## Enterprise Architecture for Global Companies in a Digital IT Era

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Adaptive Integrated Digital Architecture Framework (AIDAF)



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#### **Foreword**

The challenges in the digital information society are quite difficult but exciting. People are working on them with enthusiasm, tenacity, and dedication to develop new analytical methods and provide innovative solutions to keep up with the latest trends of big data, Internet of Things, cloud computing, mobile IT, etc. In this new age of the digital information society, it is necessary to advocate a new Enterprise Architecture Framework. This book provides state-of-the-art knowledge and practices about Enterprise Architecture Framework beneficial for IT practitioners, IT strategists, CIO, IT architects, and even students and serves as an introductory textbook for all who drive the information society in this era.

Tokyo, Japan

Dr. Jun Murai Keio University

I found this book to be a very nice contribution to the enterprise architecture community of practice. Congratulations on your development of the AIDAF! Also, it would be helpful to get your views on global trends in the EA community—there are lots of jobs posted for enterprise, solution, data, software, and security architects.

I can recommend this book of "Enterprise Architecture for Global Companies in a Digital IT Era: Adaptive Integrated Digital Architecture Framework (AIDAF)" as a textbook for digital IT strategists/practitioners, EA practitioners, students in universities and graduate schools.

You have done good work! Best of luck in the future.

Pittsburgh, USA

Dr. Scott A. Bernard Carnegie Mellon University

#### **Preface**

First, we clarify the sharing of roles in this book. As a primary author, Dr. Yoshimasa Masuda wrote the whole contents of this book. Dr. Yoshimasa Masuda and Prof. Murli developed the "Questions and Exercises" in the final parts of each chapter. Furthermore, Prof. Murli reviewed this book to enhance this book as the text/reference book suitable for graduate schools and universities worldwide, involving Carnegie Mellon University.

Many global enterprises have encountered various changes, such as the progress of new technologies, globalization, shifts in customer needs, and new business models. Important changes in cutting-edge IT technology with recent developments in Cloud computing and Mobile IT (such as progress in big data technology) have emerged as new trend these days. In global IT industry, CIO's IT investment is shifting to Cloud/digital platforms largely, toward the next generation of Digital IT, mainly in Europe and USA (Nils Olaya, Jeanne W. Ross, MIT CISR research, 2015). Enterprise Architecture can be effective because it contributes to the design of mid-/large integrated systems, which show a major technical challenge toward the era of Cloud/Mobile IT/Digital IT in digital transformation. On the other hand, in the reality, we had difficulties in starting up Enterprise Architecture with existing EA frameworks in global firm toward a Digital IT.

In the beginning, from standpoints of Digital IT, strategic architecture frameworks (Enterprise Architecture) were investigated. Thereby, existing EA approaches and frameworks did not meet with the direction of shifting to advanced Digital IT areas—Cloud/Mobile IT. Therefore, we recognized a new EA framework for a Digital IT era as the important research theme and task, and we systematized the architecture framework/EA that should suit the direction of advanced Digital IT areas (Cloud/Mobile IT/Digital IT) in consideration of the results of the "EA framework analysis" and the "case study in global enterprise" at this time.

This book aims to investigate solutions incorporated by Architecture Board in the global enterprise for solving issues and mitigating related architecture risks while proposing and implementing "Adaptive Integrated Digital Architecture Framework—AIDAF" and related models and approaches/platforms, which can be applied in companies promoting IT strategy using Cloud/Mobile IT/Digital IT.

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This book can be divided into three main parts. The first part consists of Chaps. 1 and 2. These chapters address the background and motivation for the Adaptive Integrated Digital Architecture Framework proposed in this book, to meet with IT strategy toward Cloud/Mobile IT/Digital IT. Chapter 1 is the introduction such as the purpose, scope, and structure of this book that covers the introductions of "the history of information systems toward Digital Transformation" and "Enterprise Architecture." Chapter 2 explains the background of this book, such as the trend of Digital IT and the direction of Enterprise Architecture. Furthermore, problems in Enterprise Architecture toward the era of Digital IT are shown and countermeasures/solutions are also suggested in this chapter.

The second part of this book comprises Chap. 3. In this chapter, first, the author shows the overview and positioning of strategic architecture framework and related models in the era of Digital IT. Furthermore, we show the necessary elements in EA frameworks for the era of Cloud/Mobile IT/Digital IT and propose the new Enterprise Architecture Framework named "Adaptive Integrated Digital Architecture Framework—AIDAF" and related models for architecture assessment/Risk Management and knowledge management on digital platform, which can solve the problems toward the era of Digital IT described in the previous chapter, while these models and frameworks are applied in companies promoting IT strategy using Cloud/Mobile IT/Digital IT.

The third part of this book demonstrates the application and usefulness of my proposed Enterprise Architecture Framework and several approaches/models related to this Architecture Framework. Three case studies are presented in Chaps. 4, 5, 6, and 7. In Chap. 4, a case study that built and practically implemented our proposed EA framework in a global pharmaceutical company is presented. This case study evaluates the effectiveness and adaptability of my proposed "Adaptive Integrated Digital Architecture Framework—AIDAF" and shows the benefits and results of this EA framework in the era of Cloud/Mobile IT/Digital IT.

Chapters 5 and 6 present two evaluations of this Architecture Framework-related approaches and models. The case study in Chap. 5 is focused on "Architecture Board reviews and knowledge management." This case study has verified the "Assessment meta-model in Architecture Board," "Global Digital Transformation Communication model," and "Solution Collaboration Model" on digital platforms and shows the effectiveness and results of these approaches/models related to my proposed AIDAF. In Chap. 6, the case study is focused on "Risk Management approach for Digital Transformation" and Big Data. That case study evaluated the "Strategic Risk Management model for Digital Transformation," clarified the strategy elements to mitigate risks in Digital Transformation, and showed results of this approach/model related to my proposed AIDAF. Furthermore, Chap. 7 presents the overall evaluation of AIDAF and the perspectives for AIDAF and related approaches/models.

Chapter 8 presents the conclusion and some important points from this research. This chapter summarizes the results of verifying my proposed Architecture Framework—AIDAF—and related approaches/models and shows important points

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of this Adaptive Integrated Digital Architecture Framework—AIDAF—and the related approaches/models.

Chapter 9 presents the future direction of the AIDAF and Internet of Things. This chapter introduces and briefs the direction and concept of the research initiative named "Open Healthcare Platform 2030," for the above purpose.

Adelaide, Australia/Tokyo, Japan Adelaide, Australia Yoshimasa Masuda Murlikrishna Viswanathan

#### Acknowledgements

We would like to thank our advisors and colleagues in the field of digital and Enterprise Architecture in writing *Enterprise Architecture for Global Companies in a Digital IT Era*.

Through our research and working experience in starting up Enterprise Architecture in the global company, the problems toward Digital IT have enhanced my motivation and attention, which can lead to our selection of a research topic for this book without hesitation. Besides, our research would have been difficult without the devoted supports of the following people.

Recommendations from Scott Bernard and Jun Murai are precious contributions to this book. Scott Bernard has worked as the US Federal Chief Enterprise Architect with the President's Office of Management and held as CIO positions while teaching EA in Carnegie Mellon University. Jun Murai is Founder of JUNET starting Internet in Japan and known as "the father of Internet in Japan" while teaching Information Technology at Keio University.

Moreover, especially we would like to also express our great appreciation to Prof. Riaz Esmailzadeh in Carnegie Mellon University, Australia, for their willingness to become external advisors for our research and the book. His advice and suggestions are very precious in terms of enterprise architecture, information system architecture, and digital transformation as well as managerial aspects.

We would like to express great appreciation to advisors, Prof. Seiko Shirasaka of Keio University and Shuichiro Yamamoto of Nagoya University, for their efforts in improving and finalizing the quality of Dr. Yoshimasa Masuda's thesis leading to this book. We would like to also present our great appreciation to Prof. Kenichi Takano and Prof. Tetsuya Tohma, for their positive intention to become reviewers for Dr. Yoshimasa Masuda's thesis leading to this book. We would like also to present our great appreciation to Dean Prof. Takashi Maeno, Prof. Taketoshi Hibiya, former Dean Prof. Yoshiaki Ohkami, etc., for their comments for this book and advices.

xii Acknowledgements

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Many verification activities of the research in this book were conducted in the global organization of global company where one of us had worked. Therefore, we would like to present our thankfulness to the colleagues of the company's architecture community in Boston, USA, Switzerland, Germany, Europe, Japan, etc.

Finally, we would like to show our gratitude to our family. They have been very supportive and patient to live with the authors who spent most of their time in work and research even in the midnight, weekend, and holidays. We would like to also express our great appreciation to our parents as well.

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#### **Abbreviations**

AA Application Architecture

ADM Application Development Method

AGATE Atelier de Gestion de l'ArchiTEcture des systèmes d'information et

de communication—An Architecture Framework for modeling computer or communication systems architecture for French

Defence and military

AIDAF Adaptive Integrated Digital Architecture Framework

API Application Programming Interfaces—key element for digital

transformation because of an essential component in Microservices,

merging the old and the new IT platforms

AUSDAF Australian Defence Architecture Framework

BA Business Architecture BI Business Intelligence

BPR Business Process Redesign/Reengineering

C4ISR Command, Control, Communication, Computer and Intelligence,

Surveillance, Reconnaissance

CAFEA Common Approach to Federal Enterprise Architecture

CIO Chief Information Officer

CISO Chief Information Security Officer
CMC tools Computer-mediated communication tools
CMMI Capability Maturity Model Integration

COBIT Control Objectives for Information and Related Technology

CRM Customer relationship management

DA 2.0 Disciplined Agile 2.0 DA Data Architecture

DoDAF Department of Defence Architecture Framework

EIS Enterprise Information Systems
ERP Enterprise Resource Planning

FEAF Federated Enterprise Architecture Framework

GDTC Global Digital Transformation Communication model

xx Abbreviations

GHE Global Healthcare Enterprise
GxP Good x Practice (validation)
IaaS Infrastructure as a Service
IE Internet Explorer (Microsoft)

IIS Internet Information Services (standard Web services for Microsoft

Windows)

JDBC Java Database Connectivity

KOL Key opinion leaders (management)

LAN Local area network
LeSS Large-Scale Scrum
LOBs Lines of business

MDM Master data management (platform)

MIT CISR Massachusetts Institute of Technology—Center for Information

Systems Research

MIT EA Massachusetts Institute of Technology—Enterprise Architecture

approach

MOD Ministry of Defence (UK)

MODAF British Ministry of Defence Architecture Framework

MVS Multiple Virtual Storage (operating system for IBM mainframe)

NIST National Institute of Standards and Technology (USA)

OASIS The Organization for the Advancement of Structured Information

Standards

ODBC Open Database Connectivity
ONM Organizational network model

PaaS Platform as a Service PMO Project management office

POS Point of Sale

SaaS Software as a Service
SAFe Scaled Agile Framework
SCM Supply Chain Management
SCM model Social Collaboration Model

SDLC System (Software) Development Life Cycle (process)

SNS Social networking service
SOA Service-oriented architecture

SoS Scrum of Scrum

STRMM STrategic Risk Mitigation Model

TA Technology Architecture

TOGAF The Open Group Architecture Framework (EA)

TRM Technology Reference Model

VM Virtual Machine (operating system for IBM mainframe)

VSE Virtual Storage Extended (operating system for IBM mainframe)

WAN Wide area network
WWW World Wide Web