# Lecture Notes in Artificial Intelligence

518

Subseries of Lecture Notes in Computer Science Edited by J. Siekmann

Lecture Notes in Computer Science Edited by G. Goos and J. Hartmanis



#### J. G. Williams

# Instantiation Theory

On the Foundations of Automated Deduction

## Springer-Verlag

Berlin Heidelberg New York London Paris Tokyo Hong Kong Barcelona Budapest Series Editor

Jörg Siekmann Institut für Informatik, Universität Kaiserslautern Postfach 3049, W-6750 Kaiserslautern, FRG

Author

James G. Williams
The MITRE Corporation, M/S A129
Burlington Road, Bedford, MA 01730-0208. USA

CR Subject Classification (1991): I.2.3

ISBN 3-540-54333-3 Springer-Verlag Berlin Heidelberg New York ISBN 0-387-54333-3 Springer-Verlag New York Berlin Heidelberg

This work is subject to copyright. All rights are reserved, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, re-use of illustrations, recitation, broadcasting, reproduction on microfilms or in other ways, and storage in data banks. Duplication of this publication or parts thereof is only permitted under the provisions of the German Copyright Law of September 9, 1965, in its current version, and a copyright fee must always be paid. Violations fall under the prosecution act of the German Copyright Law.

© Springer-Verlag Berlin Heidelberg 1991 Printed in Germany

Typesetting: Camera ready by author Printing and binding: Druckhaus Beltz, Hemsbach/Bergstr. 2145/3140-543210 - Printed on acid-free paper

#### PREFACE

Instantiation theory is the study of instantiation in an abstract context that is applicable to most commonly studied logical formalisms. This book begins with a survey of general approaches to the study of instantiation, as found in tree systems, order–sorted algebras, algebraic theories, composita, and instantiation systems.

A classification of instantiation systems is given, based on properties of substitutions, degree of type strictness, and well-foundedness of terms. Equational theories and the use of typed variables are studied in terms of quotient homomorphisms and embeddings, respectively. Every instantiation system is a quotient system of a subsystem of first-order term instantiation.

A general unification algorithm is developed as an application of the basic theory. Its soundness is rigorously proved, and its completeness and efficiency are verified for certain classes of instantiation systems. Appropriate applications of the algorithm include unification of first-order terms, order-sorted terms, and first-order formulas modulo  $\alpha$ -conversion, as well as equational unification using simple congruences.

I am indebted to William Farmer for acquainting me with the literature on unification algorithms, for help in formulating the basic theory, and for valuable advice regarding its development. I also wish to thank Hans-Jürgen Bürckert, Dale Johnson, John Stell, and an anonymous referee for valuable feedback on its presentation. This work was sponsored by the Rome Laboratories, Griffiss Air Force Base, Rome, NY 13441, under the direction of John C. Faust, COAC.

Bedford, MA June 1991 James G. Williams

### TABLE OF CONTENTS

Section	Title	Page
1	Introduction	1
	Motivation	1
2	Background	4
	Monoid Actions	7
3	General Approaches to Instantiation	11
	Instantiation Systems	17 18
4	Classification Properties	23
	Variable Dependency Properties Type Strictness Properties Term Occurrence Properties Iteration and Fixed Points Counterexamples	25 26 28
5	Homomorphisms	37
	Congruences and Quotient Systems	40
6	Construct Bases	49
	Basic Properties of Constructs	52

7	Unification — an Algorithm and its Soundness 61
	Requirements61Basic Strategies64Algorithmic Specification65Soundness of the Algorithm68Choice of Instantiation System70Examples75
8	Term-Implementation and Completeness
	Concrete Term Implementation81Completeness83Step-wise Derivation of unification289Tree-Unification92Counterexamples94
9	Implementation and Computational Complexity 97
	Deferred Merging98Fragment Merging100Implementation of find $g$ 103Implementation of base $g$ 108Implementation of vdc(E, $g$ )112Further Optimizations116Computational Complexity117
10	Related Issues not Addressed
Appendix A	The Compiled unification <sub>7</sub> Specification
References	