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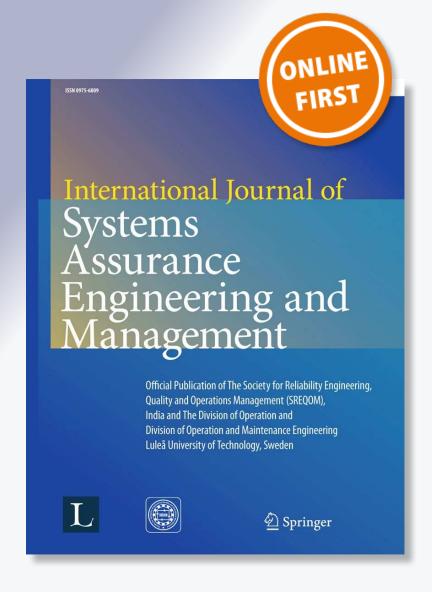
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REVIEW PAPER

Sensorless fuzzy sliding mode control for permanent magnet synchronous motor fed by AC/DC/AC converter

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Abstract In this paper a systematic fuzzy sliding mode controller is presented for permanent magnet synchronous motor (PMSM) sensorless drives. The fuzzy sliding mode controller is designed based on input—output feedback linearization control technique. The extended Kalman filter is used to estimate the speed, position and load torque. The PMSM is fed from indirect power electronics converter. This indirect converter is controlled by the sliding mode technique. This control technique allows the minimization of harmonics introduced by the line converter, including the control of power factor and DC-link voltage. The robustness of the overall system is studied using simulation for different operating modes and varied parameters.

 $\begin{tabular}{ll} \textbf{Keywords} & Systematic sliding mode \cdot AC-DC converter \cdot \\ Extended Kalman filter \cdot Sensorless control \\ \end{tabular}$

1 Introduction

Permanent magnet synchronous motors (PMSM) are more and more used because of their good performances. High performance control of the PMSM requires identification of

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the rotor position which is usually measured by a mechanical rotor position sensor, which increases the cost and the instability of the system. As a result, sensorless control has recently become a research focus (Zheng et al. 2007; Achour et al. 2009).

In this study a fuzzy sliding-mode control system, which combines the merits of the sliding-mode control, the fuzzy inference mechanism and its algorithm, is proposed. In the sliding-mode controller a switching surface that includes an integral operation (Lin and Chiu 1998; Aissaoui et al. 2007) is designed. When the sliding mode occurs, the system dynamic behaves as a robust state feedback control system. Furthermore, in the general sliding-mode control, the upper boundary of uncertainty, which include parameter variations and external load disturbance, must be available. However, the boundary of uncertainty is difficult to determine in advance for practical applications. A fuzzy sliding-mode controller is investigated to resolve this difficulty, in which a simple fuzzy inference mechanism is used to estimate the upper boundary of uncertainty. Furthermore, to reduce the control effort of the sliding-mode controller, the fuzzy inference mechanism is improved by adapting the centres of the membership functions to estimate the optimal boundary of uncertainty (Yu-Sheng and Wang 2009; He and Jiang 2007; Cernat et al. 2000).

In this paper a new state observer based on an extended Kalman filter is proposed. The precis rotor position and speed can be obtained by EKF. Then a sensorless speed control system can be formed. The load torque is used as the feed-forward compensation of the reference torque at the output of the speed regulator. With the compensation, the speed fluctuations during load torque impacts can be greatly decreased.

A three-phase converter is used in this work. The power converter can only flow from the utility AC to DC, and the



line current is not continuous. Because this type of AC-DC conversion does not control line current harmonics, the displacement power factor is poor and the DC side voltage is not constant (Benchouia et al. 2004; Capolino et al. 1992). One remedy is a reversible converter to replace the diode-bridge rectifier and to allow a reversible power line flow which allows the energy recovered from motor-load inertia to be fed back to the utility supply (Benchouia et al. 2004; Grabowski et al. 2000). The DC-link voltage can be regulated by the sliding mode controller.

2 PMSM model

With the simplifying assumptions relating to the PMSM, the model of the motor expressed in the Park reference frame is given in the suitable state form (Le Pioufle et al. 1990; Sayeef and Rahman 2007)

$$\begin{cases} \dot{X} = F(X) + G \cdot U \\ Y = H(X) \end{cases} \tag{1}$$

where

$$Y(X) = \begin{bmatrix} y_1(X) \\ y_2(X) \end{bmatrix} = \begin{bmatrix} h_1(X) \\ h_2(X) \end{bmatrix} = \begin{bmatrix} x_1 \\ x_3 \end{bmatrix} = \begin{bmatrix} I_d \\ \Omega \end{bmatrix}$$
$$\begin{bmatrix} x_1 \\ \end{bmatrix} \begin{bmatrix} I_d \\ \end{bmatrix} \begin{bmatrix} V_d \\ \end{bmatrix} \begin{bmatrix} V_d \\ \end{bmatrix}$$

$$X = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} I_d \\ I_q \\ \Omega \end{bmatrix}; U = \begin{bmatrix} V_d \\ V_q \end{bmatrix}; G = \begin{bmatrix} \frac{1}{L_d} & 0 \\ 0 & \frac{1}{L_q} \\ 0 & 0 \end{bmatrix}$$

$$F(X) = \begin{bmatrix} f_1(X) \\ f_2(X) \\ f_3(X) \end{bmatrix} = \begin{bmatrix} a_1 \cdot x_1 + a_2 \cdot x_2 \cdot x_3 \\ b_1 \cdot x_2 + b_2 x_1 \cdot x_3 + b_3 \cdot x_3 \\ c_1 \cdot x_3 + c_2 \cdot x_1 \cdot x_2 + c_3 x_2 - \frac{T_l}{J} \end{bmatrix}$$

and

$$a_1 = -\frac{R_s}{L_d}; \ a_2 = \frac{p \cdot L_q}{L_d}; \ b_1 = -\frac{R_s}{L_q}; \ b_2 = -\frac{p \cdot L_d}{L_q}$$

$$b_3 = -\frac{p \cdot \phi_f}{L_q}; \ c_1 = -\frac{f}{J}; \ c_2 = \frac{p \cdot (L_d - L_q)}{J}; \ c_3 = \frac{p \cdot \phi_f}{J}$$

in $f_1(X)$ the load torque T_i is removed from the state equations and will be considered as a perturbation.

3 Modeling and control of the boost rectifier

3.1 Modeling of the boost rectifier

The proposed system configuration is shown in Fig. 1.

This circuit will be analyzed under the following assumptions (Hadri-Hamida et al. 2009; Lin 1999)

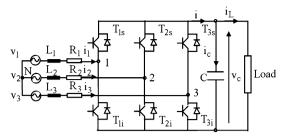


Fig. 1 Rectifier configuration

- 1. The input AC voltage is a balanced three-phase supply
- 2. The power switches are ideal
- 3. All circuit elements are linear and time invariant

The source voltages are assumed to be balanced and they are given by

$$v_1 = V_m \cos(\omega t)$$

$$v_1 = V_m \cos(\omega t - 2\pi/3)$$

$$v_1 = V_m \cos(\omega t + 2\pi/3)$$
(2)

where V_m and ω are respectively the amplitude of the phase voltage and angular frequency of the power source.

Referring to Fig. 1: By using Kirchhoff's voltage law on the AC side it yields

$$L\frac{d}{dt}i_k + Ri_k = v_{k-}(v_{k0} + v_{0n}) \ k = 1, 2, 3 \tag{3}$$

where k indicates k the phase.

The switching functions S_k defined for the k of the converter are given by

$$S_k = 1$$
 if T_{ks} is on and T_{ki} is off $S_k = 0$ if T_{ks} is off and T_{ki} is on (4)

Considering the switching functions S_k , Eq. (4) can be obtained

$$v_{k0} = v_c \quad \text{if } S_k = 1$$

$$v_{k0} = 0 \quad \text{if } S_k = 0$$

$$v_{0N} = -\frac{v_c}{3} \sum_{k=1}^{3} S_k, \quad k = 1, 2, 3$$
(5)

Using the Kirchhoff's current law on the DC side and because of the relations described in Eq. (5), Eq. (6) can be obtained

$$L\frac{d}{dt}i_{k} = v_{k} - Ri_{k} - S_{k}v_{c} + \frac{v_{c}}{3}\sum_{k=1}^{3} S_{k}, \quad k = 1, 2, 3$$

$$C\frac{d}{dt}v_{c} = \sum_{k=1}^{3} S_{k}i_{k} - i_{L}, \quad k = 1, 2, 3$$
(6)

The following state-space model of the rectifier, in the three-phase reference frame, can be obtained by



According to the system of Eq. (6) we can say that the main problem in the design of a control law of the boost rectifier is the occurrence of control input function in both AC current (i_k) and DC voltage (v_c) control systems of the converter. Both input functions depend on the same duty ration S_k by different dynamic behavior of AC input and DC output of the boost rectifier. Input main voltages and currents should be sinusoidal shaped without phase delay between voltage and current, and output DC voltage should have a constant value by change of voltage dependent current and parameters of the converter (Hadri-Hamida et al. 2009; Jezemik 1999).

3.2 Sliding mode control of the rectifier

The block diagram of regulation is illustrated in Fig. 2.

According to Fig. 2, the DC bus voltage error ($v_{cref} - v_c$) is used to synthesize a line current reference I_{ref} from a sliding mode controller (SMC). The currents references i_{1ref} , i_{2ref} are generated from a sinusoidal model obtained through the multiplication of the current reference I_{ref} by the input voltage, in order to ensure a working with a unity power factor (Hadri-Hamida et al. 2009; Andreescu et al. 2002), the instantaneous and input currents are compared

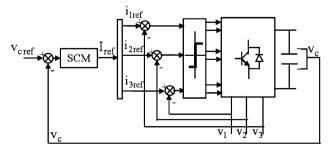


Fig. 2 DC voltage control model with sliding mode controller

and the error signals are generated from which the comparators with hysteresis produce switch impulses.

To control the output DC voltage of the rectifier, the SMC can be used (Cernat et al. 2000; Grabowski et al. 2000). Through the sliding surface, the current Iref is given by

$$I_{ref} = K_c.\operatorname{sgn}(v_{cref} - v_c) \tag{8}$$

4 Sliding mode controller design

The advantages of the sliding mode controller can be summarized as follows (Chung et al. 1999; Goléa 2004)

- (1) Fast response with no overshoot
- (2) No steady state error
- (3) Robustness, stability in a closed loop environment, insensitivity to parameter variations and load disturbances

The switching patterns for a six-pulse inverter are generated directly by the sliding mode. The SMC is designed in d-q plane, and the voltages V_d^* , V_q^* are taken as control inputs.

The following switching hypersurfaces are used

$$S_1 = I_{dref} - I_d \tag{9}$$

$$S_2 = I_{qref} - I_q \tag{10}$$

with $I_{dref} = 0$.

These selections are based on the concept of vector control and instantaneous current control (Chung et al. 1999; Goléa 2004; Sayed Ahmed et al. 2007). S_1 and S_2 are related to inner loop (current loop). In this case, the current components I_d and I_q are decoupled. The reference currents I_{dref} and I_{qref} are determined by the outer loop.

The control inputs are taken as follows

$$V_d^* = K_d sign(S_1) \tag{11}$$

$$V_q^* = K_q sign(S_2) \tag{12}$$

the gains K_d , K_q are selected so as to satisfy the existence condition of the sliding mode:

$$\lim_{S_i \to 0} S_i \dot{S}_i < 0, \quad i = 1, 2 \tag{13}$$

which is equivalent, using the Lyapunov stability, to

$$V = \sum_{i=1}^{2} V_i \tag{14}$$

with

$$V_i = \frac{1}{2}S_i^2 (15)$$

Then, for the control system to be stable, the time derivative of (14) must be negative, i.e.



$$V = \sum_{i=1}^{2} S_i \dot{S}_i < 0 \tag{16}$$

 K_d selection: Hence, if we chose K_d as

$$K_d < -\max_{I_q,\omega} \left| L_q I_q \omega \right| \tag{17}$$

which yields the following inequality

$$S_i \dot{S}_i < -\frac{R_s}{L_d} S_1^2 < 0 \tag{18}$$

Only the information about the boundaries of I_q and ω is needed before designing the SMC.

 K_a selection: Hence, we chose K_a as

$$K_{q} < -\max_{I_{qref},\omega} \left| R_{s} I_{qref} + L_{q} \dot{I}_{qref} + \phi_{f} \omega \right| \tag{19}$$

which yields the following inequality

$$S_i \dot{S}_i < -\frac{R_s}{L_q} S_3^2 < 0 \tag{20}$$

Only the information about the boundaries of I_{qref} and ω is needed before designing the SMC.

5 Fuzzy logic controller

The general structure of a complete fuzzy control system is given in Fig. 3. The plant control u is inferred from the two state variables, error (e) and change in error Δe (Goléa et al. 2002; Senthil Kumar et al. 2008).

The actual crisp inputs are approximates of the closer values of the respective universes of discourse. Hence, the fuzzyfied inputs are described by singleton fuzzy sets.

The design of this controller is based on the phase plan. The control rules are designed to assign a fuzzy set of the control input u for each combination of fuzzy sets of (e) and Δe (Chung et al. 1999; Goléa et al. 2002).

Table 1 shows one of possible control rule base. The rows represent the rate of the error change \dot{e} and the columns represent the error (e). Each pair (e, \dot{e}) determines the output level NB to PB corresponding to u.

Here NB is negative big, NM is negative medium, ZR is zero, PM is positive medium and PB is positive big, are

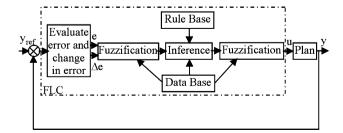


Fig. 3 The structure of a fuzzy logic controller



Table 1 Rules base for speed control

D_u		DE_n				
		NB	NM	ZR	PM	PB
E _n	NB	NB	NB	NM	NM	ZR
	NM	NB	NM	NM	ZR	PM
	ZR	NM	NM	RZ	PM	PM
	PM	NM	ZR	PM	PM	GP
	PB	ZR	PM	PM	GP	GP

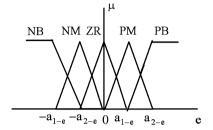


Fig. 4 Membership functions for input e

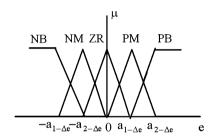


Fig. 5 Membership functions for input Δe

labels of fuzzy sets and their corresponding membership functions are depicted in Figs. 4, 5 and 6, respectively.

The continuity of input membership functions, reasoning method, and defuzzification method for the continuity of the mapping $u_{fuzzy}(e,\dot{e})$ is necessary. In this paper, the triangular membership function, the max–min reasoning method, and the center of gravity defuzzification method are used, as those methods are most frequently used frequently in the literature (Goléa et al. 2002; Senthil Kumar et al. 2008).

6 Design of EKF observer

Accurate and robust estimation of motor variables which are not measured is crucial for high performance sensorless drives. A multitude of observers have been proposed, but only a few are able to sustain persistent and accurate wide speed range sensorless operation. At very low speed, their performances are poor. One of the reasons is the high

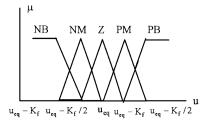


Fig. 6 Membership functions for output u

sensitivity of the observers to unmodeled nonlinearities, disturbance and model parameters detuning.

The Kalman filter provides a solution that directly cares for the effects of disturbance noises including system and measurement noises. The errors in parameters will also normally be handled as noise (Janiszewski 2006).

The dynamic state model for non non-linear stochastic machine is as follows where all symbols in the formulations denote matrices or vectors (Sayed Ahmed et al. 2007; Janiszewski 2006)

$$\begin{cases} \dot{x}(t) = f(x(t), u(t), t) + w(t) \\ y(t) = h(x(t), t) + v(t) \end{cases}$$
 (21)

w(t): System noise vector

v(t): Measurement noise vector

w, v: are unrelated and zero mean stochastic processes

A recursive algorithm is presented for the discrete time case. For the given sampling time T_s , both the optimal estimate sequence $x_{k/k}$ and its covariance matrix $P_{k/k}$ generated by the filter go through a two step loop.

The first step (prediction) performs a prediction of both quantities based on the previous estimates $x_{k-1/k-1}$ and the mean voltage vector actually applied to the system in the period from T_{k-1} to T_k . F is the system gradient matrix (Jacobean matrix).

$$F(\tilde{x}(t),t) = \frac{\partial f(x(t), u(t), t)}{\partial x^{T}(t)} \bigg|_{x(t) = \tilde{x}(t)}$$
(22)

$$x_{k/k-1} = x_{k-1/k-1} + T_s f(x_{k-1/k-1}, u_{k-1})$$
(23)

$$P_{k/k-1} = P_{k/k-1} + (FP_{k-1/k-1} + P_{k-1/k-1}F^T).T_s + Q$$
(24)

The second step (innovation) corrects the predicted state estimate and its covariance matrix trough a feedback correction scheme that makes use of the actual measured quantities; this is realized by the following recursive relations:

$$x_{k/k} = x_{k/k-1} + K_k(Y_k - Hx_{k/k-1})$$
(25)

$$P_{k/k} = P_{k/k-1} - K_k H P_{k/k-1} (26)$$

Where the filter gain matrix is defined by:

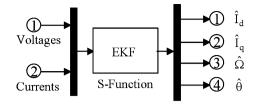


Fig. 7 S-Function representation of the EKF

$$k_k = P_{k/k-1}H^T(HP_{k/k-1}H^T + R)^{-1}$$
(27)

H is transformation matrix.

$$H(\tilde{x}(t),t) = \frac{\partial h}{\partial x}\Big|_{x(t)=\tilde{x}(t)}$$
(28)

The proposed EKF observer is designed in rotor reference frame (d, q frame). given by Fig. 7.

The state vector is chosen to be

$$X = \begin{bmatrix} I_d \ I_q \ \Omega \ \theta \end{bmatrix}^T$$

Input
$$U = [V_d V_q]^T$$

Output
$$Y = [I_d I_q]$$

 I_d , I_q and V_d , V_q are motor stator currents, voltages in rotor reference frame.

The critical step in the EKF is the search for the best covariance matrices Q and R have to be set-up based on the stochastic properties of the corresponding noise. The noise covariance R accounts for the measurement noise introduced by the current sensors and quantization errors of the A/D converters (Sayed Ahmed et al. 2007; Janiszewski 2006). Increasing R indicates stronger disturbance of the current. The noise is weighted less by the filter, causing also a slower transient performance of system.

The noise covariance Q reflects the system model inaccuracy, the errors of the parameters and the noise introduced by the voltage estimation (Sayed Ahmed et al. 2007; Janiszewski 2006). Q has to be increased at stronger noise driving the system, entailing a more heavily weighting of the measured current and a faster transient performance.

An initial matrix P_0 represents the matrix of the covariance in knowledge of the initial condition. Varying P_0 affects neither the transient performance nor the steady state condition of the system. In this study, the value of these elements is tuned "manually", by running several simulations. This is maybe one of the major drawbacks of the Kalman filter.

The blocks FSMC (fuzzy sliding-mode control), SMC (sliding-mode control) are regulators, the first controller for speed, and the second is the sliding mode control regulator for rectifier.



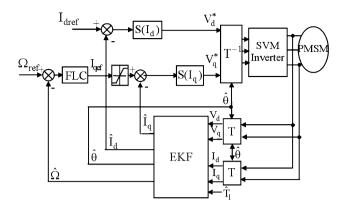


Fig. 8 Speed control of a PMSM using the fuzzy sliding mode with an EKF for speed and position estimation

Figure 8 shows the proposed Sensorless fuzzy sliding-mode control using EKF. In this study, the outputs of a PWM voltage source inverter are used as the control inputs for the EKF. These signals contain components at high frequencies, which are used as the required noise by the Kalman filter. Thus, no additional external signals are then needed.

$$f(x(k), u(k)) = \begin{bmatrix} I_d & I_q & \Omega & \theta & T_l \end{bmatrix}^T$$

$$= \begin{bmatrix} \left(1 - T_s \frac{R_s}{L_d}\right) I_d + p\Omega T_s \frac{L_q}{L_d} I_q + T_s \frac{1}{L_d} V_d \\ \left(-p\Omega T_s \frac{L_d}{L_q}\right) I_d + \left(1 - T_s \frac{R_s}{L_q}\right) I_q - T_s \frac{\phi_{sf}}{L_q} p\Omega + T_s \frac{1}{L_q} V_q \\ pT_s \frac{L_d - L_q}{J} I_q I_d + pT_s \frac{\phi_{sf}}{J} I_q + \left(1 - T_s \frac{f}{J}\right) \Omega - T_s \frac{1}{J} T_l \\ \Omega \\ 1 \end{bmatrix}$$
(29)

where $h = \begin{bmatrix} I_d & I_q \end{bmatrix}^T$

7 Load torque estimation

The load torque is not easily measurable so it has to be estimated. The method suggested by *Le Pioufle* allows estimating it in real-time (Le Pioufle et al. 1990). Figure 9 illustrates the principle for estimation and The responses illustrate high performances of the proposed method is given by Fig. 10.

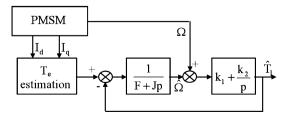


Fig. 9 Estimation of load torque



The error between measured speed and estimated speed is presented like the entry of the PI regulator

$$\hat{T}_l = \frac{1 + \frac{k_1}{k_2} P}{1 + \frac{1 + k_1}{k_2} P + \frac{1}{k_2} P^2} T_l \tag{30}$$

 k_1 et k_2 are determined by poles imposition.

8 Chattering problem

The sliding mode control (SMC) has been successfully introduced by (Utkin 1974) and its application by Bosg (1985). It has been known that sliding mode techniques generate unexpected chattering (Sayed Ahmed et al. 2007; Chi and Xu 2006; Lin and Fung 1998).

A natural solution is to attempt to smoothen the discontinuity in the signum function to obtain an arbitrary close hut continuous approximation. In these experimental investigations (Sayed Ahmed et al. 2007; Lin and Fung 1998; Zhuang and Rahman 2003) some suitable continuous switching functions were introduced. In this paper, the following continuous switching function is used:

$$Sign(S_i) = \begin{cases} +1, & \text{if } S_i > \lambda \\ -1, & \text{if } S_i < -\lambda \\ \frac{S_i}{\lambda}, & \text{if } S_i < -\lambda \end{cases}$$

$$(31)$$

where $\lambda_i > 0$, is a smooth factor.

Simulation results using the sliding mode control strategy with different non linear control U_n are given. Figure 11 shows the step response of speed equals 200 rad/s and at 0.2 s a rating load of 5Nm was applied. We can notice the generation of unexpected chattering in the responses of speed and electromagnetic torque.

To solve the chattering problem, the sign function is replaced by a continuous function as shown in Fig. 12. We can notice the good performances using continuous function U_n , the speed control converges to the reference one with a high precision. It can concluded that the electromagnetic torque developed by the motor increased at t=0.2~s to 5 Nm in order to compensate the load torque. The speed and electromagnetic torque allures are approximately free from high frequency oscillations (chattering).

9 Simulation results

9.1 Simulation results of SMC rectifier

The simulation conditions are given in the appendix.

In order to demonstrate the feasibility of the proposed control method, the system depicted in Fig. 1 has been

Fig. 10 Simulation results of load torque estimation

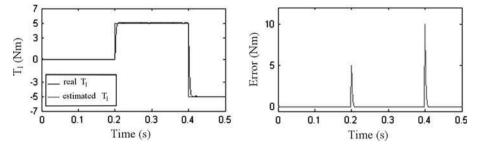


Fig. 11 Simulation results in the case of sign function

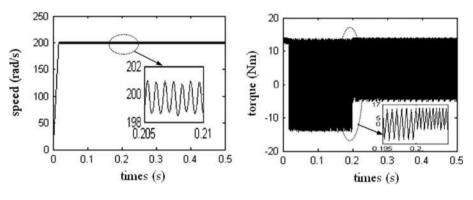
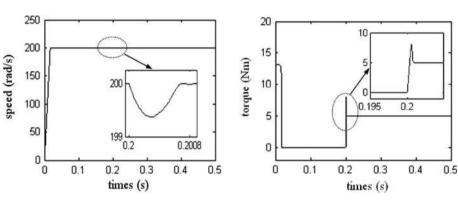


Fig. 12 Simulation results in the case of continuous function



simulated. Figure 13 shows that the output voltage of the rectifier is well controlled to 440 v. Therefore, the output DC voltage response is completely robust with the unity power factor at the AC side of the boost rectifier.

Simulation results obtained were in good performance as it is expected. The strategy control was very robust to uncertain parameters and gave a very high power factor and small ripple in the current line supply.

9.2 Simulation results of sensorless fuzzy sliding-mode control for PMSM

Extensive simulations have been performed using Matlab/Simulink Software to examine the control algorithm of the sensorless fuzzy sliding-mode control applied for PMSM presented in Fig. 8 and based on 3Kw motor parameters.

Figure 14 shows the responses of speed, position, currents and load torque with errors between the actual and

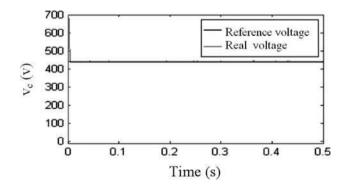
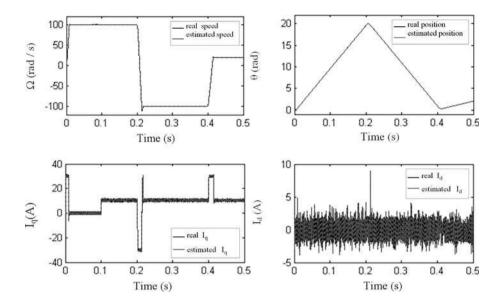


Fig. 13 Simulation results of the rectifier output voltage

estimated states for step reference with 75 % of rated load at t=0.1 s. At t=0.2 s the speed is reversed from +100 rad/s to -100 rad/s and at t=0.4 s the reference speed becomes 10 rad/s.



Fig. 14 Drive response under reference speed inversion under load disturbances



These responses illustrate high performances of the proposed EKF observer combined with nonlinear controller during transient and steady states and the EKF works at very low speed, where many speed estimators or observers perform poorly.

10 Conclusion

We have implemented and simulated the fuzzy sliding mode control of a permanent magnet synchronous motor, The dynamic behavior and the control performances obtained are satisfactory. The perturbation is rejected.

For the rectifier, performances of the SMC are evaluated through the simulation of his model. The obtained results show the efficiency and the precision of this control.

The observer based on an extended Kalman filter can observe the exact rotor speed, position and load torque. The EKF observer is able to increase the performance of the fuzzy sliding mode control in terms of low speed behavior, speed reversion and load rejection.

Appendix

Parameters of the system used in simulation

Parameters of the PMSM:

Rated power 1 kw Rated speed 3000 rpm Stator winding resistance 0.6 Ω Stator winding direct inductance 4 mH Stator winding quadrate inductance 2.8 mH Rotor flux 0.12 Wb Viscous friction 1.4 e⁻³ Nm/rad/s

Inertia 1.1 e⁻³ kg m²
Pairs pole number 4
Nominal current line 20 A
Nominal voltage line 310 V

Machine type: Siemens 1FT6084-8SK71-1TGO

Parameters of the PWM converter

Supply's voltage and frequency: 220 V(rms), 50 Hz Line's inductor and resistance 0.002 H, 0.08 Ω Output capacitors 0.0025 F PWM carrier frequency 1 kHz

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