



# Factorizing the Top–Loc adjunction through positive topologies

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## Abstract

We characterize the category of Sambin’s positive topologies as the result of the Grothendieck construction applied to a doctrine over the category **Loc** of locales. We then construct an adjunction between the category of positive topologies and that of topological spaces **Top**, and show that the well-known adjunction between **Top** and **Loc** factors through the constructed adjunction.

**Keywords** Grothendieck constructions · Suplattices · Locales · Formal topologies

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## 1 Introduction

Positive topologies are introduced by Sambin [22] (see also [7]) as a natural structure for developing constructive pointfree topology. The category **PTop** of positive topologies can be regarded as a natural extension of the category **Loc** of locales; actually **Loc** is a reflective subcategory of **PTop** (see e.g. [7]). In a predicative setting, the role of a locale is played by a formal cover  $(S, \triangleleft)$ , sometimes called a formal topology,

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*Data sharing not applicable to this article as no datasets were generated or analysed during the current study.*

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which can be read as a presentation of a frame by generators and relations, see e.g. [5]. A positive topology is then a formal cover endowed with a positivity relation, that is a relation  $\ltimes$  between  $S$  and  $\mathcal{P}(S)$  such that for every  $a \in S$  and  $U, V \subseteq S$

1.  $a \ltimes U \implies a \in U$ ;
2.  $a \ltimes U \wedge (\forall b \in S)(b \ltimes U \rightarrow b \in V) \implies a \ltimes V$ ;
3.  $a \triangleleft U \wedge a \ltimes V \implies (\exists b \in U)(b \ltimes V)$ .

The motivating example of a positive topology is built from a topological space in such a way as to keep the information about its closed subsets (classically, all such information is already encoded by the opens); see Sect. 5.2.

In [8] the first author and Vickers characterize positive topologies as locales endowed with a suitable family of suplattice homomorphisms. Here we show that this characterization can be organized into a fibration arising from a doctrine<sup>1</sup> over **Loc** via the so-called Grothendieck construction (see, e.g. [11]).

We will then use this representation of **PTop** to give an adjunction between the category **Top** of topological spaces and **PTop**; in particular, the notion of sobriety provided by this adjunction coincides with the one introduced in [22], which is known [1] to be constructively weaker than the notion of sobriety provided by the usual **Top–Loc** adjunction [13]. Moreover, the **Top–Loc** adjunction can be factorized as the composition of the **Top–PTop** adjunction above and the reflection **PTop–Loc**.

As a by-product, we get the completeness and cocompleteness of the category **PTop** and of the wider category **BTop** of basic topologies, which can be similarly characterized as a Grothendieck construction over the category of suplattices. This completes the picture in [10], where the pointwise counterparts of **BTop** and **PTop** were shown to be complete and cocomplete.

Our foundational framework is intuitionistic and impredicative, like that provided by the internal language of a topos. We use the term “constructive” in this sense.

## 2 Basic topologies and positive topologies

A **suplattice** (or *complete join semilattice*) is a poset  $(L, \leq)$  with all joins, that is,  $\bigvee X$  exists for all subsets  $X \subseteq L$ .<sup>2</sup> A map  $f : L \rightarrow M$  between two suplattices *preserves joins* if

$$f\left(\bigvee_{i \in I} x_i\right) = \bigvee_{i \in I} f(x_i)$$

<sup>1</sup> In this paper we will always use the term “doctrine” to mean an indexed preorder, that is a contravariant functor towards the category **PreOrd** of preorders and monotone maps. The “logical” intuition behind a doctrine  $\mathbf{Q} : \mathcal{C}^{op} \rightarrow \mathbf{PreOrd}$  is that an object  $A$  of  $\mathcal{C}$  can be seen as a type, an element  $\varphi \in \mathbf{Q}(A)$  can be seen as a proposition in context  $\varphi(x) [x : A]$ , an arrow  $f : B \rightarrow A$  of  $\mathcal{C}$  represents a term in context  $f(y) : A [y : B]$ , and the map  $\mathbf{Q}(f)$  represents the substitution operation  $\varphi(x) \mapsto \varphi(f(y))$ . Such a categorical approach to logic, which dates back to Lawvere [16], is still a topic of interest as witnessed by many recent works such as [17, 18] and [9].

<sup>2</sup> In particular,  $L$  has least element 0, namely the empty join. Moreover,  $L$  has also all meets and, in particular, the top element 1, namely the empty meet.

for every family  $(x_i)_{i \in I}$  in  $L$ . Suplattices and join-preserving maps form a category **SL**. We hence refer to join-preserving maps between suplattices as suplattice homomorphisms.

If  $X$  is a set and  $L$  is (the carrier of) a suplattice, then the collection of maps  $\mathbf{Set}(X, L)$  has a natural suplattice structure where joins are computed pointwise, that is,

$$\left(\bigvee_{i \in I} \varphi_i\right)(x) := \bigvee_{i \in I} (\varphi_i(x)).$$

If  $X$  has a suplattice structure, then  $\mathbf{SL}(X, L)$  is a sub-suplattice of  $\mathbf{Set}(X, L)$ .

A *base* for a suplattice  $L$  is a subset  $S \subseteq L$  such that  $p = \bigvee \{a \in S \mid a \leq p\}$  for all  $p \in L$ . For instance, the powerset  $\mathcal{P}(S)$  of a set  $S$  is a suplattice (with respect to union) and a base for  $\mathcal{P}(S)$  is given by all singletons.<sup>3</sup> Given a base  $S$ , let  $\triangleleft \subseteq S \times \mathcal{P}(S)$  be the relation defined as  $a \triangleleft U$  iff  $a \leq \bigvee U$ . It is easy to check that  $\triangleleft$  satisfies the following properties:

1.  $a \in U \implies a \triangleleft U$ ;
2.  $a \triangleleft U \wedge (\forall u \in U)(u \triangleleft V) \implies a \triangleleft V$ ;

for every  $a \in S$  and  $U, V \subseteq S$ . A pair  $(S, \triangleleft)$  satisfying 1 and 2 above is called a **basic cover**. A basic cover has to be understood as a presentation of a suplattice by generators and relations. Indeed, any basic cover induces an equivalence relation  $=_{\triangleleft}$  on  $\mathcal{P}(S)$  where  $U =_{\triangleleft} V$  is

$$(\forall u \in U)(u \triangleleft V) \wedge (\forall v \in V)(v \triangleleft U).$$

The quotient  $\mathcal{P}(S)/=_{\triangleleft}$  is a suplattice (with a base indexed by  $S$ ) where joins  $\bigvee_i [U_i]$  can be computed as  $[\bigcup_i U_i]$ . To complete the picture, one should note that the basic cover induced by a suplattice  $L$  (with any base  $S$ ) presents a suplattice which is isomorphic to  $L$  itself.

Two basic covers  $\mathcal{S}_1 = (S_1, \triangleleft_1)$  and  $\mathcal{S}_2 = (S_2, \triangleleft_2)$  are isomorphic if they induce isomorphic suplattices. More generally we say that a morphism from  $\mathcal{S}_1$  to  $\mathcal{S}_2$  is a suplattice homomorphism from  $\mathcal{P}(S_2)/=_{\triangleleft_2}$  to  $\mathcal{P}(S_1)/=_{\triangleleft_1}$ .<sup>4</sup> This corresponds to having a relation  $s \subseteq S_1 \times S_2$  which **respects the covers** in the following sense:

$$\text{if } a s b \text{ and } b \triangleleft_2 V, \text{ then } a \triangleleft_1 s^{-1} V$$

where  $s^{-1} V := \{x \in S_1 \mid (\exists v \in V)(x s v)\}$ . Actually, the same homomorphism corresponds to several relations which we want to consider equivalent; explicitly, two relations  $s$  and  $s'$  are equivalent if  $s^{-1} V =_{\triangleleft_1} s'^{-1} V$  for all  $V \subseteq S_2$ .

Basic covers and their morphisms form a category which is dual to the category **SL** of suplattices, that is, a category equivalent to  $\mathbf{SL}^{op}$ . We refer the reader to [2] for further details.

<sup>3</sup> Incidentally, note that  $\mathcal{P}(S)$  is the free suplattice over the set  $S$ .

<sup>4</sup> Contravariance is chosen to match the direction of locales.

## 2.1 Basic topologies

A **basic topology** [22] is a triple  $(S, \triangleleft, \ltimes)$  where  $(S, \triangleleft)$  is a basic cover and  $\ltimes$  is a relation between  $S$  and  $\mathcal{P}(S)$  such that

1.  $a \ltimes U \implies a \in U$ ;
2.  $a \ltimes U \wedge (\forall b \in S)(b \ltimes U \rightarrow b \in V) \implies a \ltimes V$ ;
3.  $a \triangleleft U \wedge a \ltimes V \implies (\exists b \in U)(b \ltimes V)$ .

The relation  $\ltimes$  is called a **positivity relation** on  $(S, \triangleleft)$ . Thus, a basic topology can be regarded as a suplattice together with the extra structure specified by a positivity relation.

The powerset  $\Omega := \mathcal{P}(1)$  of a singleton can be identified with the algebra of propositions up to logical equivalence.<sup>5</sup> Condition 3. in the definition above says that the map<sup>6</sup>

$$\begin{aligned} \varphi_Z : \mathcal{P}(S) / \equiv_{\triangleleft} &\longrightarrow \Omega \\ [U] &\longmapsto U \checkmark Z \end{aligned}$$

is well-defined if  $Z$  is of the form  $\{a \in S \mid a \ltimes V\}$ , in which case  $\varphi_Z$  is a suplattice homomorphism. Given any positivity relation  $\ltimes$  on  $(S, \triangleleft)$ , the collection of all such  $\varphi_Z$  forms a sub-suplattice of  $\mathbf{SL}(\mathcal{P}(S) / \equiv_{\triangleleft}, \Omega)$ . The first author and Vickers [8, Theorem 2.3] have shown that there is a bijective correspondence between positivity relations on  $(S, \triangleleft)$  and sub-suplattices of  $\mathbf{SL}(\mathcal{P}(S) / \equiv_{\triangleleft}, \Omega)$ . Thus, a basic topology can be identified with a pair  $(L, \Phi)$  where  $L$  is a suplattice and  $\Phi$  is a sub-suplattice of the collection  $\mathbf{SL}(L, \Omega)$  of suplattice homomorphisms from  $L$  to  $\Omega$ .<sup>7</sup>

Let  $\mathcal{S}_1 = (S_1, \triangleleft_1, \ltimes_1)$  and  $\mathcal{S}_2 = (S_2, \triangleleft_2, \ltimes_2)$  be basic topologies, and  $(L_1, \Phi_1)$  and  $(L_2, \Phi_2)$  be the corresponding suplattices together with sub-suplattices of suplattice homomorphisms to  $\Omega$ . According to [22], a morphism between basic topologies  $\mathcal{S}_1$  and  $\mathcal{S}_2$  is a morphism  $s$  between  $(S_1, \triangleleft_1)$  and  $(S_2, \triangleleft_2)$  satisfying the following additional condition

$$\text{if } a \triangleleft_1 b \text{ and } a \ltimes_1 U, \text{ then } b \ltimes_2 sU$$

for all  $a \in S_1$ ,  $b \in S_2$  and  $U \subseteq S_1$ , where  $sU := \{y \in S_2 \mid (\exists u \in U)(u \triangleleft_1 y)\}$ . This corresponds to having a suplattice homomorphism  $f : L_2 \rightarrow L_1$  such that  $\Phi_1 \circ f \subseteq \Phi_2$ , where  $\Phi_1 \circ f := \{\varphi \circ f \mid \varphi \in \Phi_1\}$ ; in other words

$$\text{if } L_1 \xrightarrow{\varphi} \Omega \text{ belongs to } \Phi_1, \text{ then } L_2 \xrightarrow{f} L_1 \xrightarrow{\varphi} \Omega \text{ belongs to } \Phi_2$$

<sup>5</sup> Two relevant facts about  $\Omega$  will be essential later: (i) for every  $a, b \in \Omega$ ,  $a \leq b$  if and only if  $a = 1$  implies  $b = 1$ ; (ii) for every set-indexed family  $(a_i)_{i \in I}$  of elements of  $\Omega$ ,  $\bigvee_{i \in I} a_i = 1$  if and only if there exists  $i \in I$  such that  $a_i = 1$ .

<sup>6</sup> For  $U, V \subseteq S$ , we use Sambin's "overlap" symbol  $U \checkmark V$  to mean that  $U \cap V$  is inhabited. Clearly  $U \checkmark V$  implies  $U \cap V \neq \emptyset$ . The converse is equivalent to the law of excluded middle, as it is clear by considering the case of  $\Omega$ . In that case,  $p \checkmark q$  means  $p = q = 1$ , and so  $p \checkmark p$  is just  $p = 1$ . On the contrary,  $p \cap p \neq 0$  is  $p \neq 0$ , that is  $(\neg p) = 1$ .

<sup>7</sup> When  $L$  is a frame, suplattice homomorphisms from  $L$  to  $\Omega$  are known to correspond to the overt weakly closed sublocales of  $L$  [4] (classically, these are just the closed sublocales).

(see [8, Proposition 2.9]).

Let **BTop** be the category whose objects are pairs  $(L, \Phi)$  of a suplattice  $L$  and a sub-suplattice  $\Phi$  of  $\mathbf{SL}(L, \Omega)$ , and whose arrows  $f : (L_1, \Phi_1) \rightarrow (L_2, \Phi_2)$  are suplattice homomorphisms  $f : L_2 \rightarrow L_1$  such that  $\Phi_1 \circ f \subseteq \Phi_2$ . Apart from the impredicativity involved, **BTop** is equivalent to the category of basic topologies in [22].

## 2.2 Positive topologies

A **positive topology** [22] is a basic topology  $(S, \triangleleft, \ltimes)$  such that the underlying basic cover  $(S, \triangleleft)$  is a formal cover [5] (sometimes called a formal topology). This means that the suplattice presented by  $(S, \triangleleft)$  is a **frame**, that is, binary meets distribute over arbitrary joins.

By an observation similar to the one we made for a basic topology in Sect. 2.1, a positive topology can be identified with a pair  $(L, \Phi)$  where  $L$  is a frame and  $\Phi$  is a sub-suplattice of  $\mathbf{SL}(L, \Omega)$ . A morphism between such pairs  $(L, \Phi)$  and  $(M, \Psi)$  is a frame homomorphism  $f : M \rightarrow L$  such that  $\Phi \circ f \subseteq \Psi$ , which corresponds to a formal map between positive topologies as described in [22].

Let **PTop** be the subcategory of **BTop** consisting of those objects whose underlying suplattice is a frame and arrows which are frame homomorphisms between the underlying frames. The category **PTop** is thus equivalent to that of positive topologies in [22].

## 3 A categorical characterization of BTop and PTop

In this section, we are going to give a categorical characterization of **BTop** and **PTop** in terms of Grothendieck constructions over two doctrines on the opposite of the category of suplattices and on the category of locales, respectively.

### 3.1 A doctrine on $\mathbf{SL}^{op}$

For  $L$  a suplattice, the (contravariant) hom-functor  $\mathbf{SL}(\_, L) : \mathbf{SL}^{op} \rightarrow \mathbf{Set}$  can be also regarded as a functor

$$\mathbf{SL}(\_, L) : \mathbf{SL} \rightarrow \mathbf{SL}^{op}$$

where, for  $f \in \mathbf{SL}(X, Y)$  and  $\varphi \in \mathbf{SL}(Y, L)$ , we have  $\mathbf{SL}(f, L)(\varphi) = \varphi \circ f$ .

Another well-known contravariant functor is the subobject functor

$$\mathbf{Sub} : \mathbf{SL}^{op} \rightarrow \mathbf{PreOrd}$$

which sends each suplattice  $L$  to the preorder (actually a poset)  $\mathbf{Sub}(L)$  of subobjects of  $L$  in  $\mathbf{SL}$ . Recall that a subobject of  $L$  can be represented as a subset  $I \subseteq L$  closed under joins in  $L$ , that is a sub-suplattice of  $L$ . Given  $f : M \rightarrow L$  in  $\mathbf{SL}$  and  $I \in \mathbf{Sub}(L)$ ,

**Sub**( $f$ ) sends  $I$  to the pullback  $\{x \in M \mid f(x) \in I\}$  of  $I$  along  $f$ .

$$\begin{array}{ccc} \mathbf{Sub}(f)(I) & \longrightarrow & I \\ \downarrow \lrcorner & & \downarrow \\ M & \xrightarrow{f} & L \end{array}$$

The composition **Sub**  $\circ$  **SL**( $\_, \Omega$ ) is a functor

$$\mathbf{P} : \mathbf{SL} \rightarrow \mathbf{PreOrd}$$

which, of course, can also be read as a contravariant functor on  $\mathbf{SL}^{op}$

$$\mathbf{P} : (\mathbf{SL}^{op})^{op} \rightarrow \mathbf{PreOrd},$$

that is, a doctrine on  $\mathbf{SL}^{op}$ .

As the result of the so-called Grothendieck construction [11, Definition 1.10.1],<sup>8</sup> we get a category  $\int \mathbf{P}$  whose objects are pairs  $(L, \Phi)$  with  $L$  a suplattice and  $\Phi$  a subobject of  $\mathbf{SL}(L, \Omega)$  in  $\mathbf{SL}$ . An arrow  $(L, \Phi) \rightarrow (M, \Psi)$  in  $\int \mathbf{P}$  is a suplattice homomorphism  $f : M \rightarrow L$  such that

$$\Phi \subseteq \mathbf{P}(f)(\Psi).$$

Since  $\mathbf{P}(f)(\Psi) = \{\varphi \in \mathbf{SL}(L, \Omega) \mid \varphi \circ f \in \Psi\}$  by definition, such a condition is equivalent to the following

$$\Phi \circ f \subseteq \Psi$$

Therefore,  $\int \mathbf{P}$  is exactly the category **BTop** of basic topologies which we introduced in Sect. 2.1 above.

This construction yields a forgetful functor  $\mathbf{U} : \int \mathbf{P} \rightarrow \mathbf{SL}^{op}$ , which is in fact a fibration (see [11]). This functor has a right adjoint, the *constant object functor*

$$\Delta : \mathbf{SL}^{op} \rightarrow \int \mathbf{P},$$

<sup>8</sup> The Grothendieck construction (which is formulated in [11] for the general case of an indexed category) applied to a doctrine  $\mathbf{Q} : \mathbb{C}^{op} \rightarrow \mathbf{PreOrd}$  provides a category  $\int \mathbf{Q}$  where objects are pairs  $(A, \varphi)$  with  $A$  an object of  $\mathbb{C}$  and  $\varphi \in \mathbf{Q}(A)$ , and arrows from  $(A, \varphi)$  to  $(B, \psi)$  are arrows  $f : A \rightarrow B$  of  $\mathbb{C}$  such that  $\varphi \leq \mathbf{Q}(f)(\psi)$  in  $\mathbf{Q}(A)$  (with composition of arrows inherited from  $\mathbb{C}$ ).

Recalling what we said in footnote 1, one can understand an object  $(A, \varphi)$  of  $\int \mathbf{Q}$  as an object of  $\mathbb{C}$  together with a distinguished “subset”  $\{x \in A \mid \varphi\}$  obtained by separation by means of the proposition  $\varphi$ , and an arrow  $f : (A, \varphi) \rightarrow (B, \psi)$  as an operation from  $A$  to  $B$  such that the image of  $\{x \in A \mid \varphi\}$  is included in  $\{x \in B \mid \psi\}$ .

which sends each suplattice  $L$  to the object  $(L, \mathbf{SL}(L, \Omega))$  and each  $f : L \rightarrow M$  in  $\mathbf{SL}^{op}$  to itself as an arrow from  $\Delta(L)$  to  $\Delta(M)$  in  $\int \mathbf{P}$ . So  $\Delta$  is full.

$$\mathbf{BTop} = \int \mathbf{P} \begin{array}{c} \xrightarrow{\mathbf{U}} \\ \perp \\ \xleftarrow{\Delta} \end{array} \mathbf{SL}^{op}$$

Moreover  $\mathbf{U} \circ \Delta$  is just the identity functor on  $\mathbf{SL}^{op}$ . Thus,  $\Delta$  is full, faithful and injective on objects, and so  $\mathbf{SL}^{op}$  can be regarded as a reflective subcategory of  $\int \mathbf{P}$ . In this way, we recover the result in [6].

Note that the monad  $T$  induced by the adjunction  $\mathbf{U} \dashv \Delta$  is an idempotent monad. By the results in Sect. 4.2 of [3], we have that  $\mathbf{SL}^{op}$  is equivalent both to the category of free algebras (the Kleisli category) and to the category of algebras (the Eilenberg–Moore category) on  $T$ . Hence the adjunction  $\mathbf{U} \dashv \Delta$  is monadic.

**Remark** Since in a suplattice arbitrary meets always exist, if  $(L, \leq)$  is a suplattice, then  $(L, \leq)^{op} := (L, \geq)$  is a suplattice as well. Moreover, every suplattice homomorphism  $f : X \rightarrow Y$  has a right adjoint (as a monotone function)  $f^{op} : Y \rightarrow X$  which preserves all meets. This determines a contravariant functor  $(\_)^{op}$ , which is in fact an isomorphism between  $\mathbf{SL}$  and  $\mathbf{SL}^{op}$ . In particular,  $\mathbf{SL}(X, Y) \cong \mathbf{SL}(Y^{op}, X^{op})$  for all  $X$  and  $Y$ .

Classically,  $\mathbf{SL}(\_, \Omega)$  is naturally isomorphic to the functor  $(\_)^{op}$  because  $\Omega^{op} \cong \Omega$  so that  $\mathbf{SL}(L, \Omega) \cong \mathbf{SL}(\Omega, L^{op}) \cong L^{op}$ .<sup>9</sup> Therefore, for every  $L$ ,  $\mathbf{P}(L) = \mathbf{Sub}(\mathbf{SL}(L, \Omega)) \cong \mathbf{Sub}(L^{op})$  which is isomorphic to the lattice of suplattice quotients of  $L$ . In other words, an object  $(L, \Phi)$  corresponds to an epimorphism  $e : L \rightarrow \Phi^{op}$ , and an arrow  $(L, \Phi) \rightarrow (M, \Psi)$  is a suplattice homomorphism  $f : M \rightarrow L$  such that  $e \circ f : M \rightarrow \Phi^{op}$  preserves the congruence relation on  $M$  corresponding to  $\Psi$ .

### 3.2 The case of frames (and locales)

The category **Frm** of frames is the subcategory of **SL** whose objects are frames and whose arrows preserve finite meets (in addition to arbitrary joins). The category **Loc** of locales is defined as  $\mathbf{Frm}^{op}$ . By restricting the functor  $\mathbf{P}$  to **Frm**, we get a doctrine

$$\tilde{\mathbf{P}} : \mathbf{Loc}^{op} = \mathbf{Frm} \longrightarrow \mathbf{PreOrd}$$

on **Loc**, which gives rise to a fibration  $\mathbf{U} : \int \tilde{\mathbf{P}} \rightarrow \mathbf{Loc}$  fitting in a pullback square of categories as follows.

<sup>9</sup> This cannot hold constructively, for if  $\varphi$  were an order-isomorphism between  $(\Omega, \leq)$  and  $(\Omega, \geq)$ , then we could prove  $\neg\neg p \leq p$  for every  $p \in \Omega$  as follows. If  $\varphi(p) = 1 = \varphi(0)$ , then  $p = 0$  and so  $\varphi(\neg\neg p) = \varphi(0) = 1$ . This shows that  $\varphi(p) = 1$  implies  $\varphi(\neg\neg p) = 1$ , that is,  $\varphi(p) \leq \varphi(\neg\neg p)$ . Since  $\varphi$  is an isomorphism, this would entail  $\neg\neg p \leq p$ .

$$\begin{array}{ccc}
 \int \tilde{\mathbf{P}} & \xrightarrow{\quad} & \int \mathbf{P} \\
 \downarrow \mathbf{U} & \lrcorner & \downarrow \mathbf{U} \\
 \mathbf{Loc} & \xrightarrow{\quad} & \mathbf{SL}^{op}
 \end{array}$$

Here  $\int \tilde{\mathbf{P}}$  is exactly the category  $\mathbf{PTop}$  as introduced in Sect. 2.2.

As we have shown before in the case of  $\mathbf{SL}^{op}$  and  $\int \mathbf{P}$ , there is an adjunction  $\mathbf{U} \dashv \Delta$  between  $\int \tilde{\mathbf{P}}$  and  $\mathbf{Loc}$  with  $\Delta$  full, faithful and injective on objects. Thus, the category  $\mathbf{Loc}$  can be regarded as a reflective subcategory of  $\mathbf{PTop}$ , as already shown in [7].

## 4 Weakly sober spaces

### 4.1 Irreducible closed subsets

The open sets of a topological space  $(X, \tau)$  form a frame with respect to set-theoretic unions and intersections. A subset  $C \subseteq X$  is **closed** if

$$(\forall I \in \tau)(x \in I \Rightarrow C \not\leq I) \implies x \in C$$

for all  $x \in X$ . The collection  $\text{Closed}(X, \tau)$  of closed subsets of  $(X, \tau)$  is a complete lattice (where meets are given by intersections, and joins are given by closure of unions), but it need not be a co-frame constructively.<sup>10</sup>

As usual, it makes sense to define the closure  $\text{cl}D$  of a subset  $D \subseteq X$  as the intersection of all closed subsets containing  $D$ .

Every closed subset  $C$  of  $X$  determines a map

$$\begin{aligned}
 \varphi_C : \tau &\longrightarrow \Omega \\
 I &\longmapsto C \not\leq I
 \end{aligned}$$

which preserves joins, that is,  $\varphi_C \in \mathbf{SL}(\tau, \Omega)$ . Note that  $\varphi_D$  makes sense also when  $D$  is an arbitrary subset; however  $\varphi_D = \varphi_{\text{cl}D}$  because  $I \not\leq D$  if and only if  $I \not\leq \text{cl}D$  for every  $I \in \tau$ . So the mapping

$$\begin{aligned}
 \text{Closed}(X, \tau) &\longrightarrow \mathbf{SL}(\tau, \Omega) \\
 C &\longmapsto \varphi_C
 \end{aligned}$$

is injective and preserves joins. Thus  $\text{Closed}(X, \tau)$  is a sub-suplattice of  $\mathbf{SL}(\tau, \Omega)$ .<sup>11</sup>

A closed subset  $C \subseteq X$  is **irreducible** if any of the following equivalent conditions holds:

<sup>10</sup> For a Brouwerian counterexample consider the discrete space and recall that the so-called “constant domain axiom”  $\forall x(\varphi \vee \psi) \rightarrow \varphi \vee \forall x \psi$ , with  $x$  not free in  $\varphi$ , is not provable constructively.

<sup>11</sup> Classically, every  $\varphi \in \mathbf{SL}(\tau, \Omega)$  is of the form  $\varphi_C$ : take  $C$  to be the closed subset  $X \setminus \bigcup \{I \in \tau \mid \varphi(I) = 0\}$ . Hence  $\text{Closed}(X, \tau) \cong \mathbf{SL}(\tau, \Omega)$ . This cannot be the case constructively, as we will see in Sect. 4.2.

1.  $\varphi_C$  preserves finite meets;
2.  $C$  is inhabited and for every  $I, J \in \tau$ , if  $I \not\leq C$  and  $J \not\leq C$ , then  $(I \cap J) \not\leq C$ ;
3.  $\{I \in \tau \mid I \not\leq C\}$  is a completely-prime filter of opens.

In other words, a closed subset  $C$  is irreducible if and only if  $\varphi_C$  is a frame homomorphism, that is, a *point* in the sense of locale theory. However we cannot show constructively that all frame homomorphisms  $\tau \rightarrow \Omega$  arise in this way; see Sect. 4.2.

Classically,  $C$  is irreducible if and only if it is non-empty and cannot be written as a disjoint union of two non-empty closed subsets [13]; moreover  $\{C \subseteq X \mid C \text{ is irreducible closed}\}$  can be identified with  $\mathbf{Frm}(\tau, \Omega)$ .

## 4.2 Weak sobriety

Recall that a space is *T0* or Kolmogorov if  $x = y$  follows from the assumption that  $\text{cl}\{x\} = \text{cl}\{y\}$ . Since  $\text{cl}\{x\}$  is always irreducible, we have the following embeddings for a *T0* space  $(X, \tau)$ :

$$X \hookrightarrow \{C \subseteq X \mid C \text{ is irreducible closed}\} \hookrightarrow \mathbf{Frm}(\tau, \Omega).$$

A *T0* space is **weakly sober** if every irreducible closed subset is the closure of a singleton, that is, if the embedding  $X \hookrightarrow \{C \subseteq X \mid C \text{ is irreducible closed}\}$  is a bijection. It is **sober** if the embedding  $X \hookrightarrow \mathbf{Frm}(\tau, \Omega)$  is a bijection. Note that every weakly sober space is sober classically.

Constructively, every *T2* space is weakly sober [1, Proposition 11.27], provided that the *T2* separation property for  $(X, \tau)$  is understood as the following statement:  $(\forall I \in \tau)(\forall J \in \tau)(x \in I \wedge y \in J \rightarrow I \not\leq J) \rightarrow x = y$ , for all  $x, y \in X$ .

However, if every weakly sober space were sober, then the non-constructive principle LPO (the Limited Principle of Omniscience) would be derivable [1, Proposition 11.25]. Thus, we cannot prove that all  $\varphi \in \mathbf{SL}(\tau, \Omega)$  are of the form  $\varphi_C$  for some closed subset  $C$ ; otherwise  $\mathbf{Frm}(\tau, \Omega)$  could be identified with the irreducible closed subsets, which would make sobriety and weak sobriety coincide.

## 5 Factorizing the **Top**–**Loc** adjunction

The usual  $\Omega \dashv \mathbf{Pt}$  adjunction between the category **Top** of topological spaces and the category **Loc** of locales does not compose with the adjunction  $\mathbf{U} \dashv \Delta$  between **Loc** and **PTop** ( $= \int \tilde{\mathbf{P}}$ ) to give an adjunction between **Top** and **PTop**.

$$\begin{array}{ccccc} & \Omega & & \mathbf{U} & \\ & \downarrow & & \downarrow & \\ \mathbf{Top} & \xleftrightarrow{\quad} & \mathbf{Loc} & \xleftrightarrow{\quad} & \mathbf{PTop} \\ & \uparrow & & \uparrow & \\ & \mathbf{Pt} & & \Delta & \end{array}$$

Nevertheless, a meaningful adjunction between **Top** and **PTop** can be given, as explained in the following, through which the usual **Top**–**Loc** adjunction factors.

### 5.1 Points of a positive topology

The suplattice  $\Omega$  is an initial frame, that is, a terminal locale. Hence  $\Delta(\Omega)$  is a terminal object in **PTop**. We define a **point** of a positive topology  $(L, \Phi)$  as a global point  $\Delta(\Omega) \rightarrow (L, \Phi)$  in **PTop**, and we write  $\mathbf{Pt}^+(L, \Phi)$  instead of  $\mathbf{PTop}(\Delta(\Omega), (L, \Phi))$ . Thus, a point of  $(L, \Phi)$  is a frame homomorphism  $f : L \rightarrow \Omega$  such that  $\mathbf{SL}(\Omega, \Omega) \circ f \subseteq \Phi$ . Since  $\mathbf{SL}(\Omega, \Omega)$  contains the identity map, we have  $f \in \Phi$ . Conversely, if  $f \in \Phi$  and  $\varphi \in \mathbf{SL}(\Omega, \Omega)$ , then we have  $\varphi \circ f = \bigvee \{g \in \{f\} \mid \varphi = \text{id}_\Omega\} \in \Phi$ . In other words, the points of  $(L, \Phi)$  are exactly those points of the locale  $L$  that are in  $\Phi$ . Hence,  $\mathbf{Pt}^+(L, \Phi)$  can be regarded as a subspace of the topological space  $\mathbf{Pt}(L)$ .

The construction  $\mathbf{Pt}^+$  can be extended to a functor from **PTop** to **Top** as follows. Given an arrow  $(L, \Phi) \rightarrow (M, \Psi)$  with underlying frame homomorphism  $f : M \rightarrow L$ , the continuous map  $\mathbf{Pt}(f) : \mathbf{Pt}(L) \rightarrow \mathbf{Pt}(M)$ , which sends a point  $p : L \rightarrow \Omega$  to the point  $p \circ f : M \rightarrow \Omega$ , can be restricted to a continuous map  $\mathbf{Pt}^+(L, \Phi) \rightarrow \mathbf{Pt}^+(M, \Psi)$  because  $\Phi \circ f \subseteq \Psi$ .

### 5.2 The canonical positive topology associated to a space

As shown in Sect. 4.1, the closed subsets  $\text{Closed}(X, \tau)$  of a topological space  $(X, \tau)$  can be seen as a sub-suplattice of  $\mathbf{SL}(\tau, \Omega)$  via the mapping  $C \mapsto \varphi_C$ . Thus, we can define a functor  $\Lambda : \mathbf{Top} \rightarrow \mathbf{PTop}$  whose object part is

$$\Lambda(X, \tau) = (\tau, \{\varphi_C \mid C \text{ is closed}\}).$$

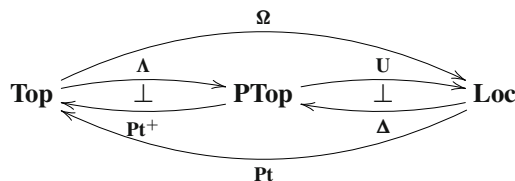
For a continuous map  $f : (X, \tau_X) \rightarrow (Y, \tau_Y)$ , the **PTop**-morphism  $\Lambda(f)$  is just the locale morphism corresponding to the frame homomorphism  $f^{-1} : \tau_Y \rightarrow \tau_X$ . This makes sense because for any closed subset  $C \subseteq X$ , the suplattice homomorphism  $\varphi_C \circ f^{-1} : \tau_Y \rightarrow \Omega$  is precisely  $\varphi_D$ , where  $D = \text{cl}f(C)$ .

### 5.3 The adjunction between $\mathbf{Pt}^+$ and $\Lambda$

**Theorem** *The following hold:*

1.  $\mathbf{Pt} = \mathbf{Pt}^+ \circ \Lambda$ ;
2.  $\Omega = \mathbf{U} \circ \Lambda$ ;
3.  $\Lambda \dashv \mathbf{Pt}^+$ .

*As a consequence, the usual adjunction between **Top** and **Loc** factors through an adjunction between **PTop** and **Loc**.*



**Proof** For every locale  $L$ ,  $\mathbf{Pt}(L) = \mathbf{Pt}(L) \cap \mathbf{SL}(L, \Omega) = \mathbf{Pt}^+(\Delta(L))$ , and for every topological space  $(X, \tau)$ ,  $\mathbf{U}(\mathbf{A}(X, \tau)) = \tau = \mathbf{\Omega}(X, \tau)$ . Hence 1 and 2 hold.

For 3, if  $f : \mathbf{A}(X, \tau) \rightarrow (L, \Phi)$  in **PTop**, then one can define a continuous map  $\tilde{f}$  from  $(X, \tau)$  to  $\mathbf{Pt}^+(L, \Phi)$  as follows:

$$\tilde{f}(x) := \varphi_{\text{cl}\{x\}} \circ f,$$

that is, for every  $y \in L$ ,  $\tilde{f}(x)(y) := \text{cl}\{x\} \checkmark f(y) \in \Omega$ .

Conversely, if  $g$  is a continuous map from  $(X, \tau)$  to  $\mathbf{Pt}^+(L, \Phi)$ , then an arrow  $\widehat{g}$  from  $\mathbf{A}(X, \tau)$  to  $(L, \Phi)$  in **PTop** is defined as follows:

$$\widehat{g}(y) := g^{-1}(\{\varphi \in \mathbf{Pt}(L) \cap \Phi \mid \varphi(y) = 1\}) \in \tau$$

for every  $y \in L$ . This is an arrow in **PTop** because it preserves arbitrary joins and finite meets, and for every closed subset  $C \subseteq X$  we have  $\varphi_C \circ \widehat{g} = \bigvee \{\varphi \in \mathbf{Pt}^+(L, \Phi) \mid \varphi \in g(C)\} \in \Phi$ .

One can show that the maps  $\widetilde{(-)}$  and  $\widehat{(-)}$  define a natural isomorphism between the functors  $\mathbf{PTop}(\mathbf{A}(-), -)$  and  $\mathbf{Top}(-, \mathbf{Pt}^+(-))$ .  $\square$

Since  $\mathbf{Pt}^+(\mathbf{A}(X, \tau))$  is the space of irreducible closed subsets of  $X$  and  $\mathbf{Pt}(\mathbf{\Omega}(X, \tau))$  is the space of frame homomorphisms from  $\tau$  to  $\Omega$ , a topological space  $(X, \tau)$  is weakly sober when the unit of the adjunction  $\mathbf{A} \dashv \mathbf{Pt}^+$  gives a homeomorphism between  $(X, \tau)$  and  $\mathbf{Pt}^+(\mathbf{A}(X, \tau))$ , while it is sober when the unit of the adjunction  $\mathbf{\Omega} \dashv \mathbf{Pt}$  gives a homeomorphism between  $(X, \tau)$  and  $\mathbf{Pt}(\mathbf{\Omega}(X, \tau))$ .

Classically,  $\mathbf{SL}(\tau, \Omega) = \{\varphi_C \mid C \text{ is closed}\}$  holds (see footnote 11). Hence  $\mathbf{A} = \mathbf{\Delta} \circ \mathbf{\Omega}$ , and thus  $\mathbf{Pt}^+ \circ \mathbf{A} = \mathbf{Pt}^+ \circ \mathbf{\Delta} \circ \mathbf{\Omega} = \mathbf{Pt} \circ \mathbf{\Omega}$ . Therefore, as already noted, sobriety and weak sobriety coincide classically.

**Remark** A positivity relation on a formal cover is also called a *binary positivity* [21, 22], which is often explained as generalization of a (unary) positivity predicate. Impredicatively, formal covers with a unary positivity predicate (often called just formal topologies [20]) correspond to *open* locales [12, 14, 15], which are also called *overt* locales [23].

Overt locales form a coreflective subcategory **oLoc** of **Loc** [19]. On the other hand, our result above presents **Loc** as a reflective subcategory of **PTop**. Thus, the relation between **oLoc** and **Loc** and that between **PTop** and **Loc** seem to be of different kinds. In particular, the two adjunctions **oLoc**–**Loc** and **PTop**–**Loc** do not compose to give any adjunction between **PTop** and **oLoc**, apart from the fact that **oLoc** embeds into **PTop** (via the embedding  $\mathbf{\Delta}$ ). Moreover, classically, every locale is overt so that **oLoc** and **Loc** coincide, but this is clearly not the case for **PTop**.

The relation between **PTop** and **oLoc** has much to be clarified. However, the above observation suggests that the result of this section seems to be independent from what is already known about **oLoc**.

## 6 Limits and colimits in BTop and PTop

Let  $\mathbf{Q} : \mathbb{C}^{op} \rightarrow \mathbf{PreOrd}$  be a doctrine which factors through the embedding of the category of inflattices (that is, the category whose objects are posets having all meets and whose arrows are functions preserving them) in  $\mathbf{PreOrd}$ .

Under this assumption, if  $\mathbb{C}$  is complete, then the Grothendieck construction  $\int \mathbf{Q}$  gives a complete category. Indeed, it is easy to check that if  $(A_i, \varphi_i)_{i \in I}$  is a set-indexed family of objects in  $\int \mathbf{Q}$ , its product is given by the object

$$\prod_{i \in I} (A_i, \varphi_i) := \left( \prod_{i \in I} A_i, \bigwedge_{i \in I} \mathbf{Q}(\pi_i)(\varphi_i) \right)$$

together with the projections  $\pi_i$  inherited from  $\mathbb{C}$ ; and the equalizer of two parallel arrows  $f, g : (A, \varphi) \rightarrow (B, \psi)$  in  $\int \mathbf{Q}$  is  $e : (E, \mathbf{Q}(e)(\varphi)) \rightarrow (A, \varphi)$ , where  $e : E \rightarrow A$  is the equalizer of  $f$  and  $g$  in  $\mathbb{C}$ .

On the other hand, if  $\mathbb{C}$  is cocomplete, then  $\int \mathbf{Q}$  is cocomplete as well. Indeed, if we denote with  $\exists_f$  the left adjoint to  $\mathbf{Q}(f)$  for every arrow  $f$  of  $\mathbb{C}$ , the coproduct of a family of objects  $(A_i, \varphi_i)_{i \in I}$  in  $\int \mathbf{Q}$  is given by the object

$$\sum_{i \in I} (A_i, \varphi_i) := \left( \sum_{i \in I} A_i, \bigvee_{i \in I} \exists_{j_i}(\varphi_i) \right)$$

together with the injections  $j_i$  inherited from  $\mathbb{C}$ ; and the coequalizer of two arrows  $f, g : (A, \varphi) \rightarrow (B, \psi)$  is  $q : (B, \psi) \rightarrow (Q, \exists_q(\psi))$ , where  $q : B \rightarrow Q$  is the coequalizer of  $f$  and  $g$  in  $\mathbb{C}$ .

The doctrines  $\mathbf{P}$  and  $\tilde{\mathbf{P}}$  introduced in Sects. 3.1 and 3.2, respectively, satisfy the above requirements. Indeed, every  $\mathbf{P}(L)$  and every  $\tilde{\mathbf{P}}(L)$  is an inflattice because an arbitrary intersection of sub-suplattices is a sub-suplattice. Moreover, every  $\mathbf{P}(f)$  has a left adjoint, namely  $\exists_f(\Phi) := \Phi \circ f$ , essentially by the very definition of  $\mathbf{P}$ ; hence every  $\mathbf{P}(f)$  preserves meets. Finally, it is well known that both  $\mathbf{SL}^{op}$  and  $\mathbf{Loc}$  are complete and cocomplete [13]. Thus, the categories  $\mathbf{PTop}$  and  $\mathbf{BTop}$  are complete and cocomplete.

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