# Building Fences Straight and High – An Optimal Algorithm for Finding the Maximum Length You Can Cut *k* Times from Given Sticks

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Given a set of n sticks of various (not necessarily different) lengths, what is the largest length so that we can cut k equally long pieces of this length from the given set of sticks? We analyze the structure of this problem and show that it essentially reduces to a single call of a selection algorithm; we thus obtain an optimal linear-time algorithm.

This algorithm also solves the related envy-free stick-division problem, which Segal-Halevi, Hassidim, and Aumann [SHHA16] recently used as their central primitive operation for the first discrete and bounded envy-free cake cutting protocol with a proportionality guarantee when pieces can be put to waste.

## **Keywords:**

envy-free stick division, envy-free allocations, fair division, building fences, stick cutting, cake cutting with waste, proportional apportionment

# 1. Introduction

This article originates from an apparently innocuous problem posed to the online questionand-answer network *Computer Science Stack Exchange* in September 2014:

"You have n sticks of arbitrary lengths, not necessarily integral. By cutting some sticks (one cut cuts one stick, but we can cut as often as we want), you want to get k < n sticks such that:

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- All these k sticks have the same length;
- All k sticks are at least as long as all other sticks.

Note that we obtain n + C sticks after performing C cuts.

What algorithm would you use such that the number of necessary cuts is minimal? And what is that number?" [SH14]

Erel Segal-Halevi posed the question because he and his coauthors Avinatan Hassidim and Yonatan Aumann used this very procedure as their basic primitive to devise the first discrete and bounded envy-free cake cutting protocol for any number of agents, when it is acceptable to leave some pieces of the cake unassigned (these pieces go to waste) [SHHA15; SHHA16]. Their work constituted a significant progress on a long-standing open problem; and a key technical lemma in their work uses the algorithms devised in this paper. We give some background on cake cutting and some details about the protocol of Segal-Halevi, Hassidim, and Aumann in Section 1.2.

The proposed problem – we will call it Envy-Free Stick Division – is quite elementary in nature and one expects to find an efficient solution using only basic data structures if properly combined – the feeling is that something along the lines of a binary search should do the job. It seemed like an ideal creative exercise problem for students, and indeed, the authors of this paper posed a simplified version of Envy-Free Stick Division as a bonus problem in a written exam for an intermediate-level algorithms course. While preparing a detailed solution, though, we found the problem surprisingly intriguing; in particular, all answers given by the Stack Exchange community at that time were either far from optimal or had significant gaps in the argumentation; a linear-time solution was not even speculated about. In the end, the first impression turned out right – there is a simple and elementary algorithm that optimally solves Envy-Free Stick Division – but finding it was well beyond the scope of a typical exercise problem. Somewhat unexpectedly, one can entirely avoid sorting the stick lengths and use a single call to a (rank) selection algorithm instead, leading to a linear-time algorithm.

The formulation of the problem above asks for the minimal number of cuts C, but Segal-Halevi et al. do not use C itself in the end, but rather the length of the longest sticks. It is a trivial observation (see Section 2) that the crux of the problem is indeed finding the maximal length  $l^*$  so that k sticks of that length are obtainable by cuts at all; given  $l^*$ , we can easily determine how often and where to cut sticks to solve the original Envy-Free Stick Division problem. If we only ask for k longest equal-length sticks, the second requirement, that all other sticks must be (weakly) shorter, becomes immaterial. This makes the problem even shorter to formulate, and quite practical:

Assume you have a supply of n sticks (or planks; or poles; ...) of various lengths, and you need k equally long pieces (as legs for a table; as posts for a fence; as boards for a shelf; ...).

What is the maximal length of the pieces you can get from these (without glue)?

Despite its natural applications, this article is the first algorithmic treatment of the problem to the best of our knowledge.

Interestingly, the Envy-Free Stick Division problem was also part of a recent programming contest: the ICI Open 2016 organized by the Norwegian University of Science and Technology in Trondheim. Torbjørn Morland came up with the problem independently of the Stack Exchange question [private communication] and he formulated it in yet another context (as cutting equally high posts for a fence). The problem was called "Building Fences" in the contest and code can still be submitted (outside the original competition) in the Kattis online system [Mor16]. We submitted a straight-forward C++ implementation of the algorithm devised in this paper, and this submission immediately was the fastest of all 50-odd accepted submissions to date. We take this anecdote as a sign that (a) Envy-Free Stick Division is indeed a reasonably natural problem (natural enough to be rediscovered independently), (b) our proposed algorithm is indeed efficient in practice (also for small input sizes), and (c) that the algorithmic idea does not (yet) seem to be folklore, making it well worthy of a proper discussion.

There is a less immediate connection to the problem of adequately assigning seats in parliament to parties according to their relative share of votes after an election: as we discuss in Section 1.4, Envy-Free Stick Division can be formulated as such an apportionment problem, and likewise the algorithm for cutting sticks we devise below can be transferred and generalized to proportional apportionment. We show in a companion paper [RW15] that the resulting algorithm is indeed an improvement over state-of-the-art methods for proportional apportionment with divisor sequences: it is the first algorithm with both a worst-case guarantee of linear running time, and practical performance on par with the best heuristic algorithms currently in use.

We conclude this introduction with another metaphor for the problem considered in this paper. We found this metaphor the most memorable one that includes the requirement of an envy-free division of the resources; it is however not meant as a serious application.

Imagine you and your family move to a new house. Naturally, each of your k children wants to have a room of their own, which is why you wisely opted for a large house with many rooms. The sizes of the rooms are, however, not equal, and you anticipate that peace will not last long if any of the rascals finds out that their room is smaller than any of the others'.

Removing walls is out of the question, but any room can (arbitrarily and iteratively) be divided into smaller rooms by installing drywalls. What is the minimal number of walls needed to obtain a configuration that allows you to let your kids freely choose their rooms with guaranteed envy-freeness?

We would like point out two differences to typical fair-division scenarios: We assume (somewhat unrealistically for children) that only the size of the rooms matters, so that

 $<sup>^{1}</sup>$ It is an unlucky coincidence that Morland used the same parameter names n and k with exactly reversed roles . . .

two rooms of the same size are essentially indistinguishable. Moreover, we make the (in our opinion sensible) assumption that all resulting rooms can in principle be chosen; this is in contrast to division scenarios with free disposal where agents do *not* envy resources that were laid to waste. Although we like the children's-rooms metaphor we prefer to remain consistent with existing descriptions of the problem and will therefore continue talking about *sticks* that are cut instead of rooms with installed walls.

In the remainder of this first section, we give an overview over related problems and a roadmap of our contribution. We then introduce notation and give a formal definition of Envy-Free Stick Division in Section 2. In Section 3 we develop the means to limit our search to finite sets of candidates. We follow up by developing first a linearithmic, then a linear time algorithm for solving Envy-Free Stick Division in Section 4. Finally, we propose smaller candidate sets in Section 5. A short conclusion in Section 6 on the complexity of Envy-Free Stick Division completes the article.

We append a glossary of the notation we use in Appendix A for reference, and some lower bounds on the number of distinct candidates in Appendix B.

# 1.1. Other Optimization Goals

We briefly discuss of a few variants of stick cutting, none of which changes the nature of the problem. To reiterate, we consider the problem of dividing resources (fairly), some of which may remain unallocated. These are wasted, which of course is to be avoided if possible. We can formulate this goal in different ways; one can seek to

- (G1) minimize the number of necessary cuts (as in the original Envy-Free Stick Division),
- (G2) minimize the number of waste pieces,
- (G3) minimize the total amount of waste (here, the total length of all unallocated pieces), or
- (G4) maximize the amount of resource each player gets (the length of the maximal pieces).

The first two objectives are discrete (counting things) whereas the latter two consider continuous quantities.

Obviously, (G3) and (G4) are dual formulations for equivalent problems; the total waste is always the (constant) total length of all sticks minus  $k \cdot l^*$ . Similarly, (G1) is dual to (G2); c cuts divide n sticks into n+c pieces, and because exactly k of these are non-waste, the number of wasted pieces is n+c-k.

Recall that we require also the unassigned sticks to be cut so that they are no longer than the k equal sticks. This implies that the *canonical division* induced by the largest feasible cut length l – cutting length-l pieces off of any longer sticks until no such are left – is also optimal w.r.t. the number of cuts: a smaller cut length can only lead to

more cuts. This is one of the key insights towards algorithmic solutions of Envy-Free Stick Division so we state it formally in Corollary 3.2 (page 16). In the terms of above goals, this means that optimal solutions for (G3) and (G4) are also optimal for (G1) and (G2).

The converse is also true. Let  $l^*$  be the cut length of an optimal canonical division w.r.t. the number of needed cuts (goal (G1)). This division must divide (at least) one stick perfectly, that is into only maximal pieces; otherwise we could increase  $l^*$  a tiny bit until one stick is perfectly split, resulting in (at least) one cut less (as this stick does not produce a waste piece now). But this means that we cannot *increase*  $l^*$  by any (positive) amount without immediately losing (at least) one maximal piece; we formalize this fact in Lemma 3.1 (page 15). As the number of cuts grows with decreasing cut length,  $l^*$  is the largest cut length that yields at least k maximal pieces. Together it follows that  $l^*$  must be the largest feasible cut length overall and thus induces an optimal solution for goal (G4) (and therewith (G3)), too.

Therefore, all four objective functions we give above result in the same optimization problem, and the same algorithmic solutions apply. The reader may use any of the four formulations in case they do not agree with our choice of (G1).

Note that the requirement that unassigned sticks must also be cut is essential for this equivalence. Minimizing the number of cuts to obtaining any k equal pieces (of arbitrary positive length) – or equivalently, producing an envy-free allocation when agents do not envy wasted pieces – is indeed a different problem that we do not consider here.<sup>2</sup>

# 1.2. The Origins: Cake Cutting

Envy-Free Stick Division was motivated by a recent approach to *envy-free cake cutting*. We briefly describe the context of the problem and how the stick division problem is used for cake cutting.

The fair allocation of resources is a well-studied problem in economics. See, e.g., Brams and Taylor [BT96] for a general treatise of the field and Brandt et al. [Bra+16] for recent developments with a focus on computational aspects. A vital feature of all fair division problems is that valuations are subjective: different players may assign different values to the same objects, i.e., the same piece of cake in the cake-cutting problem.

<sup>&</sup>lt;sup>2</sup> As one of our reviewers pointed out, the total fraction of waste is *unbounded* if we minimize cuts in the allocated part only: consider the input  $2, 1, \ldots, 1, M$ , where M is a huge number; it requires only one cut to assign pieces of length 1, so an arbitrarily large fraction of the resource goes to waste. In contrast, when the cuts in wasted pieces also count, we never waste more than half of the total resource (unless n > k already initially).

From an algorithmic perspective, the assumption that waste is not envied means that the algorithm must decide which sticks are deliberately put to waste and need hence not be cut at all. The number of performed cuts is then no longer a monotonic function of the cut length (as it is in our case, see Section 1.5); rather it depends on how many sticks can be cut *evenly* into maximal pieces. Our efficient solution by selection does hence not solve this problem.

The cake-cutting problem has become the predominant mathematical metaphor for allocating an infinitely divisible, inhomogeneous resource "fairly" to a number of competing players or agents. The standard model is to consider the unit interval as the cake (a very thin cake, alas). An agent's value for a piece of cake does not only depend on the size of the piece, but also on its position within the cake (say, because the topping of the cake differs). In general, assigning disconnected pieces, i. e., a finite union of intervals, is acceptable, but sometimes contiguous pieces are explicitly required. Agents are not willing to share pieces, so all assigned pieces must be disjoint. To exclude degenerate situations, valuations are assumed to be additive and absolutely continuous w.r.t. length.

What exactly constitutes a "fair" division is subject to debate and several (partly contradicting) notions of fairness appear in the literature:

- proportional division (or simple fair division) guarantees that each player gets a share that she values at least 1/k;
- *envy-freeness* ensures that no player (strictly) prefers another player's share over her own;
- equitable division requires that the (relative) value each player assigns to her own share is the same for all players, (everyone feels the same amount of "happiness").

All three notions of fairness have been studied extensively for cake cutting.

Despite the maturity of the field, several ground-breaking results on envy-free cake cutting have only been found very recently; in fact concurrently to the preparation of this article, Aziz and Mackenzie [AM16] published the first discrete and bounded protocol to produce an envy-free allocation for any number of agents, settling an open problem intensively studied at least since the 1960s. Many solutions for variations and restrictions of this problem have been proposed, and in view of the tremendous complexity of Aziz and Mackenzie's protocol – it does not greedily assign pieces to agents, but potentially reallocates them many times – those will probably remain relevant in practical applications.

Segal-Halevi, Hassidim, and Aumann [SHHA15; SHHA16] devise a much simpler protocol to find an envy-free division of a cake to n agents when parts of the cake may remain unassigned. Their core method (Algorithm 1 in [SHHA16]) is a protocol that allocates contiguous pieces to n agents with the guarantee that each agent values her piece at least  $1/2^{n-1}$  of the overall cake. This may seem little, but the generic protocol can be further improved for n=3 and n=4, and if it is applied iteratively to non-assigned pieces, it yields an almost proportional envy-free division with disconnected pieces.

Segal-Halevi et al. use the algorithm for solving Envy-Free Stick Division presented in this paper as a subroutine in their protocol, namely for implementing the Equalize(k) queries (Lemma 4.1 [SHHA16]). In short, their core method works as follows: The players cut pieces from the cake, one after another, only allowing to subdivide already produced

<sup>&</sup>lt;sup>3</sup>The authors thank the reviewers for pointing out this recent development.

pieces. After that, players each choose one of their favorite pieces among the existing pieces in the opposite cutting order, i. e, the player who cut first is last to choose her piece of cake. During the cutting phase, agents produce a well-chosen number of pieces  $(2^{n-r-1}+1)$  if the agent is the rth cutter) of the cake that they regard to be all of equal value and all other pieces are made at most that large; this is exactly the setting of Envy-Free Stick Division. The number of maximal pieces is chosen such that even after all players who precede the given player in "choosing order" have taken their favorite piece of cake, there is at least one of the current player's maximal pieces left for her to pick, guaranteeing envy-freeness of the overall allocation.

# 1.3. Stick Cutting As Fair Division Problem

The setting of Envy-Free Stick Division shares some features of fair division problems, but we would like to explicitly list several important differences here.

First, the core assumption for classic fair division problems is the subjective theory of value, which in general forbids an objectively fair division of the resources. In stick cutting, all players agree in their valuations, so that we can speak of *the* length of any given stick without loss of generality – or in the alternative metaphor: all the children value same rooms same: (linearly) by their size.

Second, among the above notions of fairness, proportional divisions do not usually exist for cutting sticks, and equitability coincides with envy-freeness when players agree in their valuations. Envy-free assignments of sticks do also not exist in general unless we allow leaving some pieces unallocated. Note that we use the term envy-free to imply that also these non-allocated pieces have to be made unattractive by cutting them (cf. the discussion in Section 1.1), whereas in fair allocation scenarios agents do not envy waste.

Third, while each given stick is assumed to be continuously divisible, existing cuts constrain the set of possible allocations, so we neither have a purely divisible nor a purely indivisible allocation scenario.

In summary, we think that viewing Envy-Free Stick Division as restrictive special case of fair division misses the problem's immediate own applications.

## 1.4. Implications for Proportional Apportionment

It may be a surprising connection at first sight, but the stick cutting problem can be viewed as an apportionment problem in disguise. Proportional apportionment is the problem of assigning each party its "fair" share of a pool of *indivisible*, unit-value resource items (seats in parliament), so that the fraction of items assigned to a party resembles as closely as possible its (fractional, a priori known) value (the number of votes for a party); these values are the input.<sup>4</sup> Balinski and Young [BY01] describe the problem extensively and

<sup>&</sup>lt;sup>4</sup>We thank Chao Xu for bringing this problem to our attention.

illustrate many pitfalls with examples from the rich history of representation systems in the US. Pukelsheim [Puk14] adds more recent developments and the European perspective; he also takes a more algorithmic point of view on apportionment.

Most methods used in real-word election systems use sequential assignment, allocating one seat at a time in a greedy fashion, where the current priority of each party depends on its initial vote percentage and the number of already assigned seats. Different systems differ in the function for computing these updated priorities, but all sensible ones are of the "highest averages form": In each round they assign the next seat to a party that (currently) maximizes  $v_i/d_j$  (with ties broken arbitrarily), where  $v_i$  is the vote percentage of party i, j is the number of seats party i has already been assigned and  $d_0, d_1, d_2, \ldots$  is an increasing divisor sequence characterizing the method.<sup>5</sup>

Even though the original description of the highest averages allocation procedure is an iterative process, it is actually a static problem: The averages  $v_i/d_j$  are strictly decreasing with j for any i, so the sequence of maximal averages in the assignment rounds is also decreasing. In fact, if we allocate a seat to a party with current average a in round r, then a must have been the rth largest element in the multiset of all ratios  $v_i/d_j$  for all parties i and numbers j. Moreover, if we know the value of the kth largest average  $a^*$  up front, i0 we can directly determine for each party i1 how many seats it should receive: if i1 is the largest number such that i1 seats.

The arguably most natural choice is hence  $d_j = j + 1$ , yielding the highest average method of Jefferson, a. k. a. the greatest divisors method. For  $d_j = j + 1$ , a party gets one seat for each time it can afford to pay the full price of a seat (namely  $a^*$  votes), so it is assigned  $\lfloor v_i/a^* \rfloor$  seats. The crux of the problem is thus to find a value  $a^*$ , such that this rule assigns exactly k seats in total (or the smallest number no less than k in case of ties). Since  $\lfloor v_i/a^* \rfloor$  is also the number of maximal pieces that can be cut from a stick of total length  $L = v_i$  using cut length  $l = a^*$ , we are actually asking for a maximal cut length  $a^*$  so that we obtain k equally long pieces when trimming sticks of initial lengths  $v_1, \ldots, v_n$  to length  $a^*$ . Therefore Envy-Free Stick Division is essentially equivalent to an apportionment problem with divisor sequence  $l_j = l_j + l_j$  and the stick lengths as vote tallies. Note that for this equivalence, we have to reverse the roles of agents and resources: Assigning equally long stick pieces to players is equivalent to apportioning to the sticks their fair share of players using Jefferson's highest averages method.

We can consequently use any apportionment algorithm to solve Envy-Free Stick Division, in particular the practically efficient methods proposed by Pukelsheim [Puk14] or the (rather complicated) worst-case linear-time algorithm of Cheng and Eppstein [CE14]. However,

 $<sup>{}^5</sup>d_0 = 0$  is allowed in which case  ${}^{v_i/d_0}$  is supposed to mean  $v_i + M$  for a very large constant M (larger than the sum  $\sum v_i$  of all values is sufficient). This ensures that before any party is assigned a second seat, all other parties must have one seat, which is a natural requirement for some allocations scenarios, e.g., the number of representatives for each state in a federal republic might be chosen to resemble population counts, but any state, regardless how small, should have at least one representative.

<sup>&</sup>lt;sup>6</sup>Pukelsheim [Puk14] calls this value the divisor *D*, and thus refers to highest-averages methods simply as divisor methods.

it actually turns out more fruitful to *reverse* the idea: our algorithm for Envy-Free Stick Division is conceptually much simpler than the method of Cheng and Eppstein, but has the same time worst-case linear-time guarantee, so we can actually improve the state-of-the-art methods for apportionment.

The method for Envy-Free Stick Division per se can only deal with the divisor sequence  $d_j = j + 1$ , but we show in a companion article [RW15] how to generalize the underlying ideas to all divisor sequences listed by Cheng and Eppstein [CE14, Table 1], and indeed to any divisor sequence that Cheng and Eppstein's algorithm can handle. Although the techniques remain similar, the generalization required modifications to the formalism, and it deemed us best to separate the detailed discussion of apportionment from the stick cutting algorithms in this paper.

In the apportionment article [RW15], we demonstrate in extensive running-time experiments that our method is indeed much faster than Cheng and Eppstein's algorithm, and has more predictable running time than the methods currently used in practice. The latter do not have a linear-time guarantee in the worst case, and we identify a class of inputs, where they indeed exhibit superlinear behavior.

It is somewhat surprising to us (in hindsight) that we did not find our method in the quite extensive literature on proportional apportionment; in any case, the detour through Envy-Free Stick Division has helped us in finding it a lot.

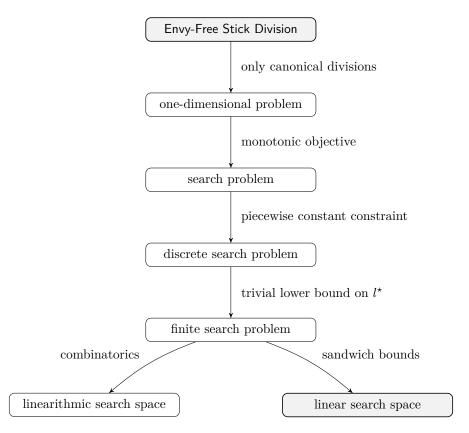
## 1.5. Overview of this Article

In this section, we give an informal description of the steps that lead to our solution for Envy-Free Stick Division (see also Figure 1); formal definitions and proofs follow in the main part of the paper.

Without further restrictions, Envy-Free Stick Division is a non-linear continuous optimization problem that does not seem to fall into any of the usual categories of problems that are easy to solve. Any stick might be cut an arbitrary number of times at arbitrary lengths, so the space of possible divisions is huge.

The first step to tame the problem is to observe that most of these divisions cannot be optimal: Assuming we already know the size  $l^*$  of the k maximal pieces in an optimal division (the size of the rooms assigned to the kids), we can recover a canonical optimal division by simply cutting  $l^*$ -sized pieces off of any stick longer than  $l^*$  until all sticks have length at most  $l^*$ . Cutting a shorter piece only creates waste, cutting a larger piece always entails a second cut for that piece. We can thus identify a (candidate) cut length with its corresponding canonical division and so Envy-Free Stick Division reduces to finding the optimal cut length  $l^*$ .

The second major simplification comes from the observation that for canonical divisions, the number of cuttings can only get larger when we decrease the cut length. (We cut each sticks into shorter pieces, this can only mean more cuts.) Stated differently, the



**Figure 1:** Schematic overview of the refinement steps that turn a seemingly hard problem into a tame task amenable to elementary yet efficient algorithmic solutions.

objective function that we try to minimize is monotonic (in the cut length). This is a very fortunate situation since it allows a simple characterization of optimal solutions:  $l^*$  is the largest length, whose canonical division still contains (at least) k maximal pieces of equal size, transforming our optimization to a mere search problem for the point where cut lengths transition from feasible to infeasible solutions.

By similar arguments, also the number of equal sized maximal pieces (in the canonical division) for a cut length l does only increase when l is made smaller, so we can use binary search to find the length  $l^*$  where the number of maximal pieces first exceeds k. The search is still over a continuous region, though.

Next we note that both the objective and the feasibility function are piecewise constant with jumps only at lengths of the form  $L_i/j$ , where  $L_i$  is the length of an input stick and j is a natural number. Any (canonical) division for length l that does not cut any stick evenly into pieces of length l remains of same quality and cost if we change the l a very little. Moreover, any such division can obviously be improved by increasing the cut length, until we cut one stick  $L_i$  evenly, say into j pieces, as we then get the same number of maximal pieces with (at least) one less cutting. We can thus restrict

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our (binary) search for  $l^*$  to these jump points, making the problem discrete – but still infinite, as we do not yet have an upper bound on j.

We can, however, easily find lower bounds on  $l^*$  – or, equivalently, upper bounds on j – that render the search space finite. For example, we obviously never need to cut more than k pieces out of any single stick, in particular not the largest one. This trivial observation already reduces the search space to  $O(n^2)$  candidates, where n is the number of sticks in the input.

We will then show how to obtain even smaller candidate sets by developing slightly cleverer upper and lower bounds for the number of maximal pieces (in the canonical divisions) for cut length l. The intuitive idea is as follows. If we had a single stick with the total length of all sticks, dividing it into k equal pieces would give us the ultimately efficient division without any waste. The corresponding "ultimate cut length" is of course easy to compute, but with pre-cut sticks, it will usually not be feasible.

However, we know how much the precut sticks can possibly cost us relative to the ultimate division: each input stick contributes (at most) one piece of waste. Now imagine the sticks arranged in line, so that they form a single long stick with some existing fractures. When cutting this stick evenly into n+k (not only k) pieces, the existing cuts lie in at most n of these pieces, leaving k segments intact. Therefore the total length divided by n+k is always a feasible cut length. With a little diligence (see Section 5.1), we can show that the number of jumps between these "sandwich bounds" for  $l^*$ , i. e., the number of cut lengths to check, remains linear in n. For  $k \leq n$ , we get an O(k) bound by first removing sticks shorter than the kth largest one.

The discussion above takes the point of view of mathematical optimization, describing how to reduce the number of candidate cut lengths we have to check; we are still one step away from turning this into an actual, executable algorithm. After reducing the problem to a finite search problem, binary search naturally comes to mind; we work out the details in Section 4. However, *sorting* the candidate set and *checking feasibility* of candidates dominate the runtime of this binary-search-based algorithm – this is unsatisfactory.

As hinted at above, it is possible to determine  $l^*$  more directly, namely as a specific order statistic of the candidate set. From the point of view of objective and feasibility functions, this trick works because both functions essentially *count* the number of unit jumps (i. e. occurrences of  $L_i/j$ ) at points larger than the given length. This approach yields a simple linear-time algorithm based on a single rank selection; we describe it in detail in Section 4.1.

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We will mostly use the usual zoo of mathematical notation (as used in theoretical computer science, that is); see Appendix A for a comprehensive list. Since they are

less often used, let us quickly introduce notation for multisets, though. For some set X, denote a multiset over X by

$$\mathbf{A} = \{x_1, x_2, \dots\}$$

with  $x_i \in X$  for all  $i \in [1..|\mathbf{A}|]$ . Note the bold letter; we will use these for multisets, and regular letters for sets. Furthermore, denote the *multiplicity* of some  $x \in X$  in  $\mathbf{A}$  as  $\mathbf{A}(x)$ ; in particular,

$$|\mathbf{A}| = \sum_{x \in X} \mathbf{A}(x).$$

When we use a multiset as operator range, we want to consider every occurrence of  $x \in \mathbf{A}$ ; for example,

$$\sum_{x \in \mathbf{A}} f(x) = \sum_{i \in [1..|\mathbf{A}|]} f(x_i) = \sum_{x \in X} \mathbf{A}(x) \cdot f(x).$$

As for multiset operations, we use multiset union  $\uplus$  that adds up cardinalities; that is, if  $\mathbf{C} = \mathbf{A} \uplus \mathbf{B}$  then  $\mathbf{C}(x) = \mathbf{A}(x) + \mathbf{B}(x)$  for all  $x \in X$ . Multiset difference works in the reverse way; if  $\mathbf{C} = \mathbf{A} \setminus \mathbf{B}$  then  $\mathbf{C}(x) = \max\{0, \mathbf{A}(x) - \mathbf{B}(x)\}$  for all  $x \in X$ .

Intersection with a set  $B \subseteq X$  is to be read as natural extension for the usual set intersection; that is, if  $\mathbf{C} = \mathbf{A} \cap B$  then  $\mathbf{C}(x) = \mathbf{A}(x) \cdot B(x)$  for all  $x \in X$  (we also use the multiplicity notation for ordinary sets, where  $B(x) \in \{0,1\}$ ).

Now for problem-specific notation. We call any length  $L \in \mathbb{Q}$  a stick.<sup>7</sup> Cutting L with length 0 < l < L creates two pieces with lengths l and L - l respectively. By iteratively cutting sticks and pieces thereof into smaller pieces, we can transform a set of sticks into a set of pieces.

We define the following trivial problem for fixing notation.

## Problem 1: Envy-Free Fixed-Length Stick Division

**Input:** Multiset  $\mathbf{L} = \{L_1, \dots, L_n\}$  of sticks with lengths  $L_i \in \mathbb{Q}_{>0}$ , target number  $k \in \mathbb{N}_{>0}$  and cut length  $l \in \mathbb{Q}_{>0}$ .

**Output:** The (minimal) number of cuts necessary for cutting the input sticks into sticks  $L'_1, \ldots, L'_{n'} \in \mathbb{Q}_{>0}$  so that

- i) (at least) k pieces have length l, i. e.  $|\{i \mid L'_i = l\}| \ge k$ ,
- ii) and no piece is longer than l, i. e.  $L'_i \leq l$  for all i.

<sup>&</sup>lt;sup>7</sup>We restrict the input lengths to rational numbers to simplify the presentation; all algorithms would work the same in a model that works with exact real numbers, and they are numerically stable when using floating-point numbers. Our analyses count the number of arithmetic operations and comparisons, and apply to any such model.

## 2. Problem Definition

The solution is immediate; we state it below to demonstrate the notation introduced in the following.

We denote by m(L, l) the number of stick pieces of length l – we will also call these maximal pieces – we can get when we cut stick L into pieces no longer than l. This is to mean that you first cut L into two pieces, then possibly further cut those pieces and so on, until all pieces have length at most l. Obviously, the best thing to do is to only ever cut with length l. We thus have

$$m(L,l) = \left| \frac{L}{l} \right|.$$

Because we may also produce one shorter piece, the total number of pieces we obtain by this process is given by

$$p(L,l) = \left\lceil \frac{L}{l} \right\rceil,$$

and

$$c(L,l) = \left\lceil \frac{L}{l} \right\rceil - 1$$

denotes the number of cuts we perform.

We extend this notation to multisets of sticks, that is

$$m(\mathbf{L}, l) := \sum_{L \in \mathbf{L}} m(L, l) = \sum_{L \in \mathbf{L}} \left\lfloor \frac{L}{l} \right\rfloor$$
 and

$$c(\mathbf{L}, l) := \sum_{L \in \mathbf{L}} c(L_i, l) = \sum_{L \in \mathbf{L}} \left\lceil \frac{L}{l} - 1 \right\rceil.$$

See Figure 2 for a small example.

Using this notation, the conditions of Envy-Free Fixed-Length Stick Division translate into checking whether  $m(\mathbf{L}, l) \geq k$  for cut length l; we call such l feasible cut lengths (for  $\mathbf{L}$  and k). We define the following predicate as a shorthand:

Feasible(
$$\mathbf{L}, k, l$$
) := 
$$\begin{cases} 1, & m(\mathbf{L}, l) \ge k; \\ 0, & \text{otherwise.} \end{cases}$$

Now we can give a concise algorithm for solving Envy-Free Fixed-Length Stick Division.

## 2. Problem Definition

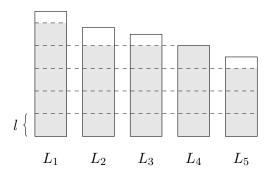


Figure 2: Sticks  $\mathbf{L} = \{L_1, \dots, L_5\}$  cut with some length l. Note how  $m(\mathbf{L}, l) = 20$  and  $c(\mathbf{L}, l) = 19$ . There are four non-maximal pieces.

## **Algorithm 1:** Canonical Cutting $(\mathbf{L}, k, l)$ :

- 1. If Feasible( $\mathbf{L}, k, l$ ):
  - 1.1. Answer  $c(\mathbf{L}, l)$ .
- 2. Otherwise:
  - 2.1. Answer  $\infty$  (i. e. "not possible").

Assuming the unit-cost RAM model – which we will do in this article – the runtime of Canonical Cutting is clearly in O(n); evaluation of Feasible and c in time O(n) each dominates. We will see later that a better bound is  $\Theta(\min(k, n))$  (cf. Lemma 3.3).

Of course, different cut lengths l cause different numbers of cuts. We want to find an optimal cut length, that is a length  $l^*$  which minimizes the number of cuts necessary to fulfill conditions i) and ii) of Envy-Free Fixed-Length Stick Division. We formalize this as follows.

## Problem 2: Envy-Free Stick Division

**Input:** Multiset  $\mathbf{L} = \{L_1, \dots, L_n\}$  of sticks with lengths  $L_i \in \mathbb{Q}_{>0}$  and target number  $k \in \mathbb{N}_{>0}$ .

**Output:** A (feasible) cut length  $l^* \in \mathbb{Q}_{>0}$  which minimizes the result of Envy-Free Fixed-Length Stick Division for L, k and  $l^*$ .

We observe that the problem is not as easy as picking the smallest  $L_i$ , cutting the longest stick into k pieces, or using the kth longest stick (if  $k \leq n$ ). Consider the following, admittedly artificial example which debunks such simplistic attempts.

# Example 2.1: Let

$$\mathbf{L} = \{mx, (m-1)x + 1, (m-2) \cdot x + 2, \dots, \frac{m}{2} \cdot x + \frac{m}{2}, x - 1, x - 1, \dots\}$$

## 3. Exploiting Structure

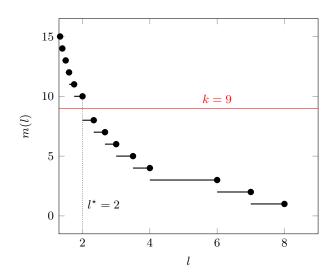


Figure 3: The number of maximal pieces  $m(\mathbf{L}_{\mathrm{ex}},l)$  in cut length l for  $\mathbf{L}_{\mathrm{ex}}$  as defined in Example 2.1. The filled circles indicate the value of  $m(\mathbf{L}_{\mathrm{ex}},l)$  at the jump discontinuities.

for a total of  $n = m^2$  elements and  $k = 3/8 \cdot m^2 + 3/4 \cdot m$ , where  $m \in 4\mathbb{N}_{>0}$  and x > m/2.

Note that  $l^* = x$ , that is in particular

- $l^* \neq L_i$  and
- $l^* \neq L_i/k$

for all  $i \in [1..n]$ . In fact, by controlling x we get an (all but) arbitrary fraction of an  $L_i$  for  $l^*$ . It is possible to extend the example so that "mx" – the stick whose fraction is optimal – has (almost) arbitrary index i, too.

As running example we will use  $(\mathbf{L}_{\mathrm{ex}}, k)$  as defined by m=4 and x=2, that is

- $\mathbf{L}_{ex} = \{8, 7, 6, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1\}$  and
- k = 9.

Note that  $l^* = 2$  and  $m(\mathbf{L}_{ex}, l^*) = 10 > k$  here. See Figure 3 for a plot of  $m(\mathbf{L}_{ex}, l)$ .

# 3. Exploiting Structure

For ease of notation, we will from now on assume arbitrary but fixed input  $(\mathbf{L}, k)$  be given implicitly. In particular, we will use m(l) as short form of  $m(\mathbf{L}, l)$ , and similar for c and Feasible.

At first, we observe that both constraint and objective function of Envy-Free Stick Division belong to a specific, simple class of functions.

**Lemma 3.1:** Functions m and c are non-increasing, piecewise-constant functions in l with jump discontinuities of (only) the form  $L_i/j$  for  $i \in [1..n]$  and  $j \in \mathbb{N}_{>0}$ .

Furthermore, m is left- and c is right-continuous.

**Proof:** The functions are given as finite sums of terms that are either of the form  $\lfloor \frac{L}{l} \rfloor$  or  $\lceil \frac{L}{l} - 1 \rceil$ . Hence, all summands are piecewise constant and never increase with growing l. Thus, the sum is also a non-increasing piecewise-constant function.

The form  $L_i/j$  of the jump discontinuities is apparent for each summand individually, and they carry over to the sums by monotonicity.

The missing continuity properties of m resp. c follow from right-continuity of  $\lfloor \cdot \rfloor$  resp. left-continuity of  $\lceil \cdot \rceil$ ; the direction gets turned around because we consider  $l^{-1}$  but other than that arithmetic operations maintain continuity.

See Figure 3 for an illustrating plot.

Knowing this, we immediately get lots of structure in our solution space which we will utilize thoroughly.

Corollary 3.2: 
$$l^* = \max\{l \in \mathbb{Q}_{>0} \mid \text{Feasible}(l)\}.$$

Note in particular that the maximum exists because Feasible is left-continuous.

This already tells us that any feasible length gives a lower bound on  $l^*$ . One particular simple case is k < n since then the kth largest stick is always feasible. This allows us to get rid of all properly smaller input sticks, too, since they are certainly waste when cutting with any optimal length. As a consequence, having any non-trivial lower bound on  $l^*$  already speeds up our search by ways of speeding up feasibility checks.

**Lemma 3.3:** Let  $L \in \mathbb{Q}_{>0}$  fixed and denote with

$$I_{>L} := \{i \in [1..n] \mid L_i > L\}$$

the (index) set of all sticks in L that are longer than L. Then,

$$m(l) = \sum_{i \in I_{>L}} m(L_i, l)$$

for all l > L.

**Proof:** Clearly, all summands  $|L_i/l|$  in the definition of m(l) are zero for  $L_i \leq L < l$ .

As a direct consequence, we can push the time for checking feasibility of a candidate solution from being proportional to n down to being proportional to the number of  $L_i$ 

larger than a lower bound L on the optimal length; we simply preprocess  $\mathbf{L}_{>L}$  in time  $\Theta(n)$ . Since it is easy to find an  $L_i$  that can serve as L-e. g. any one that is shorter than any known feasible solution – we will make use of this in the definition of our set of candidate cut lengths.

In addition, the special shape of c and Feasible comes in handy. Recall that both functions are step functions with (potential) jump discontinuities at lengths of the form  $l = L_i/j$  (cf. Lemma 3.1). We will show that we can restrict our search for optimal cut lengths to these values, and how to do away with many of them for efficiency.

Combining the two ideas, we will consider candidate multisets of the following form.

**Definition 3.4:** We define the candidate multiset(s)

$$\mathcal{C}(I, f_l, f_u) := \biguplus_{i \in I} \left\{ \frac{L_i}{j} \mid f_l(i) \le j \le f_u(i) \right\}$$

dependent on index set  $I \subseteq [1..n]$  and functions  $f_l : I \to \mathbb{N}$  and  $f_u : I \to \mathbb{N} \cup \{\infty\}$  which bound the denominator from below and above, respectively; either may implicitly depend on  $\mathbf{L}$  and/or k.

Note that  $|\mathcal{C}| = \sum_{i \in I} [f_u(i) - f_l(i) + 1]$ . We denote the multiset of all candidates by  $\mathcal{C}_{\text{all}} := \mathcal{C}([1..n], 1, \infty)$ .

First, let us note that this definition covers the optimal solution as long as upper and lower bounds are chosen appropriately.

**Lemma 3.5:** There is an optimal solution on a jump discontinuity of m, i. e.  $l^* \in \mathcal{C}_{all}$ .

**Proof:** From its definition, we know that Feasible has exactly one jump discontinuity, and from Lemma 3.1 (via m) we know that it is one of the  $L_i/j$ . By Corollary 3.2 and left-continuity of Feasible (again via m) we know that this is indeed our solution  $l^*$ .  $\square$ 

Of course, our all-encompassing candidate multiset  $\mathcal{C}_{\text{all}}$  is infinite (as is the corresponding set) and does hence not lend itself to a simple search. But there is hope: we already know that  $l^* \geq l$  for any feasible l which immediately implies finite (albeit possibly large) bounds on j (if we have such l). We will now show how to restrict the set of candidates via suitable index sets I and bounding functions  $f_l$  and  $f_u$  so that we can efficiently search for  $l^*$ . We have to be careful not to inadvertently remove  $l^*$  by choosing bad bounding functions.

**Lemma 3.6:** Let  $I \subseteq [1..n]$  and  $f_l, f_u : I \to \mathbb{N}$  so that

- i)  $f_l(i) = 1$  or  $L_i/(f_l(i)-1)$  is infeasible, and
- ii)  $L_i/f_u(i)$  is feasible,

for all  $i \in I$ , and

iii)  $L_{i'}$  is suboptimal (i. e.  $L_{i'}$  is feasible, but not optimal)

for all  $i' \in [1..n] \setminus I$ . Then,

$$l^{\star} \in \mathcal{C}(I, f_l, f_u).$$

**Proof:** We argue that  $\mathcal{C}_{all} \setminus \mathcal{C}(I, f_l, f_u)$  does *not* contain the optimal solution  $l^*$ ; the claim then follows with Lemma 3.5.

Let  $i \in [1..n]$  and  $j \in [1..\infty]$  be arbitrary but fixed. We investigate three cases for why length  $L_i/j$  may not be included in  $\mathcal{C}(I, f_l, f_u)$ .

- $i \notin I$ : Candidate  $L_i/j$  is suboptimal by Corollary 3.2 because  $L_i/j \leq L_i$  and  $L_i$  itself is already suboptimal by iii).
- $j < f_l(i)$ : In this case, we must have  $f_l(i) > 1$ , so  $L_i/(f_l(i)-1)$  is infeasible by i). Clearly,  $L_i/j > L_i/f_l(i)$ , so  $L_i/j \ge L_i/(f_l(i)-1)$  and we get by monotonicity of Feasible (cf. Lemma 3.1 via m) that  $L_i/j$  is infeasible, as well.
- $j > f_u(i)$ : Clearly,  $L_i/j < L_i/f_u(i)$ , where the latter is already feasible by ii). So, again by Corollary 3.2,  $L_i/j$  is suboptimal.

Thus, we have shown that every candidate length  $L_i/j$  given by  $(i, j) \in I \times [1..\infty]$  is either in  $\mathcal{C}(I, f_l, f_u)$  or, failing that, infeasible or suboptimal.

We will call triples  $(I, f_l, f_u)$  of index set and bounding functions that fulfill Lemma 3.6 admissible restrictions (for **L** and k). We say that  $C(I, f_l, f_u)$  is admissible if  $(I, f_l, f_u)$  is an admissible restriction.

We will restrict ourselves for the remainder of this article to index sets  $I_{co}$  that contain indices of lengths that are larger than the n'th largest<sup>8</sup> length  $L^{(n')}$  in  $\mathbf{L}$ , for  $n' = \min(k, n+1)$ . This corresponds to working with  $I_{>L^{(n')}}$  as defined in Lemma 3.3. We will have to show that such index sets are indeed admissible (alongside suitable bounding functions); intuitively, if  $k \leq n$  then  $L^{(k)}$  is always feasible, and otherwise we have to work with all input lengths. We fix this convention for clarity and notational ease.

**Definition 3.7:** Define cut-off length  $L_{co}$  by

$$L_{\text{co}} := \begin{cases} L^{(k)}, & k \le n; \\ 0, & k > n, \end{cases}$$

and index set  $I_{co} \subseteq [1..n]$  as

$$I_{\text{co}} := \begin{cases} I_{>L_{\text{co}}}, & L_{\text{co}} \text{ not optimal;} \\ \text{undefined, otherwise.} \end{cases}$$

<sup>&</sup>lt;sup>8</sup>We borrow from the common notation  $S_{(k)}$  for the kth smallest element of sequence S.

Note that  $I_{co} = [1..n]$  if k > n.

We will later see that we never invoke the undefined case as we already have  $l^* = L^{(k)}$  then.

In order to illustrate that we have found a useful criterion for admissible bounds, let us investigate shortly an admittedly rather obvious choice of bounding functions. We use the null-bound  $f_l = i \mapsto 1$  and  $f_u = i \mapsto k$ ; an optimal solution does not cut more than k (equal-sized) pieces out of any one stick. The restriction ([1..n], 1, k) is clearly admissible; in particular, every  $L_i/k$  is feasible.

**Example 2.1 Continued:** For  $\mathbf{L}_{\text{ex}}$  and k = 9, we get

$$\mathcal{C}(I_{\text{co}}, 1, k) = \begin{cases} \frac{8}{1}, \frac{8}{2}, \frac{8}{3}, \frac{8}{4}, \frac{8}{5}, \frac{8}{6}, \frac{8}{7}, \frac{8}{8}, \frac{8}{9}, \frac{7}{1}, \frac{7}{2}, \frac{7}{3}, \frac{7}{4}, \frac{7}{5}, \frac{7}{6}, \frac{7}{7}, \frac{7}{8}, \frac{7}{9}, \\ \frac{6}{1}, \frac{6}{2}, \frac{6}{3}, \frac{6}{4}, \frac{6}{5}, \frac{6}{6}, \frac{6}{7}, \frac{6}{8}, \frac{6}{9}, \frac{1}{1}, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \frac{1}{6}, \frac{1}{7}, \frac{1}{8}, \frac{1}{9} \end{cases},$$

that is 36 candidates. Note that there are four duplicates, so there are 32 distinct candidates.

We give a full proof of admissibility and worst-case size here; it is illustrative even if simple because later proofs will follow the same structure.

**Lemma 3.8:** If  $L_{co} \neq l^*$ , then  $\mathcal{C}(I_{co}, 1, k)$  is admissible. Furthermore,  $|\mathcal{C}(I_{co}, 1, k)| = k \cdot \min(k - 1, n) \in \Theta(k \cdot \min(k, n))$  in the worst case.

**Proof:** First, we show that  $(I_{co}, 1, k)$  is an admissible restriction (cf. Lemma 3.6).

ad i): Since  $f_l(i) = 1$  for all i, this checks out.

ad ii): Clearly,  $m(L_i/k) \geq k$  just from the contribution of summand  $|L_i/l|$ .

ad iii): We distinguish the two cases of  $L_{co}$  (cf. Definition 3.7).

- If k > n then  $I_{co} = [1..n]$  which trivially fulfills iii).
- In the other case,  $k \leq n$  and  $L_{\rm co}$  is not optimal by assumption. Then  $I_{\rm co} = I_{>L_{\rm co}}$ ; therefore  $L_{i'} \leq L_{\rm co}$  for any  $i' \notin I_{\rm co}$  and Lemma 3.1 implies that  $L_{i'}$  is not optimal as well.

This concludes the proof of the first claim.

As for the number of candidates, note that clearly  $|\mathcal{C}(I_{\text{co}}, 1, k)| = \sum_{i \in I_{\text{co}}} k = |I_{\text{co}}| \cdot k$ ; the claim follows with  $|I_{\text{co}}| = \min(k - 1, n)$  in the case that the  $L_i$  are pairwise distinct (cf. Definition 3.7).

Since we now know that we have to search only a finite domain for  $l^*$ , we can start thinking about effective and even efficient algorithms.

# 4. Algorithms

Just from the discussion above, a fairly elementary algorithm presents itself: first cut the input down to the lengths given by  $I_{co}$  (cf. Definition 3.7), then use binary search on the candidate set w.r.t. Feasible. This works because Feasible is non-increasing (cf. Corollary 3.2 and Lemma 3.1).

Algorithm 2: SearchLstar $\langle f_l, f_u \rangle (\mathbf{L}, k)$ :

- 1. Compute  $n' := \min(k, n+1)$ .
- 2. If  $n' \leq n$ :
  - 2.1. Determine  $L_{co} := L^{(n')}$ , i. e. the n'th largest length.
  - 2.2. If  $L_{\rm co}$  is optimal, answer  $l^{\star} = L_{\rm co}$  (and terminate).
- 2'. Otherwise (i. e. n' > n):
  - 2.3. Set  $L_{co} := 0$ .
- 3. Assemble  $I_{co} := I_{>L_{co}}$ .
- 4. Compute  $\mathcal{C} := \mathcal{C}(I_{co}, f_l, f_u)$  as sorted array.
- 5. Find  $l^*$  by binary search on  $\mathcal{C}$  w.r.t. Feasible.
- 6. Answer  $l^*$ .

For completeness we specify that  $f_l, f_u : I_{co} \to \mathbb{N}$ .

## Theorem 4.1:

Let  $(I_{co}, f_l, f_u)$  be an admissible restriction where  $f_l$  and  $f_u$  can be evaluated in time O(1).

Then, algorithm SearchLstar $\langle f_l, f_u \rangle$  solves Envy-Free Stick Division in (worst-case) time

$$T(n,k) \in \Theta(n+|\mathcal{C}|\log|\mathcal{C}|)$$

and space

$$S(n,k) \in \Theta(n+|\mathcal{C}|).$$

**Proof:** We deal with the three claims separately.

Correctness follows immediately from Lemma 3.6 and Lemma 3.1 resp. Corollary 3.2. Note in particular that SearchLstar does indeed compute  $I_{co}$  as defined in Definition 3.7, and the undefined case is never reached.

**Runtime:** Since the algorithm contains neither loops nor recursion (at the top level) we can analyze every step on itself.

## 4. Algorithms

**Steps 1, 2.3.:** These clearly take time O(1).

**Step 2.1.:** There are well-known algorithms that perform selection in worst-case time  $\Theta(n)$ .

**Step 2.2.:** Testing  $L_{\rm co}$  for optimality is as easy as computing Feasible( $L_{\rm co}$ ) and counting the number a of integral  $L_i/L_{\rm co}$  in  $m(L_{\rm co})$ . If Feasible( $L_{\rm co}$ ) (i.e.,  $m(L_{\rm co}) \geq k$ ) and  $m(L_{\rm co}) - a < k$ , then  $L_{\rm co}$  is the jump discontinuity of Feasible and  $L_{\rm co}$  is optimal; otherwise it is not.

Thus, this step takes time  $\Theta(n)$ .

**Step 3:** This can be implemented by a simple iteration over [1..n] with a constant-time length check per entry, hence in time  $\Theta(n)$ .

 $I_{co}$  can be assembled by one traversal over **L** and stored as simple linked list in (worst-case) time  $\Theta(n)$ .

- Step 4: By Definition 3.4 we have  $|\mathcal{C}|$  many candidates. Sorting these takes time  $\Theta(|\mathcal{C}|\log|\mathcal{C}|)$  using e.g. Heapsort.
- **Step 5:** The binary search clearly takes at most  $\lfloor \log_2 |\mathcal{C}| + 1 \rfloor$  steps. In each step, we evaluate Feasible in time
  - $\Theta(|I_{co}|)$  for all candidates  $l > L_{co}$  using Lemma 3.3, and
  - O(1) for  $l \leq L_{co}$  since we already know from feasibility of  $L_{co}$  via Lemma 3.1 that these are feasible, too.

Therefore, this step needs time  $\Theta(|I_{co}| \log |\mathcal{C}|)$  time in total.

It is easy to see that admissible bounds always fulfill  $f_u(i) \ge f_l(i)$  for all  $i \in I_{co}$ . Therefore,  $|I_{co}| \le |\mathcal{C}|$  so the runtime of this step is dominated by step 4.

Space:

The algorithm stores **L** of size  $\Theta(n)$ , plus maybe a copy for selection and partitioning (depends the actual algorithm used). Step 4 then creates a  $\Theta(|\mathcal{C}|)$ -large representation of the candidate set. Both step 3 and 5 can be implemented iteratively, and a potential recursion depth (and therefore stack size) in step 2.1. is bounded from above by its runtime O(n). A few additional auxiliary variables require only constant amount of memory.  $\square$ 

For practical purposes, eliminating duplicates in Step 4 is virtually free and can speed up the subsequent search. In the worst case, however, we save at most a constant factor with the bounding functions we consider (see Appendix B), so we decided to stick to the clearer presentation using multisets (instead of candidate *sets*).

# 4.1. Knowing Beats Searching

We have seen that the runtime of algorithm SearchLstar is dominated by *sorting* the candidate set. This is necessary for facilitating binary search; but do we *have* to search? As it turns out, a slightly different point of view on the problem allows us to work with the unsorted candidate multiset and we can save a factor  $\log |\mathcal{C}|$ .

The main observation is that m increases its value by one at every jump discontinuity (for each  $L_i/j$  that has that same value). So, knowing m(l) for any candidate length l, we know exactly how many candidates (counting duplicates) we have to move to get to the jump of Feasible. Therefore, we can make do with *selecting* the solution from our candidate set instead of searching through it.

The following lemma states the simple observation that m(L, l) is intimately related to the "position" of l in the decreasingly sorted candidate multiset for L.

**Lemma 4.2:** For all  $L, l \in \mathbb{Q}_{>0}$ ,

$$m(L,l) = |\{L/j \mid j \in \mathbb{N} \land L/j \ge l\}|.$$

**Proof:** The right-hand side equals the largest integer  $j \in \mathbb{N}_0$  for which  $L/j \geq l$ , i. e.  $j \leq L/l$ , which is by definition  $\lfloor L/l \rfloor = m(L,l)$ . Note that this argument extends to the case L < l by formally setting  $L/0 = \infty \geq l$ .

Since we consider multisets, we can lift this property to m(l):

Corollary 4.3: For all  $l \in \mathbb{Q}_{>0}$ ,

$$m(l) = \sum_{i=1}^{n} \left| \left\{ L_i/j \mid j \in \mathbb{N} \wedge L_i/j \ge l \right\} \right| = \left| \mathcal{C}_{\text{all}} \cap [l, \infty) \right|.$$

In other words, m(l) is the number of occurrences of candidates that are at least l. We can use this to transform our search problem (cf. Corollary 3.2) into a selection problem.

Lemma 4.4:  $l^* = C_{all}^{(k)}$ .

**Proof:** Denote with  $C_{\text{all}}$  the *set* of all candidates, that is  $l \in C_{\text{all}} \iff C_{\text{all}}(l) > 0$ . We can thus write the statement of Corollary 4.3 as

$$m(l) = \sum_{\substack{l' \in \mathcal{C}_{\text{all}} \\ l' > l}} \mathcal{C}_{\text{all}}(l'). \tag{1}$$

As a direct consequence, we get for every  $i \in \mathbb{N}$  that

$$i \leq m(\mathcal{C}_{\text{all}}^{(i)}) \leq i + \mathcal{C}_{\text{all}}(\mathcal{C}_{\text{all}}^{(i)}) - 1;$$
 (2)

## 4. Algorithms

i	1	2	3	4	5	6	7	
$l_i$	10	8	8	8	5	4	4	• • •
$m(l_i)$	1	4	4	4	5	7	7	

**Figure 4:** An example illustrating eq. (2) with  $l_i = \mathcal{C}_{\rm all}^{(i)}$  for some suitable instance. Note that the lower bound is tight for  $i \in \{1,5\}$  and the upper for i=2.

see Figure 4 for a sketch of the situation. Feasibility of  $l := \mathcal{C}_{\text{all}}^{(k)}$  follows immediately. Now let  $\hat{l} := \min\{l' \in \mathcal{C}_{\text{all}} \mid l' > l\}$ ; we see that

$$m(\hat{l}) \stackrel{(1)}{=} m(l) - \boldsymbol{C}_{\mathrm{all}}(l) \stackrel{(2)}{\leq} k + \boldsymbol{C}_{\mathrm{all}}(l) - 1 - \boldsymbol{C}_{\mathrm{all}}(l) = k - 1$$

and therefore  $\hat{l}$  is infeasible. By the choice of  $\hat{l}$  and monotonicity of Feasible (cf. Lemma 3.1) we get that  $l = \mathcal{C}_{\text{all}}^{(k)}$  is indeed the largest feasible candidate; this concludes the proof via Corollary 3.2 and Lemma 3.5.

Of course, we want to select from a small candidate set such as those we saw above; surely, selecting the kth largest element from these is not correct, in general. Also, not all restrictions may allow us to select because if we miss an  $L_i/j$  between two others, we may count wrong. The relation carries over to admissible restrictions with only small adaptions, though.

Corollary 4.5: Let  $\mathcal{C} = \mathcal{C}(I, f_l, f_u)$  be an admissible candidate multiset. Then,

$$l^{\star} = \mathbf{C}^{(k')}$$

with 
$$k' = k - \sum_{i \in I} [f_l(i) - 1]$$
.

**Proof:** With multiset

$$\mathbf{M} \ := \ \biguplus_{i \in I} \{L_i/j \mid i \in I, j < f_l(i)\},$$

we get by Lemma 3.6 ii) and Lemma 3.1 that

$$\mathcal{C} \cap [l^{\star}, \infty) = (\mathcal{C}_{\text{all}} \cap [l^{\star}, \infty)) \setminus \mathbf{M}.$$

In addition, we know from Lemma 4.4 that

$$(\mathcal{C}_{\mathrm{all}} \cap [l^{\star}, \infty))^{(k)} = l^{\star}.$$

Since M contains only infeasible candidates (cf. Lemma 3.6 i) and Lemma 3.1), we also have that

$$\mathbf{M} \subset (l^{\star}, \infty),$$

and by definition

$$\mathbf{M} \cap \mathcal{C} = \emptyset$$
.

The claim

$$l^{\star} = \mathcal{C}_{\mathrm{all}}^{(k)} = \mathcal{C}^{(k-|\mathbf{M}|)}$$

follows by counting.

Hence, we can use any of the candidate sets we have investigated above. Instead of binary search we determine  $l^*$  by selecting the k'th largest element according to Corollary 4.5. Since selection takes only linear time we save a logarithmic factor compared to SearchLstar.

We give the full algorithm for completeness; note that steps 1 and 2 have not changed compared to SearchLstar.

Algorithm 3: SelectLstar $\langle f_l, f_u \rangle (\mathbf{L}, k)$ :

- 1. Compute  $n' := \min(k, n+1)$ .
- 2. If  $n' \leq n$ :
  - 2.1. Determine  $L_{co} := L^{(n')}$ , i. e. the n'th largest length.
  - 2.2. If  $L_{co}$  is optimal, answer  $l^* = L_{co}$  (and terminate).
- 2'. Otherwise (i. e. n' > n):

2.3. Set 
$$L_{co} := 0$$
.

- 3. Assemble  $I_{co} := I_{>L_{co}}$ .
- 4. Compute  $\mathcal{C} := \mathcal{C}(I_{co}, f_l, f_u)$  as multiset.
- 5. Determine  $k' := k \sum_{i \in I_{co}} [f_l(i) 1]$ .
- 6. Answer  $l^* := \mathcal{C}^{(k')}$ .

## Theorem 4.6:

Let  $(I_{co}, f_l, f_u)$  be an admissible restriction where  $f_l$  and  $f_u$  can be evaluated in time O(1).

Then, SelectLstar $\langle f_l, f_u \rangle$  solves Envy-Free Stick Division in time and space  $\Theta(n + |\mathcal{C}|)$ .

**Proof:** Correctness is clear from Lemma 5.2 and Corollary 4.5.

We borrow from the resource analysis of Theorem 4.1 with the following changes.

- ad 4: We do not sort  $\mathcal{C}$ , so creating the multiset takes only time  $\Theta(|\mathcal{C}|)$ ; the result takes up space  $\Theta(|\mathcal{C}|)$ , too, though.
- ad 5,6: Instead of binary search on  $\mathcal{C}$  with repeated evaluation of Feasible, we just have to compute k' (which clearly takes time  $\Theta(|I_{co}|)$ ) and then select the k'th largest element from  $\mathcal{C}$ . This takes time  $\Theta(|\mathcal{C}|)$  using e.g. the median-of-medians algorithm [Blu+73].

The resource requirements of the other steps remain unchanged, that is  $\Theta(n)$ . The bounds we claim in the corollary follow directly.

Is has become clear now that decreasing the number of candidates is crucial for solving Envy-Free Stick Division quickly, provided we do not drop  $l^*$  along the way. We now endeavor to do so by choosing better admissible bounding functions.

# 5. Reducing the Number of Candidates

We can decrease the number of candidates significantly by observing the following. Whenever we cut  $L^{(i)}$  (which is the *i*th largest length) into j pieces of length  $L^{(i)}/j$  each, we also get at least j pieces of the same length from each of the longer sticks. In total, this makes for at least  $i \cdot j$  pieces of length  $L^{(i)}/j$ ; see Figure 5 for a visualization. By rearranging the inequality  $k \geq i \cdot j$ , we obtain a new admissible bound on j. For the algorithm, we have to sort  $I_{co}$ , though, so that  $L_i = L^{(i)}$ .

**Example 2.1 Continued:** For  $L_{ex}$  and k = 9, we get

$$\mathcal{C}(I_{\text{co}}, 1, \lceil k/i \rceil) = \left\{ \frac{8}{1}, \frac{8}{2}, \frac{8}{3}, \frac{8}{4}, \frac{8}{5}, \frac{8}{6}, \frac{8}{7}, \frac{8}{8}, \frac{8}{9}, \frac{7}{1}, \frac{7}{2}, \frac{7}{3}, \frac{7}{4}, \frac{7}{5}, \frac{6}{1}, \frac{6}{2}, \frac{6}{3} \right\},\,$$

that is 17 candidates (16 distinct ones); compare to  $|\mathcal{C}(I_{co}, 1, k)| = 36$ ).

**Lemma 5.1:** Assume that  $L_{co} \neq l^*$  and  $I_{co}$  is sorted w.r.t. decreasing lengths.

Then,  $\mathcal{C}(I_{\text{co}}, 1, \lceil k/i \rceil)$  is admissible.

Furthermore,  $|\mathcal{C}(I_{co}, 1, \lceil k/i \rceil)| \in \Theta(k \cdot \log(\min(k, n)))$  in the worst case.

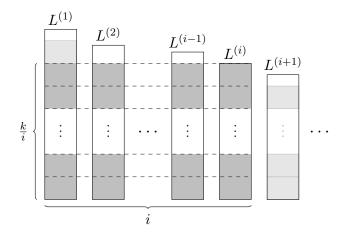
**Proof:** Again, we start by showing that  $(I_{co}, 1, \lceil k/i \rceil)$  is an admissible restriction.

ad i), iii): Similar to the proof of Lemma 3.8.

ad ii): Because  $I_{co}$  is sorted, we have  $L_i = L^{(i)}$  and  $L_{i'} \ge L_i$  for  $i' \le i$ . Therefore, we get for all the  $l = L_i/f_u(i) = L_i \cdot \lceil k/i \rceil^{-1}$  with  $i \in I_{co}$  that

$$m(L) = \sum_{i'=1}^{n} \left\lfloor \frac{L_{i'}}{l} \right\rfloor \geq \sum_{i'=1}^{i} \left\lfloor \frac{L_{i}}{l} \right\rfloor = \sum_{i'=1}^{i} \left\lfloor \left\lceil \frac{k}{i} \right\rceil \right\rfloor \geq k.$$

## 5. Reducing the Number of Candidates



**Figure 5:** When considering cut lengths  $L^{(i)}/j$ , no j larger than  $\lceil k/i \rceil$  is relevant. The sketch shows a cutting with  $L^{(i)}$  and j=k/i. Note how we have k maximal pieces for sure (dark); there may be many more (light).

This concludes the proof of the first claim.

For the size bound, let for short  $\mathcal{C} := \mathcal{C}(I_{\text{co}}, 1, \lceil k/i \rceil)$ . Clearly,  $|\mathcal{C}| = \sum_{i \in I_{\text{co}}} \lceil k/i \rceil$  (cf. Definition 3.4). With  $|I_{\text{co}}| = n' - 1 = \min(n, k - 1)$  in the worst-case (cf. the proof of Lemma 3.8), the  $\Theta(k \log n')$  bound on  $|\mathcal{C}|$  follows from

$$|\mathcal{C}| = \sum_{i=1}^{n'-1} \left\lceil \frac{k}{i} \right\rceil \le n' + \sum_{i=1}^{n'} \frac{k}{i} = n' + k \cdot H_{n'} \in \Theta(k \log n')$$

and

$$|\mathcal{C}| = \sum_{i=1}^{n'-1} \left\lceil \frac{k}{i} \right\rceil \ge \sum_{i=1}^{n'-1} \frac{k}{i} = k \cdot H_{n'-1} \in \Theta(k \log n')$$

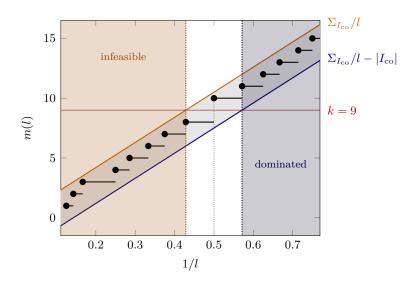
with the well-known asymptotic  $H_k \sim \ln k$  of the harmonic numbers [GKP94, eq. (6.66)].

Combining Theorem 4.6 and Lemma 5.1 we have obtained an algorithm that takes time and space  $\Theta(n + k \cdot \log(\min(k, n)))$ . This is already quite efficient. By putting in some more work, however, we can save the last logarithmic factor that separates us from linear time and space.

## 5.1. Sandwich Bounds

Lemma 3.6 gives us some idea about what criteria we can use for restricting the set of lengths we investigate. We will now try to match these criteria as exactly as possible,

## 5. Reducing the Number of Candidates



**Figure 6:** The number of maximal pieces m(l) in the reciprocal of cut length l for  $(\mathbf{L}_{\mathrm{ex}},9)$  as defined in Example 2.1. Note how we can exclude all but three candidates (the filled circles) in a narrow corridor around  $1/l^{\star}=0.5$ , defined by the points at which the bounds from (3) attain k=9, namely  $\underline{l}=1.75$  and  $\overline{l}=2.\overline{3}$ .

deriving an interval  $[\underline{l}, \overline{l}] \subseteq \mathbb{Q}_{>0}$  that includes  $l^*$  and is as small as possible; from these, we can infer almost as tight bounds  $(f_l, f_u)$ .

Assume we have some length  $L < l^*$  and consider only lengths l > L. We have seen in Lemma 3.3 that we can then restrict ourselves to lengths from  $I_{>L}$  when computing m(l). Now, from the definition of m it is clear that we can sandwich m(l) by

$$\sum_{i \in I_{>L}} \frac{L_i}{l} - 1 < m(l) \leq \sum_{i \in I_{>L}} \frac{L_i}{l}$$

for l > L. We denote for short  $\Sigma_I := \sum_{i \in I} L_i$  for any  $I \subseteq [1..n]$ ; rearranging terms, we can thus express these bounds more easily, both with respect to notational and computational effort. We get

$$\frac{\Sigma_{I_{>L}}}{l} - |I_{>L}| \quad < \quad m(l) \quad \le \quad \frac{\Sigma_{I_{>L}}}{l} \tag{3}$$

for all l > L. Note that L = 0 is a valid choice, as then simply  $I_{>L} = [1..n]$ .

Rearranging these inequalities "around" m(l) = k yields bounds on  $l^*$ , which we can translate into bounds  $(f_l, f_u)$  on j (cf. Definition 3.4). We lose some precision because we round to integer bounds but that adds at most a linear number of candidates. A small technical hurdle is to ensure that both bounds are greater than our chosen L so that we can apply the sandwich bounds (3) in our proof.

**Lemma 5.2:** Let  $L_{co}$  and  $I_{co}$  be defined as in Definition 3.7, and

5. Reducing the Number of Candidates

• 
$$\underline{l} := \max \left\{ L_{\text{co}}, \frac{\Sigma_{I_{\text{co}}}}{k + |I_{\text{co}}|} \right\}$$
 and

• 
$$\bar{l} := \frac{\Sigma_{I_{\text{co}}}}{k}$$
.

Then,  $(I_{co}, p(L_i, \bar{l}), p(L_i, \underline{l}))$  is admissible.

**Proof:** First, we determine what we know about our length bounds. Recall that  $I_{\text{co}} = I_{>L_{\text{co}}} \neq \emptyset$  and  $L_{\text{co}}$  is not optimal.

We see that  $\underline{l}$  is feasible by calculating

$$m(\underline{l}) \begin{cases} \stackrel{(3)}{>} \frac{\Sigma_{I_{\text{co}}}}{\underline{l}} - |I_{\text{co}}| &= \frac{\Sigma_{I_{\text{co}}}}{\Sigma_{I_{\text{co}}}} - |I_{\text{co}}| &= k, \quad \underline{l} > L_{\text{co}}, \\ = m(L_{\text{co}}) \geq k, & \underline{l} = L_{\text{co}} > 0, \end{cases}$$

$$(4)$$

using in the second case that  $L_{\rm co}$  is feasible. For the upper bound, we first note that because  $L_{\rm co}$  is not optimal, there is some  $\delta > 0$  with

$$\Sigma_{I_{co}} \geq k(L_{co} + \delta) > kL_{co},$$

from which we get by rearranging that  $\bar{l} > L_{\rm co}$ . Therefore, we can bound

$$m(\bar{l} + \varepsilon) \stackrel{(3)}{\leq} \frac{\Sigma_{I_{\text{co}}}}{\bar{l} + \varepsilon} < \frac{\Sigma_{I_{\text{co}}}}{\bar{l}} = \frac{\Sigma_{I_{\text{co}}}}{\frac{\Sigma_{I_{\text{co}}}}{\bar{l}}} = k$$
 (5)

for any  $\varepsilon > 0$ , that is any length larger than  $\bar{l}$  is infeasible. Note in particular that, in every case,  $\bar{l} > \underline{l}$  so we always have a non-empty interval to work with.

We now show the conditions of Lemma 3.6 one by one.

ad i) Let  $i \in I_{co}$ . If  $p(L_i, \bar{l}) = 1$  the condition is trivially fulfilled. In the other case, we calculate

$$l := \frac{L_i}{p(L_i, \bar{l}) - 1} = \frac{L_i}{\lceil L_i/\bar{l} \rceil - 1} > \frac{L_i}{L_i/\bar{l}} = \bar{l}$$

and therewith m(l) < k by (5).

ad ii) Let  $i \in I_{co}$  again. We calculate

$$l \ := \ \frac{L_i}{p(L_i,\underline{l})} \ = \ \frac{L_i}{\lceil L_i/\underline{l} \rceil} \ \leq \ \frac{L_i}{L_i/\underline{l}} \ = \ \underline{l}$$

which implies by Lemma 3.1 that

$$m(l) \geq m(\underline{l}) \stackrel{(4)}{\geq} k.$$

ad iii) See the proof of Lemma 3.8.

**Example 2.1 Continued:** For  $L_{ex}$  and k = 9, we get

$$\mathcal{C}(I_{co}, p(L_i, \bar{l}), p(L_i, \underline{l})) = \left\{\frac{8}{4}, \frac{8}{5}, \frac{7}{4}, \frac{7}{3}, \frac{6}{3}, \frac{6}{4}\right\},\,$$

that is six candidates (five distinct ones); compare to  $|\mathcal{C}(I_{\text{co}}, 1, \lceil k/i \rceil)| = 17$  and  $|\mathcal{C}(I_{\text{co}}, 1, k)| = 36$ . See Figure 6 for a visualization of the effect our bounds have on the candidate set; note that we keep some additional candidates smaller than l.

We see in this example that the bounds from Lemma 5.2 are not as tight as could be;  $\mathcal{C}(I_{\text{co}}, p(L_i, \bar{l}), p(L_i, \underline{l})) \cap [\underline{l}, \bar{l}]$  can be properly smaller (but not by more than one element per  $L_i$ ), and since  $l^* \in [\underline{l}, \bar{l}]$  it is still a valid candidate set.

We stick with the slightly larger set here for conciseness of the proofs, but remark that omitting lengths outside the interval  $[\underline{l}, \overline{l}]$  is safe. We have defined admissibility in a way that is local to each  $L_i$  — we require to envelop  $l^*$  for each length in isolation: in particular, condition ii) ensures we include at least one j for every  $L_i$ , so that  $L_i/j$  is feasible. We thus have no way to express global length bounds  $[\underline{l}, \overline{l}]$  in this framework: although lengths smaller than  $\underline{l}$  are dominated, the upper bound  $f_u = i \mapsto \lfloor L_i/\underline{l} \rfloor$  is not admissible in the sense of Lemma 3.6 because it might for some sticks not add a single feasible length.

Nevertheless, we have obtained yet another admissible restriction and, as it turns out, it is good enough to achieve a linear candidate set. Only some combinatorics stand between us and our next corollary.

**Lemma 5.3:**  $|\mathcal{C}(I_{co}, p(L_i, \bar{l}), p(L_i, \underline{l}))| \in \Theta(\min(k, n))$  in the worst case.

**Proof:** Recall that  $|I_{co}| = \min(k-1, n)$  in the worst case (cf. the proof of Lemma 3.8). The upper bound on  $|\mathcal{C}|$  then follows from the following calculation:

$$\begin{aligned} |\mathcal{C}| &= \sum_{i \in I_{co}} \left[ p(L_i, \underline{l}) - p(L_i, \overline{l}) + 1 \right] \\ &= |I_{co}| + \sum_{i \in I_{co}} \left[ \frac{L_i}{\underline{l}} \right] - \sum_{i \in I_{co}} \left[ \frac{L_i}{\overline{l}} \right] \\ &\leq |I_{co}| + \sum_{i \in I_{co}} \left[ \frac{L_i}{\underline{l}} + 1 \right] - \sum_{i \in I_{co}} \frac{L_i}{\overline{l}} \\ &= |I_{co}| + \Sigma_{I_{co}} \cdot \frac{k + |I_{co}|}{\Sigma_{I_{co}}} + |I_{co}| - \Sigma_{I_{co}} \cdot \frac{k}{\Sigma_{I_{co}}} \\ &= 3 \cdot |I_{co}|. \end{aligned}$$

A similar calculation shows the lower bound  $|\mathcal{C}| \geq |I_{\text{co}}|$ .

If we use  $f_u = i \mapsto \lfloor L_i/\underline{l} \rfloor$ , the candidate set is even smaller, namely  $|\mathcal{C}| \leq 2|I_{\text{co}}|$ .

## 6. Conclusion

$(f_l, f_u)$	SearchLstar $\langle f_l, f_u \rangle$	SelectLstar $\langle f_l, f_u \rangle$
(1,k)	$\Theta(kn\log k)$	$\Theta(kn)$
$(1,\lceil k/i\rceil)^9$	$\Theta(k\log(k)\log(n))$	$\Theta(k \log n)$
$(p(L_i, \bar{l}), p(L_i, \underline{l}))$	$\Theta(n \log n)$	$\Theta(n)$

**Table 1:** Assuming  $k \ge n$ , the table shows the worst-case runtime bounds shown above for the combinations of algorithm and bounding functions.

## 6. Conclusion

We have given a formal definition of Envy-Free Stick Division, derived means to restrict the search for an optimal solution to a small, discrete space of candidates, and developed algorithms that perform this search efficiently. Table 1 summarizes the asymptotic runtimes of the combinations of candidate space and algorithm.

All in all, we have shown the following complexity bounds on our problem.

**Corollary 6.1:** Envy-Free Stick Division can be solved in time and space O(n).

**Proof:** Algorithm SelectLstar $\langle p(L_i, \bar{l}), p(L_i, \underline{l}) \rangle$  serves as a witness via Theorem 4.6, Lemma 5.2 and Lemma 5.3.

A simple adversary argument shows that a sublinear algorithm is impossible; since the input is not sorted, adding a sufficiently large stick breaks any algorithm that does not consider all sticks. We have thus found an asymptotically optimal algorithm.

Given its easy structure and elementary nature – we need but two calls to a selection algorithm, and in fact just a single one for the typical case  $k \ge n$  – our method is also hard to beat in practice (as reported in the introduction and shown in [RW15] for the apportionment variant of the algorithm).

# **Acknowledgments**

Erel Segal-Halevi<sup>10</sup> posed the original question [SH14] on Computer Science Stack Exchange. Our approach is based on observations in the answers by Abhishek Bansal (user1990169<sup>11</sup>), InstructedA<sup>12</sup> and FrankW<sup>13</sup>. Hence, even though the eventual algorithm

<sup>&</sup>lt;sup>9</sup>The additional time  $\Theta(|I_{co}|\log|I_{co}|)$  necessary for sorting  $I_{co}$  as required by Lemma 5.1 is always dominated by generating  $\mathcal{C}$ .

<sup>10</sup>http://cs.stackexchange.com/users/1342/

<sup>11</sup>http://cs.stackexchange.com/users/19311/

<sup>12</sup>http://cs.stackexchange.com/users/20169/

<sup>13</sup>http://cs.stackexchange.com/users/13022/

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and its presentation have been developed and refined offline with the use of a blackboard and lots of paper, the result has been the product of a small "crowd" collaboration made possible by the Stack Exchange platform.

We thank Chao Xu for pointing us towards the work by Cheng and Eppstein [CE14], and for providing the observation we utilize in Section 4.1.

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# A. Notation Index

In this section, we collect the notation used in this paper. Some might be seen as "standard", but we think including them here hurts less than a potential misunderstanding caused by omitting them.

# **Generic Mathematical Notation**

[1n]
$\lfloor x \rfloor$ , $\lceil x \rceil$ floor and ceiling functions, as used in [GKP94].
$\ln n \dots \dots \dots$ natural logarithm.
$\log^2 n \dots \dots (\log n)^2$
$H_n \ldots \ldots n$ th harmonic number; $H_n = \sum_{i=1}^n 1/i$ .
$p_n \dots \dots n$ th prime number.
${f A}$ multisets are denoted by bold capital letters.
$\mathbf{A}(x)$ multiplicity of $x$ in $\mathbf{A}$ , i. e., we are using the function notation of multisets here.
$\mathbf{A} \uplus \mathbf{B} \ldots \ldots$ . multiset union; multiplicities add up.
$\mathbf{L}^{(k)}$
Example: For $\mathbf{L} = \{10, 10, 8, 8, 8, 5\}$ , we have $\mathbf{L}^{(1)} = \mathbf{L}^{(2)} = 10$ , $\mathbf{L}^{(3)} = \mathbf{L}^{(4)} = \mathbf{L}^{(5)} = 8$ and $\mathbf{L}^{(6)} = 5$ .

# **Notation Specific to the Problem**

stick	. one of the lengths of the input, before any cutting.
piece	. one of the lengths after cutting; each piece results from one input stick after some cutting operations.
$\text{maximal piece} \ldots .$	. piece of maximal length (after cutting).
$n \dots \dots$	. number of sticks in the input.
$\mathbf{L}, L_i, L \dots \dots$	. $\mathbf{L} = \{L_1, \dots, L_n\}$ with $L_i \in \mathbb{Q}_{>0}$ for all $i \in [1n]$ contains the lengths of the sticks in the input. We use $L$ as a free variable that represents (bounds on) input stick lengths.
<i>k</i>	. $k \in \mathbb{N}$ , the number of maximal pieces required.

# B. On the Number of Distinct Candidates

<i>l</i>	. free variable that represents (bounds on) candidate cut lengths; by Lemma 3.1 only $l=L_i/j$ for $j\in\mathbb{N}$ have to be considered.
<i>l</i> *	. the optimal cut length, i. e., the cut length that yields at least $k$ maximal pieces while minimizing the total length of non-maximal (i. e. waste) pieces.
c(L,l)	, the number of cuts needed to cut stick $L$ into pieces of lengths $\leq l;$ $c(L,l)=\lceil \frac{L}{l}-1 \rceil.$
m(L,l)	, the number of maximal pieces obtainable by cutting stick $L$ into pieces of lengths $\leq l;\ m(L,l)=\lfloor\frac{L}{l}\rfloor.$
p(L,l)	. the minimal total number of pieces resulting from cutting stick $L$ into pieces of lengths $\leq l;\ p(L,l)=\lceil\frac{L}{l}\rceil.$
$c(l) = c(\mathbf{L}, l) \dots$	. total number of cuts needed to cut all sticks into pieces of lengths $\leq l;$ $c(\mathbf{L},l)=\sum_{L\in\mathbf{L}}c(L,l).$
$m(l) = m(\mathbf{L}, l)$	. total number of maximal pieces resulting from cutting stick $L$ into pieces of lengths $\leq l; m(\mathbf{L},l) = \sum_{L \in \mathbf{L}} m(L,l)$ .
${\it Feasible}(l) = {\it Feasible}$	$(\mathbf{L}, k, l)$ indicator function that is 1 when $l$ is a feasible length and 0 otherwise; Feasible $(\mathbf{L}, k, l) = [m(\mathbf{L}, l) \geq k]$ .
$\mathcal{C}(I,f_l,f_u)$	. multiset of candidate lengths $L_i/j$ , restricted by the index set $I$ of considered input sticks $L_i$ , and lower resp. upper bound on $j$ ; cf. Definition 3.4 (page 17).
$\mathcal{C}_{ ext{all}}$	. The unrestricted (infinite) candidate set $\mathcal{C}_{\mathrm{all}} = \mathcal{C}([1n], 1, \infty)$ .
admissible restriction	$(I, f_l, f_u)$ sufficient conditions on restriction $(I, f_l, f_u)$ to ensure that Envy-Free Stick Division $\in \mathcal{C}(I, f_l, f_u)$ ; cf. Lemma 3.6 (page 17).
$I_{>L}$	. the set of indices of input sticks $L_i > L$ ; cf. Lemma 3.3.
$I_{\rm co},L_{\rm co}$	. $I_{\rm co}=I_{>L_{\rm co}}$ is our canonical index set with cutoff length $L_{\rm co}$ the $k$ th largest input length; cf. Definition 3.7 (page 18).
$\Sigma_I$	. assuming $I \subseteq [1n]$ , this is a shorthand for $\sum_{i \in I} L_i$ .
$\underline{l}, \overline{l} \dots \dots$	. lower and upper bounds on candidate lengths $\underline{l} \leq l \leq \overline{l}$ so that $l^{\star} \in \mathcal{C}_{\text{all}} \cap [\underline{l}, \overline{l}]$ ; see Lemma 5.2 (page 27).

# B. On the Number of Distinct Candidates

As mentioned in Section 4, algorithm SearchLstar can profit from removing duplicates from the candidate multisets during sorting. We will show in the subsequent proofs that none of the restrictions introduced above cause more than a constant fraction of all candidates to be duplicates.

We denote with  $\mathcal{C}(...)$  the set obtained by removing duplicates from the multiset  $\mathcal{C}(...)$  with the same restrictions.

**Lemma B.1:**  $|\mathcal{C}(I_{co}, 1, k)| \in \Theta(|\mathcal{C}(I_{co}, 1, k)|)$  in the worst case.

**Proof:** Let for short  $C := |\mathcal{C}(I_{\text{co}}, 1, k)|$  and  $\mathcal{C} := \mathcal{C}(I_{\text{co}}, 1, k)$ . It is clear that  $C \leq |\mathcal{C}|$ ; we will show now that  $C \in \Omega(|\mathcal{C}|)$  in the worst case.

Consider instance

$$\mathbf{L}_{\text{primes}} = \{p_n, \dots, p_1\}$$

with  $p_i$  the *i*th prime number and any  $k \in \mathbb{N}$ ; note that  $L_i = p_{n-i+1}$ . Let for ease of notation  $n' := \min(k, n+1)$ ; note that  $L_{\text{co}} = \mathbf{L}_{\text{primes}}^{(n')}$  if  $k \leq n$ . We have  $|I_{\text{co}}| = \min(k-1, n)$  because the  $L_i$  are pairwise distinct, and therefore  $|\mathcal{C}| = k|I_{\text{co}}| = k(n'-1)$ . Since the  $L_i$  are also pairwise coprime, all candidates  $L_i/j$  for which j is not a multiple of  $L_i$  are pairwise distinct. Therefore, we get

$$C \ge |\mathcal{C}| - \sum_{i=n-n'+2}^{n} \left\lfloor \frac{k}{p_i} \right\rfloor$$

$$\ge |\mathcal{C}| - \sum_{i=n-n'+2}^{n} \frac{k}{p_i}$$

$$= |\mathcal{C}| - (n'-1)k \cdot \sum_{i=n-n'+2}^{n} \frac{1}{p_i}$$

$$\ge |\mathcal{C}| - |\mathcal{C}| \cdot \frac{n'}{p_{n'}}$$

$$\ge |\mathcal{C}| - |\mathcal{C}| \cdot \frac{2}{3}$$

$$= \frac{|\mathcal{C}|}{3}.$$

In particular, we can show that  $k/p_k \le 2/3$  by  $k/p_k < 0.4$  for  $k \ge 20$  [GKP94, eq. (4.20)] and checking all k < 20 manually; the maximum is attained at k = 2.

**Lemma B.2:**  $|\mathcal{C}(I_{\text{co}}, 1, \lceil k/i \rceil)| \in \Theta(|\mathcal{C}(I_{\text{co}}, 1, \lceil k/i \rceil)|)$  in the worst case.

**Proof:** Let for short  $C := |\mathcal{C}(I_{\text{co}}, 1, \lceil k/i \rceil)|$  and  $\mathcal{C} := \mathcal{C}(I_{\text{co}}, 1, \lceil k/i \rceil)$ . It is clear that  $C \leq |\mathcal{C}|$ ; we will show now that  $C \in \Omega(|\mathcal{C}|)$  in the worst case.

We make use of the same instance ( $\mathbf{L}_{\text{primes}}, k$ ) we used in the proof of Lemma B.1, with a similar calculation:

$$C = |\mathcal{C}| - \sum_{i=n-n'+2}^{n} \left\lfloor \frac{\lceil k/i \rceil}{p_i} \right\rfloor$$

$$\geq |\mathcal{C}| - \sum_{i=n-n'+2}^{n} \frac{\frac{k}{i}+1}{p_i}$$

$$\geq |\mathcal{C}| - \frac{n'-1}{p_{n'-1}} \cdot \left(1 + \frac{k}{n'-1}\right)$$

$$\geq |\mathcal{C}| - \frac{2}{3} \cdot \left(1 + \frac{k}{n'-1}\right)$$

$$\in \Theta(|\mathcal{C}|)$$

because  $k/n' \in o(k \log n') = o(|\mathcal{C}|)$ .

**Lemma B.3:**  $|\mathcal{C}(I_{\text{co}}, p(L_i, \bar{l}), p(L_i, \underline{l}))| \in \Theta(|\mathcal{C}(I_{\text{co}}, p(L_i, \bar{l}), p(L_i, \underline{l}))|)$  in the worst case.

**Proof:** Let again for short  $C := |\mathcal{C}(I_{co}, p(L_i, \bar{l}), p(L_i, \underline{l}))|$  and  $\mathcal{C} := \mathcal{C}(I_{co}, p(L_i, \bar{l}), p(L_i, \underline{l})).$  It is clear that  $C \leq |\mathcal{C}|$ ; we will show now that  $C \in \Omega(|\mathcal{C}|)$  in the worst case.

We make use of our trusted instance ( $\mathbf{L}_{\text{primes}}, k$ ) again. We show that very prime yields at least one candidate unique to itself, as long as k is constant (which is sufficient for a worst-case argument).

Recall that  $\bar{l} > \underline{l}$  so every  $L_i$  has some j; we note furthermore that for fixed  $i \in I_{co}$ ,

$$j \leq p(L_i, \underline{l}) = \left\lceil L_i \middle/ \frac{\Sigma_{I_{\text{co}}}}{k + |I_{\text{co}}|} \right\rceil = \left\lceil p_i \cdot \frac{k + |I_{\text{co}}|}{\sum_{i' \in I_{\text{co}}} p_{i'}} \right\rceil \leq \left\lceil p_i \cdot \frac{2k}{p_n} \right\rceil \leq 2k < L_i$$

for big enough n, in particular because  $p_n \sim n \ln n$  [GKP94, p110]. That is, every  $L_i$  with  $i \in I_{co}$  yields at least one  $L_i/j$  no other does, since all  $L_i$  are co-prime. Hence  $C \geq |I_{co}| \in \Theta(|\mathcal{C}|)$ .