MINIMUM RANK AND ZERO FORCING NUMBER FOR BUTTERFLY NETWORKS

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ABSTRACT. Zero forcing is a graph propagation process introduced in quantum physics and theoretical computer science, and closely related to the minimum rank problem. The minimum rank of a graph is the smallest possible rank over all matrices described by a given network. We use this relationship to determine the minimum rank and the zero forcing number of butterfly networks, concluding they present optimal properties in regards to both problems.

1. Introduction

Let G = (V, E) be a finite simple graph. Starting with a subset of the vertex set V colored, we consider the following coloring rule: an uncolored vertex is colored if it is the only uncolored neighbor of some colored vertex. A vertex set $S \subseteq V$ is called *zero-forcing* if, starting with the vertices in S colored and the vertices in the complement $V \setminus S$ uncolored, all the vertices can be colored by repeatedly applying the coloring rule. The minimum cardinality of a zero-forcing set for the graph G is called the *zero-forcing number* of G, denoted by Z(G).

Zero forcing was introduced in linear algebra to study the problem of finding the minimum rank among all symmetric matrices described by a graph [1]. Rank minimization problems consist of determining the minimum rank among all matrices whose off-diagonal zero-nonzero pattern is determined by the edges of a graph. This problem is related to the inverse eigenvalue problem [10] and with many problems in engineering involving propagation of a signal through a network [11]. Minimizing the rank of a matrix is equivalent to maximizing its nullity, and the zero forcing number gives an upper bound for the maximum nullity [1]. For that reason, graphs in which the maximum nullity coincides with their zero forcing number are of special interest [1]. Independently, the concept of zero forcing was also introduced in quantum physics, electrical engineering and theoretical computer science. In physics, zero forcing was introduced to study control of quantum systems and

theoretical computer science. In physics, zero forcing was introduced to study control of quantum systems and it is called graph infection [6, 18]. The power domination problem in graph theory [13] appeared in the study of the placement of monitoring units in electrical power networks [2], and it has been proven to be equivalent to the zero forcing problem [3]. Finally, the concept of zero forcing was introduced as the fast-mixed search model for the study of fugitive search games on graphs. In fugitive search games, a group of searchers, placed on the vertices of a graph, must find a fugitive that is hiding in the vertices or edges of the graph [7]. The different games are determined by the allowed moves for the searchers and the fugitive. Depending on them, the minimum number of required searchers reveals different graph properties [4].

The fast-mixed model for graph search was introduced by Yang [19] as a combination of the fast method [9] and the mixed search method [5]. The fast-mixed number of a graph is the minimum number of searchers required to find a fugitive in the graph and it coincides with the zero forcing number.

In this paper, we prove that butterfly interconnection networks have optimal minimum rank and zero forcing properties, and as a consequence, optimal fast-search number. The interest on this particular family of graphs

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is that they provide an excellent model for interconnection networks where search problems are used to detect faulty nodes or false information [8, 17].

In order to make a more precise statement about the relation between the zero forcing number and the minimum rank problem, let $S_n(F)$ denote the set of symmetric $n \times n$ matrices over a field F. For a simple graph G = (V, E) with vertex set $V = \{1, \ldots, n\}$, let S(F, G) be the set of matrices in $S_n(F)$ whose non-zero off-diagonal entries correspond to edges of G, that is,

$$S(F,G) = \{ A \in S_n(F) : i \neq j \implies (ij \in E(G) \iff a_{ij} \neq 0) \}.$$

The minimum F-rank of G is defined as the minimum rank over all matrices A in S(F,G):

$$\operatorname{mr}^F(G) = \min \left\{ \operatorname{rank}(A) : A \in S(F, G) \right\}.$$

If the index F is omitted then it is understood that $F = \mathbb{R}$. The link between the zero forcing number and the minimum rank problem is established by the observation that for a zero-forcing set S and a matrix $A \in S(F, G)$, the rows of A that correspond to the vertices in $V \setminus S$ must be linearly independent, so $\operatorname{rank}(A) \geqslant n - |S|$, and consequently

$$\operatorname{mr}^{F}(G) \geqslant n - Z(G). \tag{1}$$

Based on this insight, the authors of [1] determined $\operatorname{mr}(G)$ for various graph classes and established equality in (1), independent of the field F, in many cases. In [16], the same is proved for block-clique graphs and unit interval graphs. Recently, the zero forcing number of cartesian products of cycles was established by constructing a matrix in S(F,G) with the required rank [3]. The American Institute for Mathematics maintains the minimum rank graph catalog [14] in order to collect known results about the minimum rank problem for various graph classes.

The rest of the paper is structured as follows. Section 2 contains some notation and a precise statement of our main result. In Section 3, we prove an upper bound for the zero-forcing number of the butterfly network by an explicit construction of the corresponding zero forcing set S. By (1), this implies a lower bound for the minimum rank of the butterfly network, and in Section 3 we establish that this bound is tight by showing that the rows of the adjacency matrix corresponding to the vertices in the complement of the zero-forcing set span the row space of the adjacency matrix of the butterfly network (over any field F).

2. Notation and main result

Let G = (V, E) be a finite simple graph. For a vertex $v \in V$, the open neighborhood of v is the set $N(v) = \{u : uv \in E(G)\}$ and the closed neighborhood of v is the set $N[v] = N(v) \cup \{v\}$. We denote by I_n the $n \times n$ identity matrix, and we use I for I_n when the order n is clear from the context.

For a positive integer r, the butterfly network BF $(r) = (V^{(r)}, E^{(r)})$ has vertex set $V^{(r)} = V_0^{(r)} \cup V_1^{(r)} \cup \cdots \cup V_r^{(r)}$ and edge set $E^{(r)} = E_1^{(r)} \cup E_2^{(r)} \cup \cdots \cup E_r^{(r)}$, where

$$V_i^{(r)} = \{(\boldsymbol{x}, i) : \boldsymbol{x} \in \{0, 1\}^r\}$$
 for $i = 0, 1, ..., r$,
$$E_i^{(r)} = \{\{(\boldsymbol{x}, i - 1), (\boldsymbol{y}, i)\} : \boldsymbol{x} \in \{0, 1\}^r, \boldsymbol{y} \in \{\boldsymbol{x}, \boldsymbol{x} + \boldsymbol{e}_i\}\}$$
 for $i = 1, 2, ..., r$.

Here addition is modulo 2, and e_i is the binary vector of length r with a one in position i and zeros in all other components. For convenience, we identify the binary vector $\mathbf{x} = (x_1, \dots, x_r) \in \{0, 1\}^r$ with the number $x_1 2^0 + x_2 2^1 + \dots + x_r 2^{r-1}$. Using this identification the butterfly network BF(4) is shown in Figure 1. As an example, the edges $\{(14, 1), (14, 2)\}$ and $\{(14, 1), (12, 2)\}$ in Figure 1 correspond to $\{((0, 1, 1, 1), 1), ((0, 1, 1, 1), 2)\} \in E_2^{(4)}$ and $\{((0, 1, 1, 1), 1), ((0, 0, 1, 1), 2)\} \in E_2^{(4)}$. Note, that the order and the size of BF(r) are given by

$$\left| V^{(r)} \right| = \sum_{i=0}^r \left| V_i^{(r)} \right| = (r+1)2^r, \qquad \qquad \left| E^{(r)} \right| = \sum_{i=1}^r \left| E_i^{(r)} \right| = r2^{r+1}.$$

Our main result is the following theorem.

Theorem 1. The minimum rank of the butterfly network BF(r) over any field F equals

$$\operatorname{mr}^{F}(BF(r)) = \frac{2}{9} [(3r+1)2^{r} - (-1)^{r}],$$

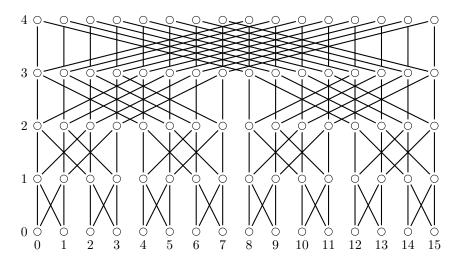


FIGURE 1. The butterfly network BF(4). Note the recursive structure: the bottom two rows consist of eight copies of BF(1), the bottom three rows of four copies of BF(2), and the bottom four rows of two copies of BF(3).

and this is equal to the rank of the adjacency matrix of BF(r). Furthermore, for the butterfly network we have equality in (1), i.e.,

$$Z(BF(r)) = (r+1)2^r - mr^F(BF(r)) = \frac{1}{9}[(3r+7)2^r + 2(-1)^r].$$

3. The upper bound for $Z(\mathrm{BF}(r))$

Let (J_n) denote the Jacobsthal sequence¹ which is defined by $J_0 = 0$, $J_1 = 1$ and $J_n = J_{n-1} + 2J_{n-2}$ for $n \ge 2$. We will need the relation

$$J_{n+2} = 2^n + J_n$$
 for every integer $n \geqslant 0$, (2)

which can be seen as follows. We first use induction on n to verify $J_n + J_{n+1} = 2^n$ for all $n \ge 0$. The base case is $J_0 + J_1 = 1 = 2^0$, and for $n \ge 1$,

$$J_n + J_{n+1} = J_n + (J_n + 2J_{n-1}) = 2(J_{n-1} + J_n) = 2 \cdot 2^{n-1} = 2^n.$$

Then (2) follows from the recursive definition: $J_{n+2} = J_{n+1} + 2J_n = (J_n + J_{n+1}) + J_n = 2^n + J_n$. For every r, we define a set $S^{(r)} = S_0^{(r)} \cup S_1^{(r)} \cup \cdots \cup S_r^{(r)}$ by

$$S_i^{(r)} = \left\{ (x, i) \in V_i^{(r)} : 2^{i+1}\ell \leqslant x \leqslant 2^{i+1}\ell + J_{i+1} - 1 \text{ for some } \ell \in \{0, 1, \dots, \lfloor (2^r - 1)/2^{i+1} \rfloor \} \right\}$$

for i = 0, 1, ..., r. The range for ℓ comes from the observation that

$$\lfloor (2^r - 1)/2^{i+1} \rfloor = \max\{\ell : (2^{i+1}\ell, i) \in V^{(r)}\}.$$

In the following we will use the phrase "for some ℓ " as a shorthand for "for some non-negative integer ℓ ", and the largest relevant value of ℓ will always be implicit in the requirement that a certain (x,i) is a vertex of BF(r). For r=4 the construction of the set $S^{(r)}$ is illustrated in Figure 2.

Solving the recurrence relation for the numbers J_n , we find $J_n = (2^n - (-1)^n)/3$, and this implies the following closed form expression for the size of the set $S^{(r)}$.

Lemma 1. We have
$$\left|S^{(r)}\right| = J_{r+1} + \sum_{i=1}^{r} 2^{r-i} J_i = \frac{1}{9} \left[(3r+7)2^r + 2(-1)^r \right].$$

¹OEIS:A001045

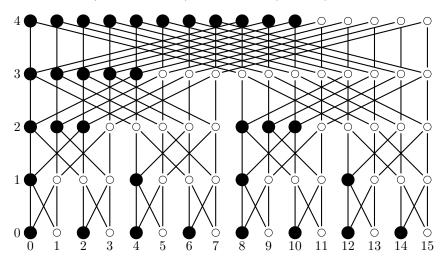


FIGURE 2. The set $S^{(4)}$ indicated by filled vertices.

Next we want to verify that $S^{(r)}$ is a zero forcing set for BF(r). For this purpose we set $X_0 = S^{(r)}$ and define a sequence X_1, X_2, \ldots, X_{2r} of vertex sets by

$$X_{k} = X_{k-1} \cup \left\{ (x, r-k) \in V_{r-k}^{(r)} : \left\{ (x, r-k) \right\} = N(v) \setminus X_{k-1} \text{ for some } v = (y, r-k+1) \in X_{k-1} \right\}$$
(3)
$$X_{r+k} = X_{r+k-1} \cup \left\{ (x, k) \in V_{k}^{(r)} : \left\{ (x, k) \right\} = N(v) \setminus X_{r+k-1} \text{ for some } v = (y, k-1) \in X_{r+k-1} \right\}$$
(4)

for $k=1,\ldots,r$. These sets can be interpreted in terms of the forcing process as follows. The sets X_0 to X_r correspond to forcing downwards: X_0 is the set of initially colored vertices, X_1 is the set of colored vertices after the level r vertices in X_0 have been used to force vertices on level r-1, X_2 is the set of colored vertices after the level r-1 vertices in X_1 have been used to force vertices on level r-2, etc., up to X_r , which is the set of colored vertices after the level 1 vertices in X_{r-1} have been used to force vertices on level 0. Then we turn around and force upwards: X_{r+1} is the set of colored vertices after the level 0 vertices in X_r have been used to force vertices on level 1, X_{r+2} is the set of colored vertices after the level 1 vertices in X_{r+1} have been used to force vertices on level 2, etc., up to X_{2r} , which is the set of colored vertices after the level r-1 vertices in X_{2r-1} have been used to force vertices on level r-1. As a consequence, in order to prove that $X_0 = S^{(r)}$ is a zero forcing set, it is sufficient to prove that $X_{2r} = V^{(r)}$. This is the purpose of the following four lemmas. Lemma 2 describes the downward forcing step from level r-k+1 to level r-k, which is then used inductively in Lemma 3 to obtain an explicit description of the sets X_0 to X_r . Similarly, Lemma 4 describes the upward forcing step from level k-1 to level k, which is then used inductively in Lemma 5 to obtain an explicit description of the sets X_r to X_{2r} .

Lemma 2. Fix
$$i \in \{0, 1, ..., r-1\}$$
, and let $Y = Y(i) \cup Y(i+1) \cup ... \cup Y(r) \subseteq V^{(r)}$ be defined by
$$Y(i) = S_i^{(r)} = \left\{ (x, i) \in V_i^{(r)} : 2^{i+1}\ell \leqslant x \leqslant 2^{i+1}\ell + J_{i+1} - 1 \text{ for some } \ell \right\}$$

$$Y(j) = \left\{ (x, j) \in V_j^{(r)} : 2^{j}\ell \leqslant x \leqslant 2^{j}\ell + J_{j+1} - 1 \text{ for some } \ell \right\}$$
for $j \in \{i+1, ..., r\}$.

Furthermore, let Z be the set of vertices on level i that can be forced by vertices in Y(i+1) when Y is the set of colored vertices, that is, $Z = \{(x,i) : \{(x,i)\} = N(v) \setminus Y \text{ for some } v = (y,i+1) \in Y\}$. Then

$$Y(i) \cup Z = \left\{ (x, r - k) \in V_i^{(r)} : 2^i \ell \leqslant x \leqslant 2^i \ell + J_{i+1} - 1 \text{ for some } \ell \right\}.$$
 (5)

Proof. Let (x,i) be an arbitrary element of the right hand side of (5), say $2^i\ell \leqslant x \leqslant 2^i\ell + J_{i+1} - 1$. We need to show that $(x,i) \in Y(i) \cup Z$. If ℓ is even, then $2^{i+1}(\ell/2) \leqslant x \leqslant 2^{i+1}(\ell/2) + J_{i+1} - 1$ and $(x,i) \in S_i^{(r)} = Y(i)$. Otherwise, $\ell = 2\ell' + 1$ for some integer ℓ' and $2^{i+1}\ell' + 2^i \leqslant x \leqslant 2^{i+1}\ell' + 2^i + J_{i+1} - 1$. For $y = x - 2^i$, the

vertex (y, i + 1) is in Y because

$$2^{i+1}\ell' \leqslant y \leqslant 2^{i+1}\ell' + J_{i+1} - 1 \leqslant 2^{i+1}\ell' + J_{i+2} - 1.$$

We want to show that (y, i + 1) forces (x, i). Let $\ell'' = \lfloor \ell'/2 \rfloor$, so that $\ell' = 2\ell'' + \varepsilon$ with $\varepsilon \in \{0, 1\}$. The neighborhood of (y, i + 1) is

$$N((y, i+1)) = \begin{cases} \{(y, i), (x, i)\} & \text{if } i = r-1, \\ \{(y, i), (x, i), (y, i+2), (y+(-1)^{\varepsilon}2^{i+1}, i+2)\} & \text{if } i \leqslant r-2. \end{cases}$$

Now $(y,i) \in Y$, and for i = r - 1 that's all we need. Using 2, we have

$$2^{i+2}\ell'' = 2^{i+1}(\ell' - \varepsilon) \leqslant 2^{i+1}\ell' \leqslant y \leqslant 2^{i+1}\ell' + J_{i+1} - 1 = 2^{i+2}\ell'' + 2^{i+1}\varepsilon + J_{i+1} - 1 \leqslant 2^{i+2}\ell'' + J_{i+3} - 1$$
, and therefore $(y, i+2) \in Y$. Similarly,

Herefore $(y, i + 2) \in I$. Similarly,

$$2^{i+2}\ell'' = 2^{i+1}\ell' + (-1)^{\varepsilon}2^{i+1} \leqslant y + (-1)^{\varepsilon}2^{i+1} \leqslant 2^{i+2}\ell'' + 2^{i+1} + J_{i+1} - 1 \leqslant 2^{i+2}\ell'' + J_{i+3} - 1,$$

and therefore $(y+(-1)^{\varepsilon}2^{i-1},i+2)\in Y$. Consequently, $\{(x,i)\}=N((y,i+1))\setminus Y$, and this implies $(x,i)\in Z$. So, we have verified

$$Y(i) \cup Z \supseteq \left\{ (x,i) \in V_i^{(r)} : 2^i \ell \leqslant x \leqslant 2^i \ell + J_{i+1} - 1 \text{ for some } \ell \right\}.$$

To prove the other inclusion, consider any vertex $(x,i) \in V_i^{(r)}$ which is not contained in the right hand side of (5), that is, $2^i \ell + J_{i+1} \leq x \leq 2^i (\ell+1) - 1$ for some $\ell = 2\ell' + \varepsilon$. In particular, $(x,i) \notin Y(i)$, and it remains to be checked that $(x,i) \notin Z$, that is, (x,i) cannot be forced. We have

$$N((x,i)) \cap V_{i+1}^{(r)} = \{(x,i+1), (x+(-1)^{\varepsilon}2^i, i+1)\}.$$

If $(x, i + 1) \in Y$ then

$$\ell \equiv 0 \text{ or } 1 \pmod{4} \implies (x+2^{i+1},i+2) \in N((x,i+1)) \setminus Y,$$

$$\ell \equiv 2 \text{ or } 3 \pmod{4} \implies (x,i+2) \in N((x,i+1)) \setminus Y$$

If $(x + (-1)^{\varepsilon} 2^i, i + 1) \in Y$ then ℓ is odd and

$$\ell \equiv 1 \pmod{4} \implies (x+2^{i+1},i+2) \in N((x,i+1)) \setminus Y,$$

 $\ell \equiv 3 \pmod{4} \implies (x,i+2) \in N((x,i+1)) \setminus Y.$

In all cases it follows that $(x, i) \notin \mathbb{Z}$, and this concludes the proof.

Lemma 3. For $k \in \{0, 1, ..., r\}$, $X_k = X_k(0) \cup X_k(1) \cup ... \cup X_k(r)$ with

$$X_k(i) = S_i^{(r)}$$
 for $i \in \{0, 1, ..., r - k\}$

$$X_k(i) = \{(x, i) \in V_i^{(r)} : 2^i \ell \leqslant x \leqslant 2^i \ell + J_{i+1} - 1 \text{ for some } \ell \}$$
 for $i \in \{r - k + 1, ..., r\}$.

In particular, $X_r(0) = V_0^{(r)}$.

Proof. We proceed by induction on k. For k = 0, there is nothing to do since $X_0 = S^{(r)} = S_0^{(r)} \cup \cdots \cup S_r^{(r)}$. Let $k \ge 1$ and set i = r - k. By (3), the sets X_k and X_{k-1} differ only on level i, that is, $X_k(j) = X_{k-1}(j)$ for all $j \ne i$. By induction, this implies

$$X_k(j) = S_j^{(r)}$$
 for $j \in \{0, 1, \dots, i-1\}$

$$X_k(j) = \left\{ (x, j) \in V_j^{(r)} : 2^j \ell \leqslant x \leqslant 2^j \ell + J_{j+1} - 1 \text{ for some } \ell \right\}$$
 for $j \in \{i+1, \dots, r\}$.

Also by induction,

$$X_{k-1}(j) = S_j^{(r)}$$
 for $j = i$
$$X_{k-1}(j) = \left\{ (x,j) \in V_j^{(r)} : 2^j \ell \leqslant x \leqslant 2^j \ell + J_{j+1} - 1 \text{ for some } \ell \right\}$$
 for $y \in \{i+1, \dots, r\},$

and we can apply Lemma 2 with $Y = X_{k-1}(i) \cup X_{k-1}(i+1) \cup \cdots \cup X_{k-1}(r)$ to obtain

$$X_k(i) \stackrel{(3)}{=} X_{k-1}(i) \cup \left\{ (x,i) \in V_i^{(r)} : \left\{ (x,i) \right\} = N(v) \setminus X_{k-1} \text{ for some } v = (y,i+1) \in X_{k-1} \right\}$$

$$= \left\{ (x,i) \in V_i^{(r)} : 2^i \ell \leqslant x \leqslant 2^i \ell + J_{i+1} - 1 \text{ for some } \ell \right\}. \quad \Box$$

Lemma 4. Fix $i \in \{1, 2, ..., r\}$, and let $Y = Y(0) \cup Y(1) \cup \cdots \cup Y(i)$ be defined by

$$Y(j) = V_j^{(r)}$$
 for $i \in \{0, 1, ..., i - 1\},$

$$Y(i) = \{(x, i) \in V_i^{(r)} : 2^i \ell \leqslant x \leqslant 2^i \ell + J_{i+1} - 1 \text{ for some } \ell \}.$$

Furthermore, let Z be the set of vertices on level i that can be forced by vertices in $Y(i-1) = V_{i-1}^{(r)}$ when Y is the set of colored vertices, that is, $Z = \{(x,i) : \{(x,i)\} = N(v) \setminus Y \text{ for some } v = (y,i-1) \in Y\}$. Then $Y(i) \cup Z = V_i^{(r)}$.

Proof. Let (x,i) be an arbitrary element of $V_i^{(r)}$. We need to show that $(x,i) \in Y(i) \cup Z$. If $2^i \ell \leqslant x \leqslant 2^i \ell + J_{i+1} - 1$ for some ℓ , then $(x,i) \in Y(i)$. Otherwise $2^i \ell + J_{i+1} \leqslant x \leqslant 2^i (\ell+1) - 1$ for some ℓ . Setting $y = x - 2^{i-1}$, and observing that $0 \leqslant J_{i+1} - 2^{i-1} \leqslant y < x \leqslant 2^r - 1$, we obtain $(y,i-1) \in V_{i-1}^{(r)} = Y(i-1)$. The only neighbors of (y,i-1) that are potentially not in Y are (x,i) and (y,i). Using (2), we obtain

$$2^{i}\ell \leqslant 2^{i}\ell + J_{i-1} \stackrel{(2)}{=} 2^{i}\ell + J_{i+1} - 2^{i-1} \leqslant y \leqslant 2^{i}\ell + 2^{i-1} - 1 \stackrel{(2)}{=} 2^{i}\ell + J_{i+1} - J_{i-1} - 1 \leqslant 2^{i}\ell + J_{i+1} - 1,$$

and therefore, $(y,i) \in Y(i)$. As a consequence $\{(x,i)\} = N((y,i-1)) \setminus Y$, which implies $(x,i) \in Z$.

Lemma 5. For $k \in \{0, 1, ..., r\}$, $X_{r+k} = X_{r+k}(0) \cup X_{r+k}(1) \cup ... \cup X_{r+k}(r)$ with

$$X_{r+k}(i) = \begin{cases} V_i^{(r)} & \text{for } i \in \{0, \dots, k\}, \\ X_r(i) & \text{for } i \in \{k+1, k+2, \dots, r\}. \end{cases}$$

Proof. We proceed by induction on k. For k = 0, there is nothing to do since $X_r(0) = V_0^{(r)}$ by Lemma 3. For $k \ge 1$, the sets X_{r+k} and X_{r+k-1} differ only on level k (see (4)), and by induction,

$$X_{r+k}(i) = X_{r+k-1}(i) = V_i^{(r)}$$
 for $i \in \{0, 1, \dots, k-1\}$
 $X_{r+k}(i) = X_{r+k-1}(i) = X_r(i)$ for $i \in \{k+1, \dots, r-1\}$.

We can apply Lemma 4 with i = k and $Y = X_{r+k-1}(0) \cup X_{r+k-1}(1) \cup \cdots \cup X_{r+k-1}(k)$ to obtain

$$X_{r+k}(k) \stackrel{(4)}{=} X_{r+k-1}(k) \cup \left\{ (x,k) \in V_k^{(r)} : \{ (x,k) \} = N(v) \setminus X_{r+k-1} \text{ for some } v = (y,k-1) \in X_{r+k-1} \right\}$$
$$= V_k^{(r)}. \quad \Box$$

Combining Lemmas 3 and 5, we have proved that $S^{(r)}$ is indeed a zero forcing set for BF(r).

Lemma 6. For every
$$r \ge 1$$
, $S^{(r)}$ is a zero forcing set for the butterfly network BF (r) .

From Lemmas 1 and 6 we obtain an upper bound for the zero forcing number of the butterfly network.

Proposition 1. For every
$$r \ge 1$$
, $Z(BF(r)) \le \frac{1}{9}[(3r+7)2^r + 2(-1)^r]$.

4. The lower bound for
$$Z(\mathrm{BF}(r))$$

By (1), the corank of the adjacency matrix of a graph G provides a lower bound for the zero forcing number of G, and consequently we can conclude the proof of Theorem 1 by establishing the following result.

Proposition 2. Let F be a field, and let A_r denote the adjacency matrix of BF(r) over F. Then

$$\operatorname{rank}(A_r) \leqslant (r+1)2^r - \frac{1}{9} \left[(3r+7)2^r + 2(-1)^r \right] = \frac{2}{9} \left[(3r+1)2^r - (-1)^r \right].$$

We will prove this by verifying that the rows corresponding to vertices in $S^{(r)}$ are linear combinations of the rows corresponding to vertices in the complement of $S^{(r)}$. For this purpose, it turns out to be convenient to number the vertices recursively as indicated in Figure 3. Formally, this vertex numbering is given by a bijection $f: \{0,1,2,\ldots\}^2 \to \{1,2,3,\ldots\}$ defined as follows. For a positive integer x, let $g(x) = \lfloor \log_2(x) + 1 \rfloor$, i.e., g(x) is the unique integer such that $2^{g(x)-1} \le x < 2^{g(x)}$. In addition, let g(0) = -1. Then,

$$f(x,i) = \begin{cases} i2^i + x + 1 & \text{if } i \geqslant g(x), \\ g(x)2^{g(x)-1} + f(x - 2^{g(x)-1}, i) & \text{if } i < g(x). \end{cases}$$
 (6)

Note that the first argument of f on the right hand side is smaller than on the left hand side, and this implies that the function f is indeed well-defined by (6). For example, using g(6) = 3 and g(2) = 2,

$$f(6,3) = 3 \times 8 + 6 + 1 = 31,$$

$$f(6,2) = 3 \times 4 + f(2,2) = 12 + 2 \times 4 + 2 + 1 = 23,$$

$$f(6,1) = 3 \times 4 + f(2,1) = 12 + 2 \times 2 + f(0,1) = 16 + 1 \times 2 + 0 + 1 = 19.$$

With respect to the vertex numbering given by (6), the adjacency matrices for BF(1) and BF(2) are

and in general, A_r has the structure illustrated in Figure 4 where I is the identity matrix of size $2^{r-1} \times 2^{r-1}$.

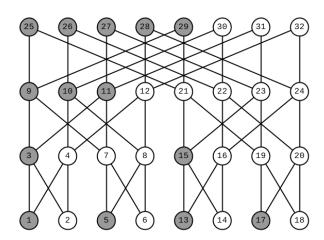


FIGURE 3. The butterfly network BF(3). The zero forcing set $S^{(3)}$ is indicated by darker vertices.

A_{r-1}		0		0 <i>I I</i>
0		A_{r-1}		0
	-		-	I I
0	I I	0	I I	0

FIGURE 4. The structure of the adjacency matrix of the butterfly network BF(r).

Using the vertex numbering given by (6), the upper bound construction for a zero forcing set $S^{(r)} \in V(BF(r))$ can be written recursively as $S^{(1)} = \{1,3\}$ and

$$S^{(r)} = S^{(r-1)} \cup \left\{ i + r2^{r-1} : i \in S^{(r-1)}, i \leqslant (r-1)2^{r-1} \right\} \cup \left\{ r2^r + 1, \dots, r2^r + J_{r+1} \right\}$$
 (7)

for $r \ge 2$. In order to prove Proposition 2 it is sufficient to show that every row $i \in S^{(r)}$ of A_r can be written as a linear combination of the rows in $\overline{S}^{(r)} = \{1, \ldots, (r+1)2^r\} \setminus S^{(r)}$. We proceed by induction on r. Let $A_r(i)$ denote the i-th row of A_r . The induction base is provided by checking the cases r = 1 and r = 2. For $S^{(1)} = \{1, 3\}$, we have

$$A_1(1) = A_1(2), (8)$$

$$A_1(3) = A_1(4), (9)$$

and for $S^{(2)} = \{1, 5, 3, 9, 10, 11\}$ (listed level by level) we have

$$A_2(1) = A_2(2), (10)$$

$$A_2(5) = A_2(6), (11)$$

$$A_2(3) = A_2(4) + A_2(7) - A_2(8), (12)$$

$$A_2(9) = A_2(2) + A_2(6) - A_2(12), (13)$$

$$A_2(10) = A_2(12), (14)$$

$$A_2(11) = A_2(2) + A_2(6) - A_2(12). (15)$$

The next two lemmas follow directly from the recursive structure illustrated in Figure 4.

Lemma 7. If $i \le (r-1)2^{r-1}$ and

$$A_{r-1}(i) = \sum_{j \in K^+} A_{r-1}(j) - \sum_{j \in K^-} A_{r-1}(j) \text{ for some } K^+, K^- \subseteq \overline{S}^{(r-1)},$$

then

$$\begin{split} A_r(i) &= \sum_{j \in K^+} A_r(j) - \sum_{j \in K^-} A_r(j) \quad and \\ A_r\left(i + r2^{r-1}\right) &= \sum_{j \in K'^+} A_r(j) - \sum_{j \in K'^-} A_r(j) \end{split}$$

where $K^+, K^- \subseteq \overline{S}^{(r)}$ and $K'^{\varepsilon} = \{j + r2^{r-1} : j \in K^{\varepsilon}\} \subseteq \overline{S}^{(r)}$ for $\varepsilon \in \{+, -\}$.

Lemma 8. If $(r-1)2^{r-1} + 1 \le i \le (r-1)2^{r-1} + J_r$ and

$$A_{r-1}(i) = \sum_{j \in K^+} A_{r-1}(j) - \sum_{j \in K^-} A_{r-1}(j) \text{ for some } K^+, K^- \subseteq \overline{S}^{(r-1)},$$

then

$$A_r(i) = \sum_{j \in K'^+} A_r(j) - \sum_{j \in K'^-} A_r(j)$$

where

$$\begin{split} K'^{+} &= K^{+} \cup \left\{ j + r2^{r-1} \ : \ j \in K^{-} \right\} \cup \left\{ i + r2^{r-1} \right\} \subseteq \overline{S}^{(r)}, \\ K'^{-} &= K^{-} \cup \left\{ j + r2^{r-1} \ : \ j \in K^{+} \right\} \subseteq \overline{S}^{(r)}. \end{split}$$

To illustrate the step from $A_{r-1}(i)$ to $A_r(i)$ in Lemma 8, consider r=3 and i=10. From (14), we have $K^+=\{12\}$ and $K^-=\emptyset$, and by Lemma 8 (note that $r2^{r-1}=12$), $K'^+=\{12,22\}$ and $K'^-=\{24\}$, hence

 $A_3(10) = A_3(12) + A_3(22) - A_3(24)$. This can be seen in terms of the recursive structure indicated in Figure 4 as follows. We have $A_2(10) = A_2(12) = e_4 + e_8$, and then,

$$A_3(10) = e_4 + e_8 + e_{26} + e_{30},$$
 $A_3(12) = e_4 + e_8 + e_{28} + e_{32},$ $A_3(22) = e_{16} + e_{20} + e_{26} + e_{30},$ $A_3(24) = e_{16} + e_{20} + e_{28} + e_{32}.$

Lemmas 7 and 8 take care of the first two components in the recursion for $S^{(r)}$ in (7). It remains to check the rows $r2^r + i$ for $i \in \{1, \ldots, J_{r+1}\}$. For $J_{r-1} + 1 \le i \le 2^{r-1}$ the required linear dependence is $A_r(r2^r + i) = A_r(r2^r + i + 2^{r-1})$, because $i + 2^{r-1} > J_{r+1}$ and therefore $r2^r + i + 2^{r-1} \in \overline{S}^{(r)}$. For $i > 2^{r-1}$ we have $i \le 2^{r-1} + J_{r-1}$ and $A_r(r2^r + i) = A_r(r2^r + i - 2^{r-1})$, and consequently it is sufficient to consider $i \in \{1, \ldots, J_{r-1}\}$. The induction step for these cases will be from BF(r-2) to BF(r), so we have to take the recursion for the adjacency matrix one step further which is illustrated in Figure 5. The basic idea is as follows.

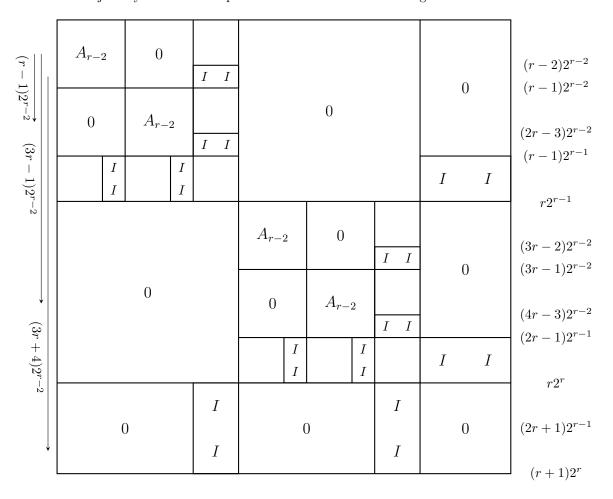


FIGURE 5. The second level of the recursion for A_r .

Let $i \in \{1, \dots, J_{r-1}\}$. Then $(r-2)2^{r-2} + i \in S^{(r-2)}$, and by induction there are sets K^+ , $K^- \subseteq \overline{S}^{(r-2)}$ such that

$$A_{r-2}\left((r-2)2^{r-2}+i\right) = \sum_{j \in K^+} A_{r-2}(j) - \sum_{j \in K^-} A_{r-2}(j),\tag{16}$$

or equivalently

$$\sum_{j \in K^{+}} A_{r-2}(j) - \sum_{j \in K'^{-}} A_{r-2}(j) = \mathbf{0}, \tag{17}$$

where $K'^- = K^- \cup \{(r-2)2^{r-2} + i\}$. This is a linear dependence of the rows of A_{r-2} with coefficients in $\{1, -1\}$ and involving exactly one of the rows $(r-2)2^{r-2} + 1, \ldots, (r-2)2^{r-2} + J_{r-1}$, namely $(r-2)2^{r-2} + i$. Putting $K = K^+ \cup K'^-$ we have

$$K \cap \{(r-2)2^{r-2} + 1, \dots, (r-2)2^{r-2} + J_{r-1}\} = \{(r-2)2^{r-2} + i\}.$$
 (18)

We now translate the |K| rows in this linear dependence by $(r-1)2^{r-2}$ and $(3r-1)2^{r-2}$ as indicated in Figure 5. The combination of the 2|K| translated rows is a $\{0,1,-1\}$ -vector \boldsymbol{x} which has all its nonzero entries in columns with indices in $\{(r-1)2^{r-1}+1,\ldots,r2^{r-1}\}\cup\{(2r-1)2^{r-1}+1,\ldots,r2^r\}$, and has $x_k=1$ for $k\in\{(r-1)2^{r-1}+i,(2r-1)2^{r-1}+i\}$ which are the one-entries of the row $A_r(r2^r+i)$. Finally, we use some of the rows $r2^r+J_{r+1}+1,\ldots,(r+1)2^r$ with the appropriate sign to eliminate the other nonzero entries of \boldsymbol{x} .

More precisely, we define $\tilde{K} = \tilde{K}^+ \cup \tilde{K}^- \subseteq \{1, \dots, (r+1)2^r\}$ with $\tilde{K}^+ = \tilde{K}_1^+ \cup \tilde{K}_2^+$ and $\tilde{K}^- = \tilde{K}_1^- \cup \tilde{K}_2^-$ where

$$\tilde{K}_{1}^{+} = \left\{ j + (r-1)2^{r-2} : j \in K^{\prime -} \right\} \cup \left\{ j + (3r-1)2^{r-2} : j \in K^{\prime -} \right\}$$
(19)

$$\tilde{K}_{1}^{-} = \left\{ j + (r-1)2^{r-2} : j \in K^{+} \right\} \cup \left\{ j + (3r-1)2^{r-2} : j \in K^{+} \right\}$$
 (20)

$$\tilde{K}_{2}^{+} = \{ j + (3r+4)2^{r-2} : j \in K^{+} \text{ with } j > (r-2)2^{r-2} \}$$

$$\tilde{K}_{2}^{-} = \left\{ j + (3r+4)2^{r-2} : j \in K^{-} \text{ with } j > (r-2)2^{r-2} \right\}
\cup \left\{ j + (3r+5)2^{r-2} : j \in K'^{-} \text{ with } j > (r-2)2^{r-2} \right\}.$$
(22)

The construction of \tilde{K} is illustrated for r=4 and i=1 in Figure 6. Here, we want to construct a linear

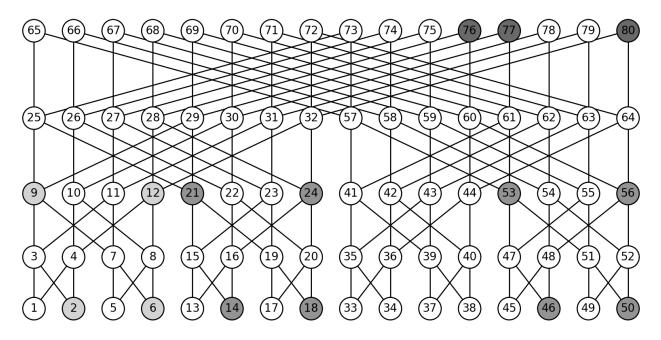


FIGURE 6. The construction of \tilde{K} for r=4 and i=1. Here $K^+=\{2,6\},\ K'^-=\{9,12\},\ \tilde{K}_1^-=\{14,18,46,50\},\ \tilde{K}_1^+=\{21,24,53,56\},\ \tilde{K}_2^-=\{76,77,80\},\ \tilde{K}_2^+=\emptyset.$

combination representing $A_4(65)$, and we start with (13) in the form $A_2(2) + A_2(6) - A_2(9) - A_2(12) = \mathbf{0}$ with $K^+ = \{2, 6\}$ and $K'^- = \{9, 12\}$. Shifting by 12 and 44, we obtain $\tilde{K}^- = \{14, 18, 46, 50\}$ and $\tilde{K}^+ = \{14, 18, 46, 50\}$

 $\{21, 24, 53, 56\}$, and looking at Figure 5, we can use $A_2(2) + A_2(6) - A_2(9) - A_2(12) = \mathbf{0}$ to verify that

$$A_4(21) + A_4(24) + A_4(53) + A_4(56) - A_4(14) - A_4(18) - A_4(46) - A_4(50)$$

$$= e_{25} + e_{28} + e_{29} + e_{32} + e_{57} + e_{60} + e_{61} + e_{64},$$

where the right hand side corresponds to the (level 3)-neighborhood of $\{21, 24, 53, 56\}$ which is the set of indices coming from shifting 9 and 12. The contributions of rows from shifting 2 and 6 cancel, because these rows do not see the identity matrices in columns 25 to 32 (see Figure 4), which reflects the fact that vertices 2 and 6 don't have neighbors on level 3. Now we note that the neighborhood of $\{76, 77, 80\}$ is $\{28, 29, 32, 60, 61, 64\}$, and conclude

$$A_4(21) + A_4(24) + A_4(53) + A_4(56) - A_4(14) - A_4(18) - A_4(46) - A_4(50) - A_4(76) - A_4(77) - A_4(80)$$

$$= \mathbf{e}_{25} + \mathbf{e}_{57} = A_4(65),$$

as required.

Lemmas 9 and 10 state that \tilde{K} has the required properties.

Lemma 9. Let $r \geq 3$, $i \in \{1, ..., J_{r-1}\}$, suppose $K \subseteq \overline{S}^{(r-2)}$ satisfies (16), and define \tilde{K} by (19) to (22). Then $\tilde{K} \subset \overline{S}^{(r)}$.

Proof. Note that by construction

$$\tilde{K}_1 = \tilde{K}_1^+ \cup \tilde{K}_1^- \subseteq \left\{ (r-1)2^{r-2} + 1, \dots, (r-1)2^{r-1} \right\} \cup \left\{ (3r-1)2^{r-2} + 1, \dots, (2r-1)2^{r-1} \right\}. \tag{23}$$

Suppose there is an element $j \in K$ such that $k = j + (r-1)2^{r-2} \in \tilde{K}_1 \cap S^{(r)}$. From $j \in K \subseteq V^{(r-2)}$ it follows that $k \leq (r-1)2^{r-2} + (r-1)2^{r-2} = (r-1)2^{r-1} < r2^{r-1}$. Using (7), we obtain

$$k \in S^{(r)} = S^{(r-1)} \cup \left\{ p + r2^{r-1} \ : \ p \in S^{(r-1)}, \ p \leqslant (r-1)2^{r-1} \right\} \cup \left\{ r2^r + 1, \dots, r2^r + J_{r+1} \right\}$$

$$\stackrel{k \leqslant r2^{r-1}}{\Longrightarrow} \ k \in S^{(r-1)} = S^{(r-2)} \cup \left\{ p + (r-1)2^{r-2} \ : \ p \in S^{(r-2)}, \ p \leqslant (r-2)2^{r-2} \right\}$$

$$\cup \left\{ (r-1)2^{r-2} + 1, \dots, (r-1)2^{r-1} + J_r \right\}$$

$$\Longrightarrow \ k = p + (r-1)2^{r-2} \ \text{for some} \ p \in S^{(r-2)} \ \text{with} \ p \leqslant (r-2)2^{r-2},$$

which contradicts the assumption that $j \in K \subseteq \overline{S}^{(r-2)} \cup \{(r-2)2^{r-2}+i\}$. Similarly, for $k = j + (3r-1)2^{r-1} \in \tilde{K}_1 \cap S^{(r)}$ we obtain

$$\begin{split} k \in S^{(r)} &= S^{(r-1)} \cup \left\{ p + r2^{r-1} \ : \ p \in S^{(r-1)}, \, p \leqslant (r-1)2^{r-1} \right\} \cup \left\{ r2^r + 1, \dots, r2^r + J_{r+1} \right\} \\ \Longrightarrow k = p + r2^{r-1} \text{ for some } p \in S^{(r-1)} \text{ with } p \leqslant (r-1)2^{r-1} \\ \Longrightarrow k = q + (r-1)2^{r-2} \text{ for some } q \in S^{(r-2)} \text{ with } q \leqslant (r-2)2^{r-2}, \end{split}$$

where we use $k > (3r-1)2^{r-2}$ for the last implication. Again we obtain a contradiction to the assumption that $j \in K \subseteq \overline{S}^{(r-2)} \cup \{(r-2)2^{r-2} + i\}$. Finally, the elements of $\tilde{K}_2 = \tilde{K}_2^+ \cup \tilde{K}_2^-$ are in $\overline{S}^{(r)}$ since for $j \in K^+ \cup K^-$ we have

$$j > (r-2)2^{r-2} \implies j > (r-2)2^{r-2} + J_{r-1} \implies j + (3r+4)2^{r-2} > r2^r + 2^{r-1} + J_{r-1} = 2^r + J_{r+1},$$
 and for $j \in K'$,

$$j > (r-2)2^{r-2} \implies j + (3r+5)2^{r-2} > r2^r + 2^{r-1} + 2^{r-2} > r2^r + J_{r+1},$$

and this concludes the proof of the lemma.

Lemma 10. Let $r \geqslant 3$, $i \in \{1, \ldots, J_{r-1}\}$, suppose $K^+, K^- \subseteq \overline{S}^{(r-2)}$ satisfy (16), and define \tilde{K}^+ and \tilde{K}^- by (19) to (22). Then

$$A_r(r2^r + i) = \sum_{j \in \tilde{K}^+} A_r(j) - \sum_{j \in \tilde{K}^-} A_r(j).$$
 (24)

Proof. Setting

$$\boldsymbol{x} = \sum_{j \in \tilde{K}_1^+} A_r(j) - \sum_{j \in \tilde{K}_1^-} A_r(j), \qquad \boldsymbol{y} = A_r(r2^r + i) - \sum_{j \in \tilde{K}_2^+} A_r(j) + \sum_{j \in \tilde{K}_2^-} A_r(j)$$

equation (24) is equivalent to x = y. From (23) and (16) it follows that

$$\operatorname{supp}(\boldsymbol{x}) \subseteq \left\{ (r-1)2^{r-1} + 1, \dots, r2^{r-1} \right\} \cup \left\{ (2r-1)2^{r-1} + 1, \dots, r2^r \right\},\,$$

and by construction, for every $j \in \{1, \dots, 2^{r-2}\}$,

$$x((r-1)2^{r-1}+j) = x((r-1)2^{r-1}+2^{r-2}+j) = x((2r-1)2^{r-1}+j) = x((2r-1)2^{r-1}+j)$$

Denoting this value by $\tilde{x}(i)$, we have

$$\tilde{\boldsymbol{x}}(j) = \begin{cases}
1 & \text{if } (r-2)2^{r-2} + j \in K'^{-}, \\
-1 & \text{if } (r-2)2^{r-2} + j \in K^{+}, \\
0 & \text{otherwise.}
\end{cases}$$
(25)

From (21) and (22) it follows that

$$\tilde{K}_2^+ \cup \tilde{K}_2^- \cup \{(2r+1)2^{r-1} + i\} \subseteq \{(2r+1)2^{r+1} + 1, \dots, (r+1)2^r\},\$$

and therefore

$$\operatorname{supp}(\boldsymbol{y}) \subseteq \left\{ (r-1)2^{r-1} + 1, \dots, r2^{r-1} \right\} \cup \left\{ (2r-1)2^{r-1} + 1, \dots, r2^r \right\},\,$$

After replacing $A_r(r2^r + i)$ by $A_r(r2^r + 2^{r-1} + i)$ (which we can do since the two rows are equal), the rows contributing to \boldsymbol{y} come in pairs $(j, j + 2^{r-2})$ where both rows in each pair have the same sign in \boldsymbol{y} . Therefore,

$$y((r-1)2^{r-1}+j) = y((r-1)2^{r-1}+2^{r-2}+j) = y((2r-1)2^{r-1}+j) = y((2r-1)2^{r-1}+j)$$

For $j \in \{1, ..., 2^{r-2}\}$ we have $\mathbf{y}\left((r-1)2^{r-1}+j\right)=1$ if and only if $(2r+1)2^{r-1}+j=j'+(3r+4)2^{r-1}$ for some $j' \in K'^-$, or equivalently $j' = (r-2)2^{r-2}+j \in K'^-$. Similarly, we have $\mathbf{y}\left((r-1)2^{r-1}+j\right)=-1$ if and only if $j' = (r-2)2^{r-2}+j \in K^+$, and comparing this with (25) we conclude $\mathbf{x} = \mathbf{y}$, as required.

Proof of Proposition 1. The statement follows by induction with base (8)–(15), using Lemmas 7, 8, 9 and 10 for the induction step. \Box

Finally, Theorem 1 is a consequence of Propositions 1 and 2.

5. Additional comments

For a graph G = (V, E) and a zero-forcing set $S \subseteq V$, the propagation time $\operatorname{pt}(S)$ has been defined in [15] as the length m of the increasing sequence $S = S_0 \subsetneq S_1 \subseteq \cdots \subsetneq S_m = V$, where

$$S_i = S_{i-1} \cup \{w : \{w\} = N(v) \cap S_{i-1} \text{ for some } v \in S_{i-1}\}$$
 for $i = 1, 2 \dots$

The propagation time of $\operatorname{pt}(G)$ of the graph G is the minimum of the propagation times $\operatorname{pt}(S)$ over all minimum zero-forcing sets S. The construction in Section 3 gives the upper bound $\operatorname{pt}(\operatorname{BF}(r)) \leqslant 2r$, and we leave it as an open problem to determine the propagation time of $\operatorname{BF}(r)$. As mentioned in the introduction, a concept closely related to zero-forcing, called *power domination*, was introduced in [13]. A vertex set $S \subseteq V$ is called power dominating if the closed neighborhood $N[S] = S \cup \{w : vw \in E \text{ for some } v \in S\}$ is a zero forcing set. It was shown in [3] that $Z(G)/\Delta$ provides a lower bound for the size of a power dominating set in G where Δ is the maximum degree of G. This implies that the power domination number of the butterfly network $\operatorname{BF}(r)$, that is, the minimum size of a power dominating set, is at least

$$\left[\frac{1}{36} \left[(3r+7)2^r + 2(-1)^r \right] \right].$$

This bound does not appear to be tight and we leave for future work the problems of finding the power domination number of the butterfly network as well as its *power propagation time* which is defined in [12] as

$$ppt(G) = 1 + min\{pt(N[S]) : S \text{ is a minimum power dominating set in } G\}.$$

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