Dense Graphs Have Rigid Parts

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Abstract -

While the problem of determining whether an embedding of a graph G in \mathbb{R}^2 is infinitesimally rigid is well understood, specifying whether a given embedding of G is rigid or not is still a hard task that usually requires ad hoc arguments. In this paper, we show that every embedding (not necessarily generic) of a dense enough graph (concretely, a graph with at least $C_0 n^{3/2} (\log n)^{\beta}$ edges, for some absolute constants $C_0 > 0$ and β), which satisfies some very mild general position requirements (no three vertices of G are embedded to a common line), must have a subframework of size at least three which is rigid. For the proof we use a connection, established in Raz [Discrete Comput. Geom., 2017], between the notion of graph rigidity and configurations of lines in \mathbb{R}^3 . This connection allows us to use properties of line configurations established in Guth and Katz [Annals Math., 2015]. In fact, our proof requires an extended version of Guth and Katz result; the extension we need is proved by János Kollár in an Appendix to our paper.

We do not know whether our assumption on the number of edges being $\Omega(n^{3/2} \log n)$ is tight, and we provide a construction that shows that requiring $\Omega(n \log n)$ edges is necessary.

2012 ACM Subject Classification Mathematics of computing \rightarrow Combinatoric problems; Mathematics of computing \rightarrow Graph theory

Keywords and phrases Graph rigidity, line configurations in 3D

Digital Object Identifier 10.4230/LIPIcs.SoCG.2020.65

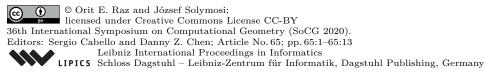
Funding József Solymosi: The work of the second author has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement No 741420, 617747, 648017). His research is also supported by NSERC and OTKA (K 119528) grants.

Acknowledgements The authors also thank Omer Angel and Ching Wong for several useful comments regarding the paper.

1 Introduction

Let G = ([n], E) be a graph on n vertices and m edges, and let $\mathbf{p} = (p_1, \dots, p_n)$ be an embedding of the vertices of G in \mathbb{R}^2 . A pair (G, \mathbf{p}) of a graph and an embedding is called a framework. A pair of frameworks (G, \mathbf{p}) and (G, \mathbf{q}) are equivalent if for every edge $\{i, j\} \in E(G)$ we have $||p_i - p_j|| = ||q_i - q_j||$, where $|| \cdot ||$ stands for the standard Euclidean norm in \mathbb{R}^2 . Two frameworks are congruent if there is a rigid motion of \mathbb{R}^2 that maps p_i to q_i for every i; equivalently, if $||p_i - p_j|| = ||q_i - q_j||$ for every pair i, j (not necessarily in E(G)). We say a framework (G, \mathbf{p}) is rigid if there exists a neighborhood B of \mathbf{p} (in $(\mathbb{R}^2)^n$), such that, for every equivalent framework (G, \mathbf{p}') , with $\mathbf{p}' \in B$, we have that the two frameworks are in fact congruent.

For a given G, if there exists an embedding \mathbf{p}_0 of its vertices, such that the framework (G, \mathbf{p}_0) is rigid, then it is known that in fact for every *generic* embedding \mathbf{p} the framework (G, \mathbf{p}) is rigid (see [1]). In this sense one can define the notion of rigidity of an abstract graph





G in \mathbb{R}^2 , without specifying an embedding. That is, a graph G is rigid in \mathbb{R}^2 if a generic embedding \mathbf{p} of its vertices in \mathbb{R}^2 yields a rigid framework (G, \mathbf{p}) . A graph G is minimally rigid if it is rigid and removing any of its edges results in a non-rigid graph. Graphs that are minimally rigid in \mathbb{R}^2 have a simple combinatorial characterization, described by Geiringer [7] and (later) by Laman [6]. Namely, a graph G with n vertices is minimally rigid in \mathbb{R}^2 if and only if G has exactly 2n-3 edges and every subgraph of G with K vertices has at most K0 edges. Every rigid graph has a minimally rigid subgraph.

To see that rigidity is indeed a generic notion, one defines the stricter notion of infinitesimal rigidity. Given a graph G as above, consider the map $f_G: (\mathbb{R}^2)^n \to \mathbb{R}^{|E|}$, given by

$$\mathbf{p} \mapsto (\|p_i - p_j\|)_{\{i,j\} \in E},$$

for some arbitrary (but fixed) ordering of the edges of G. Let M_G be the Jacobian matrix of f_G (which is an $|E| \times 2n$ matrix). A framework (G, \mathbf{p}) is called *infinitesimally rigid* if the rank of M_G at \mathbf{p} is exactly 2n-3. It is not hard to see that the rank of M_G is always at most 2n-3. Combining this with the fact that a generic embedding \mathbf{p} achieves the maximal rank of M_G , one concludes that being infinitesimally rigid is a generic property. As it turns out (and not hard to prove), infinitesimal rigidity of (G, \mathbf{p}) implies rigidity of (G, \mathbf{p}) , and therefore it follows that rigidity is a generic notion too. Moreover, for rigid graphs G, it is straightforward to describe a (measure zero) subset X of \mathbb{R}^{2n} where the rank of M_G is strictly smaller than 2n-3, and thus for such embeddings \mathbf{p} the framework (G, \mathbf{p}) is not infinitesimally rigid. However, for $\mathbf{p} \in X$, (G, \mathbf{p}) might be rigid or not. To tell whether a given $\mathbf{p} \in X$ is rigid or not is a non-trivial task, and we are not aware of any general method to test it, rather than ad-hoc arguments specific to the given graph.

Our results

In this paper, we show that *every* embedding (not necessarily generic) of a dense enough graph, that satisfies some very mild general position requirements, must have a subframework of size at least three which is rigid. Concretely, we prove the following theorem.

▶ **Theorem 1.** There exists an absolute constant C_0 such that the following holds. Let G be a graph on n vertices and $C_0 n^{3/2} (\log n)^{\beta}$ edges. Let $\mathbf{p} = (p_1, \ldots, p_n)$ be an (injective) embedding of the vertices of G in \mathbb{R}^2 such that no three of the vertices are embedded to a common line. Then there exists a subset $S \subset [n]$ of size at least three, such that the framework $(G[S], P_S)$, where $P_S := \{p_i \mid i \in S\}$, is rigid.

We do not know whether the assumption that G has $\Omega(n^{3/2} \log n)$ edges in Theorem 1 is necessary, and in fact we believe an analogue statement should hold for graphs with less edges. The following theorem yields a lower bound on the number of edges, namely, $\Omega(n \log n)$, needed for the conclusion in Theorem 1 to hold.

▶ **Theorem 2.** For every $d \ge 2$, there exists a graph H_d , with $n = 2^d$ vertices and $\frac{1}{2}n \log n$ edges, and an embedding \mathbf{p} of H_d in \mathbb{R}^2 , such that no three vertices of H_d are embedded to a common line in \mathbb{R}^2 and every subframework of (H_d, \mathbf{p}) of size at least three is non-rigid.

The paper is organized as follows. In Section 2, we review a connection established in [8] between rigidity questions and certain line configurations in \mathbb{R}^3 . In Section 3, we establish some properties regarding embeddings of complete bipartite graphs in \mathbb{R}^2 . In Section 4, we review results from Guth and Katz [4] regarding point-line incidences in \mathbb{R}^3 and state a refined incidence result (proved in an Appendix to our paper by János Kollár). In Section 5, we give the proof of Theorem 1. In Section 6, we provide a construction that proves Theorem 2.

2 Rigidity in the plane and line configurations in \mathbb{R}^3

In this section we review some known facts that we need for our analysis. We review a reduction, introduced first in Raz [8], to connect the notion of graph rigidity of planar structures with line configurations in \mathbb{R}^3 . The reduction uses the so called Elekes–Sharir framework, see [3, 4]. Specifically, we represent each orientation-preserving rigid motion of the plane (called a *rotation* in [3, 4]) as a point $(c, \cot(\theta/2))$ in \mathbb{R}^3 , where c is the center of rotation, and θ is the (counterclockwise) angle of rotation. (Note that pure translations are mapped in this manner to points at infinity.) Given a pair of distinct points $a, b \in \mathbb{R}^2$, the locus of all rotations that map a to b is a line $\ell_{a,b}$ in the above parametric 3-space, given by the parametric equation

$$\ell_{a,b} = \{ (u_{a,b} + tv_{a,b}, t) \mid t \in \mathbb{R} \}, \tag{1}$$

where $u_{a,b} = \frac{1}{2}(a+b)$ is the midpoint of ab, and $v_{a,b} = \frac{1}{2}(a-b)^{\perp}$ is a vector orthogonal to \vec{ab} of length $\frac{1}{2}||a-b||$, with \vec{ab} , $v_{a,b}$ positively oriented (i.e., $v_{a,b}$ is obtained by turning \vec{ab} counterclockwise by $\pi/2$).

Note that every non-horizontal line ℓ in \mathbb{R}^3 can be written as $\ell_{a,b}$, for a unique (ordered) pair $a,b \in \mathbb{R}^2$. More precisely, if ℓ is also non-vertical, the resulting a and b are distinct. If ℓ is vertical, then a and b coincide, at the intersection of ℓ with the xy-plane, and ℓ represents all rotations of the plane about this point.

A simple yet crucial property of this transformation is that, for any pair of pairs (a, b) and (c, d) of points in the plane, ||a - c|| = ||b - d|| if and only if $\ell_{a,b}$ and $\ell_{c,d}$ intersect, at (the point representing) the unique rotation τ that maps a to b and c to d. This also includes the special case where $\ell_{a,b}$ and $\ell_{c,d}$ are parallel, corresponding to the situation where the transformation that maps a to b and c to d is a pure translation (this is the case when \vec{ac} and \vec{bd} are parallel and of equal length).

Note that no pair of lines $\ell_{a,b}$, $\ell_{a,c}$ with $b \neq c$ can intersect (or be parallel), because such an intersection would represent a rotation that maps a both to b and to c, which is impossible.

- ▶ Lemma 3 (Raz [8, Lemma 6.1]). Let $L = \{\ell_{a_i,b_i} \mid a_i, b_i \in \mathbb{R}^2, i = 1, ..., r\}$ be a collection of $r \geq 3$ (non-horizontal) lines in \mathbb{R}^3 .
- (a) If all the lines of L are concurrent, at some common point τ , then the sequences $A = (a_1, \ldots, a_r)$ and $B = (b_1, \ldots, b_r)$ are congruent, with equal orientations, and τ (corresponds to a rotation that) maps a_i to b_i , for each $i = 1, \ldots, r$.
- (b) If all the lines of L are coplanar, within some common plane h, then the sequences $A = (a_1, \ldots, a_r)$ and $B = (b_1, \ldots, b_r)$ are congruent, with opposite orientations, and h defines, in a unique manner, an orientation-reversing rigid motion h^* that maps a_i to b_i , for each $i = 1, \ldots, r$.
- (c) If all the lines of L are both concurrent and coplanar, then the points of A are collinear, the points of B are collinear, and A and B are congruent.

The following corollary is now straightforward.

▶ Corollary 4. Let G be a graph, over n vertices, and let \mathbf{p} be an embedding of G in the plane. Assume that there exists an open neighborhood B of \mathbf{p} (in $(\mathbb{R}^2)^n$) with the following property: For every $\mathbf{p}' \in B$, if (G, \mathbf{p}') is equivalent to (G, \mathbf{p}) , then the lines $\ell_i := \ell_{p_i, p_i'}$, for $i = 1, \ldots, n$, are necessarily concurrent. Then the framework (G, \mathbf{p}) is rigid.

Proof. This follows from Lemma 3(a) and the definition of rigidity of a framework.

3 Embeddings of complete bipartite graphs in \mathbb{R}^2

We first recall a lemma and some notation introduced in Raz [9]. For completeness, we give all the details here. For $\mathbf{p} = (p_1, \dots, p_{d+1}), \mathbf{p}' = (p'_1, \dots, p'_{d+1}) \in (\mathbb{R}^d)^{d+1}$, we define

$$\Sigma_{\mathbf{p},\mathbf{p}'} := \{ (q,q') \in \mathbb{R}^d \times \mathbb{R}^d \mid ||p_i - q|| = ||p_i' - q'|| \quad i = 1, \dots, d+1 \},$$

and let $\sigma_{\mathbf{p},\mathbf{p}'}$ (resp., $\sigma'_{\mathbf{p},\mathbf{p}'}$) denote the projection of $\Sigma_{\mathbf{p},\mathbf{p}'}$ onto the first d (resp., last d) coordinates of $\mathbb{R}^d \times \mathbb{R}^d$.

We have the following lemma.

▶ Lemma 5. Let p, p' be in general position. Then $\sigma_{p,p'}$ is a quadric surface, and there exists an invertible affine transformation $T : \mathbb{R}^d \to \mathbb{R}^d$, such that $T(\sigma_{p,p'}) = \sigma'_{p,p'}$ and $(q, q') \in \Sigma_{p,p'}$ if and only if $q \in \sigma_{p,p'}$ and q' = T(q).

Proof. By definition, for $(q, q') \in \Sigma_{\mathbf{p}, \mathbf{p}'}$ we have

$$||p_i - q||^2 = ||p_i' - q'||^2, i = 1, \dots, d+1, \text{ or}$$
$$||p_i||^2 - 2p_i \cdot q + ||q||^2 = ||p_i'||^2 - 2p_i' \cdot q' + ||q'||^2, i = 1, \dots, d+1.$$

Subtracting the (d+1)th equation from each of the other equations, we get the system

$$||p_i||^2 - ||p_{d+1}||^2 - 2(p_i - p_{d+1}) \cdot q = ||p_i'||^2 - ||p_{d+1}'||^2 - 2(p_i' - p_{d+1}') \cdot q', \quad i = 1, \dots, d$$

$$||p_{d+1}||^2 - 2p_{d+1} \cdot q + ||q||^2 = ||p_{d+1}'||^2 - 2p_{d+1}' \cdot q' + ||q'||^2.$$

The system can be rewritten as

$$\frac{1}{2}u - Aq = \frac{1}{2}v - Bq',$$
$$\|p_{d+1}\|^2 - 2p_{d+1} \cdot q + \|q\|^2 = \|p'_{d+1}\|^2 - 2p'_{d+1} \cdot q' + \|q'\|^2,$$

where A (resp., B) is a $d \times d$ matrix whose ith row equals $p_i - p_{d+1}$ (resp., $p'_i - p'_{d+1}$), and

$$u = (\|p_1\|^2 - \|p_{d+1}\|^2, \|p_2\|^2 - \|p_{d+1}\|^2, \dots, \|p_d\|^2 - \|p_{d+1}\|^2)$$

$$v = (\|p_1'\|^2 - \|p_{d+1}'\|^2, \|p_2'\|^2 - \|p_{d+1}'\|^2, \dots, \|p_d'\|^2 - \|p_{d+1}'\|^2)$$

are vectors in \mathbb{R}^d . Our assumption that each of \mathbf{p}, \mathbf{p}' is in general position implies that each of A, B is invertible. Hence we have

$$q' = B^{-1}Aq + w,$$

for $w = \frac{1}{2}B^{-1}(v-u) \in \mathbb{R}^d$. Let $T(q) := B^{-1}Aq + w$. So $(q, q') \in \Sigma_{\mathbf{p}, \mathbf{p}'}$ if and only if q' = T(q) and

$$||p_{d+1}||^2 - 2p_{d+1} \cdot q + ||q||^2 = ||p'_{d+1}||^2 - 2p'_{d+1} \cdot T(q) + ||T(q)||^2,$$
(2)

where the latter constraint comes from considering the (d+1)st equation, using q' = T(q). We conclude that $\sigma_{\mathbf{p},\mathbf{p}'}$ is the quadric given by (2). Moreover, $(q,q') \in \Sigma_{\mathbf{p},\mathbf{p}'}$ if and only if $q \in \sigma_{\mathbf{p},\mathbf{p}'}$ and q' = T(q). Hence, T maps $\sigma_{\mathbf{p},\mathbf{p}'}$ into $\sigma'_{\mathbf{p},\mathbf{p}'}$. This completes the proof.

We now apply Lemma 5 to describe the non-rigid frameworks of $K_{3,m}$ embedded in \mathbb{R}^2 .

▶ Lemma 6. Let $K_{3,m}$ denote the $3 \times m$ complete bipartite graph and let $p : [3] \to \mathbb{R}^2$ and $q : [m] \to \mathbb{R}^2$ be an embedding of the vertices of $K_{3,m}$ in the plane. Suppose $m \ge 5$. Then the framework $(K_{3,m}, p \cup q)$ is rigid, unless $p \cup q$ embeds the vertices of the graph to a pair of two lines in \mathbb{R}^2 .

Proof. By Bolker and Roth [2], a framework $(K_{3,m}, \mathbf{p}, \mathbf{q})$ is infinitesimally rigid in \mathbb{R}^2 if and only if $\mathbf{p} \cup \mathbf{q}$ embeds the vertices of the graph to a conic section in \mathbb{R}^2 . (In fact, we only need the property that if the embedding is not on a conic section, then the framework is rigid.) Since infinitesimal rigidity implies rigidity, we only need to consider the case where the image of $\mathbf{p} \cup \mathbf{q}$ is a conic section.

Assume first that the points $\mathbf{p} = (p_1, p_2, p_3)$ lie on a common line in \mathbb{R}^2 . In this case, the conic section supporting $\mathbf{p} \cup \mathbf{q}$ is necessarily a pair of two lines. So in this case we are done.

Assume next that $\mathbf{p} = (p_1, p_2, p_3)$ are not collinear, and that $\mathbf{p} \cup \mathbf{q}$ is irreducible. Let B be a neighborhood of $\mathbf{p} \cup \mathbf{q}$ and let $(\mathbf{p}', \mathbf{q}') \in B$ be an embedding of the vertices of $K_{3,m}$ to this neighborhood. Taking B sufficiently small, we may assume that also $\mathbf{p}' = (p_1', p_2', p_3')$ are not collinear.

We apply Lemma 5 to the pair $(\mathbf{p}, \mathbf{p}')$. Then there exists an affine transformation $T: \mathbb{R}^2 \to \mathbb{R}^2$, and a quadric surface $\sigma_{\mathbf{p}, \mathbf{p}'}$ such that each of \mathbf{q}, \mathbf{q}' lies on a conic section in \mathbb{R}^2 (namely, the points of \mathbf{q} lie on $\sigma_{\mathbf{p}, \mathbf{p}'}$ and the points of \mathbf{q}' lie on $\sigma'_{\mathbf{p}, \mathbf{p}'} = T(\sigma_{\mathbf{p}, \mathbf{p}'})$, and we have $q'_j = T(q_j)$ for every $j = 1, \ldots, m$.

Recall that $\mathbf{p} \cup \mathbf{q}$ also lies on a conic section. Since two distinct conic sections can share at most four points, and using $m \geq 5$, we conclude that $\sigma_{\mathbf{p},\mathbf{p}'}$ and the conic section supporting $\mathbf{p} \cup \mathbf{q}$ have a common irreducible component. But $\mathbf{p} \cup \mathbf{q}$ is supported by an irreducible conic section, and therefore $\mathbf{p} \cup \mathbf{q} \subset \sigma_{\mathbf{p},\mathbf{p}'}$.

By the properties of $\sigma_{\mathbf{p},\mathbf{p}'}$ given by Lemma 5, we must have $T(p_i) = p_i'$, for each i = 1, 2, 3, since $0 = \|p_i - p_i\| = \|T(p_i) - p_i'\|$. This implies that $\|p_i - p_j\| = \|p_i' - p_j'\|$, for every i, j = 1, 2, 3. That is, \mathbf{p}, \mathbf{p}' are congruent configurations, and $T(\mathbf{p} \cup \mathbf{q}) = \mathbf{p}' \cup \mathbf{q}'$. We conclude that T is a rigid motion of \mathbb{R}^2 and that $\mathbf{p} \cup \mathbf{q}$, $\mathbf{p}' \cup \mathbf{q}'$ are congruent.

We showed that for some neighborhood B of (\mathbf{p}, \mathbf{q}) , and for every $(\mathbf{p}', \mathbf{q}') \in B$, if the frameworks $(K_{3,m}, (\mathbf{p}, \mathbf{q}))$ and $(K_{3,m}, (\mathbf{p}', \mathbf{q}'))$ are equivalent, then they are also congruent. So in this case, the framework $(K_{3,m}, (\mathbf{p}, \mathbf{q}))$ is rigd, by definition. This completes the proof of the lemma.

▶ Corollary 7. Let (p, q) be an embedding of some 3 + m vertices in \mathbb{R}^2 , with $m \geq 5$, $p = (p_1, p_2, p_3)$, $q = (q_1, \ldots, q_m)$. Suppose that for every neighborhood B of (p, q) (in $(\mathbb{R}^2)^{3+m}$), there exists $(p', q') \in B$ such that the following holds: The lines $L_{p,p'} := \{\ell_{p_i,p'_i} \mid i = 1, 2, 3\}$ and $L_{q,q'} := \{\ell_{q_i,q'_i} \mid i = 1, \ldots, m\}$ lie on a (common) doubly ruled surface Q in \mathbb{R}^3 . Assume further that the lines of $L_{p,p'}$ lie on one ruling of the surface Q and the lines of $L_{q,q'}$ on the other ruling of Q. Then the embedding (p,q) is supported by a pair of lines in \mathbb{R}^2 .

Proof. Let (\mathbf{p}, \mathbf{q}) be an embedding of some 3+m vertices as in the statement. By assumption, for every neighborhood B of (\mathbf{p}, \mathbf{q}) there exists $(\mathbf{p}', \mathbf{q}') \in B$ and a doubly ruled surface Q, such that the lines of $L_{\mathbf{p},\mathbf{p}'}$ ie on one ruling of Q, and the lines of $L_{\mathbf{q},\mathbf{q}'}$ on the other ruling of Q. In particular, $\ell_{p_i,p_i'} \cap \ell_{q_j,q_i'} \neq \emptyset$, for every $i \in [3], j \in [m]$.

By the definition of the lines ℓ_{p_i,p'_i} , ℓ_{q_j,q'_j} , this implies that $||p_i - q_j|| = ||p'_i - p'_j||$ for every $i \in [3]$, $j \in [m]$. In other words, regarding (\mathbf{p}, \mathbf{q}) and $(\mathbf{p}', \mathbf{q}')$ as embeddings of the graph $K_{3,m}$, we see that the frameworks $(K_{3,m}, (\mathbf{p}, \mathbf{q}))$ and $(K_{3,m}, (\mathbf{p}', \mathbf{q}'))$ are equivalent. Note that these frameworks are not congruent, since the lines $L_{\mathbf{p},\mathbf{p}'} \cup L_{\mathbf{q},\mathbf{q}'}$ are neither concurrent nor coplanar.

Since such an embedding $(\mathbf{p}', \mathbf{q}')$ exists in every neighborhood B of (\mathbf{p}, \mathbf{q}) , we conclude that the framework $(K_{3,m}, (\mathbf{p}, \mathbf{q}))$ is not rigid. By Lemma 6, (\mathbf{p}, \mathbf{q}) is supported by a pair of lines in \mathbb{R}^2 . This completes the proof.

4 Point-line incidences in \mathbb{R}^3

We recall the following theorem of Guth and Katz [4].

- ▶ **Theorem 8** (Guth and Katz [4, Theorem 2.10]). Let L be a set of n lines in \mathbb{R}^3 , such that at most \sqrt{n} lines lie in any plane or any regulus. Then the number of 2-rich points in L is at most $O(n^{3/2})$.
- ▶ **Theorem 9** (Guth and Katz [4, Theorem 4.5]). Let L be a set of n lines in \mathbb{R}^3 , such that at most \sqrt{n} lines lie in any plane. Let $k \geq 3$. Then the number of points in \mathbb{R}^3 incident to at least k lines of L is at most $O(n^{3/2}k^{-2} + nk^{-1})$.

We need a slightly refined version of Theorem 8. We thank János Kollár for providing us with a detailed proof of the required statement; his proof (of, in fact, a slightly stronger statement) is given in the Appendix.

- ▶ **Theorem 10.** Let L be a set of n lines in \mathbb{R}^3 , such that:
 - (i) Every plane in \mathbb{R}^3 contains at most $\lceil n^{1/2} \rceil$ lines of L.
- (ii) Every regulus in \mathbb{R}^3 contains at most 2n pairs of intersecting lines. Then the number of 2-rich points in L is at most $O(n^{3/2})$.

Combining Theorem 9 and Theorem 10, we conclude:

- ▶ **Theorem 11.** Let L be a set of n lines in \mathbb{R}^3 , such that:
 - (i) Every plane in \mathbb{R}^3 contains at most $\lceil n^{1/2} \rceil$ lines of L.
- (ii) Every regulus in \mathbb{R}^3 contains at most 2n pairs of intersecting lines. Let $2 \le k \le n$. Then the number of points in \mathbb{R}^3 incident to at least k lines of L is at most $O(n^{3/2}k^{-2} + nk^{-1})$.

5 Proof of Theorem 1

Consider an embedding $\mathbf{p}=(p_1,\ldots,p_n)$ of the vertices of G in the plane, such that no three of the points are collinear. We prove the theorem by induction on the number, n, of vertices in G. We assume that G has $C_n n^{3/2}$ edges, and later optimize C_n , and get $C_n = C_0 \log n$, for some absolute constant C_0 , as in the statement of the theorem. For the induction's base cases, we take $C_3 \leq \cdots \leq C_{n_0}$ to be large enough so that for every $3 \leq k \leq n_0$ we will have $C_k k^{3/2} \geq {k \choose 2}$. This means that a graph G with k vertices and $C_k k^{3/2}$ edges, for $3 \leq k \leq n_0$, is necessarily the complete graph on k vertices. Since every framework of the complete graph is rigid, this proves the base case.

Assume that the statement is true for every n' with $3 \le n' \le n$ and we prove it for n.

An associated line configuration in \mathbb{R}^3

Let $\mathbf{p}' = (p'_1, \dots, p'_n)$ be another embedding of the vertices of G, taken from a neighborhood B of \mathbf{p} , with the property that for every edge $\{i, j\}$ of G, we have $||p_i - p_j|| = ||p'_i - p'_j||$. That is, we take \mathbf{p}' such that the frameworks (G, \mathbf{p}) and (G, \mathbf{p}') are equivalent. Assume further that each p'_i is taken from a small neighborhood of p_i so that in particular no three points of \mathbf{p}' are collinear. Moreover, we may assume that no triple p'_i, p'_j, p'_k is the reflection of p_i, p_j, p_k . Indeed, taking the neighborhoods of the points p_i sufficiently small we can ensure that the orientation (sign of the determinant of the vectors $\overrightarrow{p_ip_j}, \overrightarrow{p_ip_k}$) is the same in \mathbf{p} and in \mathbf{p}' for every triple i, j, k.

For each $i=1,\ldots,n$ put $\ell_i:=\ell_{p_i,p_i'}$ and consider the set of lines $L=\{\ell_1,\ldots,\ell_n\}$. Note that for every edge $\{i,j\}$ in G, the corresponding lines ℓ_i,ℓ_j necessarily intersect. The other direction is not true; that is, the lines ℓ_i,ℓ_j may intersect even if $\{i,j\}$ is not an edge in G.

Our assumptions on \mathbf{p} and \mathbf{p}' , combined with Lemma 3, imply that no three lines of L lie on a common plane.

We claim that taking the neighborhood B of \mathbf{p} to be sufficiently small, and taking $\mathbf{p}' \in B$, we can guarantee that no eight lines of L lie on a common regulus R with at least three lines on each of the rulings of R (note that this means in particular that, for any subset $L' \subset L$ of size $k \geq 8$, no regulus in \mathbb{R}^3 contains more than 2k pairs of intersecting lines of L'). Indeed, fix any ordered 8-tuple $\pi = (p_{i_1}, \ldots, p_{i_8})$ (a subset of the points of \mathbf{p}). Applying Corollary 7 (with m = 5), and using our assumption that no three points of \mathbf{p} are collinear, we get that for some neighborhood B_{π} of π , and for every $\pi' = (p'_{i_1}, \ldots, p'_{i_8}) \in B_{\pi}$, the lines $\{\ell_{p_{i_1}, p'_{i_1}}, \ldots, \ell_{p_{i_8}, p'_{i_8}}\}$ do not lie on a common regulus such that $\{\ell_{p_{i_1}, p'_{i_1}}, \ell_{p_{i_2}, p'_{i_2}}, \ell_{p_{i_3}, p'_{i_3}}\}$ lie on one ruling of the regulus and $\{\ell_{p_{i_4}, p'_{i_4}}, \ldots, \ell_{p_{i_8}, p'_{i_8}}\}$ on the other ruling of the regulus. Repeating this for each ordered 8-tuples of \mathbf{p} , we see that there exists a neighborhood B of \mathbf{p} such that the claim follows.

Note in addition that, by Corollary 4, if for every choice of \mathbf{p}' , in any arbitrarily small neighborhood of \mathbf{p} , the lines of L are concurrent, this means that the framework (G, \mathbf{p}) is rigid, and we are done. We therefore assume that the lines of L are not concurrent.

No dense subgraphs of G

Note that, by our induction hypothesis, if G contains a subgraph with $3 \le n' < n$ vertices and $C_{n'}(n')^{3/2}$ edges, we are done. Therefore we assume that every subgraph of G with $3 \le n' < n$ vertices has less than $C_{n'}(n')^{3/2}$ edges.

We call a point in \mathbb{R}^3 k-rich if it is incident to exactly k lines of L. Such a point is the intersection point of exactly $\binom{k}{2}$ pairs of lines, but possibly only a subset of those pairs correspond to edges of G. Our assumption that G has no dense subgraphs implies in particular, that for every k-rich point, with $3 \le k < n$, the number of pairs of lines meeting at that point that also form an edge in G is at most $C_k k^{3/2}$.

Clearly, every 2-rich point, is the intersection of exactly one pair of lines and hence corresponds to at most one edge of G. We set C_2 to satisfy $C_2 2^{3/2} \ge 1$.

For $t=2,\ldots,\log n$, let $E_t\subset E$ be the subset of edges that meet at a k-rich point for $2^{t-1}\leq k<2^t$. Clearly, we have $E=\bigcup_{t=2}^{\log n}E_t$. We apply Theorem 11 to upper bound $\sum_{t=1}^{\log(n/d)}|E_t|$, for some parameter d, which we choose later. We split the sum into two separate sums, according to which additive term in the bound from Theorem 11 dominates.

Edges meeting at a k-rich point, for $2 \le k \le n^{1/2}$

For $2 \le t < \frac{1}{2} \log n$, we have, by Theorem 11, that

$$|E_t| \le \frac{\rho n^{3/2}}{2^{2(t-1)}} \cdot C_{2^t} (2^t)^{3/2} = 4\rho C_{2^t} n^{3/2} \frac{1}{2^{t/2}},$$

where ρ is some absolute constant (given implicitly in Theorem 11). Thus

for some absolute constant ρ' .

Edges meeting at a k-rich point, for $n^{1/2} \le k \le n/d$

Similarly, for $\frac{1}{2}\log n \le t \le \log(n/d)$, where d > 2 is a parameter, we have

$$|E_t| \le \frac{\rho n}{2^{t-1}} \cdot C_{2^t} (2^t)^{3/2} = 2\rho C_{2^t} n 2^{t/2},$$

for some absolute constant ρ . Thus

$$\sum_{t=\lceil \frac{1}{2}\log n \rceil}^{\lfloor \log(n/d) \rfloor} |E_t| \le 2\rho C_{n/d} n \sum_{t=\lceil \frac{1}{2}\log n \rceil}^{\lfloor \log(n/d) \rfloor} 2^{t/2}$$

$$\le 2\rho C_{n/d} n \cdot \frac{2^{1/2} n^{1/4}}{2^{1/2} - 1} \left(\left(\frac{n^{1/2}}{d} \right)^{1/2} - 1 \right)$$

$$\le \frac{\rho''}{\sqrt{d}} C_{n/d} n^{3/2},$$

for some absolute constant ρ'' .

Combining the two inequalities above, we get

$$\sum_{t=2}^{\lfloor \log(n/d) \rfloor} |E_t| \le B \left(C_{n^{1/2}} + \frac{C_{n/d}}{\sqrt{d}} \right) n^{3/2}, \tag{3}$$

where $B := \max\{\rho', \rho''\}$ is an absolute constant. That is, (3) gives an upper bound on the number of edges of G that correspond to pairs of lines meeting at a k-rich point, with $2 \le k \le n/d$.

Recall our assumption that G has at least $C_n n^{3/2}$ edges (and each edge corresponds to a pair of meeting lines of L). We take C_n so that

$$C_n \ge 2B\left(C_{n^{1/2}} + \frac{C_{n/d}}{\sqrt{d}}\right).$$

With this choice, and in view of (3), we get that

$$\sum_{t=2}^{\lfloor \log(n/d) \rfloor} |E_t| \le \frac{1}{2} C_n n^{3/2}.$$

We conclude that at least half of the edges of G meet at a k-rich point, for k > n/d. In particular, there exists a point which is k-rich, with k > n/d.

αn -rich point

Assume first that there exists a point which is αn -rich, with $1/d \leq \alpha \leq 2/3$. Let L_1 denote the subset of αn lines going through this point. If the number of edges meeting at that point (i.e., the number of pairs of lines of L_1 that correspond to an edge in G) is at least $C_{\alpha n}(\alpha n)^{3/2}$, then we are done by induction. Consider the subset of lines $L_2 := L \setminus L_1$ that do not go through this αn -rich point. If the number of edges induced by L_2 is at least $C_{(1-\alpha)n}((1-\alpha)n)^{3/2}$, we are again done by induction. Finally, note that every line of L_2 intersects at most one line of L_1 . Otherwise, we would have three coplanar lines, contradicting our assumption. Therefore, the total number of edges we have is at most

$$C_{\alpha n}(\alpha n)^{3/2} + C_{(1-\alpha)n}((1-\alpha)n)^{3/2} + (1-\alpha)n,$$

which must be at least $C_n n^{3/2}$, by our assumption on the number of edges in G. Thus

$$C_{\alpha n}\alpha^{3/2} + C_{(1-\alpha)n}(1-\alpha)^{3/2} + (1-\alpha)n^{-1/2} \ge C_n.$$

Using $C_{\alpha n}$, $C_{(1-\alpha)n} \leq C_n$ (by monotonicity of the sequence C_n), this implies

$$C_n(\alpha^{3/2} + (1-\alpha)^{3/2}) + (1-\alpha)n^{-1/2} > C_n$$

or

$$\frac{1-\alpha}{C_n n^{1/2}} \ge 1 - \alpha^{3/2} - (1-\alpha)^{3/2}.$$
(4)

Using $1/d \le \alpha \le 2/3$, we have

$$\frac{1-\alpha}{C_n n^{1/2}} \leq \frac{d-1}{dC_n n^{1/2}}.$$

Combined with (4), the last inequality implies

$$1 - \alpha^{3/2} - (1 - \alpha)^{3/2} \le \frac{d - 1}{dC_n n^{1/2}}.$$
 (5)

Note that for every $0 < \alpha < 1$, the left-hand side of (5) is positive. Moreover, for every closed interval $[a, b] \subset [0, 1]$, with 0 < a < b < 1, the function $f(\alpha) = 1 - \alpha^{3/2} - (1 - \alpha)^{3/2}$ attains a minimum which is a positive number. Let $\delta_0 > 0$ denote the minimum of f over [1/d, 2/3]. Taking n_0 large enough (and recalling that $n \ge n_0$), the right-hand side of (5) can be guaranteed to be smaller than δ_0 (for any positive δ_0). This yields a contradiction to (5).

k-rich point, with k > 2n/3

Assume next that there exists a k-rich point with k > 2n/3. Fix such a point, and denote by m the number of lines not incident to this point. That is, we fix a (n-m)-rich point, with m < n/3. Note that $m \ge 1$, by our assumption that not all the lines of L are concurrent.

Similar to the analysis in the previous case above, if the number of edges meeting at the given n-m rich point is at least $C_{n-m}(n-m)^3/2$, then we are done by induction. Thus, we assume this is not the case. Note that in this case, and if m=2, we get that in this case the total number of edges in G is at most

$$C_{n-2}(n-2)^{3/2} + 1 + 2,$$

where here we used our assumption that no three lines of L lie on a common plane. So we must have

$$C_{n-2}(n-2)^{3/2} + 1 + 2 \ge C_n n^{3/2}$$

which implies

$$3 \ge C_n (n^{3/2} - (n-2)^{3/2}),$$

which yields a contradiction, taking C_n larger than some absolute constant. So we must have $m \geq 3$.

Next, if the number of edges among the m lines not incident to our (n-m)-rich point is at least $C_m m^{3/2}$, we are again done by induction. Otherwise, we have that the total number of edges is at most

$$C_{n-m}(n-m)^{3/2} + C_m m^{3/2} + m,$$

which, on the other hand, must be at least $C_n n^{3/2}$, since this is the total number of edges in G, by assumption. Using $C_m, C_{n-m} \leq C_n$, this implies

$$C_n(n-m)^{3/2} + C_n m^{3/2} + m \ge C_n n^{3/2}$$
 or
$$(n-m)^{3/2} + m^{3/2} + \frac{1}{C_n} m \ge n^{3/2}$$
 or
$$\frac{1}{C_n m^{1/2}} \ge \left(\frac{n}{m}\right)^{3/2} - 1 - \left(\frac{n}{m} - 1\right)^{3/2},$$

which implies

$$\frac{1}{C_n} \ge \left(\frac{n}{m}\right)^{3/2} - 1 - \left(\frac{n}{m} - 1\right)^{3/2}.\tag{6}$$

Consider the function $f(x) = x^{3/2} - 1 - (x-1)^{3/2}$. Note that f is monotone increasing in x, for $x \in [1, \infty)$. Thus, the inequality (6) implies

$$\frac{1}{C_n} \ge \min\left\{f\left(\frac{n}{m}\right) \mid 3 \le m \le n/3\right\} = f(3),$$

which yields a contradiction if C_n is larger than some absolute constant.

To summarize, in at least one of the two cases analyzed above it must be possible to apply the induction hypothesis; otherwise, in each of the two cases, we get a contradiction. This completes the proof of the theorem, for any monotone increasing function C_n satisfying

$$C_n \ge 2B \left(C_{n^{1/2}} + \frac{C_{n/d}}{\sqrt{d}} \right).$$

Solving the recurrence relation, one can take $C_n = C_0(\log n)^{\beta}$, for $\beta = \log_2(4B)$ and some absolute value $C_0 > 0$. Indeed, since we may choose $d \ge 4$ arbitrarily (but independently of n), we may assume that $\frac{2B}{\sqrt{d}} \le \frac{1}{2}$. Thus, any choice of C_n monotone increasing in n, will satisfy

$$\frac{1}{2}C_n \ge \frac{2B}{\sqrt{d}}C_{n/d}.$$

So we need to show that

$$\frac{1}{2}C_n \ge 2BC_{n^{1/2}}.$$

That is, we need to show

$$\frac{1}{2}C_0(\log n)^{\beta} \ge 2BC_0(\log n^{1/2})^{\beta} = 2BC_0\frac{1}{2\beta}(\log n)^{\beta},$$

which is equivalent to requiring $2^{\beta} \ge 4B$ or $\beta \ge \log_2(4B)$, as claimed.

This completes the proof of the theorem.

6 Proof of Theorem 2

Let H_d be the graph induced by a hypercube in \mathbb{R}^d . That is, each vertex corresponds to a d-tuple in $\{0,1\}^d$, and a pair of vertices are connected by an edge if and only if the corresponding d-tuples are different by exactly one entry. So H_d has 2^d vertices and $d2^{d-1}$ edges.

We now describe an embedding \mathbf{p} of the vertices of H_d in \mathbb{R}^2 . For this, we start with an embedding $\bar{\mathbf{p}}$ of H in \mathbb{R}^d . We take the standard embedding of the hypercube, namely, we map a vertex with corresponding d-tuple (b_1, \ldots, b_d) , to the point (b_1, \ldots, b_d) in \mathbb{R}^d .

 \triangleright Claim 12. No three vertices of H_d are embedded by $\bar{\mathbf{p}}$ to a common line in \mathbb{R}^d .

Proof. Consider two distinct d-tuples (b_1, \ldots, b_d) and (b'_1, \ldots, b'_d) . Assume without loss of generality that $b_1 \neq b'_1$. Then, for every $t \in \mathbb{R} \setminus \{0, 1\}$, we have $tb_1 + (1 - t)b'_1 \notin \{0, 1\}$. Thus no other point on the line connecting (b_1, \ldots, b_d) and (b'_1, \ldots, b'_d) is a vertex of H_d .

Identify a point in \mathbb{R}^{2d} with a $2 \times d$ matrix, regarded as a linear transformation from \mathbb{R}^d to \mathbb{R}^2 . We define $\mathbf{p} := T \circ \bar{\mathbf{p}}$, where $T : \mathbb{R}^d \to \mathbb{R}^2$ is a linear transformation. We choose $T \in \mathbb{R}^{2d}$ so that with this choice no three distinct vertices of H_d are embedded by \mathbf{p} to a common line. To prove the existence of such T we need the following claim.

ightharpoonup Claim 13. Let $q_1, q_2, q_3 \in \mathbb{R}^d$ be three distinct non-collinear points. Then there exists an algebraic subvariety $Z \subset \mathbb{R}^{2d}$, of codimension at least one, such that for every $T \in \mathbb{R}^{2d} \setminus Z$, the points Tq_1, Tq_2, Tq_3 are not collinear.

Proof. There exists a polynomial, P, over 6 variables and with rational coefficients, such that, for every $p_1, p_2, p_3 \in \mathbb{R}^2$, $P(p_1, p_2, p_3) = 0$ if and only if the points p_1, p_2, p_3 are collinear. Namely, P is just the determinant of the 2×2 matrix with columns $p_2 - p_1$ and $p_3 - p_1$. Consider the equation

$$P(Tq_1, Tq_2, Tq_3) = 0. (7)$$

Since q_1, q_2, q_3 are given, this is an equation in the entries of T, which defines a subvariety of \mathbb{R}^{2d} .

It is easy to see that (7) is not identically zero. Indeed, consider a linear transformation T which maps the plane spanned by the vectors $q_2 - q_1, q_3 - q_1$ (this is a plane through the origin) to \mathbb{R}^2 injectively. Such T does not satisfy (7). Thus (7) defines a subvariety Z of \mathbb{R}^{2d} of codimension at least one. This proves the claim.

For every triple u_1, u_2, u_3 of vertices of H_d , we apply Claim 13 to the points $q_i := \bar{\mathbf{p}}(u_i)$ for i = 1, 2, 3. Let \mathcal{Z} be the family of algebraic subvariety of \mathbb{R}^{2d} of "bad" choices of T, given by applying Claim 13 to each triple of vertices. Since each element of \mathcal{Z} is of codimension at least one, and \mathcal{Z} is finite, the union of the elements of \mathcal{Z} does not cover \mathbb{R}^{2d} . Therefore,

there exists a choice of T that does not lie on any of the elements of Z. Using such T in the definition of \mathbf{p} , we get that no three distinct vertices of H_d are embedded by \mathbf{p} to a common line.

Finally, we claim that the framework (H_d, \mathbf{p}) does not have a rigid subframework of size larger than two. In fact, we prove the following stronger property.

ightharpoonup Claim 14. Let x, y be any pair of distinct vertices of H_d , such that $\{x, y\}$ is not an edge of H_d . Consider a neighborhood, B, of \mathbf{p} in \mathbb{R}^2 arbitrarily small. Then there exists an embedding $\mathbf{p}' \in B$, such that \mathbf{p} and \mathbf{p}' are equivalent, but $\|\mathbf{p}(x) - \mathbf{p}(y)\| \neq \|\mathbf{p}'(x) - \mathbf{p}'(y)\|$.

Proof. We prove the claim by induction on d. The base case d=2 is easy to see. Consider d>2. The vertices of H_d can be regarded as a disjoint union of two copies $H_{d-1}^{(1)}$, $H_{d-1}^{(2)}$ of H_{d-1} . Note that each vertex $u \in H_{d-1}^{(1)}$ can be associated with a vertex $u' \in H_{d-1}^{(2)}$, such that $\{u, u'\}$ is an edge in H_d . Moreover, note that by the definition of our embedding \mathbf{p} , all the edges of this form (edges between a vertex of $H_{d-1}^{(1)}$ and a vertex of $H_{d-1}^{(2)}$) have the same length ℓ .

Let x, y be a pair of distinct vertices of H_d such that $\{x, y\}$ is not an edge in H_d . Assume first that the pair x, y is in one of the copies of H_{d-1} , say in $H_{d-1}^{(1)}$. Let $\mathbf{q} := \mathbf{p}_{|_{H_{d-1}^{(1)}}}$ be the

embedding \mathbf{p} of H, restricted the subgraph $H_{d-1}^{(1)}$. By the induction hypothesis, for every arbitrarily small neighborhood of \mathbf{q} , there exists an embedding \mathbf{q}' in this neighborhood, such that \mathbf{q}, \mathbf{q}' are equivalent, but $\|\mathbf{q}(x) - \mathbf{q}(y)\| \neq \|\mathbf{q}'(x) - \mathbf{q}'(y)\|$. By the symmetry of $H_{d-1}^{(1)}$ and $H_{d-1}^{(2)}$ it is easy to see that this can be extended to an embedding \mathbf{p}' of H_d which is congruent to \mathbf{p} . This proves the claim in this case.

congruent to \mathbf{p} . This proves the claim in this case. Assume next that, say, $x \in H_{d-1}^{(1)}$, $y \in H_{d-1}^{(2)}$, and recall that $\{x,y\}$ is not an edge in H_d . Consider a neighborhood of \mathbf{p} , arbitrarily small. For each vertex $u \in H_{d-1}^{(1)}$, take a rotation r_u of the plane centered at u, with angle of rotation ε . We apply this rotation only to the (unique) vertex $u' \in H_{d-1}^{(2)}$ with the property that $\{u,u'\}$ is an edge in H_d . This induces a new embedding \mathbf{p}' of H_d . Clearly, taking $\varepsilon > 0$ sufficiently small, \mathbf{p}' is in the given neighborhood of \mathbf{p} . Moreover, since \mathbf{p}' applied to the vertices of $H_{d-1}^{(2)}$ is a translation of \mathbf{p}' applied to $H_{d-1}^{(1)}$, it is clear that by construction that \mathbf{p} and \mathbf{p}' are equivalent. Finally, we claim that for ε sufficiently small, we have $\|\mathbf{p}(x) - \mathbf{p}(y)\| \neq \|\mathbf{p}'(x) - \mathbf{p}'(y)\|$. To see this it is sufficient to restrict our attention to the vertices $x, y' \in H_{d-1}^{(1)}$ and $x', y \in H_{d-1}^{(2)}$, where $\{x, x'\}$ and $\{y', y\}$ are edges in H_d . Note that since $\{x, y\}$ is not an edge, x, x', y, y' are distinct. Also, by construction, $\|\mathbf{p}(x) - \mathbf{p}(y')\| = \|\mathbf{p}'(x') - \mathbf{p}'(y)\|$ and $\|\mathbf{p}(x) - \mathbf{p}(x')\| = \|\mathbf{p}'(x') - \mathbf{p}'(y)\|$. It is now easy to see, again by the construction of \mathbf{p}' that $\|\mathbf{p}(x) - \mathbf{p}(y)\| \neq \|\mathbf{p}'(x) - \mathbf{p}'(y)\|$, as claimed.

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A Appendix for "Dense graphs have rigid parts" by János Kollár*

Let \mathcal{L} be a set of m distinct lines in \mathbb{C}^3 . A weighted number of their intersection points is

$$I(\mathcal{L}) := \sum_{p \in \mathbb{C}^3} (r(p) - 1),$$

where r(p) denotes the number of lines passing through a point p. Our aim is to outline the proof of the following variant of [5, Theorem 6]. The difference is that, unlike in [5, Theorem 6], we allow more than $2c\sqrt{m}$ lines on a regulus (that is, a smooth quadric surface), but we restrict the number of intersections between them.

▶ Proposition 15. Let \mathcal{L} be a set of m distinct lines in \mathbb{C}^3 . Let c be a constant such that every plane contains at most $c\sqrt{m}$ of the lines and, for every regulus, the lines on it have at most c^2m intersection points with each other. Then

$$I(\mathcal{L}) \le \left(29.1 + \frac{c}{2}\right) \cdot m^{3/2}.$$

Proof. Following the method of [4], there is an algebraic surface S of degree $\leq \sqrt{6m} - 2$ that contains all the lines in \mathcal{L} . We decompose S into its irreducible components $S = \bigcup_j S_j$.

Now we follow the count as in [5, Paragraph 24]. The bound for external intersections (when a line not on S_j meets a line on S_j) is the same as in [5, Paragraph 18]. The remaining internal intersections (when a line on S_j meets a line on the same S_j) is done one surface at a time. The only change is with the count on a regulus, which is done in [5, Paragraph 19].

Thus let Q_j be a regulus that contains n_j lines. If $n_j \leq 2c\sqrt{m}$ then we use the formula on the bottom of p. 38: $I(\mathcal{L}_j) \leq \frac{c}{2}n_j\sqrt{m}$. If $n_j \geq 2c\sqrt{m}$ then we use that, by assumption

$$I(\mathcal{L}_j) \le c^2 m = 2c\sqrt{m} \frac{c}{2} \sqrt{m} \le n_j \frac{c}{2} \sqrt{m}.$$

So $I(\mathcal{L}_j) \leq \frac{c}{2} n_j \sqrt{m}$ always holds for every regulus and this is the only information about lines on a regulus that the proof in [5, Paragraph 24] uses. The rest of the proof is unchanged.

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