

# Network design with edge-connectivity and degree constraints\*

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## Abstract

We consider the following network design problem; Given a vertex set  $V$  with a metric cost  $c$  on  $V$ , an integer  $k \geq 1$ , and a degree specification  $b$ , find a minimum cost  $k$ -edge-connected multigraph on  $V$  under the constraint that the degree of each vertex  $v \in V$  is equal to  $b(v)$ . This problem generalizes metric TSP. In this paper, we propose that the problem admits a  $\rho$ -approximation algorithm if  $b(v) \geq 2$ ,  $v \in V$ , where  $\rho = 2.5$  if  $k$  is even, and  $\rho = 2.5 + 1.5/k$  if  $k$  is odd. We also prove that the digraph version of this problem admits a 2.5-approximation algorithm and discuss some generalization of metric TSP.

**Keywords:** approximation algorithm, degree constraint, edge-connectivity,  $(m, n)$ -VRP, TSP, vehicle routing problem

## 1 Introduction

It is a main concern in the field of network design to construct a graph of the least cost which satisfies some connectivity requirement. Actually many results on this topic have been obtained so far. In this paper, we consider a network design problem that asks to find a minimum cost  $k$ -edge-connected multigraph on a metric edge cost under degree specification. This provides a natural and flexible framework for treating many network design problems. For example, it generalizes the vehicle routing problem with  $m$  vehicles ( $m$ -VRP) [4, 8], which will be introduced below, and hence contains a well-known metric traveling salesperson problem (TSP), which has already been applied to numerous practical problems [9].

Let  $\mathbb{Z}_+$  and  $\mathbb{Q}_+$  denote the sets of non-negative integers and non-negative rational numbers, respectively. Let  $G = (V, E)$  be a multigraph with a vertex set  $V$  and an edge set  $E$ , where a multigraph may have some parallel edges but is not allowed to have any loops. For two vertices  $u$  and  $v$ , an edge joining  $u$  and  $v$  is denoted by  $uv$ . Since we consider multigraphs in this paper, we distinguish two parallel edges  $e_1 = uv$  and  $e_2 = uv$ , which may be simply denoted by  $uv$  and  $uv$ . For a non-empty vertex set  $X \subset V$ ,

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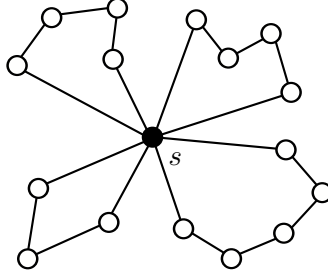


Figure 1: A solution for 4-VRP

$d(X; G)$  (or  $d(X)$ ) denotes the number of edges whose one end vertex is in  $X$  and the other is in  $V - X$ . In particular  $d(v; G)$  (or  $d(v)$ ) denotes the degree of vertex  $v$  in  $G$ . The edge-connectivity  $\lambda(u, v; G)$  (or  $\lambda(u, v)$ ) between  $u$  and  $v$  is the maximum number of edge-disjoint paths between them in  $G$ . The edge-connectivity  $\lambda(G)$  of  $G$  is defined as  $\min_{u, v \in V} \lambda(u, v; G)$ . If  $\lambda(G) \geq k$  for some  $k \in \mathbb{Z}_+$ , then  $G$  is called *k-edge-connected*. For a function  $r : \binom{V}{2} \rightarrow \mathbb{Z}_+$ ,  $G$  is called *r-edge-connected* if  $\lambda(u, v; G) \geq r(u, v)$  for every  $u, v \in V$ . Edge cost  $c : \binom{V}{2} \rightarrow \mathbb{Q}_+$  is called *metric* if it obeys the triangle inequality, i.e.,  $c(uv) + c(vw) \geq c(uw)$  for every  $u, v, w \in V$ .

For a degree specification  $b : V \rightarrow \mathbb{Z}_+$ , a multigraph  $G$  with  $d(v; G) = b(v)$  for all  $v \in V$  is called a *perfect b-matching*. In this paper, we focus on the following network design problem.

**k-edge-connected multigraph with degree specification (k-ECMDS):**

A vertex set  $V$ , a metric edge cost  $c : \binom{V}{2} \rightarrow \mathbb{Q}_+$ , a degree specification  $b : V \rightarrow \mathbb{Z}_+$ , and a positive integer  $k$  are given. We are asked to find a minimum cost perfect  $b$ -matching  $G = (V, E)$  of edge-connectivity  $k$ .  $\square$

In this paper, we suppose that  $b(v) \geq 2$  for all  $v \in V$  unless stated otherwise, and propose approximation algorithms to  $k$ -ECMDS in this case.

Problem  $k$ -ECMDS is a generalization of  $m$ -VRP, which asks to find a minimum cost set of  $m$  cycles, each containing a designated initial city  $s$ , such that each of the other cities is covered by exactly one cycle (see Fig. 1). Observe that this problem is 2-ECMDS where  $b(s) = 2m$  for the initial city  $s \in V$  and  $b(v) = 2$  for every  $v \in V - s$ . If  $m = 1$ , then  $m$ -VRP is exactly TSP. Since TSP is known to be NP-hard [12] even if a given cost is metric (metric TSP),  $k$ -ECMDS is also NP-hard. If a given cost is not metric, TSP cannot be approximated unless  $P = NP$  [12]. For  $m$ -VRP, there is a 2-approximation algorithm based on the primal-dual method [8].

It is well studied to find a minimum cost multigraph either with  $k$ -edge-connectivity or with degree specification. It is known that finding a minimum cost  $k$ -edge-connected graph is NP-hard since it is equivalent to metric TSP when  $k = 2$  and a given edge cost is metric. On the other hand, it is known that a minimum cost perfect  $b$ -matching can be constructed in polynomial time (for example, see [11]). As a prior result on problems equipped with both edge-connectivity requirements and degree constraints, Frank [2] showed that it is polynomially solvable to find a minimum cost  $r$ -edge-connected multigraph  $G$  with

$\ell(v) \leq d(v; G) \leq u(v)$ ,  $v \in V$  for degree lower and upper bounds  $\ell, u : V \rightarrow \mathbb{Z}_+$  and a metric edge cost  $c$  such that  $c(uv)$  is defined by  $w(u) + w(v)$  for some weight  $w : V \rightarrow \mathbb{Q}_+$  (in particular,  $c(uv) = 1$  for every  $uv \in \binom{V}{2}$ ). Recently Fukunaga and Nagamochi [5] presented approximation algorithms for a network design problem with a general metric edge cost and some degree bounds; For example, they presented a  $(2 + 1/\lfloor \min_{u,v \in V} r(u,v)/2 \rfloor)$ -approximation algorithm for constructing a minimum cost  $r$ -edge-connected multigraph that meets a local-edge-connectivity requirement  $r$  with  $r(u,v) \geq 2$ ,  $u, v \in V$  under a uniform degree upper bound. Afterwards Fukunaga and Nagamochi [6] gave a 3-approximation algorithm for the case where  $r(u,v) \in \{1, 2\}$  for every  $u, v \in V$  and  $\ell(v) = u(v)$  for each  $v \in V$ . In this paper, we extend the 3-approximation result [6] to  $k$ -ECMDS. Concretely, we prove that  $k$ -ECMDS is  $\rho$ -approximable if  $b(v) \geq 2$ ,  $v \in V$ , where  $\rho = 2.5$  if  $k$  is even and  $\rho = 2.5 + 1.5/k$  if  $k$  is odd. Moreover, we show that this factor can be improved when a degree specification is uniform. To design our algorithms for  $k$ -ECMDS, we take a similar approach with famous 2- and 1.5-approximation algorithms for metric TSP.

Furthermore, we also generalize  $k$ -ECMDS to a network design problem in digraphs. We denote an arc (i.e., a directed edge) from a vertex  $u$  to another vertex  $v$  by  $uv$ . Two arcs from  $u$  to  $v$  are called *parallel*. Let  $D = (V, A)$  be a multi-digraph, where a multi-digraph may have some parallel arcs but is not allowed to have any loops. For an ordered pair of vertices  $u$  and  $v$ ,  $\lambda(u, v; D)$  (or  $\lambda(u, v)$ ) denotes the arc-connectivity from  $u$  to  $v$ , i.e., the maximum number of arc-disjoint paths from  $u$  to  $v$  in  $D$ . The arc-connectivity  $\lambda(D)$  of  $D$  is defined as  $\min_{u,v \in V} \lambda(u, v; D)$ . If  $\lambda(D) \geq k$  for some  $k \in \mathbb{Z}_+$ ,  $D$  is called  *$k$ -arc-connected*. Moreover,  $d^-(v; D)$  (or  $d^-(v)$ ) and  $d^+(v; D)$  (or  $d^+(v)$ ) denote in- and out-degree of vertex  $v$  in digraph  $D$ , respectively. Arc cost  $c : V \times V \rightarrow \mathbb{Q}_+$  is called *symmetric* if  $c(uv) = c(vu)$  for every  $u, v \in V$ , and *metric* if it obeys the triangle inequality, i.e.,  $c(uv) + c(vz) \geq c(uz)$  for every  $u, v, z \in V$ .

We call a multi-digraph  $D$  with  $d^-(v; D) = b^-(v)$  and  $d^+(v; D) = b^+(v)$  for all  $v \in V$  *perfect  $(b^-, b^+)$ -matching* for in- and out-degree specifications  $b^-, b^+ : V \rightarrow \mathbb{Z}_+$ . A minimum cost perfect  $(b^-, b^+)$ -matching can be found by computing a minimum cost perfect  $b$ -matching in a bipartite graph. The digraph version of the problem is described as follows.

**$k$ -arc-connected multi-digraph with degree specification ( $k$ -ACMDS):**

A vertex set  $V$ , a symmetric metric arc cost  $c : V \times V \rightarrow \mathbb{Q}_+$ , in- and out-degree specifications  $b^-, b^+ : V \rightarrow \mathbb{Z}_+$ , and a positive integer  $k$  are given. We are asked to find a minimum cost perfect  $(b^-, b^+)$ -matching  $D = (V, A)$  of arc-connectivity  $k$ .  $\square$

We also introduce a problem  $(m, n)$ -vehicle routing problem  $((m, n)$ -VRP), which generalizes  $m$ -VRP so that each of the other cities than a special city is visited by exactly  $n$  of the  $m$  cycles. This problem is not contained in  $k$ -ECMDS. However, we show that our algorithm for  $k$ -ECMDS also delivers a 2.5-approximate solution to  $(m, n)$ -VRP. Moreover, we improve this algorithm to an  $(1.5 + \frac{m-n}{m})$ -approximation algorithm.

This paper is organized as follows. Section 2 presents an algorithm for  $k$ -ECMDS. Section 3 provides a 2.5-approximation algorithm for  $k$ -ACMDS problem. Section 4 im-

proves the approximation factors of these algorithms assuming that a degree specification is uniform. Section 5 shows how to apply our algorithm for  $k$ -ECMDS to  $(m, n)$ -VRP. Section 6 makes some concluding remarks.

## 2 Algorithm for $k$ -ECMDS

This section describes an approximation algorithm for  $k$ -ECMDS. Before describing the algorithm, we consider how to check the feasibility of a given instance.

### 2.1 Feasibility

For some degree specification  $b$ , there is no perfect  $b$ -matching. The following theorem shows provides a necessary and sufficient condition for a degree specification to admit a perfect  $b$ -matching. Note that  $b(v)$  can be 1 in this theorem.

**Theorem 1** *Let  $V$  be a vertex set with  $|V| \geq 2$  and  $b : V \rightarrow \mathbb{Z}_+$  be a degree specification. Then there exists a perfect  $b$ -matching if and only if  $\sum_{v \in V} b(v)$  is even and  $b(v) \leq \sum_{u \in V-v} b(u)$  for each  $v \in V$ .*

**Proof:** The necessity is trivial. We show the sufficiency by constructing a perfect  $b$ -matching. We let  $V = \{v_1, \dots, v_n\}$  and  $B = \sum_{\ell=1}^n b(v_\ell)/2$ . For  $j = 1, \dots, B$ , we define  $i_j$  as the minimum integer such that  $\sum_{\ell=1}^{i_j} b(v_\ell) \geq j$ , and  $i'_j$  as the minimum integer such that  $\sum_{\ell=1}^{i'_j} b(v_\ell) \geq B + j$ . Notice that  $\sum_{\ell=1}^{i_j-1} b(v_\ell) < j$  holds by the definition if  $i_j \geq 2$ . Then we can see that  $i_j \neq i'_j$  since otherwise we would have  $b(v_{i_j}) = \sum_{\ell=1}^{i_j} b(v_\ell) - \sum_{\ell=1}^{i_j-1} b(v_\ell) > (B + j) - j = B$  if  $i_j \geq 2$  and  $b(v_{i_j}) \geq B + j > B$  otherwise, which contradicts to the assumption.

Let  $M = \{e_j = v_{i_j} v_{i'_j} \mid j = 1, \dots, B\}$ . Then  $M$  contains no loop by  $i_j \neq i'_j$ . Moreover  $G_M$  is a perfect  $b$ -matching since  $|\{j \mid i_j = \ell \text{ or } i'_j = \ell\}| = b(v_i)$ , as required.  $\square$

Theorem 1 does not mention the edge-connectivity. For existence of connected perfect  $b$ -matchings, we additionally need the condition that  $\sum_{v \in V} b(v) \geq 2(|V| - 1)$  [6]. This is always satisfied if  $b(v) \geq 2$ ,  $v \in V$ , which we assume for 1-ECMDS. For  $k \geq 2$ , the conditions in Theorem 1 and  $b(v) \geq k$ ,  $v \in V$  are sufficient for the existence of  $k$ -edge-connected perfect  $b$ -matchings as our algorithm will construct such  $b$ -matchings under the conditions.

### 2.2 Algorithm

Now we describe our algorithm to  $k$ -ECMDS. Let  $(V, b, c, k)$  be an instance of  $k$ -ECMDS. The conditions appeared in Theorem 1 and  $b(v) \geq k$  for all  $v \in V$  can be verified in polynomial time, where they are apparently necessary for an instance to have  $k$ -edge-connected perfect  $b$ -matchings. Hence our algorithm checks them, and if some of them are violated, it outputs message “INFEASIBLE”. In the following, we suppose the existence of perfect  $b$ -matchings with  $b(v) \geq k$  for all  $v \in V$ . If  $2 \leq |V| \leq 3$ , then every perfect  $b$ -matching is  $k$ -edge-connected because any non-empty vertex set  $X \subset V$  is  $\{v\}$  or  $V - \{v\}$

for some  $v \in V$ , and then  $d(X) = d(v) \geq k$ . Hence we can assume without loss of generality that  $|V| \geq 4$ .

For an edge set  $F$  on  $V$ , we denote graph  $(V, F)$  by  $G_F$ . Let  $M$  be a minimum cost edge set such that  $G_M$  is a perfect  $b$ -matching. In addition, let  $H$  be an edge set of a Hamiltonian cycle spanning  $V$  constructed by the 1.5-approximation algorithm for TSP due to Christofides [12].

**Initialization:** After testing the feasibility of a given instance, our algorithm first prepares  $M$  and  $k' = \lceil k/2 \rceil$  copies  $H_1, \dots, H_{k'}$  of  $H$ . Let  $E$  denote the union  $M \cup H_1 \cup \dots \cup H_{k'}$  of them. Notice that  $G_E$  is  $2k'$ -edge-connected by the existence of edge-disjoint  $k'$  Hamiltonian cycles. We call a vertex  $v$  in a handling graph  $G$  an *excess vertex* if  $d(v; G) > b(v)$  (otherwise a *non-excess vertex*). In  $G_E$ , all vertices are excess vertices since  $d(v; G_E) = b(v) + 2k'$ . In the following steps, the algorithm reduces the degree of excess vertices until no excess vertex exists while generating no loops and keeping  $k$ -edge-connectivity (Notice that  $k < 2k'$  if  $k$  is odd). This is achieved by two phases, Phase 1 and Phase 2, as follows.

**Phase 1:** In this phase, we modify only edges in  $M$  while keeping edges in  $H_1, \dots, H_{k'}$  unchanged. We define the following two operations on an excess vertex  $v \in V$ .

Operation 1: If  $v$  has two incident edges  $xv$  and  $yv$  in  $M$  with  $x \neq y$ , replace  $xv$  and  $yv$  by new edge  $xy$ .

Operation 2: If  $v$  has two parallel edges  $uv$  in  $M$  with  $d(u) > b(u)$ , remove those edges.

Phase 1 repeats Operations 1 and 2 until none of them is executable. For avoiding ambiguity, we let  $M'$  denote  $M$  after executing Phase 1, and  $M$  denote the original set in what follows. Moreover, let  $E' = M' \cup H_1 \cup \dots \cup H_{k'}$ . Note that  $d(v) - b(v)$  is always a non-negative even integer throughout (and after) these operations because  $d(v; G_E) - b(v) = 2k'$  and each operation decreases the degree of a vertex by 2. If no excess vertex remains in  $G_{E'}$ , then we are done. We consider the case in which there remain some excess vertices, and show some properties on  $M'$  before describing Phase 2.

**Claim 1** *Every excess vertex in  $G_{E'}$  has at least one incident edge in  $M'$  and its neighbors in  $G_{M'}$  are unique.*

**Proof:** Since  $d(v; G_{E'}) - b(v)$  is a positive even integer for an excess vertex  $v$  in  $G_{E'}$ , it holds  $d(v; G_{M'}) = d(v; G_{E'}) - d(v; G_{H_1 \cup \dots \cup H_{k'}}) \geq (b(v) + 2) - 2k' > 0$ . Hence  $v$  has at least one incident edges in  $M'$ . If neighbors of  $v$  in  $G_{M'}$  are not unique, Operation 1 can be applied to  $v$ .  $\square$

For an excess vertex  $v$  in  $G_{E'}$ , let  $n(v)$  denote the unique neighbor of  $v$  in  $G_{M'}$ . If  $n(v)$  is also an excess vertex in  $G_{E'}$ , we call the pair  $\{v, n(v)\}$  by a *strict pair*.

**Claim 2** *Let  $\{v, n(v)\}$  be a strict pair. Then  $d(v; G_{M'}) = d(n(v); G_{M'}) = 1$ ,  $k$  is odd, and  $b(v) = b(n(v)) = k$ .*

**Proof:** By Claim 1,  $d(v; G_{M'}) = d(n(v); G_{M'})$ . If  $d(v; G_{M'}) = d(n(v); G_{M'}) > 1$ , Operation 2 can be applied to  $v$  and  $n(v)$ , a contradiction. Hence  $d(v; G_{M'}) = d(n(v); G_{M'}) = 1$  holds. Let  $u \in \{v, n(v)\}$ . Then it holds that  $d(u; G_{E'}) = d(u; G_{H_1 \cup \dots \cup H_{k'}}) + d(u; G_{M'}) = 2k' + 1 = 2\lceil k/2 \rceil + 1$ . Since  $d(u; G_{E'}) - b(u)$  is even,  $b(u)$  must be odd. This fact and  $d(u, G_{E'}) > b(u) \geq k$  indicates that  $b(u) = k$  and  $k$  is odd.  $\square$

By definition, the existence of excess vertices which are in no strict pairs indicate that of some non-excess vertices. Upon completion of Phase 1, let  $N$  denote the set of non-excess vertices in  $G_{E'}$ , and  $S$  denote the set of strict pairs in  $G_{E'}$ . If  $N = \emptyset$ , all excess vertices are in some strict pairs. By Claim 2,  $k$  is an odd integer in this case, and furthermore  $k \geq 3$  by the assumption that  $b(v) \geq 2$ ,  $v \in V$  if  $k = 1$ . From this fact and  $|V| \geq 4$ ,  $N = \emptyset$  implies that at least two strict pairs exist (i.e.,  $|S| \geq 2$ ).

**Phase 2:** Now we describe Phase 2. First, we deal with a special case in which  $V$  consists of only two strict pairs.

**Claim 3** *If  $V$  consists of two strict pairs after Phase 1, we can transform  $G_{E'}$  into a  $k$ -edge-connected perfect  $b$ -matching without increasing the cost.*

**Proof:** Let  $V = \{u, v, w, z\}$  and  $H = \{uv, vw, wz, zu\}$ . Now  $E' = M' \cup H_1 \cup \dots \cup H_{k'}$  ( $k \geq 2$ ). Then either  $M' = \{uv, wz\}$  (or  $\{vw, zu\}$ ) or  $M' = \{uw, vz\}$  holds. In both cases, we replace  $M' \cup H_1 \cup H_2$  by  $E'' = \{uv, vw, wz, zu, uw, vz\}$  (see Fig. 2). Then, we can see that  $d(v; G_{E''}) = 3$  for all  $v \in V$  and  $G_{E''}$  is 3-edge-connected. Since  $d(v; G_{H_i}) = 2$  for  $v \in V, i = 3, \dots, k'$  and  $G_{H_i}$  is 2-edge-connected for  $i = 3, \dots, k'$ , it holds that  $d(v; G_{E'' \cup H_3 \cup \dots \cup H_{k'}}) = 3 + 2(k' - 2) = k = b(v)$  for  $v \in V$  and the edge-connectivity of  $G_{E'' \cup H_3 \cup \dots \cup H_{k'}}$  is  $3 + 2(k' - 2) = k$  (The existence of strict pair implies that  $k$  is odd by Claim 2.).

Hence it suffices to show that  $c(E'') \leq c(M') + c(H_1) + c(H_2)$ . If  $M' = \{uw, vz\}$  (or  $\{vw, zu\}$ ), then it is obvious since  $E'' = M' \cup H_1 \subseteq M' \cup H_1 \cup H_2$ . Let us consider the other case, i.e.,  $M' = \{uv, wz\}$ . From  $M' \cup H_1 \cup H_2$ , remove  $\{uv, wz\}$ , replace  $\{wz, zu\}$  by  $\{wu\}$ , and replace  $\{vw, wz\}$  by  $\{vz\}$ . Then the edge set becomes  $E''$  without increasing edge cost, as required.  $\square$

In the following, we assume that  $|S| \geq 3$  when  $N = \emptyset$ . In this case, Phase 2 modifies only edges in  $H_i, i = 1, \dots, k'$  while keeping the edges in  $M'$  unchanged. Let  $V(H_i)$  denote the set of vertices spanned by  $H_i$ . We define *detaching  $v$  from cycle  $H_i$*  to be an operation that replaces the pair  $\{uv, vw\} \subseteq H_i$  of edges incident to  $v$  by a new edge  $uw$ . Note that this decreases  $d(v)$  by 2, but  $H_i$  remains a cycle on  $V(H_i) := V(H_i) - \{v\}$ . For each excess vertex  $v$  in  $G_{E'}$ , Phase 2 reduces  $d(v)$  to  $b(v)$  by detaching  $v$  from  $(d(v; G_{E'}) - b(v))/2$  cycles in  $H_1, \dots, H_{k'}$ . We notice that  $(d(v; G_{E'}) - b(v))/2 \leq k'$  by  $d(v; G_{E'}) - b(v) \leq d(v; G_E) - b(v) = 2k'$ . One important point is to keep  $|V(H_i)| \geq 2$  for each  $i = 1, \dots, k'$  during Phase 2. In other words, we always select  $H_i$  with  $|V(H_i)| \geq 3$  to detach an excess vertex. This is necessary because, if we detach a vertex from  $H_i$  with  $|V(H_i)| = 2$ , then  $H_i$  becomes a loop. In addition, we detach the two excess vertices  $u$  and  $v$  in a strict pair from different cycles in  $H_1, \dots, H_{k'}$ , respectively. This is in order to maintain the  $k$ -edge-connectivity of  $G_{E'}$  as will be explained below.

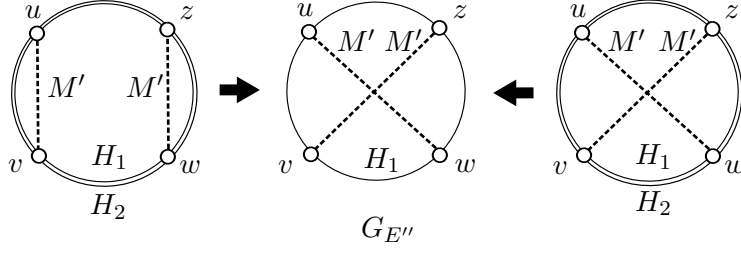


Figure 2: Operations when  $V$  consists of two strict pairs

**Claim 4** *It is possible to decrease the degree of each excess vertex  $v$  in  $G_{E'}$  to  $b(v)$  by detaching from some cycles in  $H_1, \dots, H_{k'}$  so that  $|V(H_i)|$  remains at least 2 for  $i = 1, \dots, k'$  and the two excess vertices in each strict pair are detached from  $H_i$  and  $H_j$  with  $i \neq j$ , respectively.*

**Proof:** First, let us consider the case of  $S \neq \emptyset$ . Recall  $k \geq 3$  and  $k' = \lceil k/2 \rceil \geq 2$  in this case. For each strict pair  $\{u, v\} \in S$ , we detach  $u$  and  $v$  from different cycles in  $H_1, \dots, H_{k'}$ . On the other hand, we detach excess vertex  $z$  from arbitrary  $(d(z; G_{E'}) - b(z))/2$  cycles. After this, each of  $H_1, \dots, H_{k'}$  is incident to at least one vertex of any strict pair in  $S$  in addition to all non-excess vertices in  $N$ . By the relation between  $|S|$  and  $|N|$  we explained in the above, it holds that  $|V(H_i)| \geq |S| + |N| \geq 2$  for each  $i = 1, \dots, k'$ , as required.

Next, let us consider the case of  $S = \emptyset$ . As explained in the above,  $|N| \geq 1$  holds for this case. If  $|N| \geq 2$ , the claim is obvious since each of  $H_1, \dots, H_{k'}$  is always incident to all vertices in  $N$ . Hence suppose that  $|N| = 1$ , and let  $x$  be the unique non-excess vertex in  $N$ . Then all edges in  $M'$  are incident to  $x$ , since otherwise  $S = \emptyset$  implies that Operation 1 or 2 would be applicable to some vertex in  $V - x$ . In other words,  $b(x) = d(x; G_{E'}) = |M'| + 2k'$  holds before Phase 2. Moreover  $\sum_{v \in V-x} b(v) \geq b(x)$  also holds by the assumption that perfect  $b$ -matchings exist. Now assume that we have converted some excess vertices in  $G_{E'}$  into non-excess vertices by detaching them from some of  $H_1, \dots, H_{k'}$  while keeping  $|V(H_i)| \geq 2$ ,  $i = 1, \dots, k'$ , and yet an excess vertex  $y \in V - x$  remains. Hence  $\sum_{v \in V} d(v) > \sum_{v \in V} b(v)$ . Then there remains a cycle  $H_i$  with  $|V(H_i)| > 2$  because

$$\begin{aligned} 2 \sum_{1 \leq i \leq k'} |V(H_i)| &= \sum_{v \in V} d(v; G_{H_1 \cup \dots \cup H_{k'}}) = \sum_{v \in V} d(v) - 2|M'| \\ &> \sum_{v \in V - \{x\}} b(v) + b(x) - 2|M'| \geq 2(b(x) - |M'|) \geq 4k'. \end{aligned}$$

Therefore we can detach an excess vertex  $y$  from such  $H_i$  as long as such a vertex exists. This implies that the claim holds also for  $|N| = 1$ .  $\square$

In the following, we let  $H'_i$  denote  $H_i$  after Phase 2, and  $H_i$  denote the original Hamiltonian cycle for  $i = 1, \dots, k'$ . Moreover let  $E'' = M' \cup H'_1 \cup \dots \cup H'_{k'}$ . The algorithm outputs  $G_{E''}$ . The entire algorithm is described as follows.

---

**Algorithm UNDIRECT( $k$ )**

**Input:** A vertex set  $V$ , a degree specification  $b : V \rightarrow \mathbb{Z}_+$ , a metric edge cost  $c : V \rightarrow \mathbb{Q}_+$ , and a positive integer  $k$

**Output:** A  $k$ -edge-connected perfect  $b$ -matching or “INFEASIBLE”

```

1: if  $\sum_{v \in V} b(v)$  is odd,  $\exists v : b(v) > \sum_{u \in V-v} b(u)$  or  $k > b(v)$  then
2:   Output “INFEASIBLE” and halt
3: end if;
4: Compute a minimum cost perfect  $b$ -matching  $G_M$ ;
5: if  $|V| \leq 3$  then
6:   Output  $G_M$  and halt
7: end if;
8: Compute a Hamiltonian cycle  $G_H$  on  $V$  by Christofides’ algorithm;
9:  $k' := \lceil k/2 \rceil$ ; Let  $H_1, \dots, H_{k'}$  be  $k'$  copies of  $H$ ;
   # Phase 1
10:  $M' := M$ ;
11: while Operation 1 or 2 is applicable to a vertex  $v \in V$ 
   with  $d(v; G_{M' \cup H_1 \cup \dots \cup H_{k'}}) > b(v)$  do
12:   if  $\exists \{xv, vy\} \subseteq M'$  such that  $x \neq y$  then
13:      $M' := (M' - \{xv, vy\}) \cup \{xy\}$    # Operation 1
14:   else
15:     if  $\exists \{xv, vx\} \subseteq M'$  such that  $d(x; G_{M' \cup H_1 \cup \dots \cup H_{k'}}) > b(x)$  then
16:        $M' := M' - \{xv, vx\}$    # Operation 2
17:     end if
18:   end if
19: end while;
   # Phase 2
20: if  $V$  consists of two strict pairs then
21:   Rename vertices so that  $H = \{uv, vw, wz, zu\}$ ;
22:    $H'_2 := \emptyset$ ;  $M' := \{uw, vz\}$ ;
23:   Output  $G_{M' \cup H'_1 \cup \dots \cup H'_{k'}}$  and halt
24: end if;
25:  $H'_i := H_i$  for each  $i = 1, \dots, k'$ ;
26: while  $\exists v \in V$  with  $d(v; G_{M' \cup H'_1 \cup \dots \cup H'_{k'}}) > b(v)$  do
27:   if  $v$  and  $n(v)$  forms a strict pair then
28:     Detach  $v$  from  $H'_i$  and  $n(v)$  from  $H'_j$ , where  $i \neq j$ 
29:   else
30:     Detach  $v$  from  $H'_i$  with  $V(H'_i) > 2$ 
31:   end if
32: end while;
33:  $E'' := M' \cup H'_1 \cup \dots \cup H'_{k'}$ ;
34: Output  $G_{E''}$ 

```

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**Claim 5**  $G_{E''}$  is a  $k$ -edge-connected perfect  $b$ -matching.



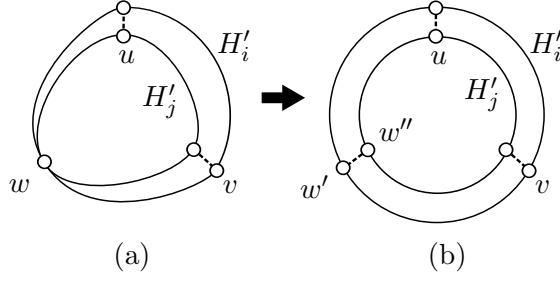


Figure 3: Reduction to the case of  $V(H'_i) \cap V(H'_j) = \emptyset$

**Proof:** We have already seen the case in which  $V$  consists of two strict pairs. Hence we suppose the other case in the following. Moreover we have already observed that  $d(v; G_{E''}) = b(v)$  holds for each  $v \in V$ . Furthermore  $G_{E''}$  is loopless since  $G_E$  is loopless and no operations in the algorithm generate loops. Hence we prove the  $k$ -edge-connectivity of  $G_{E''}$  below.

Let  $u, v \in V$ . (i) First suppose that  $u$  and  $v$  are in some (possibly different) strict pairs in  $G_{E'}$ . Moreover, let  $u \notin V(H'_i)$  and  $v \notin V(H'_j)$  (hence  $u \in V(H'_{i'})$  for  $i' \neq i$  and  $v \in V(H'_{j'})$  for  $j' \neq j$ ). For each  $\ell \in \{1, \dots, k'\} - \{i, j\}$ ,  $\lambda(u, v; G_{H'_\ell}) = 2$  holds because  $u, v \in V(H'_\ell)$ . If  $i = j$ ,  $\lambda(u, v; G_{H'_i \cup M'}) = 1$  holds because  $d(u; G_{M'}) = d(v; G_{M'}) = 1$  and  $n(u), n(v) \in V(H'_i)$ . Then it holds that  $\lambda(u, v; G_{E''}) = 2(k' - 1) + 1 = k$  in this case (Recall that the existence of strict pairs implies that  $k$  is odd by Claim 2). Hence we let  $i \neq j$ , and show that  $\lambda(u, v; G_{H'_i \cup H'_j \cup M'}) \geq 3$  from now on, from which  $\lambda(u, v; G_{E''}) \geq 2(k' - 2) + 3 = k$  can be derived.

Let  $N$  and  $S$  denote the sets of non-excess vertices and strict pairs in  $G_{E'}$  after Phase 1, respectively. Suppose that  $V(H'_i) \cap V(H'_j) = \emptyset$ . In this case, it can be seen that  $N = \emptyset$ , and hence  $|S| \geq 3$  by the assumption about the relation between  $N$  and  $S$ . Since at least one vertex of each strict pair is spanned by each cycle in  $H'_1, \dots, H'_{k'}$ , we can see that  $M'$  contains at least three vertex-disjoint edges that join vertices in  $V(H'_i)$  and in  $V(H'_j)$ , two of which are  $u$  and  $v$ . This indicates that  $\lambda(u, v; G_{H'_i \cup H'_j \cup M'}) \geq 3$  holds (see the graph of Figure 3 (b)).

Let us consider the case of  $V(H'_i) \cap V(H'_j) \neq \emptyset$  in the next. By the existence of  $u$  and  $v$ ,  $|S| \geq 1$  holds. If  $u$  and  $v$  forms a strict pair (i.e.,  $uv \in M'$ ),  $\lambda(u, v; G_{M'}) = 1$  holds. Since  $V(H'_i) \cap V(H'_j) \neq \emptyset$  implies  $\lambda(G_{H'_i \cup H'_j}) \geq 2$ , we see that  $\lambda(u, v; G_{H'_i \cup H'_j \cup M'}) \geq 3$  in this case. Thus let  $u$  and  $v$  belong to different strict pairs (i.e.,  $|S| \geq 2$ ). Then there exists two vertex-disjoint edges in  $M'$  joins vertices in  $V(H'_i)$  and in  $V(H'_j)$  (see Figure 3 (a)). If we split each vertex  $w \in V(H'_i) \cap V(H'_j)$  into two vertices  $w'$  and  $w''$  so that  $H'_i$  and  $H'_j$  are vertex-disjoint cycles, and add new edges  $w'w''$  joining those two split vertices to  $M'$ , then we can reduce this case to the case of  $V(H'_i) \cap V(H'_j) = \emptyset$ , in which  $\lambda(u, v; G_{H'_i \cup H'_j \cup M'}) \geq 3$  has already been observed in the above (see Figure 3). Accordingly, we have  $\lambda(u, v; G_{H'_i \cup H'_j \cup M'}) \geq 3$  if  $u$  and  $v$  are in some strict pairs, as required.

(ii) In the next, let  $u$  and  $v$  be not in any strict pairs. For  $z \in \{u, v\}$ , let  $n'(z)$  denote  $z$  itself if  $z \in N$ , and  $n(z)$  otherwise. Notice that  $n'(z) \in N$  for any  $z \in \{u, v\}$ , i.e., it is spanned by  $H'_1, \dots, H'_{k'}$ . If  $z \in \{u, v\}$  is not spanned by  $p > 0$  cycles in  $H'_1, \dots, H'_{k'}$  (and hence  $z$  is an excess vertex in  $G_{E'}$ ), then  $z$  has at least  $k - 2(k' - p)$  incident edges in  $M'$

because  $d(z; G_{M'}) = b(z) - d(z; G_{H'_1 \cup \dots \cup H'_{k'}}) \geq k - 2(k' - p)$ . Hence  $\lambda(z, n'(z); G_{E''}) \geq 2(k' - p) + k - 2(k' - p) = k$  holds for each  $z \in \{u, v\}$ , where we define  $\lambda(z, z; G_{E''}) = +\infty$ . Moreover it is obvious that  $\lambda(n'(u), n'(v); G_{E''}) \geq 2k'$ . Therefore, it holds that

$$\lambda(u, v; G_{E''}) \geq \min\{\lambda(u, n'(u); G_{E''}), \lambda(n'(u), n'(v); G_{E''}), \lambda(n'(v), v; G_{E''})\} \geq k.$$

(iii) Finally, let us consider the remaining case, i.e.,  $u$  is in a strict pair and  $v$  is a vertex which is not in any strict pair. Let us define  $n'(v)$  as in the above. Then  $\lambda(v, n'(v); G_{E''}) \geq k$  holds. Without loss of generality, let  $u$  be detached from  $H'_1$ , and spanned by  $H'_2, \dots, H'_{k'}$ . Since  $un(u) \in M'$  and  $n(u), n'(v) \in V(H'_1)$ , it holds that  $\lambda(u, n(u); G_{M' \cup H'_1}) = 1$ , and  $\lambda(n(u), n'(v); G_{M' \cup H'_1}) \geq 2$ . Then,

$$\begin{aligned} \lambda(u, n'(v); G_{E''}) &\geq \min\{\lambda(u, n(u); G_{M' \cup H'_1}), \lambda(n(u), n'(v); G_{M' \cup H'_1})\} \\ &\quad + \lambda(u, n'(v); G_{H'_2 \cup \dots \cup H'_{k'}}) \geq 1 + 2(k' - 1) = 2k' - 1 = k. \end{aligned}$$

Therefore,

$$\lambda(u, v; G_{E''}) \geq \min\{\lambda(u, n'(v); G_{E''}), \lambda(v, n'(v); G_{E''})\} \geq k,$$

holds, as required.  $\square$

Let us consider the cost of the graph  $G_{E''}$ . The following theorem on the Christofides' algorithm gives us an upper bound on  $c(H)$ . Here, we let  $\delta(U)$  denote the set of edges whose one end vertex is in  $U$  and the other is in  $V - U$  for nonempty  $U \subset V$ .

**Theorem 2 ([7, 13])** *Let*

$$\begin{aligned} OPT_{TSP} &= \min \sum_{e \in E} c(e)x(e) \\ \text{subject to} \quad &\sum_{e \in \delta(U)} x(e) \geq 2 \quad \text{for each nonempty } U \subset V, \\ &x(e) \geq 0 \quad \text{for each } e \in E. \end{aligned}$$

*Christofides' algorithm for TSP always outputs a solution of cost at most  $1.5OPT_{TSP}$ .*

$\square$

**Claim 6**  $c(E'')$  is at most  $1 + 3\lceil k/2 \rceil/k$  times the optimal cost of  $k$ -ECMDS.

**Proof:** No operation in Phases 1 and 2 increases the cost of the graph since the edge cost is metric. Hence it suffices to show that  $c(M \cup H_1 \cup \dots \cup H_{k'})$  is at most  $(1 + 3\lceil k/2 \rceil/k) \cdot c(G)$ , where  $G$  denotes an optimal solution of  $k$ -ECMDS. Since  $G$  is a perfect  $b$ -matching,  $c(M) \leq c(G)$  obviously holds. Thus it suffices to show that  $c(H_i) \leq 3c(G)/k$  for  $1 \leq i \leq k'$ , from which the claim follows.

Let  $x_G : \binom{V}{2} \rightarrow \mathbb{Z}_+$  be the function such that  $x_G(uv)$  denotes the number of edges joining  $u$  and  $v$  in  $G$ . Since  $G$  is  $k$ -edge-connected,  $\sum_{e \in \delta(U)} x_G(e) \geq k$  holds for every nonempty  $U \subset V$ . Hence  $2x_G/k$  is feasible for the linear programming in Theorem 2, which means that  $OPT_{TSP} \leq 2c(G)/k$ . By Theorem 2,  $c(H_i) \leq 1.5OPT_{TSP}$ . Therefore we have  $c(H_i) \leq 3c(G)/k$ , as required.  $\square$

Claims 5 and 6 establish the next.

**Theorem 3** *Algorithm  $\text{UNDIRECT}(k)$  is a  $\rho$ -approximation algorithm for  $k$ -ECMDS, where  $\rho = 2.5$  if  $k$  is even and  $\rho = 2.5 + 1.5/k$  if  $k$  is odd.*  $\square$

Algorithm  $\text{UNDIRECT}(k)$  always outputs a solution for  $k \geq 2$  as long as there exists a perfect  $b$ -matching and  $b(v) \geq k$  for all  $v \in V$ . This fact and Theorem 1 imply the following corollary.

**Corollary 1** *For  $k \geq 2$ , there exists a  $k$ -edge-connected perfect  $b$ -matching if and only if  $\sum_{v \in V} b(v)$  is even and  $k \leq b(v) \leq \sum_{u \in V-v} b(u)$  for all  $v \in V$ .*  $\square$

We close this section with a few remarks. The operations in Phases 1 and 2 are equivalent to a graph transformation called *splitting*, followed by removing generated loops if any. There are many results on the conditions for splitting to maintain the edge-connectivity [3, 10]. However, the splittings in these results may generate loops. Hence algorithm  $\text{UNDIRECT}(k)$  needs to specify a sequence of splitting so that removing loops does not make the degrees lower than the degree specification.

One may consider that a perfect  $(b - 2k')$ -matching is more appropriate than a perfect  $b$ -matching as a building block of our algorithm, since there is no excess vertex for the union of a perfect  $(b - 2k')$ -matching and  $k'$  Hamiltonian cycles. However, there is a degree specification  $b$  that admits a perfect  $b$ -matching, and no perfect  $(b - 2k')$ -matching. Furthermore, even if there exists a perfect  $(b - 2k')$ -matching, the minimum cost of the perfect  $(b - 2k')$ -matching may not be a lower bound on the optimal cost of  $k$ -ECMDS. Therefore we do not use a perfect  $(b - 2k')$ -matching in general case. In Section 4, we show that a perfect  $(b - 2k')$ -matching always exist and its cost can be estimated when a degree specification  $b$  is uniform.

### 3 Algorithm for $k$ -ACMDS

This section shows that  $k$ -ACMDS is 2.5-approximable. The algorithm for  $k$ -ACMDS can be designed analogously with that for  $k$ -ECMDS. Before describing the algorithm, we consider the feasibility of  $k$ -ACMDS.

#### 3.1 Feasibility

Frobenius' classic theorem (see [11] for example) tells the relation-ship between the existence of perfect bipartite matchings and the minimum size of vertex covers in bipartite graphs.

**Theorem 4 (Frobenius)** *A bipartite graph  $G$  has a perfect matching if and only if each vertex cover has size at least  $|V(G)|/2$ .*  $\square$

From this, we can immediately derive a condition for a digraph to have a perfect  $(b^-, b^+)$ -matching.

**Theorem 5** *Let  $V$  be a vertex set, and  $b^-, b^+ : V \rightarrow \mathbb{Z}_+$  be in- and out- degree specifications, respectively. There exists a perfect  $(b^-, b^+)$ -matching if and only if  $\sum_{v \in V} b^-(v) =$*

$\sum_{v \in V} b^+(v)$ ,  $b^-(v) \leq \sum_{u \in V-v} b^+(u)$  for each  $v \in V$ , and  $b^+(v) \leq \sum_{u \in V-v} b^-(u)$  for each  $v \in V$ .

**Proof:** The necessity is obvious. Hence we consider the sufficiency in the following. For each  $v \in V$ , prepare two vertex sets  $V_v^-$  and  $V_v^+$  corresponding to  $v$  such that  $|V_v^-| = b^-(v)$  and  $|V_v^+| = b^+(v)$ . Furthermore, let  $V^- = \cup_{v \in V} V_v^-$ ,  $V^+ = \cup_{v \in V} V_v^+$ , and  $E = \{u^-v^+ \mid u^- \in V_u^-, v^+ \in V_v^+, u \neq v\}$ . Then a perfect matching in a bipartite graph  $(V^-, V^+, E)$  corresponds to a perfect  $(b^-, b^+)$ -matching on  $V$ . So by Theorem 4, it suffices to show that each vertex cover of  $(V^-, V^+, E)$  has size at least  $(|V^-| + |V^+|)/2$ .

To the contrary, let us suppose that there exists a vertex cover  $C \subset V^- \cup V^+$  of  $(V^-, V^+, E)$  such that  $|C| < (|V^-| + |V^+|)/2$  under the assumption in this theorem. Since  $|V^-| = \sum_{v \in V} b^-(v) = \sum_{v \in V} b^+(v) = |V^+|$ , it holds that  $|C| < |V^-| = |V^+|$ . This implies the existence of vertices  $x \in V^- - C$  and  $y \in V^+ - C$ . Let  $x$  correspond to  $u \in V$  (i.e.,  $x \in V_u^-$ ) and  $y$  correspond to  $v \in V$  (i.e.,  $y \in V_v^+$ ). If  $u \neq v$ , there exists an edge  $xy \in E$ , which is not covered by any vertices in  $C$ , a contradiction. Hence  $u = v$  holds. Then  $\cup_{z \in V-v} (V_z^- \cup V_z^+) \subseteq C$  holds. This implies that  $|C| \geq \sum_{z \in V-v} |V_z^-| + \sum_{z \in V-v} |V_z^+|$ . Then it holds that

$$\begin{aligned} \left( \sum_{v \in V} b^-(v) + \sum_{v \in V} b^+(v) \right) / 2 &= (|V^-| + |V^+|) / 2 > |C| \\ &\geq \sum_{z \in V-v} |V_z^-| + \sum_{z \in V-v} |V_z^+| = \sum_{z \in V-v} b^-(z) + \sum_{z \in V-v} b^+(z), \end{aligned}$$

implying  $b^-(v) + b^+(v) > \sum_{z \in V-v} b^-(z) + \sum_{z \in V-v} b^+(z)$ . However, this indicates that at least  $b^-(v) > \sum_{z \in V-v} b^-(z)$  or  $b^+(v) > \sum_{z \in V-v} b^+(z)$  holds, contradicting to the assumption.  $\square$

Notice that the proof of Theorem 5 indicates the reduction of the minimum cost perfect  $(b^-, b^+)$ -matching problem to the minimum cost perfect  $b$ -matching problem in an undirected bipartite graph.

### 3.2 Algorithm

We are ready to explain the algorithm for  $k$ -ACMDS. In the following, we assume that  $b^-(v), b^+(v) \geq k$  for each  $v \in V$  and a perfect  $(b^-, b^+)$ -matching exists.

Let  $M$  be a minimum cost perfect  $(b^-, b^+)$ -matching and  $H$  be a directed Hamiltonian cycle constructed by Christofides' algorithm for the edge cost obtained from  $c$  by ignoring the direction of arcs (Recall that  $c$  is symmetric). Moreover let  $H_1, \dots, H_k$  be  $k$  copies of  $H$ ,  $A = M \cup H_1 \cup \dots \cup H_k$ , and  $D_F$  denote the digraph  $(V, F)$  for an arc set  $F$ . A vertex  $v \in V$  is called an *excess vertex* if  $d^-(v) > b^-(v)$  or  $d^+(v) > b^+(v)$  (otherwise  $v$  is called a *non-excess vertex*). Notice that  $d^-(v; D_A) - b^-(v) = d^+(v; D_A) - b^+(v)$ . This condition is maintained throughout the algorithm, i.e.,  $d^-(v) > b^-(v)$  is equivalent to  $d^+(v) > b^+(v)$ . Our algorithm for  $k$ -ACMDS decreases the degree of excess vertices as  $k$ -ECMDS. One difference between algorithms for  $k$ -ECMDS and for  $k$ -ACMDS is the definition of Operations 1 and 2. These will be executed for a pair of arcs entering and leaving the same vertex as follows.

Operation 1: If an excess vertex  $v$  has two incident arcs  $xv$  and  $vy$  in  $M$  with  $x \neq y$ , replace  $xv$  and  $vy$  by new edge  $xy \in M$ .

Operation 2: If an excess vertex  $v$  has two arcs  $uv$  and  $vu$  in  $M$  with  $d^-(u) > b^-(u)$  (and  $d^+(v) > b^+(v)$ ), remove these arcs.

Phase 1 of our algorithm modifies edges in  $M$  by repeating Operations 1 and 2 until none of them is executable. We let  $M'$  denote  $M$  after Phase 1, and  $M$  denote the original set in the following. Moreover let  $A' = M' \cup H_1 \cup \dots \cup H_k$ , and  $N$  denote the set of non-excess vertices in  $D_{A'}$ . Note that the number of arcs in  $M'$  entering (resp., leaving) each excess vertices  $v$  in  $D_{A'}$  has  $d^-(v; D_{A'}) - k \geq d^-(v; D_{A'}) - b^-(v)$  (resp.,  $d^-(v; D_{A'}) - b^-(v) > d^+(v; D_{A'}) - b^+(v)$ ) arcs. The other end vertex of them is unique and in  $N$  (i.e., a non-excess vertex in  $D_{A'}$ ) since otherwise Operation 1 or 2 can be applied to  $v$ . This situation is simpler than after Phase 2 of  $\text{UNDIRECT}(k)$  since no correspondence of strict pairs exists. Notice that  $N \neq \emptyset$  always holds here.

Phase 2 of our algorithm for  $k$ -ACMDS modifies edges in  $H_1, \dots, H_k$  so as to decrease the degrees of all excess vertices as in  $\text{UNDIRECT}(k)$ . We repeat *detaching* each excess vertex from some of  $H_1, \dots, H_k$ , where detaching a vertex  $v$  from  $H_i$  is defined as an operation that replaces the pair  $\{uv, vw\} \subseteq H_i$  of arcs entering and leaving  $v$  by new arc  $uw$ . We can prove that it is possible to detach excess vertices from Hamiltonian cycles while keeping  $V(H_i) \geq 2$  for  $1 \leq i \leq k$  as in  $\text{UNDIRECT}(k)$ .

**Claim 7** *It is possible to decrease the degree of each excess vertex  $v$  to  $b(v)$  by detaching  $v$  from some cycles in  $H_1, \dots, H_k$  so that  $|V(H_i)|$  remains at least two for all  $i = 1, \dots, k$ .*

**Proof:** Recall that  $N \neq \emptyset$ . If  $|N| \geq 2$ , the claim is obvious since each of  $H_1, \dots, H_k$  is incident to all vertices in  $N$ . Hence suppose that  $|N| = 1$ , and let  $x$  be the unique vertex in  $N$ . Then all arcs in  $M'$  are incident to  $x$  since otherwise Operation 1 or 2 would be applicable to some vertex in  $V - x$ . In other words, it hold  $|M'| = d^-(x; D_{M'}) + d^+(x; D_{M'}) = b^-(x) + b^+(x) - 2k$ . Recall that  $\sum_{v \in V-x} b^+(v) \geq b^-(x)$  and  $\sum_{v \in V-x} b^-(v) \geq b^+(x)$  hold by the assumption that perfect  $(b^-, b^+)$ -matchings exist. Now assume that we have converted some excess vertices in  $D_{A'}$  into non-excess vertices by detaching them from some of  $H_1, \dots, H_k$  while keeping  $|V(H_i)| \geq 2$ ,  $i = 1, \dots, k$ , and yet an excess vertex  $y \in V - x$  remains. Then there remains a cycles  $H_i$  with  $|V(H_i)| > 2$  because

$$\begin{aligned} \sum_{1 \leq i \leq k} |V(H_i)| &= \sum_{v \in V} d^-(v; D_{H_1 \cup \dots \cup H_k}) = \sum_{v \in V} d^-(v; D_{E'}) - |M'| \\ &> \sum_{v \in V - \{x\}} b^-(v) + d^-(x; D_{E'}) - |M'| \geq b^+(x) + b^-(x) - |M'| \geq 2k. \end{aligned}$$

Hence we can detach  $y$  from such  $H_i$ , implying the claim also for  $|N| = 1$ .  $\square$

In the following, we let  $H'_i$  denote  $H_i$  after Phase 2, and  $H_i$  denote the original Hamiltonian cycle for  $i = 1, \dots, k$  in order to avoid the ambiguity. Moreover let  $A'' = M' \cup H'_1 \cup \dots \cup H'_k$ . Our algorithm outputs  $D_{A''}$  as a solution.

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**Algorithm DIRECT( $k$ )**

**Input:** A vertex set  $V$ , in- and out-degree specification  $b^-, b^+ : V \rightarrow \mathbb{Z}_+$ , a symmetric metric arc cost  $c : V \times V \rightarrow \mathbb{Q}_+$ , and a positive integer  $k$

**Output:** A  $k$ -arc-connected perfect  $(b^-, b^+)$ -matching or “INFEASIBLE”

```

1: if  $\sum_{v \in V} b^-(v) \neq \sum_{v \in V} b^+(v)$ ,  $\exists v : b^-(v) > \sum_{u \in V-v} b^+(u)$ ,  $\exists v : b^+(v) > \sum_{u \in V-v} b^-(u)$ ,
    $\exists v : k > b^-(v)$ , or  $\exists v : k > b^+(v)$  then
2:   Output “INFEASIBLE” and halt
3: end if;
4: Compute a minimum cost perfect  $(b^-, b^+)$ -matching  $D_M$ ;
5: Compute a Hamiltonian cycle  $D_H$  on  $V$  by Christofides’ algorithm; Let  $H_1, \dots, H_k$  be
    $k$  copies of  $H$ ;

   # Phase 1
6:  $M' := M$ ;
7: while Operation 1 or 2 is applicable to a vertex  $v \in V$ 
   with  $d^-(v; D_{M' \cup H_1 \cup \dots \cup H_k}) > b^-(v)$  do
8:   if  $\exists \{xv, vy\} \subseteq M'$  such that  $x \neq y$  then
9:      $M' := (M' - \{xv, vy\}) \cup \{xy\}$    # Operation 1
10:  else if  $\exists \{xv, vx\} \subseteq M'$  such that  $d^-(x; D_{M' \cup H_1 \cup \dots \cup H_k}) > b^-(x)$  then
11:     $M' := M' - \{xv, vx\}$    # Operation 2
12:  end if
13: end while;

   # Phase 2
14:  $H'_i := H_i$  for each  $i = 1, \dots, k$ ;
15: while  $\exists v \in V$  with  $d^-(v; D_{M' \cup H'_1 \cup \dots \cup H'_k}) > b^-(v)$  do
16:   Detach  $v$  from  $H'_i$  with  $V(H'_i) > 2$ 
17: end while;
18:  $A'' := M' \cup H'_1 \cup \dots \cup H'_k$ ;
19: Output  $D_{A''}$ 

```

---

Let  $\text{OPT}$  denote the optimal cost of  $k$ -ACMDS. We can show that  $D_{A''}$  is  $k$ -arc-connected,  $c(M) \leq \text{OPT}$  and  $c(H_i) \leq 1.5\text{OPT}/k$  for  $1 \leq i \leq k$ , similarly for  $\text{UNDIRECT}(k)$  although we leave the proof to the readers. As a conclusion, we have the following theorem.

**Theorem 6** *Algorithm  $\text{DIRECT}(k)$  is a 2.5-approximation algorithm for  $k$ -ACMDS.  $\square$*

Algorithm  $\text{DIRECT}(k)$  always outputs a solution when there exists a perfect  $(b^-, b^+)$ -matching and  $b^-(v) \geq k$ ,  $b^+(v) \geq k$  for all  $v \in V$ . This fact and Theorem 5 implies the following corollary.

**Corollary 2** *For  $k \geq 1$ , there exists a  $k$ -arc-connected perfect  $(b^-, b^+)$ -matching if and only if  $\sum_{v \in V} b^-(v) = \sum_{v \in V} b^+(v)$ ,  $k \leq b^-(v) \leq \sum_{u \in V-v} b^+(u)$  for each  $v \in V$ , and  $k \leq b^+(v) \leq \sum_{u \in V-v} b^-(u)$  for each  $v \in V$ .  $\square$*

## 4 Uniform degree specification

In this section, we show that the approximation factor of our algorithms can be improved when  $b(v) = \ell$  in  $k$ -ECMDS or  $b^-(v) = b^+(v) = \ell$  in  $k$ -ACMDS for all  $v \in V$  with some integer  $\ell \geq k$ .

We call a perfect  $b$ -matching (resp., a perfect  $(b^-, b^+)$ -matching)  $M$   $\ell$ -regular if  $b(v) = \ell$  (resp.,  $b^-(v) = b^+(v) = \ell$ ) for all  $v \in V$ .

**Lemma 1** *Assume that  $b^-(v) = b^+(v) = \ell$  for all  $v \in V$  and an  $\ell$ -regular digraph exists. Let  $OPT$  denote the optimal cost of  $k$ -ACMDS. Then there exists an  $(\ell - m)$ -regular digraph  $D_R$  with  $c(R) \leq \frac{\ell - m}{\ell} OPT$  for an arbitrary non-negative integer  $m \leq \ell$ .*

**Proof:** Let  $A$  denote an optimal arc set of  $k$ -ACMDS. As seen in Section 3, digraph  $D_A$  corresponds to the bipartite undirected graph  $(V^-, V^+, E)$ , which is a  $\ell$ -regular. A theorem derived from Frobenius' theorem tells that every  $\ell$ -regular bipartite graph can be decomposed into  $\ell$  graphs each of which is 1-regular [11]. Let  $R$  be the set of arcs corresponding to edges in least cost  $\ell - m$  graphs of them. Then  $R$  is  $(\ell - m)$ -regular and  $c(R) \leq \frac{\ell - m}{\ell} c(A)$ , as required.  $\square$

The union of an  $(\ell - k)$ -regular digraph and  $k$  Hamiltonian cycles are obviously feasible to  $k$ -ACMDS if  $b^-(v) = b^+(v) = \ell$ ,  $v \in V$ . Therefore we can derive the following theorem.

**Theorem 7** *If  $b^-(v) = b^+(v) = \ell$  for all  $v \in V$ , then  $k$ -ACMDS is approximable within a factor of  $1.5 + \frac{\ell - k}{\ell}$ .*  $\square$

Next, we consider  $k$ -ECMDS.

**Lemma 2** *Assume that  $b(v) = \ell$  for all  $v \in V$  and an  $\ell$ -regular graph exists. Let  $OPT$  denote the optimal cost of  $k$ -ECMDS. Then there exists an  $(\ell - 2m)$ -regular graph  $G_R$  such that  $c(R) \leq \frac{\ell - 2m}{\ell} OPT$  if  $\ell$  is even, and  $c(R) \leq (\frac{\ell - 2m - 1}{\ell} + \frac{1}{k}) OPT$  if  $\ell$  is odd for an arbitrary non-negative integer  $m$  with  $2m \leq \ell$ .*

**Proof:** Let  $E$  denote an optimal edge set of  $k$ -ECMDS. First suppose that  $\ell$  is even. Then  $E$  can be oriented into an arc set  $A$  such that  $D_A$  is  $\ell/2$ -regular. Let  $c'$  be an arc cost on  $A$  naturally defined from  $c$  (i.e.,  $c'(a) = c(e)$  if  $a \in A$  corresponds to  $e \in E$ ). As in the proof of Lemma 1, we can obtain an  $(\ell/2 - m)$ -regular digraph  $R'$  with  $c'(R') \leq \frac{\ell/2 - m}{\ell/2} c'(A)$ . Let  $R$  be an edge set corresponding to  $R'$ . Then clearly  $G_R$  is  $(\ell - 2m)$ -regular and  $c(R) \leq \frac{\ell/2 - m}{\ell/2} c(E)$ , as required.

Next, suppose that  $\ell$  is odd. Let  $2E$  denote the edge set obtained by duplicating each edge in  $E$ . Then  $G_{2E}$  is  $2\ell$ -regular. By the above argument about the case of  $\ell$  is even, we can obtain an  $(\ell - 2m - 1)$ -regular graph  $G_F$  such that  $c(F) \leq \frac{\ell - 2m - 1}{2\ell} c(2E) = \frac{\ell - 2m - 1}{\ell} c(E)$  (Notice that  $\ell - 2m - 1$  is even). Let  $M$  be a minimum cost 1-regular graph. Notice that such  $M$  exists since  $|V|$  is even by the existence of an  $\ell$ -regular graph with odd  $\ell$ . Since the minimum cost of Hamiltonian cycles spanning all vertices is at most  $2c(E)/k$  as shown in the proof of Claim 6, we can see that  $c(M) \leq c(E)/k$ . Let  $R = F \cup M$ . Then  $G_R$  is  $(\ell - 2m)$ -regular and  $c(R) = c(F) + c(M) \leq (\frac{\ell - 2m - 1}{\ell} + \frac{1}{k}) c(E)$ , as required.  $\square$

Let  $k' = \lceil k/2 \rceil$ . The union of an  $(\ell - 2k')$ -regular graph and  $2k'$  Hamiltonian cycles are obviously feasible to  $k$ -ECMDS if  $b(v) = \ell$ ,  $v \in V$ . Therefore we can derive the following theorem.

**Theorem 8** *If  $b(v) = \ell$  for all  $v \in V$ , then  $k$ -ECMDS is approximable within a factor of  $\frac{\ell - 2k'}{\ell} + 3\frac{k'}{k}$  if  $\ell$  is even, and  $\frac{(\ell - 2k' - 1)}{\ell} + \frac{1 + 3k'}{k}$  if  $\ell$  is odd, where  $k' = \lceil k/2 \rceil$ .  $\square$*

Recall that metric TSP can be formulated as  $k$ -ECMDS with  $b(v) = 2$ ,  $v \in V$  and  $k = 2$ . Theorem 8 indicates that this case can be approximated within 1.5 as Christofides' algorithm.

## 5 Application for $(m, n)$ -VRP

In this section, we consider the problem  $(m, n)$ -VRP. The formal definition of this problem is as follows. An instance of  $(m, n)$ -VRP consists of a vertex set  $V$  containing a special vertex  $s$ , a metric edge cost  $c : \binom{V}{2} \rightarrow \mathbb{Q}_+$ , and two non-negative integers  $m$  and  $n$ . The objective is to find a minimum cost set of  $m$  cycles, each containing  $s$ , such that each vertex in  $V - s$  is contained in exactly  $n$  of those cycles. We can assume without loss of generality that  $n \leq m \leq n(|V| - 1)$  since otherwise the instance is clearly infeasible.

An example of applying the  $(m, n)$ -VRP is the schedule of garbage collection. Let us consider the case in which a garbage collecting truck must visit each city on  $n$  of 5 weekdays in a week. A solution of  $(5, n)$ -VRP gives a schedule of this truck minimizing total length of routes.

Each solution to  $(m, n)$ -VRP is obviously feasible to  $2n$ -ECMDS with  $b(s) = 2m$  and  $b(v) = 2n$  for  $v \in V - s$  (Hence the optimal value of  $2n$ -ECMDS with such  $b$  is at most that of  $(m, n)$ -VRP). However, the opposite direction does not hold as an example in Figure 5. Nevertheless we can see that algorithm  $\text{UNDIRECT}(2n)$  outputs a feasible solution for  $(m, n)$ -VRP.

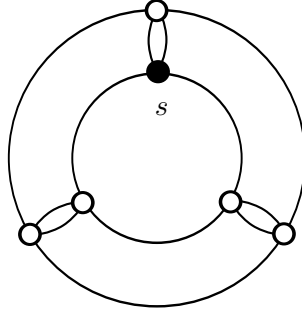


Figure 4: A solution to 4-ECMDS with  $b(v) = 4$ ,  $v \in V$ , that is not feasible to  $(2, 2)$ -VRP

**Theorem 9** *Let  $b(s) = 2m$ ,  $b(v) = 2n$  for each  $v \in V - s$  and  $k = 2n$ . Then algorithm  $\text{UNDIRECT}(k)$  outputs a 2.5-approximate solution to  $(m, n)$ -VRP.*

**Proof:** The solution given by algorithm  $\text{UNDIRECT}(k)$  consists of edge set  $M'$  and cycles  $H'_1, \dots, H'_n$ . In what follows, we see that this solution is feasible to  $(m, n)$ -VRP.



Let us consider the moment after Phase 1, and define  $E'$ ,  $M'$  and  $H'_1, \dots, H'_{k'}$  as in Section 2. Since  $k = 2n$  is even, there exists no strict pair. Hence at least one end vertex of each edge in  $M'$  is a non-excess vertex. Let  $v$  be such a vertex. Then  $b(v) = d(v; G_{E'}) > d(v; G_{H_1 \cup \dots \cup H_n}) = 2n$  (Recall that each non-excess vertex is covered by all of  $H_1, \dots, H_n$ ). However, a vertex of degree more than  $2n$  is only  $s$  since  $b(u) = 2n$  for each  $u \in V - s$ . Hence we can see that (i)  $s$  is a non-excess vertex after Phase 1, and (ii) one end vertex of each in  $M'$  is  $s$ . Condition (i) implies that each of  $H'_1, \dots, H'_n$  covers  $s$ . Condition (ii) indicates that edges between  $s$  and a vertex  $v \in V - s$  forms  $d(v; M')/2$  cycles whose vertex sets are  $\{s, v\}$  because  $d(v; M')$  is even. Therefore, combining the fact that  $d(v; G_{M' \cup H'_1 \cup \dots \cup H'_n}) = b(v)$  for all  $v \in V$ , these shows that  $\text{UNDIRECT}(k)$  outputs a feasible solution to  $(m, n)$ -VRP.  $\square$

The approximation factor can be improved as follows.

**Theorem 10** *Problem  $(m, n)$ -VRP can be approximated within a factor of  $1.5 + \frac{m-n}{m}$ .*

**Proof:** Let  $b(s) = 2m$ ,  $b(v) = 2n$  for each  $v \in V - s$  and  $k = 2n$ . Moreover, let  $E$  be an optimal solution for  $(m, n)$ -VRP, and  $F$  be the set of edges contained by  $m - n$  cycles in  $G_E$  of least cost. Then it holds that  $d(s; G_F) = 2m - 2n$  and  $d(v; G_F) \leq 2n$  for  $v \in V - s$ . Besides this, we have  $c(F) \leq \frac{m-n}{m}c(E)$  by the definition of  $F$ .

Now we let  $V - s = \{v_1, \dots, v_{|V|-1}\}$  so that  $c(sv_1) \leq c(sv_2) \leq \dots \leq c(sv_{|V|-1})$ . Moreover we define  $R$  as an edge set which consists of  $2n$  edges  $sv_i$  for each  $i = 1, \dots, p$  and  $2m - 2n(p + 1)$  edges  $sv_{p+1}$ , where  $p = \lfloor (m - n)/n \rfloor$ . Then it is clear that  $R$  is a minimum cost edge set such that  $d(s; G_R) = 2np + 2m - 2n(p + 1) = 2m - 2n$  and  $d(v; G_R) \leq 2n$  for all  $v \in V - s$ . This implies that  $c(R) \leq c(F) \leq \frac{m-n}{m}c(E)$ .

By using  $R$  instead of  $M$  in  $\text{UNDIRECT}(k)$ , we can obtain a feasible solution to  $k$ -ECMDS. As in Theorem 9, this solution is also feasible to  $(m, n)$ -VRP. Moreover the cost of the solution is at most  $c(H_1) + \dots + c(H_{k'}) + c(R) \leq (1.5 + \frac{m-n}{m})c(E)$ , which completes the proof.  $\square$

## 6 Concluding Remarks

We note that some cases of  $k$ -ECMDS/ $k$ -ACMDS remain open. One is 1-ECMDS with  $b(v) = 1$  for some  $v \in V$ . Our algorithm cannot deal with this case, because detaching the vertices in a strict pair from the same Hamiltonian cycle in Phase 2 may lose the connectivity. Also a key problem for approximating 1-ECMDS would be to find a minimum cost spanning tree such that  $d(v) \leq b(v)$ ,  $v \in V$  for a given  $b : V \rightarrow \mathbb{Z}_+$ . However, no constant factor approximation algorithm is known to this problem if  $b(v) = 1$  for some  $v \in V$ , although it can be approximated within a constant factor of 2 if  $b(v) \geq 2$  for all  $v \in V$  [1]. Another interesting open problem is a generalization of  $k$ -ECMDS (resp.,  $k$ -ACMDS) in which the  $k$ -edge-connectivity (resp.,  $k$ -arc-connectivity) requirement is replaced by a local-edge-connectivity requirement.

It is also valuable to characterize the feasible solutions to  $(m, n)$ -VRP. In Section 5, we noted that specifying the edge-connectivity and the degree of each vertex is not enough for this although our algorithm always outputs a feasible solution to  $(m, n)$ -VRP. Moreover, it

is interesting to study a further generalization of  $(m, n)$ -VRP in which the number  $b(v)/2$  of cycles containing each vertex  $v$  is not uniform.

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