

# Colouring of $(P_3 \cup P_2)$ -free graphs

Arpitha P. Bharathi<sup>1,\*</sup>, Sheshayya A. Choudum<sup>1</sup>

---

## Abstract

The class of  $2K_2$ -free graphs and its various subclasses have been studied in a variety of contexts. In this paper, we are concerned with the colouring of  $(P_3 \cup P_2)$ -free graphs, a super class of  $2K_2$ -free graphs. We derive a  $O(\omega^3)$  upper bound for the chromatic number of  $(P_3 \cup P_2)$ -free graphs, and sharper bounds for  $(P_3 \cup P_2, \text{diamond})$ -free graphs, where  $\omega$  denotes the clique number. By applying similar proof techniques we obtain chromatic bounds for  $(2K_2, \text{diamond})$ -free graphs. The last two classes are perfect if  $\omega \geq 5$  and  $\geq 4$  respectively.

*Keywords:* Colouring, Chromatic number, Clique number,  $2K_2$ -free graphs,  $(P_3 \cup P_2)$ -free graphs, Diamond, Perfect graphs  
*2000 MSC:* 05C15, 05C17

---

## 1. Introduction

A graph  $G$  is said to be  $H$ -free, if  $G$  does not contain an induced copy of  $H$ . More generally, a class of graphs  $\mathcal{G}$  is said to be  $(H_1, H_2, \dots)$ -free if every  $G \in \mathcal{G}$  is  $H_i$ -free, for  $i \geq 1$ . The class of  $2K_2$ -free graphs and its subclasses are subject of research in various contexts: domination (El-Zahar and Erdős [10]), size (Bermond et al. [2], Chung et al. [9]), vertex colouring (Wagon [19], Nagy and Szentmiklossy [16], Gyárfás [12]), edge colouring (Erdős and Nešetřil [11]) and algorithmic complexity (Blazsik et al. [3]). Here we are concerned with the colouring of  $(P_3 \cup P_2)$ -free graphs, a super class of  $2K_2$ -free graphs. A comprehensive result of Kral et al. [15] states that the decision problem of COLOURING  $H$ -free graphs is P-time solvable if  $H$  is an induced subgraph of  $P_4$  or  $P_3 \cup P_1$ , and it is NP-complete for any other graph  $H$ . In particular, COLOURING  $2K_2$ -free graphs is NP-complete. However, there have been several studies to obtain tight upper and lower bounds for the chromatic number of  $2K_2$ -graphs. A problem of Gyárfás [12] asks for the smallest function  $f(x)$  such that  $\chi(G) \leq f(\omega(G))$ , for every  $G$  belonging to the class of  $2K_2$ -free graphs, where  $\chi(G)$  and  $\omega(G)$  respectively denote the chromatic number and clique number of  $G$ . This problem is still open. In this respect, an often quoted result is due to Wagon [19]. It states that if a graph  $G$  is  $2K_2$ -free, then  $\chi(G) \leq \binom{\omega(G)+1}{2}$ . We look more closely at Wagon's proof and obtain a  $O(\omega^3)$  upper bound for the chromatic number of  $(P_3 \cup P_2)$ -free graphs, and sharper bounds for  $(P_3 \cup P_2, \text{diamond})$ -free graphs. By applying similar proof techniques we obtain chromatic bounds for  $(2K_2, \text{diamond})$ -free graphs. The last two classes are perfect if the clique number is  $\geq 5$  and  $\geq 4$  respectively. The classes of  $(H, \text{diamond})$ -free graphs and  $(H_1, H_2, \text{diamond})$ -free graphs, for various graphs  $H, H_1$  and  $H_2$ , have been studied

---

\*Corresponding author

*Email addresses:* arpitha.p.bharathi@gmail.com (Arpitha P. Bharathi),  
 sac@retiree.iitm.ac.in (Sheshayya A. Choudum)

<sup>1</sup>Department of Mathematics, Christ University, Bengaluru 560029, India

in many papers; see Arbib and Mosca [1], Brandstädt [5], Choudum and Karthick [7], Karthick and Maffrey [14], Gyárfás [12], and Randerath and Schiermeyer [17]. See also a comprehensive book on problems of graph colourings by Jensen and Toft [13] and an extensive book of Brandstädt et al. [6], for interesting subclasses and superclasses of  $2K_2$ -free graphs.

## 2. Terminology and Notation

We follow standard terminology of Bondy and Murty [4], and West [20]. All our graphs are simple and undirected. If  $u, v$  are two vertices of a graph  $G(V, E)$ , then their adjacency is denoted by  $u \leftrightarrow v$ , and non-adjacency by  $u \nleftrightarrow v$ .  $P_n, C_n$  and  $K_n$  respectively denote the path, cycle and complete graph on  $n$  vertices. A chordless cycle of length  $\geq 5$  is called a *hole*. If  $S \subseteq V(G)$ , then  $[S]$  denotes the subgraph induced by  $S$ . If  $S$  and  $T$  are two disjoint subsets of  $V(G)$ , then  $[S, T]$  denotes the set of edges  $\{st \in E(G) : s \in S \text{ and } t \in T\}$ . A subset  $Q$  of  $V(G)$  is called a *clique* if any two vertices in  $Q$  are adjacent. The *clique number* of  $G$  is defined to be  $\max\{|Q| : Q \text{ is a clique in } G\}$ ; it is denoted by  $\omega(G)$ . A clique  $Q$  is called a *maximum clique* if  $|Q| = \omega(G)$ . A subset  $I$  of  $V(G)$  is called an *independent set* if no two vertices in  $I$  are adjacent. A *k-vertex colouring* or a *k-colouring* or a *colouring* is a function  $f : V(G) \rightarrow \{1, 2, \dots, k\}$  such that  $f(u) \neq f(v)$ , for any two adjacent vertices  $u, v$  in  $G$ . It is also referred to as a proper colouring of  $G$  for emphasis. The *chromatic number*  $\chi(G)$  of  $G$  is defined to be  $\min\{k : G \text{ admits a } k\text{-colouring}\}$ . If  $G_1, G_2, \dots, G_k$  are vertex disjoint graphs, then  $G_1 \cup G_2 \cup \dots \cup G_k$  denotes the graph with vertex set  $\bigcup_{i=1}^k V(G_i)$  and edge set  $\bigcup_{i=1}^k E(G_i)$ . If  $G_1 \simeq G_2 \simeq \dots \simeq G_k \simeq H$ , for some  $H$ , then  $G_1 \cup G_2 \cup \dots \cup G_k$  is denoted by  $kH$ . The three graphs which appear frequently in this paper are shown in Fig.1.

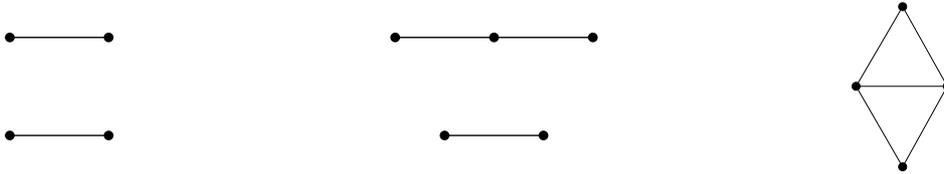


Figure 1:  $2K_2, P_3 \cup P_2, \text{Diamond}$

## 3. A partition of the vertex set of a graph.

Throughout this paper we use a particular partition of the vertex set of a graph  $G(V, E)$  and use its properties. Some of these properties are due to Wagon [19], but are restated for ready reference. In what follows,  $\omega$  denotes the clique number of a graph under consideration.

Let  $A$  be a maximum clique in  $G$  with vertices  $1, 2, \dots, \omega$ . We iteratively define the sets  $C_{ij}$  in the lexicographic order of pairs of vertices  $i, j$  of  $A$ .

$$\begin{aligned}
 &C = \phi \\
 &\text{for } i : 1 \text{ to } \omega \\
 &\text{for } j : i + 1 \text{ to } \omega \\
 &C_{ij} = \{v \in V(G) - C \mid v \leftrightarrow i \text{ and } v \leftrightarrow j\}; \\
 &C = C \cup C_{ij};
 \end{aligned}$$

end  
end

By definition, there are  $\binom{\omega}{2}$  number of  $C_{ij}$  sets and these are pairwise disjoint. Also, every vertex in  $C_{ij}$  is adjacent to every vertex  $k$  of  $A$ , where  $1 \leq k < j, k \neq i$ . Moreover, every vertex in  $V(G) - A$  which is non-adjacent to two or more vertices of  $A$  is in some  $C_{ij}$ . So, every vertex  $v \in V(G) - (A \cup C)$  is adjacent to all the vertices of  $A$  or  $|A| - 1$  vertices of  $A$ . The former case is impossible, since  $A$  is a maximum clique. Hence we define the following sets. For  $a \in A$ , let

$$I_a = \{v \in V(G) - (A \cup C) \mid v \leftrightarrow x, \forall x \in A - \{a\} \text{ and } v \leftrightarrow a\}.$$

By the above remarks, we conclude that  $(A, \bigcup_{i,j} C_{ij}, \bigcup_{a \in A} I_a)$  is a partition of  $V(G)$ .

#### 4. Colouring of $(P_3 \cup P_2)$ -free graphs

We first observe a few properties of the sets  $C_{ij}$  and  $I_a$ , and then obtain an  $O(\omega^3)$  upper bound for the chromatic number of a  $(P_3 \cup P_2)$ -free graph.

**Theorem 1.** *If a graph  $G$  is  $(P_3 \cup P_2)$ -free, then  $\chi(G) \leq \frac{\omega(\omega+1)(\omega+2)}{6}$ .*

*Proof.* Let  $A$  be a maximum clique in  $G$ . Let  $(1, 2, 3, \dots, \omega)$  be a vertex ordering of  $A$ . Since  $G$  is  $(P_3 \cup P_2)$ -free, the sets  $C_{ij}$  and  $I_a$  possess a few more properties, in addition to the ones stated in section 3.

*Claim 1: Each induced subgraph  $[C_{ij}]$  of  $G$  is  $P_3$ -free and hence it is a disjoint union of cliques.*

If some  $C_{ij}$  contains an induced  $P_3 = (x, y, z)$ , then  $\{x, y, z\} \cup \{i, j\} \simeq P_3 \cup P_2$ , a contradiction.

*Claim 2: Each  $I_a$  is an independent set.*

If some  $I_a$  contains an edge  $vw$ , then  $A \cup \{v, w\} - \{a\}$  is a clique of size  $\omega + 1$ , a contradiction to the maximality of  $|A|$ .

*Claim 3:  $\omega([C_{ij}]) \leq \omega - (j - 2)$ , where  $j \geq 2$*

Let  $B$  be a maximum clique in  $[C_{ij}]$ . Every vertex in  $B$  is adjacent to every vertex in  $K = \{1, 2, \dots, j - 1\} - \{i\} \subseteq A$ , by the definition of  $C_{ij}$ . So,  $B \cup K$  is a clique of  $G$ . Hence,  $\omega(G) \geq |B \cup K| = \omega([C_{ij}]) + |K| = \omega([C_{ij}]) + j - 2$ . Hence the claim.

Table 1 gives the the number of sets  $C_{ij}$ , for a fixed  $j$ , where  $i < j$  and  $2 \leq j \leq \omega$ . The entries of the last column, follow by Claim 3.

We now properly colour  $G$  as follows:

- (1) Colour the vertices  $1, 2, \dots, \omega$  of  $A$  with colours  $1, 2, \dots, \omega$  respectively.
- (2) Colour the vertices of  $C_{ij}$  with  $\omega([C_{ij}])$  new colours,  $1 \leq i < j \leq \omega$ . By Claim 1,  $[C_{ij}]$  is a disjoint union of cliques and hence one can properly colour  $[C_{ij}]$  with  $\omega([C_{ij}])$  colours. Note also that one requires at most  $\omega - (j - 2)$  colours, by Claim 3.
- (3) Each vertex in  $I_a$  is given the colour of  $a \in A$ .

Table 1: Clique size of each  $[C_{ij}]$ 

$j$	$C_{ij}$ 's	Number of $C_{ij}$ 's	$\omega([C_{ij}]) \leq$
2	$C_{12}$	1	$\omega$
3	$C_{13}, C_{23}$	2	$\omega - 1$
4	$C_{14}, C_{24}, C_{34}$	3	$\omega - 2$
$\cdot$	$\cdot$	$\cdot$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$
$j$	$C_{1j}, C_{2j}, \dots, C_{j-1j}$	$j - 1$	$\omega - (j - 2)$
$\cdot$	$\cdot$	$\cdot$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$
$\omega$	$C_{1\omega}, C_{2\omega}, \dots, C_{\omega-1\omega}$	$\omega - 1$	2

It is a proper colouring of  $G$  by Claims 1, 2 and 3. We first estimate the number of colours used in step (2) to colour the vertices of  $C$  (see Table 1) and then estimate the total number of colours used to colour  $G$  entirely.

$$\begin{aligned}
\chi([C]) &\leq 1(\omega) + 2(\omega - 1) + 3(\omega - 2) + \dots + (\omega - 1)2 \\
&= \sum_{k=1}^{\omega-1} k(\omega + 1 - k) \\
&= \sum_{k=1}^{\omega-1} k(\omega + 1) - \sum_{k=1}^{\omega-1} k^2 \\
&= (\omega + 1) \frac{(\omega - 1)(\omega)}{2} - \frac{(\omega - 1)(\omega)(2\omega - 2 + 1)}{6} \\
&= \frac{\omega(\omega - 1)(\omega + 4)}{6}
\end{aligned}$$

Hence,

$$\begin{aligned}
\chi(G) &\leq |A| + \chi([C]) \\
&= \omega + \frac{\omega(\omega - 1)(\omega + 4)}{6} \\
&= \frac{\omega(\omega + 1)(\omega + 2)}{6}
\end{aligned}$$

□

**Theorem 2.** *If a graph  $G$  is  $(P_4 \cup P_2)$ -free, then  $\chi(G) \leq \frac{\omega(\omega+1)(\omega+2)}{6}$ .*

*Proof.* The bound for the chromatic number of  $(P_3 \cup P_2)$ -free graphs holds for  $(P_4 \cup P_2)$ -free graphs too. In this case, each  $[C_{ij}]$  is  $P_4$ -free and hence perfect, by a result of Seinsche [18]. So, we can properly colour each  $[C_{ij}]$  with at most  $\omega(C_{ij}) \leq \omega - (j - 2)$  colours, and the entire  $G$  with at most  $\frac{\omega(\omega+1)(\omega+2)}{6}$  colours, as in the proof of Theorem 1. □

We next consider  $(P_3 \cup P_2, \text{diamond})$ -free graphs and obtain sharper bounds for the chromatic number. If  $\omega = 1$ , then obviously chromatic number is 1. So in the following, all graphs have  $\omega \geq 2$ .

**Theorem 3.** *If a graph  $G$  is  $(P_3 \cup P_2, \text{diamond})$ -free, then*

$$\chi(G) \leq \begin{cases} \omega + 2 & \text{if } \omega = 2 \\ \omega + 3 & \text{if } \omega = 3 \\ \omega + 1 & \text{if } \omega = 4 \end{cases}$$

and  $G$  is perfect if  $\omega \geq 5$ .

*Proof.* We continue to use the terminology and notation of sections 2 and 3. In particular, we use the sets  $A, C_{ij}, I_a$ , and Claims 1, 2 and 3.

*Claim 4:* *If  $G$  is  $C_5$ -free, then it is a perfect graph.*

Clearly, every hole  $C_{2k+1}, k \geq 3$  contains an induced  $P_3 \cup P_2$ , and the complement  $\overline{C}_{2k+1}, k \geq 3$  of the hole contains an induced diamond. So  $G$  is  $(C_{2k+1}, \overline{C}_{2k+1})$ -free for all  $k \geq 3$ . Hence if  $G$  is  $C_5$ -free, then  $G$  is perfect, by the Strong Perfect Graph Theorem [8].

*Claim 5:*  $C_{ij} = \emptyset$ , for every  $j \geq 4$ .

On the contrary, let  $x \in C_{ij}$ , for some  $j \geq 4$ . Then by the definition of  $C_{ij}$ , there exist two distinct vertices  $p, q \in \{1, 2, 3\} \subseteq A$  such that  $x \leftrightarrow p$  and  $x \leftrightarrow q$ . But then  $[\{x, j, p, q\}] \simeq$  diamond, a contradiction.

So, we conclude that  $C = C_{12} \cup C_{13} \cup C_{23}$ , for  $j \geq 4$ .

*Claim 6:* *If  $a \in A$ , then  $I_a$  is an empty set if  $\omega \geq 3$ , and it is an independent set if  $\omega = 2$ .* If  $\omega \geq 3$ , and  $x \in I_a$ , for some  $a \in A - \{1, 2\}$ , then  $[\{x, a, 1, 2\}] \simeq$  diamond, a contradiction; if  $a = 1$  or  $2$ , then  $[\{x, 1, 2, 3\}]$  is a diamond. If  $\omega = 2$ , then the assertion follows by Claim 2.

Therefore,  $V(G) = A \cup C_{12} \cup C_{13} \cup C_{23}$ , if  $\omega \geq 3$ .

Recall that by Claim 3,  $\omega([C_{13}]) \leq \omega - 1$ , and  $\omega([C_{23}]) \leq \omega - 1$ . But  $[C_{12}]$  may contain an  $\omega$ -clique. However, we have the following claim.

*Claim 7:*  $\omega([C_{12}]) \leq \omega - 1$ , if  $\omega(G) \geq 3$ , and  $C_{23} \neq \emptyset$  or  $C_{13} \neq \emptyset$

On the contrary suppose  $[C_{12}]$  contains an  $\omega$ -clique  $Q$ , and for definiteness suppose  $C_{23} \neq \emptyset$  (if  $C_{13} \neq \emptyset$ , proof is similar). Let  $x \in C_{23}$ . If  $x$  is adjacent to all the vertices of  $Q$  or  $|Q| - 1$  vertices of  $Q$ , then we have an  $(\omega + 1)$ -clique or a diamond in  $G$ , both impossible. Else, there exist two vertices  $u$  and  $v$  in  $Q$  such that  $x \leftrightarrow u$  and  $x \leftrightarrow v$ . Then  $[\{x, 1, 2\} \cup \{u, v\}] \simeq P_3 \cup P_2$ , a contradiction. Hence the claim.

*Claim 8:*  $[C_{13}, A - \{2\}] = \emptyset$ , and  $[C_{23}, A - \{1\}] = \emptyset$ .

If there exists an edge  $xi \in [C_{13}, A - \{2\}]$ , then  $[\{x, i, 1, 2\}] \simeq$  diamond, a contradiction. Similarly,  $[C_{23}, A - \{1\}] = \emptyset$

We now prove the theorem for different values of  $\omega$ , by making the cases as stated in the theorem.

- $\omega = 2$ ; so  $A = \{1, 2\}$ .

Colouring  $G$  with four colours is easy in this case, since  $V(G) = A \cup C_{12} \cup I_1 \cup I_2$ ,  $\omega([C_{12}]) \leq$

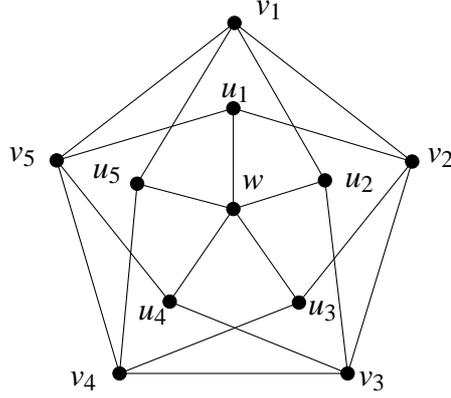


Figure 2: Mycielski-Grötzsch graph

$\omega = 2$ , and  $I_1, I_2$  are independent sets, by Claim 6. Moreover,  $\omega[C_{12}] \leq \omega(G) = 2$ . The following is a proper 4-colouring of  $G$ :

- (1) Colour the vertices 1 and 2 of  $A$  with colours 1 and 2 respectively.
- (2) Colour  $[I_1]$  with colour 1.
- (3) Colour  $[I_2]$  with colour 2.
- (4) Colour  $[C_{12}]$  with two new colours.

An extremal  $(P_3 \cup P_2, \text{diamond})$ -free graph  $G$  with  $\omega(G) = 2$ , and  $\chi(G) = 4$  is the Mycielski-Grötzsch graph; see Fig. 2. It is well known that this graph has clique number 2 and chromatic number 4. The graph is clearly diamond free since it is triangle free. It can be observed that this graph is  $(P_3 \cup P_2)$ -free by selecting every edge  $P_2$  and then verifying that the second neighborhood of  $P_2$ , is  $P_3$ -free. There are not too many cases for such a verification because of the symmetry of edges; we need to choose only three kinds of edges:  $v_1v_2$ ,  $v_1u_2$  and  $u_1w$ .

- $\omega = 3$ ; so  $A = \{1, 2, 3\}$ .

At the outset, recall that every  $I_a = \emptyset$ , by Claim 6. So,  $V(G) = A \cup C_{12} \cup C_{23} \cup C_{13}$ . Moreover,  $\omega[C_{12}] \leq 2$ ,  $\omega[C_{13}] \leq 2$ ,  $\omega[C_{23}] \leq 2$ , by Claims 7 and 3. We colour  $G$  with six colours as follows:

- (1) Colour the vertices 1, 2, 3 of  $A$  with colours 1, 2, 3 respectively.
- (2) Colour  $[C_{12}]$  with colours 1 and 2.
- (3) Colour  $[C_{23}]$  with colours 3 and 4.
- (4) Colour  $[C_{13}]$  with colours 5 and 6.

It is a proper colouring by the above observations.

Remarks:

- (i) If some  $C_{ij}$  is empty, we may not require all the six colours.

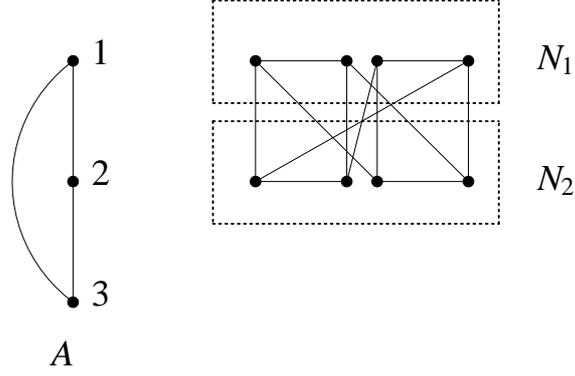


Figure 3:  $(P_3 \cup P_2, \text{diamond})$ -free graph with  $\omega = 3$  and  $\chi = 4$

- (ii) We do not have extremal graphs with chromatic number 6.
- (iii) However, we do have a graph with chromatic number 4 (see Fig. 3). In this figure,  $A$  is an  $\omega$ -clique and  $N_i \subseteq V(G)$  such that every vertex of  $N_i$  is adjacent to  $i$  and only  $i$  of  $A$ ,  $i \in \{1, 2\}$ .

- $\omega = 4$ ; so  $A = \{1, 2, 3, 4\}$ .

We colour  $G$  with five colours by considering two cases.

**Case 1:**  $[C_{23}, C_{13}] \neq \emptyset$ ; let  $ab \in [C_{23}, C_{13}]$ .  
Clearly,  $[\{a, b, 2\}] \simeq P_3$ .

*Claim 9:*  $a$  is an isolated vertex in  $[C_{23}]$ , and  $b$  is an isolated vertex in  $[C_{13}]$ .  
Suppose,  $a \leftrightarrow c$ , for some  $c \in C_{23}$ . If  $c \leftrightarrow b$ , then  $[\{a, b, c, 1\}] \simeq \text{diamond}$ , a contradiction. If  $c \nleftrightarrow b$ , then  $[\{a, b, c\} \cup \{3, 4\}] \simeq P_3 \cup P_2$ , since no vertex of  $C_{23} \cup C_{13}$  is adjacent to the vertex  $4 \in A$ , by Claim 8. Hence, we conclude that  $a$  is an isolated vertex in  $C_{23}$ . Similarly,  $b$  is an isolated vertex in  $C_{13}$ .

*Claim 10:*  $C_{23}$  and  $C_{13}$  are independent sets.

Suppose there exists an edge  $cd$  in  $[C_{23}]$ , where  $c \neq a$  and  $d \neq a$ , by Claim 9. If  $c \leftrightarrow b$  and  $d \leftrightarrow b$ , then  $[\{a, b, 2\} \cup \{c, d\}] \simeq P_3 \cup P_2$ . Next, without loss of generality, suppose that  $c \leftrightarrow b$ . Then  $[\{a, b, c\} \cup \{3, 4\}] \simeq P_3 \cup P_2$ , by Claim 8 and by the definition of  $C_{ij}$ 's, a contradiction. Hence,  $C_{23}$  is independent. Similarly  $C_{13}$  is independent.

We now colour  $G$  with five colours as follows:

- (1) Colour the vertices 1, 2, 3, 4 of  $A$  with colours 1, 2, 3, 4 respectively.
- (2) Colour  $[C_{12}]$  with colours 1, 2 and a new colour 5.
- (3) Colour  $[C_{13}]$  with colour 3.
- (4) Colour  $[C_{23}]$  with colour 4.

It is a proper colouring by Claims 8, 7 and 10.

**Case 2:**  $[C_{23}, C_{13}] = \emptyset$ .

If both  $C_{23}$  and  $C_{13}$  are empty sets, then  $G$  is  $C_5$ -free, since  $[C_{12}]$  is  $P_3$ -free and any 5-cycle contains at most two vertices of  $A$ . So,  $G$  is perfect, by Claim 4. If one of the sets  $C_{23}$  or  $C_{13}$  is nonempty, then we have the following assertion.

*Claim 11: If  $C_{23}$  or  $C_{13}$  is non empty, then the other is independent.*

Suppose  $C_{23} \neq \emptyset$  and  $x \in C_{23}$ . If  $uv$  is an edge in  $[C_{13}]$ , then  $[\{x, 1, 3\} \cup \{u, v\}] \simeq P_3 \cup P_2$ , a contradiction. Hence  $C_{13}$  is independent. Similarly,  $C_{23}$  is independent if  $C_{13} \neq \emptyset$ .

Without loss of generality, we henceforth assume that  $C_{23} \neq \emptyset$ . Since  $C_{13}$  is nonempty or empty, we consider two subcases.

Subcase 2.1:  $C_{13}$  is nonempty.

This implies that both  $C_{23}$  and  $C_{13}$  are independent sets, by Claim 11.

- (1) Colour the vertices 1, 2, 3, 4 of  $A$  with colours 1, 2, 3, 4 respectively.
- (2) Colour  $[C_{12}]$  with colours 1, 2 and a new colour 5.
- (3) Colour  $[C_{13}]$  with colour 3.
- (4) Colour  $[C_{23}]$  with colour 3.

It is a proper 5-colouring by Claims 7, 11 and the fact that  $[C_{23}, C_{13}] = \emptyset$ .

Subcase 2.2:  $C_{13}$  is empty.

We now examine this subcase based on number of components in  $C_{23}$  and the maximum cliques in  $C_{12}$ .

Case 2.2.a:  $C_{23}$  has exactly one component.

Recall that every component of  $C_{23}$  is  $K_1$ ,  $K_2$  or  $K_3$ , by Claim 3. If the component is  $K_1$ , then colour  $G$  with five colours as follows:

- (1) Colour the vertices 1, 2, 3, 4 of  $A$  with colours 1, 2, 3, 4 respectively.
- (2) Colour  $[C_{23}]$  with colour 3.
- (3) Colour  $[C_{12}]$  with colours 1, 2 and a new colour 5.

It is a proper 5-colouring by Claim 7 and by our assumptions.

If the component is  $K_2$  or  $K_3$ , let  $cd$  be an edge in  $[C_{23}]$  (see Fig. 4). We claim that  $C_{12}$  is independent. Else, there is an edge  $ab$  in  $[C_{12}]$ . If  $c$  is neither adjacent to  $a$  nor adjacent to  $b$ , then  $[\{c, 1, 2\} \cup \{a, b\}] \simeq P_3 \cup P_2$ , a contradiction. Without loss of generality, assume that  $a \leftrightarrow c$ . But then  $a \leftrightarrow d$ ; else,  $[\{a, c, d, 1\}] \simeq \text{diamond}$ . By definition of  $C_{12}$  and  $C_{23}$ , no vertex in  $\{a, c, d\}$  is adjacent to vertex 2 of  $A$ . By Claim 8,  $a$  is adjacent to at most one vertex of  $A - \{1, 2\}$ , namely 3 or 4. So  $[\{a, c, d\} \cup \{2, 3\}] \simeq P_3 \cup P_2$  or  $[\{a, c, d\} \cup \{2, 4\}] \simeq P_3 \cup P_2$ , a contradiction. Hence,  $C_{12}$  is independent. Recall that  $\omega([C_{23}]) \leq 3$ , by Claim 3.

We colour  $G$  with four colours:

- (1) Colour the vertices 1, 2, 3, 4 of  $A$  with colours 1, 2, 3, 4 respectively.

(2) Colour  $C_{23}$  with colours 2, 3 and 4.

(3) Colour  $C_{12}$  with colour 1.

It is a proper 4-colouring by Claims 3 and 8.

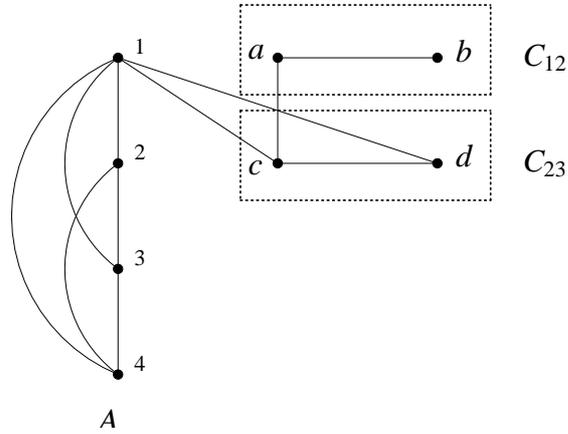


Figure 4:  $[C_{23}]$  has one component

Case 2.2.b:  $C_{23}$  has  $\geq 2$  components; let  $x$  and  $y$  be vertices of two distinct components (see Fig. 5).

Our first claim is that  $\omega([C_{12}]) \leq 2$ . On the contrary suppose that  $\{\{a, b, c\}\}$  is a triangle in  $[C_{12}]$ . Since  $\{x, 1, 2\}$  induces a  $P_3$ ,  $x$  is adjacent to every vertex of the triangle; else we have an induced diamond or  $P_3 \cup P_2$  in  $G$ . Similarly  $y$  is adjacent to every vertex of the triangle. Then  $\{\{a, b, x, y\}\} \simeq \text{diamond}$ . Hence,  $\omega([C_{12}]) \leq 2$ . So we can colour  $G$  with 4 colours as follows:

(1) Colour the vertices 1, 2, 3, 4 of  $A$  with colours 1, 2, 3, 4 respectively.

(2) Colour  $C_{23}$  with colours 3 and 4.

(3) Colour  $C_{12}$  with colour 1 and 2.

It is a proper 4-colouring by the above observations and Claim 8.

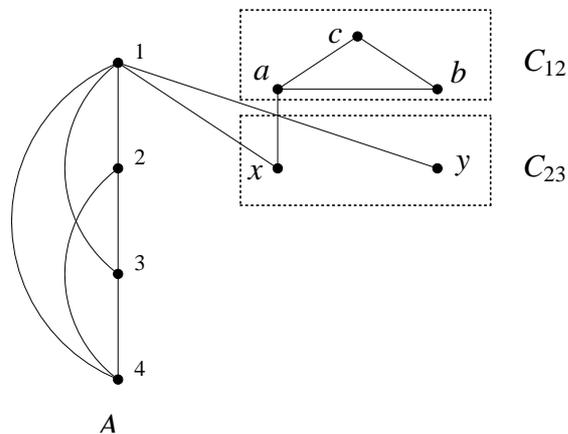


Figure 5:  $[C_{23}]$  has more than one component

- $\omega \geq 5$ .

It is enough to show that  $G$  is  $C_5$ -free, in view of Claim 4.4. On the contrary, suppose that  $G$  contains an induced  $C_5$ . As before,  $V(G) - A = C = C_{12} \cup C_{13} \cup C_{23}$ . Since at most two vertices of  $C_5$  can belong to the clique  $A$ , a  $P_3 = (a, b, c)$  is an induced subgraph of  $[C]$ . Since each  $C_{ij}$  is  $P_3$ -free, either (i) two vertices are in one  $C_{ij}$ , and the third vertex is in one of the other two  $C_{ij}$ 's, or (ii) each  $C_{ij}$  contains a vertex.

*Claim 12: A vertex of  $C_{12}$  is adjacent to at most one vertex of  $A$ .*

The claim is obvious for  $\omega = 2, 3$ . Next, assume that  $\omega \geq 4$ . If some vertex  $x \in C_{12}$  is adjacent to two distinct vertices say,  $i$  and  $j$  of  $A - \{1, 2\}$ , then  $[\{1, x, i, j\}] \simeq \text{diamond}$ , a contradiction.

Hence by the above claim, for any two vertices  $x, y \in C_{12}$ , there is a vertex, say 5, in  $A$  which is neither adjacent to  $x$  nor  $y$ . Also, by Claim 8,  $[C_{13} \cup C_{23}, \{3, 4, 5\}] = \emptyset$ . So, whether (i) or (ii) holds, there exists an edge  $ij$  in  $[A]$  such that  $[\{a, b, c\} \cup \{i, j\}] \simeq P_3 \cup P_2$ , a contradiction. For the choice of an appropriate edge  $ij$ , it is enough if we consider the following four cases:

- If  $P_3$  is an induced subgraph of  $[\{C_{12} \cup C_{13}\}]$ , then  $[\{a, b, c, 1, 5\}] \simeq P_3 \cup P_2$ .
- If  $P_3$  is an induced subgraph of  $[\{C_{12} \cup C_{23}\}]$ , then  $[\{a, b, c, 2, 5\}] \simeq P_3 \cup P_2$ .
- If  $P_3$  is an induced subgraph of  $[\{C_{13} \cup C_{23}\}]$ , then  $[\{a, b, c, 4, 5\}] \simeq P_3 \cup P_2$ .
- If (ii) holds, then  $[\{a, b, c, 4, 5\}] \simeq P_3 \cup P_2$ , where without loss of generality we assume that the vertex of  $(a, b, c)$  that is in  $C_{12}$  is adjacent to the vertex  $3 \in A$ .

□

## 5. $(2K_2, \text{diamond})$ -free graphs

The Claims of Section 4 are valid for  $(2K_2, \text{diamond})$ -free graphs too. So we continue to use the Claims made in Sections 3 and 4. In what follows, we assume that graphs have clique number at least 2, as before.

**Theorem 4.** *If a graph  $G$  is  $(2K_2, \text{diamond})$ -free, then*

$$\chi(G) \leq \begin{cases} \omega + 1 & \text{if } \omega = 2 \\ \omega & \text{if } \omega \geq 3 \end{cases}$$

and  $G$  is perfect if  $\omega \geq 4$ .

*Proof.* Since the proof is similar to the proof of Theorem 3, we give an outline. As before, consider the partition  $(A, \cup C_{ij}, \cup I_a)$  of  $V(G)$ . In this case, every  $C_{ij}$  is  $K_2$ -free, and so it is an independent set.

If  $\omega = 2$ , then  $V(G) = A \cup C_{12} \cup I_1 \cup I_2$ . So one can easily colour  $G$  with three colours. Next suppose  $\omega \geq 3$ . If  $j \in A$ , then  $I_j = \emptyset$ . Else, some  $x \in I_j$ . So, if  $a, b \in A - \{j\}$ , then  $[\{x, j, a, b\}] \simeq \text{diamond}$ , a contradiction. Also,  $C_{ij} = \emptyset$ , if  $j \geq 4$ ; else  $G$  contains an induced diamond. Hence  $V(G) = C_{12} \cup C_{13} \cup C_{23}$ . An  $\omega$ -colouring of  $G$  is obtained as follows:

- (1) Colour the vertices  $1, 2, \dots, \omega$  of  $A$ , by colours  $1, 2, \dots, \omega$ .
- (2) Colour every vertex of  $C_{12}$  with colour 1, colour every vertex of  $C_{13}$  with colour 3, colour every vertex of  $C_{23}$  with colour 2.

Remark: There exist  $(2K_2, \text{diamond})$ -free graphs with  $\omega = 3$ , which are not perfect. See Fig. 6, where each circled vertex is multiplied by an independent set.

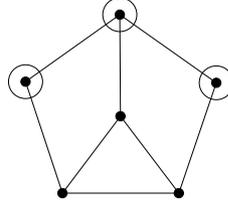


Figure 6: Graphs that are not perfect and have  $\chi(G) = \omega(G)$

Now we prove perfectness for  $\omega \geq 4$ .

It is similar to the proof of Theorem 3, Case  $\omega = 5$ . By Claim 4.4, it is enough if we show that  $G$  is  $C_5$ -free. On the contrary, if  $G$  contains an induced 5-cycle, then  $C(= C_{12} \cup C_{13} \cup C_{23})$  contains an edge  $xy$  of the 5-cycle. Since  $C_{ij}$ 's are independent, no  $[C_{ij}]$  contains  $xy$ . We use Claims 8 and 12 and arrive at a contradiction:

- (a) If  $xy \in [C_{12}, C_{13}]$ , then  $[\{x, y, 1, 3\}] = 2K_2$  or  $[\{x, y, 1, 4\}] = 2K_2$ .
- (b) If  $xy \in [C_{12}, C_{23}]$ , then  $[\{x, y, 2, 3\}] = 2K_2$  or  $[\{x, y, 2, 4\}] = 2K_2$ .
- (c) If  $xy \in [C_{13}, C_{23}]$ , then  $[\{x, y, 1, 3\}] = 2K_2$  or  $[\{x, y, 1, 4\}] = 2K_2$ .

So,  $G$  is  $C_5$ -free and hence it is perfect. □

## Acknowledgements

Both the authors thank Christ University, Bengaluru for providing all the facilities to do this research.

## References

- [1] Arbib, C., Mosca, R., On  $(P_5, \text{diamond})$ -free graphs. Discrete Mathematics 250, 1-22 (2002)
- [2] Bermond, J.C., Bond, J., Paoli, M., Peyrat, C.: Surveys in Combinatorics. Proceedings of the Ninth British Combinatorics Conference, Lecture Notes Series 82 (1983)
- [3] Blázsik, Z., Hujter, M., Pluhár, A., Tuza, Z.: Graphs with no induced  $C_4$  and  $2K_2$ . Discrete Mathematics 115, 51-55 (1993)
- [4] Bondy, J.A., Murty, U.S.R.: Graph Theory. Graduate Texts in Mathematics. Springer (2008)
- [5] Brandstädt, A.,  $(P_5, \text{diamond})$ -free graphs revisited: structure and linear time optimization. Discrete Applied Mathematics 138, 13-27 (2004)

- [6] Brandstädt, A., Le, V.B., Spinrad, J.P.: Graph Classes: A Survey. Society for Industrial Mathematics and Applications (1999)
- [7] Choudum, S.A., Karthick, T.: First fit coloring of  $\{P_5, K_4 - e\}$ -free graphs. Discrete Applied Mathematics 310, 3398-3403 (2010)
- [8] Chudnovsky, M., Seymour, P., Robertson, N., Thomas, R.: The strong perfect graph theorem. Annals of Mathematics 164(1), 51-229 (2006)
- [9] Chung, F.R.K., Gyárfás, A., Tuza, Z., Trotter, W.T.: The maximum number of edges in  $2K_2$ -free graphs of bounded degree. Discrete Mathematics 81, 129-135 (1990)
- [10] El-Zahar, M., Erdős, P.: On the existence of two non-neighboring subgraphs in a graph. Combinatorica 5, 295-300 (1985)
- [11] Erdős, P.: Problems and results on chromatic numbers in finite and infinite graphs. In: Graph Theory with Applications to Algorithms and Computer Science, Kalamazoo, Michigan, 1984, pp. 201-213. John Wiley and Sons, New York (1985)
- [12] Gyárfás, A.: Problems from the world surrounding perfect graphs. Zastosowania Matematyki 19(3-4), 413-441 (1987)
- [13] Jensen, T.R., Toft, B.: Graph Coloring Problems. Wiley (1994)
- [14] Karthick, T., Maffray, F.: Vizing Bound for the Chromatic Number on Some Graph Classes. Graphs and Combinatorics, 1-14 (2015)
- [15] Kral, D., Kratochvil, J., Tuza, Zs., Woeginger G.J.: Complexity of colouring graphs without forbidden induced subgraphs. Proceedings of WG 2001, LNCS, Vol 224, Springer Verlag, 254-262, (2001)
- [16] Nagy, Zs. and Szentmiklossy, Z.: A \$20 open problem of Erdős to show that if  $G$  is  $2K_2$ -free graph with clique number 3, then its chromatic number is 4 (Unpublished)
- [17] Randerath, B., Schiermeyer, I.: Vertex colouring and forbidden subgraphs - A survey. Graphs and Combinatorics 20, 1-40 (2004)
- [18] Seinsche, D.: On a property of the class of  $n$ -colorable graphs. Journal of Combinatorial Theory, Series B 16, 191-193 (1974)
- [19] Wagon, S.: A bound on the chromatic number of graphs without certain induced subgraphs. Journal of Combinatorial Theory, Series B 29(3), 345-346 (1980)
- [20] West, D.B.: Introduction to Graph Theory, 2nd edn. Prentice-Hall, Englewood Cliffs (2000)