



# Partial label learning with emerging new labels

Xiang-Ru Yu<sup>1,2</sup> · Deng-Bao Wang<sup>2,3</sup> · Min-Ling Zhang<sup>2,3</sup> 

Received: 26 May 2022 / Revised: 3 September 2022 / Accepted: 12 September 2022 /

Published online: 17 October 2022

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## Abstract

Partial label learning deals with the problem where each training instance is associated with a set of candidate labels, among which only one is valid. Existing approaches on partial label learning assume that the scale of label space is fixed, however, this assumption may not be satisfied in open and dynamic environment. In this paper, the first attempt towards the problem of *partial label learning with emerging new labels* is presented. There are mainly three challenges in this task, namely new label detection, effective classification, and efficient model updating. Specifically, a new method is proposed to address these challenges which consists of three parts: (1) An ensemble-based detector that identifies instances from new labels while also assigns candidate labels to instances which may belong to known labels. (2) An effective classification mechanism that involves data pool construction and label disambiguation process. (3) An efficient updating procedure that adapts both the detector and classifier to new labels without training from scratch. Our experiments on artificial and real-world partial label data sets validate the effectiveness of the proposed method in dealing with emerging labels for partial label learning.

**Keywords** Machine learning · Partial label learning · Incremental learning · Anomaly detection

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Editors: Yu-Feng Li, Prateek Jain.

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✉ Min-Ling Zhang  
zhangml@seu.edu.cn

Xiang-Ru Yu  
yuxr@seu.edu.cn

Deng-Bao Wang  
wangdb@seu.edu.cn

<sup>1</sup> School of Cyber Science and Engineering, Southeast University, Nanjing 210096, Jiangsu, China

<sup>2</sup> Key Laboratory of Computer Network and Information Integration (Southeast University), Ministry of Education, Nanjing 210096, Jiangsu, China

<sup>3</sup> School of Computer Science and Engineering, Southeast University, Nanjing 210096, Jiangsu, China

# 1 Introduction

Partial label learning is a kind of weakly supervised learning paradigm (Zhou 2018), which deals with the problem where each training instance is associated with a set of candidate labels, among which only one is valid and not directly accessible by the training algorithms (Nguyen and Caruana 2008; Lv et al. 2020; Yan and Guo 2020; Xu et al. 2021). The need of partial label learning arises in many real-world applications such as web mining (Chen et al. 2017), automatic face annotation (Hu et al. 2021), natural language processing (Zhou et al. 2018), etc.

Existing studies on partial label learning are usually conducted based on the assumption that the learning environment is static, such as the number of labels is fixed during training and testing. However, the environment in many real-world tasks is open and dynamic, which break the stationary assumption (Zhang et al. 2022; Mancini et al. 2022). For example, in topic categorization, a document may be annotated with a set of labels while only one is correct, which can be formulated as partial label learning problem. Moreover, new topics may arise at any time in online environment, which requires the categorization system to be adjusted quickly (Masud et al. 2010; Zhou et al. 2021). Therefore, in this paper, we investigate a new problem, i.e., partial label learning with emerging new labels.

Formally, let  $\mathcal{X}$  denote the instance space and  $\mathcal{Y}_t$  denote the label space at time  $t$ . In the beginning, we are given an initial partial label data set  $\mathcal{D}_0 = \{(\mathbf{x}_i, S_i) \mid 1 \leq i \leq n\}$ , where  $\mathbf{x}_i \in \mathcal{X}$  is a  $d$ -dimensional feature vector  $(x_{i,1}, x_{i,2}, \dots, x_{i,d})^T$  and  $S_i \subseteq \mathcal{Y}_0$  denotes the associated candidate label set. There are  $q$  different labels in the initial label space, i.e.,  $\mathcal{Y}_0 = [1, q]$ . Following the key assumption of partial label learning, the ground truth label  $y_i$  of  $\mathbf{x}_i$  is concealed in  $S_i$  and cannot be accessed by the learning algorithm. Let  $\mathcal{D} = \{\mathbf{x}'_t \mid 1 \leq t \leq \infty\}$  be the data stream, where  $\mathbf{x}'_t$  arrives at time  $t$ . Following the commonly used assumption in learning with emerging new labels, the ground truth label  $y'_t$  of  $\mathbf{x}'_t$  cannot be accessed in the entire data stream. Our task aims to continuously update the model when new labels emerge, while maintain the overall performance on the entire data stream.

Compared with traditional partial label learning, there exist three major challenges to be solved in this new task: (1) New label detection is challenging without accessing any instance from emerging labels. Moreover, given partially labeled data, this problem is even more difficult due to the ambiguity in label space. (2) The classification on data stream is difficult since the ground-truth labels cannot be available. (3) The detector and classifier need to be efficiently updated to deal with new labels while maintain the performance on known labels, which means that they need to be reusable during the dynamic training procedure, instead of retraining them from scratch in each period. In this paper, a new method named PLENL, i.e., *Partial Label learning with Emerging New Labels*, is proposed to tackle the above challenges. Specifically, PLENL consists of three parts: (1) An ensemble-based detector is designed to detect the instances of new labels, while also estimates the candidate labels effectively; (2) The classification is solved by firstly constructing a data pool which contains representative instances of each label and then using a graph-based manifold consistency mechanism to disambiguate the labels. (3) The detector and classifier can be efficiently updated by continuously adding individual detectors which focus on emerging labels to enhance the ensemble-based detector and expanding the data pool with new instances.

The rest of this paper is organized as follows. Section 2 briefly reviews the related work on learning with emerging new labels and partial label learning. Section 3 presents the

technical details of the proposed PLENL method. Section 4 reports the experiment results against comparing methods. Finally, the conclusion is presented in Sect. 5.

## 2 Related work

For the learning systems in open and dynamic environment, it is important to develop algorithms which can adapt to emerging new labels while maintain the performance on previous labels (Zhu et al. 2018; Zhu and Li 2020; Hu et al. 2021; Zhou et al. 2021). Masud et al. (2010) propose to delay the prediction process under maximum allowable waiting time, since they assume that the ground-truth label would be given after a certain time interval. However, this assumption is not always realistic in real-world applications. Da et al. (2014) propose a simplified setting called learning with augmented label, in which all unseen labels will be treated as an augmented label. Mu et al. (2017b) address the problem of learning with emerging new labels using a new method called SENC-Mas, which utilizes two matrix sketches to build the classifier and detector simultaneously. Zhu and Li (2020) propose a method namely SEEN which detects new label via a typical anomaly detection method iForest (Liu et al. 2008) and conducts classification by graph-based method. Mu et al. (2017a) propose SENCForest which achieves classification and new label detection in a unified framework using completely random trees. Zhu et al. (2018) propose to tackle the classification and new label detection by taking the dependence among labels into account to improve performance.

The above methods tackle new label detection by simply transforming this problem into an anomaly detection problem (Chandola et al. 2009; Akoglu et al. 2015). However, these two problems are actually different. Intuitively speaking, anomaly usually refers to noisy instances, which only account for a small proportion in the whole data set. Differently, new label detection focuses on the emergence of new pattern and the instances from those new labels may dominate the data stream. Furthermore, anomaly detection does not pay attention to the discrimination of known labels, which may be very helpful to detect new labels.

Previous works usually assume that the initial training instances are associated with explicit labels, however, these explicit labels cannot be collected in some special scenario. In many applications, training instances are annotated with only partial labels, i.e., partial label learning (Nguyen and Caruana 2008; Feng et al. 2020; Lv et al. 2020; Xu et al. 2021). Partial label learning can be regarded as a kind of weakly-supervised learning paradigm, in which the ground-truth label of each instance is concealed in a candidate label set and inaccessible during training phase. This problem is similar to multi-instance learning and multi-label learning and learning with noisy labels (Foulds and Frank 2010; Zhang and Zhou 2013; Bai and Liu 2021). Although the relation between instance and label is ambiguous in both multi-instance learning and partial label learning, the ambiguity exists in feature space for the former and label space for the latter one. In multi-label learning, all candidate labels are valid, while there is only one valid label among candidate labels in partial label learning. Learning with noisy labels focuses on the correction the wrong labels via credible sample selection (Xia et al. 2021). Note that the partial label learning problem could be transformed into learning with noisy labels problem if we decompose each partial label instance into multiple noisy label instance according to its candidate labels. There are many approaches have been proposed for partial label learning in the past decades. Label disambiguation is usually considered as a principal approach to solve this problem. Averaging-based disambiguation treats all the candidate labels in an equal

manner, then makes the prediction by averaging their model outputs. In (Cour et al. 2011), the outputs over all candidate labels is averaged and distinguished from the outputs over all non-candidate labels. Gong et al. (2017) determine the prediction of a test instance by directly voting among all the candidate labels of its neighbors. Although the averaging-based disambiguation is intuitive and easy to be implemented, its effectiveness is prone to be affected by the false positive labels whose outputs would overwhelm the output of the ground-truth label. Another way towards disambiguation is to identify the ground-truth label during training. Existing approaches along this line treat the ground-truth label as a latent variable, which could be determined by the maximum likelihood criterion. Then, the Expectation-Maximization (EM) procedure is used to refine the estimation of the latent variable and optimize the model parameters iteratively (Jin and Ghahramani 2002). The drawback of identification-based disambiguation lies in that the prediction might be misled by the false positive labels in the candidate label set. In recent years, the graph-based disambiguation methods are proposed, which utilize local manifold structure in feature space to determine the ground-truth label (Wang et al. 2019; Zhang and Yu 2015). However, the above studies assume that learning algorithms would be implemented in static environment, thus, these methods cannot continuously adapt the emerging new labels in open and dynamic environment.

In this paper, we introduce a novel approach named PLENL to tackle the problem of partial label learning with emerging new labels. To the best of our knowledge, this is the first attempt on this complicated problem. The details of PLENL will be presented in the next section.

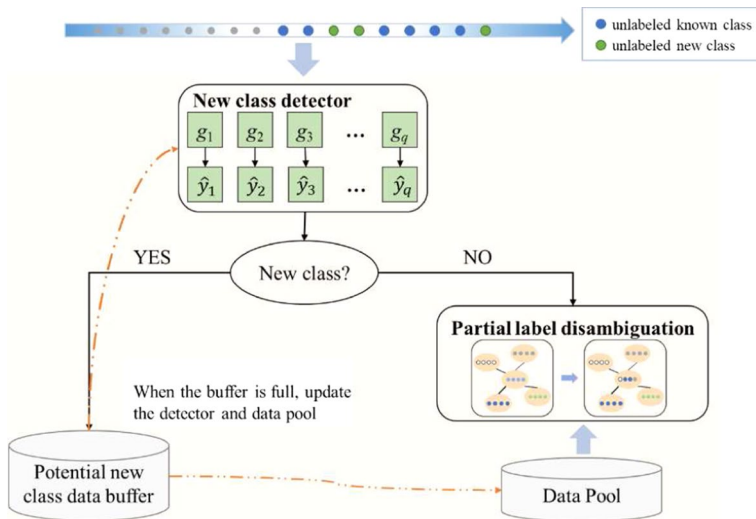
### 3 The PLENL approach

Following the notations in Section 1, in the beginning, we are given the initial data set  $\mathcal{D}_0$  containing instances only from known labels in  $\mathcal{Y}_0$ , where all the instances in  $\mathcal{D}_0$  are partially labeled, i.e., the ground truth labels of those instances must locate in  $\mathcal{Y}_0$ , which cannot offer any information of the emerging new labels. After that, new labels may continuously emerge in the data stream  $\mathcal{D} = \{\mathbf{x}'_t\}_{t=1}^{\infty}$ , while the ground-truth labels of instances in  $\mathcal{D}$  may come from initial label set  $\mathcal{Y}_0$  or its complementary set  $\mathcal{Y} \setminus \mathcal{Y}_0$ , but they are inaccessible in the entire learning procedure.

To address this problem, we need to continuously detect new labels and update the model to deal with these emerging labels while maintain the classification performance on the known labels. A schematic description of the overall framework of PLENL is shown in Fig. 1. As shown in this section, we introduce our method from three perspectives: new label detection, data stream classification and model updating. Overall, we employ an ensemble-based detector to identify new labels and a graph-based label disambiguation method to perform classification. We will show that both the detector and classifier can be efficiently updated by simply plugging an individual classifier for the new label. In the following subsections, we present each part of our method in details.

#### 3.1 New label detection

Following the common assumption (Mu et al. 2017b; Zhu and Li 2020), there would be only one new label  $y^*$  emerges in each period. Therefore, for each period,  $\mathbf{x}'_t$  could be identified as



**Fig. 1** The illustration of our proposed PLENL framework

an instance from the new labels  $y^*$  if it does not belong to any known label in  $\mathcal{Y}_{t-1}$ , which can be presented as follows:

$$P(y^* | \mathbf{x}'_t) = \prod_{i=1}^{|\mathcal{Y}_{t-1}|} (1 - P(y_i | \mathbf{x}'_t)) \quad (1)$$

Thus, we can use a set of classifiers of known labels to conduct new label detection. In the beginning, to learn classifiers from the initial partial label data set  $\mathcal{D}_0$ , we firstly decompose  $\mathcal{D}_0$  into  $q$  data sets  $\{\mathcal{D}_0^1, \mathcal{D}_0^2, \dots, \mathcal{D}_0^q\}$ , where  $\mathcal{D}_0^j = \{\mathbf{x}_i | j \in S_i, 1 \leq i \leq n\}$ . For each data set, we will use one-class SVM to induce an individual classifier  $g$ , and the objective function of one-class SVM is presented as follows:

$$\begin{aligned} \min_{\theta, \xi, \rho} \quad & \frac{1}{2} \|\theta\|^2 + \frac{1}{vn} \sum_{i=1}^n \xi_i - \rho \\ \text{s.t.} \quad & \theta \cdot \Phi(\mathbf{x}_i) \geq \rho - \xi_i, \xi_i \geq 0 \end{aligned} \quad (2)$$

where  $\theta$  and  $\rho$  are the parameters of hyperplane,  $\theta$  is the normal vector, and  $\rho$  is the offset of hyperplane;  $\xi$  is the slack variable;  $n$  is the number of training data;  $v \in (0, 1)$  controls the trade-off between model complexity and performance;  $\Phi$  denotes the kernel function. In this paper, we employ the commonly used RBF kernel, i.e.,  $K(\mathbf{x}_i, \mathbf{x}_j) = \exp(-\gamma \|\mathbf{x}_i - \mathbf{x}_j\|)$ . By optimizing the Eq. 2, the classification hyperplane can be determined. After optimization, the corresponding classification result for instance  $\mathbf{x}'_t$  is induced as  $g(\mathbf{x}'_t) = \text{sgn}(w^T \Phi(\mathbf{x}'_t) - \rho)$ . Then, the detector  $\mathcal{G}$  is built by ensembling all these binary classifiers:

$$\mathcal{G}(\mathbf{x}'_t) = \begin{cases} 1, & \text{if } g_j(\mathbf{x}'_t) = -1, \forall j \in [1, q] \\ 0, & \text{otherwise} \end{cases} \quad (3)$$

which means that if  $\mathbf{x}'_i$  does not belong any label in the known label set, then it would be assigned to the new label  $y^*$ .

It is inefficient to immediately update the model in the case of very few instances are detected as new labels. Therefore, we use a temporary buffer  $\mathcal{B}$  to store the detected instances and update the model once sufficient data has been obtained. If  $\mathcal{G}(\mathbf{x}'_i) = 1$ , then  $\mathbf{x}'_i$  would be placed in  $\mathcal{B}$ . With the accumulation of instances in  $\mathcal{B}$ , the buffer will reach the maximum capacity  $M$ , then the detector would be updated for the subsequent detection. At the same time, the known label set will be enlarged as  $\mathcal{Y}_i = \mathcal{Y}_{i-1} \cup y^*$ . If  $\mathcal{G}(\mathbf{x}'_i) = 0$ , the prediction of the base classifiers could be considered as a rough estimation of the candidate label set, that is  $\hat{\mathcal{S}}_i = \{j \mid g_j(\mathbf{x}'_i) = 1, \forall j \in [1, |\mathcal{Y}_i|]\}$ . In the next subsection, we will show that these candidate labels could be efficiently disambiguated.

### 3.2 Data stream classification

After the detection process, we need to conduct classification for the instances that may come from known labels. As we described above, the candidate label set of  $\mathbf{x}'_i$  can be roughly estimated by the detector. Given these candidate labels, the fine-grained classification of  $\mathbf{x}'_i$  can be accomplished by label disambiguation. Previous works on partial label learning found that label disambiguation can be effectively achieved by graph-based manifold consistency (Zhang and Yu 2015; Wang et al. 2019).

However, the optimization of graph-based methods is usually inefficient, especially when the number of instances is large. To address this problem, we use a data pool mechanism, which discards most instances in training data set and only preserves a few instances for each label in the data pool  $\mathcal{P}$ . We find that a random subset of the whole data stream is enough to achieve comparable label disambiguation performance, thus we randomly select  $M$  instances for each label, where  $M$  is also the buffer size. Furthermore, in the stream classification procedure, the data pool would be continuously enlarged as  $\mathcal{P} = \mathcal{P} \cup \mathcal{B}$  when the buffer  $\mathcal{B}$  reaches its maximum capacity.

At that time, we perform label disambiguation on the instances by graph-based manifold consistency. The key idea is that the similarity in feature space should be preserved in label space. Accordingly, for instances in  $\mathcal{P}$  and the data which needs to be classified, we firstly learn a weight matrix  $W$  by solving a re-construction problem, which encodes the fine-grained influence between instances without the restriction on symmetry in traditional affinity matrix, and it can depict the manifold structure of feature space in a more flexible and adaptive way. Specifically, each vector in  $W$  can be obtained by:

$$\begin{aligned} \min_{w_i} \quad & \left\| \mathbf{x}_i - \sum_{\mathbf{x}_j \in \mathcal{N}(\mathbf{x}_i)} w_{i,j} \cdot \mathbf{x}_j \right\|^2 \\ \text{s.t.} \quad & w_{i,j} \geq 0 \end{aligned} \quad (4)$$

where  $\mathcal{N}(\mathbf{x}_i)$  denotes the  $k$ -nearest neighbors of  $\mathbf{x}_i$ . Following the classic label propagation procedure, we obtain the normalized weight matrix  $H = WD^{-1}$ , where  $D$  is the diagonal matrix with the sum of each row of  $W$ .

Let  $F$  denote the label confidence matrix, and  $\mathbf{f}_i$  denote the label confidence vector for instance  $\mathbf{x}_i$ . In order to avoid symbol confusion, the initial label confidence matrix is denoted as  $P$ , which is initialized according to label candidate estimation of detector:  $f_{i,j} = \frac{1}{|\hat{\mathcal{S}}_i|}$  for  $y_j \in \hat{\mathcal{S}}_i$  and  $f_{i,j} = 0$  for  $y_j \notin \hat{\mathcal{S}}_i$ . Then, the label confidence matrix is refined by continuous label propagation:  $\tilde{F}^r = \alpha \cdot H^T F^{r-1} + (1 - \alpha) \cdot P$ , where  $\alpha \in (0, 1)$  controls the

relative importance of initial matrix  $P$ . In each iteration, label confidence vector  $f_i$  is normalized as follows:

$$f_{i,j}^r = \begin{cases} \frac{\tilde{f}_{i,j}^r}{\sum_{y_j \in S_i} \tilde{f}_{i,j}^r}, & \text{if } y_j \in S_i \\ 0, & \text{otherwise} \end{cases} \quad (5)$$

The propagation procedure is repeated until the label confidence matrix converges or the maximum iteration times reaches. After that, the prediction of each instance would be obtained by selecting the label with maximum confidence.

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**Algorithm 1** The pseudo-code of PLENL

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**Inputs:**

$\mathcal{D}_0$ : partial label training data set  $\{(\mathbf{x}_i, S_i) \mid 1 \leq i \leq n\}$

$\mathcal{D}$ : stream data  $\{\mathbf{x}'_t\}_{(t=1)}^T$

$M, k, \alpha$ : hyper-parameters (buffer size, number of nearest neighbors, balance factor)

**Output:**

The predicted label for  $\mathbf{x}'_t$  in  $\mathcal{D}$

**Procedure:**

- 1: Decompose  $\mathcal{D}_0$  into  $q$  independent data sets.
  - 2: Initialize the ensemble-based detector  $\mathcal{G}_0$ .
  - 3: Initialize data pool  $\mathcal{P}$  for labels in  $\mathcal{D}_0$ .
  - 4: **while**  $\mathcal{D} \neq \emptyset$  **do**
  - 5:    $\mathcal{Y}_t \leftarrow \mathcal{Y}_{t-1}$
  - 6:    $\mathcal{G}_t \leftarrow \mathcal{G}_{t-1}$
  - 7:   Receive a new instance  $\mathbf{x}'_t \in \mathcal{D}$ .
  - 8:   **if**  $\mathcal{G}_t(\mathbf{x}'_t) = 1$  **then**
  - 9:      $\mathcal{B} \leftarrow \mathcal{B} \cup \mathbf{x}'_t$
  - 10:    **if**  $|\mathcal{B}| = M$  **then**
  - 11:      $\mathcal{G}_t \leftarrow \mathcal{G}_t \cup g_{y^*}$
  - 12:      $\mathcal{P} \leftarrow \mathcal{P} \cup \mathcal{B}$
  - 13:      $\mathcal{B} \leftarrow \text{NULL}$ .
  - 14:      $\mathcal{Y}_t \leftarrow \mathcal{Y}_t \cup y^*$
  - 15:    **end if**
  - 16:   **else**
  - 17:     Obtain rough estimation of candidate labels  $\hat{S}_t$
  - 18:    **end if**
  - 19:    Obtain prediction  $\hat{y}'_t$  via partial label disambiguation.
  - 20:     $t \leftarrow t + 1$
  - 21:     $\mathcal{D} \leftarrow \mathcal{D} \setminus \mathbf{x}'_t$
  - 22: **end while**
-

### 3.3 Model update

To enable the model to adaptively deal with new labels, a natural idea is retraining the model from scratch once a new label is detected. However, this is significantly inefficient, since the updating process would be repeated a plenty of times as new labels emerge continuously.

In our method, the updating process can be achieved by reusing the base classifiers on known labels during different periods. In each period, instances identified as the new label  $y^*$  will be stored in the buffer temporarily, then the model would be updated to adapt this new label once  $\mathcal{B}$  reaches the maximum capacity  $M$ . Specifically, for the detector, only one additional one-class SVM, w.r.t. the new label, is needed to be additionally induced. This one-class SVM will be aggregated with the current detector  $\mathcal{G}_t = \mathcal{G}_{t-1} \cup g_{y^*}$ . As our detection module is built via ensembling multiple independent one-class SVM classifiers, the model updating process would not affect the classification on previous known labels. For the classification module, the instances in  $\mathcal{B}$  are added into the data pool as  $\mathcal{P} = \mathcal{P} \cup \mathcal{B}$ , which enables partial label disambiguation to adapt the new label. After the above processing, the new label  $y^*$  would be aggregated into the known label set  $\mathcal{Y}_t = \mathcal{Y}_{t-1} \cup y^*$ .

Algorithm 1 presents the pseudo-code of our PLENL approach. As we can see, the model updating process is presented in line 10 to 15. The updating of detection and classification module involves adding a new one-class SVM as base classifier and integrating a few instances from new label into the data pool respectively. In the end of each period, the data will be classified via label disambiguation as shown in line 19.

## 4 Experiments

### 4.1 Experimental setup

#### 4.1.1 Data sets

To validate the effectiveness of the proposed method PLENL, we conduct experiments on 9 data sets with different scales. Table 1 summarizes the characteristics of these data sets. Specifically, the first 6 data sets are from UCI repository and the last 3 ones are real-world partial label data sets. For the UCI data sets, we transform them into

**Table 1** Characteristics of the experiment data sets

Data set	#Examples	#Features	#Labels	$q$
Vehicle	846	18	4	3
Segment	2,310	18	7	4
Usps	9,298	256	10	4
Pendigits	10,992	16	10	4
Letter	20,000	16	26	20
Sensorless	58,509	48	11	5
Lost	750	108	5	4
Mirflickr	2,508	1,536	8	3
BirdSong	4,884	38	11	5



synthetic partial label data sets by randomly assigning a candidate label set for each instance. In our experiment, the size of candidate label set is set to 2 for all synthetic partial label data sets.

For each data set in Table 1, the labels are randomly split into the known labels in the initial data  $\mathcal{D}_0$  and the emerging labels in the data stream  $\mathcal{D}$ . The initial label number  $q$  in  $\mathcal{D}_0$  for each data set is chosen according to the size of label space, as shown in Table 1. Note that the minimum value of  $q$  is set to 3, otherwise the label information of instances in  $\mathcal{D}_0$  would be completely eliminated. For the initial known labels, there will be 90% instances presented in  $\mathcal{D}_0$ , while the rest 10% instances will be randomly distributed in the following periods and mixed with the instances from the new labels with a completely random manner. Furthermore, only one new label  $y^*$  emerges in each period, and the detected new label would be regarded as a known one in the next period. To guarantee that there are sufficient instances from each label in all periods, we discard the labels from which the number of instances is fewer than 60.

#### 4.1.2 Comparison methods

The problem of multi-class learning with emerging new labels has been widely studied (Mu et al. 2017b; Zhu and Li 2020; Mu et al. 2017a), however, to the best of our knowledge, there is no work investigating the problem of partial label learning with emerging new labels. Therefore, to compare with the existing methods, we need to firstly transform the initial partial label data sets into the regular multi-class data sets by label disambiguation before employing these methods. Here we employ IPAL, which has been validated as an effective disambiguation method (Zhang and Yu 2015), to conduct label disambiguation. In our experiments, we consider three methods used for multi-class learning with emerging new labels for comparison:

- SENC-Mas (Mu et al. 2017b) utilizes two low-dimensional matrix sketches to detect new labels and classify known labels.
- SENCForest (Mu et al. 2017a) employs completely random trees, which have been shown to work well in unsupervised learning and supervised learning independently in the literature, to perform new label detection and classification.
- SEEN (Zhu and Li 2020) uses a tree-based method for new label detection and an online label propagation method for classification.

Except these methods, we also consider the combinations of anomaly detection and traditional multi-class classification as the baselines:

- iForest (Liu et al. 2008; Chang and Lin 2011) is an unsupervised anomaly detection method based on forest, which will be used to detect new labels. In addition, SVM will be used as the classifier.
- LOF (Breunig et al. 2000) is a commonly used unsupervised anomaly detection method based on local density, which will be used as new label detector. In addition,  $k$ NN is used as classifier.
- iNNE (Bandaragoda et al. 2018) is an improved method based on isolation, which can effectively detect the clustering anomaly data and scattered anomaly points, then  $k$ NN is used for classification.

**Table 2** Classification accuracy (mean $\pm$ std) of PLENL and comparing methods on synthetic partial label data sets

Method	Letter	Pendigits	Segment
iForest	0.252 $\pm$ 0.004	0.610 $\pm$ 0.021	0.214 $\pm$ 0.045
LOF	0.408 $\pm$ 0.001	<b>0.670<math>\pm</math>0.001</b>	0.450 $\pm$ 0.007
iNNE	0.286 $\pm$ 0.007	0.536 $\pm$ 0.045	0.567 $\pm$ 0.029
OC-SVM	0.263 $\pm$ 0.001	0.046 $\pm$ 0.001	0.114 $\pm$ 0.003
SENC-Mas	0.186 $\pm$ 0.002	0.302 $\pm$ 0.007	0.417 $\pm$ 0.017
SENCForest	0.364 $\pm$ 0.005	0.422 $\pm$ 0.005	0.437 $\pm$ 0.003
SEEN	0.215 $\pm$ 0.005	0.103 $\pm$ 0.001	0.184 $\pm$ 0.014
PLENL	<b>0.556<math>\pm</math>0.003</b>	<b>0.669<math>\pm</math>0.001</b>	<b>0.710<math>\pm</math>0.004</b>

Bold value indicates the best one among comparing algorithms on different datasets

**Table 3** Classification accuracy (mean $\pm$ std) of PLENL and comparing methods on synthetic partial label data sets

	Sensorless	Usps	Vehicle
iForest	0.161 $\pm$ 0.014	0.242 $\pm$ 0.012	0.400 $\pm$ 0.042
LOF	0.224 $\pm$ 0.000	0.102 $\pm$ 0.000	0.191 $\pm$ 0.013
iNNE	0.291 $\pm$ 0.007	0.338 $\pm$ 0.038	0.553 $\pm$ 0.051
OC-SVM	0.155 $\pm$ 0.000	0.200 $\pm$ 0.001	0.394 $\pm$ 0.016
SENC-Mas	0.250 $\pm$ 0.005	0.308 $\pm$ 0.003	0.422 $\pm$ 0.010
SENCForest	0.259 $\pm$ 0.004	0.319 $\pm$ 0.009	0.304 $\pm$ 0.026
SEEN	0.042 $\pm$ 0.001	0.384 $\pm$ 0.048	0.282 $\pm$ 0.028
PLENL	<b>0.306<math>\pm</math>0.003</b>	<b>0.663<math>\pm</math>0.001</b>	<b>0.790<math>\pm</math>0.014</b>

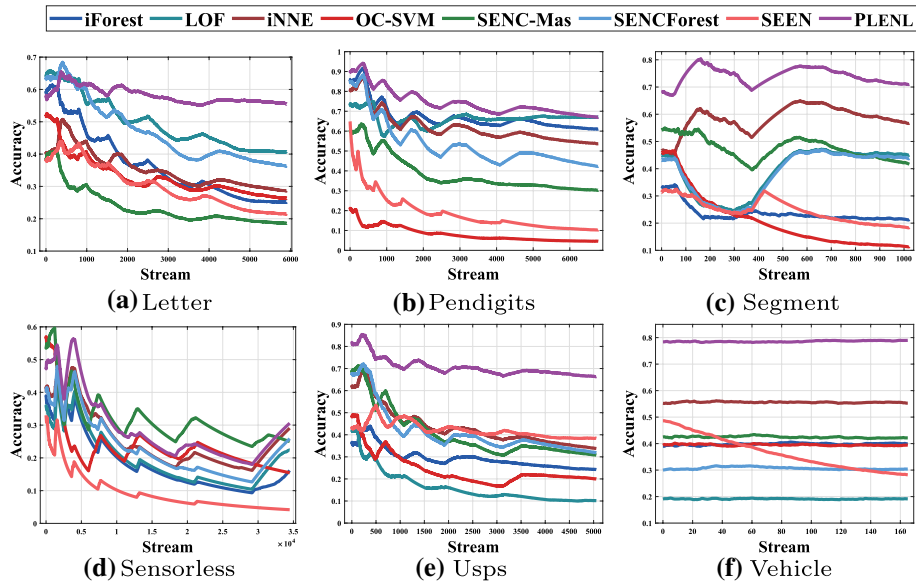
Bold value indicates the best one among comparing algorithms on different datasets

- OC-SVM (Ma and Perkins 2003) constructs a hyper-sphere surrounding all instances from known labels to detect new labels. In addition, SVM is used to perform classification.

To evaluate the performance of PLENL, we calculate the accuracy on the entire data stream as well as the accuracy on instances up to time  $t$ , where the former one indicates the overall performance and the latter one measures the dynamic performance during different periods. We also make a comparison on the time spent for the data stream, shown in Table 5.

## 4.2 Experimental results

In our method, we simply set  $M = 250$ ,  $k = 10$  and  $\alpha = 0.9$  on all data sets. For the base classifier one-class SVM, we use RBF kernel function to deal with nonlinear cases. The experiments on each data set are repeated 10 times with different data streams. The mean and standard variance of accuracy on entire data stream are reported in Tables 2, 3 and 4, and the changing of accuracy during periods is presented in Figs. 2 and 3. Except the above



**Fig. 2** The performance changing during stream classification of PLENL and comparing methods on synthetic partial label data sets

**Table 4** Classification accuracy (mean $\pm$ std) of PLENL against comparing methods on real-world partial label data sets

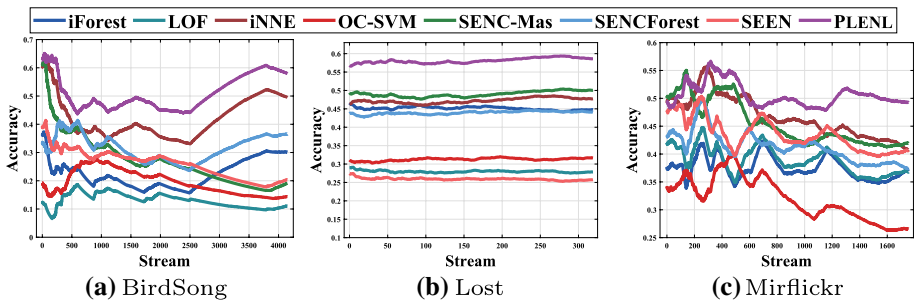
	Bird song	Lost	Mirflickr
iForest	0.302 $\pm$ 0.033	0.447 $\pm$ 0.015	0.372 $\pm$ 0.002
LOF	0.111 $\pm$ 0.001	0.279 $\pm$ 0.016	0.368 $\pm$ 0.002
iNNE	0.495 $\pm$ 0.019	0.477 $\pm$ 0.019	0.408 $\pm$ 0.009
OC-SVM	0.144 $\pm$ 0.002	0.316 $\pm$ 0.008	0.265 $\pm$ 0.002
SENC-Mas	0.191 $\pm$ 0.014	0.500 $\pm$ 0.007	0.419 $\pm$ 0.007
SENCForest	0.365 $\pm$ 0.033	0.441 $\pm$ 0.021	0.374 $\pm$ 0.005
SEEN	0.204 $\pm$ 0.029	0.258 $\pm$ 0.013	0.407 $\pm$ 0.008
PLENL	<b>0.579<math>\pm</math>0.001</b>	<b>0.586<math>\pm</math>0.012</b>	<b>0.492<math>\pm</math>0.003</b>

Bold value indicates the best one among comparing algorithms on different datasets

mentioned measures, we further evaluate the percentage of new label instances misclassified in the normal class, which is noted as *MissNew*, and the results are presented in Tables 6, 7 and 8.

#### 4.2.1 Overall performance

**Controlled UCI Data Sets.** The results reported in Tables 2 and 3 show the effectiveness of our method. Compared with the methods that focus on multi-class learning with new labels, PLENL achieves superior performance on all cases. Compared with the methods that directly combine anomaly detection and classification, PLENL achieves significantly



**Fig. 3** The performance changing during stream classification of PLENL and comparing methods on real-world partial label data sets

**Table 5** Runtime (S) of PLENL against comparing methods

	BirdSong	Lost	Mirflickr	Letter	Pendigits	Segment	Sensorless	Usps	Vehicle
iForest	9.715	1.244	110.766	79.919	18.595	2.747	924.576	115.469	0.921
LOF	0.421	0.021	6.757	7.848	1.259	0.079	133.822	19.452	0.011
iNNE	0.302	0.051	2.564	0.601	0.350	0.121	9.115	2.261	0.038
OC-SVM	8.831	0.616	164.767	36.940	12.259	1.317	937.472	153.522	0.228
SENC-Mas	0.084	0.020	0.706	0.524	0.276	0.039	5.383	0.493	0.009
SENCForest	75.700	7.758	8.063	118.303	84.127	23.701	533.329	75.531	9.747
SEEN	101.304	6.883	76.133	197.349	109.100	15.711	1803.947	163.693	3.812
PLENL	4.132	0.396	64.659	36.940	12.259	1.217	937.472	152.522	0.228

superior performance on all synthetic partial label data sets except Pendigits, on which LOF outperforms our method with 1%.

*Real-World Data Sets.* The results on real-world partial label data sets are reported in Table 4. As we can see, PLENL also achieves superior performance on the real-world partial label data sets against comparing methods, which further validate the effectiveness of our method.

Overall, our method outperforms the others with large margin. Specifically, the average accuracy of our method on all the 9 data sets is 59.5%, which is significantly higher than the best among the rest methods, i.e., 43.9% achieved by iNNE.

**4.2.2 Performance Changing on Stream**

In Figs. 2 and 3, we present the accuracy on data stream during the dynamic learning process. From the results on both synthetic and real-world partial label data sets, we can see that as the instances continuously arrive, the performance margin between our method and the comparing methods will become larger. The improvement of our method can be owed to two perspectives. Firstly, the training of our method is more stable compared with other methods when new labels emerge in the data stream, and this stability makes our method outperform the others after a few initial periods. Another important observation is that our method achieves more significant performance rising

after each model updating process, which means that the detection of new labels of our method is more accurate than other methods. Furthermore, we compare the time cost to handle the data stream for different methods, shown in Table 5. As can be observed, compared with other comparing algorithms, PLENL achieves a much better trade-off between time and performance.

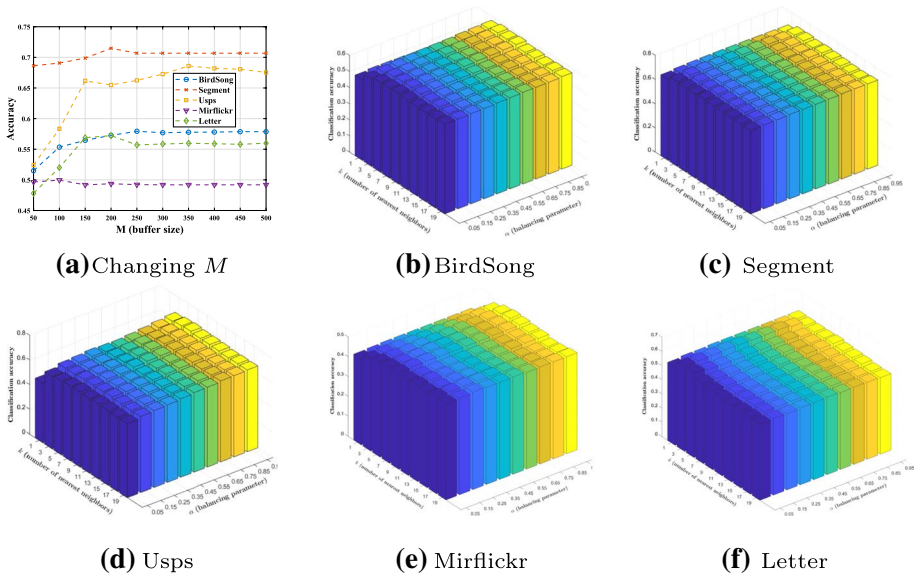
### 4.2.3 Sensitivity analysis

Our method PLENL is instantiated with parameters  $M$ , which is employed to control the capacity of the instance buffer. Furthermore,  $k$  and  $\alpha$  are used to determine the number of neighbors and adjust the label propagation in classification. Figure 4 illustrates how the performance of PLENL changes under different configurations of  $M$ ,  $k$  and  $\alpha$ .

In Fig. 4a, we observe that the performance of PLENL increases when  $M$  varies from 50 to 250 and tends to be stable as  $M$  continues to increase. In Fig. 4b, c, d, e and f,  $\alpha$  increases from 0.05 to 0.95 with step size 0.1, while  $k$  changes from 1 to 19 with step size 2. It is shown that the performance of PLENL is stable across different selections of  $k$ , and the best value for  $\alpha$  is between 0.5 and 0.95.

### 4.2.4 New label detection analysis

*MissNew* measures the percentage of new label instances misclassified into the known label set, which is equal to the ratio between the total number of instances from new labels classified into the normal labels and the total number of instances from the new



**Fig. 4** The parameter sensitivity analysis for  $M$ ,  $k$  and  $\alpha$ . (a): Classification accuracy with different buffer size  $M$  in PLENL on BirdSong, Segment, Usps, Mirflickr and Letter; (b-f): Classification accuracy of PLENL with changing  $k$  and  $\alpha$  on BirdSong, Segment, Usps, Mirflickr and Letter respectively

**Table 6** Evaluation on *MissNew* (mean $\pm$ std) of PLENL and comparing methods on real-world partial label data sets.

	BirdSong	Lost	Mirflickr
iForest	0.660 $\pm$ 0.050	0.932 $\pm$ 0.010	0.983 $\pm$ 0.004
LOF	0.992 $\pm$ 0.000	0.846 $\pm$ 0.030	0.996 $\pm$ 0.000
iNNE	0.136 $\pm$ 0.040	0.244 $\pm$ 0.020	0.385 $\pm$ 0.029
OC-SVM	0.729 $\pm$ 0.001	0.697 $\pm$ 0.009	0.507 $\pm$ 0.002
SENC-Mas	0.679 $\pm$ 0.017	0.056 $\pm$ 0.011	0.314 $\pm$ 0.014
SENCForest	0.615 $\pm$ 0.047	0.568 $\pm$ 0.045	0.744 $\pm$ 0.026
SEEN	0.504 $\pm$ 0.072	0.944 $\pm$ 0.020	0.875 $\pm$ 0.025
PLENL	<b>0.070<math>\pm</math>0.002</b>	<b>0.070<math>\pm</math>0.009</b>	<b>0.185<math>\pm</math>0.004</b>

Bold value indicates the best one among comparing algorithms on different datasets

**Table 7** Evaluation on *MissNew* (mean $\pm$ std) of PLENL and comparing methods on synthetic partial label data sets.

	Letter	Pendigits	Segment
iForest	0.911 $\pm$ 0.007	0.011 $\pm$ 0.003	0.815 $\pm$ 0.091
LOF	0.782 $\pm$ 0.000	0.335 $\pm$ 0.001	0.603 $\pm$ 0.008
iNNE	0.426 $\pm$ 0.019	0.029 $\pm$ 0.025	0.234 $\pm$ 0.042
OC-SVM	0.551 $\pm$ 0.002	0.743 $\pm$ 0.003	0.738 $\pm$ 0.002
SENC-Mas	0.717 $\pm$ 0.003	0.379 $\pm$ 0.006	0.293 $\pm$ 0.023
SENCForest	0.393 $\pm$ 0.016	0.074 $\pm$ 0.022	0.564 $\pm$ 0.008
SEEN	0.945 $\pm$ 0.002	0.954 $\pm$ 0.000	0.898 $\pm$ 0.013
PLENL	<b>0.256<math>\pm</math>0.002</b>	<b>0.002<math>\pm</math>0.000</b>	<b>0.083<math>\pm</math>0.003</b>

Bold value indicates the best one among comparing algorithms on different datasets

**Table 8** Evaluation on *MissNew* (mean $\pm$ std) of PLENL and comparing methods on synthetic partial label data sets.

	Sensorless	Usps	Vehicle
iForest	0.763 $\pm$ 0.019	0.597 $\pm$ 0.023	0.655 $\pm$ 0.048
LOF	0.825 $\pm$ 0.000	0.985 $\pm$ 0.000	0.961 $\pm$ 0.005
iNNE	0.280 $\pm$ 0.026	0.314 $\pm$ 0.070	0.410 $\pm$ 0.072
OC-SVM	0.651 $\pm$ 0.000	0.514 $\pm$ 0.001	0.584 $\pm$ 0.012
SENC-Mas	0.536 $\pm$ 0.004	0.309 $\pm$ 0.004	0.519 $\pm$ 0.008
SENCForest	0.581 $\pm$ 0.020	0.338 $\pm$ 0.025	0.784 $\pm$ 0.035
SEEN	0.998 $\pm$ 0.001	0.628 $\pm$ 0.055	0.782 $\pm$ 0.016
PLENL	<b>0.237<math>\pm</math>0.001</b>	<b>0.091<math>\pm</math>0.001</b>	<b>0.069<math>\pm</math>0.006</b>

Bold value indicates the best one among comparing algorithms on different datasets

labels in the stream. As shown in Tables 6, 7 and 8, the results validate the effectiveness of our method on new label detection for real-world data sets and controlled UCI data sets. Compared with the other methods, including previous methods for multi-class learning with new labels and combination of anomaly detection and multi-class

classifier, PLENL achieves superior performance on all data sets, and it exceeds those methods with large margins.

## 5 Conclusion

In this paper, partial label learning with emerging new labels is investigated for the first time, and a novel approach PLENL is proposed to address this problem. PLENL employs an ensemble-based detector to identify instances of new labels, while this detector also produces a rough estimation of candidate label set for each instance in data stream. Then, the classification is solved by constructing a data pool to store a small proportion of the previous data and using a graph-based manifold consistency mechanism to disambiguate the labels. In our approach, the detection and classification modules are continuously updated with the emergence of new labels, and this process can be efficiently achieved. Extensive experiments on real-world and controlled UCI data sets validate the effectiveness of the proposed approach.

**Author contributions** X-R Y and M-L Z conceived the basic idea behind the proposed method. X-R Y and D-B W implemented the algorithm and conducted the experiments. All authors contributed to the interpretation of the results and the write-up of the paper.

**Funding** This work was supported by the National Science Foundation of China (62176055).

**Data availability** All data sets can be found at <https://archive.ics.uci.edu/ml/datasets.php> and <https://archive.ics.uci.edu/ml/datasets.php>.

**Code availability** The code will be released at Min-Ling Zhang's homepage.

## Declarations

**Conflict of interest** The authors declare that they have no conflict of interest.

**Consent to participate** All the authors consent to participate this work.

**Consent for publication** All the authors consent to publish this paper.

**Ethics approval** We have carefully conducted the ethical review, and do not see any negative ethical impact.

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