_{i_2}$ , and  $x_{i_3}$  are all FALSE we would reach a similar contradiction. Conversely, suppose that every clause  $C_j$  contains at least one true literal and one false literal. We define a 4-coloring  $\phi$  as follows.

- Set  $\phi(x_i) = 3$  if  $x_i$  is TRUE and  $\phi(x_i) = 4$  if  $x_i$  is FALSE.
- We color vertices in  $F_i$  alternately with color 3 and 4 starting from setting  $\phi(d_i) = 3$ .

• Let  $C_j = x_{i_1} \vee x_{i_2} \vee x_{i_3}$  be a clause. Without loss of generality, we may assume that  $x_{i_1}$  is TRUE and  $x_{i_2}$  is FALSE. It follows from the definition of  $\phi$  that  $\phi(x_{i_1}) = \phi(d_{i_1}) = 3$ . Hence, we can color  $c_{i_1 j}$  with color 4, so that  $H_j - c_{i_1 j}$  can be colored with colors 1 and 2. Similarly, we can 4-color  $H'_j$ .  $\square$

**Claim 2.**  *$G_I$  is  $C_5$ -free.*

**Proof.** Let  $Q = v_1 \dots v_5$  be an induced  $C_5$  in  $G_I$ . Let  $C_i$  (respectively  $C'_i$ ) be the set of  $C$ -type (respectively  $C'$ -type) vertices that are adjacent to  $x_i$ . Let  $G_i$  be the subgraph of  $G_I$  induced by

$\{x_i\} \cup C_i \cup C'_i \cup F_i$ . Note that  $G - U$  is disjoint union of  $G_i$ . Suppose first that  $Q \cap U = \emptyset$ . Note that both  $e_i$  and  $e'_i$  have degree 2 in  $G_i$ . If  $Q$  contains  $e_i$  or  $e'_i$ , then  $Q$  contains  $F_i$  as an induced subgraph and thus the fifth vertex of  $Q$  would be a common neighbor of  $d_i$  and  $d'_i$ , a contradiction. So  $Q \cap \{e_i, e'_i\} = \emptyset$ . If  $Q \cap \{d_i, d'_i\} = \emptyset$ , then  $Q$  is a star which is impossible. Without loss of generality, we assume that  $d_i \in Q$ . If  $d'_i$  is also in  $Q$ , then there would be a common neighbor of  $d_i$  and  $d'_i$ . So  $d'_i \notin Q$ . Then the two neighbors of  $d_i$  on  $Q$  must be of  $C$  or  $C'$ -type, and so the other two vertices have to be of  $X$ -type, which is not possible. Hence,  $Q \cap U \neq \emptyset$ . Suppose  $v_1$  is of  $U$ -type and from  $H_j$ . If both  $v_2$  and  $v_5$  are of  $X$ -type or  $F$ -type, then  $v_3$  and  $v_4$  have to be  $C$  or  $C'$ -type. But this is a contradiction as  $C \cup C'$  is independent. If both  $v_2$  and  $v_5$  are in  $H_j$ , then  $Q \subseteq H_j$ , which is impossible as  $H_j = C_7$  is  $C_5$ -free. So we assume that  $v_2$  is of  $X$ -type or  $F$ -type and  $v_5$  is in  $H_j$ . Then  $v_5$  must be  $C$  or  $C'$ -type. Moreover,  $v_4$  must be of  $C$  or  $C'$ -type as it is not adjacent to  $v_1$  or  $v_2$ . This is impossible since  $v_4 v_5$  is an edge.  $\square$

**Claim 3.**  $G_I$  is  $P_7$ -free.

**Proof.** Let  $P$  be an induced  $P_7$  in  $G_I$ . We first consider the case  $P \cap U \neq \emptyset$ . Let  $u \in P$  be an  $U$ -type vertex and  $u$  is in some clause component  $H_j$ . For any vertex  $x$  on  $P$  we denote by  $x^-$  and  $x^+$  the left and right neighbor of  $x$  on  $P$ , respectively. Suppose first that  $u$  is an endvertex of  $P$ . Then  $u^+$  is in  $X \cup F$  or  $H_j$ . If  $u^+$  is in  $H_j$ , then  $P \subseteq H_j$ , which is a contradiction since  $H_j = C_7$  is  $P_7$ -free. So  $u^+$  is in  $X \cup F$ . If  $u^{++}$  is in  $C \cup C'$ , then  $|P| = 3$ , a contradiction. So  $u^{++}$  is in  $U$ . But now  $u^{+++}$  must be in  $C \cup C'$ , and thus  $|P| = 4$ , a contradiction. Hence,  $u$  must have degree 2 on  $P$ . If  $u^-$  and  $u^+$  are both in  $H_j$ , then  $P \subseteq H_j$ , a contradiction. If  $u^-$  and  $u^+$  are both in  $X \cup F$ , then  $u^{--}$  and  $u^{++}$  are both of  $C$ - or  $C'$ -type. Hence,  $|P| \leq 5$  since  $C \cup C'$  is independent. So we may assume that  $u^+$  is in  $H_j$  and  $u^-$  is in  $X \cup F$ . Now  $u^+$  must be of  $C$ - or  $C'$ -type and hence an endvertex of  $P$ . Therefore,  $|P| \leq 2 + 4 - 1 = 5$ .

We have shown that  $P \cap U = \emptyset$ . So  $P \subseteq G_i$  for some  $i$ . Now we show that  $|P \cap C_i| = 1$ . Otherwise assume that  $|P \cap C_i| = 2$ . Let  $c_1$  and  $c_2$  be the vertices in  $P \cap C_i$ . If  $c_1$  and  $c_2$  are not at distance 2 on  $P$ , then  $x_i$  and  $d_i$  are not on  $P$  otherwise  $P$  would not be induced. However,  $x_i$  and  $d_i$  are the only neighbors of  $C$ -type vertices in  $G_i$ , a contradiction. So,  $c_1$  and  $c_2$  must be at distance 2 on  $P$ . If they are connected by  $d_i$ , then  $x_i \notin P$  and vice versa. But now  $|P| = 3$ , since  $C_i \cup C'_i$  is independent. Therefore,  $|P \cap C| \leq 1$  and similarly  $|P \cap C'| \leq 1$ . So, we must have  $F_i \cup \{x_i\} \subseteq P$ , and thus  $P = C_7$ , a contradiction.  $\square$

The following result is a direct corollary of Theorem 8.

**Theorem 9.** *Let  $k \geq 4$  and  $t \geq 7$ . Then  $k$ -coloring is NP-complete for  $(P_t, C_5)$ -free graphs.*

## 7 Conclusions

We have undertaken a first systematic study of the  $k$ -coloring problem for graphs without an induced cycle  $C_\ell$  and an induced path  $P_t$ . We have shown that while for many values of  $k$ ,  $t$  and  $\ell$  these problems are NP-complete, the case of  $(P_6, C_4)$ -free graphs offers much structure to be exploited. In particular, we have shown that there are for each  $k$  only finitely many non- $k$ -colorable  $(P_6, C_4)$ -free graphs.

For  $k = 3$  and  $k = 4$ , we were able to describe these minimal obstructions explicitly, and so obtained certifying polynomial time (linear time if a clique cutset decomposition is given) algorithms for coloring  $(P_6, C_4)$ -free graphs. However, for larger  $k$ , we do not know certifying  $k$ -coloring algorithms for  $(P_6, C_4)$ -free graphs.

Our hardness results come close to classifying the complexity all cases of  $k$ -coloring for  $(P_t, C_\ell)$ -free graphs. There seem to be two stubborn cases about which not much can be said with the current tools, when  $k = 3$  or  $\ell = 3$ . (But note [6,7].) Beyond these cases, our results leave only the following remaining open problems.

*Problem 1.* What is the complexity of  $k$ -coloring  $(P_6, C_5)$ -free graphs for  $k \geq 4$ ?

*Problem 2.* What is the complexity of 4-coloring  $(P_6, C_6)$ -free graphs?

*Problem 3.* What is the complexity of 4-coloring  $(P_t, C_7)$ -free graphs for  $t = 7$  and  $t = 8$ ?

In [18] Huang conjectured that 4-coloring is polynomial time solvable for  $P_6$ -free graphs. If the problems in Problem 1 for  $k = 4$  or Problem 2 are polynomial, this would add evidence to the conjecture.

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## Appendix

**Proof of Lemma 6.** By Lemma 5, we may assume that no induced  $C_5$  has a 5-vertex. Let  $C = v_0 \dots v_4$  be an induced  $C_5$  such that  $|S_3|$  is as small as possible. As the graph  $G_{3,1}$  is a minimal obstruction, we obtain that  $|S_3| \leq 7$ . Suppose first  $|S_3| = 0$ . It is easy to check that either  $G$  contains a  $K_5$  or is 4-colorable if  $S_1 = \emptyset$ . Hence, we may assume that  $S_1(v_0) \neq \emptyset$ . Going through the same argument as in Case 2 of Lemma 5, we conclude that each  $S_1(v_i) \neq \emptyset$  for each  $i$ . If two  $S_1(v_i)$  have size at least 3, then  $G$  either contains  $K_5$  or  $G_{3,1}$ . Now suppose that  $|S_1(v_0)| = 3$ . Thus  $|S_1(v_2)| = |S_1(v_3)| = 1$  or  $K_5$  arises. If  $|S_1(v_1)| = |S_1(v_4)| = 2$ , then  $G = G_{2,2}$ . Otherwise one of  $S_1(v_1)$  and  $S_1(v_4)$  has size 1 in which case it is easy to check  $G$  is 4-colorable. Now we assume that each  $|S_1(v_i)| \leq 2$ . If all but one  $S_1(v_i)$  have size 2, then  $G = G_{P_4}$ . Otherwise, there are at least two  $|S_1(v_i)| = 1$ . It is easy to check  $G$  is 4-colorable. Therefore,  $1 \leq |S_3| \leq 7$ .

**Case 1.**  $|S_3| = 1$ . Let  $x \in S_3(v_0)$ . Suppose first that  $S_1 = \emptyset$ . If  $S_2(v_2, v_3) = \emptyset$ , then both  $S_2 = S_2(v_1, v_2)$  and  $S_2(v_3, v_4)$  have at least two vertices as  $d(v_2) \geq 4$  and  $d(v_3) \geq 4$ . By (P8),  $S_2 = S_2(v_1, v_2) \cup S_2(v_3, v_4)$ . As  $d(x) \geq 3$ , we have that  $x$  is not anti-complete to  $S_2$  and hence complete to  $S_2$  by (P10). Now  $G$  contains  $G_{3,1}$  as an induced subgraph and so  $G = G_{3,1}$ . Thus  $S_2(v_2, v_3) \neq \emptyset$ . By (P8), one of  $S_2(v_0, v_1)$  and  $S_2(v_0, v_4)$  is empty, say  $S_2(v_0, v_1)$ . As  $d(v_1) \geq 4$  we have  $S_2(v_1, v_2) \neq \emptyset$  and thus  $S_2(v_0, v_4) = \emptyset$ . As  $d(v_4) \geq 4$  we have  $S_2(v_3, v_4) \neq \emptyset$ . By (P10),  $x$  must be anti-complete to  $S_2$ . But now  $d(x) = 3$  contradicting  $\delta(G) \geq 4$ .

Therefore,  $S_1 \neq \emptyset$ . Suppose first that  $S_1(v_0) \neq \emptyset$ . Going through the same argument as in Case 2 of Lemma 5 we conclude that  $S_1(v_i) \neq \emptyset$  for each  $i$  and  $S_2 = \emptyset$ . It is easy to check that either  $G \in \{G_{3,1}, G_{2,2}, G_{P_4}\}$  or  $G$  is 4-colorable. So,  $S_1(v_0) = \emptyset$ . We first show that  $S_1(v_2)$  is anti-complete to  $S_2(v_0, v_4)$ . If not, let  $x \in S_1(v_2)$  be adjacent to  $y \in S_2(v_0, v_4)$ . By (P11),  $S_1 = S_1(v_2)$ . Further,  $S_2(v_0, v_1) = S_2(v_4, v_3) = \emptyset$ , and one of  $S_2(v_1, v_2)$  and  $S_2(v_3, v_2)$  is empty by properties (P7) to (P9). As  $\delta(G) \geq 4$  there are at least two 3-vertices adjacent to  $v_1$  or  $v_3$ . This is impossible as  $|S_3| = 1$ . Now if  $S_1(v_2) \neq \emptyset$ , then  $S_1(v_4) \neq \emptyset$  as  $v_2$  does not separate  $S_1(v_2)$  and by (P9) we have  $S_2 = \emptyset$ . As  $d(v_3) \geq 4$   $S_1(v_3) \neq \emptyset$  and hence  $S_1(v_1) \neq \emptyset$ . Now by Observation 1, we have  $x$  is anti-complete to  $S_1$ , contradicting  $\delta(G) \geq 4$ . Therefore,  $S_1(v_2) = \emptyset$ . Similarly,  $S_1(v_3) = \emptyset$ . Now we may assume that  $S_1(v_1) \neq \emptyset$ . Then  $S_2(v_2, v_3) = \emptyset$ . As  $\delta(G) \geq 4$ , both  $S_2(v_1, v_2)$  and  $S_2(v_3, v_4)$  have at least two vertices and so  $S_2 = S_2(v_1, v_2) \cup S_2(v_3, v_4)$ . By (P10),  $x$  must be anti-complete to  $S_2$  or  $G = G_{3,1}$ . By Observation 1 and  $d(x) \geq 4$  we have  $S_1(v_4) = \emptyset$ . But now  $\{v_1, x\}$  is a clique cutset separating  $S_1(v_1)$ .

**Case 2.**  $|S_3| = 2$ . We distinguish two subcases.

**Case 2.1** There exists some  $i$  such that  $S_1(v_i) \neq \emptyset$  and  $S_1(v_{i+1}) \neq \emptyset$ . Without loss of generality, assume that  $x \in S_1(v_0)$  and  $y \in S_1(v_1)$ . By (P9),  $S_2 = S_2(v_0, v_1)$ . Suppose first that  $S_2(v_0, v_1) \neq \emptyset$ . Then  $S_1(v_2) = S_1(v_4) = \emptyset$ . As  $d(v_2) \geq 4$  and  $d(v_4) \geq 4$  we have  $|S_3(v_3)| = 2$ . Note that  $S_1(v_3)$  is a clique since  $S_1(v_0) \neq \emptyset$  and is complete to  $S_3(v_3)$ . So,  $|S_1(v_3)| \leq 1$  or  $K_5$  would arise. Note that  $S_2(v_0, v_1)$  is bipartite. If  $S_1(v_3) = \emptyset$ , then it is easy to check that  $G$  is 4-colorable. So we assume that  $S_1(v_3) = \{w\}$ . If  $w$  has two neighbors in  $S_2(v_0, v_1)$ , then  $G = G_{2,1,1}$ . Thus  $w$  has at most one neighbor in  $S_2(v_0, v_1)$ . If  $|S_1(v_0)| = 3$  or  $|S_1(v_1)| = 3$ , then  $G = G_{3,1}$ . Thus  $|S_1(v_i)| \leq 2$  for  $i = 0, 1$ . Now it is easy to check that  $G$  is 4-colorable. Therefore,  $S_2(v_0, v_1) = \emptyset$  and thus  $S_2 = \emptyset$ . We consider two subcases.

**Case 2.1.a** There exists some  $i$  such that  $|S_3(v_i)| = 2$ . Suppose that  $i = 0$  (or  $i = 1$ ). As  $d(v_2) \geq 4$  and  $d(v_3) \geq 4$ , we have that  $|S_1(v_i)| \geq 2$  for  $i = 2, 3$ . By (P12),  $x$  is complete to  $S_3(v_0)$  and hence  $S_1(v_0) = \{x\}$ . If  $|S_1(v_1)| \geq 2$ , then  $G = G_{2,2}$ . So assume that  $S_1(v_1) = \{y\}$ . If  $|S_1(v_i)| = 3$  for some  $i \in \{2, 3\}$ , then  $G = G_{3,1}$ . If  $|S_1(v_4)| \geq 2$  then  $G = G_{2,2}$ . Hence,  $|S_1(v_3)| = |S_1(v_2)| = 2$  and  $|S_1(v_4)| \leq 1$ . Let  $u_i \in S_1(v_i)$  for nonempty  $S_1(v_i)$  and let  $u'_i \in S_1(v_i)$  with  $u'_i \neq u_i$  for  $i = 2, 3$ . Let  $S_3(v_0) = \{z, z'\}$ . If  $u_4$  exists, then  $S_3(v_0)$  is anti-complete to  $S_1 \setminus \{x\}$  by Observation 1. Thus  $G$  has

a 4-coloring:  $\{v_1, v_4, x\}$ ,  $\{v_0, v_2, u_4, u_3\}$ ,  $\{v_3, y, u_2, z\}$ ,  $\{u'_2, u'_3, z'\}$ . If  $u_4$  does not exist, then  $y$  may or may not be adjacent to  $S_3$ . In either case,  $G$  has a 4-coloring:  $\{v_1, v_4, x\}$ ,  $\{v_0, v_3, u_2, y\}$ ,  $\{v_2, u_3, z\}$ ,  $\{z', u'_2, u'_3\}$ .

Now suppose that  $i = 4$  (or  $i = 2$ ). As  $d(v_i) \geq 4$  we have  $|S_1(v_i)| \geq 2$  for  $i = 1, 2$ . We may assume that  $S_1(v_4) = \emptyset$  or we are in the case  $i = 0$ . Since  $\{v_1\}$  does not separate  $S_1(v_1)$ ,  $S_1(v_3) \neq \emptyset$ . By Observation 1,  $S_3$  is anti-complete to  $S_1$ . Note that  $|S_1(v_3)| + |S_1(v_0)| \leq 3$  otherwise  $G = G_{3,1}$  or  $G = G_{2,2}$ . Let  $u_i, u'_i \in S_1(v_i)$  for  $i = 2, 3$  and  $S_3(v_4) = \{z, z'\}$ . If each  $S_1(v_i)$  has size less than 3, then  $G$  has a 4-coloring:  $\{v_0, v_3, y\}$ ,  $\{v_2, v_4, u'_1, x\}$ ,  $\{v_1, u_2, u_3, z\}$ ,  $\{u'_2, u'_3, z'\}$ . So assume without loss of generality that  $|S_1(v_2)| = 3$  and hence  $S_1(v_0) = \{x\}$ . It is easy to check  $G$  is also 4-colorable.

Finally, suppose that  $i = 3$ . As  $d(v_i) \geq 4$  we have  $|S_1(v_i)| \geq 2$  for  $i = 0, 1$ . If  $S_1(v_3) = \emptyset$ , then as  $G$  has no clique cutset,  $S_1(v_j) \neq \emptyset$  for  $j = 1, 4$ , and we are in the case  $i = 4$ . So  $S_3(v_1) = \{z\}$ . Note that  $|S_1(v_0)| = |S_1(v_1)| = 2$  or  $G = G_{3,1}$ . Moreover, each of  $S_1(v_1)$  and  $S_1(v_4)$  has size at most 1 or  $G = G_{2,2}$ . Now it is easy to check that  $G$  is 4-colorable.

**Case 2.1.b** Each  $S_3(v_i)$  has at most one vertex. Let  $N$  be the set of  $v_i$  such that  $S_3(v_i) \neq \emptyset$ . Then there are six possible cases.

Suppose first that  $N = \{v_0, v_1\}$ . Let  $t \in S_3(v_0)$  and  $r \in S_3(v_1)$ . Since  $xtv_4v_3v_2r \neq P_6$ , we have  $rt \in E$  or  $rx \in E$ . Similarly, the fact that  $yrv_2v_3v_4t \neq P_6$  implies that  $rt \in E$  or  $yt \in E$ . If  $rt \notin E$ , then  $xr$  and  $yt$  are edges and so  $txry = C_4$ . Hence,  $rt \in E$ . As  $d(v_3) \geq 4$ ,  $|S_1(v_3)| \geq 2$ . Similarly, both  $S_1(v_2)$  and  $S_1(v_4)$  are nonempty. By Observation 1,  $t$  (respectively  $r$ ) is anti-complete to  $S_1 \setminus S_1(v_0)$  (respectively  $S_1 \setminus S_1(v_1)$ ). Note that  $|S_1(v_3)| = 2$  or  $G = G_{3,1}$ . If  $S_1(v_0)$  has two vertices, then  $\{v_0, v_1, t, r, y\} \cup S_1(v_0) \cup S_1(v_3)$  induces a  $G_{P_4}$  with respect to  $tv_1u_1v_3v_0$  and  $u'_3u'_0v_0r$  where  $u_i, u'_i \in S_1(v_i)$  for each  $i$ . Hence,  $S_1(v_0) = \{x\}$ . Similarly,  $S_1(v_1) = \{y\}$ . If  $|S_1(v_2)| = 3$ , then  $G = G_{2,2}$ . So  $|S_1(v_i)| \leq 2$  for  $i = 2, 4$ . Now it is easy to check  $G$  is 4-colorable.

Now suppose that  $N = \{v_1, v_2\}$ . Let  $t \in S_3(v_2)$  and  $r \in S_3(v_1)$ . As  $\delta(G) \geq 4$  we have  $|S_1(v_4)| \geq 2$  and  $|S_1(v_3)| \geq 1$ . By Observation 1, we have  $ty \notin E$ . Since  $tv_3v_4wyr \neq P_6$ , we have  $rt \in E$ , where  $w \in S_1(v_4)$ . We may assume that  $S_1(v_2) = \emptyset$  or we are in the case  $N = \{v_0, v_1\}$ . Note that  $r$  is anti-complete to  $S_1(v_0)$  as  $S_1(v_3) \neq \emptyset$  and  $G$  is  $C_4$ -free. Since  $d(x) \geq 4$  we have  $|S_1(v_0)| + |S_1(v_3)| = 4$ . If  $|S_1(v_4)| = 3$ , then  $|S_1(v_1)| = 1$ . Also,  $|S_1(v_3)| \leq 2$  or  $G = G_{3,1}$ . Now  $G$  is 4-colorable. So,  $|S_1(v_4)| = 2$ . As  $\delta(G) \geq 4$  we have  $|S_1(v_1)| = 2$ . If  $|S_1(v_3)| \geq 2$  then  $\{v_1, v_2, v_3, u'_3, u'_1, u_3, u_1, r, t\}$  induces a  $G_{P_4}$  with respect to  $v_1v_2v_3u'_3u'_1$  and  $u_3u_1rt$  where  $u_i, u'_i \in S_1(v_i)$ . So,  $|S_1(v_3)| = 1$  and then  $|S_1(v_0)| = 3$ . Now  $S_1(v_0) \cup \{v_0, u_3, r, v_1, u_1, u'_1\}$  induces a  $G_{2,2}$  with respect to induced  $K_5 - e = S_1(v_0) \cup \{v_0, u_3\}$  and  $K_4 = rv_1u_1u'_1$ . This completes the proof of  $N = \{v_1, v_2\}$ .

Let  $N = \{v_2, v_3\}$ . As  $\delta(G) \geq 4$ , both  $S_1(v_1)$  and  $S_1(v_4)$  are nonempty, and  $S_1(v_0)$  has at least two vertices. If one of  $S_1(v_2)$  and  $S_1(v_3)$  is nonempty, we are in one of previous cases. But now  $\{v_0\}$  is a clique cutset separating  $S_1(v_0)$ .

Let  $N = \{v_0, v_3\}$  and let  $r \in S_3(v_0)$ ,  $t \in S_3(v_3)$ . As  $\delta(G) \geq 4$  we have  $S_1(v_i) \neq \emptyset$  for  $i \neq 4$ . Let  $u_i \in S_1(v_i)$ . By  $G$  is  $C_4$ -free, we have  $r$  (respectively  $t$ ) is anti-complete to  $S_1(v_1)$  (respectively  $S_1(v_2)$ ). Then as  $d(u_1) \geq 4$  and  $d(u_2) \geq 4$ , we have  $|S_1(v_1)| + |S_1(v_3)| = 4$  and  $|S_1(v_2)| + |S_1(v_0)| = 4$ . If  $|S_1(v_0)| = 3$ , then  $|S_1(v_2)| = |S_1(v_2)| = 1$ , and so  $|S_1(v_1)| = 3$ . Now  $G = G_{3,1}$ . So each  $S_1(v_i)$  has size 2. But now  $\{r, v_4, t, v_3, v_0\} \cup S_1(v_0) \cup S_1(v_1)$  induces a  $G_{P_4}$ .

Let  $N = \{v_0, v_2\}$ . As in the case where  $N = \{v_0, v_3\}$ , we obtain that each  $S_1(v_i) \neq \emptyset$ . Moreover, each  $S_1(v_i)$  has size 2 except  $S_1(v_1)$ . Hence,  $G = G_{P_4}$ .

The case  $N = \{v_2, v_4\}$  is the same as  $N = \{v_0, v_3\}$ . This completes the proof of Case 2.1.

**Case 2.2** One of  $S_1(v_i)$  and  $S_1(v_{i+1})$  is empty for each  $i$ . Hence, there are at most two nonempty  $S_1(v_i)$ . We consider following three cases.

Suppose first that there are exactly two  $S_1(v_i)$  that are nonempty. Without loss of generality, we assume that  $S_1(v_0)$  and  $S_1(v_2)$  are nonempty. By (P9), we have  $S_2 = \emptyset$ . As  $d(v_1) \geq 4$  and  $d(v_4) \geq 4$ , we have  $|S_3(v_0)| = 2$  but this contradicts  $d(v_3) \geq 4$ .

Now we suppose that  $S_1(v_0) \neq \emptyset$  while  $S_1(v_i) = \emptyset$  for  $i \neq 0$ . Let  $x \in S_1(v_0)$ . Note that  $S_2(v_2, v_1) = S_2(v_3, v_4) = \emptyset$ .

We first claim that  $S_3$  is not anti-complete to  $S_1$ . If not,  $x$  has a neighbor  $y \in S_2(v_2, v_3)$  or  $\{v_0\}$  would be a clique cutset. Further, one of  $S_2(v_0, v_4)$  and  $S_2(v_0, v_1)$  is empty, say  $S_2(v_0, v_4)$ . Since  $d(v_4) \geq 4$ , we have  $S_3(v_1) = S_3(v_2) = \emptyset$ . Also,  $S_3(v_0) = \emptyset$  by our assumption. If  $S_3(v_4) \neq \emptyset$ , then  $|S_2(v_0, v_1)| \geq 1$  since  $d(v_1) \geq 4$ . Since  $\{v_0, v_1\}$  does not separate  $S_2(v_0, v_1)$ ,  $S_2(v_0, v_1)$  has a neighbor  $t$  in  $S_3(v_4)$  and hence  $ty \in E$  by the property (P10). Now  $tyxv_0 = C_4$ . So it must be the case that  $|S_3(v_3)| = 2$ . Then  $|S_2(v_0, v_1)| \geq 1$  since  $d(v_1) \geq 4$  and so  $\{v_0, v_1\}$  is a clique cutset separating  $S_2(v_0, v_1)$ .

Hence,  $S_3$  is not anti-complete to  $S_1$ , and thus  $|S_3(v_3) \cup S_3(v_2)| \leq 1$ . By  $d(v_2) \geq 4$  and  $d(v_3) \geq 4$  we have that  $S_2(v_2, v_3) \neq \emptyset$ . We first consider the case that  $S_1(v_0)$  is anti-complete to  $S_2$ . If  $S_3(v_3) \neq \emptyset$ , then  $G$  would have a clique cutset separating  $S_1(v_0)$ . So,  $S_3(v_3) = S_3(v_2) = \emptyset$ . Further, there is no  $S_3(v_i)$  having size 2 or  $G$  would have a clique cutset. Let  $S_3 = \{r, t\}$ . If  $r \in S_3(v_4)$  and  $t \in S_3(v_0)$ , then  $rt \notin E$  or clique cutset would arise. Thus,  $S_2(v_0, v_1) \neq \emptyset$  as  $d(v_1) \geq 4$ . As  $\{v_2, v_3\}$  is not a clique cutset,  $r$  is not anti-complete to  $S_2(v_2, v_3)$  and hence complete to  $S_2(v_2, v_3)$  and  $S_2(v_0, v_1)$ . As  $d(v_2) \geq 2$ ,  $|S_2(v_2, v_3)| \geq 2$  and thus  $S_2(v_0, v_1) = \{q\}$ . As  $d(q) \geq 4$  we have  $qt \in E$  and thus  $qrtv_4 = C_4$ . By symmetry, it is impossible for  $r \in S_3(v_0)$  and  $t \in S_3(v_1)$ . Finally, it is impossible for  $S_3(v_4)$  and  $S_3(v_1)$  to be nonempty by properties (P7) to (P9) and  $\delta(G) \geq 4$ . Therefore, we may assume that  $x$  has a neighbor  $y$  in  $S_2(v_2, v_3)$ . Without loss of generality, we assume that  $S_3(v_2) = \emptyset$ . Next we distinguish two cases by properties (P7) to (P9).

**(I)**  $S_2(v_0, v_1) = \emptyset$ . Then  $S_3 = S_3(v_0) \cup S_3(v_1)$  by  $d(v_1) \geq 4$ . If  $|S_3(v_0)| = 2$ , then  $|S_2(v_2, v_3)| \geq 2$  by  $d(v_3) \geq 4$ . If  $x$  has a different neighbor  $y'$  in  $S_2(v_2, v_3)$ , then  $G = G_{2,1,1}$ . If there is an edge other than  $xy$  between  $S_1(v_0)$  and  $S_2(v_2, v_3)$ , then  $G = G_{1,1,1,1}$ . Hence,  $S_2(v_2, v_3) \setminus \{y\}$  is anti-complete to  $S_1$  and thus  $\{v_2, v_3\}$  is a clique cutset by (P4) to (P6). If  $|S_3(v_1)| = 2$ , then we are in the case  $S_3$  is anti-complete to  $S_1$ . Now let  $t \in S_3(v_1)$  and  $r \in S_3(v_0)$ . By  $\delta(G) \geq 4$  we have  $|S_2(v_2, v_3)| \geq 2$  and  $|S_2(v_0, v_4)| \geq 1$ . As  $\{v_0, v_4, r\}$  does not separate  $S_2(v_0, v_4)$ ,  $t$  is not anti-complete to  $S_2(v_0, v_4)$  and hence complete to  $S_2(v_0, v_4)$  and  $S_2(v_2, v_3)$ . Thus  $S_2(v_0, v_4) = \{q\}$ . As  $d(q) \geq 4$  we have  $qr \in E$  and thus  $qrtv_4 = C_4$ . But now it is easy to see  $G$  contains  $G_{3,1}$  as an induced subgraph.

**(II)**  $S_2(v_0, v_4) = \emptyset$ . So,  $S_3(v_i) = \emptyset$  for  $i = 1, 2$ . Note that it is impossible that  $|S_3(v_3)| = 2$  by our assumption. If  $|S_3(v_4)| = 2$ , then we are in the case where  $S_3$  is anti-complete to  $S_1$ . If  $|S_3(v_0)| = 2$ , then the only edge between  $S_1(v_0)$  and  $S_2(v_2, v_3)$  is  $xy$  or  $G \in \{G_{1,1,1,1}, G_{2,1,1}\}$ . As  $G$  has no clique cutset,  $S_1(v_0) = \{x\}$  and  $S_2(v_0, v_1) = \emptyset$ . Note that  $S_2(v_2, v_3)$  is bipartite and thus  $G$  is 4-colorable. If  $|S_3(v_3)| = |S_3(v_0)| = 1$ , then  $S_2(v_0, v_1) \neq \emptyset$  by  $d(v_1) \geq 4$  and thus  $\{v_0, v_1\} \cup S_3(v_0)$  would be a clique cutset. If  $|S_3(v_4)| = |S_3(v_0)| = 1$ , then it is same as the third case in **(I)**. Finally,  $|S_3(v_3)| = |S_3(v_4)| = 1$ . Let  $r \in S_3(v_4)$  and  $t \in S_3(v_3)$ . Then  $|S_2(v_0, v_1)| \geq 2$  as  $d(v_1) \geq 4$ . As  $G$  has no clique cutset,  $r$  is not anti-complete to  $S_2(v_0, v_1)$  and thus complete to  $S_2$ . Thus,  $S_2(v_0, v_1) = \{p, p'\}$  and  $S_2(v_2, v_3) = \{y\}$ . Since  $tv_3yxv_0p \neq P_6$ , we have  $ty \in E$  and so  $rt \in E$  or  $yrvt = C_4$ . Now  $G = G_{3,1}$ .

Finally, we assume that  $S_1 = \emptyset$ . Consider first that  $|S_3(v_0)| = 2$ . If  $S_2(v_2, v_3) = \emptyset$ , then both  $S_2(v_1, v_2)$  and  $S_2(v_3, v_4)$  contain at least two vertices since  $d(v_2) \geq 4$  and  $d(v_3) \geq 4$ . As  $G$  has no clique cutset, there exists  $t \in S_3(v_0)$  that is complete to  $S_2$  by (P9). But now  $G = G_{3,1}$ . So, let  $x \in S_2(v_2, v_3)$ . Then one of  $S_2(v_1, v_2)$  and  $S_2(v_3, v_4)$  is nonempty, say  $y \in S_2(v_3, v_4)$ . If  $S_2 = S_2(v_1, v_2) \cup S_2(v_3, v_4)$ , then  $|S_2(v_3, v_2)| = 2$  and  $|S_2(v_3, v_4)| = 1$ . Now  $G$  is 4-colorable. Hence, either  $S_2(v_1, v_2) \neq \emptyset$  or

$S_2(v_0, v_4) \neq \emptyset$ . In the former case,  $S_3$  is anti-complete to  $S_2$  or  $C_4$  occurs and thus  $G$  is 4-colorable. In the latter case, we have  $|S_2(v_3, v_2)| = 2$ ,  $|S_2(v_3, v_4)| = 1$  and  $|S_2(v_0, v_4)| \leq 2$ . Note that any  $t \in S_2(v_0, v_4)$  is not complete to  $S_1(v_0)$  or  $K_5$  would occur, and hence  $G$  is 4-colorable. Hence, no  $S_3(v_i)$  has size 2. Suppose that  $|S_3(v_0)| = |S_3(v_2)| = 1$ . If  $S_2(v_3, v_4) = \emptyset$ , then  $S_2(v_0, v_4) \neq \emptyset$  and so  $S_3(v_0) \cup \{v_0, v_4\}$  is a clique cutset. So,  $S_2(v_3, v_4) \neq \emptyset$ . Now as  $d(v_0) \geq 2$  and  $d(v_2) \geq 2$  we have three  $S_2(v_i, v_{i+1})$  are nonempty, contradicting the property (P7).

So, there must be the case that  $|S_3(v_0)| = |S_3(v_1)| = 1$ . Let  $r \in S_3(v_0)$  and  $t \in S_3(v_1)$ . If  $S_2(v_2, v_3) = \emptyset$ , then  $|S_2(v_1, v_2)| \geq 1$  and  $|S_2(v_3, v_4)| \geq 2$ . As  $G$  has no clique cutset,  $r$  is not anti-complete to  $S_2(v_3, v_4)$  and thus complete to  $S_2$ . So,  $|S_2(v_1, v_2)| = 1$  and  $|S_2(v_3, v_4)| = 2$ . Let  $q \in S_2(v_1, v_2)$ . Note that  $qt \in E$  as  $d(q) \geq 4$ . Hence,  $rt \in E$  or  $qrvt = C_4$ . Now  $G = G_{3,1}$ . Therefore,  $S_2(v_2, v_3) \neq \emptyset$ . By symmetry,  $S_2(v_4, v_3) \neq \emptyset$ . Let  $p \in S_2(v_3, v_2)$  and  $q \in S_2(v_4, v_3)$ . If  $S_2 = S_2(v_3, v_4) \cup S_2(v_3, v_2)$ , then  $G$  has a 4-coloring  $\phi: \{v_0, v_2, q\}, \{v_1, v_4, p\}, \{r, p'\}, \{v_3, t\}$  if  $S_2(v_3, v_2) = \{p, p'\}$ . If  $S_2(v_4, v_3) = \{q, q'\}$ , then  $G$  has a 4-coloring by replacing  $\{r, p'\}, \{v_3, t\}$  in  $\phi$  with  $\{t, q'\}, \{v_3, r\}$ . Hence we assume by symmetry that  $S_2(v_1, v_2) \neq \emptyset$ . Let  $s \in S_2(v_1, v_2)$ . By (P9) and  $C_4$ -freeness of  $G$ , we have  $r$  is anti-complete to  $S_2$  and thus  $rt \in E$  since  $d(r) \geq 4$ . Suppose  $S_2(v_2, v_3) = \{p\}$ . If  $S_2(v_1, v_2) = \{s, s'\}$ , then  $t$  is not complete to  $\{s, s'\}$ , say  $ts' \notin E$ , since  $G$  is  $K_5$ -free. Hence  $G$  has a 4-coloring:  $\{r, q, v_2\}, \{v_0, v_3, s\}, \{v_4, v_1, p\}, \{t, s', q'\}$  where  $q' \in S_2(v_3, v_4)$ . Finally, suppose that  $S_2(v_2, v_3) = \{p, p'\}$ . Then  $S_2(v_3, v_4) = \{q\}$  and  $S_2(v_1, v_2) = \{s\}$ . If  $t$  is complete to  $\{p, p', s\}$ , then  $K_5$  would arise. Otherwise it is easy to check that  $G$  is 4-colorable. This completes the proof of Case 2.

In the remaining of the proof, we shall frequently consider some induced  $C_5 = C'$  with  $C' \neq C$  or  $C_5 = C_t$  by modifying  $C$  with respect to some vertex  $t \notin C$ . We can then define  $p$ -vertices with respect to  $C'$  and  $C_t$  as well. We adapt those definitions by using the notation  $S'_p$  and  $S_p^t$ . For example,  $S'_1$  is the set of 1-vertices with respect to  $C'$ , and  $S_1^t$  is the set of 1-vertices with respect to  $C_t$ , and so on. Let  $s = (s_1, \dots, s_5)$  be an integer vector. We say that  $C$  is of *type*  $s$  if  $S_3(v_i)$  has size  $s_i$  for each  $0 \leq i \leq 4$ .

**Case 3.**  $|S_3| = 3$ . There are four possible configurations.

**$C$  is of type  $(2, 1, 0, 0, 0)$ .** Let  $S_3(v_0) = \{x, x'\}$  and  $S_3(v_1) = \{y\}$ . We may assume that  $xy \notin E$ . If  $t \in S_1(v_3)$  then  $tv_3v_4xv_1y = P_6$ . So,  $S_1(v_3) = \emptyset$ . Let  $C_x = C \setminus \{v_0\} \cup \{x\}$  and  $C_y = C \setminus \{v_0\} \cup \{y\}$ . As  $xy \notin E$ , we have  $S_3^x \cap S_2 \neq \emptyset$  and  $S_3^y \cap S_2 \neq \emptyset$ . Let  $p \in S_3^x \cap S_2$  and  $q \in S_3^y \cap S_2$ . Note that  $xp \in E$  and  $qy \in E$  by definition of  $p$  and  $q$ . Suppose first that  $p \in S_2(v_1, v_2)$ . Then  $py \notin E$  or  $pyv_0x = C_4$ . If  $q \in S_2(v_2, v_3)$ , then  $qpv_1y = C_4$ . So,  $q \in S_2(v_0, v_4)$ . By (P8),  $S_2(v_3, v_4) = S_2(v_3, v_2) = \emptyset$ . Now  $d(v_3) = 2$  as  $S_1(v_3) = \emptyset$ . Therefore,  $p \in S_2(v_3, v_4)$ . Then  $py \in E$  or  $pyv_1x = C_4$ . If  $q \in S_2(v_0, v_4)$ , then  $qx \notin E$  or  $qxv_1y = C_4$ . Also,  $qp \in E$  and so  $qpv_0x = C_4$ . Thus  $q \in S_2(v_2, v_3)$ . Now by (P7) to (P9) and the fact that  $xp, qy \in E$ , we have  $S_2 = S_2(v_2, v_3) \cup S_2(v_3, v_4)$  and  $S_1 = \emptyset$ . Thus,  $2 \leq |S_2| \leq 3$ . Suppose first that  $S_2(v_2, v_3) = \{q, q'\}$ . Then  $G$  has a 4-coloring:  $\{v_1, v_4, q\}, \{v_0, v_2, p\}, \{y, x, v_3\}, \{x', q'\}$ . Now suppose that  $S_2(v_3, v_4) = \{p, p'\}$ . If  $x'y \notin E$  then  $N(y) = \{v_0, v_1, v_2, q\}$ . Since  $G$  is a **minimal obstruction**,  $G - y$  has a 4-coloring  $\phi$ . Note that  $\phi(v_1) = \phi(v_4) = \phi(q)$ . Hence,  $\phi$  can be extended to  $G$ , a contradiction. So,  $x'y \in E$  and so  $x'p \notin E$  or  $x'pqy = C_4$ . Now  $G$  has a 4-coloring:  $\{v_1, v_4, q\}, \{v_0, v_2, p'\}, \{y, x, v_3\}, \{x', p\}$ .

**$C$  is of type  $(2, 0, 1, 0, 0)$ .** Let  $S_3(v_0) = \{x, x'\}$  and  $S_3(v_2) = \{y\}$ . We first claim that  $S_1(v_3) = \emptyset$ . Otherwise let  $t \in S_1(v_3)$ . Suppose that  $S_1(v_1) \neq \emptyset$ . Let  $p \in S_1(v_1)$ . Then  $tp \in E$ . By (P9),  $S_2 = \emptyset$ . Let  $C' = v_3tpv_1v_2$ . Note that  $x, x' \notin S'_3$  as  $\{x, x'\}$  is anti-complete to  $\{t, v_3, v_2\}$ . So,  $|S'_3 \cap S_1| \geq 2$  by the minimality of  $C$ . It is straightforward to check that  $|S'_3 \cap (S_1(v_1) \cup S_1(v_3))| \geq 2$  and thus  $|S_1(v_1)| + |S_1(v_3)| \geq 4$ . So,  $|S_1(v_1)| + |S_1(v_3)| = 4$  by  $G$  is  $K_5$ -free. As  $d(v_2) \geq 4$  we have  $S_1(v_2) \neq \emptyset$ . Let  $q \in S_1(v_2)$ . Since  $\{v_2, y\}$  is not a clique cutset separating  $S_1(v_2)$ ,  $S_1(v_0) \cup S_1(v_4) \neq \emptyset$ . Suppose that  $t' \in S_1(v_4)$ . Then  $t'q \in E$ . Let  $C'' = v_4t'qv_2v_3$ . Similar as above we have that  $|S_1(v_2)| + |S_1(v_4)| = 4$ . If  $S_1(v_0) \neq \emptyset$  then  $G = G_{P_4}$ . If  $|S_1(v_1)| = 3$  or  $|S_1(v_4)| = 3$  then  $G = G_{3,1}$ . So, each  $S_1(v_i)$  has size

2. But now  $\{x, x', v_0, v_1, v_4\} \cup S_1(v_1) \cup S_1(v_4)$  induce a  $G_{2,2}$ . Thus  $S_1(v_4) = \emptyset$  and so  $S_1(v_0) \neq \emptyset$ . Since  $S_1(v_0)$  is complete to  $S_3(v_0)$ , we have  $\{x, x', v_0, v_1\} \cup S_1(v_0) \cup S_1(v_1) \cup S_1(v_3)$  induces a  $G_{2,2}$  or  $G_{3,1}$ . So,  $S_1(v_1) = \emptyset$ . Let  $p \in S_1(v_0)$ .  $pt \in E$ . Note that  $S_1(v_0) = \{p\}$  or  $K_5$  would arise. Also,  $S_2 = \emptyset$ . Let  $C' = v_0ptv_3v_4$ . As  $y$  is anti-complete to  $\{v_0, v_4, p\}$ ,  $y \notin S'_3$  and so  $S'_3 \cap S_1 \neq \emptyset$ . Let  $t' \in S'_3 \cap S_1$  and it is easy to see that  $t' \in S_1(v_3)$ . Thus,  $S_1(v_3) = \{t, t'\}$  or  $\{x, x'\} \cup C' \cup S_1(v_3)$  induces a  $G_{3,1}$ . Now by (P11), we have  $S_1(v_3)$  is anti-complete to  $S_2(v_0, v_1)$ . Hence,  $\{t, t'\}$  is complete to  $y$  as  $d(t) \geq 4$  and  $d(t') \geq 4$ . Now  $G$  contains  $G_{2,1,1}$  as an induced subgraph. So far, we have showed that  $S_1(v_1) = S_1(v_0) = \emptyset$  if  $S_1(v_3) \neq \emptyset$ . As  $\{v_3, y\}$  is not a clique cutset separating  $S_1(v_3)$ , we may assume that  $t$  has a neighbor  $p \in S_2(v_0, v_1)$ . By Observation 1 (3),  $y$  is anti-complete to  $\{p, t\}$ . Then the fact that  $yv_3tpv_0x(x') \neq P_6$  implies that  $p$  is complete to  $\{x, x'\}$  and so  $\{p, v_0, v_1, x, x'\}$  induces a  $K_5$ .

Therefore,  $S_1(v_3) = \emptyset$ . Next we claim that  $S_2(v_3, v_2) \neq \emptyset$ . If not, we have  $S_2(v_3, v_4) \neq \emptyset$  and  $S_2(v_1, v_2) \neq \emptyset$  as  $d(v_2) \geq 4$  and  $d(v_3) \geq 4$ . So,  $S_2 = S_2(v_1, v_2) \cup S_2(v_3, v_4)$  and  $S_1 = S_1(v_1) \cup S_1(v_4)$ . Suppose that  $q \in S_2(v_1, v_2)$  is adjacent a vertex  $t \in S_1(v_4)$ . By Observation 1 (3),  $\{x, x'\}$  is anti-complete to  $\{q, t\}$ . Hence,  $\{x, x'\}$  is anti-complete to  $S_2$ . Also,  $S_1(v_1) = \emptyset$ . Let  $C' = v_4v_3v_2qt$ . Note that  $x, x' \notin S'_3$  and so  $|S'_3 \cap (S_1 \cup S_2)| \geq 2$ . Note that  $S'_3 \cap S_2(v_3, v_4) = \emptyset$ . If  $|S'_3 \cap S_1(v_4)| \geq 2$  or  $|S'_3 \cap S_2(v_4)| \geq 2$ , then  $\{x, x', v_0, v_1, v_4\} \cup S_1(v_4) \cup S_2(v_4)$  induces a  $G_{3,1}$  or  $G_{2,2}$ . Thus, there exists a vertex  $q \in S'_3 \cap S_2(v_1, v_2)$  with  $q' \neq q$ . Now  $qq' \in E$  as  $xv_4tqv_2y \neq P_6$ . Since  $q'q \in E$ ,  $q'y \notin E$  or  $K_5$  would arise. But then  $qv_2q'tv_4x = P_6$ . Therefore,  $S_1(v_4)$  is anti-complete to  $S_2(v_1, v_2)$ . Since  $\{v_1, v_2, y\}$  is not a clique cutset separating  $S_2(v_1, v_2)$ ,  $S_2(v_1, v_2)$  is not anti-complete to  $\{x, x'\}$ . Thus, we may assume that  $x'$  is complete to  $S_2$  by (P9). Now note that  $S_1(v_1)$  is anti-complete to  $S_2(v_3, v_4)$  by Observation 1 (3). If  $t \in S_1(v_4)$  and  $t' \in S_1(v_1)$ , then  $\{x, x'\}$  is anti-complete to  $\{t, t'\}$  by Observation 1 (1). Also,  $ty \notin E$ . As  $d(t) \geq 4$ , we have  $|S_1(v_1)| + |S_1(v_4)| = 4$ . Then  $\{x, x', v_0, v_1, v_4\} \cup S_1(v_1) \cup S_1(v_4)$  induces a  $G_{2,2}$  or  $G_{3,1}$ . So, if  $S_1(v_4) \neq \emptyset$ , then  $S_1(v_1) = \emptyset$  and thus  $\{v_4, x, x'\}$  would be a clique cutset. Hence,  $S_1(v_4) = \emptyset$ . Since  $x'$  is complete to  $S_2$ , we have that  $2 \leq |S_2| \leq 3$ . Moreover,  $py \notin E$  or  $pyv_1x' = C_4$ . Thus  $y$  is anti-complete to  $S_2(v_3, v_4)$ . Next we show that  $S_1(v_1)$  is a clique. Let  $t \in S_1(v_1)$  and  $A$  be the component of  $S_1(v_0)$  containing  $t$ . Since  $\{v_1, x, x'\}$  is not a clique cutset separating  $A$ ,  $A$  is not anti-complete to  $y$  and hence complete to  $y$ . Further, since  $v_0x'pv_3yt \neq P_6$ , we have  $x't \in E$  and thus  $A$  is complete to  $x'$ . Hence,  $A$  is a clique. By  $G$  is  $C_4$ -free,  $S_1(v_1) = A$  and  $|A| \leq 2$  by  $G$  is  $K_5$ -free. If  $S_2(v_3, v_4) = \{p\}$  then  $xp \in E$  as  $d(p) \geq 4$ . Thus,  $S_2(v_1, v_2) = \{q\}$  or  $K_5$  would arise. Note that  $qq' \notin E$  or  $G = G_{P_4}$  with respect to  $xqv_2v_3p$ . Now  $S_1 = \emptyset$  or if  $t \in S_1(v_1)$  then  $tx'qv_2y$  and  $v_1$  induce a  $W_5$ . Now  $G$  has a 4-coloring:  $\{v_0, p, q, y\}$ ,  $\{v_4, v_1\}$ ,  $\{x, v_3\}$ ,  $\{x', v_2\}$ . So, we assume that  $S_2(v_4, v_3) = \{p, p'\}$  and thus  $S_2(v_1, v_2) = \{q\}$ . Now  $x$  is anti-complete to  $S_2$  or  $K_5$  would arise. If  $|S_1(v_1)| = 2$ , then  $G = G_{3,1}$ . So,  $S_1(v_1)$  contains at most one vertex. If  $S_1(v_1) = \{t\}$ , then  $qq' \notin E$  or  $qyt'x' = C_4$ . Now  $G$  has a 4-coloring:  $\{v_1, v_4\}$ ,  $\{x', v_3\}$ ,  $\{t, v_2, p, v_0\}$ ,  $\{x, y, p', q\}$ . So,  $S_1(v_1) = \emptyset$ . Also,  $qq' \in E$  as  $d(q) \geq 4$ . Now  $\{x', v_4, p, p', v_3\} = K_5 - e$  and  $\{v_1, q, v_2, y\} = K_4$  induce a  $G_{2,2}$ .

Therefore, let  $p \in S_2(v_2, v_3)$ . As  $\{v_2, v_3, y\}$  is not a clique cutset separating  $S_2(v_2, v_3)$  the following three cases are possible. First we suppose that  $S_2(v_1, v_2) \neq \emptyset$ . Let  $q \in S_2(v_1, v_2)$ . By Observation 1 (2),  $y$  is complete to  $S_2(v_1, v_2) \cup S_2(v_2, v_3)$ . Thus,  $S_2(v_2, v_3) = \{p\}$  and  $S_2(v_1, v_2) = \{q\}$ . Further,  $S_1 = S_1(v_2)$  and so  $S_1(v_2) = \emptyset$  or  $\{v_2, y\}$  would be a clique cutset. Suppose that  $S_2(v_3, v_4) \neq \emptyset$ . Note that  $\{x, x'\}$  is anti-complete to  $S_2$ . If  $S_2(v_3, v_4) = \{r\}$ , then  $G$  has a 4-coloring:  $\{v_1, v_4, p\}$ ,  $\{v_0, v_3, q\}$ ,  $\{x, r, v_2\}$ ,  $\{x', y\}$ . So,  $S_2(v_3, v_4) = \{r, r'\}$ . Then  $y$  is not complete to  $\{r, r'\}$ , say  $yr' \notin E$  or  $\{r, r', p, v_3, y\}$  would induce a  $K_5$ . Then  $G$  has a 4-coloring:  $\{v_1, v_4, p\}$ ,  $\{v_0, v_3, q\}$ ,  $\{x, r, v_2\}$ ,  $\{x', y, r'\}$ . So, we may assume that  $S_2(v_3, v_4) = \emptyset$ . If  $S_2(v_0, v_1) \neq \emptyset$ , then  $G$  has a 4-coloring as above. Suppose that  $r \in S_2(v_0, v_1)$ . The fact that  $v_3pqr'v_0x \neq P_6$  implies that  $xq \in E$  or  $xr \in E$ . Similarly,  $x'q \in E$  or  $x'r \in E$ . Also, the fact that  $xq$  (respectively  $x'q$ ) is an edge implies that  $xr$  (respectively  $x'r$ ) is an edge, since  $G$  is  $C_4$ -free. Hence,  $q$  is not complete to  $\{x, x'\}$ , say  $qx' \notin E$  or  $\{x, x', v_0, v_1, r\}$  would induce a  $K_5$ . As  $qx' \notin E$ ,  $x'r \in E$ . Hence,  $xr \notin E$  and so  $xq \in E$ . Now  $xx'r'q = C_4$ .

Therefore, we may assume that  $S_2(v_1, v_2) = \emptyset$ . Suppose that  $S_2(v_3, v_4) \neq \emptyset$ . Let  $q \in S_2(v_3, v_4)$ . Note that  $S_1 = \emptyset$  since  $S_1(v_3) = \emptyset$ . Suppose that  $r \in S_2(v_0, v_4)$ . Note that  $r$  is not complete to  $\{x, x'\}$ , say  $xr \notin E$ . As  $v_2pqr v_0 x \neq P_6$ , we have  $xq \in E$ . But now  $xqr v_0 = C_4$ . So,  $S_2(v_0, v_4) = \emptyset$ . Thus  $2 \leq |S_2| \leq 3$ . Now as  $yv_2pqv_4v_0 \neq P_6$ , we have  $yp \in E$  or  $yq \in E$ . Also, if  $yq \in E$  then  $yp \in E$  or  $yv_2pq = C_4$ . So,  $yp \in E$  and thus  $S_2(v_2, v_3) = \{p\}$ . Suppose first that  $S_2(v_3, v_4) = \{q\}$ . Note that  $q$  is not complete to  $\{x, y\}$  or  $\{x', y\}$ . Thus  $G$  has a 4-coloring:  $\{v_1, v_4, p\}$ ,  $\{q, y, v_0\}$ ,  $\{x, v_2\}$ ,  $\{x', v_3\}$  if  $qy \notin E$ , and otherwise we move  $q$  from  $\{q, y, v_0\}$  to  $\{x, v_2\}$ . Now suppose that  $S_2(v_3, v_4) = \{q, q'\}$ . Then we may assume that  $qy \notin E$  or  $K_5$  would arise. Also,  $\{q, q'\}$  is not anti-complete to  $\{x, x'\}$ . If  $q'y \notin E$ , then  $q$  and  $q'$  are in the same place thus we may assume that  $qx \notin E$ . Now  $G$  has a 4-coloring:  $\{v_1, v_4, p\}$ ,  $\{q', y, v_0\}$ ,  $\{q, x, v_2\}$ ,  $\{x', v_3\}$ . Otherwise  $q'y \in E$  and so  $q'$  is anti-complete to  $\{x, x'\}$ . Then  $G$  has a 4-coloring:  $\{v_1, v_4, p\}$ ,  $\{q, y, v_0\}$ ,  $\{q, x, v_2\}$ ,  $\{x', v_3\}$ .

Now we may assume that  $S_2(v_1, v_2) = S_2(v_3, v_4) = \emptyset$  and  $p$  has a neighbor  $t \in S_1(v_0)$ . Then  $t$  is complete to  $\{x, x'\}$ . Also  $S_1 = S_1(v_0)$  by (P11). Let  $C' = v_0v_4v_3p$ . Clearly,  $y \notin S'_3$  as  $y$  is anti-complete to  $\{v_4, v_0, t\}$ . Thus  $S'_3 \cap (S_1 \cup S_2) \neq \emptyset$ . It is easy to check that  $S'_3 \cap S_1 \subseteq S_1(v_0) \cap S'_3(t)$  and  $S'_3 \cap S_2(v_2, v_3) \subseteq S_1(v_0) \cap S'_3(p)$ . Let  $r \in S'_3$ . If  $r \in S_1(v_0)$ , then  $rt \in E$  and so  $\{x, x', v_0, r, t\}$  induces a  $K_5$ . Hence,  $r \in S_2(v_2, v_3)$  and now  $\{x, x', v_0, v_1, v_4, t, v_3, v_2, p, r\}$  induces a  $G_{2,1,1}$ .

**C is of type (1,0,1,1,0).** Let  $x \in S_3(v_0)$ ,  $y \in S_3(v_2)$  and  $z \in S_3(v_3)$ . We first show that  $S_1(v_0) = \emptyset$ . If  $yz \in E$ , then if  $t \in S_1(v_0)$  we have  $G = G_{2,2}$ . If  $yz \notin E$ , then  $yv_2zv_4v_0t = P_6$ . Next we claim that  $yz \in E$ . Otherwise let  $C_y = C \setminus \{v_2\} \cup \{y\}$  and  $C_z = C \setminus \{v_3\} \cup \{z\}$ . As  $yz \notin E$ , we have that  $S_3^y \cap (S_1 \cup S_2) \neq \emptyset$  and  $S_3^z \cap (S_1 \cup S_2) \neq \emptyset$ . Let  $p \in S_3^y \cap (S_1 \cup S_2)$  and  $q \in S_3^z \cap (S_1 \cup S_2)$ . Then  $py, qz \in E$  by definition of  $p$  and  $q$ . Since  $G$  is  $C_4$ -free,  $pz, qy \notin E$ . We consider the case  $p \in S_2(v_0, v_1)$  first. If  $q \in S_2(v_0, v_4)$ , then  $x$  is complete to  $S_2(v_0, v_1) \cup S_2(v_0, v_4)$  by Observation 1 (2). Note that  $S_1 = \emptyset$ . Further,  $S_2(v_1, v_2) = S_2(v_3, v_4) = S_2(v_2, v_3) = \emptyset$  by (P7) to (P9). As  $G$  is  $K_5$ -free,  $S_2 = \{p, q\}$ . Hence,  $G$  has a 4-coloring:  $\{x, y, z\}$ ,  $\{p, v_4, v_2\}$ ,  $\{q, v_1, v_3\}$ ,  $\{v_0\}$ . Thus  $q \in S_2(v_1, v_2)$ . Now  $pqv_2y = C_4$  as  $qy \notin E$ . Hence,  $p \in S_2(v_3, v_4)$ . If  $q \in S_2(v_1, v_2)$ , then  $S_2(v_0, v_1) = S_2(v_0, v_4) = \emptyset$  and so  $N(v_0) = \{v_1, v_4, x\}$ , a contradiction. Thus,  $q \in S_2(v_0, v_4)$ . But now  $pqzv_3 = C_4$ . Therefore  $yz \in E$ . Recall that  $S_1(v_0) = \emptyset$ . As  $d(v_0) \geq 4$ , we may assume that there exists a vertex  $p \in S_2(v_0, v_1)$ . Since  $G$  has no clique cutset, the following four cases are possible.

**Case a.**  $S_2(v_0, v_4) \neq \emptyset$ . Let  $q \in S_2(v_0, v_4)$ . By Observation 1 (2),  $x$  is complete to  $S_2(v_0, v_1) \cup S_2(v_0, v_4)$  and so  $S_2(v_0, v_1) = \{p\}$  and  $S_2(v_0, v_4) = \{q\}$ . Note that  $S_1 = \emptyset$ . If  $S_2 = \{p, q\}$ , then  $G$  has a 4-coloring:  $\{q, v_1, v_3\}$ ,  $\{p, v_2, v_4\}$ ,  $\{y, x\}$ ,  $\{z, v_0\}$ . Now by symmetry, we may assume that  $r \in S_2(v_1, v_2)$ . Then  $z$  is anti-complete to  $S_2$  and so  $G$  has a 4-coloring by adding  $r$  to  $\{z, v_0\}$  if  $S_2(v_1, v_2) = \{r\}$ . So, let  $S_2(v_1, v_2) = \{r, r'\}$ . As  $G$  is  $K_5$ -free,  $y$  is not complete to  $\{r, r'\}$ , say  $yr' \notin E$ . Then  $yp \in E$  since  $yqv_2r'pqv_4 \neq P_6$ . But now  $pr'v_2y = C_4$ .

**Case b.**  $S_2(v_1, v_2) \neq \emptyset$ . Let  $q \in S_2(v_1, v_2)$ . We may assume that  $S_2(v_0, v_4) = \emptyset$ . Suppose that  $r \in S_2(v_2, v_3)$ . Then  $yr, yq \in E$  by Observation 1 (2). Hence,  $zr \notin E$  or  $\{v_2, v_3, y, z, r\}$  would induce a  $K_5$ . So,  $zq \notin E$  or  $v_3rqz = C_4$ . But then  $zv_3rppqv_0 = P_6$ . So,  $S_2(v_2, v_3) = \emptyset$ . Hence  $2 \leq |S_2| \leq 3$ . Also,  $S_1 = S_1(v_1)$  and  $S_1(v_1)$  is a clique and thus  $|S_1(v_1)| \leq 2$ . Suppose that  $t \in S_1(v_1)$ . Then  $tyzv_4v_0p \neq P_6$  implies that  $yp \in E$  and so  $yq \in E$  or  $ypqv_2 = C_4$ . Since  $v_3v_2qpv_0x \neq P_6$ , we have either  $xp \in E$  or  $xq \in E$ . In any case, we have an induced  $C_4$  as  $t$  is complete to  $\{x, y\}$ . So,  $S_1(v_1) = \emptyset$ . If  $S_2 = \{p, q\}$ , then  $G$  has a 4-coloring:  $\{v_0, v_3, q\}$ ,  $\{v_2, v_4, p\}$ ,  $\{x, y\}$ ,  $\{z, v_1\}$ . Suppose that  $S_2(v_0, v_1) = \{p, p'\}$ . Now since  $v_3v_2qpv_0x \neq P_6$ , we have either  $xp \in E$  or  $xq \in E$ . If  $xq \in E$ , then  $x$  is complete to  $\{p, p'\}$  by  $G$  is  $C_4$ -free and hence  $\{x, v_0, v_1, p, p'\}$  induces a  $K_5$ . So,  $xq \notin E$  and thus  $xp \in E$ . Replacing the argument for  $\{p', q\}$ , we have  $xp' \in E$  and so  $K_5$  would arise. If  $S_2(v_1, v_2)$  contains two vertices, we would derive a similar contradiction.

**Case c.**  $S_2(v_0, v_1)$  is not anti-complete to  $S_1(v_3)$ . We now may assume that  $S_2(v_0, v_4) = S_2(v_1, v_2) = \emptyset$ . Without loss of generality, we assume that  $p$  has a neighbor  $q \in S_1(v_3)$ . So,  $S_1 = S_1(v_3)$  by (P11).

Moreover,  $S_2(v_2, v_3) = \emptyset$  or  $\{v_2, v_3, y, z\}$  would be a clique cutset. Now  $px \in E$  since  $v_2v_3qp v_0x \neq P_6$  and so  $p$  is the only neighbor of  $q$  in  $S_2(v_0, v_1)$  or  $K_5$  would arise. Let  $C' = v_1v_2v_3qp$ . Note that  $v_0, v_4, x \notin S'_3$  and  $y, z \in S'_3$ . Thus,  $S'_3 \cap (S_1 \cup S_2) \neq \emptyset$  by the minimality of  $C$ . Let  $t \in S'_3 \cap (S_1 \cup S_2)$ . It is easy to check that  $t \in S_1(v_3)$  or  $t \in S_2(v_0, v_1)$ . If  $t \in S_2(v_0, v_1)$ , then  $t$  must be complete to  $\{v_1, p, q\}$ , contradicting the fact that  $p$  is the only neighbor of  $q$ . Hence,  $t \in S_1(v_3)$  and  $t$  must be complete to  $\{v_3, q, p\}$ . By (P12),  $z$  is complete to  $\{q, t\}$ . Now  $G = H_2$ .

**Case d.** Now we may assume that  $py \in E$ . If  $S_2(v_2, v_3) \neq \emptyset$ , then  $S_2(v_3, v_4) = \emptyset$  and so  $\{v_2, v_3, y, z\}$  would be a clique cutset since  $S_1(v_0) = \emptyset$ . So,  $S_2(v_2, v_3) = \emptyset$ . Suppose that  $S_1(v_3) \neq \emptyset$ . Then  $S_1(v_1) \neq \emptyset$  or  $\{v_3, y, z\}$  would be a clique cutset. But then  $S_2 = \emptyset$  by (P7) to (P9), a contradiction. So,  $S_1(v_3) = \emptyset$ . Thus,  $S_1 = S_1(v_1)$  and  $S_2 = S_2(v_0, v_1) \cup S_2(v_3, v_4)$ . Next we claim that  $xp \notin E$ . Otherwise  $xp \in E$ . Let  $C' = xpyzv_4$ . It is easy to check that  $v_1, v_3 \in S'_3$  but  $v_0, v_2 \notin S'_3$ . So,  $S'_3 \cap (S_1 \cup S_2) \neq \emptyset$ . Let  $t \in S'_3 \cap (S_1 \cup S_2)$ . As  $S_1(v_1)$  is anti-complete to  $\{v_4, z, p\}$ ,  $t \notin S_1(v_1)$  and so  $t \in S_2$ . If  $t \in S_2(v_0, v_1)$ , then  $t$  is complete to  $\{x, p, y\}$ . If  $t \in S_2(v_3, v_4)$ , then as  $py \in E$  we have  $yt \in E$  and so  $xt \notin E$  or  $xtv_1 = C_4$ . Hence,  $t$  is complete to  $\{v_4, z, y\}$ . If  $S'_3 \cap S_2 = \{t\}$ , then  $|S'_3| = 3$  and we are in one of previous two cases. Thus, there exists another vertex  $t' \neq t$  with  $t' \in S'_3 \cap S_2$ . If  $t, t' \in S_2(v_0, v_1)$ , then  $\{v_0, v_1, t, t', p\}$  would induce a  $K_5$ . If  $t, t' \in S_2(v_4, v_3)$ , then  $\{y, z, t, t', v_3\}$  would induce a  $K_5$ . Hence,  $t \in S_2(v_0, v_1)$  and  $t' \in S_2(v_4, v_3)$ . But now  $G = G_{3,1}$ . Therefore,  $xp \notin E$ . Now let  $C'' = v_0pyv_3v_4$ . As  $xp \notin E$ ,  $x \notin S''_3$ . Also,  $v_2 \notin S''_3$  but  $z, v_1 \in S''_3$ . Hence,  $S''_3 \cap (S_1 \cup S_2) \neq \emptyset$ . By the same argument as above, we either find an induced  $K_5$  or  $G_{2,2}$  or we are in one of previous two cases.

**C is of type (1,1,0,0,1).** Let  $x \in S_3(v_0)$ ,  $y \in S_3(v_1)$  and  $z \in S_3(v_4)$ . We first suppose that  $S_2(v_2, v_3) = \emptyset$ . As  $\delta(G) \geq 4$ , we have the following two cases. Suppose first that  $S_2(v_1, v_2)$  and  $S_2(v_3, v_4)$  are nonempty but  $S_1(v_2) = S_1(v_3) = \emptyset$ . By (P9), we may assume that  $S_1(v_4) = \emptyset$ . Now  $x$  is complete to  $S_2$  or  $\{v_1, v_2, y\}$  would be a clique cutset. Also,  $x$  is complete to  $\{y, z\}$ , otherwise considering  $C_y = C \setminus \{v_1\} \cup \{y\}$  or  $C_z = C \setminus \{v_4\} \cup \{z\}$  will obtain by the minimality of  $C$  that  $S_2(v_0, v_1) \cup S_2(v_0, v_4) \cup S_2(v_2, v_3) \neq \emptyset$  which contradicts our assumption and (P8). But now  $G$  contains  $G_{3,1}$  as an induced subgraph. So,  $S_1(v_2)$  and  $S_1(v_3)$  are nonempty and  $S_2(v_2, v_1) \cup S_2(v_3, v_4) = \emptyset$ . Thus,  $S_2 = \emptyset$  and hence  $x$  is complete to  $\{y, z\}$  by the minimality of  $C$ . Let  $p \in S_1(v_3)$  and  $q \in S_1(v_2)$ . Suppose that  $t \in S_1(v_0)$ . Let  $C' = xtpv_3v_4$ . Then  $v_1, v_2, y \notin S'_3$ . Hence,  $S'_3 \cap S_1 \neq \emptyset$ . Let  $r \in S'_3 \cap S_1$ . It is easy to check that  $r \in S_1(v_0) \cup S_1(v_3)$ . We claim that  $S'_3 \cap S_1(v_0) \neq \emptyset$ . Otherwise  $r \in S_1(v_3)$ . Then  $r \in S'_3(p)$  as  $r$  is anti-complete to  $\{v_4, x\}$ . If  $S'_3 = \{v_0, z, r\}$ , then we are in the case **C is of type (1,0,1,1,0)**. So,  $|S'_3 \cap S_1(v_3)| \geq 2$  and thus  $G = G_{2,2}$ . Therefore, we may assume that  $r \in S_1(v_0)$ . If  $S_1(v_3) = \{p, p'\}$ , then  $C_5 = xtpv_3z$  and  $P_4 = v_4v_0rt$  induce a  $G_{P_4}$ . So,  $S_1(v_3) = \{p\}$  and  $S_1(v_2) = \{q\}$ . Now let  $C'' = tqv_2v_3p$ . Clearly,  $x, v_0, v_1, v_4 \notin S''_3$  and so  $S''_3 \cap S_1 \neq \emptyset$ . Let  $s \in S''_3 \cap S_1$ . Clearly,  $s \in S_1(v_0) \cup S_1(v_2) \cup S_1(v_3)$ . As  $s \notin \{p, q\}$ , we have  $s \in S_1(v_0)$ . Hence,  $s = r$ . By the minimality of  $C$ ,  $y$  and  $z$  must be in  $S''_3$ . This implies that  $y$  is complete to  $\{t, q, v_2\}$ . So,  $ry \in E$  or  $r q y x = C_4$ . But then  $\{x, v_0, y, r, t\}$  induces a  $K_5$ . We have shown that  $S_1(v_0) = \emptyset$ . As  $G$  has no clique cutset,  $S_1(v_1) \neq \emptyset$  and  $S_1(v_4) \neq \emptyset$ . Let  $u_i \in S_1(v_i)$  for  $i = 1, 4$ . Note that  $x$  is anti-complete to  $S_1$  by Observation 1 (1). If  $|S_1(v_1)| \geq 2$ , say  $u_1, u'_1 \in S_1(v_1)$ , then  $G = G_{P_4}$  with respect to  $xyu_1u_4z$  whose 3-vertices are  $v_4v_0v_1u'_1$ . Hence,  $S_1(v_i) = \{u_i\}$ . Note that  $pz \notin E$  or  $zpu_1u_4 = C_4$ . Thus,  $z$  is anti-complete to  $S_1(v_3)$  and  $y$  is anti-complete to  $S_1(v_2)$ . By  $\delta(G) \geq 4$ , we must have  $|S_1(v_2)| = |S_1(v_3)| = 3$ . It is easy to check  $G$  is 4-colorable.

Therefore, we may assume that  $p \in S_2(v_2, v_3)$ . By (P7) to (P9), there are at most two nonempty  $S_1(v_i)$ . If there exists  $i$  such that  $S_1(v_i) \neq \emptyset$  and  $S_1(v_{i+1}) \neq \emptyset$ , then  $i = 2$  as  $S_2(v_2, v_3) \neq \emptyset$ . Thus  $\{v_2, y\}$  is a clique cutset separating  $S_1(v_2)$ .

**Case a.**  $S_1(v_i) \neq \emptyset$  for some  $i$ . As  $S_2(v_2, v_3) \neq \emptyset$ ,  $S_1(v_1) = S_1(v_4) = \emptyset$ . So,  $i \in \{0, 2, 3\}$ . Suppose first that  $i = 2$  (or  $i = 3$ ) and let  $t \in S_1(v_2)$ . As  $\{v_2, y\}$  is not a clique cutset,  $S_1(v_2)$  is not anti-complete to  $S_2(v_0, v_4)$ . We may assume that  $t$  has a neighbor  $q \in S_2(v_0, v_4)$ . By Observation 1 (3),  $y$

is anti-complete to  $\{q, t\}$  and thus anti-complete to  $S_2(v_0, v_4) \cup S_2(v_2, v_3)$ . Let  $C' = qt v_2 v_3 v_4$ . Clearly,  $x, v_0, v_1 \notin S'_3$ . If  $z \in S'_3$ , then  $z \in S'_3(v_4)$  and if  $y \in S'_3$ , then  $z \in S'_3(t)$ . As  $x \notin S'_3$ ,  $|S'_3 \cap (S_1 \cup S_2)| \geq 1$ . Let  $r \in S'_3 \cap (S_1 \cup S_2)$ . If  $r \in S_1(v_2) = S_1$ , then  $r \in S'_3(t)$ . Also,  $qx \in E$   $pv_2 tq v_0 x \neq P_6$ . Hence,  $q$  is the only neighbor of  $t$  in  $S_2$  and so  $r \notin S_2(v_0, v_4)$ . Clearly,  $r \notin S_2(v_2, v_3)$ . If  $r \in S_2(v_3, v_4)$ , then  $r$  must be complete to  $\{q, v_3, v_4\}$  and hence  $r \in S'_3(v_4)$ . So,  $S'_3 = S'_3(v_4) \cup S'_3(t)$ . Now as  $|S'_3| \geq 3$  either we are in one of previous cases or  $G$  contains  $G_{3,1}$  as an induced subgraph.

Therefore, we may assume that  $i = 0$ . Let  $C_x = C \setminus \{v_0\} \cup \{x\}$ . If  $x$  is not complete to  $\{y, z\}$ , then by the minimality of  $C$ , we have  $S_3^x \cap (S_2(v_1) \cup S_2(v_4)) \neq \emptyset$ , which contradicts (P7). Hence,  $xy, xz \in E$ . Suppose that  $p$  has a neighbor  $q \in S_1(v_0)$ . Let  $C' = v_0 v_1 v_2 p q$ . Note that  $z$  is not complete to  $\{p, q\}$  by Observation 1 (3) and hence  $z \notin S'_3$ . Also,  $v_3, v_4 \notin S'_3$ . Thus,  $S'_3 \cap (S_1 \cup S_2) \neq \emptyset$ . Let  $t \in S'_3 \cap (S_1 \cup S_2)$ . If  $t \in S_1(v_0)$ ,  $t$  is complete to  $\{v_0, p, q\}$  and then  $G = H_1$ . Clearly,  $t \notin S_2(v_0, v_1) \cup S_2(v_0, v_4)$ . If  $t \in S_2(v_2, v_3)$ ,  $t$  is complete to  $\{q, p, v_2\}$  and then  $G$  is not 4-colorable and  $G = H_2$ . We have shown that  $S_1(v_0)$  is anti-complete to  $S_2(v_2, v_3)$ . As  $\{v_2, v_3\}$  does not separate  $S_2(v_2, v_3)$ ,  $S_2(v_2, v_3)$  is not anti-complete to  $\{y, z\}$ . Without loss of generality, assume that  $py \in E$ . As before we can show that  $S_1(v_0)$  is complete to  $\{y, z\}$  and thus a clique. So,  $S_1(v_0) = \{q\}$  or  $K_5$  would arise. Also,  $pz \in E$  or  $pzxy = C_4$ . As  $d(p) \geq 4$ , there exists  $p' \in S_2(v_2, v_3)$  with  $pp' \in E$ . Note that in any 4-coloring  $\phi$  of  $G$ ,  $\phi(p') = \phi(y) = \phi(z)$ . So if  $p'$  is not anti-complete to  $\{y, z\}$   $G$  is not 4-colorable. Specifically, if  $p'y \in E$  then  $\{y, p, p', v_3, v_2\} = K_5 - e$  and  $\{z, x, v_0, q\} = K_4$  induces a  $G_{3,1}$ . If  $p'z \in E$ , then  $\{q, v_0, x, z, y\} = K_5 - e$  and  $\{v_2, v_3, p, p'\} = K_4$  induce a  $G_{2,2}$ . Thus, we assume that  $p'$  is anti-complete to  $\{y, z\}$ . As  $d(p') \geq 4$ , there exists  $p'' \in S_2(v_2, v_3)$  with  $p'p'' \in E$ . Moreover,  $pp'' \notin E$  or  $K_5$  would arise, and  $p''y \notin E$  or  $p''ypp' = C_4$ . Then the fact that  $p''p'pyqz \neq P_6$  implies that  $zp'' \in E$ , and thus  $v_4 z p'' p' p y = P_6$ .

**Case b.**  $S_1 = \emptyset$ . Recall that  $p \in S_2(v_2, v_3)$ . We first show that  $x$  is complete to  $\{y, z\}$ . Otherwise suppose  $xy \notin E$ . Since  $y v_1 x v_4 v_3 p \neq P_6$ , we have  $yp \in E$  and so  $zp \notin E$ . Since  $p$  is an arbitrary vertex in  $S_2(v_2, v_3)$ , we have that  $y$  is complete to  $S_2(v_2, v_3)$ , and  $z$  is anti-complete to  $S_2(v_2, v_3)$ . Hence,  $xz \in E$  by symmetry. Let  $C_x = C \setminus \{v_0\} \cup \{x\}$ . As  $xy \notin E$ ,  $S_3^x \cap S_2 \neq \emptyset$ . Let  $q \in S_3^x \cap S_2$ .  $xq \in E$ . Suppose that  $r \in S_2(v_0, v_4)$ . Then  $S_2(v_1, v_2) = \emptyset$ . Hence,  $q \in S_2(v_3, v_4)$ . By (P7) to (P9) and the fact that  $yp \in E$ , we have  $yr \in E$  and thus  $yrqp = C_4$ . So,  $S_2(v_0, v_4) = \emptyset$ . If  $q \in S_2(v_1, v_2)$  then  $pq \in E$ . Note that  $qy \notin E$  or  $qy v_0 x = C_4$ . Then  $pqv_1 y = C_4$ . Thus,  $q \in S_2(v_3, v_4)$ . As  $xq \in E$ ,  $S_2(v_1, v_2) = \emptyset$  by (P9). Thus,  $S_2 = S_2(v_4, v_3) \cup S_2(v_2, v_3)$  and  $2 \leq |S_2| \leq 3$ . If  $S_2 = \{p, q\}$ , then  $G$  has a 4-coloring:  $\{x, v_3\}$ ,  $\{v_0, v_2, q\}$ ,  $\{y, z\}$ ,  $\{v_1, v_4, p\}$ . Suppose now that  $S_2(v_4, v_3) = \{q, q'\}$ . As  $v_2 y v_0 x q q' \neq P_6$ , we have  $xq' \in E$ . As  $\{x, v_4, z, q, q'\}$  does not induce a  $K_5$ ,  $z$  is not complete to  $\{q, q'\}$ , say  $zq' \notin E$ . Then  $G$  has a 4-coloring by adding  $q'$  to  $\{y, z\}$ . Finally,  $S_2(v_2, v_3) = \{p, p'\}$ . Then  $G$  has a 4-coloring  $\{x, y, v_3\}$ ,  $\{v_0, v_2, q\}$ ,  $\{p', z\}$ ,  $\{v_1, v_4, p\}$  as  $z$  is anti-complete to  $S_2(v_2, v_3)$ .

Therefore,  $xy, xz \in E$ . Next we show that  $S_2(v_1, v_2) = S_2(v_3, v_4) = \emptyset$ . By symmetry, we may assume that  $S_2(v_1, v_2) \neq \emptyset$ . Let  $q \in S_2(v_1, v_2)$ . Then  $S_2(v_0, v_4) = \emptyset$ . As  $v_4 v_3 p q v_1 y \neq P_6$ , we have  $py \in E$  or  $qy \in E$ . Suppose first that  $py \in E$ . Then  $qy \in E$  or  $pqv_1 y = C_4$ . Let  $C' = y p v_3 v_4 v_0$ . Clearly,  $v_1 \notin S'_3$  as  $v_1$  is anti-complete to  $\{v_4, v_3, p\}$ , and  $x \in S'_3(v_0)$ ,  $z \in S'_3(v_4)$  and  $v_2 \in S'_3(p)$ . If  $S'_3 = \{x, z, v_2\}$  then we are in the case  **$C$  is of type  $(1, 0, 1, 1, 0)$** . So, let  $r \in S'_3 \setminus \{x, z, v_2\}$ . Clearly,  $r \notin S_2(v_0, v_1) \cup S_2(v_1, v_2)$ . If  $r \in S_2(v_2, v_3)$  then  $r \in S'_3(p)$  and  $G = G_{2,2}$ . So,  $r \in S_2(v_3, v_4)$ . As  $py \in E$ ,  $pz \notin E$  and then  $rz \in E$  since  $v_1 v_2 p r v_4 z \neq P_6$ . Hence,  $y$  and  $z$  are complete to  $S_2(v_1, v_2)$  and  $S_2(v_3, v_4)$ , respectively. So,  $S_2(v_1, v_2) = \{q\}$  and  $S_2(v_3, v_4) = \{r\}$ . If  $S_2(v_2, v_3) = \{p, p'\}$  and  $p'y \in E$ , then  $p' \in S'_3(p)$  and thus  $G = G_{2,2}$ . Note  $x$  is anti-complete to  $S_2$ . Now  $G$  has a 4-coloring:  $\{x, q, v_3\}$ ,  $\{v_0, r, v_2\}$ ,  $\{v_1, z, p\}$ ,  $\{v_4, y, p'\}$ .

Now we have shown that  $py \notin E$  and thus  $qy \in E$ . Since  $p$  is an arbitrary vertex in  $S_2(v_2, v_3)$ , we may assume that  $y$  is anti-complete to  $S_2(v_2, v_3)$ . Also, replacing any  $q' \in S_2(v_1, v_2)$  we obtain  $yq' \in E$  and so  $S_2(v_1, v_2) = \{q\}$  or  $K_5$  would arise. If  $S_2(v_3, v_4) \neq \emptyset$ , then  $z$  is anti-complete to  $S_2(v_1, v_2)$

and complete to  $S_2(v_3, v_4)$  by symmetry. Thus,  $S_2(v_3, v_4) = \{r\}$  and  $G$  has a 4-coloring:  $\{x, q, v_3\}$ ,  $\{v_0, r, v_2\}$ ,  $\{y, z, p\}$ ,  $\{v_4, v_1, p'\}$ , where  $p'$  might be another vertex in  $S_2(v_2, v_3)$ . If  $S_2(v_0, v_1) \neq \emptyset$ , then  $y$  is complete to  $S_2(v_0, v_1) \cup S_2(v_1, v_2)$  and thus  $S_2(v_0, v_1) = \{r\}$ . Also,  $xr \notin E$  or  $\{x, y, v_0, v_1, r\}$  would induce a  $K_5$ . Note that  $z$  is anti-complete to  $S_2$  and thus  $G$  has a 4-coloring:  $\{x, r, v_2\}$ ,  $\{v_0, q, v_3\}$ ,  $\{y, z, p\}$ ,  $\{v_4, v_1, p'\}$ , where  $p'$  might be another vertex in  $S_2(v_2, v_3)$ . Finally, we have  $S_2 = \{q\} \cup S_2(v_2, v_3)$ . If  $S_2(v_2, v_3) = \{p, p'\}$  and  $z$  is complete to  $\{p, p'\}$ , then  $\{p, p, v_3, v_2, z\} = K_5 - e$  and  $\{x, y, v_0, v_1\}$  induce a  $G_{2,2}$ . Otherwise in case of  $S_2(v_2, v_3) = \{p, p'\}$ , we may assume  $p'z \notin E$  and thus  $G$  has a 4-coloring:  $\{x, v_2\}$ ,  $\{v_0, q, v_3\}$ ,  $\{y, z, p'\}$ ,  $\{v_4, v_1, p'\}$

Therefore,  $S_2(v_1, v_2) = S_2(v_3, v_4) = \emptyset$ . As  $\{v_2, v_3\}$  is not a clique cutset,  $S_2(v_2, v_3)$  is not anti-complete to  $\{y, z\}$ . By symmetry, we may assume that  $py \in E$ . Let  $C' = ypv_3v_4v_0$ .  $v_1 \notin S'_3$ . Clearly,  $x \in S'_3(v_0)$ ,  $z \in S'_3(v_4)$  and  $v_2 \in S'_3(p)$ . If  $S'_3 = \{x, z, v_2\}$  then we are in the case  $C$  is of type **(1,0,1,1,0)**. So, let  $t \in S'_3 \setminus \{x, z, v_2\}$ . Note that  $t \notin S_2(v_0, v_1)$  as  $S_2(v_0, v_1)$  is anti-complete to  $\{v_3, v_4, p\}$ . If  $t \in S_2(v_2, v_3)$ ,  $t \in S'_3(p)$  and thus  $G = G_{2,2}$ . So,  $t \in S_2(v_0, v_4)$  and  $t \in S'_3(v_0)$ , namely  $t$  is complete to  $\{v_0, v_4, y\}$ . Thus,  $xt \in E$ . By (P9),  $y$  is complete to  $S_2$  and hence  $2 \leq |S_2| \leq 3$ . Note that  $zt \notin E$  or  $\{v_0, v_4, x, t, z\}$  would induce a  $K_5$ . Now if  $S_2(v_2, v_3) = \{p, p'\}$  then  $p' \in S'_3(p)$  and  $G = G_{2,2}$ . So,  $S_2(v_2, v_3) = \{p\}$ . If  $S_2 = \{p, t\}$ , then  $G$  has a 4-coloring  $\phi$ :  $\{x, v_3\}$ ,  $\{v_0, v_2\}$ ,  $\{p, t, z, v_1\}$ ,  $\{v_4, y\}$ . If  $S_2(v_0, v_4) = \{t, t'\}$  then  $t'x \notin E$  or  $\{v_0, v_4, x, t, t'\}$  would induce a  $K_5$ . Then  $G$  has a 4-coloring by adding  $t'$  to  $\{x, v_3\}$  in  $\phi$ . This completes the proof of Case 3.

Note that if  $S_3(v_i)$  has two vertices then  $S_3(v_i)$  is not complete to  $S_3(v_{i+1})$  as  $G$  is  $K_5$ -free. Moreover if  $S_3(v_{i+1})$  also has two vertices, then there is at most one edge between  $S_3(v_i)$  and  $S_3(v_{i+1})$  as  $G$  is  $(K_5, C_4)$ -free.

**Case 4.**  $|S_3| = 4$ . There are five possible configurations for  $S_3$ .

**$C$  is of type (2,2,0,0,0).** Let  $S_3(v_0) = \{x, x'\}$  and  $S_3(v_1) = \{y, y'\}$ . As  $G$  is  $(K_5, C_4)$ -free, we may assume that  $x$  is anti-complete to  $\{y, y'\}$  and  $y$  is anti-complete to  $\{x, x'\}$ . Let  $C' = C \setminus \{v_0\} \cup \{x\}$ . Note that  $y, y' \notin S'_3$ . It is easy to check that  $S'_3 \cap (S_1 \cup S_2) \subseteq S'_3 \cap (S_2(v_1, v_2) \cup S_2(v_3, v_4))$ . Hence,  $|S'_3 \cap (S_2(v_1, v_2) \cup S_2(v_3, v_4))| \geq 2$  by the minimality of  $C$ . Suppose that  $p \in S'_3 \cap S_2(v_3, v_4)$ . Note that  $px \in E$ . Then as  $x'xpv_3v_2y \neq P_6$ , we have  $x'p \in E$ . Further,  $S'_3 \cap S_2(v_3, v_4)$  is a clique and thus  $|S'_3 \cap S_2(v_3, v_4)| \leq 1$  or  $K_5$  would arise. Next we show that  $|S'_3 \cap S_2(v_1, v_2)| \leq 1$ . If not, let  $p, p'$  be two vertices in  $S'_3 \cap S_2(v_1, v_2)$ . Then  $\{p, p', y, y', x, x', v_0, v_1\}$  contains a  $W_5$ . Therefore, we may assume  $q \in S_2(v_1, v_2)$  and  $p \in S_2(v_3, v_4)$ . Moreover,  $x$  is complete to  $\{p, q\}$  by definition. As shown above, we obtain that  $x'p \in E$ . So,  $\{x, x'\}$  is complete to  $S_2(v_1, v_2) \cup S_2(v_3, v_4)$  and  $S_2(v_3, v_2) = \emptyset$  by (P9). Thus,  $S_2(v_1, v_2) = \{q\}$  and  $S_2(v_1, v_2) = \{p\}$ . If  $t \in S_1(v_3)$ , then  $tv_3v_4xv_1y = P_6$ . So,  $S_1(v_3) = \emptyset$  and now  $N(v_3) = \{v_2, v_4, p\}$  which contradicts that  $\delta(G) \geq 4$ .

**$C$  is of type (1,1,0,2,0).** Let  $S_3(v_3) = \{x, x'\}$ ,  $S_3(v_0) = \{z\}$  and  $S_3(v_1) = \{y\}$ . Note that  $yz \notin E$  or  $G = G_{2,2}$ . Let  $C' = C \setminus \{v_0\} \cup \{z\}$ . As  $y \notin S'_3$  we have  $S'_3 \cap (S_2(v_0, v_1) \cup S_2(v_3, v_4)) \neq \emptyset$ . Let  $p$  be such a vertex. If  $p \in S_2(v_3, v_4)$ , then  $p$  is not complete to  $\{x, x'\}$ , say  $xp \notin E$ . Now  $yv_1zpv_3x = P_6$ . Therefore,  $p \in S_2(v_1, v_2)$ . Let  $C'' = C \setminus \{v_1\} \cup \{y\}$ . By symmetry, we obtain that there exists  $q \in S''_3 \cap S_2(v_0, v_4)$ . Note that  $pz \in E$  and  $qy \in E$  by definition of  $p$  and  $q$ . Further,  $qz \notin E$  or  $qzv_1y = C_4$ . If  $xp \notin E$ , then  $xq \notin E$  by (P9) and thus  $qv_0zpv_2x = P_6$ . Thus  $xp \in E$  and now  $zv_4xp = C_4$ .

**$C$  is of type (2,1,0,0,1).** Let  $S_3(v_0) = \{x, x'\}$ ,  $S_3(v_1) = \{z\}$  and  $S_3(v_4) = \{y\}$ . As  $G$  is  $K_5$ -free, each of  $\{y, z\}$  is not complete to  $\{x, x'\}$ . We may assume that  $zx \notin E$ . If  $t \in S_1(v_2)$  then  $tv_2v_1x(x')v_4y = P_6$ . Thus,  $S_1(v_2) = \emptyset$ . Similarly  $S_1(v_3) = \emptyset$ . Let  $C' = C \setminus \{v_1\} \cup \{z\}$ . By the minimality of  $C$ , we have  $S'_3 \cap (S_2(v_0, v_4) \cup S_2(v_2, v_3)) \neq \emptyset$ . We first show that  $S'_3 \cap S_2(v_2, v_3) = \emptyset$ . Otherwise let  $p \in S'_3 \cap S_2(v_2, v_3)$ . Note that  $pz \in E$ , and  $py \notin E$  or  $pyv_0z = C_4$ . As  $xv_1zpv_3y \neq P_6$ , we have  $yx \in E$  and so  $x'y \notin E$ . Moreover,  $x'z \in E$  since  $x'v_1zpv_3y \neq P_6$ , and so  $yx'z = P_4$ . Let  $C'' = C \setminus \{v_4\} \cup \{y\}$ . Then there exists  $q \in S''_3 \cap (S_2(v_0, v_1) \cup S_2(v_2, v_3))$ . It is clear that  $qy \in E$  by definition of  $q$ . As  $py \notin E$

and  $qy \in E$ , we have  $q \in S_2(v_2, v_3)$  by (P9). Note that  $p \neq q$ . Also  $qz \notin E$  or  $qzv_0y = C_4$ . Then  $pq \in E$  since  $qyx'zp \neq P_6$ . Let  $C_x = C \setminus \{v_0\} \cup \{x\}$ . Then there exists  $r \in S_3^x \cap (S_2(v_1, v_2) \cup S_2(v_3, v_4))$  by the minimality of  $C$ . If  $r \in S_2(v_1, v_2)$ , then  $rx'yg = C_4$ . Thus,  $r \in S_2(v_3, v_4)$ . Symmetrically considering  $C_{x'} = C \setminus \{v_0\} \cup \{x'\}$  we obtain that there exists  $r' \in S_2(v_1, v_2)$ . However, this contradicts (P9), since  $xr \in E$ . Therefore,  $S_3^x \cap S_2(v_0, v_4) \neq \emptyset$ . Symmetrically considering  $C'' = C \setminus \{v_4\} \cup \{y\}$  we can conclude that  $S_3'' \cap S_2(v_0, v_1) \neq \emptyset$ . Hence,  $S_2(v_2, v_3) = \emptyset$ . Since  $d(v_2) \geq 4$  and  $d(v_3) \geq 4$ , we have  $S_2(v_1, v_2) \neq \emptyset$  and  $S_2(v_3, v_4) \neq \emptyset$ . This contradicts (P8).

**C is of type (2,0,1,0,1).** Let  $S_3(v_0) = \{x, x'\}$ ,  $S_3(v_2) = \{z\}$  and  $S_3(v_4) = \{y\}$ . We may assume that  $xy \notin E$ . If  $t \in S_1(v_2)$  then  $tv_2v_1xv_4y = P_6$ . So,  $S_1(v_2) = \emptyset$ . Let  $C_x = C \setminus \{v_0\} \cup \{x\}$  and  $C_y = C \setminus \{v_4\} \cup \{y\}$ . Then there exists  $p \in S_3^y \cap (S_2(v_0, v_1) \cup S_2(v_2, v_3))$ . and  $q \in S_3^x \cap (S_2(v_2, v_1) \cup S_2(v_4, v_3))$ . Note that  $py \in E$  and  $qx \in E$  by definition. We first claim that  $S_3^y \cap S_2(v_3, v_2) = \emptyset$ . If not, suppose that  $p \in S_2(v_2, v_3)$ . Note that  $pz \in E$  or  $zv_2pyv_0x = P_6$ . If  $q \in S_2(v_3, v_4)$ , then  $qy \in E$  or  $yv_4qp = C_4$ . But then  $qyv_0x = C_4$ . So,  $q \in S_2(v_1, v_2)$ . Now  $S_2(v_0, v_1) = S_2(v_3, v_4) = \emptyset$  by the fact that  $py, qx \in E$  and (P9). Moreover,  $S_2(v_0, v_4) = \emptyset$ . By Observation 1 (2),  $z$  is complete to  $S_2$  and hence  $S_2(v_3, v_2) = \{p\}$  and  $S_2(v_1, v_2) = \{q\}$ . Note that  $S_1 = \emptyset$  as  $S_1(v_2) = \emptyset$ . Thus,  $G$  has a 4-coloring:  $\{v_1, v_4, p\}$ ,  $\{v_0, v_3, q\}$ ,  $\{v_2, x, y\}$ ,  $\{x', z\}$ . Therefore,  $p \in S_2(v_0, v_1)$ . Suppose first that  $q \in S_2(v_3, v_4)$ . Then  $S_2(v_1, v_2) = S_2(v_2, v_3) = \emptyset$  by (P8). Thus,  $d(v_2) = 3$ , a contradiction. Hence,  $q \in S_2(v_1, v_2)$ . Note that  $px, qy \notin E$ . If  $x'y \in E$  then  $px' \in E$  or  $yx'v_1p = C_4$ , and so  $\{v_1, p, y, v_4, x\} \cup \{v_0\}$  induces a  $W_5$ . So,  $x'y \notin E$ . Hence,  $|S_3^y \cap S_2(v_0, v_1)| \geq 2$  by the minimality of  $C$  and the above argument. Let  $p$  and  $p'$  be two vertices in  $S_3^y \cap S_2(v_0, v_1)$ , and then  $\{p, p', x, x', y, v_0, v_1, v_4\}$  contains a  $W_5$ .

**C is of type (2,0,0,1,1).** Let  $S_3(v_0) = \{x, x'\}$ ,  $S_3(v_3) = \{z\}$  and  $S_3(v_4) = \{y\}$ . We may assume that  $xy \notin E$ . If  $t \in S_1(v_2)$  then  $tv_2v_1xv_4y = P_6$ . So  $S_1(v_2) = \emptyset$ . Let  $C_x = C \setminus \{v_0\} \cup \{x\}$  and  $C_y = C \setminus \{v_4\} \cup \{y\}$ . Then there exists  $q \in S_3^y \cap (S_2(v_0, v_1) \cup S_2(v_2, v_3))$ . and  $p \in S_3^x \cap (S_2(v_2, v_1) \cup S_2(v_4, v_3))$  by minimality of  $C$ .  $px, qy \in E$  by definition of  $p$  and  $q$ . Suppose first that  $p \in S_2(v_3, v_4)$ .  $py \notin E$  or  $pyv_0x = C_4$ . If  $q \in S_2(v_2, v_3)$  then  $pqyv_4 = C_4$ . So  $q \in S_2(v_0, v_1)$ . As  $S_2(v_3, v_4) \neq \emptyset$  and  $S_2(v_0, v_1) \neq \emptyset$ , we have  $S_2(v_1, v_2) = S_2(v_2, v_3) = \emptyset$ . Now  $d(v_2) = 3$  since  $S_1(v_2) = \emptyset$ , a contradiction. Thus  $p \in S_2(v_1, v_2)$ .  $p$  is anti-complete to  $\{y, z\}$  since  $G$  is  $C_4$ -free.  $zv_2pxv_0y$  implies that  $yz \in E$ . If  $S_3^x \cap S_2 = \{p\}$ , then we are in the case  $C$  is of type (2,0,1,0,1). So we let  $p' \in S_3^x \cap S_2(v_1, v_2)$  with  $p' \neq p$ .  $p'x \in E$ . So  $x'$  is not complete to  $\{p, p'\}$ , say  $x'p \notin E$ .  $x'xpv_2v_3y$  implies that  $x'y \in E$ . Now we consider  $q$ . If  $q \in S_2(v_2, v_3)$  then  $S_2(v_0, v_1) = S_2(v_3, v_4) = \emptyset$  by the fact that  $xp, qy \in E$  and (P9). Also,  $S_2(v_0, v_4) = \emptyset$ . Now  $S_2 = \{p, p', q\}$  and  $S_1 = \emptyset$ .  $G$  has a 4-coloring:  $\{v_1, v_4, q\}$ ,  $\{x, y, v_2\}$ ,  $\{v_0, v_3, p'\}$ ,  $\{z, p, x'\}$ . Thus  $q \in S_2(v_0, v_1)$ . Then  $qx' \in E$  or  $x'yqv_1 = C_4$ . But now  $\{v_1, v_4, x, y, q\} \cup \{v_0\}$  induces a  $W_5$ .

**C is of type (1,1,1,1,0).** Let  $S_3(v_0) = \{x\}$ ,  $S_3(v_1) = \{y\}$ ,  $S_3(v_2) = \{z\}$  and  $S_3(v_3) = \{w\}$ . Note that  $\{x, y, z, w\}$  does not induce a  $P_4$  or  $G = G_{P_4}$ . So, there are at most two edges in  $\{x, y, z, w\}$ . We shall consider two subcases.

**Case a.** There is at most one edge in  $\{x, y, z, w\}$ . Suppose that  $yz \notin E$ . Without loss of generality, assume  $xy \notin E$ . Let  $C_y = C \setminus \{v_1\} \cup \{y\}$ . As  $x, z \notin S_3^y$  we have  $|S_3^y \cap S_2| \geq 2$ . If  $|S_3^y \cap S_2(v_0, v_4)| \geq 2$  or  $|S_3^y \cap S_2(v_3, v_2)| \geq 2$ , then  $|S_3^y \cap S_2| \geq 3$  or we are in one of previous four cases. Thus,  $S_3^y \cap S_2(v_3, v_2) \neq \emptyset$  and  $S_3^y \cap S_2(v_0, v_4) \neq \emptyset$  or  $K_5$  would arise. Also,  $y$  is complete to  $S_2(v_0, v_4)$  and  $S_2(v_3, v_2)$  and hence  $S_2 = S_2(v_3, v_2) \cup S_2(v_0, v_4)$  by (P7) to (P9). But now  $C_z = C \setminus \{v_2\} \cup \{z\}$  has  $|S_3^z| < 4$ , which contradicts the minimality of  $C$ . So, it must be the case that  $yz \in E$  and  $xy, zw \notin E$ . Consider  $C_y$  and  $C_z$  as above. Let  $p \in S_3^y \cap (S_2(v_0, v_4) \cup S_2(v_2, v_3))$  by the minimality of  $C$ . Suppose that  $S_3^y \cap S_2(v_0, v_4) = \emptyset$ . Then  $p \in S_2(v_2, v_3)$ . Note that  $py \in E$  by definition of  $p$ , and  $pz \notin E$  or  $pyzv_3 = C_4$ . So  $S_3^y \cap S_2(v_2, v_3) = \{p\}$  or  $K_5$  would arise. Now  $|S_3^y| = 4$  and we are in one of previous four cases. So, we may assume that  $p \in S_2(v_0, v_4)$ . By symmetry, there exists a vertex  $q \in S_3^z \cap S_2(v_4, v_3)$ . by definition of  $p$  and  $q$ ,  $py, qz \in E$ . Now  $pyzq = C_4$ .

**Case b.** There are two edges in  $\{x, y, z, w\}$ . Suppose first that  $xy, wz \in E$  but  $yz \notin E$ . Define  $C_y$  and  $C_z$  as above. As  $y \notin S_3^z$  and  $z \notin S_3^y$ , we have  $S_3^y \cap S_2 \neq \emptyset$  and  $S_3^z \cap S_2 \neq \emptyset$ . We claim that  $S_3^y \cap S_2(v_2, v_3) \neq \emptyset$ . Otherwise, let  $p \in S_3^y \cap S_2(v_0, v_4)$ . Note that  $py \in E$ , and  $px \in E$  or  $v_4pyx = C_4$ . Also,  $S_3^y \cap S_2(v_0, v_4)$  is a clique and hence  $S_3^y \cap S_2(v_0, v_4) = \{p\}$  or  $K_5$  would arise. Now  $S_3^y = \{x, p, v_1, w\}$  with  $x, p \in S_3^y(v_0)$  and so we are in one of four previous cases. Hence, the claim holds. Similarly,  $S_3^z \cap S_2(v_0, v_1) \neq \emptyset$ . Let  $p \in S_3^y \cap S_2(v_2, v_3)$  and  $q \in S_3^z \cap S_2(v_0, v_1)$ . Note that  $py, qz \in E$ . Also,  $qy \notin E$  or  $qyv_2z = C_4$ . As  $yxv_4wzq \neq P_6$ , we have  $qx \in E$ . Also,  $qy \notin E$  or  $\{v_0, v_1, q, x, y\}$  would induce a  $K_5$ . Then  $\{v_2, z, q, x, y\} \cup \{v_1\}$  induces a  $W_5$ .

Now we consider the case  $xy, yz \in E$  but  $zw \notin E$ . Let  $C_z = C \setminus \{v_2\} \cup \{z\}$  and  $C_w = C \setminus \{v_3\} \cup \{w\}$ . As  $zw \notin E$ , we have that  $S_3^z \cap S_2 \neq \emptyset$  and  $S_3^w \cap S_2 \neq \emptyset$ . We claim that  $S_3^z \cap S_2(v_3, v_4) \neq \emptyset$ . If not, there exists  $p \in S_3^z \cap S_2(v_0, v_1)$ . Note that  $pz \in E$ , and so  $py \in E$  or  $v_0yzp = C_4$ . So,  $S_3^z \cap S_2(v_0, v_1) = \{p\}$  or  $K_5$  would arise. Hence,  $S_3^z = \{x, y, v_2, p\}$  with  $y, p \in S_3^z(v_1)$  and we are in one of previous four cases. So, the claim holds and let  $p \in S_3^z \cap S_2(v_3, v_4)$ . Note that  $pz \in E$  and  $py \notin E$ . Also,  $pw \notin E$  or  $pwv_2z = C_4$ , and  $px \notin E$  or  $pxv_1z = C_4$ . Let  $q \in S_3^w \cap S_2$ .  $qw \in E$ . If  $q \in S_2(v_0, v_4)$ , then  $pq \in E$  and thus  $wv_3pq = C_4$ . So,  $q \in S_2(v_1, v_2)$ . Also,  $qz \notin E$  or  $qzv_3w = C_4$ , and  $qx \notin E$  or  $qxv_4w = C_4$ . Hence,  $x$  is anti-complete to  $S_2(v_1, v_2) \cup S_2(v_3, v_4)$ . As  $qvw_4xyz \neq P_6$ , we have  $qy \in E$ . Note that  $S_2(v_3, v_2) = \emptyset$  by the fact  $wp \notin E$  and Observation 1 (2). Thus,  $S_2 = S_2(v_1, v_2) \cup S_2(v_3, v_4)$ . So,  $S_1 = S_1(v_1) \cup S_1(v_4)$ . Now consider  $C^* = xyzpv_4$ . Note that  $v_0 \in S_3^*(x)$ ,  $v_1 \in S_3^*(y)$ , and  $v_3 \in S_3^*(p)$ . but  $v_2, q, w \notin S_3^*$ . By the minimality of  $C$ , we have  $S_3^* \cap (S_1 \cup S_2) \neq \emptyset$ . Let  $r \in S_3^* \cap (S_1 \cup S_2)$ . If  $r \in S_2(v_3, v_4)$ , then  $r$  must be in  $S_3^*(p)$  as  $r$  is anti-complete to  $\{x, y\}$ . Thus  $G = G_{2,2}$ . Moreover, any vertex  $t \in S_2(v_1, v_2)$  is anti-complete to  $\{x, v_4, p\}$ , and any vertex  $t \in S_1(v_4)$  is anti-complete to  $\{p, y, z\}$ . Therefore,  $r \in S_1(v_1)$ . If  $r$  is complete to  $\{x, y, z\}$ , then there exists  $r' \in S_3^* \cap S_1(v_1)$  with  $r' \neq r$  otherwise  $|S_3^*| = 4$  and we are in one of four pervious cases. Note that  $r'$  must be complete to  $\{p, y, z\}$ . Hence, in any case there exists a vertex  $r \in S_1(v_1)$  that is complete to  $\{p, y, z\}$  but  $px \notin E$ . Now  $wv_3prv_1x = P_6$ .

**Case 5.**  $|S_3| = 5$ . There are five possible configurations for  $S_3$ .

**$C$  is of type (2,2,0,0,1).**  $S_3(v_0) = \{x, x'\}$ ,  $S_3(v_1) = \{y, y'\}$ ,  $S_3(v_4) = \{w\}$ . We may assume that  $y$  is anti-complete to  $\{x, x'\}$  and  $x$  is anti-complete to  $\{y, y'\}$ . If  $t \in S_1(v_3)$  then  $tv_3v_4xv_1y = P_6$ . So,  $S_1(v_3) = \emptyset$ . Let  $C' = C \setminus \{v_1, v_4\} \cup \{y, w\}$  be an induced  $C_5$ . Let  $p \in S_3' \cap S_2$  by  $x \notin S_3'$  and the minimality of  $C$ . Suppose first that  $p \in S_2(v_0, v_1)$ . Note that  $S_2(v_3, v_4) \cup S_2(v_2, v_3) \neq \emptyset$  by  $d(v_3) \geq 4$ . Let  $q \in S_2(v_3, v_4) \cup S_2(v_2, v_3)$ . Without loss of generality, we assume that  $q \in S_2(v_2, v_3)$ . Since  $qv_3v_4xv_1y(y') \neq P_6$ , we have  $q$  is complete to  $\{y, y'\}$ . As  $q$  is an arbitrary vertex in  $S_2(v_3, v_4)$ , we have  $S_2(v_2, v_3)$  is complete to  $\{y, y'\}$  and so  $S_2(v_2, v_3) = \{q\}$ . Note that  $S_2(v_3, v_2) = \emptyset$  by (P8) and so  $N(v_3) = \{v_2, v_4, q, w\}$ . Now as  $G$  is a **minimal obstruction**,  $G - v_3$  has a 4-coloring  $\phi$ . Note that  $\phi(q) = \phi(v_1) = \phi(v_4)$  and therefore we can extend  $\phi$  to  $G$ , a contradiction. As  $x, x' \notin S_3'$ , there exists two different vertices  $p$  and  $q$  in  $S_3' \cap S_2$ . If  $p, q \in S_2(v_0, v_4)$ , then  $\{p, q, v_0, v_4, w\}$  induces a  $K_5$ . Note that  $S_2(v_2, v_3)$  is complete to  $\{y, y'\}$  and  $S_2(v_2, v_3)$  contains at most one vertex. Hence, we may assume that  $p \in S_2(v_0, v_4)$  and  $S_2(v_2, v_3) = \{q\}$ . By the fact that  $yq \in E$  and (P10), we have  $S_2(v_3, v_4) = \emptyset$ . Hence, we derive a similar contradiction as above.

**$C$  is of type (0,1,0,2,2).**  $S_3(v_3) = \{x, x'\}$ ,  $S_3(v_4) = \{y, y'\}$ ,  $S_3(v_1) = \{w\}$ . We may assume that  $y$  is anti-complete to  $\{x, x'\}$  and  $x$  is anti-complete to  $\{y, y'\}$ . Let  $C' = C \setminus \{v_1, v_4\} \cup \{y, w\}$  be an induced  $C_5$ . Then  $x, x' \notin S_3'$  and hence  $S_3' \cap (S_1 \cup S_2)$  contains at least two vertices. Let  $p$  and  $q$  be such two vertices. Let  $t \in S_1(v_0)$ . If  $t$  is not anti-complete to  $\{y, y'\}$ , say  $ty \in E$ , then  $tyv_4xv_2v_1 = P_6$ . Thus  $p, q \notin S_1(v_0)$ . Now suppose that  $q \in S_2(v_0, v_4)$ . Then  $q$  is complete to  $\{w, y\}$ . Note that  $qy' \notin E$ . Then the fact that  $y'qyv_2w \neq P_6$  implies that  $qx \in E$  and thus  $qxv_2w = C_4$ . Thus,  $p, q \notin S_2(v_0, v_4)$ . If  $p, q \in S_2(v_0, v_1)$ , then  $\{p, q, v_0, v_1, w\}$  would induce a  $K_5$ . Now let  $p, q \in S_2(v_2, v_3)$ . If  $\{p, q\}$  is complete to  $y$  or  $w$ , then  $G = G_{3,1}$  otherwise  $G$  would contain an induced  $W_5$ . Hence, we may assume

that  $py \in E$  and  $qw \in E$ . Thus  $pw, qy \notin E$ . By (P10),  $S_2(v_0, v_1) = S_2(v_0, v_4) = \emptyset$ . Also,  $S_1(v_1) = \emptyset$  since  $S_2(v_3, v_2) \neq \emptyset$ . By  $d(v_1) \geq 4$  we have  $S_2(v_1, v_2) \neq \emptyset$  and thus  $S_2(v_2, v_3) = \{p, q\}$ . Now let  $C_y = C \setminus \{v_4\} \cup \{y\}$ . Then  $|S_3^y \cap S_2(v_2, v_3)| \geq 2$ . But this is impossible since  $qy \notin E$ . Therefore,  $p \in S_2(v_0, v_1)$  and  $q \in S_2(v_2, v_3)$ . By definition of  $q$ ,  $py \in E$  and hence  $S_2(v_1, v_2) = \emptyset$  by (P10). Moreover,  $S_2(v_4, v_0) = \emptyset$  by (P7). Now consider  $C_x = C \setminus \{v_3\} \cup \{x\}$  and thus  $|S_3^x| < 5$  contradicting the minimality of  $C$ .

**$C$  is of type  $(2,1,1,0,1)$ .**  $S_3(v_0) = \{x, x'\}$ ,  $S_3(v_1) = \{y\}$ ,  $S_3(v_2) = \{z\}$ ,  $S_3(v_4) = \{w\}$ . If  $yz \in E$  and one of  $\{x, x'\}$  is complete to  $\{y, w\}$ , then  $G = G_{P_4}$ . Hence, either  $yz \notin E$  or no vertex in  $\{x, x'\}$  is complete to  $\{y, w\}$ . Let  $C' = C \setminus \{v_1, v_4\} \cup \{y, w\}$  be an induced  $C_5$ . Thus  $|S_3' \cap (S_1 \cup S_2)| \geq 2$ . Let  $p, q \in S_3'$ . Note that  $p, q \in S_2(v_0, v_1) \cup S_2(v_0, v_4) \cup S_2(v_2, v_3)$ . If  $\{p, q\} \subseteq S_2(v_0, v_1)$  or  $\{p, q\} \subseteq S_2(v_0, v_4)$ , then  $K_5$  would arise. Next we show that  $\{p, q\} \not\subseteq S_2(v_2, v_3)$ . If not, then both  $p$  and  $q$  are adjacent to exactly one of  $\{y, w\}$ . If  $pw \in E$ , then the fact that  $zv_2pwv_0x(x') \neq P_6$  implies that  $pz \in E$ . We may assume that  $xy \notin E$ . If  $py \in E$ , Since  $wv_3pyv_1x \neq P_6$ , we have  $wx \in E$ . Thus,  $x'w \notin E$ . As  $wv_3pyv_1x' \neq P_6$ , we have  $x'y \in E$ . Now  $zy \in E$  since  $wxx'yv_2z \neq P_6$ . Hence,  $pz \in E$  or  $ypv_3z = C_4$ . We have showed if  $p \in S_2(v_3, v_2)$  then  $pz \in E$ . Therefore,  $pq \notin E$  or  $\{p, q, v_2, v_3, z\}$  would induce a  $K_5$ . Further,  $y$  or  $w$  cannot be complete to  $\{p, q\}$ . Thus, we may assume that  $py \in E$  and  $qz \in E$ . By previous argument we have that  $\{y, x, x', w\}$  induces a  $P_4$  and hence  $qwx'yp = P_6$ .

Therefore, three cases remain. If  $p \in S_2(v_0, v_1)$  and  $q \in S_2(v_0, v_4)$ , then  $pq \in E$  by (P1) to (P3). By Observation 1 (2), we have  $\{x, x'\}$  is complete to  $\{p, q\}$  and thus  $\{x, x', v_0, p, q\}$  induces a  $K_5$ . If  $p \in S_2(v_0, v_4)$  and  $q \in S_2(v_2, v_3)$ , then  $p$  is complete to  $\{y, w\}$  by definition. By (P9), we have  $yq \in E$  and  $wq \notin E$ . We may assume that  $xy \notin E$ . Thus  $wxx'y = P_4$  as shown above. Also  $px \notin E$  or  $pxv_1y = C_4$  and hence  $px' \notin E$  or  $px'xw = C_4$ . Now we have  $wxx'yp$  is an induced  $C_5$  with  $v_0$  being a 5-vertex. Finally, let  $p \in S_2(v_0, v_1)$  and  $q \in S_2(v_2, v_3)$ . By definition,  $p$  is complete to  $\{y, w\}$ . By (P9),  $qw \in E$  and  $qy \notin E$ . Moreover,  $qz \in E$ , and  $pz \in E$  or  $zqwp = C_4$ . If  $x$  is complete to  $\{y, w\}$ , then  $px \in E$  or  $xwpy = C_4$  and thus  $\{x, y, v_0, v_1, p\}$  would induce a  $K_5$ . Hence, none of  $\{x, x'\}$  is complete to  $\{y, w\}$ . Therefore,  $yz \in E$  or  $|S_3' \cap (S_1 \cup S_2)| \geq 3$ , which is impossible by previous argument. Now  $\{p, v_1, v_2, q, w, v_0, y, z, v_3\}$  induces a  $G_{P_4}$  with respect to  $C^* = wv_0yzv_3$  and  $S_3^* = \{q, v_2, v_1, p\}$  for which  $qv_2v_1p$  induces a  $P_4$ .

**$C$  is of type  $(1,1,2,0,1)$ .**  $S_3(v_0) = \{x\}$ ,  $S_3(v_1) = \{y\}$ ,  $S_3(v_2) = \{z, z'\}$ ,  $S_3(v_4) = \{w\}$ . Note that  $xw \notin E$  or  $G = G_{2,2}$ . We may assume that  $yz \notin E$ . Let  $C' = C \setminus \{v_1, v_4\} \cup \{y, w\}$ . Hence,  $|S_3' \cap (S_1 \cup S_2)| \geq 2$ . Let  $p, q \in S_3' \cap (S_1 \cup S_2)$ . If  $p \in S_1(v_0)$ , then  $p$  is complete to  $\{x, y, w\}$  and hence  $pxv_4w = C_4$ . If  $|S_3' \cap (S_1 \cup S_2)| \geq 2$  or  $|S_3' \cap (S_1 \cup S_2)| \geq 2$  then  $K_5$  would arise. Next we show that  $\{p, q\} \not\subseteq S_2(v_2, v_3)$ . If not, let  $q, p \in S_2(v_2, v_3)$ . Note that  $p$  is not complete to  $\{z, z'\}$ , say  $zp \notin E$ . If  $pw \in E$  then  $zv_2pwv_0x = P_6$ . Hence,  $y$  is complete to  $\{p, q\}$ . But now  $\{v_1, v_2, v_3, y, z, z', p, q\}$  contains an induced  $W_5$ . Therefore, three cases remains. If  $p \in S_2(v_0, v_1)$  and  $q \in S_2(v_0, v_4)$ , then  $x$  is complete to  $\{p, q\}$  by Observation 1 (2). By definition of  $p$ , we have  $pw \in E$  and thus  $pxv_4w = C_4$ . If  $p \in S_2(v_0, v_1)$  and  $q \in S_2(v_2, v_3)$ , then  $wp \in E$ . By (P9), we have  $wq \in E$ . Now  $zv_2qwv_0x = P_6$  or  $z'v_2qwv_0x = P_6$ . Finally,  $p \in S_2(v_0, v_4)$  and  $q \in S_2(v_2, v_3)$ . By definition of  $p$ , we have  $py \in E$  and hence  $qy \in E$  by (P9). We may assume that  $qz \notin E$ . Then  $zy \notin E$  or  $v_3qyz = C_4$ . Thus the fact that  $zv_3qyv_0x \neq P_6$  implies that  $xy \in E$  and so  $xp \in E$  or  $v_4xyp = C_4$ . Now  $qv_3wpxv_1 = P_6$ .

**$C$  is of type  $(1,1,1,1,1)$ .** Let  $S_3(v_i) = \{u_i\}$  for each  $i$ . Note that there are at most 3 edges within  $S_3$  or  $G = G_{P_4}$ . We consider the following three cases.

**Case a.**  $S_3$  has at most two edges and does not induce a  $P_3$ . Without loss of generality, we may assume that  $u_0u_1, u_1u_2, u_3u_4 \notin E$ . Let  $C' = C \setminus \{v_1, v_4\} \cup \{u_1, u_4\}$ . Note that  $u_0, u_2, u_3 \notin S_3'$  and hence  $|S_3' \cap (S_1 \cup S_2)| \geq 3$  by the minimality of  $C$ . Let  $p \in S_3' \cap (S_1 \cup S_2)$ . If  $p \in S_1(v_1)$ , then  $pu_0 \in E$  by properties (P11) and (P12). and thus  $pu_0v_1u_1 = C_4$ . Hence,  $S_3' \cap S_1 = \emptyset$ . If  $|S_3' \cap S_2(v_0, v_1)| \geq 2$  or  $|S_3' \cap S_2(v_0, v_1)| \geq 2$ , then  $K_5$  would occur. Now suppose that  $p, q, r \in S_3' \cap S_2(v_2, v_3)$ . If  $u_1$  or  $u_4$  is

complete to  $\{p, q, r\}$ , then  $K_5$  would occur. So, we may assume that  $pu_1, qu_1 \in E$  and  $ru_4 \in E$ . Since  $rv_3pu_1v_0u_0 \neq P_6$ , we have  $rp \in E$ . Replacing  $q$  with  $p$  we have  $rq \in E$  and so  $\{v_2, v_3, p, q, r\}$  induces a  $K_5$ . By (P8), we have that  $|S'_3 \cap S_2(v_2, v_3)| = 2$  and  $S'_3 \cap (S_2(v_0, v_1) \cup S_2(v_0, v_4)) \neq \emptyset$ . Suppose that  $p \in S_2(v_0, v_1)$ . We repeat the argument for  $C'' = C \setminus \{v_1, v_3\} \cup \{u_1, u_3\}$  and obtain that  $S_2(v_0, v_4) \neq \emptyset$ . This contradicts (P8). Hence, let  $p \in S_2(v_0, v_4)$  and  $q, r \in S_2(v_2, v_3)$ . Note that  $pu_1 \in E$  by definition of  $p$  and hence  $u_1$  is complete to  $\{p, q, r\}$ . So,  $S_2(v_2, v_3) = \{q, r\}$  and  $S_2(v_0, v_4) = \{r\}$ . But this contradicts the fact that  $|S''_3 \cap S_2(v_0, v_4)| \geq 2$ .

**Case b.**  $S_3$  does induce a  $P_3$ . Without loss of generality, we assume that  $u_4u_0, u_0u_1 \in E$ . Let  $C_1 = C \setminus \{v_0, v_2\} \cup \{u_0, u_2\}$ . Note that  $S_3^1 \cap S_1 = \emptyset$ . Since  $u_1, u_3 \notin S_3^1$ , we have  $|S_3^1 \cap S_2| \geq 2$  by the minimality of  $C$ . If  $|S_3^1 \cap S_2(v_0, v_1)| \geq 2$  or  $|S_3^1 \cap S_2(v_1, v_2)| \geq 2$ , then  $K_5$  would arise. If  $p \in S_3^1 \cap S_2(v_0, v_1)$  and  $q \in S_3^1 \cap S_2(v_1, v_2)$ , then  $u_1$  is complete to  $\{p, q\}$  by Observation 1 (2). Also,  $pu_0 \in E$  by definition of  $p$  and thus  $\{u_0, u_1, v_0, v_1, p\}$  induces a  $K_5$ . Therefore,  $S_2(v_3, v_4) \neq \emptyset$ . Now we repeat the argument for  $C_4 = C \setminus \{v_0, v_3\} \cup \{u_0, u_3\}$  and obtain that  $S_2(v_1, v_2) \neq \emptyset$ . So,  $S_2(v_4, v_0) = S_2(v_0, v_1) = \emptyset$ . Let  $p \in S_3^1 \cap S_2(v_3, v_4)$  and  $q \in S_3^4 \cap S_2(v_1, v_2)$ . Let  $C_2 = C \setminus \{v_1, v_3\} \cup \{u_1, u_3\}$  and  $C_3 = C \setminus \{v_2, v_4\} \cup \{u_2, u_4\}$ . Note that  $|S_3^2 \cap S_2| \geq 2$  and  $|S_3^2 \cap S_2| \geq 2$ . Since  $S_2(v_0, v_4) = \emptyset$  and  $|S_3^2 \cap S_2(v_2, v_1)| \leq 1$ ,  $S_3^2 \cap S_2(v_2, v_3) \neq \emptyset$ . Let  $r \in S_3^2 \cap S_2(v_2, v_3)$ . By definition of  $r$ , we have  $r$  is complete to  $\{u_1, u_3\}$ . Similarly,  $S_3^3 \cap S_2(v_2, v_3) \neq \emptyset$ . If  $r \in S_3^3 \cap S_2(v_2, v_3)$ , then  $r$  is complete to  $\{u_2, u_4\}$ . So,  $u_0u_1ru_4 = C_4$ . Hence, there exists  $r' \neq r$  such that  $r' \in S_3^3 \cap S_2(v_2, v_3)$ . Thus,  $S_2(v_3, v_4) = \{p\}$ ,  $S_2(v_3, v_2) = \{r, r'\}$ , and  $S_2(v_2, v_1) = \{q\}$ . Now  $p \in S_3^3$  and  $q \in S_3^2$ , i.e.,  $p$  (respectively  $q$ ) is complete to  $\{u_2, u_4\}$  (respectively  $\{u_1, u_3\}$ ). By the fact that  $ru_3 \in E$  and Observation 1 (2), we have  $u_3$  is complete to  $\{p, r, r'\}$  and thus  $\{u_3, v_3, p, r, r'\}$  induces a  $K_5$ .

**Case c.**  $S_3$  is isomorphic to  $P_3 + P_2$ . Without loss of generality, we assume that  $u_0u_1, u_1u_2, u_3u_4 \in E$ . Let  $C_i = C \setminus \{v_i\} \cup \{u_i\}$  for each  $i$ . By the minimality of  $C$ , we have  $S_3^i \cap S_2 \neq \emptyset$  for each  $i \neq 1$ . Let  $r \in S_3^3$  and  $s \in S_3^4$ . If  $r \in S_2(v_1, v_2)$  and  $s \in S_2(v_0, v_1)$ , then  $u_4sr u_3 = C_4$ . If  $r \in S_2(v_0, v_4)$  and  $s \in S_2(v_2, v_3)$ , let  $t \in S_3^2 \cap S_2$ . Note that  $t \in S_2(v_3, v_4)$ . By Observation 1 (2), we have  $t$  is complete to  $\{u_3, u_4\}$  and so  $\{u_3, u_4, v_3, v_4, t\} = K_5$ . The remaining two cases are symmetric and we may assume that  $r \in S_2(v_0, v_4)$  and  $s \in S_2(v_0, v_1)$ . Let  $t \in S_3^0 \cap S_2$ . If  $t \in S_2(v_1, v_2)$ , then  $s$  is complete to  $\{u_0, u_1\}$  by Observation 1 (2). Hence,  $\{u_0, u_1, v_0, v_1, s\} = K_5$ . So,  $t \in S_2(v_3, v_4)$ . Then  $u_4$  is complete to  $\{r, t\}$ . Since  $G$  is  $K_5$ -free,  $tu_3 \in E$  and thus  $tru_3v_3 = C_4$ .

**Case 6.**  $|S_3| = 6$ . There are three possible configurations for  $S_3$ .

**$C$  is of type (2,1,1,1,1).** Let  $S_3(v_0) = \{x, x'\}$ ,  $S_3(v_1) = \{y\}$ ,  $S_3(v_2) = \{r\}$ ,  $S_3(v_3) = \{t\}$ ,  $S_3(v_4) = \{z\}$ . We may assume that  $xy \notin E$ . We also assume that  $rt \notin E$  or  $G = G_{2,2}$ . Let  $C' = C \setminus \{v_1, v_4\} \cup \{y, z\}$  be an induced  $C_5$ . As  $xy \notin E$ , we have  $S'_3 \cap (S_1 \cup S_2) \neq \emptyset$  by the minimality of  $C$ . Let  $p \in S'_3$ . Then  $p$  is complete to  $\{y, z\}$ . It is easy to check that  $p \in (N(v_0) \cap (S_1 \cup S_2)) \cup S_2(v_2, v_3)$ . If  $p \in S_1(v_0)$ , then  $px \in E$  and so  $pxv_1y = C_4$ . If  $p \in S_1(v_0, v_1)$ , then the fact that  $pyv_2v_3v_4x \neq P_6$  implies that  $px \in E$ . Thus  $xz \in E$  or  $pxv_4z = C_4$ . Hence,  $x'z \notin E$  and thus  $x'p \notin E$ . So,  $x' \notin S'_3$ . By symmetry, if  $p \in S_2(v_0, v_4)$ , then  $x' \notin S'_3$ . If  $p \in S_2(v_2, v_3)$ , then by symmetry we assume that  $py \in E$ . Since  $tv_3pyv_0x$  does not induce a  $P_6$ , we have  $tp \in E$ . Therefore  $p$  is the only vertex in  $S_2(v_2, v_3)$  that is adjacent to  $y$  otherwise  $K_5$  would occur. Thus there is also at most one vertex in  $S_2(v_2, v_3)$  that is adjacent to  $z$ . Also,  $ry \notin E$  otherwise  $tv_3ryv_0x = P_6$ . By symmetry,  $zt \notin E$ . Hence,  $|S'_3 \cap (S_1 \cup S_2)| \geq 3$  and  $|S'_3 \cap (S_1 \cup S_2)| \geq 4$  if  $S'_3 \cap (S_2(v_0, v_4) \cup S_2(v_0, v_1)) \neq \emptyset$  by the minimality of  $C$ . But now we either have a  $K_5$  or contradicts (P9).

**$C$  is of type (2,2,0,1,1).** Let  $S_3(v_0) = \{x, x'\}$ ,  $S_3(v_1) = \{y, y'\}$ ,  $S_3(v_3) = \{t\}$ ,  $S_3(v_4) = \{w\}$ . Note that  $wt \notin E$  or  $G = G_{2,2}$ . We may assume that  $y$  is anti-complete to  $\{x, x'\}$ . Let  $C' = C \setminus \{v_1, v_4\} \cup \{w, y\}$ . Thus by the minimality of  $C$  we have  $S'_3 \cap (S_1 \cup S_2) \neq \emptyset$ . Let  $p \in S'_3$  and it is easy to check that  $p \in S_2(v_0, v_1) \cup S_2(v_0, v_4) \cup S_2(v_2, v_3)$ . Suppose first that  $p \in S_2(v_0, v_1)$ . Then  $p$  is complete to  $\{y, w\}$ . Note that  $tp \notin E$  or  $tpv_0v_4 = C_4$ . Since  $tv_4wpy' \neq P_6$ , we have  $py' \in E$  and

thus  $\{v_0, v_1, y, y', p\}$  induces a  $K_5$ . Suppose now that  $p \in S_2(v_0, v_4)$ . Again,  $p$  is complete to  $\{y, w\}$ . Note that  $tp \notin E$  or  $tpyv_2 = C_4$ . Then the fact that  $tv_3wpyy' \neq P_6$  implies that  $py' \in E$ . Now  $\{x, x', y, y, v_0, v_1, v_4, p\}$  induces a Hajos graph with one additional dominating vertex. Finally, assume that  $p \in S_2(v_2, v_3)$ . Then  $p$  is adjacent to exactly one of  $\{y, w\}$ . Suppose that  $pw \in E$ . Then  $tp \notin E$  or  $v_4wpt = C_4$ . By  $G$  is  $K_5$ -free,  $w$  is not complete to  $\{x, x'\}$ , say  $wx \notin E$ , and hence  $xp \notin E$  or  $xv_4wp = C_4$ . Now  $tv_2pwv_0x = P_6$ . Therefore,  $py \in E$  and  $pw \notin E$ . We may assume that  $xw \notin E$ , and now  $pv_2v_1xv_4w = P_6$ .

**$C$  is of type  $(2,2,1,0,1)$ .** Let  $S_3(v_0) = \{x, x'\}$ ,  $S_3(v_1) = \{y, y'\}$ ,  $S_3(v_2) = \{t\}$ ,  $S_3(v_4) = \{w\}$ . We may assume that  $y$  is anti-complete to  $\{x, x'\}$  and  $x$  is anti-complete to  $\{y, y'\}$ . Let  $C' = C \setminus \{v_1, v_4\} \cup \{y, w\}$ . By the minimality of  $C$ , we have  $S'_3 \cap (S_1 \cup S_2) \neq \emptyset$ . Let  $p \in S'_3$  and it is easy to check that  $p \in S_2(v_0, v_1) \cup S_2(v_0, v_4) \cup S_2(v_2, v_3)$ . Suppose first that  $p \in S_2(v_0, v_1)$ . Then  $p$  is complete to  $\{y, w\}$ . Now since  $pyv_2v_3v_4x(x') \neq P_6$ , we have  $p$  is complete to  $\{x, x'\}$  and thus  $\{v_0, v_1, p, x, x'\}$  induces a  $K_5$ . Now suppose that  $p \in S_2(v_0, v_4)$ . Again,  $p$  is complete to  $\{y, w\}$ . Note that  $tp \notin E$  or  $pv_0v_1t = C_4$ . If  $py' \in E$ , then  $xp \in E$  or  $xv_1y'pv_4$  and  $v_0$  would induce a  $W_5$ . But now  $xpy'v_1 = C_4$ . Hence,  $py' \notin E$ . Now the fact that  $tv_3v_4pyy' \neq P_6$  implies that  $ty \in E$  or  $ty' \in E$ . If  $ty \in E$ , then  $y'tyv_3v_4x = P_6$ . Otherwise,  $ty' \in E$ . Then  $px \notin E$  or  $pxv_1y = C_4$ . Now  $ty'y'pv_4x = P_6$ . Thus there exist vertices  $p, p' \in S'_3 \cap S_2(v_2, v_3)$ . Suppose that  $py \in E$  and so  $pw \in E$ . Since  $xv_4v_3pyy' \neq P_6$ , we have  $py' \in E$ . Note that  $t$  is not complete to  $\{y, y'\}$ . Thus,  $tp \in E$  as  $tv_3py(y')v_0x \neq P_6$ . Now  $py(y')v_1t = C_4$ . Hence,  $w$  is complete to  $\{p, p'\}$ . Now  $\{y, y', v_0, v_1, v_2, p, p', v_3, w\}$  induces a  $G_{3,1}$ .

**Case 7.**  $|S_3| = 7$ . Suppose that  $S_3(v_0) = \{x\}$ ,  $S_3(v_1) = \{y\}$ ,  $S_3(v_4) = \{z\}$ ,  $S_3(v_2) = \{r, r'\}$  and  $S_3(v_3) = \{t, t'\}$ . We may assume that  $r$  is anti-complete to  $\{t, t'\}$  and  $t$  is anti-complete to  $\{r, r'\}$  or  $K_5$  would occur. Let  $C_r = C \setminus \{v_2\} \cup \{r\}$ . Since  $t, t' \notin S_3^r$  we have  $|S_3^r \cap (S_1 \cup S_2)| \geq 2$  by minimality of  $C$ . Let  $p$  and  $p'$  be two vertices in the  $S_3^r \cap (S_1 \cup S_2)$ . Then  $r$  is complete to  $\{p, p'\}$ . It is routine to check that  $p$  and  $p'$  belong to  $S_2(v_0, v_1) \cup S_2(v_3, v_4)$ . First suppose that  $\{p, p'\} \subseteq S_2(v_0, v_1)$ . Let  $C_t = C \setminus \{v_3\} \cup \{t\}$ . Then there exist  $q$  and  $q'$  such that  $q$  and  $q'$  belong to  $S_2(v_4, v_0)$  or  $S_2(v_1, v_2)$ . If  $\{q, q'\} \subseteq S_2(v_4, v_0)$  or  $\{q, q'\} \subseteq S_2(v_1, v_2)$  then  $\{p, p', q, q', v_1\}$  would induce a  $K_5$ . Hence there must be the case that  $q \in S_2(v_4, v_0)$  and  $q' \in S_2(v_1, v_2)$ . By definition of  $q$  and  $q'$ ,  $t$  is complete to  $\{q, q'\}$ , which contradicts (P10). Therefore,  $S_2(v_3, v_4) \neq \emptyset$ . Repeating the argument for  $C_t$  we have  $S_2(v_1, v_2) \neq \emptyset$ . So,  $S_2(v_0, v_4) = S_2(v_0, v_1) = \emptyset$  and thus  $p, p' \in S_2(v_3, v_4)$  and  $q, q' \in S_2(v_1, v_2)$ . Now Let  $C_y = C \setminus \{v_1\} \cup \{y\}$  and  $C_z = C \setminus \{v_4\} \cup \{z\}$ . The same argument shows that  $S_3^y \cap S_2(v_2, v_3) \neq \emptyset$  and  $S_3^z \cap S_2(v_2, v_3) \neq \emptyset$ . As  $|S_2(v_1, v_2)| \geq 2$ , we obtain that  $S_2(v_2, v_3)$  contains only one vertex  $u$ . Thus  $uy \in E$  and  $uz \in E$ . But now  $uyv_0z = C_4$ .

This completes the proof. □