Texts in Theoretical Computer Science An EATCS Series

Editors: W. Brauer G. Rozenberg A. Salomaa On behalf of the European Association for Theoretical Computer Science (EATCS)

Advisory Board: G. Ausiello M. Broy C.S. Calude A. Condon D. Harel J. Hartmanis T. Henzinger J. Hromkovič N. Jones T. Leighton M. Nivat C. Papadimitriou D. Scott

J. Hromkovič R. Klasing A. Pelc P. Ružička† W. Unger

Dissemination of Information in Communication Networks

Broadcasting, Gossiping, Leader Election, and Fault-Tolerance

With 73 Figures



Authors

Prof. Dr. Juraj Hromkovič ETH Zentrum, RZ F2 Department of Computer Science Swiss Federal Institute of Technology 8092 Zürich, Switzerland juraj.hromkovic@inf.ethz.ch

Prof. Dr. Peter Ružička†

Dr. Ralf Klasing CNRS - I3S & INRIA Sophia-Antipolis 2004 Route des Lucioles, BP 93 06902 Sophia Antipolis Cedex, France Ralf.Klasing@sophia.inria.fr

Series Editors

Prof. Dr. Wilfried Brauer Institut für Informatik der TUM Boltzmannstrasse 3 85748 Garching, Germany Brauer@informatik.tu-muenchen.de

Prof. Dr. Grzegorz Rozenberg Leiden Institute of Advanced Computer Science University of Leiden Niels Bohrweg 1 2333 CA Leiden, The Netherlands rozenber@liacs.nl

Illustrations Ingrid Zamečnikova

Library of Congress Control Number: 2004117337

Dr. Andrzej Pelc Départment d'informatique Université du Québec en Outaouais C.P. 1250, Succursale Hull Gatineau (Québec) J8X 3X7, Canada andrzej.pelc@ugo.ca

Dr. Walter Unger Lehrstuhl für Informatik I Aachen University RWTH Ahornstrasse 55 52074 Aachen, Germany quax@i1.Informatik.RWTH-Aachen.de

Prof. Dr. Arto Salomaa Turku Centre for Computer Science Lemminkäisenkatu 14 A 20520 Turku, Finland asalomaa@utu.fi

ACM Computing Classification (1998): B.4.3, C.2.0-1, F.2.2, G.2.1-2, G.3 ISBN 3-540-00846-2 Springer Berlin Heidelberg New York

This work is subject to copyright. All rights are reserved, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilm or in any other way, and storage in data banks. Duplication of this publication or parts thereof is permitted only under the provisions of the German Copyright Law of September 9, 1965, in its current version, and permission for use must always be obtained from Springer. Violations are liable for prosecution under the German Copyright Law.

Springer is a part of Springer Science+Business Media springeronline.com

© Springer-Verlag Berlin Heidelberg 2005 Printed in Germany

The use of general descriptive names, registered names, trademarks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

Cover design: KünkelLopka, Heidelberg
Typesetting: Camera ready by authors
Production: LE-TeX Jelonek, Schmidt & Vöckler GbR, Leipzig
Printed on acid-free paper SPIN: 10920657 45/3142/YL - 5 4 3 2 1 0

To our dear friend and coauthor Peter Ružička, in memoriam.



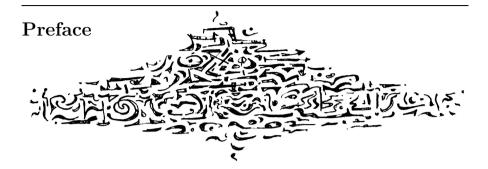


It is necessary to live in such a way that death might seem to be the greatest injustice done to us. What is really important is to give life a real sense: to identify oneself with the yearnings of people, to be responsible for the way things are and to be present in all the struggles which shape our existence.





Emmanuel Robles



Due to the development of hardware technologies (such as VLSI) in the early 1980s, the interest in parallel and distributive computing has been rapidly growing and in the late 1980s the study of parallel algorithms and architectures became one of the main topics in computer science. To bring the topic to educators and students, several books on parallel computing were written. The involved textbook "Introduction to Parallel Algorithms and Architectures" by F. Thomson Leighton in 1992 was one of the milestones in the development of parallel architectures and parallel algorithms. But in the last decade or so the main interest in parallel and distributive computing moved from the design of parallel algorithms and expensive parallel computers to the new distributive reality – the world of interconnected computers that cooperate (often asynchronously) in order to solve different tasks. Communication became one of the most frequently used terms of computer science because of the following reasons:

- (i) Considering the high performance of current computers, the communication is often more time consuming than the computing time of processors. As a result, the capacity of communication channels is the bottleneck in the execution of many distributive algorithms.
- (ii) Many tasks in the Internet are pure communication tasks. We do not want to compute anything, we only want to execute some information exchange or to extract some information as soon as possible and as cheaply as possible. Also, we do not have a central database involving all basic knowledge. Instead, we have a distributed memory where the basic knowledge is distributed among the local memories of a large number of different computers.

The growing importance of solving pure communication tasks in the interconnected world is the main motivation for writing this book. The main goals of this material are:

X Preface

- (i) to provide a monograph that surveys the main methods, results and research problems related to the design and analysis of communication algorithms (strategies) under different technological constraints; and
- (ii) to provide an introductory textbook in the field of information dissemination in interconnection networks with a special emphasis on broadcast, information collection, gossip, leader election, and related tasks.

Our work is divided into two parts. This first textbook is devoted to the classical, direct communication between connected pairs of nodes of a communication network and to the related communication tasks such as broadcasting, gossiping, and leader election. The forthcoming part focuses on the fast communication via fixed paths between senders and receivers, which is based on new technologies such as optical networks, ATM networks, and wireless networks (for instance, mobile phones and radio networks).

This book aims to be a textbook accessible for students as well as a monograph that surveys the research on communication, presents the border between the known and the unknown, and can so be of interest to researchers and professionals, too.

We would like to thank Manuel Wahle for the successful embedding of our manuscripts into a common style, and for his technical help concerning the typical problems that occur when several people try to write something together. We are indebted to Ingrid Zámečniková for her original illustrations. The (as always) excellent cooperation with Alfred Hofmann, Ingeborg Mayer, and Ronan Nugent from Springer is gratefully acknowledged.

This textbook is devoted to our dear friend and coauthor Peter Ružička, who died during the work on this project. Slovakian computer science lost in him one of its greatest personalities, one of those who wrote the computer science history in Czechoslovakia. Peter was an excellent researcher, and a beloved teacher, who was able to inspire his students for the study and the investigation of the topic of his interest in a fascinating way. But, first of all, he was a man, and to express what we mean and feel by this, it is impossible to find anything better than the following words of Antoine de Saint-Exupéry:

Only he who carries inside a greater personality than himself deserves to be called a man.

All quotations forthcoming in this textbook remember Peter and present his views and his way of living as we were able to understand them.

Juraj Hromkovič, Ralf Klasing, Andrzej Pelc, Walter Unger

Contents

1	Inti 1.1 1.2	Motivation and Aims	1 1 2			
Pa	rt I '	The Telegraph and Telephone Modes				
2	Fundamentals					
	2.1	Introduction	7			
	2.2	Graphs	7			
	2.3	Combinatorics	20			
	2.4	Communication Tasks and Algorithms	25			
	2.5	General Properties of the Complexity of Communication				
		0	33			
	2.6	Fundamental Interconnection Networks	41			
3	Bro	Broadcasting				
	3.1	Introduction	51			
	3.2	Basic Facts and Techniques	52			
	3.3	Upper Bounds for Common Networks	59			
	3.4	Lower Bounds for Bounded-Degree Graphs	66			
	3.5	Overview on Broadcasting in Concrete Networks	78			
	3.6	FF	79			
		3.6.1 General Observations	80			
		3.6.2 Two Approximation Algorithms	82			
		3.6.3 Bibliographical Remarks	90			
4	Gos	ssiping	93			
	4.1	. 0	93			
	4.2		94			
	4.3	Gossiping in Graphs with Small Bisection Width	00			

TTTT	~
XII	Contents
∠ \111	Conferio

	4.4	Gossiping in Hypercube-like Networks of Constant Degree 123					
	4.5	Gossiping in Complete Graphs					
	4.6	Overview					
5	Systolic Communication						
	5.1	Introduction					
	5.2	Definitions					
	5.3	Systolic Broadcast					
	5.4	General Observations for Systolic Gossip					
	5.5	Special Systolic Modes					
	5.6	Systolic Gossip in Concrete Networks					
	5.0	5.6.1 Systolic Gossip on a Path					
		5.6.2 Systolic Gossip on a Cycle					
		5.6.3 Systolic Gossip on Complete Trees					
		·					
		, , , , , , , , , , , , , , , , , , ,					
	r 7	5.6.5 Systolic Gossip on Butterfly and CCC Networks 175					
	5.7	Bibliographical Remarks					
6	Fau	lt-Tolerance					
	6.1	Introduction					
		6.1.1 Fault Distribution					
		6.1.2 Fault Classification					
		6.1.3 Flexibility of Broadcasting Algorithms					
	6.2	Preliminary Results					
	6.3	The Bounded-Fault Model					
		6.3.1 Permanent Link Faults					
		6.3.2 Permanent Node Faults					
		6.3.3 Transmission Faults					
	6.4	The Probabilistic Fault Model					
		6.4.1 Crash Faults					
		6.4.2 Byzantine Faults					
Par	rt II	Distributed Networks					
_	ъ	1 1 D' 1 1 1 1 N 1 1					
7	Bro 7.1	adcast on Distributed Networks					
	1.1	7.1.1 Distributed Depth-First Search					
		7.1.1 Distributed Depth-First Search					
	7.0	7.1.3 Basic Lower Bound on Message Complexity of Broadcast 240					
	7.2	Broadcast on Tori					
		7.2.1 Upper Bound					
		7.2.2 Lower Bound					
	- 0	7.2.3 The Growth of the Core Graph					
	7.3	Broadcast on Hypercubes257					

			Conte	$_{ m ents}$	XIII
		7.3.1	Preliminaries		. 257
		7.3.2	Partial Broadcasting and Orientation		
		7.3.3	Bit-Efficient Partial Broadcasting Algorithm		
		7.3.4	Applications – Optimal Computable Masks for Sp		
			Target Sets		. 263
		7.3.5	The Broadcasting Algorithm		
		7.3.6	Bit-Optimal Broadcasting in Time $n \dots \dots$. 266
8	Lea	der El	lection in Asynchronous Distributed Network	ks	. 267
	8.1	Distril	buted Point-to-Point Network Model		. 267
	8.2	Leade	r Election on Distributed Networks		. 271
	8.3	Leade	r Election on Unidirectional Rings with Optimal		
		Avera	ge Performance		. 271
	8.4	Leade	r Election on Unidirectional Rings – Worst Case A	nalysi	is 276
	8.5	Leade	r Election on Bidirectional Rings – Worst Case An		
		8.5.1	Election Algorithm Due to van Leeuwen and Tan		
		8.5.2	Correctness and Message Complexity Analysis		
	8.6		r Election on Complete Networks		
		8.6.1	$O(N \log N)$ Algorithm		
		8.6.2	$\Omega(N \log N)$ Lower Bound		
	8.7		r Election on Hypercubes		
		8.7.1	Preliminary Notions		
		8.7.2	Leader Election		
		8.7.3	Warrior Phase		
		8.7.4	King Phase		
	8.8	Leade	r Election on Synchronous Rings		. 315
9	Fau	lt-Tole	erant Broadcast in Distributed Networks		. 317
	9.1	Conse	ensus Problem		. 317
		9.1.1	Fault-Tolerant Broadcast with Unsigned Messages	3	. 319
		9.1.2	Fault-Tolerant Broadcast with Signed Messages .		. 323
	9.2	Broad	lcasting in Synchronous Networks with Dynamic Fa	ults.	. 326
		9.2.1	Broadcast in Hypercubes with Dynamic Faults		. 330
		9.2.2	Broadcast in Tori with Dynamic Faults		. 333
		9.2.3	Broadcast in Star Graphs with Dynamic Faults $$.		. 337
Re	feren	ices			. 341
Ind	lev				357