Boxicity of Circular Arc Graphs

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Abstract

A k-dimensional box is the cartesian product $R_1 \times R_2 \times \cdots \times R_k$ where each R_i is a closed interval on the real line. The *boxicity* of a graph G, denoted as box(G), is the minimum integer k such that G can be represented as the intersection graph of a collection of k-dimensional boxes: that is two vertices are adjacent if and only if their corresponding boxes intersect. A circular arc graph is a graph that can be represented as the intersection graph of arcs on a circle.

Let G be a circular arc graph with maximum degree Δ . We show that if $\Delta < \left\lfloor \frac{n(\alpha-1)}{2\alpha} \right\rfloor$, $\alpha \in \mathbb{N}$, $\alpha \geq 2$ then $box(G) \leq \alpha$. We also demonstrate a graph with boxic-

ity > α but with $\Delta = n \frac{(\alpha - 1)}{2\alpha} + \frac{n}{2\alpha(\alpha + 1)} + (\alpha + 2)$. So the result cannot be improved substantially when α is large.

Let r_{inf} be minimum number of arcs passing through any point on the circle with respect to some circular arc representation of G. We also show that for any circular arc graph G, $box(G) \leq r_{inf} + 1$ and this bound is tight.

Given a family of arcs F on the circle, the circular cover number L(F) is the cardinality of the smallest subset F' of F such that the arcs in F' can cover the circle. Maximum circular cover number $L_{max}(G)$ is defined as the maximum value of L(F) obtained over all possible family of arcs F that can represent G. We will show that if G is a circular arc graph with $L_{max}(G) > 4$ then $box(G) \le 3$.

Key words: Boxicity, circular arc graph.

1 Introduction

Let \mathcal{F} be a family of non-empty sets. An undirected graph G is the intersection graph of \mathcal{F} if there exists a one-one correspondence between the vertices of G and the sets in \mathcal{F} such that two vertices in G are adjacent if and only if the corresponding sets have non-empty intersection. If \mathcal{F} is a family of intervals on the real line, then G is called an interval graph.

A k-dimensional box or k-box is the cartesian product $R_1 \times R_2 \times \cdots \times R_k$, where each R_i is a closed interval on the real line. The boxicity of a graph G is defined to be the minimum integer k such that G is the intersection graph of a collection of k-boxes. Since 1-boxes are nothing but closed intervals on the real line, interval graphs are the graphs having boxicity 1.

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The concept of boxicity was introduced by F. S. Roberts [7] in 1969. Boxicity finds applications in fields such as ecology and operations research: It is used as a measure of the complexity of ecological [12] and social [10] networks and has applications in fleet maintenance [11]. Boxicity has been investigated for various classes of graphs [5][13][14] and has been related with other parameters such as treewidth [15] and vertex cover [16]. Computing the boxicity of a graph was shown to be NP-hard by Cozzens [9]. This was later strengthened by Yannakakis [6], and finally by Kratochvil [8] who showed that deciding whether boxicity of a graph is at most two itself is NP-complete. Recently Chandran et al [17] showed that for any graph G, $box(G) \leq \chi(G^2)$ where G^2 is the square of graph G and χ is the chromatic number of a graph. From this they inferred that $box(G) \leq 2\Delta^2 + 2$, where Δ is the maximum degree of G. Very recently this result was improved by Esperet [18], who showed that $box(G) \leq \Delta^2 + 2$. In [19] Chandran et al have shown that $box(G) \leq \lceil (\Delta + 2) \log n \rceil$ where n is the number of vertices in G.

Remark on previous approach to boxicity of circular arc graphs:

In [15] it has been shown that for any graph G, $box(G) \leq treewidth(G) + 2$. If G is circular arc graph then $treewidth(G) \leq 2\omega(G) - 1$. It follows that $box(G) \leq 2\omega(G) + 1$. But the results shown in this paper are much stronger.

1.1 Our results

A graph G is called a *circular arc* graph if it is the intersection graph of a collection of arcs on the circle that is, each vertex of this graph can be associated to an arc on a circle such that two vertices are adjacent if and only if the arcs corresponding to these vertices intersect. Circular arc graphs were first discussed as a natural generalization of interval graphs and they have since been studied extensively. See Golumbic[1] for a brief introduction and references on circular arc graphs. It is easy to see that a *circular arc* representation of an undirected graph G which fails to cover some point P on the circle will be topologically the same as an interval representation of G.

Since the definitions of interval graphs and circular arc graphs are quite similar, one may tend to conjecture that the boxicity of circular arc graphs may not be very high. But it comes as a surprise that the graph that achieves the highest boxicity belongs to the class of circular arc graphs! It was shown by Roberts [7] in his pioneering paper that the highest possible value of boxicity namely $\frac{n}{2}$ (Let n be an even number) was achieved by $(\frac{n}{2})K_2$, the complement of a perfect matching on n vertices. (Note that this graph is same as the complete $\frac{n}{2}$ partite graph on n vertices with each partition containing exactly two vertices). It turns out that $(\frac{n}{2})K_2$ is a circular arc graph. A circular arc representation of $(\frac{n}{2})K_2$ is given below. In this paper we will show that boxicity of a circular arc graph can be large only when its maximum degree is very high.

A circular arc representation of $\overline{(\frac{n}{2})K_2}$:

Let us take a circle. Place n points on the circle such that the distance between any adjacent pair of points is equal. Let these points be $p_0, p_1, \ldots, p_{n-1}$ in clockwise direction. Draw an arc from p_i to $p_{(i+\frac{n}{2}-1) \mod n}$ in clockwise direction for $0 \le i < n$. It is easy to verify that the arc starting from p_i is adjacent to all other arcs except the arc starting from $p_{(i+\frac{n}{2}) \mod n}$. Thus this family of arcs gives a circular arc representation for $(\frac{n}{2})K_2$.

Note that the vertices corresponding to the arcs starting from p_i and $p_{(i+\frac{n}{2}) \mod n}$ belong to the same partition in $(\frac{n}{2})K_2$ for $0 \le i < \frac{n}{2}$, when we visualize $(\frac{n}{2})K_2$ as a complete $\frac{n}{2}$ partite graph.

Let G be a circular arc graph on n vertices and with maximum degree Δ . In this paper we will show the following results:

Result 1. If $\Delta < \lfloor n(\frac{\alpha-1}{2\alpha}) \rfloor$ then $box(G) \leq \alpha, \alpha \in \mathbb{N}, \alpha \geq 2$.

Remark: We are unable to show that the range of Δ as given by the above result, for which $box(G) \leq \alpha$, is tight. But we can construct a circular arc graph with $\Delta = n\frac{(\alpha-1)}{2\alpha} + \frac{n}{2\alpha(\alpha+1)} + (\alpha+2)$ and $box(G) > \alpha$. Thus the result cannot be substantially improved when α is large.

Result 2. Given a family of arcs F on the circle, $r_{inf}(F)$ is the minimum number of arcs passing through any point on the circle. Given a circular arc graph G, $r_{inf}(G) = min \ r_{inf}(F)$ over all possible family of arcs F that can represent G. We will show that $box(G) \leq r_{inf}(G) + 1$ and this bound is tight.

Result 3. Given a family of arcs F on the circle, the circular cover number L(F) is the cardinality of the smallest subset F' of F such that the arcs in F' can cover the circle. Maximum circular cover number $L_{max}(G)$ is defined as the maximum value of L(F) obtained over all possible family of arcs F that can represent G. We will show that if G is a circular arc graph with $L_{max}(G) > 4$ then $box(G) \le 3$.

2 Preliminaries

Let G be a simple, finite, undirected graph on n vertices. The vertex set of G is denoted as V(G) and the edge set of G is denoted as E(G). Let G' be a graph such that V(G') = V(G). Then, G' is a super graph of G if $E(G) \subseteq E(G')$. We define the intersection of two graphs as follows: if G_1 and G_2 are two graphs such that $V(G_1) = V(G_2)$, then the intersection of G_1 and G_2 denoted as $G = G_1 \cap G_2$ is a graph with $V(G) = V(G_1) = V(G_2)$ and $E(G) = E(G_1) \cap E(G_2)$.

Let G be a graph. Let I_1, I_2, \ldots, I_k be k interval graphs such that $G = I_1 \cap I_2 \cap \cdots \cap I_k$. Then I_1, I_2, \ldots, I_k is called an *interval graph representation* of G. The following equivalence is well known.

Lemma 2.1. (Roberts[7]) The minimum k such that there exists an interval graph representation of G using k interval graphs I_1, I_2, \ldots, I_k is the same as box(G).

Definition 2.1. Given a family F of arcs on a circle, the **overlap set** of a point P on the circle is the set of all arcs that contains the given point P and is denoted by $\mathcal{O}(P)$. An overlap set with the smallest (respectively largest) number of arcs in it is called a **minimum** (respectively **maximum**) **overlap set** and its cardinality is denoted by $r_{inf}(F)$ (respectively $r_{sup}(F)$).

It is easy to see that the arcs in an overlap set induce a clique in the corresponding circular arc graph.

Definition 2.2. For a circular arc graph G, $r_{inf}(G)$ is defined as the minimum value of $r_{inf}(F)$ obtained over all possible family of arcs F that can represent G.

Definition 2.3. A point P is called a **minimum overlap point** if $|\mathcal{O}(P)| = r_{inf}(F)$. Note that there may be many minimum overlap points. Let P_{inf} denote one of the minimum overlap points. All through the paper we shall use this special minimum overlap point as a reference point.

Definition 2.4. Each arc has two endpoints. The **left endpoint** l(u) (respectively **right endpoint** r(u)) of arc u is the first endpoint of u encountered in an anticlockwise (respectively clockwise) traversal from any interior point of u. (The circle itself is not considered as an arc. A single point is also not considered as an arc. Thus the definition makes sense and every arc has a distinct left as well as a right end point. Note that these assumptions can be made without any loss of generality.)

Definition 2.5. An arc v is said to be **clockwise adjacent** to an arc u if v belongs to the overlap set of l(u). An arc v is said to be **anticlockwise adjacent** to an arc u if v belongs to the overlap set of r(u).

Definition 2.6. The start angle s(u) (respectively end angle e(u)) of arc u is the angle measured in clockwise direction from the line joining l(u) (respectively r(u)) and center of the circle to the positive x-axis assuming that the center is at the origin.

Definition 2.7. Consider the set of end points $\{l(u), r(u) : u \in V\}$. A **clockwise** ordering σ of the endpoints is obtained, if we traverse the circle in the clockwise direction starting from the point P_{inf} and list out the endpoints in the order in which they are seen, resolving any conflict arbitrarily. For a circular arc graph G with a given circular arc representation, a clockwise ordering of the vertices can be obtained from the ordering of the left endpoints of their corresponding arcs in a similar way. In this paper we shall use v_i to denote the ith vertex with respect to the clockwise ordering.

Definition 2.8. Let Int(u) denote the set of half axes intersecting the arc u. Then |Int(u)| is said to be the interception number of arc u.

Let G(V,E) be a circular arc graph with circular arc representation \mathcal{C}_0 . From now on, the arc corresponding to vertex u will be denoted as \mathbf{u} . We assume $n \geq 2$ and $\Delta \geq 1$. Let us take a circle of unit radius centered at origin on the cartesian plane. Given a positive integer $\alpha \geq 2$, we define α reference axes as follows. The first reference axis A_0 is the same as the x-axis. For $1 \leq j < \alpha$ the jth reference axis A_j is the line passing through the origin and making an angle $\frac{\pi j}{\alpha}$ radian with the positive x-axis in anticlockwise direction. For the convenience of writing, we may sometimes use the notation A_j even when $j \geq \alpha$: In that case A_j denotes the axis $A_j \mod \alpha$. Also sometimes we use the notation A_{-i} to denote $A_{\alpha-i}$. (We will refer to this set of reference axes as the system of reference axes based on α).

Origin divides each reference axis into two halves. Each of them is called a half-axis. Clearly these 2α half-axes partition the interior of the circle into 2α regions - each region is called a sector. Note that each sector defines an arc on the circle. In this paper we will use the word sector to denote the arc defined by a sector also. An **anticlockwise**

ordering of the half-axes is obtained, if we traverse the circle in anticlockwise direction starting from the positive x-axis. We shall use the notation H_j to denote the jth half-axis in this ordering where $0 \le j < 2\alpha$. It may be noted that the half axes obtained from the axis A_j will get numbered H_j and $H_{j+\alpha}$ according to the above mentioned numbering scheme. Sometimes we will refer H_j as the positive half axis of A_j .

The sector in-between the half-axes H_j and $H_{(j+1) \mod 2\alpha}$ is referred to as the jth sector and is denoted as S_j for $0 \le j < 2\alpha$. (We sometimes use the notation S_{-i} to denote $S_{2\alpha-i}$). H_j and $H_{(j+1) \mod 2\alpha}$ are said to be the **right** and **left half-axis** of S_j respectively. We also define $A_{j \mod \alpha}$ and $A_{(j+1) \mod \alpha}$ respectively to be the **right** and **left reference axis** of S_j . Note that $A_{j \mod \alpha}$ corresponds to the half-axis H_j and $A_{(j+1) \mod \alpha}$ corresponds to the half-axis $H_{(j+1) \mod 2\alpha}$.

Lemma 2.2. There exists a circular arc representation C of G which satisfies the following properties

- 1. All endpoints of arcs are distinct.
- 2. Left endpoints of the arcs are uniformly placed over the perimeter of the circle i.e. $|s(\mathbf{u}_{i+1}) s(\mathbf{u}_i)| = \frac{2\Pi}{n}$ radian where $0 \le i < n-1$ (Recall that $s(\mathbf{u})$ is the start angle of \mathbf{u}).
- 3. Endpoints of the arcs do not lie on any reference axis.

Proof. We leave it to the reader to verify that given the circular arc representation C_0 for G, there exists a circular arc representation C_1 for G such that all the endpoints of the arcs are at distinct points on the circle.

Let σ be clockwise ordering of the endpoints of arcs in \mathcal{C}_1 . Now given the circular arc representation \mathcal{C}_1 for G and clockwise ordering σ of the endpoints of the arcs, we shall show that there is another circular arc representation \mathcal{C}_2 for G such that $|s(\mathbf{u}_{i+1}) - s(\mathbf{u}_i)| = \frac{2\Pi}{n}$ radian for $0 \le i < n-1$. Let $l_0, l_1, \ldots, l_{n-1}$ be the left endpoints of the arcs in the clockwise ordering σ . Place l_i at the point on the circle defined by the polar coordinates $(1, -\frac{2\Pi}{n}i)$ for $0 \le i < n$. Let $e_{i_1}, e_{i_2}, \ldots, e_{i_t}$ be the right endpoints that are in-between l_i and $l_{(i+1) \mod n}$ with respect to σ . Place $e_{i_1}, e_{i_2}, \ldots e_{i_t}$ at distinct points on the circle in that order in clockwise direction on the arc in-between l_i and $l_{(i+1) \mod n}$. It is easy to verify that \mathcal{C}_2 will be a valid circular arc representation of G.

Now with respect to C_2 if some endpoint lies on some reference axis we can rotate the circular arc system on the circle by ϵ radian where ϵ is a sufficiently small positive real number, to get a circular arc representation C satisfying the desired properties.

From now on we will use this circular arc representation \mathcal{C} which satisfies the properties specified in Lemma 2.2. Let σ be the clockwise ordering of the endpoints of arcs in \mathcal{C} .

3 Boxicity when
$$\Delta < \left| n \frac{(\alpha - 1)}{2\alpha} \right|, \ \alpha \in \mathbb{N}, \ \alpha \geq 2$$

In this section we will be considering circular arc graphs of maximum degree strictly less than $\left\lfloor n\frac{(\alpha-1)}{2\alpha}\right\rfloor$, $\alpha\in\mathbb{N}$, $\alpha\geq 2$. We will assume that we have a circular arc family F that represents G and obeys Lemma 2.2.

Observation 1: If $\Delta < \left\lfloor n \frac{(\alpha - 1)}{2\alpha} \right\rfloor$, then each arc $u \in F$ is such that 1. length(u) $< \Pi(\frac{\alpha - 1}{\alpha})$.

- 2. $|Int(u)| \le (\alpha 1)$.

Proof of 1: If an arc has length at least $\Pi(\frac{\alpha-1}{\alpha})$, then it will intersect the left endpoints of at least $\left|\frac{n(\alpha-1)}{2\alpha}\right|$ other arcs in view of Lemma 2.2. (Recall that we are considering a unit circle and therefore the distance between any pair of adjacent left endpoints is $\frac{2\Pi}{n}$).

Proof of 2: Since length(u) $< \Pi(\frac{\alpha-1}{\alpha})$, u can entirely contain at most $(\alpha-2)$ sectors. Therefore $|Int(u)| \leq (\alpha - 1)$.

All the definitions and Lemmas given in this section are applicable only for arcs of length $< \Pi(\frac{\alpha-1}{\alpha}).$

Definition 3.1. For every arc u the extreme sectors are the sectors containing the endpoints of arc u. One of the extreme sectors will be called the head sector and the other will be called the tail sector denoted by head(u) and tail(u) respectively. The sector head (u) is defined to be the extreme sector that contains l(u) and tail (u) is defined to be the extreme sector that contains $r(\mathbf{u})$.

With respect to a reference axis A_i we define the image of a point P on the circle as the point P' on the circle such that the line defined by PP' is perpendicular to A_j . We denote P' as $Im_i(P)$. When P is on A_i we take $Im_i(P) = P$. If X is a set of points on the circle, we will use $Im_i(X)$ to denote the set $\{Im_i(P): P \in X\}$.

Lemma 3.1. Let X and Y be the t-th sector starting from H_i (i.e. the positive half axis of A_i) in the clockwise and anticlockwise direction respectively. Then $Im_i(X) = Y$ and $Im_i(Y) = X$.

Proof. Left to the reader.

Note that the tth sector starting from H_j for $0 \le j < \alpha$ in the clockwise direction is $S_{(j-t) \mod 2\alpha}$ whereas the tth sector starting from H_j in the anticlockwise direction is $S_{(j+t-1) \mod 2\alpha}$.

Lemma 3.2. $Im_j(S_k) = S_h$ where $h = (2j - k - 1) \mod 2\alpha$ for $0 \le k < 2\alpha$ and $0 \le j < \alpha$.

Proof. If k < j, S_k is the (j - k)th sector from H_j in clockwise direction and therefore $Im_j(S_k)$ will be the (j-k)th sector from H_j in the anticlockwise direction namely S_{2j-k-1} . If $k \geq j$, S_k is the (k-j+1)th sector from H_j in anticlockwise direction. So $Im_j(S_k) = S_{(2j-k-1) \mod 2\alpha}$ as required.

Lemma 3.3. For any reference axis A_j , for $0 \le j < \alpha$, $Im_j(l(\mathbf{u})) = r(Im_j(\mathbf{u}))$ and $Im_i(r(\mathbf{u})) = l(Im_i(\mathbf{u})).$

Proof. Left to the reader. **Definition 3.2.** With respect to a reference axis A_j we define the **projection** of a point P on the circle as the point P' on A_j such that the line defined by PP' is perpendicular to A_j . We denote P' as $Proj_j(P)$. When P is on A_j we take $Proj_j(P) = P$. If X is a set of points on the circle, we will use $Proj_j(X)$ to denote the set $\{Proj_j(P) : P \in X\}$.

Note that the reference axis A_j can be considered to be obtained by rotating the x-axis (i.e. the real line) about the origin by an angle of $\frac{\pi j}{\alpha}$ radian in the anticlockwise direction. Then clearly there is a natural bijection between the points of A_j and the set of real numbers namely the one which maps the positive x-axis to the positive half axis H_j of A_j . For $X \subset A_j$ let $X' \subset \mathbb{R}$ be the set of real numbers that corresponds to X with respect to this bijection. Then we define $\inf X$ (respectively $\sup X$) to be the point on A_j that corresponds to $\inf X'$ (respectively $\sup X'$).

Fact 3.4. If P and P' are points on the circle such that $Proj_j(P) = Proj_j(P')$ for some j, where $0 \le j < \alpha$. Then either $Im_j(P) = P'$ or P = P'.

Proof. Left to the reader. \Box

Lemma 3.5. Let P, P' be points on the circle such that $P \neq P'$, $P \in S_k$ (where $0 \leq k < 2\alpha$) and $Proj_j(P) = Proj_j(P')$ (where $0 \leq j < \alpha$). Then $P' \in S_h$ where $h = (2j - k - 1) \mod 2\alpha$.

Proof. By fact 3.4, $S_h = Im_j(S_k)$. Then $h = (2j - k - 1) \mod 2\alpha$ by Lemma 3.2. \square

Definition 3.3. If $Int(\mathbf{u}) \neq \emptyset$ then let $H_{j_1}, H_{j_2}, \ldots, H_{j_t}$ be the set of half axes in $Int(\mathbf{u})$ in the order in which they appear, as one traverses the arc in the anticlockwise direction. (It may be noted that if the arc is properly contained in one sector then $Int(\mathbf{u}) = \emptyset$). Now **median half axis** (respectively **median reference axis**) with respect to arc \mathbf{u} is defined to be $H_{j_{\lceil \frac{|Int(\mathbf{u})|}{2} \rceil}}$ (respectively $A_{j_{\lceil \frac{|Int(\mathbf{u})|}{2} \rceil}}$ $\mod \alpha$). We will use the notation

 $H_{m(u)}$ and $A_{m(u) \mod \alpha}$ respectively to denote the median half axis and median reference axis with respect to arc u.

The proof of our main theorem (Theorem 3.10) involves understanding the image of an arc \mathbf{u} with respect to A_0 and A_1 . It also turns out that we have to consider various cases based on $|Int(\mathbf{u})|$. For a rigorous presentation of the proof we need to calculate the sector numbers of $head(\mathbf{u})$, $tail(\mathbf{u})$ and their images with respect to A_0 and A_1 . To avoid clumsiness within the proof, we have collected the required information in the Table 1.

Let **u** be an arc such that $|Int(\mathbf{u})| \ge 1$. We assume that $m(\mathbf{u}) = 0$. Let $p = \left\lceil \frac{|Int(\mathbf{u})|}{2} \right\rceil$. The first column specifies the condition on $|Int(\mathbf{u})|$ and the axis about which the image is taken. The next four columns specify the sector numbers corresponding to head(**u**), $Im_i(head(\mathbf{u}))$, tail(**u**) and $Im_i(tail(\mathbf{u}))$ respectively.

For the benefit of the reader we explain here how the entries in the first row of the Table are obtained:

When $|Int(\mathbf{u})|$ is even clearly head(\mathbf{u}) is the (p+1)th sector from $H_{m(\mathbf{u})} = H_0$ in anticlockwise direction, i.e. S_p . According to Lemma 3.2, $Im_0(head(\mathbf{u})) = S_{-(p+1)}$.

Similarly tail(**u**) is the *p*th sector from H_0 in the clockwise direction that is $tail(\mathbf{u}) = S_{-p}$. According to Lemma 3.2 $Im_0(tail(\mathbf{u})) = S_{p-1}$. The entries in the remaining rows are obtained in a similar way. (We use the notation $len(\mathbf{u})$, $len_h(\mathbf{u})$ and $len_t(\mathbf{u})$ to denote $length(\mathbf{u})$, $length(\mathbf{u} \cap head(\mathbf{u}))$ and $length(\mathbf{u} \cap tail(\mathbf{u}))$ respectively.)

Table 1: Sector numbers corresponding to head(\mathbf{u}), tail(\mathbf{u}) and their images with respect to median axes for an arc \mathbf{u} with m(\mathbf{u})=0

Condition	Sector Number (mod 2α)			
	$head(\mathbf{u})$	$Im_j(head(\mathbf{u}))$	$tail(\mathbf{u})$	$Im_j(tail(\mathbf{u}))$
$ Int(\mathbf{u}) $ is even and j=0	S_p	$S_{-(p+1)}$	S_{-p}	S_{p-1}
$ Int(\mathbf{u}) $ is even and j=1	S_p	S_{-p+1}	S_{-p}	S_{p+1}
$ Int(\mathbf{u}) $ is odd and j=0	S_{p-1}	S_{-p}	S_{-p}	S_{p-1}
$ Int(\mathbf{u}) $ is odd and j=1	S_{p-1}	S_{-p+2}	S_{-p}	S_{p+1}

Theorem 3.6. Let u and v be arcs such that $v \cap u = \emptyset$, $len(u) \le len(v)$. Let $|Int(u)| \ge 2$ and therefore $p \ge 1$. Let m(u) = 0.

- 1. If $|Int(\mathbf{u})|$ is even
 - (a) If $\mathbf{v} \cap Im_0(\mathbf{u}) \neq \emptyset$ then \mathbf{v} must be anticlockwise adjacent to $Im_0(\mathbf{u})$.
 - (b) If $\mathbf{v} \cap Im_1(\mathbf{u}) \neq \emptyset$ then \mathbf{v} must be clockwise adjacent to $Im_1(\mathbf{u})$.
- 2. If $|Int(\mathbf{u})|$ is odd and $len_h(\mathbf{u}) > len_t(\mathbf{u})$
 - (a) If $\mathbf{v} \cap Im_0(\mathbf{u}) \neq \emptyset$ then \mathbf{v} must be anticlockwise adjacent to $Im_0(\mathbf{u})$.
 - (b) If $\mathbf{v} \cap Im_1(\mathbf{u}) \neq \emptyset$ then \mathbf{v} must be clockwise adjacent to $Im_1(\mathbf{u})$.
- 3. If $|Int(\mathbf{u})|$ is odd and $len_h(\mathbf{u}) = len_t(\mathbf{u})$ then $\mathbf{v} \cap Im_0(\mathbf{u}) = \emptyset$.

Proof of 1(a): Assume that $|Int(\mathbf{u})| = 2p$. Since $len(\mathbf{v}) \geq len(\mathbf{u}) = len(Im_0(\mathbf{u}))$, $\mathbf{v} \not\subset Im_0(\mathbf{u})$. Now since $\mathbf{v} \cap Im_0(\mathbf{u}) \neq \emptyset$ it follows that \mathbf{v} contains either $l(Im_0(\mathbf{u}))$ or $r(Im_0(\mathbf{u}))$ i.e. \mathbf{v} is either clockwise or anticlockwise adjacent to $Im_0(\mathbf{u})$. According to Table 1, $Im_0(tail(\mathbf{u})) = S_{p-1}$. Note from Table 1 that $tail(\mathbf{u}) = S_{-p}$ and $head(\mathbf{u}) = S_p$. Therefore $S_{p-1} \subset \mathbf{u}$ since S_{p-1} is a sector strictly in-between S_p and S_{-p} in the clockwise direction, since $p \geq 1$. So recalling Lemma 3.3 we have $l(Im_0(\mathbf{u})) = Im_0(r(\mathbf{u})) \in Im_0(tail(\mathbf{u})) = S_{p-1} \subset \mathbf{u}$. Therefore $l(Im_0(\mathbf{u})) \not\in \mathbf{v}$ since $\mathbf{u} \cap \mathbf{v} = \emptyset$. It follows that $r(Im_0(\mathbf{u})) \in \mathbf{v}$ i.e. \mathbf{v} is anticlockwise adjacent to $Im_0(\mathbf{u})$.

Proof of 1(b): The proof is similar to that of 1(a). According to Table 1, $Im_1(head(\mathbf{u})) = S_{h'}$ where h' = (-p+1). Note that the sector $S_{h'}$ is strictly in-between $head(\mathbf{u}) = S_p$ and $tail(\mathbf{u}) = S_{-p}$ in the clockwise direction, since $p \geq 1$. So recalling Lemma 3.3 and using Table 1, we have $r(Im_1(\mathbf{u})) = Im_1(l(\mathbf{u})) \in Im_1(head(\mathbf{u})) = S_{h'} \subset \mathbf{u}$. Therefore $r(Im_1(\mathbf{u})) \notin \mathbf{v}$ since $\mathbf{u} \cap \mathbf{v} = \emptyset$. It follows that $l(Im_1(\mathbf{u})) \in \mathbf{v}$ i.e. \mathbf{v} is clockwise adjacent

to $Im_1(\mathbf{u})$.

Proof of 2(a): Let $|Int(\mathbf{u})| = 2p + 1$ and $len_h(\mathbf{u}) > len_t(\mathbf{u})$. Then $l(Im_0(\mathbf{u})) = Im_0(r(\mathbf{u})) \in Im_0(tail(\mathbf{u})) = S_{p-1} = head(\mathbf{u})$. Since $len_h(\mathbf{u}) > len_t(\mathbf{u}) = len(Im_0(\mathbf{u}) \cap tail(\mathbf{u}))$. We infer that $l(Im_0(\mathbf{u})) \in \mathbf{u}$ and therefore $l(Im_0(\mathbf{u})) \notin \mathbf{v}$. Therefore $r(Im_0(\mathbf{u})) \in \mathbf{v}$ i.e. \mathbf{v} is anticlockwise adjacent to $Im_0(\mathbf{u})$.

Proof of 2(b): The proof is similar to that of 1(a). According to Table 1, $Im_1(head(\mathbf{u})) = S_{h'}$ where h' = (-p+2). Since $head(\mathbf{u}) = S_{p-1}$ and $tail(\mathbf{u}) = S_{-p}$, $S_{h'}$ is strictly in-between $head(\mathbf{u})$ and $tail(\mathbf{u})$ in the clockwise direction since $p \geq 2$. So recalling Lemma 3.3 we have $r(Im_1(\mathbf{u})) = Im_1(l(\mathbf{u})) \in Im_1(head(\mathbf{u})) = S_{h'} \subset \mathbf{u}$. Therefore $r(Im_1(\mathbf{u})) \notin \mathbf{v}$ since $\mathbf{u} \cap \mathbf{v} = \emptyset$. It follows that $l(Im_1(\mathbf{u})) \in \mathbf{v}$ i.e. \mathbf{v} is clockwise adjacent to $Im_1(\mathbf{u})$.

Proof of 3: When $|Int(\mathbf{u})|$ is odd then $Im_0(head(\mathbf{u})) = S_{-p} = tail(\mathbf{u})$ and $Im_0(tail(\mathbf{u})) = S_{p-1} = head(\mathbf{u})$. Now since $len_h(\mathbf{u}) = len_t(\mathbf{u})$, we have $r(\mathbf{u}) = Im_0(l(\mathbf{u}))$ and $l(\mathbf{u}) = Im_0(r(\mathbf{u}))$. Hence $Im_0(\mathbf{u}) = \mathbf{u}$ and therefore $\mathbf{v} \cap Im_0(\mathbf{u}) = \emptyset$ since $\mathbf{u} \cap \mathbf{v} = \emptyset$.

Theorem 3.7. Let \mathbf{u} and \mathbf{v} be arcs such that $\mathbf{v} \cap \mathbf{u} = \emptyset$, $len(\mathbf{u}) \leq len(\mathbf{v})$. Let $|Int(\mathbf{u})| = 1$ and therefore p = 1. Let $m(\mathbf{u}) = 0$.

- 1. If $len_h(\mathbf{u}) > len_t(\mathbf{u})$
 - (a) If $\mathbf{v} \cap Im_0(\mathbf{u}) \neq \emptyset$ then \mathbf{v} must be anticlockwise adjacent to $Im_0(\mathbf{u})$.
 - (b) If $\mathbf{v} \cap Im_0(\mathbf{u}) \neq \emptyset$ and $\mathbf{v} \cap Im_1(\mathbf{u}) \neq \emptyset$ then \mathbf{v} must be clockwise adjacent to $Im_1(\mathbf{u})$.
- 2. If $len_h(\mathbf{u}) = len_t(\mathbf{u})$ then $\mathbf{v} \cap Im_0(\mathbf{u}) = \emptyset$.

Proof of 1(a): When $len_h(\mathbf{u}) > len_t(\mathbf{u})$ then $l(Im_0(\mathbf{u})) = Im_0(r(\mathbf{u})) \in Im_0(tail(\mathbf{u}))$ = $S_0 = head(\mathbf{u})$. Since $len_h(\mathbf{u}) > len_t(\mathbf{u}) = len(Im_0(\mathbf{u} \cap tail(\mathbf{u})))$. We infer that $l(Im_0(\mathbf{u})) \in \mathbf{u}$ and therefore $l(Im_0(\mathbf{u})) \notin \mathbf{v}$. Therefore $r(Im_0(\mathbf{u})) \in \mathbf{v}$ i.e. \mathbf{v} is anticlockwise adjacent to $Im_0(\mathbf{u})$.

Proof of 1(b): According to Table 1, $Im_1(head(\mathbf{u})) = S_{h'}$ where h' = (-p+2) = 1 and $Im_1(tail(\mathbf{u})) = S_{h''}$ where h'' = p+1=2. So $Im_1(\mathbf{u})$ goes from S_2 to S_1 in clockwise direction. Since $len(\mathbf{v}) \geq len(\mathbf{u}) = len(Im_1(\mathbf{u}))$, $\mathbf{v} \not\subset Im_1(\mathbf{u})$. Therefore \mathbf{v} is either clockwise or anticlockwise adjacent to $Im_1(\mathbf{u})$. If possible let $l(Im_1(\mathbf{u})) \notin \mathbf{v}$. But since $\mathbf{v} \cap Im_0(\mathbf{u}) \neq \emptyset$, by case 1(a), $r(Im_0(\mathbf{u})) \in \mathbf{v} \implies Im_0(l(\mathbf{u})) \in \mathbf{v} \implies (\mathbf{v} \cap Im_0(head(\mathbf{u}))) \neq \emptyset \implies (\mathbf{v} \cap S_{-1}) \neq \emptyset$. It follows that \mathbf{v} intersects all the sectors from S_1 to S_{-1} in clockwise direction. (Note that this cannot be in anticlockwise direction since in that case $l(Im_1(\mathbf{u})) \in \mathbf{v}$). Then $S_0 \subset \mathbf{v}$. But it is not possible since $S_0 \cap \mathbf{u} \neq \emptyset$ and $\mathbf{u} \cap \mathbf{v} = \emptyset$. Therefore \mathbf{v} is clockwise adjacent to $Im_1(\mathbf{u})$.

Proof of 2: When $len_h(\mathbf{u}) = len_t(\mathbf{u})$ then $Im_0(head(\mathbf{u})) = S_{-1} = tail(\mathbf{u})$ and $Im_0(tail(\mathbf{u})) = S_0 = head(\mathbf{u})$. Now since $len_h(\mathbf{u}) = len_t(\mathbf{u})$, we have $r(\mathbf{u}) = Im_0(l(\mathbf{u}))$ and $l(\mathbf{u}) = Im_0(r(\mathbf{u}))$. Hence $Im_0(\mathbf{u}) = \mathbf{u}$ and therefore $\mathbf{v} \cap Im_0(\mathbf{u}) = \emptyset$ since $\mathbf{u} \cap \mathbf{v} = \emptyset$.

3.1 Construction of interval graphs

Let G be a circular arc graph with maximum degree $\Delta < \left\lfloor \frac{n(\alpha-1)}{2\alpha} \right\rfloor$. In this section we will construct α interval graphs $I_0, I_1, \ldots, I_{\alpha-1}$ such that $G = I_0 \cap I_1 \cap \ldots \cap I_{\alpha-1}$. Now consider a system of reference axes based on α . To define I_j we map each vertex $v \in V$ to an interval on A_j by the mapping $\forall u \in V, g_j(u) = [\inf Proj_j(\mathbf{u}), \sup Proj_j(\mathbf{u})]$.

Lemma 3.8. For each interval graph I_j , $0 \le j < \alpha$, $E(G) \subseteq E(I_i)$.

Proof. Let $(u, v) \in E(G)$. There is a point $P \in \mathbf{u} \cap \mathbf{v}$. Clearly $Proj_j(P) \in g_j(u) \cap g_j(v)$ for $0 \le j < \alpha$. \square

Lemma 3.9. If $(u, v) \notin E(G)$ then there exists some $j, 0 \leq j < \alpha$, such that $(u, v) \notin E(I_j)$.

Proof. Since $(u, v) \notin E(G)$, we have $\mathbf{u} \cap \mathbf{v} = \emptyset$. Without loss of generality we can assume that $len(\mathbf{u}) \leq len(\mathbf{v})$. We consider the following cases

Case 1: $Int(\mathbf{u}) = \emptyset$. Then \mathbf{u} is properly contained in some sector. Let $\mathbf{u} \subset S_k$. A_{k_l} and A_{k_r} be the left and right reference axes of S_k where $k_l = (k+1) \mod \alpha$ and $k_r = k \mod \alpha$. In this case we will show that either $(u,v) \not\in E(I_{k_l})$ or $(u,v) \not\in E(I_{k_r})$. Suppose not. Then $g_{k_l}(u) \cap g_{k_l}(v) \neq \emptyset$ and therefore there exists some point $P_u \in \mathbf{u}$ and some point $P_v \in \mathbf{v}$ such that $Proj_{k_l}(P_u) = Proj_{k_l}(P_v)$. Then either $P_u = P_v$ or $Im_{k_l}(P_u) = P_v$ by fact 3.4. But as $\mathbf{u} \cap \mathbf{v} = \emptyset$ we have $P_u \neq P_v$. Hence according to Lemma 3.5, P_v must belong to the sector $(2((k+1) \mod \alpha) - k - 1) \mod 2\alpha = (k+1) \mod 2\alpha$. Similarly if $g_{k_r}(u) \cap g_{k_r}(v) \neq \emptyset$ then there exists some point $Q_u \in \mathbf{u}$ and some point $Q_v \in \mathbf{v}$ such that $Proj_{k_r}(Q_u) = Proj_{k_r}(Q_v)$. For similar reasons as above Q_v must belong to the sector $((k-1) \mod 2\alpha)$. Thus \mathbf{v} intersects the sectors $S_{(k-1) \mod 2\alpha}$ as well as $S_{(k+1) \mod 2\alpha}$. But as \mathbf{v} does not intersect \mathbf{u} , \mathbf{v} cannot pass through S_k . It follows that it intersects all the other $(2\alpha-1)$ sectors except S_k . In particular \mathbf{v} properly contains all the $(2\alpha-3)$ sectors other than $S_{(k-1) \mod 2\alpha}$, S_k and $S_{(k+1) \mod 2\alpha}$ and therefore $len(\mathbf{v}) \geq (2\alpha-3) \times sector length = <math>\frac{2\Pi(2\alpha-3)}{2\alpha} \geq \frac{\Pi(\alpha-1)}{\alpha}$. Since $\alpha \geq 2$ this contradicts Observation 1, part 1.

Case 2: When $Int(\mathbf{u}) \neq \emptyset$ and $|Int(\mathbf{u})|$ is even. If $\alpha = 2$ then $len(\mathbf{u}) < \frac{\Pi(\alpha-1)}{\alpha} = \frac{\Pi}{2}$ and therefore \mathbf{u} cannot properly contain a sector, since a sector has length $\frac{\Pi}{2}$ in this case. Then $|Int(\mathbf{u})| \leq 1$ contradicts the assumption. Therefore we can assume that $\alpha \geq 3$. Let $w = m(\mathbf{u}) \mod \alpha$ and $w' = (m(\mathbf{u}) + 1) \mod \alpha$, where $m(\mathbf{u})$ is the median axis number of \mathbf{u} . Our intention is to show that either $(u, v) \notin E(I_w)$ or $(u, v) \notin E(I_{w'})$. Now for the ease of presentation we will renumber our system of reference axes such that $m(\mathbf{u}) = 0$. Note that it only corresponds to a rotation of the circular arc system in such a way that $H_{m(\mathbf{u})}$ comes to H_0 . After this transformation the interval graphs I_w and $I_{w'}$ will also get renamed to I_0 and I_1 respectively. So now we have only to prove that either $(u,v) \notin E(I_0)$ or $(u,v) \notin E(I_1)$. Let $|Int(\mathbf{u})| = 2p$. Let P^+ and P^- be the intersection point of the circle with H_0 and H_α (i.e. positive and negative x-axis) respectively. If $g_0(u) \cap g_0(v) \neq \emptyset$ then $\mathbf{v} \cap Im_0(\mathbf{u}) \neq \emptyset$. Since $\mathbf{v} \cap \mathbf{u} = \emptyset$ according to Theorem 3.6 part $I(\mathbf{a})$, \mathbf{v} must be anticlockwise adjacent to $Im_0(\mathbf{u})$. Therefore $r(Im_0(\mathbf{u})) \in \mathbf{v}$ which implies that $Im_0(I(\mathbf{u})) \in \mathbf{v}$ by Lemma 3.3. Similarly since $g_1(u) \cap g_1(v) \neq \emptyset$, according to Theorem

3.6 part 1(b), \mathbf{v} must be clockwise adjacent to $Im_1(\mathbf{u})$. Therefore $l(Im_1(\mathbf{u})) \in \mathbf{v}$ which implies that $Im_1(r(\mathbf{u})) \in \mathbf{v}$ by Lemma 3.3. But according to Table 1, $Im_1(r(\mathbf{u})) \in Im_1(tail(\mathbf{u})) = S_{p+1}$ and $Im_0(l(\mathbf{u})) \in Im_0(head(\mathbf{u})) = S_{-(p+1)}$. Therefore \mathbf{v} intersects the sectors $S_{-(p+1)}$ as well as S_{p+1} . Now since $(p+1) \leq (\alpha-1)$ for $\alpha \geq 3$ (see Observation 1, part 1), the sector S_{p+1} belongs to the half circle above A_0 (i.e. x-axis). Similarly the sector $S_{-(p+1)}$ belongs to the half circle below A_0 (i.e. x-axis). It follows that the arc \mathbf{v} should intersect A_0 either at P^+ or P^- . But in view of definition of median axis $P^+ \in \mathbf{u}$. Since $\mathbf{u} \cap \mathbf{v} = \emptyset$ we have $P^- \in \mathbf{v}$.

So we infer that \mathbf{v} intersects all the sectors from $S_{-(p+1)}$ to S_{p+1} in the clockwise direction.

(1)

Moreover we can infer that \mathbf{v} properly contains all the sectors strictly in-between $S_{-(p+1)}$ and S_{p+1} in the clockwise direction (if there is any such sector). Note that since $head(\mathbf{u}) = S_p$ and $tail(\mathbf{u}) = S_{-p}$, \mathbf{u} properly contains all the sectors strictly in-between S_p and S_{-p} in the clockwise direction (if there is any such sector).

Thus
$$\mathbf{v} \cup \mathbf{u}$$
 properly contains all the $(2\alpha - 4)$ sectors other than S_p , S_{-p} , $S_{-(p+1)}$ and S_{p+1} namely head(\mathbf{u}), $tail(\mathbf{u})$, $Im_0(head(\mathbf{u}))$ and $Im_1(tail(\mathbf{u}))$.

(2)

Claim 1: If $|Int(\mathbf{u})|$ is even

- 1. $(len_h(\mathbf{u}) + len(\mathbf{v} \cap S_{-(p+1)})) \ge len(S_{-(p+1)}).$
- 2. $(len_t(\mathbf{u}) + len(\mathbf{v} \cap S_{p+1})) \ge len(S_{p+1})$.

Proof. Since $len_h(\mathbf{u}) = len(\mathbf{u} \cap head(\mathbf{u})) = len(Im_0(\mathbf{u}) \cap Im_0(head(\mathbf{u})))$ and recalling from Table 1 that $Im_0(head(\mathbf{u})) = S_{-(p+1)}$ it is sufficient to show that $len(Im_0(\mathbf{u}) \cap S_{-(p+1)}) + len(\mathbf{v} \cap S_{-(p+1)}) \geq len(S_{-(p+1)})$. Let $P = Im_0(l(\mathbf{u})) = r(Im_0(\mathbf{u}))$. We know that $P \in Im_0$ (head(\mathbf{u})) = $S_{-(p+1)}$. Let $P_L = l(S_{-(p+1)})$ and $P_R = r(S_{-(p+1)})$. Note that $head(\mathbf{u}) \cap \mathbf{u}$ is an arc from $l(\mathbf{u})$ to $r(head(\mathbf{u}))$ in the clockwise direction. Therefore $Im_0(\mathbf{u} \cap head(\mathbf{u})) = Im_0(\mathbf{u}) \cap Im_0(head(\mathbf{u})) = (Im_0(\mathbf{u}) \cap S_{-(p+1)})$ is the arc from $Im_0(l(\mathbf{u})) = r(Im_0(\mathbf{u})) = P$ to $Im_0(r(head(\mathbf{u}))) = l(Im_0(head(\mathbf{u}))) = P_L$ within the sector $Im_0(head(\mathbf{u})) = S_{-(p+1)}$. Since $g_0(u) \cap g_0(v) \neq \emptyset$ by Theorem 3.6 part $l(\mathbf{u})$, $r(Im_0(\mathbf{u})) = P \in \mathbf{v}$. Moreover by statement (1), \mathbf{v} intersects all the sectors from $S_{-(p+1)}$ to S_{p+1} in the clockwise direction. It follows that $P_R \in \mathbf{v}$. In other words the arc from P to P_R (in the clockwise direction) is contained in \mathbf{v} . Thus we have $(len_h(\mathbf{u}) + len(\mathbf{v} \cap S_{-(p+1)})) \geq len(S_{-(p+1)})$.

Proof of part 2 is similar as that of the part 1 except that instead of head(**u**) we have to use tail(**u**). Moreover instead of reference axis A_0 we have to use A_1 and sector S_{p+1} should replace $S_{-(p+1)}$.

Thus in view of statement (2) and claim 1 and recalling that the length of one sector is $\frac{\Pi}{\alpha}$ we can say that $\operatorname{len}(\mathbf{u}) + \operatorname{len}(\mathbf{v}) \geq (2\alpha - 2)\frac{\Pi}{\alpha}$. Now since $\operatorname{len}(\mathbf{v}) \geq \operatorname{len}(\mathbf{u})$ we get $\operatorname{len}(\mathbf{v}) \geq (\alpha - 1)\frac{\Pi}{\alpha}$, a contradiction to Observation 1, part 1.

Case 3: If $|Int(\mathbf{u})| \geq 1$ and $|Int(\mathbf{u})|$ is odd. As we did in case 2, we first renumber

our system of reference axes such that $m(\mathbf{u}) = 0$, i.e. we rotate the circular arc system in such a way that $H_{m(\mathbf{u})}$ comes to H_0 . Note that after the rotation $H_{m(\mathbf{u})+1}$ will correspond to H_1 and $H_{m(\mathbf{u})-1}$ will correspond to H_{-1} . We consider the following subcases.

Subcase 3.1: $len_h(\mathbf{u}) = len_t(\mathbf{u})$. By Theorem 3.6 part 3, $Im_0(\mathbf{u}) = \mathbf{u}$ and hence $g_0(u) \cap g_0(v) = \emptyset$. Therefore we have $(u, v) \notin E(I_0)$ as required.

Subcase 3.2: $len_h(u) \neq len_t(u)$. Our proof requires that we consider two cases namely

- 1. $len_h(\mathbf{u}) > len_t(\mathbf{u})$
- 2. $len_h(\mathbf{u}) < len_t(\mathbf{u})$

In the first case our intention is to show that either $(u,v) \notin E(I_{m(\mathbf{u}) \mod \alpha})$ or $(u,v) \notin E(I_{m(\mathbf{u})+1) \mod \alpha})$ and in the second case our intention is to show that either $(u,v) \notin E(I_{m(\mathbf{u}) \mod \alpha})$ or $(u,v) \notin E(I_{m(\mathbf{u})-1) \mod \alpha})$. In fact there is no need to analyze these two cases separately. If $len_h(\mathbf{u}) < len_t(\mathbf{u})$ then we can do a further transformation to our circular arc system namely a reflection about the x-axis. Note that after the reflection the left and right endpoints of each arc gets interchanged (see Lemma 3.3). Therefore in this transformed circular arc system $len_h(\mathbf{u}) > len_t(\mathbf{u})$. Also note that the numbering of the reference axes has also changed due to reflection: A_0 still corresponds to A_0 but A_1 corresponds to A_{-1} and A_{-1} corresponds to A_1 . Thus the half axis that was originally numbered $m(\mathbf{u})$ will be numbered 0 and $m(\mathbf{u}) = 1$ will be numbered 1 after the rotation and reflection. Note that the interval graphs are also renamed after this transformation. Thus in both cases we need only to prove that either $m(\mathbf{u}, \mathbf{v}) \notin E(I_0)$ or $m(\mathbf{u}, \mathbf{v}) \notin E(I_1)$. Suppose not. Then we claim the following

Claim 2: $\mathbf{v} \cup \mathbf{u}$ properly contains all the $(2\alpha - 4)$ sectors other than head(\mathbf{u}), tail(\mathbf{u}), S_p and S_{p+1} .

Proof. If $\alpha=2$, $(2\alpha-4)=0$ and the claim is trivially true. Thus we can assume $\alpha\geq 3$. Let P^+ and P^- be the intersection point of the circle with H_0 and H_α (i.e. positive and negative x-axis) respectively. If $g_0(u)\cap g_0(v)\neq\emptyset$ then $\mathbf{v}\cap Im_0(\mathbf{u})\neq\emptyset$. Since $\mathbf{v}\cap\mathbf{u}=\emptyset$, using Theorem 3.6 part 2(a) and Theorem 3.7 part 1(a), we can conclude that \mathbf{v} must be anticlockwise adjacent to $Im_0(\mathbf{u})$. So according to Lemma 3.3, $Im_0(l(\mathbf{u}))\in\mathbf{v}$. Similarly since $g_1(u)\cap g_1(v)\neq\emptyset$, by Theorem 3.6 part 2(b) and Theorem 3.7 part 1(b) \mathbf{v} must be clockwise adjacent to $Im_1(\mathbf{u})$. Hence according to Lemma 3.3 $Im_1(r(\mathbf{u}))\in\mathbf{v}$. Thus according to Table 1, \mathbf{v} intersects the sectors S_{-p} as well as S_{p+1} . Now since $(p+1)\leq (\alpha-1)$ for $\alpha\geq 3$ (see Observation 1 part 2), the sector S_{p+1} belongs to the half circle above A_0 (i.e. x-axis). Similarly the sector S_{-p} belongs to the half circle below A_0 (i.e. x-axis). It follows that the arc \mathbf{v} should intersect A_0 either at P^+ or P^- . But in view of definition of median axis $P^+\in\mathbf{u}$. Since $\mathbf{u}\cap\mathbf{v}=\emptyset$ we have $P^-\in\mathbf{v}$. So we infer the following

If $\alpha \geq 3$ then **v** intersects all the sectors from S_{-p} to S_{p+1} in the clockwise direction. (3)

Moreover we can infer that \mathbf{v} properly contains all the sectors strictly in-between S_{-p} and S_{p+1} in clockwise direction (if there is any such sector). Also note that since $head(\mathbf{u}) =$

 S_{p-1} and $tail(\mathbf{u}) = S_{-p}$, \mathbf{u} properly contains all the sectors strictly in-between S_{p-1} to S_{-p} in the clockwise direction (if there is any such sector). Therefore $\mathbf{v} \cup \mathbf{u}$ properly contains all the $(2\alpha - 4)$ sectors other than S_{p-1} , S_{-p} , S_p and S_{p+1} namely head(\mathbf{u}), tail(\mathbf{u}), S_p and S_{p+1} .

Observation 2: Note that statement (3) is valid even when $\alpha = 2$. When $\alpha = 2$ and $|Int(\mathbf{u})| = 1$, p = 1. Since $g_0(u) \cap g_0(v) \neq \emptyset$ and $r(Im_0(\mathbf{u})) \in S_{-1}$, $\mathbf{v} \cap S_{-1} \neq \emptyset$. Similarly since $g_1(u) \cap g_1(v) \neq \emptyset$ and $l(Im_1(\mathbf{u})) \in S_2$, $\mathbf{v} \cap S_2 \neq \emptyset$. Therefore \mathbf{v} goes from S_{-1} to S_2 in clockwise direction because otherwise $S_0 \subset \mathbf{v}$, a contradiction to our assumption that $\mathbf{u} \cap \mathbf{v} = \emptyset$.

Claim 3: If $|Int(\mathbf{u})|$ is odd and $len_h(\mathbf{u}) > len_t(\mathbf{u})$

- 1. $(len_h(\mathbf{u}) + len(\mathbf{v} \cap S_{-p})) \ge len(S_{-p})$.
- 2. $(len_t(\mathbf{u}) + len(\mathbf{v} \cap S_{p+1})) \ge len(S_{p+1}).$

Proof. Since $len_h(\mathbf{u}) = len(\mathbf{u} \cap head(\mathbf{u})) = len(Im_0(\mathbf{u}) \cap Im_0(head(\mathbf{u})))$ and recalling from Table 1 that $Im_0(head(\mathbf{u})) = S_{-p}$ it is sufficient to show that $len(Im_0(\mathbf{u}) \cap S_{-p}) + len(\mathbf{v} \cap S_{-p}) \geq len(S_{-p})$. Let $P = Im_0(l(\mathbf{u})) = r(Im_0(\mathbf{u}))$. We know that $P \in Im_0(head(\mathbf{u})) = S_{-p}$. Let $P_L = l(S_{-p})$ and $P_R = r(S_{-p})$. Note that $head(\mathbf{u}) \cap \mathbf{u}$ is an arc from $l(\mathbf{u})$ to $r(head(\mathbf{u}))$ in the clockwise direction. Therefore $Im_0(\mathbf{u} \cap head(\mathbf{u})) = Im_0(\mathbf{u}) \cap Im_0(head(\mathbf{u})) = (Im_0(\mathbf{u}) \cap S_{-p})$ is the arc from $Im_0(l(\mathbf{u})) = r(Im_0(\mathbf{u})) = P$ to $Im_0(r(head(\mathbf{u}))) = l(Im_0(head(\mathbf{u}))) = P_L$ within the sector $Im_0(head(\mathbf{u})) = S_{-p}$. Since $g_0(u) \cap g_0(v) \neq \emptyset$ by Theorem 3.6 part 2(a) and Theorem 3.7 part 1(a), $r(Im_0(\mathbf{u})) = P \in \mathbf{v}$. Moreover by statement (3) and Observation 2, \mathbf{v} intersects all the sectors from S_{-p} to S_{p+1} in the clockwise direction. It follows that $P_R \in \mathbf{v}$. In other words the arc from P to P_R (in the clockwise direction) is contained in \mathbf{v} . Thus we have $(len_h(\mathbf{u}) + len(\mathbf{v} \cap S_{-p})) \geq len(S_{-p})$.

Proof of part(2) is the same as that of the part(1) except that instead of head(**u**) we have to use tail(**u**). Moreover instead of reference axis A_0 we have to use A_1 and sector S_{p+1} should replace S_{-p} .

Now in view of claim 2, claim 3 and recalling that the length of one sector is $\frac{\Pi}{\alpha}$ we can say that $\operatorname{len}(\mathbf{u}) + \operatorname{len}(\mathbf{v}) \geq (2\alpha - 2)\frac{\Pi}{\alpha}$. Now since $\operatorname{len}(\mathbf{v}) \geq \operatorname{len}(\mathbf{u})$ we get $\operatorname{len}(\mathbf{v}) \geq (\alpha - 1)\frac{\Pi}{\alpha}$, a contradiction to Observation 1, part 1.

Combining Lemma 3.8 and 3.9 we have the following Theorem.

Theorem 3.10. For a circular arc graph G, $box(G) \le \alpha$ when $\Delta < \left\lfloor \frac{n(\alpha-1)}{2\alpha} \right\rfloor$.

3.2 Tightness Result

It would have been nice if we could show a circular arc graph G with $\Delta = \frac{n(\alpha-1)}{2\alpha}$ and $box(G) > \alpha$ in order to demonstrate the tightness of the bound given in Theorem 3.10. Unfortunately we are unable to construct such a graph. In this section we will show a circular arc graph G with $box(G) > \alpha$ and $\Delta = n\frac{(\alpha-1)}{2\alpha} + \frac{n}{2\alpha(\alpha+1)} + (\alpha+2)$. Thus the

upper bound on Δ cannot be raised by more than an additive factor of $\frac{n}{2\alpha(\alpha+1)} + (\alpha+2)$ $\approx \frac{n}{2\alpha^2}$ keeping $box(G) \leq \alpha$. We describe the graph G below.

Let V(G) be the disjoint union of 3 sets of vertices namely S_1, S_2 and S_3 such that $|S_1| = 2\alpha + 2$, $|S_2| = 2\alpha + 2$ and $|S_3| = n - (4\alpha + 4)$. (We will assume that n is divisible by $2\alpha + 2$). Let $S_1 = \{u_0, u_1, \ldots, u_{2\alpha+1}\}$, $S_2 = \{v_0, v_1, \ldots, v_{2\alpha+1}\}$. Let S_3 contain the remaining $n - (4\alpha + 4)$ vertices. We will partition the set S_3 further into $2\alpha + 2$ subsets $H_0, H_1, \ldots, H_{2\alpha+1}$ where $|H_i| = \frac{n}{2\alpha+2} - 2$ i.e. $S_3 = H_0 \cup H_1 \cup \ldots \cup H_{2\alpha+1}$. The circular arc graph G on the vertex set $V(G) = S_1 \cup S_2 \cup S_3$ is constructed as follows.

Let us take a circle. Let $t=2\alpha+2$. Place t points on the circle such that the distance between any adjacent pair of points is equal. Let these points be $p_0, p_1, \ldots, p_{t-1}$ in clockwise direction. For each i, $0 \le i \le (2\alpha+1)$, u_i is mapped to the circular arc from p_i to $p_{(i+\alpha) \mod t}$ in the clockwise direction. Similarly for each i, $0 \le i \le (2\alpha+1)$, v_i is mapped to the circular arc from p_i to $p_{(i+1) \mod t}$ in the clockwise direction. For each i, $0 \le i \le (2\alpha+1)$, the vertices in H_i are mapped to arcs of sufficiently small length placed strictly in-between p_i and $p_{(i+1) \mod t}$ (i.e. strictly inside the arc corresponding to v_i) such that arcs in H_i are pairwise non-intersecting.

Claim 1: Maximum degree of G is
$$\frac{n\alpha}{2(\alpha+1)} + (\alpha+2) = \frac{n(\alpha-1)}{2\alpha} + \frac{n}{2\alpha(\alpha+1)} + (\alpha+2)$$
.

Proof. Any vertex in set S_1 is connected to 2α vertices in set S_1 , $(\alpha+2)$ vertices in set S_2 and $\alpha(\frac{n}{2(\alpha+1)}-2)$ vertices in set S_3 . So maximum degree of any vertex in S_1 is $\frac{n\alpha}{2(\alpha+1)}+(\alpha+2)$.

Any vertex in set S_2 is connected to $(\alpha+2)$ vertices in set S_1 , 2 vertices in set S_2 and $(\frac{n}{2(\alpha+1)}-2)$ vertices in set S_3 . So maximum degree of any vertex in S_2 is $\frac{n}{2(\alpha+1)}+\alpha+2$. Any vertex in set S_3 is connected to $(\alpha-1)$ vertices in set S_1 and 1 vertex in set S_2 . So maximum degree of any vertex in S_3 is α .

So maximum degree of G is
$$\frac{n\alpha}{2(\alpha+1)} + (\alpha+2)$$
.

Claim 2: $box(G) > \alpha$.

Proof. It is easy to see that in G, S_1 induces a subgraph isomorphic to $\overline{(\alpha+1)K_2}$. (See the circular arc construction of $\overline{(\frac{n}{2})K_2}$ given in the introduction). From [7], box $((\alpha+1)K_2)$ = $\alpha+1$ and therefore box $(G) > \alpha$.

So there exists a graph on n vertices with maximum degree $\frac{n\alpha}{2(\alpha+1)} + (\alpha+2)$ and boxicity $> \alpha$.

4 An upper bound based on minimum overlap set

Cardinality of minimum overlap set is a parameter (see definition 2.3) one encounters frequently in the study of circular arc graphs. For example let F be the family of arcs in a circular arc graph G then $\chi(F) \leq r_{sup}(F) + r_{inf}(F)$ [2]. In the following theorem we relate box(G) with $r_{inf}(G)$.

Theorem 4.1. For a circular arc graph G, $box(G) \leq r_{inf}(G) + 1$ where $r_{inf}(G)$ is the cardinality of minimum overlap set with respect to any circular arc representation of G.

From now on we will use r_{inf} instead of $r_{inf}(G)$. Let $\mathcal{O}(P_{inf}) = \{\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_{r_{inf}}\}$. We construct $r_{inf} + 1$ interval super graphs of G say $I_1, I_2, \dots, I_{r_{inf}+1}$ as follows.

Construction of I_i for $1 \le i \le r_{inf}$: For each \mathbf{w}_i we will construct an interval graph I_i . To construct I_i we map each vertex $v \in V(G)$ to an interval on the real line by the mapping

$$g_i(v) = [0,1]$$
 $if \ v = w_i$
 $= [1,2]$ $if \ v \in N_G(w_i).$
 $= [2,3]$ $if \ v \in V(G) - (N_G(w_i) \cup \{w_i\}).$

Construction of $I_{r_{inf}+1}$: Recall that according to definition 2.7, σ is a clockwise ordering starting from P_{inf} . So $\sigma(l(\mathbf{v})) < \sigma(r(\mathbf{v}))$ when $\mathbf{v} \notin \mathcal{O}(P_{inf})$. We define the mapping as follows:

$$g_{r_{inf}+1}(v) = [1,2n] \quad if \mathbf{v} \in \mathcal{O}(P_{inf}).$$

= $[\sigma(l(\mathbf{v})), \sigma(r(\mathbf{v}))] \quad otherwise.$

Lemma 4.2. For each interval graph I_j where $1 \le j \le r_{inf} + 1$, $E(G) \subseteq E(I_j)$.

Proof. We consider the following two cases

Case 1: When $1 \leq j \leq r_{inf}$. It is easy to see that for all $x \in N_G(w_i) \cup \{w_i\}$, $1 \in g_i(x)$. So $N_G(w_i) \cup \{w_i\}$ induces a clique in I_i . Also for all $x \in V(G) - \{w_i\}$, $2 \in g_i(x)$. So $V(G) - \{w_i\}$ induces a clique in I_i . Therefore we infer that $E(G) \subseteq E(I_i)$ for each $i \leq i \leq r_{inf}$.

Case 2: When $j = r_{inf} + 1$. For any edge $(u, v) \in E(G)$ we consider the following two cases

Subcase 2.1: $\mathbf{u} \in \mathcal{O}(P_{inf})$ or $\mathbf{v} \in \mathcal{O}(P_{inf})$. Without loss of generality let $\mathbf{u} \in \mathcal{O}(P_{inf})$. Then note that $g_{r_{inf}+1}(u) = [1, 2n]$. Clearly $1 \leq \sigma(l(\mathbf{v})) \leq \sigma(r(\mathbf{v})) \leq 2n$. Therefore $g_{r_{inf}+1}(u) \cap g_{r_{inf}+1}(v) \neq \emptyset$.

Subcase 2.2: $\mathbf{u} \notin \mathcal{O}(P_{inf})$ and $\mathbf{v} \notin \mathcal{O}(P_{inf})$. Then either $\sigma(l(\mathbf{v})) \leq \sigma(l(\mathbf{u})) \leq \sigma(r(\mathbf{v}))$ or $\sigma(l(\mathbf{u})) \leq \sigma(l(\mathbf{v})) \leq \sigma(r(\mathbf{u}))$. So $g_{r_{inf}+1}(u) \cap g_{r_{inf}+1}(v) \neq \emptyset$. Therefore $(u, v) \in E(I_{r_{inf}+1})$.

Lemma 4.3. For any $(x,y) \notin E(G)$, $\exists j, 1 \leq j \leq r_{inf} + 1$, such that $(x,y) \notin E(I_j)$.

Proof. Suppose $(x,y) \notin E(G)$.

Case 1: Either $\mathbf{x} \in \mathcal{O}(P_{inf})$ or $\mathbf{y} \in \mathcal{O}(P_{inf})$. Without loss of generality we can assume that $\mathbf{x} \in \mathcal{O}(P_{inf})$. Then $x = w_i$, for some i where $1 \le i \le r_{inf}$. In I_i as $y \notin N_G(w_i)$, we have $g_i(x) = g_i(w_i) = [0, 1]$ and $g_i(y) = [2, 3]$. Therefore $g_i(x) \cap g_i(y) = \emptyset$. Hence $(x, y) \notin E(I_i)$.

Case 2: $\mathbf{x} \notin \mathcal{O}(P_{inf})$ and $\mathbf{y} \notin \mathcal{O}(P_{inf})$. As $(x,y) \notin E(G)$ we have either $\sigma(r(\mathbf{x})) < \sigma(l(\mathbf{y}))$ or $\sigma(r(\mathbf{y})) < \sigma(l(\mathbf{x}))$. Therefore $(x,y) \notin E(I_{r_{inf}+1})$.

By combining the above two lemmas we get $E(G) = E(I_1) \cap E(I_2) \cap \cdots \cap E(I_{r_{inf}+1})$.

4.1 Tightness result

Let $G = \overline{\binom{n}{2}}K_2$, the complement of the perfect matching on n vertices (We will assume that n is even). According to the circular arc representation of $\overline{\binom{n}{2}}K_2$ described in the introduction, it is easy to see that $|\mathcal{O}(P_{inf})| = \frac{n}{2} - 1$ in G. So $box(G) \leq \frac{n}{2}$ by Theorem 4.1. But it is known that $box(G) = \frac{n}{2}$ [7]. So the upper bound for boxicity given in Theorem 4.1 is tight for $\overline{\binom{n}{2}}K_2$.

5 An upper bound based on circular cover number

Definition 5.1. For a family F of arcs, a **circular cover** is defined as a subset of arcs of F that can cover the circle. The **circular cover number** L(F) of the family of arcs F is defined as the cardinality of the minimum circular cover. If there exists no circular cover for F, then $L(F) = \infty$.

Definition 5.2. Maximum circular cover number $L_{max}(G)$ is defined as the maximum value of L(F) obtained over all possible family of arcs F that can represent G.

Remark: It may be noted that in many cases getting a circular arc family F that can represent G, with higher value of L(F) is preferable. For example see [20], where the upper bound shown for the chromatic number is smaller when L(F) is higher.

Like the parameter r_{inf} , the circular cover number L(F) is also a parameter that appears often in the circular arc graph literature. In this section we will relate box(G) to the maximum circular cover number $L_{max}(G)$.

Lemma 5.1. There exists 3 points P_0 , P_1 and P_2 on the circle such that given any vertex $v \in V(G)$, v belongs to at most one of the three sets $\mathcal{O}(P_0)$, $\mathcal{O}(P_1)$ and $\mathcal{O}(P_2)$.

Proof. Let G be a circular arc graph having $L_{max}(G) > 4$. Let $F = \{A_1, A_2, \cdots, A_{L_{max}(G)}\}$ be a minimum circular cover of G. Let $A_1, A_2, \cdots, A_{L_{max}(G)}$ be the clockwise ordering of the arcs in F (Recall that the clockwise ordering is defined in definition 2.7). Let $l(A_1) = P_0$ and $r(A_2) = P_1$. First we will show that $\mathcal{O}(P_0) \cap \mathcal{O}(P_1) = \emptyset$.

If possible let $\mathcal{A}' \in \mathcal{O}(P_0) \cap \mathcal{O}(P_1)$. Then it is easy to see that **either** we have $\mathcal{A}_1 \subseteq \mathcal{A}'$ and $\mathcal{A}_2 \subseteq \mathcal{A}'$ or \mathcal{A}' should contain the portion of the circle that is not covered by $\mathcal{A}_1 \cup \mathcal{A}_2$. In the former case $\{\mathcal{A}', \mathcal{A}_3, \cdots, \mathcal{A}_{L_{max}(G)}\}$ will be a circular cover of cardinality $L_{max}(G) - 1$, a contradiction. In the latter case $\mathcal{A}_3, \cdots, \mathcal{A}_{L_{max}(G)}$ can be replaced by \mathcal{A}' in F. So $\{\mathcal{A}_1, \mathcal{A}_2, \mathcal{A}'\}$ will be a circular cover of cardinality $3 < 4 < L_{max}(G)$. Therefore we can infer that $\mathcal{O}(P_0) \cap \mathcal{O}(P_1) = \emptyset$.

Next we will show that any arc passing through P_1 cannot be clockwise adjacent to any arc passing through P_0 . If possible let some arc \mathbf{x} passing through P_1 be clockwise adjacent to some arc \mathbf{y} passing through P_0 . Then $\{A_1, A_2, \mathbf{x}, \mathbf{y}\}$ will be a circular cover of cardinality $4 < L_{max}(G)$, a contradiction.

It follows that there exists some point P_2 on the circle such that $\mathcal{O}(P_0) \cap \mathcal{O}(P_2) = \emptyset$ and $\mathcal{O}(P_1) \cap \mathcal{O}(P_2) = \emptyset$. Hence the lemma follows.

We shall construct one interval graph corresponding to each of these three points P_0 , P_1 and P_2 . Let Π_i be the clockwise ordering of the left and right endpoints of arcs

starting from P_i , for $0 \le i \le 2$. Note that $\forall \mathbf{u} \notin \mathcal{O}(P_i)$, $\Pi_i(l(\mathbf{u})) < \Pi_i(r(\mathbf{u}))$ and thus $[\Pi_i(l(\mathbf{u})), \Pi_i(r(\mathbf{u}))]$ is a valid interval on the real line. To construct I_i we map each vertex $u \in V(G)$ to an interval on the real line by the mapping

$$g_i(u) = [\Pi_i(l(\mathbf{u})), \Pi_i(r(\mathbf{u}))]$$
 if $\mathbf{u} \notin \mathcal{O}(P_i)$
= $[1, 2n]$ otherwise.

Lemma 5.2. For each interval graph I_i , $0 \le i \le 2$, $E(G) \subseteq E(I_i)$.

Proof. Let $(u,v) \in E(G)$. We have to consider only following two cases

Case 1: When $\mathbf{u} \notin \mathcal{O}(P_i)$ and $\mathbf{v} \notin \mathcal{O}(P_i)$. So either $\Pi_i(l(\mathbf{u})) < \Pi_i(l(\mathbf{v})) < \Pi_i(r(\mathbf{u}))$ or $\Pi_i(l(\mathbf{v})) < \Pi_i(r(\mathbf{v}))$ which implies that $g_i(u) \cap g_i(v) \neq \emptyset$.

Case 2: When either $\mathbf{u} \in \mathcal{O}(P_i)$ or $\mathbf{v} \in \mathcal{O}(P_i)$. Without loss of generality let $\mathbf{u} \in \mathcal{O}(P_i)$. Then note that $g_i(u) = [1, 2n]$. Clearly $1 \leq \Pi_i(l(\mathbf{v})) \leq \Pi_i(r(\mathbf{v})) \leq 2n$. Therefore $g_i(u) \cap g_i(v) \neq \emptyset$.

Lemma 5.3. For any $(u,v) \notin E(G)$, $\exists i \ 0 \le i \le 2$, such that $(u,v) \notin E(I_i)$.

Proof. In view of Lemma 5.1, at least one of the 3 points P_0 , P_1 , P_2 is such that both the arcs \mathbf{u} and \mathbf{v} does not belong to the overlap set of this point. Let P_i be such that $u, v \notin \mathcal{O}(P_i)$. Without loss of generality let $\Pi_i(l(\mathbf{u})) < \Pi_i(l(\mathbf{v}))$. Since $(u, v) \notin E(G)$ we can immediately infer that $\Pi_i(l(\mathbf{u})) < \Pi_i(r(\mathbf{u})) < \Pi_i(l(\mathbf{v})) < \Pi_i(r(\mathbf{v}))$. Since in I_i , $g_i(u) = [\Pi_i(l(\mathbf{u})), \Pi_i(r(\mathbf{u}))]$ and $g_i(v) = [\Pi_i(l(\mathbf{v})), \Pi_i(r(\mathbf{v}))]$ we have $g_i(u) \cap g_i(v) = \emptyset$ and therefore $(u, v) \notin E(I_i)$.

Combining Lemma 5.2 and 5.3 we have the following Theorem.

Theorem 5.4. For a circular arc graph G, $box(G) \leq 3$ when maximum circular cover number $L_{max}(G) > 4$.

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