From gs-monoidal to oplax cartesian categories: constructions and functorial completeness

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Abstract

Originally introduced in the context of the algebraic approach to term graph rewriting, the notion of gs-monoidal category has surfaced a few times under different monikers in the last decades. They can be thought of as symmetric monoidal categories whose arrows are generalised relations, with enough structure to talk about domains and partial functions, but less structure than cartesian bicategories. The aim of this paper is threefold. The first goal is to extend the original definition of gs-monoidality by enriching it with a preorder on arrows, giving rise to what we call oplax cartesian categories. Second, we show that (preorder-enriched) gs-monoidal categories naturally arise both as Kleisli categories and as span categories, and the relation between the resulting formalisms is explored. Finally, we present two theorems concerning Yoneda embeddings on the one hand and functorial completeness on the other, the latter inducing a completeness result also for lax functors from oplax cartesian categories to **Re**l.

Keywords: gs-monoidal category, cartesian bicategory, Kleisli category, span category, Yoneda embedding, functorial completeness

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1 Introduction

The notion of *gs-monoidal category* was originally introduced in the context of the algebraic approach to term graph rewriting [1, 2]. Their study was pursued in a series of papers (see e.g. [3, 4] among others), including their application to the functorial semantics of relational and partial algebras [5].

Briefly, gs-monoidal categories are symmetric monoidal categories equipped with two families of arrows, a duplicator $\nabla_A \colon A \to A \otimes A$ and a discharger $!_A \colon A \to I$ for each object A, subject to a few coherence axioms. These arrows are not required to be natural in A, and this fact is precisely what accounts for the difference between considering terms as trees or as graphs. In fact, it is often observed that if naturality holds, then the monoidal product is the categorical product, and thus the category is cartesian monoidal [6], a structure used in Lawvere theories [7] to represent abstractly functional algebraic operations and their compositions. From this perspective, the lack of naturality of duplicators and dischargers in gs-monoidal categories leads one to think of their arrows as generalised relations and partial functions instead.

Conceptually, gs-monoidal categories are a weaker version of cartesian bicategories [8, 9], lacking the dual arrows for duplicators and dischargers. The relevance of cartesian bicategories to mathematics and computer science increased in the last years, see e.g. [10-12], and the notion of gs-monoidality has surfaced a few times under different monikers. The simplicity of this notion and its pervasiveness has led several authors to investigate such structures, in some cases independently developed. This is the case for the work by Golubtsov [13], whose categories of information transformers are very similar to gs-monoidal categories. More recently, gs-monoidal categories appeared as copy-discard categories [14]. Their affine variant is the basis for a recent approach to categorical probability, where these categories are dubbed Markov categories [15] based on the interpretation of the arrows as generalised Markov kernels.

There is a conceptual hierarchy of categories, from symmetric monoidal to cartesian ones, sketched in the following diagram, with forgetful functors going upwards



Indeed as already mentioned, a gs-monoidal category is a symmetric monoidal category with a duplicator ∇_A and a discharger $!_A$ arrow for each object A, subject to a few coherence axioms but not to naturality; Markov categories are gs-monoidal categories where the discharger is natural; and dually restriction categories with restriction products [16] are precisely those where the duplicator is natural, as explained later in Section 2. Finally, if both dischargers and duplicators are natural, the monoidal product is the categorical product and thus we get cartesian monoidal categories.

Motivated by the current interest in these categorical structures, in this work we aim to explore more in depth the original notion in several directions. We first provide an overview of the main characteristics of gs-monoidality and of its preorder-enriched version, which culminates in the introduction of *oplax cartesian categories*. Our presentation adopts the graphical formalism of string diagrams, and it highlights the main properties underlying gs-monoidal categories. This also facilitates a simple treatment of the connection with a well-established proposal for the categorical modelling of partiality, restriction categories [16–18].

We then explore two different settings in which the gs-monoidal and oplax cartesian structures naturally arise, namely, Kleisli categories and span categories. Similarly to what was observed in [15] in the context of Markov categories and affine monads, the Kleisli category of a commutative monad on a gs-monoidal category \mathcal{A} is shown to be gs-monoidal. Moreover, for a suitable notion of gs-monoidal monad T on an oplax cartesian category \mathcal{A} , we show that the canonical functor $\mathcal{A}_T \to \mathcal{A}$ preserves the oplax cartesian structure.

Moving on, we generalise an almost folklore result, namely, that the category $\mathbf{PSpan}(\mathcal{A})$, obtained from the bicategory of spans of a category with finite limits \mathcal{A} by identifying arrows whenever they are isomorphic as spans and by taking the preorder reflection of the 2-cells, is gs-monoidal and admits a canonical oplax cartesian structure. We thus obtain a gs-monoidal functor between Kleisli and span categories.

Finally, we turn our attention to functorial completeness questions for preorderenriched gs-monoidal categories: which types of functors are needed in order to distinguish any two distinct morphisms? Our first step in this direction is to formulate and prove a gs-monoidal Yoneda embedding. Our second one is to obtain a functorial completeness theorem with respect to suitable bilax functors [19], which induces a completeness theorem also for functors of oplax cartesian categories into **Rel** and thus offers a tool for exploiting gs-monoidal and oplax cartesian categories in the setting of functorial semantics for relational and partial algebras, much in the spirit of [5].

Overview

Section 2 recalls the basic notions of gs-monoidal categories and overviews their main properties. Section 3 introduces preorder-enriched gs-monoidal categories and oplax cartesian categories, setting the stage for our later results. Section 4 presents a characterisation of Kleisli and span categories having the gs-monoidal and oplax cartesian structure, respectively, and provides a formal link between those categories via an oplax cartesian functor. Section 5 shows a gs-monoidal Yoneda embedding and a completeness result for oplax cartesian categories. Section 6 discusses future work.

The reader may find some categorical background in Appendices A–B.

2 Background on gs-monoidal categories

Originally introduced in the context of algebraic approaches to term graph rewriting [1, 2], the notion of *gs-monoidal category* has been developed in a series of papers [3–5]. We recall here the basic definition adopting the graphical formalism of string diagrams, referring to [20] for an overview of various notions of monoidal categories and their associated diagrammatic calculus.

Definition 2.1 (gs-monoidal category). A gs-monoidal category C is a symmetric monoidal category, where we denote by \otimes the tensor product and by I the unit, such that every object A of C is equipped with arrows

$$\underbrace{A}_{\bullet} \bullet : A \to A \otimes A \qquad \underbrace{A}_{\bullet} \bullet : A \to I$$

which satisfy the commutative comonoid axioms



Symbolically, we also write $\nabla_A : A \to A \otimes A$ for the first structure arrow above and call it **duplicator**, and similarly $!_A : A \to I$ for the **discharger**.

Remark 2.2. The monoidal multiplicativity equations come in two pairs, one pair specifying the duplicator and discharger on a tensor product object and one for doing so on the monoidal unit I. However, the two equations for I imply each other upon using the counitality axiom (bottom-right of (2)), thus one of them could be omitted. **Remark 2.3.** Following the style of presentation of string diagrams, the axioms are given for *strict* monoidal categories, i.e. where the coherence isomorphisms for associativity and unitality are identities. This is without loss of generality, either by considering the strictification of the categories at hand [21, Proposition 3.28] or by adding the required coherence isomorphisms to the axioms.

Example 2.4. If C is the category of commutative comonoids in a symmetric monoidal category A, with arrows given by the arrows of A without any further conditions, then C is a gs-monoidal category in a canonical way: duplicators and dischargers are given by the comultiplications and counits of the comonoids, respectively. Then the commutative comonoid equations (2) hold by definition of commutative comonoid, and the monoidal multiplicativity equations (3) hold by definition of the monoidal product of commutative comonoids.

As for functors between symmetric monoidal categories, also functors between gsmonoidal categories come in several variants. The definitions that follow refer to lax, oplax, strong and strict monoidal functors (see e.g. [19]) recalled in Appendix A. **Definition 2.5.** For gs-monoidal categories C and D, a functor $F: C \to D$ is

1. lax gs-monoidal if it is equipped with a lax symmetric monoidal structure

$$\psi \colon \otimes \circ (F \times F) \to F \circ \otimes, \qquad \psi_0 \colon I \to F(I)$$

such that the following diagrams commute



2. oplax gs-monoidal if it is equipped with an oplax symmetric monoidal structure

$$\phi \colon F \circ \otimes \to \otimes \circ (F \times F), \qquad \phi_0 \colon F(I) \to I$$

such that the following diagrams commute

$$F(A) \xrightarrow{F(\nabla_A)} F(A \otimes A) \qquad FA \xrightarrow{F(!_A)} F(I)$$

$$\nabla_{FA} \xrightarrow{F(A) \otimes F(A)} \phi_{A,A} \qquad \qquad PA \xrightarrow{F(!_A)} F(I)$$

$$PA \xrightarrow{F(!_A)} F(I)$$

$$PA \xrightarrow{F(!_A)} F(I)$$

$$PA \xrightarrow{F(!_A)} F(I)$$

$$PA \xrightarrow{F(!_A)} F(I)$$

- 3. strong gs-monoidal if it is strong symmetric monoidal and the above diagrams commute;
- 4. strict gs-monoidal if it is strict symmetric monoidal, thus in particular $F(A \otimes B) = F(A) \otimes F(B)$ and F(I) = I, and satisfies

$$F(\nabla_A) = \nabla_{F(A)}, \qquad F(!_A) = !_{F(A)}.$$

As in the purely monoidal case, "gs-monoidal functor" without further qualification refers to the strong version.

Recall also the notion of *bilax symmetric monoidal* functor from Definition A.3. We then obtain the definition of **bilax gs-monoidal functor** upon adding the four commutative triangles above to that definition.

2.1 Total and functional arrows, domains

In this section, we investigate the categorical structure of functional and total arrows. This allows us to explore the connection between gs-monoidal categories, Markov categories, restriction categories [17], p-categories [22], and cartesian monoidal categories, as depicted in (1).

Definition 2.6. Let C be a gs-monoidal category. An arrow $f: A \to B$ is C-total if

and C-functional if

$$A f B = A f B$$

We denote the subcategory of C-functional arrows by C-Fun, the one of C-total arrows by C-Total, and the one of C-total and C-functional arrows by C-TFun.

Example 2.7. If C is the gs-monoidal category of commutative comonoids in a symmetric monoidal category A, i.e. the full subcategory of A whose objects are the commutative comonoids of A (see Example 2.4), then the total arrows are the counital ones, the functional arrows the comultiplicative ones, and therefore C-**TFun** is the category of commutative comonoids and comonoid homomorphisms in A.

Example 2.8. The category **Rel** of sets and relations with the monoidal structure \otimes : **Rel** × **Rel** \rightarrow **Rel** given by the cartesian product of sets is gs-monoidal [5]. In particular, **Rel**-functional arrows are precisely partial functions, **Rel**-total arrows are precisely total relations, and **Rel**-total, **Rel**-functional arrows are precisely functions. **Example 2.9.** Every Markov category \mathcal{M} in the sense of [15, 23] is gs-monoidal. In fact, Markov categories are exactly those gs-monoidal categories whose monoidal unit I is terminal, or equivalently those for which every arrow is \mathcal{M} -total. The \mathcal{M} -functional arrows in the sense of Definition 2.6 are precisely those called *deterministic* there.

We note a useful property of C-total and C-functional arrows, which generalise corresponding observations for Markov categories [15, Lemma 10.12].

Proposition 2.10. Let C be a gs-monoidal category. Then C-Fun and C-Total are gs-monoidal subcategories of C.

Proof. Closure under composition and \otimes is straightforward. To finish the proof, we show that both ! and ∇ are C-total and C-functional: every arrow !_A is C-total because !_I!_A = id_I!_A since !_I = id_I by Definition 2.1. Moreover !_A is C-functional because



by strictness of C and by the axioms of Definition 2.1. The same calculation also shows that every duplicator ∇_A is C-total. It is C-functional by the first monoidal multiplicativity axiom combined with the commutative comonoid equations.

We recall a few almost folklore properties (see e.g. [6, 13, 24]) needed later on. **Proposition 2.11.** Let C be a gs-monoidal category. Then

- 1. the subcategory C-Total of C-total arrows has weak binary products given by \otimes ;
- 2. the subcategory C-TFun of C-functional and C-total arrows is cartesian monoidal.
- *Proof.* 1. Let us consider two objects A and B of C and show that $A \otimes B$ is a weak product in C-**Total** with respect to the projections $\mathrm{id}_A \otimes !_B \colon A \otimes B \to A$ and $!_A \otimes \mathrm{id}_B \colon A \otimes B \to B$, i.e.



By Proposition 2.10, these projections are C-total arrows, since they are monoidal products of C-total arrows.

Now let us consider two C-total arrows $h: C \to A$ and $g: C \to B$. First, observe that the arrow



is \mathcal{C} -total by Proposition 2.10. To conclude the statement, it is enough to show that



Now notice that the first equation holds because, since g is total, we have that



Similarly one checks that the second equality holds, and hence conclude the proof.

2. For any two objects A and B of C, we show that $A \otimes B$ is the categorical product of A and B in C-**TFun** with projections $\mathrm{id}_A \otimes !_B \colon A \otimes B \to A$ and $!_A \otimes \mathrm{id}_B \colon A \otimes B \to B$. First, by the previous point, we know that this defines a weak product in C-**Total**, and thus in particular in C-**TFun**, since if f and g are C-functional and C-total, then again so is $(f \otimes g) \nabla_C$ by Proposition 2.10.

We still have to prove the uniqueness part of the universal property. So suppose that there exists another C-total, C-functional arrow $h: C \to A \otimes B$ such that $(\mathrm{id}_A \otimes !_B)h = f$ and $(!_A \otimes \mathrm{id}_B)h = g$. Then we have

$$(f \otimes g)\nabla_C = [(\mathrm{id}_A \otimes !_B)h \otimes (!_A \otimes \mathrm{id}_B)h]\nabla_C$$
$$= (\mathrm{id}_A \otimes !_B \otimes !_A \otimes \mathrm{id}_B)(h \otimes h)\nabla_C.$$

Since h is C-functional, we can further evaluate to

$$(f \otimes g)\nabla_C = (\mathrm{id}_A \otimes !_B \otimes !_A \otimes \mathrm{id}_B)\nabla_{A \otimes B}h = h.$$

Remark 2.12. Let us consider a gs-monoidal category C and a C-total arrow $f: A \to B$. Notice that the diagram



commutes with both $h := \nabla_B f$ and $h := (f \otimes f) \nabla_A$. If f is non-functional, then these arrows are different. So the subcategory *C*-**Total** has weak binary products by Proposition 2.11, but in general these are not categorical products.

Let us also note that gs-monoidal categories have enough structure to properly express a notion of *domain* of arrows.

Definition 2.13. Let C be a gs-monoidal category and $f: A \to B$ in C. The domain of f is the arrow dom $(f) := (id_A \otimes !_B f) \nabla_A : A \to A$, graphically



The motivation behind this particular definition is that in **Rel**, for a relation R from A to B, the arrow dom $(R) = (id_A \times !_B R) \nabla_A$ is the relation representing the domain of definition of R, i.e.

$$a \operatorname{dom}(R)a' \iff a = a' \text{ and } \exists b \in B : aRb.$$

As we will show, the arrow dom(f) in a gs-monoidal category enjoys several algebraic properties that generalise those of the usual notion of domain of a relation. A

first property we expect to hold from a morphism that abstracts the notion of domain of a relation is that the domain of a total arrow has to be the identity. **Proposition 2.14.** Let C be a gs-monoidal category and $f: A \to B$. Then

- 1. if f is C-functional, then dom(f) is C-functional;
- 2. f is C-total if and only if dom $(f) = id_A$.

Proof. 1. By Proposition 2.10 applied to the definition of dom(f). 2. If f is C-total, then $!_B f = !_A$, hence



by the second axiom of gs-monoidal categories. Conversely, if dom $(f) = \mathrm{id}_A$, then $!_A \operatorname{dom}(f) = !_A$, hence $!_A(\mathrm{id}_A \otimes !_B f) \nabla_A = !_A$. Since



we can conclude that $!_B f = !_A$, i.e. that f is C-total.

Remark 2.15. The notion of domain allows us to state the precise relation between gs-monoidal categories, restriction categories [17] and p-categories [22]. Given the remarks on commutative comonoids provided in Examples 2.4 and 2.7, we can exploit a relevant result of Cockett and Lack [16, Thm 5.2] to conclude that when C is a gs-monoidal category, the subcategory C-Fun of C-functional arrows is a restriction category, with the restriction structure given by dom(-). Moreover, this category has restriction products, which in particular implies the equation dom($f \otimes g$) = dom(f) \otimes dom(g). Furthermore, C-Fun also is a p-category with one-element object (given by I), where the diagonal is given by ∇ and the two projections by the families of arrows of the form id \otimes ! and ! \otimes id, respectively.

This fact, combined with the remark in [16, p. 29] that explains how in a restriction category with restriction products every object has a canonical cocommutative comonoid structure (in the symmetric monoidal category), the duplicator is natural and total maps are precisely the counit-preserving ones (this fact is due to an observation of Carboni [25]), allows to conclude that restriction categories with restriction products correspond exactly to gs-monoidal categories whose duplicator is natural. **Remark 2.16.** In **Rel**, domains have an additional property given by the equation $f \operatorname{dom}(f) = f$, namely

$$A \underbrace{f}_{B} = A \underbrace{f}_{B}$$

$$(4)$$

which holds even for non-functional f. However, this equation does not hold for arrows in gs-monoidal categories in general. For example, the Kleisli category of the multiset

monad on **FinSet** is a gs-monoidal category in a canonical way (by Proposition 4.4), and its arrows $A \to B$ can be identified with functions $A \times B \to \mathbb{N}$ that compose via convolution. The above (4) does not hold there: already with A = B = I a oneelement set, where f is determined by a natural number, we have the number itself on the right but its square on the left. We will return to this issue in Section 3 after introducing preorder-enriched gs-monoidal categories.

3 Oplax cartesian categories

We have seen that gs-monoidal categories enjoy some features of **Rel** with respect to total and functional arrows. Our next step is to show how to build on the notion of gs-monoidality in order to account for the usual preorder-enrichment of **Rel**. This extension will be pivotal later on, e.g. for our functorial completeness theorem. And while the notion of domain we discussed in the previous section lacks some of the properties of domains in **Rel**, in particular the one noted in Remark 2.16, we will see that this property can be recovered in terms of preorder enrichment.

Definition 3.1. A preorder-enriched gs-monoidal category C is a gs-monoidal category C that is at the same time a preorder-enriched monoidal category.

Recall that a preorder-enriched monoidal category consists of a preorder-enriched category \mathcal{C} , an object I of \mathcal{C} , a preorder-enriched functor $\otimes : \mathcal{C} \times \mathcal{C} \to \mathcal{C}$, and enriched natural monoidal structure isomorphisms

$$\lambda: I \otimes - \to \mathrm{id}_{\mathcal{C}}, \qquad \rho: - \otimes I \to \mathrm{id}_{\mathcal{C}}, \qquad \alpha: (- \otimes -) \otimes - \to - \otimes (- \otimes -)$$

such that the underlying category equipped with the underlying functor \otimes , the object I, and the natural isomorphisms λ , ρ and α is a monoidal category (see [26] for details). Since a preorder-enriched functor is just an ordinary functor that is in addition monotone, the preorder structure and the monoidal structure are required to interact by the monotonicity of the tensor product \otimes ; the preorder-enrichment of the structure isomorphisms λ , ρ and α does not add any additional conditions since preorder-enrichment for natural transformations between preorder-enriched functors is trivial.

In a general preorder-enriched gs-monoidal category, no further compatibility with the gs-monoidal structure is required. However, in many (but not all) examples, also the following compatibility holds.

Definition 3.2. An oplax cartesian category C is a preorder-enriched gs-monoidal category C such that the following inequalities hold¹ for every arrow $f: A \to B$



¹Viewing a preorder-enriched category as a 2-category, the inequalities state that the families of arrows ∇_A and $!_A$ are the components of an *oplax natural transformation*. The connection between these inequalities and rewriting is explored in [4].

The notion of oplax cartesian category is reminiscent of cartesian bicategories in the sense of [8, Definition 1.2]. In particular, there are two differences between these two notions: the first one is that the existence of right adjoints for ∇ and ! is not required in Definition 3.2, while it is a crucial part of the definition of a cartesian bicategory (see point (M) of [8, Definition 1.2]). The second one is that the homcategories of an oplax cartesian category are required to be preorders, while cartesian bicategories are originally required to be poset-enriched.

Example 3.3. Rel has a natural preorder-enriched structure with the preorder given by the set-theoretic inclusions between relations. Moreover, for every relation $R: A \rightarrow B$ we trivially have the inequalities discussed in Definition 3.2. Hence **Rel** is an oplax cartesian category. On the other hand, reversing the preorder on every hom-set of **Rel** gives a preorder-enriched gs-monoidal category that is clearly not oplax cartesian.

For a more trivial example, any gs-monoidal category C is preorder-enriched with the trivial preorder. Then C is oplax cartesian if and only if it is cartesian monoidal. **Definition 3.4.** Let C be a preorder-enriched category and $f, g: A \to B$. Then f and g are **preorder equivalent**, denoted by $f \approx g$, if $f \leq g$ and $g \leq f$.

Definition 2.6 can be generalised straightforwardly.

Definition 3.5. Let C be an oplax cartesian category. An arrow $\underline{A} = \underline{f} \underline{B}$ is weakly C-total if

and weakly C-functional if



Definition 3.4 lets us prove that, even if $f \operatorname{dom}(f)$ is different from f in general, these two arrows are preorder equivalent in any oplax cartesian category.

Proposition 3.6. Let C be an oplax cartesian category. For every arrow $f: A \to B$ we have that $\operatorname{dom}(f) \leq \operatorname{id}_A$ and $f \operatorname{dom}(f) \approx f$, graphically



Proof. 1. By definition of oplax cartesian category we have the inequality $!_B f \leq !_A$, and thus





2. The inequality $f \operatorname{dom}(f) \leq f$ follows by the previous point. We can prove the reverse $f \leq f \operatorname{dom}(f)$ by observing that

$$-\underline{A} \quad \underline{f} \quad \underline{B} \quad = \quad \underline{A} \quad \underline{f} \quad \underline{B} \quad \underline{B} \quad \underline{f} \quad \underline{B} \quad \underline{$$

The first equality holds by the last axiom in (2), while the inequality follows by definition of oplax cartesian category. \Box

We next provide a uniqueness result for duplicators and dischargers.

Proposition 3.7. Let C be an oplax cartesian category with structure arrows ∇ and !. If C admits the structure of oplax cartesian category given by the same monoidal structure and the same preorder, but with structure arrows ∇' and !, then $\nabla_A \approx \nabla'_A$ and $!_A \approx !'_A$ for every object A of C.

Proof. Let us consider the two operators ! and !'. For every object A, we have

$$!'_A = !_I !'_A \le !_A$$

where the first equation is simply by $!_I = id_I$ and the inequality is by oplax cartesianity. We then obtain $!'_A \approx !_A$ by symmetry.

With a similar argument we can prove that $\nabla_A \approx \nabla'_A$.

Example 3.8. Given a (plain) gs-monoidal category C, one can consider the monoidal preorder enrichment *generated* by the inequalities of Definition 3.2, i.e. the smallest preorder on every hom-set which makes both composition and \otimes monotone and satisfies those inequalities. In this way, C becomes oplax cartesian in a canonical way.

An interesting case of this construction is **FinStoch**, the Markov category of finite sets and stochastic maps. In this case, it was shown by Dario Stein (personal communication) that the preorder enrichment generated like this recovers the *support* of a stochastic matrix: for stochastic matrices $f, g: X \to Y$ we have $f \leq g$ if and only if f(y|x) > 0 implies g(y|x) > 0 for all $x \in X$ and $y \in Y$. It thus follows that **FinStoch** \approx , the quotient of **FinStoch** by preorder equivalence, is a gs-monoidal category isomorphic to **FinRel**.

We now conclude this section with a discussion of functors between preorderenriched gs-monoidal categories and oplax cartesian categories. The various notions of gs-monoidal functor introduced in Definition 2.5 can be also used in the context of preorder-enriched gs-monoidal categories, with the only difference that F is additionally required to be a preorder-enriched functor, which amounts to monotonicity on hom-sets. On functors between oplax cartesian categories, one often has additional inequalities, which take the following form.

Definition 3.9. Let C and D be oplax cartesian categories and $F: C \to D$ a preorderenriched functor. Then

1. F is colax cartesian² if it is a lax symmetric monoidal functor with structure arrows ψ, ψ_0 such that the following inequalities hold



2. *F* is colax opcartesian if it is an oplax symmetric monoidal functor with structure arrows ϕ , ϕ_0 such that the following inequalities hold

3. F is colax bicartesian if it is colax cartesian and colax opeartesian in such a way as to become bilax monoidal (Definition A.3).

4 Kleisli categories are gs-monoidal

In recent years, strong monads and Kleisli categories have been used to provide categorical models in several branches of computer science. The leading example is Moggi's work [27, 28] on an abstract approach to the notion of computation. We refer to [29–31] for introductions to the theory of monads and to [32, 33] for more details. Appendix B offers a short recap of the main definitions. We start by recalling some relevant and known examples of Kleisli categories.

Example 4.1. Rel is the Kleisli category of the powerset monad, where its underlying functor $P: \mathbf{Set} \to \mathbf{Set}$ sends every set X to its powerset P(X).

Example 4.2. The category of sets and stochastic maps (with pointwise finite support) is the Kleisli category of the finite distribution monad $\mathcal{D} \colon \mathbf{Set} \to \mathbf{Set}$, sending a set X to the set $\mathcal{D}(X)$ of finitely supported probability measures on X.

Example 4.3. The category of measurable spaces and Markov kernels is the Kleisli category of the Giry monad $\mathcal{G}: \mathbf{Meas} \to \mathbf{Meas}$, where **Meas** denotes the category of measurable spaces and measurable functions, see [34, 35].

Notation. To avoid confusion between the arrows of \mathcal{A} and the arrows of a Kleisli category \mathcal{A}_T , we adopt the notation $f^{\sharp} \colon A \to T(B)$ for the representative in \mathcal{A} of an arrow $f \colon A \to B$ in \mathcal{A}_T [23]. We often define a Kleisli arrow f by specifying its representative f^{\sharp} . The definition of Kleisli composition then amounts to the equation $(g \circ f)^{\sharp} = \mu \circ T(g^{\sharp}) \circ f^{\sharp}$.

Given a monad (T, μ, η) on a symmetric monoidal category \mathcal{A} , it is well known (see e.g. [36]) that the Kleisli category \mathcal{A}_T inherits a symmetric monoidal structure precisely when the monad is commutative. If the base category \mathcal{A} is cartesian monoidal,

²The use of "colax" here refers to the direction of the 2-cell, namely from $F(\nabla_A)$ to $\psi_{A,A} \circ \nabla_{FA}$.

¹³

then the induced monoidal product in \mathcal{A}_T may not be cartesian. A simple example is the powerset monad $P: \mathbf{Set} \to \mathbf{Set}$ on the category of sets and functions of Example 4.1, whose Kleisli category \mathbf{Set}_P is exactly **Rel**, and the categorical product on **Set** induces a monoidal product on **Rel** given by the cartesian product. The cartesian product of sets is not the categorical product in **Rel**, but just a monoidal product.

Thus a natural question is: what is the algebraic structure that is inherited from the base category by the Kleisli category of a commutative monad? Looking at the hierarchy of categories sketched in the introduction, spanning from symmetric monoidal to cartesian ones and including gs-monoidal, Markov and restriction categories (with restriction products), we answer that gs-monoidality is inherited, while the naturality of dischargers or duplicators is not.

Proposition 4.4. Let (T, μ, η) be a commutative monad on a gs-monoidal category \mathcal{A} . Then the Kleisli category \mathcal{A}_T is a gs-monoidal category with duplicators and dischargers given for every object A by

$$\nabla^{\sharp}_{A} := \eta_{A \otimes A} \nabla_{A}, \qquad !^{\sharp}_{A} := \eta_{I} !_{A}.$$

Proof. It is known that, under the current assumptions, the Kleisli category \mathcal{A}_T is a symmetric monoidal category. Now let us consider an object A of the Kleisli category \mathcal{A}_T . As mentioned in the statement, we define the arrow $\nabla_A \colon A \to A \otimes A$ of \mathcal{A}_T as represented by the arrow

$$A \xrightarrow{\nabla_A} A \otimes A \xrightarrow{\eta_{A \otimes A}} T(A \otimes A)$$

of \mathcal{A} . Similarly, we define the arrow $!_A \colon A \to I$ of \mathcal{A}_T as represented by the arrow

$$A \xrightarrow{!_A} I \xrightarrow{\eta_I} TI.$$

Although it is possible now to verify directly that the axioms of a gs-monoidal category are satisfied, there is a more concise and more insightful argument that works as follows.³ By definition, the duplicators and dischargers in \mathcal{A}_T are the images of those in \mathcal{A} under the inclusion functor $\mathcal{A} \to \mathcal{A}_T$, which is strict symmetric monoidal. It now suffices to note that a strict symmetric monoidal functor maps commutative comonoids to commutative comonoids, and the monoidal multiplicativity conditions (3) transfer from \mathcal{A} to \mathcal{A}_T for the same reason. Thus \mathcal{A}_T is a gs-monoidal category.

Remark 4.5. An equivalent choice for the arrow ∇_A^{\sharp} in Proposition 4.4 is $\nabla_A^{\sharp} := c_{A,A} \nabla_{T(A)} \eta_A$, for $c_{A,A} : T(A) \otimes T(A) \to T(A \otimes A)$ the canonical arrow defined via the commutative structure of the monad T, meaning the diagonal of the diagram in Definition B.4. It is straightforward to check that $c_{A,A} \nabla_{T(A)} \eta_A = \eta_{A \otimes A} \nabla_A$.

Remark 4.6. The above discussed example of the powerset monad on **Set** shows that for a commutative monad, the naturality of the discharger is not preserved in general by the Kleisli category construction (therefore \mathcal{A}_T is not a restriction category with

³See [15, Corollary 3.2], where this was previously used for Markov categories.

restriction products in general, even if \mathcal{A} is), and likewise for the duplicator. So \mathcal{A}_T is not a Markov category in general either, even if \mathcal{A} is.

In fact, if \mathcal{A} is a Markov category (Example 2.9) and (T, μ, η) is a commutative monad on \mathcal{A} , then the monoidal unit I is terminal in \mathcal{A}_T if and only if $T(I) \cong I$ in \mathcal{A} , a property known as T being an *affine* monad [37, 38]. In other words, if the monad T preserves the terminal object, every arrow of \mathcal{A}_T is \mathcal{A}_T -total and this makes \mathcal{A}_T into a Markov category [15, Corollary 3.2]. As an example, consider the nonempty powerset monad P^* : **Set** \to **Set**, associating to a set X the family of its non-empty subsets $P(X) \setminus \emptyset$: the arrows of the Kleisli categories are total relations, thus **Rel-Total** \cong **Set**_{P*}, and indeed we have that $T(I) \cong I$.

Remark 4.7. For representing functional relations in terms of Kleisli categories, it suffices to consider the lifting monad (also called maybe monad), associating with a set X the pointed set $X_{\perp} := X + 1$. Its Kleisli category is exactly **Rel-Fun**, the category of sets and partial functions.

Example 4.8. The category of measurable spaces and Markov kernels of Example 4.3 is gs-monoidal, and actually a Markov category, since the Giry monad \mathcal{G} : Meas \rightarrow Meas is an affine commutative monad with respect to the cartesian monoidal structure on Meas. This Kleisli category is often denoted by **Stoch**.

Similarly, the category of quasi-Borel spaces **QBS** is cartesian and the monad $P: \mathbf{QBS} \to \mathbf{QBS}$ of probability measures on it is an affine commutative monad, see [39] for all the details. Therefore the Kleisli category \mathbf{QBS}_P is a gs-monoidal category, and in fact a Markov category.

Now let us consider a commutative monad $T: \mathcal{A} \to \mathcal{A}$ on a gs-monoidal category \mathcal{A} , and let us denote by $c_{X,Y}: T(X) \otimes T(Y) \to T(X \otimes Y)$ the canonical lax symmetric monoidal structure defined via the commutative structure of T.

Definition 4.9. A commutative monad $T: \mathcal{A} \to \mathcal{A}$ is called **gs-monoidal monad** if $T(\nabla_A) = c_{A,A} \nabla_{T(A)}$ and $T(!_A) = \eta_I !_{T(A)}$ for every object A of \mathcal{A} .

Remark 4.10. Since $\psi_{X,Y} := c_{X,Y}$ together with $\psi_0 := \eta_I$ makes T into a lax symmetric monoidal functor (Remark B.5), Definition 4.9 can be equivalently introduced as requiring T to be a lax gs-monoidal functor.

We have seen in Proposition 4.4 that Kleisli categories for commutative monads on gs-monoidal categories inherit the gs-monoidal structure. In the case of gs-monoidal monads on cartesian categories, we obtain the following result.

Lemma 4.11. Let \mathcal{A} be a cartesian monoidal category and $T: \mathcal{A} \to \mathcal{A}$ a gs-monoidal monad. Then \mathcal{A}_T is cartesian monoidal too.

Proof. Let us consider an arrow $f: A \to B$ of \mathcal{A}_T . We first show that f is functional, meaning $\nabla_B \circ f = (f \otimes f) \circ \nabla_A$. Reasoning in terms of representing morphisms in \mathcal{A} , observe that

$$(\nabla_B \circ f)^{\sharp} = \mu_{B\otimes B} T(\eta_{B\otimes B} \nabla_B) f^{\sharp} = T(\nabla_B) f^{\sharp}.$$

By definition of the monoidal structure on \mathcal{A}_T , it is straightforward to check that

$$((f \otimes f) \circ \nabla_A)^{\sharp} = c_{B,B}(f^{\sharp} \otimes f^{\sharp}) \nabla_A.$$

Employing first the assumption that T is a gs-monoidal monad and that \mathcal{A} is cartesian, we have that

$$T(\nabla_B)f^{\sharp} = c_{B,B}\nabla_{T(B)}f^{\sharp} = c_{B,B}(f^{\sharp} \otimes f^{\sharp})\nabla_A.$$

Therefore we can conclude that $\nabla_B \circ f = (f \otimes f) \circ \nabla_A$. Similarly, one can check that $!_B f = !_A$ in \mathcal{A}_T .

Example 4.12. Let G be an abelian group. Then the functor $G \times -:$ Set \rightarrow Set is a commutative monad in a canonical way, and it is easily seen to be a gs-monoidal monad. And indeed the resulting monoidal structure on its Kleisli category is cartesian.

Note that starting from a Markov category \mathcal{A} and a gs-monoidal monad $T: \mathcal{A} \to \mathcal{A}$, \mathcal{A}_T is also Markov since the gs-monoidality implies that $!_I$ is inverse to η_I , making T affine. Restriction categories with restriction products are similarly preserved.

Now recall that given an arbitrary monad $T: \mathcal{A} \to \mathcal{A}$, it induces a pair of functors $F_T: \mathcal{A} \to \mathcal{A}_T$ and $G_T: \mathcal{A}_T \to \mathcal{A}$ such that $F_T \dashv G_T$ and $T = G_T F_T$, namely

- $F_T(X) := X$ and $F_T(f)^{\sharp} := \eta_B f$ for $f : A \to B$ in \mathcal{A} ; $G_T(X) := TX$ and $G_T(f) := \mu_B T(f^{\sharp})$ for $f : A \to B$ in \mathcal{A}_T .

When \mathcal{A} is gs-monoidal and T is commutative, the functor $F_T \colon \mathcal{A} \to \mathcal{A}_T$ is a strict gsmonoidal functor by definition of the gs-monoidal structure on \mathcal{A}_T . On the other hand, the functor $G_T: \mathcal{A}_T \to \mathcal{A}$ is not strict monoidal in general, but just lax monoidal with structure morphisms $\psi_{X,Y} := c_{X,Y} : T(X) \times T(Y) \to T(X \times Y)$ and $\psi_0 := \eta_I : I \to I$ T(I). The functor G_T is lax gs-monoidal precisely when the monad T is so.

Proposition 4.13. Let T be a commutative monad on a gs-monoidal category A. Then the Kleisli right adjoint $G_T \colon \mathcal{A}_T \to \mathcal{A}$ is a lax gs-monoidal functor if and only if T is a gs-monoidal monad.

Proof. We show first that ψ equips G_T with a lax symmetric monoidal structure, which is true for any commutative monad T on a symmetric monoidal category \mathcal{A}^{4} We start by checking the naturality of ψ , i.e. that for every arrow $f_1: A_1 \to B_1$ and $f_2: A_2 \to B_2$ of \mathcal{A}_T the following square commutes

$$\begin{array}{c|c} TA_1 \otimes TA_2 & \xrightarrow{\mu_{B_1}T(f_1^{\sharp}) \otimes \mu_{B_2}T(f_2^{\sharp})} & TB_1 \otimes TB_2 \\ \hline \\ c_{A_1,A_2} & & \downarrow \\ \hline \\ T(A_1 \otimes A_2) & \xrightarrow{\mu_{B_1} \otimes B_2 T(c_{B_1,B_2}(f_1^{\sharp} \otimes f_2^{\sharp}))} T(B_1 \otimes B_2) \end{array}$$

First notice that, by naturality of c, we have that

 $\mu_{B_1 \otimes B_2} T(c_{B_1, B_2}(f_1^{\sharp} \otimes f_2^{\sharp})) c_{A_1, A_2} = \mu_{B_1 \otimes B_2} T(c_{B_1, B_2}) c_{TB_1, TB_2}(T(f_1^{\sharp}) \otimes T(f_2^{\sharp})).$

 $^{^{4}}$ We expect this to be known, but we have not found a precise reference. The closest that we know of is [40, Proposition 28], which shows the analogous statement for the forgetful functor from the Eilenberg-Moore category \mathcal{A}^T , but under additional assumptions on T needed to make \mathcal{A}^T monoidal in the first place.

The other composite consisting of the upper horizontal arrow followed by the right vertical arrow can also be written as

$$c_{B_1,B_2}(\mu_{B_1}\otimes\mu_{B_2})(T(f_1^{\sharp})\otimes T(f_2^{\sharp})).$$

Therefore, to conclude the proof of the naturality, it is enough to show that

$$c_{B_1,B_2}(\mu_{B_1} \otimes \mu_{B_2}) = \mu_{B_1 \otimes B_2} T(c_{B_1,B_2}) c_{TB_1,TB_2}.$$

But this equation is exactly the statement that μ is a monoidal transformation, see e.g. [41, Definition C.2], which proves this statement from the standard equivalence between commutative monads and symmetric monoidal monads. Hence ψ provides a natural transformation. Similarly, it is straightforward but tedious to check that the associativity and unitality axioms of a lax symmetric monoidal functor (see Definition A.1) are satisfied.

Now, notice that, by definition, $G_T \colon \mathcal{A}_T \to \mathcal{A}$ is a lax gs-monoidal functor if and only if the following diagrams commute for all \mathcal{A} in \mathcal{A}_T



Since $\mu_{A\otimes A}T(\eta_{A\otimes A}) = \mathrm{id}_{T(A\otimes A)}$ and $\mu_I T(\eta_I) = \mathrm{id}_{T(I)}$, these two diagrams commute exactly when the monad T is a gs-monoidal monad.

Remark 4.14. If T is a gs-monoidal monad on a cartesian category \mathcal{A} , then \mathcal{A}_T is cartesian monoidal by Lemma 4.11, but $G_T : \mathcal{A}_T \to \mathcal{A}$ is generally just a lax gs-monoidal functor that is not strong gs-monoidal (see Example 4.12).

4.1 The oplax cartesian structure of Kleisli categories

Now we present an "oplax cartesian version" of Proposition 4.4. To achieve this goal, we introduce the following generalization of Definition 4.9.

Definition 4.15. Let \mathcal{A} be an oplax cartesian category. A preorder-enriched commutative monad $T: \mathcal{A} \to \mathcal{A}$ is called **colax cartesian monad** if $T(\nabla_A) \leq c_{A,A} \nabla_{TA}$ and $T(!_A) \leq \eta_I !_{TA}$ for every object A of \mathcal{A} .

So in terms of the lax symmetric monoidal structure of T of Remark 4.10, a preorder-enriched commutative monad is a colax cartesian monad if and only if the underlying functor is colax cartesian (see Definition 3.9).

Proposition 4.16. Let \mathcal{A} be an oplax cartesian category and $T: \mathcal{A} \to \mathcal{A}$ a colax cartesian monad. Then the Kleisli category \mathcal{A}_T equipped with the preorder given by

$$f \leq_{\mathcal{A}_T} g \iff f^{\sharp} \leq_{\mathcal{A}} g^{\sharp} \tag{5}$$

is oplax cartesian as well, and the functors

$$F_T: \mathcal{A} \to \mathcal{A}_T, \qquad G_T: \mathcal{A}_T \to \mathcal{A}$$

are colax cartesian (with F_T even in the strict sense).

Proof. 1. It is direct to check that \mathcal{A}_T is preorder-enriched with the preorder given by (5), based on the fact that \mathcal{A} is preorder-enriched and that T is a preorder-enriched functor. Similarly for the monotonicity of \otimes .

Checking the oplax cartesianity of \mathcal{A}_T is analogous to the proof of Lemma 4.11. So consider an arrow $f: A \to B$ of \mathcal{A}_T . We first show $\nabla_B \circ f \leq_{\mathcal{A}_T} (f \otimes f) \circ \nabla_A$. By definition of $\leq_{\mathcal{A}_T}$, this holds if and only if $(\nabla_B \circ f)^{\sharp} \leq_{\mathcal{A}} ((f \otimes f) \circ \nabla_A)^{\sharp}$. Note that

$$(\nabla_B \circ f)^{\sharp} = \mu_{B \otimes B} T(\eta_{B \otimes B} \nabla_B) f^{\sharp} = T(\nabla_B) f^{\sharp}.$$

By definition of the monoidal structure on \mathcal{A}_T , it is direct to check that

$$((f \otimes f) \circ \nabla_A)^{\sharp} = c_{B,B}(f^{\sharp} \otimes f^{\sharp}) \nabla_A$$

Employing first the assumption that T is a colax cartesian functor and then that \mathcal{A} is oplax cartesian, we have that

$$T(\nabla_B)f^{\sharp} \le c_{B,B}\nabla_{T(B)}f^{\sharp} \le c_{B,B}(f^{\sharp} \otimes f^{\sharp})\nabla_A.$$

Therefore we can conclude that $\nabla_B \circ f \leq_{\mathcal{A}_T} (f \otimes f) \circ \nabla_A$. Similarly, one can check that $!_B f \leq !_A$ in \mathcal{A}_T .

- 2. F_T is trivially colax cartesian since $F_T(\nabla_A) = \nabla_{F_T(A)}$ and $F_T(!_A) = !_{F(A)}$ by definition of the gs-monoidal structure on \mathcal{A}_T .
- 3. G_T is colax cartesian by $G_T(\nabla_A) = \mu_{A \otimes A} \circ T(\nabla_A^{\sharp}) = T(\nabla_A)$ and the assumption that T is a colax cartesian functor.

5 Span categories are oplax cartesian

Proposition 4.4 shows that the Kleisli category \mathcal{A}_T of a commutative monad T on a gs-monoidal category \mathcal{A} is gs-monoidal. Generalising previous results developed for specific categories (see e.g. [24]), we show now that for any category \mathcal{A} with finite limits, the category **PSpan**(\mathcal{A}), obtained by taking the preorder reflection of the 2cells of the bicategory of spans and identifying two arrows when they are isomorphic spans, is oplax cartesian.

Furthermore, we have the following comparison with Kleisli categories. By composing with the functor $G_T: \mathcal{A}_T \to \mathcal{A}$, we obtain a lax gs-monoidal functor $\mathcal{A}_T \to \mathbf{PSpan}(\mathcal{A})$, which moreover in many examples is actually faithful.

Let us start with the following standard definition.

Definition 5.1. Let \mathcal{A} be a category with pullbacks. Then the bicategory of spans $\mathbf{Span}(\mathcal{A})$ has the same objects as \mathcal{A} , and its arrows are defined as

• an arrow from X to Y is a span $(X \leftarrow A \rightarrow Y)$ of \mathcal{A} ;

- the identity of X is the span $X \xleftarrow{\operatorname{id}_X} X \xrightarrow{\operatorname{id}_X} X;$
- the composition of spans $X \leftarrow A \xrightarrow{f} Y$ and $Y \xleftarrow{g} B \rightarrow Z$ is given by the span $X \leftarrow A \times_{f,g} B \rightarrow Z$ obtained by taking the pullback of f and g;
- a 2-cell $\alpha : (X \leftarrow A \rightarrow Y) \Rightarrow (X \leftarrow B \rightarrow Y)$ is an arrow $\alpha : A \rightarrow B$ in \mathcal{A} such that the following diagram commutes



- vertical composition of 2-cells is given by composition in \mathcal{A} ;
- horizontal composition of 2-cells as well as associators and unitors are induced by the universal property of pullbacks.

Definition 5.2. Let \mathcal{A} be a category with pullbacks and $\text{Span}(\mathcal{A})$ its bicategory of spans. Then the preorder-enriched category $\text{PSpan}(\mathcal{A})$ has

- the same objects as A;
- isomorphism classes of arrows of **Span**(A) as arrows: spans $(X \stackrel{f}{\leftarrow} A \stackrel{g}{\rightarrow} Y)$ and $(X \stackrel{f'}{\leftarrow} A' \stackrel{g'}{\rightarrow} Y)$ are isomorphic if there is an iso $i: A \rightarrow A'$ such that $f' \circ i = f$ and $g' \circ i = g$;
- a preorder enrichment defined as $[(X \xleftarrow{f} A \xrightarrow{g} Y)] \leq [(X \xleftarrow{f'} A' \xrightarrow{g'} Y)]$ if there is a 2-cell

$$\alpha: (X \xleftarrow{f} A \xrightarrow{g} Y) \Rightarrow (X \xleftarrow{f'} A' \xrightarrow{g'} Y)$$

in $\mathbf{Span}(\mathcal{A})$.

It is straightforward to see that $\mathbf{PSpan}(\mathcal{A})$ is indeed a preorder-enriched category. Note that it is locally small as soon as \mathcal{A} is small.

Now, when \mathcal{A} is also a cartesian category, it is direct to check that the categorical product \times of \mathcal{A} induces a monoidal product \otimes on $\mathbf{PSpan}(\mathcal{A})$. However, we have actually more structure, as witnessed by the following result.

Proposition 5.3. Let \mathcal{A} be a category with finite limits. Then $\mathbf{PSpan}(\mathcal{A})$ is an oplax cartesian category with

$$\nabla_X^s = (X \xleftarrow{\mathrm{id}} X \xrightarrow{\nabla_X} X \times X), \qquad !_X^s = (X \xleftarrow{\mathrm{id}} X \xrightarrow{!} 1).$$

Proof. It is well-known [24, Section 3.1]⁵ and also easy to check in the same way as in Proposition 4.4 that **PSpan**(\mathcal{A}) is gs-monoidal with respect to the given duplicators and dischargers. So we just verify the axioms for oplax cartesianity in addition. Let us consider an arrow from X to Y in **PSpan**(\mathcal{A}) represented by a span ($X \stackrel{f}{\leftarrow} A \stackrel{g}{\rightarrow} Y$). We have to show the inequality

$$\nabla^s_Y \circ (X \xleftarrow{f} A \xrightarrow{g} Y) \leq ((X \xleftarrow{f} A \xrightarrow{g} Y) \otimes (X \xleftarrow{f} A \xrightarrow{g} Y)) \circ \nabla^s_X.$$

 $^{{}^{5}}$ Even if that reference just considers spans in **Set**, the proofs work for any category with finite limits.

First, note that by definition of composition in $\mathbf{PSpan}(\mathcal{A})$, we have that

$$\nabla_Y^s \circ (X \xleftarrow{f} A \xrightarrow{g} Y) = (X \xleftarrow{f} A \xrightarrow{\nabla_Y g} Y \times Y),$$

and since \mathcal{A} is cartesian, and hence $\nabla_Y g = (g \times g) \nabla_A$, this evaluates further to

$$\nabla_Y^s \circ (X \xleftarrow{f} A \xrightarrow{g} Y) = (X \xleftarrow{f} A \xrightarrow{(g \times g) \nabla_A} Y \times Y).$$

Now, employing the universal property of pullbacks and the naturality of ∇ in \mathcal{A} , it is direct to check that

$$(X \xleftarrow{f} A \xrightarrow{(g \times g) \nabla_A} Y \times Y) \le ((X \xleftarrow{f} A \xrightarrow{g} Y) \otimes (X \xleftarrow{f} A \xrightarrow{g} Y)) \circ \nabla_X^s,$$

as was to be shown. Similarly we have the inequality

$$!_Y^s \circ (X \xleftarrow{f} A \xrightarrow{g} Y) \le !_X^s$$

via the 2-cell obtained via f, since the left-hand side is equal to $(X \xleftarrow{f} A \xrightarrow{!_A} 1)$. \Box

We may characterise weak functionality and weak totality in terms of properties of the components of a span.

Proposition 5.4. Let $(X \xleftarrow{f} A \xrightarrow{g} Y)$ be an arrow in **PSpan**(\mathcal{A}). Then

- 1. it is weakly $\mathbf{PSpan}(\mathcal{A})$ -functional if and only if for every $h_1, h_2: \mathbb{Z} \to \mathbb{A}$ we have that $fh_1 = fh_2$ implies $gh_1 = gh_2$;
- 2. it is weakly $\mathbf{PSpan}(\mathcal{A})$ -total if and only if f is a split epimorphism.

The first item generalises the intuition behind the use of spans with mono left leg for modelling partial functions.

Proof. 1. By the first axiom of oplax cartesian categories, it is enough to show that the inequality

$$(X \times X \xleftarrow{f \times f} A \times A \xrightarrow{g \times g} Y \times Y) \circ \nabla_X^s \le \nabla_Y^s \circ (X \xleftarrow{f} A \xrightarrow{g} Y)$$

holds if and only if $fh_1 = fh_2$ implies $gh_1 = gh_2$. As in the previous proof, we have

$$\nabla_Y^s \circ (X \xleftarrow{f} A \xrightarrow{g} Y) = (X \xleftarrow{f} A \xrightarrow{(g \times g) \nabla_A} Y \times Y),$$

while $(X \times X \xleftarrow{f \times f} A \times A \xrightarrow{g \times g} Y \times Y) \circ \nabla_X^s$ is given by the composite span in



Thus, by the universal property of pullbacks and the definition of the preorder \leq in **PSpan**(\mathcal{A}), it is direct to check that $fh_1 = fh_2$ implies $gh_1 = gh_2$ if and only

$$(X \times X \xleftarrow{f \times f} A \times A \xrightarrow{g \times g} Y \times Y) \circ \nabla_X^s \leq \nabla_Y^s \circ (X \xleftarrow{f} A \xrightarrow{g} Y)$$

i.e. if and only if $(X \xleftarrow{f} Z \xrightarrow{g} Y)$ is weakly $\mathbf{PSpan}(\mathcal{A})$ -functional.

2. Notice that ${}^{s}_{Y} \circ (X \xleftarrow{f} A \xrightarrow{g} Y) = (X \xleftarrow{f} A \xrightarrow{!_{A}} 1)$. Hence the relevant inequality

$$!^s_X \le !^s_Y \circ (X \xleftarrow{f} A \xrightarrow{g} Y)$$

holds if and only if there exists an arrow $h: X \to A$ such that $fh = id_X$, i.e. if and only if f is a split epimorphism.

An immediate corollary of Proposition 5.4 follows.

Corollary 5.5. Let f be an isomorphism in \mathcal{A} . Then the span $(X \xleftarrow{f} Z \xrightarrow{g} Y)$ of $\mathbf{PSpan}(\mathcal{A})$ is weakly $\mathbf{PSpan}(\mathcal{A})$ -functional and weakly $\mathbf{PSpan}(\mathcal{A})$ -total.

These morphisms are those that are in the image of the canonical inclusion functor $\mathcal{A} \to \mathbf{PSpan}(\mathcal{A}).$

Finally, if we consider a gs-monoidal monad T on a category with finite limits \mathcal{A} , we can employ Remark 4.14 to show the following result.

Proposition 5.6. Let $T: \mathcal{A} \to \mathcal{A}$ be a gs-monoidal monad on a category with finite limits \mathcal{A} . Then the canonical functor $\mathcal{A}_T \to \mathbf{PSpan}(\mathcal{A})$, obtained by composing the right adjoint $G_T: \mathcal{A}_T \to \mathcal{A}$ and the canonical inclusion $\mathcal{A} \to \mathbf{PSpan}(\mathcal{A})$, is a lax gs-monoidal functor.

6 On functorial completeness

In this section, we first present a gs-monoidal Yoneda embedding, and then a functorial completeness result for oplax cartesian categories.

6.1 A gs-monoidal Yoneda embedding

For C a symmetric monoidal category, let us consider functors $F : C \to \mathbf{Set}$. Assuming that C is small, these functors form a symmetric monoidal category with respect to **Day convolution** \boxtimes [42, 43], where for $X \in C$

$$(F\boxtimes G)(X)\coloneqq \int^{A,B\in\mathcal{C}}\mathcal{C}(A\otimes B,X)\times F(A)\times G(B)$$

and $F \boxtimes G$ is defined on arrows in terms of the universal property of the coend. $F \boxtimes G$ enjoys a universal property, which states that the natural transformations $F \boxtimes G \to H$ for any functor $H : \mathcal{C} \to \mathbf{Set}$ are in natural bijection with the transformations

$$F(X) \times G(Y) \longrightarrow H(X \otimes Y)$$

natural in $X, Y \in \mathcal{C}$. Defined like this, Day convolution turns the category of functors $\mathcal{C} \to \mathbf{Set}$ into a symmetric monoidal category. The associator is obvious, and with the monoidal unit given by the hom-functor $\mathcal{C}(I, -)$, the left unitor component $\mathcal{C}(I, -) \boxtimes F \to F$ at any F corresponds to the transformation with components

$$\mathcal{C}(I,X) \times F(Y) \longrightarrow F(X \otimes Y)$$
$$(f,\alpha) \longmapsto F(f \otimes \mathrm{id}_Y)(\alpha)$$

and similarly for the right unitor. The braidings are inherited from C. We denote by **LaxSymMon**(C, **Set**) the category of lax symmetric monoidal functors $C \to$ **Set** and *all* natural transformations.

Lemma 6.1. Let C be a small monoidal category. Then the category of lax symmetric monoidal functors **LaxSymMon** $(C, \mathbf{Set})^{\mathrm{op}}$ is a gs-monoidal category in a canonical way. Its total and functional arrows are exactly the formal opposites of the monoidal natural transformations.

Proof. For any $F : \mathcal{C} \to \mathbf{Set}$, there is an equivalence between lax symmetric monoidal structures on F and commutative monoid structures with respect to Day convolution, in such a way that the monoidal natural transformations are in natural bijection with the monoid homomorphisms⁶. Thus it suffices to show that the category of commutative monoids in the symmetric monoidal category of functors under Day convolution is co-gs-monoidal in a canonical way. But this latter statement is an instance of the fact that the category of commutative monoids in *any* symmetric monoidal category is a co-gs-monoidal category in a canonical way (Example 2.4).

In any such category, the co-total and co-functional arrows are exactly the monoid homomorphisms. This implies the claim that the total and functional arrows in **LaxSymMon**(\mathcal{C} , **Set**)^{op} are exactly the formal opposites of monoidal natural transformations.

We think of the categories $LaxSymMon(\mathcal{C}, Set)^{op}$ as gs-monoidal analogues of the functor categories in the usual Yoneda lemma. The gs-monoidal Yoneda embedding then reads as follows.

Proposition 6.2. Let C be a small gs-monoidal category. Then there is a fully faithful oplax gs-monoidal functor

$\mathcal{Y}: \mathcal{C} \longrightarrow \mathbf{LaxSymMon}(\mathcal{C}, \mathbf{Set})^{\mathrm{op}}$

 $^{^{6}}$ See e.g. [43, Example 3.2.2] or [44, Proposition 22.1] for a version of the statement for presheaves with values in topological spaces.

Proof. On objects, we define $\mathcal{Y}(A) \coloneqq \mathcal{C}(A, -)$, which is a lax monoidal functor $\mathcal{C} \to \mathbf{Set}$; for the lax monoidal structure, we refer forward to the proof of Theorem 6.3. The action of \mathcal{Y} on arrows $f : B \to A$ is by precomposition, and it defines a natural transformation $\mathcal{C}(B, -) \to \mathcal{C}(A, -)$. Full faithfulness of \mathcal{Y} holds by the standard Yoneda embedding.

It remains to equip \mathcal{Y} with a oplax gs-monoidal structure, recalling that **LaxSymMon**(\mathcal{C} , **Set**)^{op} carries the gs-monoidal structure introduced in Lemma 6.1. For the oplaxator, note that we have a transformation

$$\begin{array}{c} \mathcal{C}(A,X)\otimes\mathcal{C}(B,Y) \longrightarrow \mathcal{C}(A\otimes B,X\otimes Y) \\ \\ (f,g) \longrightarrow f\otimes g \end{array}$$

that is natural in all four arguments $A, B, X, Y \in C$. By the universal property of Day convolution, this can be regarded as an arrow

$$\mathcal{C}(A,-)\boxtimes\mathcal{C}(B,-)\longrightarrow\mathcal{C}(A\otimes B,-)$$

in LaxSymMon(\mathcal{C} , Set). Its naturality in A and B also follows by the universal property. Let us show that considering these transformations in the opposite category defines the comultiplication of the claimed oplax monoidal structure on \mathcal{Y} , while by $\mathcal{Y}(I) = \mathcal{C}(I, -)$ we have strict counitality. The coassociativity equation for the comultiplication amounts exactly to the associativity of the monoidal structure of \mathcal{C} . The left unitality equation holds by the commutativity of the diagram

$$\begin{array}{c} \text{unitor of} \qquad \mathcal{C}(I,-) \boxtimes \mathcal{C}(A,-) \\ \text{Day convolution} \qquad & \quad \text{laxator} \\ \mathcal{C}(A,-) \xrightarrow{} & \quad \text{induced by unitor in } \mathcal{C} \\ \end{array} \xrightarrow{} \mathcal{C}(I \otimes A,-) \end{array}$$

and similarly for right unitality.

The preservation of duplicators amounts to the diagram

$$\begin{array}{c} \mathcal{C}(A,-)\boxtimes\mathcal{C}(A,-)\\ \text{lax structure on }\mathcal{C}(A,-)\\ \mathcal{C}(A,-) & \longleftarrow \\ \mathcal{C}(\nabla_{A},-) & \mathcal{C}(A\otimes A,-) \end{array}$$

which holds by definition of the lax structure on $\mathcal{C}(A, -)$, and similarly for the dischargers.

6.2 Functorial completeness for oplax cartesian categories

In this section, we consider the category **Preord** of preordered sets and monotone maps as a preorder-enriched cartesian monoidal category, and therefore in particular an oplax cartesian category.

Here we start considering the problem of extablishing a functorial completeness result for oplax cartesian categories with respect to the oplax cartesian category **Preord**. The following theorem, in addition to demonstrating completeness for colax bicartesian functors, will be crucial for our subsequent results: in particular, we will use this theorem to prove Theorem 6.6 where we show a completeness result (for suitable functors) in **Rel**.

Theorem 6.3 (bilax completeness to **Preord**). Let C be a locally small oplax cartesian category C and $f, g: X \to Y$ arrows in C. Then we have

- 1. $f \leq g$ if and only if $F(f) \leq F(g)$ for every colax bicartesian functor $F : \mathcal{C} \to \mathbf{Preord}$;
- 2. $f \approx g$ if and only if $F(f) \approx F(g)$ for every colax bicartesian functor $F : \mathcal{C} \rightarrow \mathbf{Preord.}$

Since every colax bicartesian functor is in particular colax cartesian and colax opcartesian, the same statements hold for these classes of functors as well.

Proof. By the usual Yoneda lemma, it is enough to show that every hom-functor $\mathcal{C}(A, -) : \mathcal{C} \to \mathbf{Preord}$ has a canonical colax bicartesian structure.

First we show that every hom-functor $\mathcal{C}(A, -) : \mathcal{C} \to \mathbf{Preord}$ is colax cartesian. The lax symmetric monoidal structure, in components, is given by

$$\psi_{X,Y} : \begin{array}{c} \mathcal{C}(A,X) \times \mathcal{C}(A,Y) \longrightarrow \mathcal{C}(A,X \otimes Y) \\ (f,g) \longmapsto (f \otimes g) \circ \nabla_A, \end{array}$$
(6)

and

$$\psi_0 : \frac{1 \longrightarrow \mathcal{C}(A, I)}{\bullet \longmapsto !_A}.$$
(7)

It is straightforward to verify that $\psi_{X,Y}$ is natural in X and Y and satisfies the relevant coherences. The preservation of the braiding holds by the commutativity assumption on ∇_A . This makes $\mathcal{C}(A, -)$ lax symmetric monoidal. The claim that it is colax cartesian then amounts to the inequalities

and

which hold since they are the defining inequalities of the colax cartesianity of C.

Now we show that every hom-functor $\mathcal{C}(A, -) : \mathcal{C} \to \mathbf{Preord}$ is colax opcartesian. The oplax symmetric monoidal structure, in components, is given by

$$\phi_{X,Y} : \begin{array}{c} \mathcal{C}(A, X \otimes Y) \longrightarrow \mathcal{C}(A, X) \times \mathcal{C}(A, Y) \\ f \longmapsto ((\mathrm{id}_X \otimes !_Y) \circ f, (!_X \otimes \mathrm{id}_Y) \circ f), \end{array}$$

and

$$\phi_0 : \begin{array}{c} \mathcal{C}(A, I) \longrightarrow 1 \\ f \longmapsto \bullet. \end{array}$$

It is straightforward to check that $\phi_{X,Y}$ is natural in X and Y and that the coherence axioms for colax monoidal functors are satisfied. This makes $\mathcal{C}(A, -)$ colax opcartesian since the inequalities

$$\mathcal{C}(A,X) \xrightarrow{\mathcal{C}(A,\nabla_X)} \mathcal{C}(A,X \otimes X)$$

$$\xrightarrow{\nabla_{\mathcal{C}(A,X)}} \stackrel{|\wedge}{\swarrow} \stackrel{\phi_{X,Y}}{\swarrow} \mathcal{C}(A,X) \times \mathcal{C}(A,X)$$

and



hold even with equality.

Finally, we show that $\mathcal{C}(A, -)$ is a bilax monoidal functor in the sense of Definition A.3. We first check the braiding axiom. Let us consider two arrows $f: A \to W \otimes X$ and $g: A \to Y \otimes Z$ of \mathcal{C} . We have to show that

$$\phi_{W\otimes Y, X\otimes Z} \circ \mathcal{C}(A, \mathrm{id}_W \otimes \gamma \otimes \mathrm{id}_Z) \circ \psi_{W\otimes X, Y\otimes Z}(f, g) \tag{10}$$

is equal to

$$(\psi_{W,Y} \times \psi_{X,Z}) \circ (\mathrm{id}_W \times \gamma \times \mathrm{id}_Z) \circ (\phi_{W,X} \times \phi_{Y,Z})(f,g). \tag{11}$$

Straightforward evaluation of both sides shows that indeed both (10) and (11) are equal to the element

$$((\mathrm{id}_W \otimes !_X \otimes \mathrm{id}_Y \otimes !_Z)(f \otimes g) \nabla_A, \\ (!_W \otimes \mathrm{id}_X \otimes !_Y \otimes \mathrm{id}_Z)(f \otimes g) \nabla_A)$$

of $\mathcal{C}(A, W \otimes Y) \times \mathcal{C}(A, X \otimes Z)$. Finally, we check the unitality axioms. The first axiom follows from the fact that both the arrows

$$\phi_{I,I} \circ \mathcal{C}(A,\lambda_I) \circ \psi_0 \colon 1 \to \mathcal{C}(A,I) \times \mathcal{C}(A,I)$$

$$(\psi_0 \otimes \psi_0) \circ \lambda_I \colon 1 \to \mathcal{C}(A, I) \times \mathcal{C}(A, I)$$

act as $\bullet \mapsto (!_A, !_A)$. Moreover, the second and third axiom hold because 1 is terminal in **Preord**.

Remark 6.4. As we wrote in the proof above, by the usual Yoneda lemma, Theorem 6.3 boils down to showing that every hom-functor $\mathcal{C}(A, -) : \mathcal{C} \to \mathbf{Preord}$ has a canonical colax bicartesian structure. However, the same proof *cannot* be adapted to a functorial completeness result for gs-monoidal categories and bilax gsmonoidal functors $\mathcal{C} \to \mathbf{Set}$. Indeed, for a gs-monoidal category \mathcal{C} , the hom-functor $\mathcal{C}(A, -) : \mathcal{C} \to \mathbf{Set}$ is a lax gs-monoidal functor with respect to the laxator of (6) and (7) if and only if \mathcal{C} is cartesian, since for gs-monoidality the diagrams (8) and (9) would have to commute on the nose, implying that every arrow is total and functional.

We conclude by showing how the completeness result of Theorem 6.3 for colax bicartesian functors into **Preord** can be transferred to a completeness result with respect to a suitable class of mappings into **Rel**.

To achieve this goal, we first define the new class of functors we want to consider: let us say that a mapping $F: \mathcal{C} \to \mathcal{D}$ between preorder-enriched categories is a **lax-on-identities functor** if it is like a preorder-enriched functor with strict preservation of binary composition, but where identities are preserved *only laxly* in the sense that

$$\operatorname{id}_{F(A)} \leq F(\operatorname{id}_A)$$

for all objects A in C. If $F: C \to D$ is a mapping between preorder-enriched monoidal categories, then we say that it is a **lax monoidal lax-on-identities functor** if it is a lax-on-identities functor together with transformations $\psi_{X,Y}$ and ψ_0 , as for a lax monoidal functor, such that the associativity and unitality diagrams in Definition A.1 commute upon replacing every occurrence of an identity $\operatorname{id}_{F(A)}$ by $F(\operatorname{id}_A)$. Note that this implies, for example, that the original unitality diagrams (A1) commute only laxly, meaning with \leq from top to bottom.

Lemma 6.5. For preorder-enriched monoidal categories \mathcal{A} , \mathcal{C} and \mathcal{D} , let $G: \mathcal{A} \to \mathcal{C}$ be a lax monoidal functor and $F: \mathcal{C} \to \mathcal{D}$ a lax monoidal lax-on-identities functor. Then the composition $F \circ G: \mathcal{A} \to \mathcal{D}$ is a lax monoidal lax-on-identities functor.

Proof. Let us denote by ψ^F and ψ_0^F the lax monoidal structure of F and by ψ^G and ψ_0^G the one of G. It is straightforward to check that $F \circ G$ is a lax monoidal lax-on identities functor with ψ_{XY}^{FG} given by the composite

$$FG(X) \otimes FG(Y) \xrightarrow{\psi^F_{GX,GY}} F(G(X) \otimes G(Y)) \xrightarrow{F(\psi^G_{X,Y})} FG(X \otimes Y)$$

and $\psi_0^{FG} := F(\psi_0^G)\psi_0^F$. In particular, it is easy to check that $F \circ G$ is a lax-on-identities functor since

$$\operatorname{id}_{FG(A)} \le F(\operatorname{id}_{G(A)}) = FG(\operatorname{id}_A),$$

and ψ^{FG} a natural transformation. Finally, it is straightforward to show that ψ^{FG} satisfies the associativity axiom of lax monoidal lax-on-identity functors, i.e. for all

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and

objects A, B, C in \mathcal{C}

$$\psi_{A,B\otimes C}^{FG}(FG(\mathrm{id}_A)\otimes\psi_{B,C}^{FG})=\psi_{A\otimes B,C}^{FG}(\psi_{A,B}^{FG}\otimes FG(\mathrm{id}_C)).$$

and that the unitality axioms are satisfied.

As a further specialization, we also obtain a notion of *colax cartesian lax-on-identities functor* as analogous to a colax cartesian functor (see Definition 3.9), but where the underling functor is lax monoidal lax-on-identities.

Now that we fixed the class of functors we deal with, we transfer the completeness result of Theorem 6.3 to **Rel**.

Theorem 6.6. Let C be a locally small oplax cartesian category C and $f, g : X \to Y$ arrows in C. Then we have

- 1. $f \leq g$ if and only if $F(f) \leq F(g)$ for every colax cartesian lax-on-identities functor $F: \mathcal{C} \to \mathbf{Rel};$
- 2. $f \approx g$ if and only if $F(f) \approx F(g)$ for every colax cartesian lax-on-identities functor $F : \mathcal{C} \to \mathbf{Rel}$.

Proof. To achieve this goal, we define a colax cartesian lax-on-identities functor R: **Preord** \rightarrow **Rel** that preserves and reflects the preorder on hom-sets, in the sense that for $f, g: X \rightarrow Y$ in **Preord**, we have

$$f \le g \iff R(f) \subseteq R(g).$$
 (12)

Then post-composing any $F : \mathcal{C} \to \mathbf{Preord}$ as in Theorem 6.3 with R results in a colax cartesian lax-on-identities functor $R \circ F : \mathcal{C} \to \mathbf{Rel}$ by Lemma 6.5, and the completeness follows.

One way to construct such an $R : \mathbf{Preord} \to \mathbf{Rel}$ with the properties given above is to assign to every preordered set (X, \leq_X) its underlying set $R(X, \leq_X) := X$ and to every monotone map f its hypograph

$$R(f) := \{ (x, y) \in X \times Y \mid y \le f(x) \}.$$

Then condition (12) is immediate. For $f: X \to Y$ and $g: Y \to Z$, strict functoriality

$$R(g \circ f) = R(g) \circ R(f)$$

is proved by showing both containments as follows. If $x \in X$ and $z \in Z$ are such that $x(R(g) \circ R(f))z$, then this means that there is y such that

$$y \le f(x)$$
 $z \le g(y)$

But then applying monotonicity of g and transitivity of \leq implies $z \leq g(f(x))$, which gives the desired $xR(g \circ f)z$. On the other hand, if $xR(g \circ f)z$ holds, then we can simply take $y \coloneqq f(x)$ as our witness of $x(R(g) \circ R(f))z$, since then both of the above inequalities are satisfied.

The lax preservation of identities $id_X \subseteq R(id_X)$ is easy to see, and it is worth noting that equality does not hold unless X is discrete.

Now we focus on the lax monoidal structure of R. A natural choice would be that of considering the strict monoidality of R given by the trivial components $\psi_{A,B} = \mathrm{id}_{A\times B}$ and $\psi_I = \mathrm{id}_I$. However, in this case we would obtain that $R(!_A) = !_A$ but, in general, we would have just a strict inclusion $\nabla_A \subset R(\nabla_A)$, i.e. R would not be colax cartesian with the lax monoidal structure given by the trivial components. So we need to consider another lax monoidal structure for R. To this end we observe that, by definition of R, we have

$$R(\nabla_A) = R(\mathrm{id}_{A \times A}) \nabla_{RA}.$$
(13)

Hence, we consider the lax monoidal structure of R given by $\psi_{A,B} := R(\mathrm{id}_{A\times B})$ and $\psi_I := R(\mathrm{id}_I) = \mathrm{id}_I$. It is direct to see that such a choice of ψ provides a natural transformation $\psi : \otimes \circ (R \times R) \to R \circ \otimes$, and that the associativity and unitality axioms of a lax monoidal lax-on-identities functor are satisfied. Thus, R is a lax monoidal lax-on-identities functor. It is also colax cartesian since, by (13), we have

$$R(\nabla_A) = R(\mathrm{id}_{A \times A}) \nabla_{R(A)} = \psi_{A,A} \nabla_{R(A)}$$

and $R(!) = \psi_0!$ holds since $\psi_0 = \mathrm{id}_I$.

7 Conclusion and future works

After a string-diagrammatic presentation of gs-monoidal categories and a few related structures. our paper introduces their preorder-enriched extensions, and in particular oplax cartesian categories. We show that these categories represent a core language for relations and partial functions, hence fitting in the current interest for the use of visual languages in modelling computational formalisms (see e.g. [45, 46] and the references therein). Such an interest in confirmed by our proof that such categories naturally arise in terms of Kleisli categories and span categories, thus providing a large number of potential case studies. As for the former, we also show that the canonical functor from the Kleisli category of a given monad back to the original category has a gs-monoidal structure. Finally, we turn to functorial completeness, showing it for oplax cartesian categories with respect to certain mappings into **Rel**, thus generalising [5].

Future work will focus on completeness with respect to **Rel**, in order to strengthen our result to genuine functors instead of lax-on-identities ones, following ideas in [47]. We will also attempt to establish a stronger connection between the constructions involving Kleisli and span categories. Furthermore, it could be interesting to address the traced monoidal case, as well as take into account the whole 2-categorical structure of **Span**(C), for a presentation of graph rewriting and of inequational deduction for relational algebras, investigated in a set-theoretical flavour in [4, 48], and recently (at least for the diagrammatic presentation of the monoidal closed case) in [49].

From a practical standpoint, we believe that our completeness results will find application in rewriting theory. The idea is that a completeness result makes it possible to derive new properties of a rewriting system in a straightforward manner, simply by analysing rewriting in a standard category like the one of preordered sets

as in Theorem 6.3. Indeed, in rewriting the oplax structure amounts to changing the topology of the underlying graph [4] so that the analysis of confluence could be performed simply by looking at the associated preorder in the model. Given that term graph rewriting is frequently used in functional programming language implementations, where the sharing of sub-terms is a key issue, our result may be concretely useful in improving such language implementations.

Declarations

Author's Contribution. Tobias Fritz, Fabio Gadducci, Davide Trotta and Andrea Corradini contributed equally to this work.

Conflict of interest. The authors declare that they have no conflict of interest.

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Appendix A Lax/oplax/bilax monoidal functors

This section recalls the definitions of lax, colax, and bilax monoidal functors, see e.g. [19]. Throughout, C and D are symmetric monoidal categories with tensor functor \otimes and monoidal unit I, and we assume that \otimes strictly associates without loss of generality in order to keep the diagrams simple. Left and right unitors are denoted by λ and ρ , respectively⁷, and braidings by γ .

Definition A.1. A functor $F : C \to D$ is **lax monoidal** if it is equipped with a natural transformation

$$\psi\colon \otimes \circ (F \times F) \to F \circ \otimes$$

and an arrow $\psi_0 \colon I \to F(I)$ such that the associativity diagrams

and the unitality diagrams commute

$$I \otimes F(A) \xleftarrow{\lambda_{FA}} F(A) \qquad F(A) \otimes I \xleftarrow{\rho_{FA}} F(A)$$

$$\psi_{0} \otimes \operatorname{id} \qquad \qquad \downarrow^{F(\lambda_{A})} \qquad \operatorname{id} \otimes \psi_{0} \qquad \qquad \downarrow^{F(\rho_{A})} \qquad (A1)$$

$$F(I) \otimes F(A) \xrightarrow{}_{\psi_{I,A}} F(I \otimes A) \qquad F(A) \otimes F(I) \xrightarrow{}_{\psi_{A,I}} F(A \otimes I).$$

F is said to be lax symmetric monoidal if also the following diagram commutes

$$\begin{array}{ccc} F(A \otimes B) & \xrightarrow{F(\gamma_{A,B})} & F(B \otimes A) \\ \psi_{A,B} \downarrow & & \downarrow \psi_{B,A} \\ F(A) \otimes F(B) & \xrightarrow{\gamma_{FA,FB}} & F(B) \otimes F(A) \end{array}$$

For example, if C is the terminal monoidal category with only one object I and id_I as the only arrow, then F is simply a monoid in D. We do not spell out the following dual version in full detail.

⁷Strict unitality could also be assumed, but that choice would make some diagrams potentially confusing.

Definition A.2. A functor $F: \mathcal{C} \to \mathcal{D}$ is oplax monoidal if it is equipped with a natural transformation

$$\phi \colon F \circ \otimes \to \otimes \circ (F \otimes F)$$

and a map $\phi_0: F(I) \to I$ satisfying axioms dual to those in Definition A.1. Similarly, an **oplax symmetric monoidal functor** is an oplax monoidal functor such that ϕ commutes with the braiding γ .

We also have the notion of **strong symmetric monoidal functor**, which is a lax symmetric monoidal functor with invertible structure arrows, or equivalently an oplax monoidal functor with invertible structure arrows; and that of **strict symmetric monoidal functor**, in which the structure arrows are identities.

A monoid and comonoid structure on an object in a symmetric monoidal category often interact in a nice way, either such that they form a *bimonoid* or a *Frobenius monoid* (and sometimes both). The following definition (see [19]) generalises the former notion to functors.

Definition A.3. A functor $F: \mathcal{C} \to \mathcal{D}$ is **bilax monoidal** if it is equipped with a lax monoidal structure ψ, ψ_0 and an oplax monoidal structure ϕ, ϕ_0 such that the following compatibility conditions hold

• Braiding. The following diagram commutes



• Unitality. The following diagrams commute



We also say that F is **bilax symmetric monoidal** if in addition both the lax and oplax structures are symmetric.

Appendix B Commutative monads

A strength and a costrength for a monad on a monoidal category are structures relating the monad with the tensor product of the category at least *in one direction*. A monad equipped with a strength is called a strong monad. This notion was introduced by Kock in [50, 51] as an alternative description of enriched monads. Strong monads have been successfully used in computer science, playing a fundamental role in Moggi's theory of computation [27, 28].

We recall these concepts in the following definitions. **Definition B.1.** A strong monad (T, μ, η, t) on a symmetric monoidal category C

Definition B.1. A strong monal $(1, \mu, \eta, \iota)$ on a symmetric monotaal category is a monad (T, μ, η) on C together with a natural transformation

 $t_{X,Y} \colon X \otimes T(Y) \to T(X \otimes Y),$

called strength, such that the following diagrams commute for all objects X, Y, Z of C



Example B.2. The list monad T_{list} : Set \rightarrow Set is strong. Given two sets X and Y, the strength component

$$t_{X,Y}: X \times T_{\text{list}}(Y) \to T_{\text{list}}(X \times Y)$$

is given by the function assigning to an element $(x, [y_1, \ldots, y_m]))$ of $X \times T_{\text{list}}(Y)$ the element $[(x, y_1), \ldots, (x, y_m)]$ of $T_{\text{list}}(X \times Y)$.

In fact, any monad on the cartesian category **Set** is strong in a unique way, where the strength can be defined similarly to the strength of the list monad. We refer to [50, 51] for more details.

Remark B.3. The braiding γ of C let us define a **costrength** with components

$$t'_{X,Y} \colon T(X) \otimes Y \to T(X \otimes Y)$$

given by

$$t'_{X,Y} := T(\gamma_{Y,X}) \circ t_{Y,X} \circ \gamma_{T(X),Y}$$

It satisfies axioms that are analogous to those of strength. **Definition B.4.** A strong monad (T, μ, η, t) on a symmetric monoidal category C is said to be **commutative** if the following diagram commutes for every object X and Y

Remark B.5. It is well-known that on a symmetric monoidal category, commutative monads are equivalent to symmetric monoidal monads [50, Theorem 2.3]. Indeed, the diagonal of (B2) equips the functor T with a lax symmetric monoidal structure, whose components we denote by $c_{X,Y}: T(X) \otimes T(Y) \to T(X \otimes Y)$.