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Probabilistic stable motion planning with stability uncertainty for articulated vehicles on challenging terrains

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Abstract A probabilistic stable motion planning strategy applicable to reconfigurable robots is presented in this paper. 2 The methodology derives a novel statistical stability crite-З rion from the cumulative distribution of a tip-over metric. 4 The measure is dynamically updated with imprecise terrain 5 information, localization and robot kinematics to plan safety-6 constrained paths which simultaneously allow the widest possible visibility of the surroundings by simultaneously 8 assuming highest feasible vantage robot configurations. The 9 proposed probabilistic stability metric allows more conserv-10 ative poses through areas with higher levels of uncertainty, 11 while avoiding unnecessary caution in poses assumed at well-12 known terrain sections. The implementation with the well 13 known grid based A* algorithm and also a sampling based 14 RRT planner are presented. The validity of the proposed 15 approach is evaluated with a multi-tracked robot fitted with 16 a manipulator arm and a range camera using two challeng-17 ing elevation terrains data sets: one obtained whilst operating 18 the robot in a mock-up urban search and rescue arena, and 19 the other from a publicly available dataset of a quasi-outdoor 20 rover testing facility. 21

Keywords Probabilistic path planning · Uncertainty
 analysis · Tip-over stability · Mechanical reconfiguration ·

24 Rescue robotics

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1 Introduction and related work

The demand for autonomous robots in industry and field application is increasing with the technological advances in modern sensors, actuators, hardware and software facilities which make employing of robotics technology more economical and feasible. In field application, mobile robots are required to operate fully or semi-autonomously in harsh, unstructured environments such as agriculture (Santosh et al. 2014), mining (SeungBeum et al. 2014), planetary exploration (Liang et al. 2013) and search and rescue (Keiji et al. 2013) missions for example. The robot model used to validate the results of this work is the multi-tracked iRobot Packbot robot depicted in Fig. 1. The robot is equipped with multiple sensors to get feedback from its own kinematic and gather and analyse environmental data. Dealing with uncertainty about the effects of imperfect actuators and poor environmental sensor information is a very common challenging problem in navigation over rough terrains.

Although uncertainty is usually ignored in classical 43 motion planning techniques (LaValle 2006), more up to date 44 algorithms have investigated different approaches to take into 45 account imperfect robot motion or sensing models (Sebastian 46 et al. 2005). One of the well studied approaches developed in 47 the literature to explicitly deal with uncertainties in the input 48 data and system model parameters is the partially observ-49 able Markov decision process (POMDP) (Matthijs and Nikos 50 2005; Brooks et al. 2006). For example a POMDP model for 51 finding belief-feedback policies for a team of robots cooper-52 ating to extinguish a spreading fire is presented in Candido 53 et al. (2010). The proposed planning algorithm is able to 54 employ user-supplied domain knowledge for the synthesis 55 of information feedback policies. 56

A linear-quadratic Gaussian motion planning (LQG-MP) strategy that is able to take into account the motion and sens-

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Fig. 1 The iRobot Packbot robot with a 1 DoF arm, pan-tilt sensor unit and two flippers on a mock-up USAR arena

ing uncertainty is illustrated in Berg et al. (2011). Assuming a 59 Gaussian model of uncertainty and having a linear-quadratic 60 controller, the LQG-MP method aims to characterise pri-61 ori probability distributions of the state of the robot in 62 advance. The performance of LQG-MP is studied using sim-63 ulation experiments where the rapidly exploring random tree 64 (RRT) (LaValle 1998) is employed to generate the candidate 65 paths. Motion planning in dynamic uncertain environments is 66 another challenge for mobile robots operating in close prox-67 imity with many other moving agents; e.g. a service robot 68 acting as a waiter in a restaurant, or mobile robots in exhi-69 bitions and trade fairs. In this case, the future evolution and 70 uncertainties of the states of the moving agents and obstacles 71 also needs to be addressed. A strategy to account for future 72 information gathering in the planning in dynamic, uncertain 73 environments is presented in Toit and Burdick (2012). The 74 uncertainty in location of the robot and obstacles is consid-75 ered using a partially closed-loop receding horizon control 76 algorithm that is able to integrate the prediction, estimation, 77 and planning and approximately solve the stochastic dynamic 78 programming problem. 79

The path following with uncertainty has also been studied 80 by the control community. A Kalman-based active observer 81 controller for the path following of wheeled mobile robots 82 subject to non-holonomic constraints is presented in Coelho 83 and Nunes (2005). The effect of external disturbances, gen-84 eral model errors, and uncertainties present in the system are 85 reduced by adding an extra state (the "active state") to the 86 controller design. The effectiveness of the proposed path-87 following controller is evaluated via simulation results for a 88 wheelchair robot following a straight line and a circular path. 89 More recently, a path following controller design approach 90 for articulated manipulators based on transverse feedback 91 linearisation is presented in Gill et al. (2013). The Lya-92 punov redesign (Parks 1966) method is employed to make 93 the proposed controller robust against modelling uncertainty. 94 Experimental results of a four DoF manipulator with a com-95

bination of revolute and linear actuated links are provided where the end-effector was set to move in a circular path.

The uncertainty in a system can be considered in two 98 types of stochastic methods: non-deterministic (a boundary is 99 assumed for uncertainties), and probabilistic (the uncertain-100 ties are described using probability distributions) (Toit and 101 Burdick 2012). We are employing the stability uncertainty 102 in a probabilistic formulation. Other authors have looked 103 at the problem of non-deterministic incorporation of uncer-104 tainty at the planning stage, e.g. by considering variations 105 in the 2.5D terrain elevation data and localisation errors, as 106 described in Iagnemma and Dubowsky (2004) for an artic-107 ulated wheeled mobile robot. The original force angle (FA) 108 margin (Papadopoulos and Rey 1996) was employed to eval-109 uate the stability of the rover in the elevation map, therefore 110 the position of robot's centre of mass (CM) and the ground 111 contact points (CPs) would be the essential inputs to calcu-112 late the safety margin. The CPs are assumed to be under the 113 wheels and are calculated based on the robot's kinematic and 114 its position over the elevation map. A conservative path plan-115 ning approach is adopted that considers terrain measurement 116 uncertainty, where a set of potential worst-case robot config-117 urations at boundary locations in the terrain are examined to 118 make sure that the vehicle would remain stable for a given 119 arbitrary fixed variance in the elevation map. If any posture in 120 this set is proven unstable, the corresponding location in the 121 map will be regarded as untraversable. To address the local-122 isation uncertainty for a given path, all points along the path 123 within a distance proportional to the assumed robot localisa-124 tion uncertainty are examined given all possible configura-125 tions. A point in the terrain would be considered as a feasible 126 point for path finding purposes only if all configurations in 127 the overall search have been proven to be stable. The output 128 of this brute-force approach is a simple failure or success, 129 with no concern for the probability of a tip-over instability. 130

A strategy for global path planning over ruggedised ter-131 rains while accounting for stability uncertainty is presented 132 in this work. A novel safety confidence (SC) stability margin 133 based on the conclusions of the statistical stability analysis 134 technique described in Norouzi et al. (2013b) is introduced. 135 The proposed probabilistic stability criterion is employed 136 to advance further the deterministic stable path planning 137 strategy described in Norouzi et al. (2013a), proven to be 138 particularly suitable for search and rescue missions, with the 139 goal of improving robot navigation safety in scenarios where 140 the model of the system and the sensory data available to 141 the robot may be imperfect. As also noted in that work, the 142 proposed strategy is equally applicable to planning in large 143 areas where prior knowledge of the terrain is assumed, or in 144 exploratory settings where the robot needs to create the cov-145 erage map as it navigates further and only partial information 146 from the surrounding area is available, hence setting goals in 147 closer vicinity. 148

Author Proof

The FA stability measure (Papadopoulos and Rev 2000) 149 was employed in Norouzi et al. (2013a) to analyse the tip-over 150 margin of the vehicle, and is also the choice in this work. It 151 should be noted that there are several other criteria which can 152 be combined using multi-objective optimisation in order to 153 navigate in irregular terrains, e.g. when maximising ground 15 clearance for more general wheel-legged mobile robots (Fre-155 itas et al. 2010). Considering further mobility criterion could 156 indeed expand the applicability of the proposed planning 157 method. The FA measure is a deterministic criterion that can 158 be calculated based on the position of the robot's CM and the 159 CPs interaction with the terrain, which form a convex area 160 called "support polygon" (SP). As will be shown, the main 161 difficulty in path planning using a deterministic constant sta-162 bility margins is that a conservative large tip-over criterion 163 can produce safe paths, but it may also easily end up being 164 overly restrictive, and filtering out many probable pathways. 165 On the other hand, planning on the tip-over stability margin 166 boundary may clearly jeopardise stability if uncertainties are 167 present. The main advantage of employing dynamic SC mea-168 sure to path planning is that it can take into consideration the 169 model uncertainties when finding paths, instead of resorting 170 to restrictive fixed minimum safety margins. Moreover, while 171 in Norouzi et al. (2013a) the mechanisms where provided to 172 exploit stability both as a constraint and also as an added cost 173 to the A* (Hart et al. 1968) search optimisation process, in 174 the overall path planning strategy proposed here we take the 175 stand that simply using it as a constraint is appropriate to 176 guarantee paths that are "confidently" stable. In essence we 177 are advocating for the fact that so long as we are confident 178 the final path found will be stable, it is less relevant whether 179 another one might be slightly more stable, as that's ultimately 180 less relevant to the final outcomes in a realistic setting, and 181 we suggest not spend computational resources in doing that. 182

The effectiveness of the proposed probabilistic tip-over 183 measure in stable path planning over challenging terrains is 184 confirmed using a grid based A* algorithm as well as a sam-185 pling based RRT planner. The model of the Packbot robot 186 shown in Fig. 1 is imported to a dynamic physic simulator 187 engine and comprehensive simulations in a USAR arena and 188 data from a quasi-outdoor rover testing facility at the Uni-189 versity of Toronto Institute for Aerospace Studies (UTIAS) 190 (Tong et al. 2013) are provided. Part of this work was ini-191 tially suggested in Norouzi et al. (2014) and has been hereby 192 extended with further analysis and discussions, and its gen-193 eralisation to another cost-based planner in the form of a 194 randomized RRT planner. 195

2 Overview of stability analysis

The most common stability margins can be calculated based
 on two informations, the robot's CM and its SP defined by



Fig. 2 The 3D FA stability measure for n = 4 and i = 3 i.e. for third axis of a SP with four CPs. The CM's position has been shifted up and vectors are scaled for easier visualization. The FA measure can be intuitively described as the effect of the net force and moment over CM projected on the SP e.g. $\beta_3 = \theta_3 ||\mathbf{d}_3|| ||\mathbf{f}_3||$

the convex area spanned between the ground CPs. While the 199 CM may be easily evaluated from the robot's kinematic state, 200 prediction of SP is not a trivial problem and some works 201 like (Liu and Liu 2010) have considered an ideal support 202 polygon (ISP) for the vehicle, i.e. the CPs are assumed to 203 be fixed under the sprockets of the robot. It is illustrated 204 through some experiments in Norouzi et al. (2013a) how this 205 is a strong assumption for the case of highly unstructured 206 terrains, where CPs can lay anywhere along the robot's track 207 and in general describe a variable support polygon (VSP). In 208 this work no ISP is assumed and the process to derive the 209 contact support polygon of a robot on a terrain is also briefly 210 presented in this section. 211

2.1 Force angle stability margin

The FA stability margin (Papadopoulos and Rey 2000) was 213 principally proposed for mobile machines with manipula-214 tors operating in construction, mining, and forestry. FA was 215 proven to be one of the most effective stability margins. For 216 example, a combination of the FA stability measure with an 217 artificial potential field to obtain the demanded actuator val-218 ues was used in Besseron et al. (2008). This simple criterion 219 can then be computed based principally on the minimum 220 angle between the effective net force and the tip-over axis 221 normal. The normalized FA measure will be between zero 222 (borders of instability) to one (most stable configuration). 223 Negative values of the FA measure for an axis indicate that 224 occurring tip-over instability about that axis is in progress. 225 As shown in Fig. 2, the criterion β_i for the *i*th tip-over axis 226 $\mathbf{a_i}$ can be principally described by 227

$$\beta_i = \theta_i \| \mathbf{d}_{\mathbf{i}} \| \| \mathbf{f}_{\mathbf{i}} \|, \quad i = \{1, \dots, ncp\}$$

$$(1) \quad 228$$

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where ncp is the number of out-most CPs. f_i is the component 220 of effective net force $\mathbf{f}_{\mathbf{r}}$ which acts about the tip-over axis $\mathbf{a}_{\mathbf{i}}$. 230 θ_i is the angle between \mathbf{f}_i and the tip-over axis normal \mathbf{l}_i . 231 $\mathbf{d}_{\mathbf{i}}$ is the minimum length vector from $\mathbf{a}_{\mathbf{i}}$ to $\mathbf{f}_{\mathbf{i}}$. For example 232 in this work \mathbf{a}_1 , \mathbf{a}_2 , \mathbf{a}_3 and \mathbf{a}_4 are left, rear, right and front 233 axis respectively as illustrated in Fig. 2. The angles are in 234 reference to the support pattern, which is the convex polygon 235 derived from the CPs of the robot, and are sensitive to changes 236 in CM's height. The overall robot's FA measure β , is given by 237

$$\beta = \beta = \min(\beta_i), \quad i = \{1, \dots, ncp\}$$
(2)

In general, mobile vehicles operate at low speed when travelling over rough terrain and quasi-static robot dynamics can be safely assumed (Iagnemma et al. 2003). Thus, the net force f_r acting on the system's CM will come from the gravitational loading term

$$\mathbf{f}_{\mathbf{r}} = \sum \mathbf{f}_{grav} = m_{tot} \, \mathbf{g}. \tag{3}$$

The control aspect of maintaining speed and dynamic stability along the path is not hereby considered given the scope
of the work. As demonstrated by various practical results in
the paper, a suitable low speed controller was developed that
readily validated this assumption.

250 2.2 Robot model

Figure 1 shows the multi-tracked iRobot Packbot robot model 251 and its coordinate frame convention that was employed in 252 this paper to validate the simulation results. The mechanical 253 structure consists of a skid-steer vehicle base, flippers (two 254 synchronised small sub-tracks in the front) and an arm that 255 carries a 2D pan-and-tilt unit equipped with several cameras 256 and lights. It is clear that for these types of robots the arm 257 and/or flippers angles (ϕ_a and ϕ_f) will significantly affect the 258 location of the CM. Moreover, when the flippers are in contact 259 with the terrain they change the shape of the SP, which in turn 260 has a more significant effect on the stability of the robot. 26

262 2.3 Robot posture reconfiguration

The robot's posture between successive path points is 263 updated using an analytically derivable reconfiguration 264 objective function (Norouzi et al. 2013a). The cost function 265 is able to address different objectives including sensor vis-266 ibility, track-terrain interaction and energy expenditure in 267 changing postures. There are in general a large number of 268 conflicting objectives that can play a significant role when planning paths in the context of realistic scenarios. The sta-270 bility of the robot remains, however, the critical constraint 271 so that if robot is ever found to be unstable, the optimality 272

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of any other parameters should be scarified to always satisfy the stability margin. 273

The reconfiguration cost function of the robot U_c is given 275 by 276

$$U_c = \sum_{i=1}^{n} U_i$$
 (4) 277

where U_i represents the reconfiguration cost associated to the *i*th joint. For the Packbot model used in this work n = 2, i.e. the arm and flipper joints (ϕ_a , ϕ_f). More details about the reconfiguration algorithm, robot's kinematic model and the effect of the mass distribution can be found in Norouzi et al. (2013a).

2.4 Contact points prediction and stability criteria

The calculation of stability margins is predicated on calcu-285 lating the projection of the robots geometric underside on 286 the points defining the terrain underneath so as to derive 287 the CPs. While straightforward geometry-based propositions 288 can possibly be derived to find out CPs for simpler convex 289 robots surfaces, this is not necessarily the case for more com-290 plicated shapes. A generic solution is proposed where the 291 robot-terrain prediction algorithm is based on the mathemat-292 ical description of the robot in the open dynamics engine 293 (ODE) (Smith 2005), a widely used physical rigid body 294 dynamics simulator. A 3D model of the terrain has been con-295 structed from the ranging data measured with the RGB-D 296 camera situated on the head of the robot. The CP deriva-297 tion scheme is predicated on calculating the projection of the 298 robot's geometric underside on the points defining the terrain 299 underneath. Under the assumption of quasi-static equilib-300 rium, the simulator predicts the behaviour of the robot under 301 the influence of gravitational forces for a given pose and pos-302 ture configuration to extract the SP. Some examples of the 303 Packbot robot at various locations in a two-step field terrain 304 model are given in Fig. 3. 305

Given a rigid box sitting steadily on a hard rough ground 306 surface, the number of CPs can not be less than three. An 307 analogy can be established for instance with a rigid four-308 legged table, where one leg of the table would be left in the air 309 when sitting stably unless the terrain is flat, or soft, in which 310 case it will be four. The FA margin calculations requires the 311 out-most CPs, hence a maximum of four possible CPs are 312 assigned to form the vertices of the SP even when the rigid 313 body makes full contact with the surface, i.e. when the terrain 314 across the wheel sprockets is flat such as in a ramp or stairs. 315

The Packbot robot is not a truly rigid model in that it is equipped with hard rubbery tracks which, albeit minimal, allow a bit of sag and deformation, effectively making larger contact with terrain surfaces, even in uneven hard surfaces.

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Fig. 3 Examples of support polygon shapes over two step-fields terrain models



This robot-terrain interaction behaviour is extremely difficult 320 if not impossible to model accurately. Hence, for the ODE CP 321 calculations, the point set located within an allowance dis-322 tance set by the terrain mesh-grid resolution and the measured 323 deformations of the rubbery tracks has been considered, and 324 the out-most points selected as CP. The robot will thus be 325 regarded stable at a given location if the resulting SP fulfils 326 the following criteria: $ncp \ge 3$ and $\beta > \beta_{min}$, where β_{min} is 327 0 or an arbitrary (positive) lower bound set for the stability 328 margin. This process is described in more detail in Norouzi 329 et al. (2013a). 330

331 3 Uncertainty analysis method

The probabilistic stability margin calculation, the definition of the proposed safety confidence and it's use in the context of path planning which form the novel contribution of this paper are described in the following sections.

336 3.1 Transformation of means and covariance

The probabilistic approach for uncertain stability analysis is 337 detailed in Norouzi et al. (2013b). For completeness, this 338 section will quickly summarise the aspects most relevant to 339 the novel proposition in this work. The general problem can 340 be expressed as follows: for a n-dimensional input vector 341 **x** with given mean $\hat{\mathbf{x}}$ and covariance \mathbf{P}_{xx} , what would be 342 the mean $\hat{\mathbf{y}}$ and covariance \mathbf{P}_{yy} of a m-dimensional random 343 variable vector \mathbf{y} , where \mathbf{y} is related to \mathbf{x} by a non-linear 344 transformation $\mathbf{y} = g[\mathbf{x}]$. For the system hereby considered, 345 the arm and flipper angles (ϕ_a, ϕ_f) that determine the posture 346

of the robot, the 3D model of a given terrain and the robot's ³⁴⁷ position on it constitute the input parameters, i.e. $\mathbf{x}_{37\times 1} = (\phi_a, \phi_f, rx, ry, yaw, 32 \times terrain \ sections)$. The output ³⁴⁹ vector includes a list with (up to) four CPs, the CM and the ³⁵⁰ FA stability measure, i.e. ³⁵¹

$$\mathbf{y}_{16\times 1} = (4 \times (CP_x, CP_y, CP_z),$$

$$(CM_x, CM_y, CM_z), \beta).$$
352

Without loss of generality, expressions are shown for the
case of four CPs, while as indicated in Sect. 2.4, the robot
can also be stable with three CPs. In that case the dimension
of y equals 13×1 .354

Given the highly non-linear nature of g[.], Taylor series 358 approximation (Greenberg 1998) and general error propaga-359 tion (Siegwart and Nourbakhsh 2004) are not applicable to 360 enumerate $\hat{\mathbf{y}}$ and \mathbf{P}_{yy} . Standard Monte Carlo (SMC) (Rubin-361 stein and Reuven 1981) is a proven iterative algorithm to 362 estimate probability density functions of a general system's 363 output response from a large set of random inputs. Hence, 364 by introducing perturbations to the input parameters, ODE 365 simulations can be carried out and β subsequently calcu-366 lated. The tendency to bigger input sets to attain more 367 accurate distributions makes SMC computationally expen-368 sive. The structured unscented transform (UT) (Julier and 369 Uhlmann 2004) has been proposed in the literature to address 370 this issue, and was employed in this work to speed up 371 the transformation of means and covariances. The overall 372 technique as applied to this work, summarised in Algo-373 rithm 1, intelligently simulates the SMC method by choosing 374 a deterministic set of inputs instead of a vast random sample 375 population. 376

Algorithm 1 The Unscented Transform (UT)

1: **function** $ut_transform(\phi_a, \phi_f, rx, ry, yaw, terrain, k)$ 2: $\hat{\mathbf{x}} \leftarrow mean(\phi_a, \phi_f, rx, ry, yaw, terrain)// 37 \text{x1}$ i.e. n=37 $\mathbf{P}_{xx} \leftarrow 0 // 37 \mathrm{x} 37$ 3: \mathbf{P}_{xx}^{xx} ($\phi_{ii}, \phi_{f}, rx, ry, yaw, terrain$) 4: 5: $X_0 \leftarrow \hat{\mathbf{x}}$ 6: $W_0 \leftarrow k/(n+k)$ 7: for $i = 1 \rightarrow n$ do $X_i \leftarrow \hat{\mathbf{x}} + (\sqrt{(n+k) \mathbf{P}_{xx}})_i$ 8: 9. $W_i \leftarrow 1/(2(n+k))$ $X_{i+n} \leftarrow \hat{\mathbf{x}} - (\sqrt{(n+k) \mathbf{P}_{xx}})_i$ $10 \cdot$ $W_{i+n} \leftarrow 1/(2(n+k))$ 11: end for 12: for $i = 0 \rightarrow 2n$ do 13: $(\mathbf{CP}, \mathbf{CM}) \leftarrow ode \ simulate(X_i)$ 14: $\hat{\beta} \leftarrow FA(\mathbf{CP}, \mathbf{CM})$ 15: $Y_i \leftarrow (\mathbf{CP}, \mathbf{CM}, \beta)$ 16: end for $\sum_{n=2n}^{\infty}$ 17: $\hat{\mathbf{y}} \leftarrow \sum_{i=0}^{2n} W_i Y_i // 16 \mathrm{x1}$ 18: $\mathbf{P}_{yy} \leftarrow \sum_{i=0}^{2n} W_i \{Y_i - \hat{\mathbf{y}}\} \times \{Y_i - \hat{\mathbf{y}}\}^T // 16 \times 16$ 19: return ($\hat{\mathbf{y}}, \mathbf{P}_{yy}$) 20: 21: end function

It is important to note that while only the mean and stan-377 dard deviation of the FA distribution ($\beta_{\mu}, \beta_{\sigma}$) are exploited 378 for path planning purposes in this work, the output vector 379 y also provides probabilistic information about the robot's 380 CPs and CM. It is envisaged that it may well be possible to 381 take advantage of these useful statistics in other stability mar-382 gins, or for other purposes (e.g. computer graphics rendering 383 applications). 384

385 3.2 Probabilistic stability metric

Assuming a standard normal distribution N(0, 1) for β , the cumulative distribution function (CDF) is formulated as:

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$$\Phi(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{x} e^{-t^2/2} dt$$
 (5)

This function describes the probability that β will be found at a value less than or equal to x, where $\Phi(-\infty) =$ 0%, $\Phi(0) = 50$ % and $\Phi(\infty) = 100$ %. For a generic normal distribution $N(\mu, \sigma^2)$ for β , the cumulative distribution function can be transformed by

³⁹⁴
$$F(x, \beta_{\mu}, \beta_{\sigma}) = \Phi\left(\frac{x - \beta_{\mu}}{\beta_{\sigma}}\right)$$
 (6)

Therefore $F(0, \beta_{\mu}, \beta_{\sigma})$ will indicate the probability that β will assume negative values (i.e. a tip-over is in progress). We can now define the SC margin to encapsulate our confidence in the stability prediction as

³⁹⁹
$$SC(\beta) = (1 - F(0, \beta_{\mu}, \beta_{\sigma})) \times 100$$
 (7)

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To intuitively understand the meaning of SC the example in 400 Fig. 4a is provided. The graph illustrates possible distribu-401 tions for β , and the corresponding values for SC, based on 402 three different robot postures at a given location on a terrain. 403 Although the mean value of the green distribution is smaller 404 than the blue one, a larger SC value indicates more certainty 405 in this configuration. A conservative fixed large β will unnec-406 essarily push the robot away from many potentially feasible 407 trajectories. On the other hand, critically small safety margins 408 may put the robot in jeopardy, particularly when traversing 409 highly challenging terrains (e.g. stairs or rubble). By employ-410 ing the proposed SC margin instead, the system can benefit 411 form a dynamic safety boundary that represents reliability in 412 the output predictions. 413

For the special case when the mean value is exactly zero. 414 the SC calculation would be independent of σ^2 (SC = 50 % 415 always, as illustrated by Fig. 4b). In this case, although both 416 distributions result in the same value for SC, for stability 417 purposes a distribution with smaller σ^2 should be preferred 418 (green curve in this example), indicating that the true β is 419 generally expected to be closer to zero and away from nega-420 tive tip-over instability. Therefore, for the special case when 421 $\mu = 0$, SC will be multiplied by $(1 - \sigma^2)$ to lean towards con-422 figurations with smaller covariances. The following section 423 provides some experimental results on maps obtained from 424 a range camera fitted on the sensor head while the robot tra-425 verses over a ramp and a series of steps are presented that 426 confirms the necessity and validity of the proposed proba-427 bilistic stability prediction method. 428

3.3 Experimental results to prove the significance of a statistical approach for stability prediction

To validate the results of statistical approach the robot was 431 made to traverse over the actual ramp and hill step-field (HS) 432 following a straight trajectory and constant reduced speed. 433 A localiser running of odometry and 2D range data from an 434 auto-levelled laser scanner was used to derive an estimate 435 of the robot pose (rx, ry, yaw) with a previously built 3D 436 mesh of the arena, depicted in Fig. 9a. As the platform has 437 got no suspension and the terrain is rigid, *pitch* and *roll* 438 measurements from an on-board IMU can be assumed to be 439 a veracious reflection of the vehicle's attitude when sitting on 440 the terrain. The robot's pose (ϕ_a, ϕ_f) was recorded from the 441 actual on-board encoders during the experiments. The data 442 from these tests was then analysed off-line to calculate the 443 statistical properties of CPs and stability measures. 111

The inclination of the ramp illustrated in Fig. 5 is 30 degrees. The result of the ramp experiment is illustrated in Fig. 6. As shown in Fig. 6a, b, real inclination data is very close to that inferred by the simulator. The stability measure from a single simulation and mean value driven using UT in each point is depicted in black and red in Fig. 6d respectively.

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Fig. 4 Example distributions for β and the corresponding SC values

(a) Most stable.



(b) Stable high-visibility.

Fig. 5 The side view of the robot configurations along the ramp (direction: *left* to *right*)

Also the standard deviation σ (68 %) and 2 × σ (95 %) around the mean are depicted in dashed red and blue. The measured β and its mean value up to σ is always positive, which shows a convenient stability.

The patterns of β acquired by three different configura-455 tion planning strategies along the same straight trajectory is 456 illustrated in Fig. 6c. The solid black line is equal to the β 457 in Fig. 6d and it is achieved while deriving the robot with 458 a fairly constant configuration ($\phi_a = 90^\circ, \phi_f = 45^\circ$) and 459 simulating the robot with recorded configuration and position 460 over the 3D model of the terrain. For comparison purposes, 461 the stability measures of the optimal stable high visibility (OSHV) planner (Norouzi et al. 2013a) with $\beta_{min} = 0.2$ and 463 the most stable (providing the highest SC) configurations, are 464 depicted in dashed black and green respectively. In this ramp 465

case, the β of the OSHV posture lies between the constant and the most stable stability margin. For safer posture trajectory the safety stability margin, β_{min} should be increased which will shift up the dashed black plot. The minimum value of β in the most stable plot is around 0.4, hence if the minimum β in the planning was set to a value larger than this, the ramp trajectory would be regarded as unstable.

A side view of the path with the robot arrangements sug-473 gested by both planners are depicted in Fig. 5-omitted in 474 some places to increase clarity. Comparing the results at the 475 beginning of the ramp in Fig. 5a, b shows that planning purely 476 based on the stability margin has resulted in sudden flipper 477 discontinuities, while the OSHV planner produced a soft and 478 continuous kinematic trajectory thanks to the reconfiguration 479 optimization between successive path nodes where joint dis-480 continuities are penalised. 481

HS is an example to simulate common block obstacles, 482 like rubble or unlevelled floors. The HS set-up illustrated in 483 Fig. 1 (side view in Fig. 7) is composed of three successive 484 10 cm steps: two traversed "up", and one "down". The results 485 of the experiment over the HS is illustrated in Fig. 8 in the 486 same way as was earlier depicted for the ramp. As can be 487 seen in Fig. 8a, b, the real inclination data is also closely 488 captured by the simulator except at around 8 and 17 s, when 489 the robot tipped-over and had to be manually handled and 490 returned to the HS to prevent a fatal crash. Although the cal-491 culated mean value for β can be seen to be just positive over 492 the path at those instances, σ uncertainty analysis shows the 493 robot tipping-over at those instances (when the crossing over 494 the steps takes place). 495

Comparing these two examples shows that, despite the 496 smaller inclination in the HS configuration, the robot is 497 still more stable over the ramp than HS. Assuming that a 498 fixed supporting-polygon and calculations of stability based 499 on IMU data (like the approach in Roan et al. 2010) will 500 lead to apparent stability, yet that is not the case. The tradi-501 tional deterministic stability analysis method with variable 502 supporting-polygon can be regarded as fairly reliable over 503





Fig. 6 Experimental results over ramp



Fig. 7 The side view of the robot configurations along the HS (direction: *left* to *right*)

simple topologies like ramps, but can't predict instability
over more challenging obstacles like HS where the uncertainty in the input parameters can have a significant influence
on the output stability metric.

In the same way, the patterns of β acquired by three differ-508 ent configuration planning strategies along the same straight 509 trajectory are illustrated in Fig. 8c. The solid black line is 510 equal to the β in Fig. 8d and it is achieved while deriving the 511 robot with a constant configuration ($\phi_a = 90^\circ, \phi_f = 45^\circ$) 512 and simulating the robot with recorded configuration and 513 position over the 3D model of the terrain. For comparison 514 purposes, the stability measures of the OSHV planner with 515 $\beta_{min} = 0.2$ and the most stable configurations are depicted 516 in dashed black and green respectively. It can be observed 517 how for the OSHV posture β is always smaller than the most 518 stable stability margin. It can moreover be seen how in some 519 places it is also smaller than the constant configuration's sta-520 bility margin, as in that case there is no accounting for the 521 additional visibility constraints in the robot pose. Thus in 522 contrast to ramp traversing, at some places the constant con-523 figuration ends up marginally more stable than the calculated 524 OSHV posture. Of course, for trajectories where increased 525 safety posture is desired, β_{min} can be increased, effectively 526 shifting the dashed black plot up so that it is always above 527 the constant posture. 528

A side view of the path with the robot arrangements suggested by both planners is depicted in Fig. 7—omitted in some places to increase clarity. Comparing the results in

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Fig. 8 Experimental results over hill step-field

the beginning, middle and the end of the HS in Fig. 7a, b
shows that planning purely based on the stability margin has
resulted in sudden big changes for the flippers and arm while
the OSHV planner produced a soft and continuous kinematic
trajectory.

537 4 Path planning with stability uncertainty

To this end, this study has proposed the probabilistic stability 538 measure SC in Eq. 7 based on the cumulative distribution of 539 the FA measure which indicates the probability that β will 540 be found to be positive. The following section illustrates the 541 implementations with grid based A* algorithm. The inte-542 gration of the proposed strategy in a sampling-based RRT 543 planner will also be presented in Sect. 4.3 for completeness. 544 The effectiveness of the approach has been evaluated using 545 two challenging terrain data sets, and then compared to the 546 OSHV planner. 54

548 4.1 Test arenas

The USAR test arena is chosen to investigate the performance
 of the technique in an indoor setting with distinctive features

such as stairs, rubble etc., whereas the UTIAS arena is an example of a larger outdoor scenario. In both instances, the robot is expected to come up with configurations aimed at keeping the arm as high as possible to achieve the best possible field of view whilst satisfying the constraints imposed by the corresponding algorithms (β_{min} or SC_{min}). 554

The UTS mock-up rescue arena consists of a $6m \times 8m$ 557 reconfigurable rectangle space with a ramp, a flight of stairs, open space and re-arrangeable blocks of step-fields. A small section is captured by Fig. 1. The 3D model of the terrain was built off-line by scan matching of the RGB-D data logs when manually operating the robot over the terrain at low speeds. 563

The UTIAS testing facility consists of a large dome struc-564 ture, which covers a workspace area 40m in diameter. These 565 datasets are available online and for more information, the 566 reader is referred to Tong et al. (2013). A grid resolution 567 of 5 cm was assumed for both terrains which resulted in a 568 2D graph with dimensions of 164×150 and 784×776 for 569 USAR and UTIAS arenas respectively. In order to make a 570 fair comparison between the two planners a pre-processing 571 step was first applied to both terrain models to label out 572 obvious untraversable areas, e.g. walls and markedly steep 573 slopes. 574

Algorithm 2 A* Path Planner with Stability Uncertainty

| <u> </u> | | |
|---|--|--|
| 1: closed $\leftarrow \emptyset$ | | |
| 2: $open \leftarrow cell(start)$ | | |
| 3: while $(open \neq \emptyset)$ do | | |
| 4: $cell(i) = min(open)$ | | |
| 5: $closed \leftarrow closed + cell(i)$ | | |
| 6: $open \leftarrow open - cell(i)$ | | |
| 7: for all $cell(j) \in \{8 \text{ successors of } cell(i)\}$ do | | |
| 8: if $(cell(j) \notin closed \& cell(j) \neq obstacle)$ then | | |
| 9: ut_transform() | | |
| 10: if $(SC > SC_min)$ then | | |
| 11: if $(cell(j) \in open)$ then | | |
| 12: $refresh_node(i, j)$ | | |
| 13: else | | |
| 14: $add_open_node(i, j)$ | | |
| 15: end if | | |
| 16: end if | | |
| 17: end if | | |
| 18: end for | | |
| 19: if $(cell(goal) = min(open))$ then | | |
| 20: return path | | |
| 21: end if | | |
| 22: end while | | |
| 23: return $path = \emptyset$ | | |
| | | |

575 4.2 Implementation with A* planner

For comparison purposes, lets first briefly review the deter-576 ministic OSHV planner which was introduced in Norouzi 577 et al. (2013a). The key contribution on this algorithm 578 was the introduction of a stability constraint to a cost-579 based planner. Essentially, the stable A* algorithm first 580 examines the stability of the robot when opening a new 58 search node at a new location with a given configura-582 tion. The node is considered stable if β is larger than 583 some nominal β_{min} that is satisfied. The present pro-584 posal, abstracted by Algorithm 2, takes into account SC585 as described by Eq. 7 through the *ut transform()* Algo-586 rithm 1, effectively transforming the fixed stability constraint 587 $(\beta > \beta_{min})$ into a minimum confidence threshold (SC > 588 SC_{min}) representative of the certainty in the stability predic-589 tion. 590

591 4.2.1 Results of A* planner in the USAR arena

Two sets of experiments are studied of planning based on varying allowable boundaries for β_{min} and SC_{min} in order to highlight the advantages of the probabilistic approach in generating safer and more optimal posture planning.

In the first scenario, planners are set to find a path from the top left corner of the USAR arena with a minimum possible $\beta_{min} = 0.05$ and $SC_{min} = 50\%$ to the goal at the bottom right corner. The value of $\beta_{min} = 0.05$ was obtained experimentally as the border of stability when the robot was sitting on the 35° ramp of the arena, with the nominal con-



(a) Path with $\beta_{min} = 0.05$.



(b) Path with $SC_min = 50\%$.

Fig. 9 Planning based on the minimum safety margin and stability confidence in the USAR arena. Planning based on *SC* generates safer postures over stairs ($\phi_a = 0^\circ$ in **b**) when compared to the deterministic approach ($\phi_a = 20^\circ$ in **a**)

figuration ($\phi_a = 90^\circ, \phi_f = 90^\circ$). A positive β_μ is the only requirement to achieve $SC_{min} = 50\%$, consequently the minimum allowable safety confidence is assumed to be 50%.

The results are depicted in Fig. 9, where Fig. 9a, b 606 illustrate the outcomes of the shortest deterministic and 607 probabilistically stable paths respectively. Only a limited 608 number of the robot poses are shown in the figure for clar-609 ity. In both instances the final paths traverse through the 610 step-fields and the stairs, and the robot configurations over 611 both trajectories end up being quite similar (except on the 612 stairs, way-points around 100-130 in Fig. 10, discussed 613 below). 614

The comparison of *SC* and β over these trajectories are depicted in Fig. 10. The mean value of stability measure obtained using the UT transform β_{μ} at each instant is depicted in red, with the standard deviation σ (68 %) and 2 × σ (95 %) around the mean depicted in dashed red and blue in Fig. 10a, c. Figure 10b, d illustrate the corresponding *SC* measures of the resulting two paths.

It can be seen how by setting an arbitrary lower boundary ($\beta_{min} = 0.05$) the deterministic planner's limited concern about the instantaneous value of β results in paths with instances where, although as shown in Fig. 10a β is computed to be always bigger than $\beta_{min} = 0.05$, in some places the corresponding β_{μ} is actually negative (SC < 50 %), indicates (225)

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Fig. 10 Comparison of *SC* and β over the trajectories depicted in Fig. 9 where $\beta_{min} = 0.05$ and $SC_{min} = 50\%$ in the USAR arena. The *horizontal dark green dash-dot lines* are indicating the reference points where $\beta = 0$ or $SC_{min} = 50\%$



⁶²⁸ a high risk for tip-over instability as illustrated in Fig. 10b. ⁶²⁹ This happens for instance over the stairs (way-points around ⁶³⁰ 117), where β_{μ} is indeed less than 0.05.

On the other hand, as depicted by Fig. 10d, a planner 63 considering an $SC_{min} = 50\%$ might end up with instances 632 when β_{μ} is less than 0.05 in some places (see Fig. 10c). How-633 ever, SC remaining over the threshold of 50 % only requires 634 a positive β_{μ} , which is comfortably achieved by the plan-635 ner generating postures with lower sensor head heights (e.g. 636 $\phi_a = 0^{\circ}$ over the stairs section depicted in Fig. 9b), com-637 pared to the resulting postures ($\phi_a = 20^\circ$) of a deterministic 638 planner when $\beta_{min} = 0.05$ (Fig. 9a). This example clearly 639 shows how the probabilistic approach tends towards more 640 conservative paths stability-wise than a deterministic plan-641 ner in areas where uncertainty escalates. 642

In the following example the safety margin and stability 643 confidence are increased to $\beta_{min} = 0.20$ and $SC_{min} = 70\%$ 644 respectively. Both criteria will now filter out the stairs and 645 step-fields, tending towards a safer but longer path to the 646 goal through the ramp, as shown in Fig. 11. Planning based 647 on $\beta_{min} = 0.20$ has configured the robot with ($\phi_a = 0^\circ$) 648 over the ramp. Yet given the higher certainty of the map over 649 the ramp (as opposed to more rugged terrain sections), the 650 probabilistic planner with $SC_{min} = 70\%$ can satisfy the 651 stability constraint with a better field of view configuration 652 $(\phi_a = 50^\circ)$ for the same area. As with the earlier example, 653 the comparison of SC and β over the resulting trajectories 654 are depicted in Fig. 12. 655

It can be observed how in the ramp area (way-points around 140–170) uncertainty is very small (β_{μ} and covari-

(a) Path with $\beta_{min} = 0.20$.



(**b**) Path with $SC_min = 70\%$.

Fig. 11 Planning based on a comfortable safety margin and stability confidence in the USAR arena. Planning based on *SC* generates postures with better visibility over the ramp ($\phi_a = 50^\circ$ in **b**) compared to the deterministic approach ($\phi_a = 0^\circ$ in **a**)

ance around 0.20, Fig. 12a) and the probabilistic approach is then able to exploit this to generate postures with better visibility than the deterministic planner.

689

Fig. 12 Comparison of *SC* and β over the trajectories depicted in Fig. 11 with $\beta_{min} = 0.20$ and $SC_{min} = 70\%$ in the USAR arena



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661 4.2.2 Results of A* planner in the UTIAS arena

The UTIAS data is used to study the outcomes of planning longer paths with different values for β_{min} and SC_{min} . Results in Fig. 13 show how when the stability constraint is reasonable medium value, the statistical approach can find more effective and shorter path than deterministic technique (the path shown in orange).

The outcomes of a planner based on different determin-668 istic stability margins are shown in Fig. 13a where the 669 path with lowest allowable safety margin $\beta_{min} = 0.05$ 670 is illustrated in black, and paths with $\beta_{min} = 0.10$ and 671 $\beta_{min} = 0.2$ are depicted in orange and yellow respec-672 tively. Gray-scale colour coding indicates height of the terrain 673 from 0 to 2.76m. A pre-processing algorithm based on 674 terrain gradients was first applied to the model to label 675 out obviously untraversable steep slopes, shown in dark 676 Brown. Given the space limitations, only the uncertainty 677 analysis results of the first two trajectories are shown in 678 Fig. 14, where the mean values of the stability measure 679 using the UT transform at each instant are depicted in red, 680 the standard deviation $\sigma(68\%)$ and $2 \times \sigma(95\%)$ around 681 the mean are depicted in dashed red and blue in Fig. 14a, 682 c. Figure 14b, d illustrate the corresponding SC mea-683 sures. 684

In the same way Fig. 13b shows the effect of different values of SC_{min} on the planner, where black, orange and yellow illustrate trajectories with $SC_{min} = 50 \%$, $SC_{min} =$ 70% and $SC_{min} = 90\%$ respectively. The corresponding uncertainty analysis are shown in Fig. 15.

The result of planning based on the lowest allowable 690 $\beta_{min} = 0.05$ and $SC_{min} = 50\%$ (depicted in black in 691 Fig. 13a, b respectively) are found quiet coincidental. These 692 two trajectories are going through (A) and passing directly 693 over the central hill (C). Although the planning based on 694 $\beta_{min} = 0.05$ ensures that instant value of β are always larger 695 than the minimum value, β_{μ} is found to be negative over the 696 more challenging section, hence resulting in an SC < 50%697 i.e. a high risk for a tip-over instability as illustrated in the 698 way-points around 150 in Fig. 14b. This would not repre-699 sent a dangerous situation when planning is based on an 700 $SC_min = 50\%$ as the planner will reconfigure robot so 701 that it fulfils the minimum safety confidence as illustrated 702 in Fig. 15b. Moreover planning based on more significant 703 stability margins and safety confidence ($\beta_{min} = 0.20$ and 704 $SC_{min} = 90\%$) results in longer routes, depicted in yellow 705 in Fig. 13a, b respectively. 706

Planning based on a comfortable stability margin and 707 safety confidence ($\beta_{min} = 0.10$ and $SC_{min} = 70\%$) pro-708 duced some interesting results. With $\beta_{min} = 0.10$ the planner 709 could not find a trajectory through the front section (A) and 710 resorted to move up towards (B), eventually finding a path 71 via (D) to the goal. On the other hand, the planner with 712 $SC_{min} = 70\%$ considered the front section (A) feasible and 713 found a shorter path which goes straight up to the middle of 714 the arena and then coincide with the path with $\beta_{min} = 0.10$ in 715

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(b) Planning according to SC_{min}.

Fig. 13 Planning in the UTIAS arena. The paths with $\beta_{min} = 0.05$ and $SC_{min} = 50\%$ are illustrated in *black*, paths with $\beta_{min} = 0.10$ and $SC_{min} = 70\%$ are depicted in *orange* and *yellow* trajectories showing the paths with $\beta_{min} = 0.20$ and $SC_{min} = 90\%$

the final stages in the area labelled as (D). Looking at Fig. 15c 716 around way-point 25 it is seen how β around (A) is less than 717 $\beta_{min} = 0.10$, revealing the reason why planning based on 718 β_{min} would not consider this area traversable. Looking at the 719 value of SC in Fig. 15d confirms that although β is less than 720 $\beta_{min} = 0.10$ around (A), safety confidence is bigger than 721 70 % and the planner regards this region as comfortably sta-722 ble to plan over. This example shows how planning based on 723 statistical data instead of the instant values can result in more 72 effective and at the same time safer routes. The overall length 725 of the trajectories illustrated in Fig. 13a, b are summarised 726 in Table 1. 727

Algorithm 3 The RRT planner algorithm

| 1: function $biuld_RRT(x_{init}, K)$ |
|---|
| 2: $\mathfrak{T}.init(x_{init})$ |
| 3: for $k = 1 \rightarrow K$ do |
| 4: $x_{rand} \leftarrow random_state$ |
| 5: $x_{near} \leftarrow nearest_neighbour$ |
| 6: if $new_state(x_{near}, x_{rand})$ then |
| 7: $\mathfrak{T}.add_vertex(x_{rand})$ |
| 8: $\mathfrak{T}.add_edge(x_{near}, x_{rand})$ |
| 9: if $(x_{rand} = x_{goal})$ then |
| 10: return T |
| 11: end if |
| 12: end if |
| 13: end for |
| 14: $return \mathfrak{T} = \emptyset$ |
| 15: end function |
| |

Algorithm 4 The original RRT state check algorithm

| function $new_state(x_{near}, x_{rand})$ |
|--|
| for $x_i = x_{near} \rightarrow x_{rand}$ do // all states along a straight line |
| connection |
| if $x_i = x_{obs}$ then |
| return false |
| end if |
| end for |
| return true |
| end function |
| |

4.3 Implementation with RRT planner

1:

2: 3: 4: 5: 6: 7:

8:

In this section an integration of the strategy in the well 729 established sampling based RRT planner is presented for 730 completeness. Fundamentally RRT builds a space-filling 731 tree (\mathfrak{T}) and extends it randomly to efficiently search high-732 dimensional spaces. As RRT planners can quickly cover an 733 environment by the random tree expansion, they have been 734 widely used in autonomous robotics path planning. When 735 extending the tree, it is able to regularly check the collision 736 with obstacles and differential constraints (non-holonomic, 737 kino-dynamic etc). 738

In spite of the fact that the RRT planner does not need a 739 grid to expand, for simplicity and comparison purposes, lets 740 assume that search space is a 2D grid equal to A* algorithm's 741 environment. The grids of the graph are classified into two 742 sets referred to as *obstacle* and *free*. The path planning 743 can be viewed as a search in this grid from an initial start 744 node, x_{init} to the goal node x_{goal} while avoiding obstacle 745 nodes x_{obs} . An RRT that is rooted at x_{init} and has K vertices 746 can be summarized as an iterative procedure as illustrated in 747 Algorithm 3. 748

In beginning, the algorithm initiates RRT tree \mathfrak{T} with start node as the first vertex. In each iteration, the algorithm attempts to extend the RRT by adding a random new node x_{rand} . The nearest vertex x_{near} already in the RRT to the given x_{rand} will be chosen according to a metric like Euclidean distance. The function new_state is called in 754

-Safety Confidence

Fig. 14 Comparison of SC and β for the paths depicted in Fig. 13a in the UTIAS arena, with $\beta_{min} = 0.05$ and $\beta_{min} = 0.10$



 $\beta_{\mu}\beta_{\mu}\beta_{\sigma}$

.2β

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755 this stage to detect potential collisions to determine whether the x_{rand} (and all intermediate states) satisfies the global 756 constraints as shown for the simple scenario of obstacle 757 avoidance in Algorithm 4. If *new_state* is successful, the 758 x_{rand} is added as a new vertex to \mathfrak{T} . An edge from x_{near} 759

to x_{rand} is also added. If the recently added vertex reaches 760 the x_{goal} , the algorithm successfully returns \mathfrak{T} and the final 761 path will be the chain of branches from the x_{goal} back 762 to the x_{init} node (similar to parent's chain in A* algo-763 rithm). 764

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 $SC_{min} = 70\%$

Table 1 Overall length of paths shown in Fig. 13a, b

| β_{min} | length (m) | SC_{min} | length (m) |
|---------------|------------|------------|------------|
| 0.05 | 39.6184 | 50% | 39.0450 |
| 0.10 | 43.4073 | 70% | 41.8475 |
| 0.20 | 54.9470 | 90 % | 53.0440 |

To guarantee the stability of \mathfrak{T} , the *new_state* function is modified according to Algorithm 5. For each way-point between x_{near} and x_{rand} , the algorithm calculates the sta-

tistical information about the tip-over instance using the *ut_transform()* function in the 3D physical simulator. The new branch in the RRT tree would be considered safe only if

1: **function** $new_state(x_{near}, x_{rand})$

2: for $x_i = x_{near} \rightarrow x_{rand}$ do // all states along a straight line connection

3: *ut_transform()*

4: **if** $(x_i = x_{obs} \lor SC < SC_min)$ **then**

5: return false

- 6: **end if**
- 7: end for
- 8: return true
- 9: end function

it is collision-free and also satisfies the corresponding minimum safety confidence. The block diagram of the overall stable RRT algorithm is illustrated in Fig. 16.



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Fig. 16 The block diagram of the stable RRT algorithm



(a) The trajectories from the top view.



(b) The RRT tree and trajectory of the ordinary path.

Fig. 17 Results of stability criterion in the RRT algorithm in the USAR arena. **a** Results show the path derived from the original RRT planner in *blue* with unstable points in *red*. The stable path with the lowest allowable safety confidence $SC_{min} = 50\%$ and the trajectories where $SC_{min} = 70\%$ are depicted in *black* and *yellow* respectively. **b** The RRT tree is depicted in *orange* and has expanded to almost the entire whole 164×150 search space. In the majority of times the algorithm came up with the shorter path via stairs as depicted in *blue*

4.3.1 Results of RRT planner in the USAR arena

The preference of planning based on a probabilistic metric in
comparison with a deterministic stability measure was discussed in Sect. 4.2. Here we are going to compare the original

RRT planner with the RRT planner constrained on the safety 778 confidence SC measure. Some implementations of RRTs 779 limit the length of the connection between the tree and a new 780 state by a growth factor (Liangjun and Dinesh 2008). This 781 forces the random sample to lie within a maximum distance 782 from the tree and limits the size of the incremental growth. 783 In this work, the random sample is uniformly sampled from 784 the entire search space to allow the tree to quickly expand 785 towards large unsearched areas. This freedom in expansion 786 sometimes results in long straight branches (routes) in the 787 tree, but the algorithm will check the feasibility of all inter-788 mediate way-points before accepting the new state. 789

In the first instance the result of the original RRT is com-790 pared with the trajectories achieved from planning based on 791 lowest allowable safety confidence, $SC_{min} = 50\%$ and a 792 comfortable margin $SC_{min} = 70$ %, in the USAR arena. The 793 outcomes of the proposed stable RRT planner are illustrated 794 from a top view in Fig. 17 on the USAR arena in compar-795 ison with the standard RRT, where Fig. 17a is showing all 796 three trajectories simultaneously, and Fig. 17b presents the 797 RRT tree and trajectory of the ordinary path in a separate 798 figure. A pre-processing algorithm was first applied to the 790 3D map to determine extreme untraversable areas, e.g. walls 800 and markedly steep slopes. Results in Fig. 17a show the path 801 derived from the original RRT in blue while the way-points 802 where the robot was not stable for the fixed vertical arm and 803 flipper pose are highlighted in red. The stable path with the 804 the lowest allowable safety confidence $SC_{min} = 50\%$ and 805 the trajectories where $SC_{min} = 70\%$ are depicted in black 806 and yellow respectively. 807

While ordinary route and stable path where SC_{min} = 808 50% may find a way to the goal either from stairs or via 809 the ramp in the top left corner of the arena, the planning 810 with more conservative stability constraint of $SC_{min} = 70\%$ 811 leaves the ramp the only possible trajectory. As illustrated in 812 Fig. 17b, the original RRT tree has expanded entire the USAR 813 arena, but most of the time the shorter route via the stairs was 814 chosen as the final trajectory. 815

The robot configurations along stable trajectories are 816 depicted in Fig. 18, where Fig. 18a, b illustrate the outcomes 817 of the stable paths where $SC_{min} = 50 \%$ and $SC_{min} = 70 \%$ 818 respectively. Only a limited number of the robot poses are 819 shown for clarity. The corresponding uncertainty analysis are 820 shown in Fig. 19. Both planners have handled the correspond-821 ing SC_{min} constraint successfully while expanding the RRT 822 trees. To fulfil $SC_{min} = 50\%$, the planner has configured 823 robot to $\phi_a = 0^{\circ}$ over the stairs section depicted in Fig. 18a, 824 while given the higher certainty of the map over the ramp, the 825 algorithm can satisfy the stability constraint $SC_{min} = 70\%$ 826 with a better field of view configuration ($\phi_a = 50^\circ$), as illus-827 trated in Fig. 18b. 828

Table 2 summarises the statistical information about aver-
age length and standard deviation (σ) of RRT paths over829830830

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(a) Path where $SC_min = 50\%$.



(b) Path where $SC_min = 70\%$.

Fig. 18 Results of probabilistic stability criterion on RRT algorithm in the USAR arena

10 runs versus the corresponding minimum A* trajectories
 in the USAR arena. Since any increase in the stability con-

straint will shrink the expansion of the RRT tree, there are less

options to choose from for the planner, and over a number

Fig. 19 Comparison of *SC* and β over the trajectories depicted in Fig. 18 in the USAR arena. The *horizontal dark green dash-dot lines* are indicating the reference points where $\beta = 0$ or $SC_{min} = 50 \%$



| Path (m) | Minimum (A*) | Average RRT | σ |
|-------------------|--------------|-------------|--------|
| Original | 7.9899 | 9.5692 | 1.7060 |
| $SC_{min} = 50\%$ | 8.2485 | 9.8189 | 1.6117 |
| $SC_{min} = 70\%$ | 14.0727 | 17.2135 | 1.3460 |

of test runs σ will generally decrease as SC_{min} increases. It 835 can be observed how for the original RRT and the case when 836 $SC_{min} = 50\%, \sigma$ values are close together and reasonably 837 larger than the RRT where $SC_{min} = 70 \%$. This is because 838 the first two planners have, independently of the adopted con-839 figurations, two clear alternatives when it comes to traverse 840 the terrain to go to the goal point, through a ramp or through 841 the stairs, whereas the RRT where $SC_{min} = 70\%$ leaves 842 the ramp as the only possible trajectory. This behaviour will 843 become more apparent in the results of the UTIAS arena as 844 the planner would have a larger search space. 845

4.3.2 Results of RRT planner in the UTIAS arena

As the UTIAS terrain mimics an outdoor environment, the comfortable stability confidence is increased to $SC_{min} =$ 90% when searching for a reliable tip-over margin. In the same way, Fig. 20 shows the result of the stable RRT algorithm in the UTIAS arena, where all three trajectories are

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(b) The RRT tree and trajectory of the ordinary path.

Fig. 20 Results of stability criterion in the RRT algorithm in the UTIAS arena. **a** Results show the path derived from the original RRT planner in *blue* with unstable points in *red*. The stable path with the lowest allowable safety confidence $SC_{min} = 50\%$ and the trajectories where $SC_{min} = 90\%$ are depicted in *black* and *yellow* respectively. **b** The RRT tree is depicted in *orange* and has expanded to almost the entire whole 784 × 776 search space. In most instances the planner came up with a route via (A) and in this example eventually found a path crossing from (C) to the goal

depicted in Fig. 20a for comparison and Fig. 20b is separately illustrating the expansion of the RRT tree and resulting trajectory for the original planner. Figure 20a pictures the original RRT path in blue (with unstable points in red) and compares the effect of different values of SC_{min} on the planner, where black and yellow illustrate trajectories where $SC_min = 50\%$ and $SC_min = 90\%$ respectively.

⁸⁵⁹ While ordinary and stable RRT planner where $SC_{min} =$ ⁸⁶⁰ 50 % may find a way to the goal either through (A) or (B),

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the planning with the highly conservative stability constraint 861 of $SC_{min} = 90\%$ can only go through (B). As illustrated 862 in Fig. 20b, the original RRT tree has expanded the entire 863 UTIAS arena as well, but mostly the planner came up with 864 a route via (A) and, in this example, eventually found a 865 path crossing from (C) to the goal. In the trials provided 866 in Fig. 20a, the stable path where $SC_{min} = 50\%$ is going 867 through (A) and passing directly over the central hill (C), 868 while the more conservative path where $SC_{min} = 90\%$ 869 avoids both of these regions and moves up towards (B) choos-870 ing the longest and safest route which goes around part (C). 871 The corresponding uncertainty analysis for stable routes are 872 shown in Fig. 21. According to this figure, the SC_{min} over the 873 resulting path and entire RRT tree was effectively satisfied 874 while searching the space for more branches. 875

In the same way, the statistical information about average 876 length and σ of the paths are collected in Table 3. As expected 877 from the previous observations in the USAR arena, σ is con-878 tinuously descending as more constraints are applied to the 879 planners. Yet given the larger path planning search space in 880 the outdoor terrain when compared to the more restrictive 881 mock-up indoor arena, the relative σ of the routes in the 882 UTIAS arena are significantly larger than their USAR arena 883 counterparts. 884

5 Conclusions and discussion

This article presents a probabilistic approach to account for 886 robot's stability uncertainty when planning motions over 887 uneven terrains. The proposed algorithm can exploit infor-888 mation gained from a statistical stability analysis to plan safe 889 and effective routes under the presence of uncertainty in the 890 robot kinematics, terrain model and localisation on the ter-891 rain. The integration of the strategy with two well studied 892 grid based and sampling based algorithms i.e. A* and RRT 893 planners, is presented. 894

Simulation results in an indoor rescue arena and an out-895 door rover testing facility demonstrate the advantages of 896 planning based on statistical stability information when com-897 pared with a deterministic approach. The results of path 898 planning based on the lowest allowable safety margin shows 899 that by setting an arbitrary lower boundary, the deterministic 900 planner's limited concern about the instantaneous value of 901 β results in paths with instances where, although β is com-902 puted to be always above a certain β_{min} , the corresponding 903 β_{μ} can actually become negative (SC < 50 %) at times, indi-904 cating an unacceptable high risk of tip-over instability. The 905 contingency of this potentially dangerous situation is min-906 imised when planning is carried out based on SC_min, as 907 the planner will reconfigure the robot so that it fulfils the min-908 imum safety confidence at any given time. Moreover, when 909 uncertainty levels are small (on ramps or sloped areas for 910 **Fig. 21** Comparison of *SC* and β over the trajectories depicted in Fig. 20 in the UTIAS arena. The *horizontal dark green dash-dot lines* are indicating the reference points where $\beta = 0$ or $SC_{min} = 50 \%$





Table 3 Comparison of average length and σ of RRT paths in 10 runsversus the corresponding minimum A* trajectories in the UTIAS arena

| Path (m) | Minimum (A*) | Average RRT | σ |
|-------------------|--------------|-------------|---------|
| Original | 33.0823 | 56.5629 | 15.3264 |
| $SC_{min} = 50\%$ | 39.0450 | 59.6210 | 11.3975 |
| $SC_{min} = 90\%$ | 53.0440 | 73.2896 | 7.3383 |

instance) the probabilistic approach is able to exploit this to
generate postures with better visibility than the deterministic
planner. Comparison of the resulting trajectories in the outdoor UTIAS arena shows planning based on the proposed
statistical stability methodology can result in more effective,
and at the same time, safer routes.

The proposed scheme relies on a physics engine (e.g. 917 ODE) and surrounding terrain information to derive prob-918 abilistic stable paths. Despite the computational advances 919 that UT transform brings when compared to SMC in dealing 920 with uncertainty modelling, processing time remains con-921 siderable, particularly as it increases with the size of the 3D 922 mesh (Smith 2005). In showing the validity of the proposed 923 approach there was limited need to endeavour planning in 924 real-time, however it is anticipated that employing a dedi-925 cated graphics processing unit for the surface manipulation 926 and physics simulations required to derive probabilistic stable paths would significantly improve the processing time to 928 the point of making it altogether viable for modest sizes in 929 exploratory settings. 930

While the probabilistic stable motion planning strategy has been shown here for the more generalised case of reconfigurable robots, it is naturally equally applicable for fixed-configuration robots where stability margins will dictate safer routes to traverse under the assumption of lesser DoF's, hence simply a reduced grid search space given the lack of ability to assume other poses. 931

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