# Remark on Luo-Hou's ansatz for a self-similar solution to the 3D Euler equations 

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#### Abstract

In this note we show that Luo-Hou's ansatz for the self-similar solution to the axisymmetric solution to the 3D Euler equations leads to triviality of the solution under suitable decay condition of the blow-up profile. The equations for the blow-up profile reduces to an over-determined system of partial differential equations, whose only solution with decay is the trivial solution. We also propose a generalization of Luo-Hou's ansatz. Using the vanishing of the normal velocity at the boundary, we show that this generalized self-similar ansatz also leads to a trivial solution. These results show that the self-similar ansatz may be valid either only in a time-dependent region which shrinks to the boundary circle at the self-similar rate, or under different boundary conditions at spatial infinity of the self-similar profile.


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## 1 Axisymmetric 3D Euler system

We are concerned with the homogeneous incompressible 3D Euler equations,

$$
(E)\left\{\begin{array}{l}
\partial_{t} u+u \cdot \nabla u=-\nabla p \\
\operatorname{div} u=0
\end{array}\right.
$$

where $u(x, y, z, t)$ is the velocity vector field, and $p=p(x, y, z, t)$ is the scalar pressure. We consider an axisymmetric solution of the Euler equations, which means that the velocity field $u$ has the representation

$$
u=u^{r}(r, z, t) e_{r}+u^{\theta}(r, z, t) e_{\theta}+u^{z}(r, z, t) e_{z}
$$

in the cylindrical coordinate system $(r, \theta, z)$, where

$$
e_{r}=\left(\frac{x}{r}, \frac{y}{r}, 0\right), \quad e_{\theta}=\left(-\frac{y}{r}, \frac{x}{r}, 0\right), \quad e_{z}=(0,0,1), \quad r=\sqrt{x^{2}+y^{2}} .
$$

Let $\psi$ be the vector stream function satisfying, $\operatorname{curl} \psi=u$ and $\operatorname{div} \psi=0$, and $\psi^{\theta}$ be its angular component. Let $\omega=$ curl $u$ be the vorticity vector and $\omega^{\theta}$ be its angular component. Then, the Euler equations for the axisymmetric solution can be written as (see [2])

$$
\begin{align*}
& \partial_{t} u^{\theta}+u^{r} \partial_{r} u^{\theta}+u^{z} \partial_{z} u^{\theta}=-\frac{u^{r} u^{\theta}}{r}  \tag{1.1}\\
& \partial_{t} \omega^{\theta}+u^{r} \partial_{r} \omega^{\theta}+u^{z} \partial_{z} \omega^{\theta}=\frac{2 u^{\theta}}{r} \partial_{z} u^{\theta}+\frac{1}{r} u^{r} \omega^{\theta}  \tag{1.2}\\
& -\left(\Delta-\frac{1}{r^{2}}\right) \psi^{\theta}=\omega^{\theta} . \tag{1.3}
\end{align*}
$$

In order to remove the artificial singularity at $r=0$ of the original system we introduce $\left(u_{1}, \omega_{1}, \psi_{1}\right)$ defined by

$$
\begin{equation*}
u_{1}=\frac{u^{\theta}}{r}, \quad \omega_{1}=\frac{\omega^{\theta}}{r}, \quad \psi_{1}=\frac{\psi^{\theta}}{r} \tag{1.4}
\end{equation*}
$$

Then, the system (1.1)-(1.3) can be written in terms of $\left(u_{1}, \omega_{1}, \psi_{1}\right)$ as

$$
\begin{align*}
& \partial_{t} u_{1}+u^{r} \partial_{r} u_{1}+u^{z} \partial_{z} u_{1}=2 u_{1} \partial_{z} \psi_{1}  \tag{1.5}\\
& \partial_{t} \omega_{1}+u^{r} \partial_{r} \omega_{1}+u^{z} \partial_{z} \omega_{1}=\partial_{z}\left(u_{1}^{2}\right)  \tag{1.6}\\
& -\left(\partial_{r}^{2}+\frac{3}{r} \partial_{r}+\partial_{z}^{2}\right) \psi_{1}=\omega_{1} \tag{1.7}
\end{align*}
$$

where

$$
\begin{equation*}
u^{r}=-r \partial_{z} \psi_{1}, \quad u^{z}=2 \psi_{1}+r \partial_{r} \psi_{1} . \tag{1.8}
\end{equation*}
$$

## 2 Lou-Hou's self-similar ansatz

We consider the system (1.5)-(1.7) in the infinite cylinder

$$
\left\{(r, z) \in \mathbb{R}^{2} \mid 0<r<1,-\infty<z<\infty\right\}
$$

on $0 \leq t<T$, where $T$ is a possible blow-up time. For a possible blow-up scenario at the circle on the boundary of the cylinder, observed numerically in [1], Luo-Hou [1, $\S 4.7]$ proposed the following self-similar ansatz for the solutions to (1.5)-(1.7),

$$
\begin{align*}
& u_{1}(r, z, t)=(T-t)^{-1+\frac{\gamma}{2}} U(R, Z)  \tag{2.1}\\
& \omega_{1}(r, z, t)=(T-t)^{-1} \Omega(R, Z)  \tag{2.2}\\
& \psi_{1}(r, z, t)=(T-t)^{-1+2 \gamma} \Psi(R, Z), \tag{2.3}
\end{align*}
$$

where

$$
\begin{equation*}
R=\frac{r-1}{(T-t)^{\gamma}}, \quad Z=\frac{z}{(T-t)^{\gamma}}, \tag{2.4}
\end{equation*}
$$

and $\gamma \geq 2 / 5$, which is valid on a neighborhood of the circle on the boundary for all time sufficiently close to the possible blow-up time. The region $D_{\infty}(t)$ of self-similarity studied in [1] is defined dynamically as where the vorticity magnitude exceeds one half of its maximal magnitude at each time $t$. It is observed numerically to shrink to the boundary circle as $t \rightarrow T_{-}$. If (2.1) $-(2.3)$ are valid in the set $D_{\infty}(t)$, the diameter of $D_{\infty}(t)$ should be proportional to $(T-t)^{\gamma}$ and corresponds to a fixed finite set in the left half $R Z$-plane. However the self-similar ansatz (2.1) $-(2.3)$ could be valid in a larger space-time set.

For our analysis below, we will assume that the self-similar ansatz (2.1) -(2.3) is valid either in the space-time region

$$
\begin{equation*}
\mathcal{C}_{\delta, T}:=\left\{(r, z, t) \in \mathbb{R}^{3} \mid 1-\delta<r<1, \quad-\delta<z<\delta, \quad T-\delta<t<T\right\}, \tag{2.5}
\end{equation*}
$$

for some $0<\delta \ll 1$, or in the region

$$
\begin{equation*}
\mathcal{W}_{\delta(t)}:=\left\{(r, z, t) \in \mathbb{R}^{3} \mid 1-\delta(t)<r<1, \quad-\delta(t)<z<\delta(t), \quad T_{0}<t<T\right\} \tag{2.6}
\end{equation*}
$$

where $\delta(t)>0$ is a decreasing function of $t \in\left(T_{0}, T\right)$ for some $T_{0}<T$ and

$$
\begin{equation*}
\lim _{t \rightarrow T_{-}} \delta(t)=0, \quad \limsup _{t \rightarrow T_{-}}(T-t)^{-\gamma} \delta(t)=\infty \tag{2.7}
\end{equation*}
$$

Note that, in either case, $(U, \Omega, \Psi)$ is defined on the left half-plane,

$$
\begin{equation*}
\mathcal{D}=\left\{Y=(R, Z) \in \mathbb{R}^{2} \mid-\infty<R \leq 0,-\infty<Z<\infty\right\} . \tag{2.8}
\end{equation*}
$$

We will verify that the above ansatz reduces to the triviality for the solution to (1.5)-(1.7).

Theorem 1. Let $\left(u_{1}, \omega_{1}, \psi_{1}\right)$ be a classical solution to the system (1.5)-(1.7) with the representation (2.1) $-(2.3), 0<\gamma<\infty$, in either the set $\mathcal{C}_{\delta, T}$ defined by (2.5), or in the set $\mathcal{W}_{\delta(t)}$ defined by (2.6) $-(2.7)$. We assume the following asymptotic condition for the blow-up profiles $(U, \Omega)$,

$$
\begin{equation*}
|U(Y)|+|\Omega(Y)|=o(1) \quad a s|Z| \rightarrow \infty \tag{2.9}
\end{equation*}
$$

Then, $u_{1}=\omega_{1}=0$, and $\psi_{1}=\psi_{1}(z, t)=a(T-t)^{-1+\gamma} z+b(T-t)^{-1+2 \gamma}$ for some constants $a, b$.

Remark. In Section 4 we partially explain why the condition (2.9) is a natural decay condition for the blow-up profiles. Note that we do not assume any decay in $R$. There is no boundary condition for (1.5)-(1.7) at $r=1$.

Proof of Theorem 1. We first observe from (1.8) that

$$
\begin{align*}
u^{r} & =-\left\{(T-t)^{\gamma} R+1\right\}(T-t)^{-1+\gamma} \partial_{Z} \Psi  \tag{2.10}\\
u^{z} & =2(T-t)^{-1+2 \gamma} \Psi+\left\{(T-t)^{\gamma} R+1\right\}(T-t)^{-1+\gamma} \partial_{R} \Psi . \tag{2.11}
\end{align*}
$$

Substituting (2.1)-(2.3) into (1.5)-(1.7), one obtains

$$
\begin{align*}
&(1-\left.\frac{\gamma}{2}\right)(T-t)^{-2+\frac{\gamma}{2}} U+\gamma(T-t)^{-2+\frac{\gamma}{2}}\left(R \partial_{R}+Z \partial_{Z}\right) U \\
&-\left\{(T-t)^{\gamma} R+1\right\}(T-t)^{-2+\frac{\gamma}{2}} \partial_{Z} \Psi \partial_{R} U \\
&+\left[2(T-t)^{-1+2 \gamma} \Psi+\left\{(T-t)^{\gamma} R+1\right\}(T-t)^{-1+\gamma} \partial_{R} \Psi\right](T-t)^{-1-\frac{\gamma}{2}} \partial_{Z} U \\
&= 2(T-t)^{-2+\frac{3}{2} \gamma} U \partial_{Z} \Psi  \tag{2.12}\\
&(T-t)^{-2} \Omega+\gamma(T-t)^{-2}\left(R \partial_{R}+Z \partial_{Z}\right) \Omega \\
&-\left\{(T-t)^{\gamma} R+1\right\}(T-t)^{-2} \partial_{Z} \Psi \partial_{R} \Omega \\
&+\left[2(T-t)^{-1+2 \gamma} \Psi+\left\{(T-t)^{\gamma} R+1\right\}(T-t)^{-1+\gamma} \partial_{R} \Psi\right](T-t)^{-1-\gamma} \partial_{Z} \Omega \\
&=(T-t)^{-2} \partial_{Z} U^{2}, \tag{2.13}
\end{align*}
$$

and

$$
\begin{equation*}
-(T-t)^{-1}\left(\partial_{R}^{2} \Psi+\partial_{Z}^{2} \Psi\right)-\frac{3(T-t)^{-1+\gamma}}{\left\{(T-t)^{\gamma} R+1\right\}} \partial_{R} \Psi=(T-t)^{-1} \Omega \tag{2.14}
\end{equation*}
$$

The equations (2.12)-(2.14) are valid for all $t$ sufficiently close to $T$. We obtain from (2.12) -(2.14) the equations for the most dominant terms as $t \nearrow T$,

$$
\begin{align*}
& \left(1-\frac{\gamma}{2}\right) U+\gamma Y \cdot \nabla U+\nabla^{\perp} \Psi \cdot \nabla U=0  \tag{2.15}\\
& \Omega+\gamma Y \cdot \nabla \Omega+\nabla^{\perp} \Psi \cdot \nabla \Omega=\partial_{Z} U^{2}  \tag{2.16}\\
& \quad-\Delta \Psi=\Omega \tag{2.17}
\end{align*}
$$

where we denoted

$$
\nabla=\left(\partial_{R}, \partial_{Z}\right), \quad \nabla^{\perp}=\left(-\partial_{Z}, \partial_{R}\right), \quad \Delta=\partial_{R}^{2}+\partial_{Z}^{2}
$$

The next dominant equations from (2.12) $-(2.14)$ as $t \nearrow T$ are

$$
\begin{align*}
& R \nabla^{\perp} \Psi \cdot \nabla U+2 \Psi \partial_{Z} U=2 U \partial_{Z} \Psi  \tag{2.18}\\
& R \nabla^{\perp} \Psi \cdot \nabla \Omega+2 \Psi \partial_{Z} \Omega=0  \tag{2.19}\\
& \quad \partial_{R} \Psi=0 \tag{2.20}
\end{align*}
$$

From (2.20) we have $\Psi=\Psi(Z)$ on $\mathcal{D}$. From this and (2.17) we also have $\Omega=\Omega(Z)$. Therefore, from (2.16) we have $U^{2}(Y)=f(Z)+g(R)$ for some functions $f, g$. Since $U^{2}$ vanishes as $|Z| \rightarrow \infty, g=$ constant independent of $R$, and we have $U=U(Z)$. Thus, (2.15) reduces to

$$
\left(1-\frac{\gamma}{2}\right) U+\gamma Z \partial_{Z} U=0
$$

If $\gamma \neq 2$, then the maximum principle together with the condition $|U|=o(1)$ as $|Z| \rightarrow \infty$ implies $U=0$. If $\gamma=2$, then from $2 Z \partial_{Z} U=0$ we deduce $U(Z)=$ constant $=0$ for $Z \neq 0$. By continuity $\left.U\right|_{Z=0}=0$ also. Substituting $U=0, \Omega=\Omega(Z)$ and $\Psi=\Psi(Z)$ into (2.16), we find

$$
\Omega+\gamma Z \partial_{Z} \Omega=0
$$

with $\gamma>0$. The maximum principle together with the condition $|\Omega|=o(1)$ as $|Z| \rightarrow \infty$ implies $\Omega=0$. From (2.17) we find that the function $\Psi$ satisfies $\Psi^{\prime \prime}(Z)=0$, and we have $\Psi(Z)=a Z+b$ on $\mathcal{D}$.

## 3 Generalized self-similar ansatz

Unlike the usual self-similar ansatz for a singularity at the origin, the terms in (2.12)(2.14) do not have equal factors of powers of $T-t$. Indeed, they differ by integer powers of $(T-t)^{\gamma}$. Thus it seems natural to add higher order terms to Luo-Hou's ansatz and propose the following

$$
\begin{align*}
& u_{1}(r, z, t)=(T-t)^{-1+\frac{\gamma}{2}} \sum_{k=0}^{\infty}(T-t)^{k \gamma} U_{k}(R, Z)  \tag{3.1}\\
& \omega_{1}(r, z, t)=(T-t)^{-1} \sum_{k=0}^{\infty}(T-t)^{k \gamma} \Omega_{k}(R, Z)  \tag{3.2}\\
& \psi_{1}(r, z, t)=(T-t)^{-1+2 \gamma} \sum_{k=0}^{\infty}(T-t)^{k \gamma} \Psi_{k}(R, Z) . \tag{3.3}
\end{align*}
$$

This ansatz contains (2.1)-(2.3) as a special case by setting $U_{k}=\Omega_{k}=\Psi_{k}=0$ for $k>0$. The equations for the most dominant terms as $t \rightarrow T$ are the same as (2.14)(2.16) with $U, \Omega, \Psi$ replaced by $U_{0}, \Omega_{0}, \Psi_{0}$, see (3.12)-(3.14) below. The equations for
the next dominant terms are however different:

$$
\begin{align*}
& \left(1-\frac{3 \gamma}{2}\right) U_{1}+\gamma Y \cdot \nabla U_{1}+\nabla^{\perp} \Psi_{0} \cdot \nabla U_{1}+\nabla^{\perp} \Psi_{1} \cdot \nabla U_{0} \\
& \quad+R \nabla^{\perp} \Psi_{0} \cdot \nabla U_{0}+2 \Psi_{0} \partial_{Z} U_{0}=2 U_{0} \partial_{Z} \Psi_{0},  \tag{3.4}\\
& (1-\gamma) \Omega_{1}+\gamma Y \cdot \nabla \Omega_{1}+\nabla^{\perp} \Psi_{0} \cdot \nabla \Omega_{1}+\nabla^{\perp} \Psi_{1} \cdot \nabla \Omega_{0} \\
& \quad+R \nabla^{\perp} \Psi_{0} \cdot \nabla \Omega_{0}+2 \Psi_{0} \partial_{Z} \Omega_{0}=\partial_{Z}\left(2 U_{0} U_{1}\right)  \tag{3.5}\\
& -\Delta \Psi_{1}+\partial_{R} \Psi_{0}=\Omega_{1} . \tag{3.6}
\end{align*}
$$

Our argument in the previous section does not work for such an ansatz.
However, we will show that such generalized ansatz still has no nontrivial solution using the following observation on the boundary condition. In Section 2 we did not assume any boundary condition on the $Z$-axis. However, since $u^{r}=-r \partial_{z} \psi_{1}$ has the natural boundary condition $u^{r}=0$ at $r=1$, it is natural to assume

$$
\begin{equation*}
\left.\partial_{Z} \Psi\right|_{R=0}=0 \tag{3.7}
\end{equation*}
$$

With a similar assumption on $\Psi_{k}$, Theorem 2 below asserts the triviality of the ansatz (3.1)-(3.3), which gives an alternative proof of Theorem 1 if we also assume decay in $R$ in (2.9).

Theorem 2. Let $\left(u_{1}, \omega_{1}, \psi_{1}\right)$ be a classical solution to the system (1.5)-(1.7) with the representation (3.1)-(3.3) for some $0<\gamma<\infty$, in either the set $\mathcal{C}_{\delta, T}$ defined by (2.5), or in the set $\mathcal{W}_{\delta(t)}$ defined by (2.6) -(2.7). We assume the following conditions:

$$
\begin{gather*}
U_{k}, \Omega_{k}, \Psi_{k} \in C_{l o c}^{1}(\overline{\mathcal{D}}), \quad \forall k \geq 0  \tag{3.8}\\
\left|U_{k}(Y)\right|+\left|\Omega_{k}(Y)\right|=o(1), \quad\left|\nabla \Psi_{k}(Y)\right|=o(|Y|) \quad \text { as }|Y| \rightarrow \infty, \quad \forall k \geq 0  \tag{3.9}\\
\left.\partial_{Z} \Psi_{k}\right|_{R=0}=0, \quad \forall k \geq 0 \tag{3.10}
\end{gather*}
$$

and, for some even integer $p$,

$$
\begin{equation*}
\lim _{\rho \rightarrow \infty} \int_{\rho<|Y|<2 \rho}\left(U_{k}^{p}+\Omega_{k}^{p}\right) d Y=0, \quad \forall k \leq 1 / \gamma \tag{3.11}
\end{equation*}
$$

Then $u_{1}=\omega_{1}=0$ and $\nabla \psi_{1}=0$.
Proof. We will show that $U_{k}=\Omega_{k}=0$ and $\nabla \Psi_{k}=0$ for $k \geq 0$ by induction.
We first observe that, as in Section 2, the equation for the most dominant terms are (2.12)-(2.14) with $U, \Omega$ and $\Psi$ replaced by $U_{0}, \Omega_{0}$ and $\Psi_{0}$,

$$
\begin{align*}
& \left(1-\frac{\gamma}{2}\right) U_{0}+\gamma Y \cdot \nabla U_{0}+\nabla^{\perp} \Psi_{0} \cdot \nabla U_{0}=0  \tag{3.12}\\
& \Omega_{0}+\gamma Y \cdot \nabla \Omega_{0}+\nabla^{\perp} \Psi_{0} \cdot \nabla \Omega_{0}=\partial_{Z} U_{0}^{2}  \tag{3.13}\\
& \quad-\Delta \Psi_{0}=\Omega_{0} \tag{3.14}
\end{align*}
$$

We first consider $U_{0}$. First assume $\gamma \neq 2$. Suppose $\sup U_{0}>0$. Since $U_{0}(Y)=o(1)$ as $|Y| \rightarrow \infty$, the maximum of $U_{0}$ is attained at some point $Y_{0}$. If $Y_{0}$ is in the interior,
then (3.12) implies $U_{0}\left(Y_{0}\right)=0$, a contradiction to $\sup U_{0}>0$. Thus $Y_{0}$ lies on the $Z$ axis. At $Y_{0}=\left(0, Z_{0}\right)$, we have $\nabla^{\perp} \Psi_{0}=\left(0, \partial_{R} \Psi_{0}\right)$ by assumption (3.10), and $\partial_{Z} U_{0}=0$ since $Y_{0}$ is a maximum point. Thus

$$
\begin{equation*}
\gamma Y \cdot \nabla U_{0}+\nabla^{\perp} \Psi_{0} \cdot \nabla U_{0}=\left(\gamma Z_{0}+\partial_{R} \Psi_{0}\right) \partial_{Z} U_{0}=0 \tag{3.15}
\end{equation*}
$$

We get $\left(1-\frac{\gamma}{2}\right) U_{0}\left(Y_{0}\right)=0$, a contradiction to $\sup U_{0}>0$. We conclude $\sup U_{0}=0$. Similarly we can show $\inf U_{0}=0$. Thus $U_{0} \equiv 0$ in the case $\gamma \neq 2$.

We now consider the case $\gamma=2$. Fix a smooth nonincreasing function $\sigma:[0, \infty) \rightarrow$ $[0, \infty)$ so that $\sigma(t)=1$ for $0 \leq t \leq 1$ and $\sigma(t)=0$ for $t \geq 2$. Using $p U_{0}^{p-1} \sigma_{\rho}$ as a test function where $\sigma_{\rho}(Y)=\sigma(|Y| / \rho)$ and $\rho>1$, and denoting $\mathcal{D}_{\rho}=\mathcal{D} \cap B_{3 \rho}(0)$, we get

$$
\begin{aligned}
0 & =-\int_{\mathcal{D}_{\rho}}\left\{\left(\gamma Y+\nabla^{\perp} \Psi_{0}\right) \cdot \nabla U\right\} p U_{0}^{p-1} \sigma_{\rho} d R d Z \\
& =-\int_{\mathcal{D}_{\rho}} \sigma_{\rho}\left(\gamma Y+\nabla^{\perp} \Psi_{0}\right) \cdot \nabla U_{0}^{p} d R d Z \\
& =\int_{\mathcal{D}_{\rho}} U_{0}^{p} \nabla \cdot\left\{\sigma_{\rho}\left(\gamma Y+\nabla^{\perp} \Psi_{0}\right)\right\} d R d Z-\int_{\partial \mathcal{D}_{\rho}} U_{0}^{p} \sigma_{\rho}\left(\gamma Y+\nabla^{\perp} \Psi_{0}\right) \cdot \nu d R d Z .
\end{aligned}
$$

Note $\partial \mathcal{D}_{\rho}=\left(\mathcal{D} \cap \partial B_{3 \rho}\right) \cup\left(\partial \mathcal{D} \cap B_{3 \rho}\right)$. We have $\sigma_{\rho}=0$ on $\mathcal{D} \cap \partial B_{3 \rho}$ while on $\partial \mathcal{D} \cap B_{3 \rho}$, $\nu=(1,0)$ and

$$
\begin{equation*}
\left(\gamma Y+\nabla^{\perp} \Psi_{0}\right) \cdot \nu=\gamma R-\partial_{Z} \Psi_{0}=0 \tag{3.16}
\end{equation*}
$$

by assumption (3.10) again. Thus the boundary integral vanishes. Also note $\nabla$. $\left[\sigma_{\rho}\left(\gamma Y+\nabla^{\perp} \Psi_{0}\right)\right]=2 \gamma \sigma_{\rho}+\nabla \sigma_{\rho} \cdot\left(\gamma Y+\nabla^{\perp} \Psi_{0}\right)$. We conclude

$$
\begin{aligned}
2 \gamma \int_{\mathcal{D}} U_{0}^{p} \sigma_{\rho} d R d Z & =-\int_{\mathcal{D}} U_{0}^{p} \nabla \sigma_{\rho} \cdot\left(\gamma Y+\nabla^{\perp} \Psi_{0}\right) d R d Z \\
& \leq C \int_{\rho<|Y|<2 \rho} U_{0}^{p}\left(1+\frac{1}{\rho}\left|\nabla \Psi_{0}\right|\right) d R d Z .
\end{aligned}
$$

By assumptions (3.9) and (3.11), the last integral vanishes as $\rho \rightarrow \infty$. We conclude $U_{0} \equiv 0$.

Now $\Omega_{0}$-equation (3.13) is similar to $U_{0}$-equation (3.12) since $U_{0}=0$. By the same argument we get $\Omega_{0} \equiv 0$.

By $\Psi_{0}$-equation (3.14), $\Psi_{0}$ and $\nabla \Phi_{0}$ are harmonic. By the boundary conditions (3.9) and (3.10), we get $\partial_{Z} \Psi_{0}=0$. Thus $\Psi_{0}=\Psi_{0}(R)$ is independent of $Z$. By (3.14) again, $\Psi_{0}=a R+b$. By (3.9), $a=0$. Thus $\nabla \Psi_{0} \equiv 0$.

To show that $U_{k}, \Omega_{k}, \nabla \Psi_{k}=0$ for $k>0$, we prove by induction and assume it has been shown for all smaller $k$. Then $U_{k}, \Omega_{k}, \Psi_{k}$ are the leading terms in (3.1)-(3.3) and they satisfy

$$
\begin{align*}
& \left(1-\frac{\gamma}{2}-k \gamma\right) U_{k}+\gamma Y \cdot \nabla U_{k}=0  \tag{3.17}\\
& (1-k \gamma) \Omega_{k}+\gamma Y \cdot \nabla \Omega_{k}=0  \tag{3.18}\\
& \quad-\Delta \Psi_{k}=\Omega_{k} \tag{3.19}
\end{align*}
$$

This system is similar to (3.12)-(3.14), with the differences being: (i) the coefficients of the first terms of (3.17) and (3.18), due to time derivatives of $(T-t)^{-1+\frac{\gamma}{2}+k \gamma}$ and $(T-t)^{-1+k \gamma}$; (ii) the nonlinear terms drop off due to higher powers in $(T-t)^{\gamma}$. Compare (3.4)-(3.6).

Now the same argument for the case $k=0$ goes through for the case of general $k$. It is in fact easier since $\Psi_{k}$ does not occur in (3.17) and (3.18). The boundary condition (3.10) is used only once to show $\partial_{Z} \Psi_{k}=0$ in $\mathcal{D}$. The decay condition (3.11) is needed only if $1-\frac{\gamma}{2}-k \gamma=0$ or $1-k \gamma=0$, which does not occur if $k>1 / \gamma$. We conclude $U_{k}=\Omega_{k}=\nabla \Psi_{k}=0$ for all $k \geq 0$.

## 4 Discussion

Since the self-similar ansatz of Luo-Hou [1] is numerically observed, it is robust in some sense. One possible way to explain the discrepancy between [1] and our results is that the self-similar singularity is only observed in [1] in a subregion of a timedependent window $\mathcal{W}_{\delta(t)}$ defined in (2.6), with

$$
\begin{equation*}
\delta(t) \leq C(T-t)^{\gamma} \tag{4.1}
\end{equation*}
$$

In such a case, the self-similar profile $(U, \Omega, \Psi)(R, Z)$ is defined only for $(R, Z)$ in a finite region, and the decay condition (2.9) is no longer relevant. Furthermore, even if the self-similar ansatz is valid in the region $\mathcal{D}_{\delta, T}$ or in $\mathcal{W}_{\delta(t)}$ with $\lim \sup (T-t)^{-\gamma} \delta(t)=$ $\infty$, the decay condition (2.9) makes no distinction between a periodic boundary in the $z$ variable or an infinite cylinder, although it is known that such a difference may change the blow-up behavior. For example, Titi [3] reports that the equation

$$
\begin{equation*}
u_{t}-u_{x x}+u_{x}^{4}=0 \tag{4.2}
\end{equation*}
$$

has no blow-up with periodic boundary condition, but has blow-up with Dirichlet boundary condition.

We now explain how an energy consideration suggests (2.9) for small $\gamma$. Suppose the self-similar ansatz (2.1) $-(2.3)$ is valid in the region (2.5) for $0<\delta<1 / 2$. Since the energy of solutions of Euler equations are uniformly bounded in time and $r \sim 1$,

$$
\begin{equation*}
\int_{-\delta}^{\delta} \int_{1-\delta}^{1}\left(\left|u^{\theta}\right|^{2}+\left|u^{r}\right|^{2}+\left|u^{z}\right|^{2}\right) d r d z<C \tag{4.3}
\end{equation*}
$$

holds uniformly for $t \in(T-\delta, T)$. By (2.1) $-(2.3)$ and (2.4), we get

$$
\begin{equation*}
\int_{-L}^{L} \int_{-L}^{0}\left\{(T-t)^{-2+3 \gamma}|U|^{2}+(T-t)^{-2+4 \gamma}|\nabla \Psi|^{2}\right\} d R d Z<C \tag{4.4}
\end{equation*}
$$

where $L=\delta(T-t)^{-\gamma} \in\left(\delta^{1-\gamma}, \infty\right)$. In other words, we have

$$
\begin{equation*}
\frac{1}{L^{2}} \int_{-L}^{0} \int_{-L}^{L}|U|^{2} d R d Z<C L^{1-\frac{2}{\gamma}}, \quad \frac{1}{L^{2}} \int_{-L}^{0} \int_{-L}^{L}|\nabla \Psi|^{2} d R d Z<C L^{2-\frac{2}{\gamma}} \tag{4.5}
\end{equation*}
$$

for all large $L$. This suggests that, in average sense,

$$
\begin{equation*}
|U(Y)| \leq C|Y|^{\frac{1}{2}-\frac{1}{\gamma}}, \quad|\nabla \Psi(Y)| \leq C|Y|^{1-\frac{1}{\gamma}} . \tag{4.6}
\end{equation*}
$$

It implies $|\nabla \Psi(Y)|=o(|Y|)$ for all $\gamma>0$, and $|U(Y)|=o(1)$ for $\gamma<2$, as $|Y| \rightarrow \infty$. However, this consideration gives no information on the decay of $\Omega$. Also note that, the blow-up rate observed in [1] is

$$
\begin{equation*}
\gamma \approx 2.91 \tag{4.7}
\end{equation*}
$$

(see [1, Table 4.9.1], where $\gamma$ is denoted as $\hat{\gamma}_{l}$ ), which is greater than 2 , and hence the above consideration does not apply.

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