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# A meta-algorithm for finding large k-plexes

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#### **Abstract**

We focus on the automatic detection of communities in large networks, a challenging problem in many disciplines (such as sociology, biology, and computer science). Humans tend to associate to form families, villages, and nations. Similarly, the elements of real-world networks naturally tend to form highly connected groups. A popular model to represent such structures is the clique, that is, a set of fully interconnected nodes. However, it has been observed that cliques are too strict to represent communities in practice. The *k*-plex relaxes the notion of clique, by allowing each node to miss up to *k* connections. Although *k*-plexes are more flexible than cliques, finding them is more challenging as their number is greater. In addition, most of them are small and not significant. In this paper we tackle the problem of finding only large *k*-plexes (i.e., comparable in size to the largest clique) and design a *meta-algorithm* that can be used on top of known enumeration algorithms to return only significant *k*-plexes in a fraction of the time. Our approach relies on: (1) methods for strongly reducing the search space and (2) decomposition techniques based on the efficient computation of maximal cliques. We demonstrate experimentally that known enumeration algorithms equipped with our approach can run orders of magnitude faster than full enumeration.

#### 1 Introduction

One of the most studied problems for the analysis of fundamental properties of large networks is the automatic detection of *communities*, that is, groups of highly interconnected nodes [17].

A formal and strict way of defining a community is the *clique*, a set of nodes in a network connected by all possible edges among them. However, it has been observed that cliques are too rigid to use in practice [22]. A more appropriate notion in many practical cases is the k-plex: a set of nodes such that each of them is linked to all the others, except at most k. For example, for k = 1, k-plexes are cliques as each node misses only the link to itself, for k = 2, each node may miss the link to one neighbor (and itself), and so on. Hence, k-plexes are a simple and intuitive generalization of cliques.

The problem of finding k-plexes arises in several application domains, including social network analysis [2] and more in general graph-based data mining [5,22,29]. Unfortunately,



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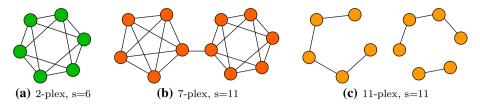


Fig. 1 Let s denote the number of nodes of a k-plex. The 2-plex in  $\mathbf{a}$  displays high density and small diameter like typical communities. The 7-plex in  $\mathbf{b}$  and the 11-plex in  $\mathbf{c}$  display higher diameter and lower density, and thus cannot yielding any meaningful community in practice

the detection of all maximal k-plexes in a network is not practical, being hindered by three main problems:

- maximal k-plexes are even more numerous than maximal cliques;
- most k-plexes are small and not significant;
- state-of-the-art algorithms for computing maximal k-plexes (such as [5]) are far more inefficient than the available algorithms to compute cliques (such as [24]).

In this paper we propose a solution to the three problems above. Namely, we show that if we restrict the search to *large k*-plexes, which are the most meaningful in practice, we can devise efficient algorithms to detect them.

Indeed, computing all maximal k-plexes produces too many insignificant results when the purpose is that of detecting communities. In this respect, it is useful to focus on the relationship between s, the size of a k-plex, and k itself. Starting from k=1, which corresponds to cliques, by increasing the value of k, we obtain progressively sparser communities that are clearly less interesting in practice, as it can be observed in Fig. 1. In addition, there is a dramatic effect on small k-plexes: it is trivial that if  $s \le k$  a k-plex can be composed of isolated nodes, but, as we discuss in Sect. 6, even if s < 2k the k-plex can be disconnected (Corollary 1). In these cases, small k-plexes do not correspond to communities. In particular, in order to avoid finding the degenerate k-plexes mentioned above, it is natural to impose at least that  $s \ge 2k$ . On the other hand, using an enumeration algorithm and then filtering small k-plexes implies long waiting times.

In this context, our strategy for finding large k-plexes relies on two main observations. First, the complexity of the problem can be efficiently reduced in the vast majority of cases on the basis of certain properties of large k-plexes. This allows us to filter out a large portion of the network before starting the search. The second consideration is that we can find all k-plexes of size at least m, where m is a user-defined threshold, by looking just in the neighborhood of cliques of a size that depends on k and m. Hence, it turns out that the knowledge of maximal cliques in a network provides a hint for finding all the significant k-plexes. We note that the state-of-the-art techniques to compute all maximal cliques are able to scale up to millions of nodes [7,10].

In sum, our contributions are the following.

- We identify three criteria, which we call CLIQUENESS, CORENESS and OVERLAPPING-CLIQUES to filter out portions of the network that cannot contain k-plexes. These criteria, introduced in the conference version of this paper [11], have inspired recent algorithms for enumerating or searching k-plexes in large graphs [15,18].
- We propose a decomposition strategy of the network into overlapping blocks that can be processed independently. This decomposition is based on maximal cliques, which can



be efficiently computed, and is, to the best of our knowledge, the first decomposition proposed for k-plex detection.

- Based on the above ingredients, we present a technique to efficiently detect all *maximal* k-plexes whose size is at least a given threshold.
- As an application of the detection technique, we devise an algorithm to efficiently find the maximum k-plex of a network.
- We provide an experimental analysis on real-world networks. In particular: (1) We consider different clique size distributions and show the effectiveness of our filtering criteria; (2) We show the superiority of our filtering technique over a variant in [15]; (3) We study the sensitivity of our approach with respect to the number of k-plexes; (4) We finally compare our technique to the full enumeration approach. It turns out that the techniques introduced in this paper are able to speed up the computation with respect to the state of the art, increasing the size of the networks for which computing maximal k-plexes is a feasible task by several orders of magnitude.

**Outline.** The rest of this paper is organized as follows. Section 2 contains an overview of our approach and results. Sections 3 to 5 describe in detail our approach to find all largest k-plexes in the network and all the most significant k-plexes, respectively. Section 6 contains the theoretical basis of our algorithms. The efficiency of our algorithms is experimentally measured in Sect. 7. Finally, Sections 8 and 9 contain related work and our concluding remarks.

#### 2 Overview

As mentioned in the introduction, our approach is based on two main ideas: (1) before starting the search for k-plexes, we can filter out a relevant portion of the network in which necessary conditions for the presence of large k-plexes do not hold, and (2) in large networks, cliques can drive the search for k-plexes. While the first point provides an effective way to simplify the problem at hand, the second can lead to an efficient strategy for finding k-plexes.

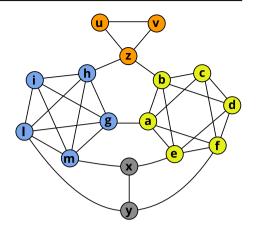
As we noted in the introduction, an exhaustive search of all k-plexes does not make much sense, since very small k-plexes are not significant, to the point that they may even be disconnected (if their size is less than 2k) or composed by a set of isolated nodes (if their size is less than k + 1).

**Global filtering criteria.** Consider, for instance, the network in Fig. 2, which we will use as a running example in this section. Let us focus on the problem of finding all k-plexes of size at least m = 5, with k = 2. Assume that we have computed all the maximal cliques of the network. It turns out that two global filtering criteria can be applied.

- 1. **Coreness** Our first intuition follows from the very definition of k-plex: all the nodes of a k-plex of size s must have degree at least s-k. If we search for k-plexes with size at least m, this means that we can iteratively filter out every node that has degree lower than m-k. This corresponds to computing the *coreness* of all the nodes of the network (Lemma 2), a process that can be executed in linear time [3]. In our example, we can filter out the three nodes  $\{u, v, z\}$  at the top of the picture since they have coreness 2, which is less than m-k=5-2=3. In larger networks we show that this criterion allows us to filter out even 97% of the nodes.
- 2. **Cliqueness** The second intuition is that any node of a *k*-plex of size *s* must be included in a clique of a size that depends on *s*. This is confirmed by Corollary 3, which states that any node of a *k*-plex larger than or equal to *s* is included in a clique of size at least



Fig. 2 An example network, where we search for all 2-plexes of size greater than or equal to 5. Nodes  $\{g, h, i, l, m\}$  have coreness = 4 and cliqueness = 5. Nodes  $\{a, b, c, d, e, f\}$  have coreness = 4 and cliqueness = 3. Orange nodes have coreness = 2and cliqueness=3. Nodes  $\{u, v, z\}$ have coreness = 2 and cliqueness = 3. Hence, they are filtered out based on the coreness criterion. Finally, the two nodes  $\{x, y\}$  in the bottom of the picture are filtered out because of the cliqueness criterion



 $\lceil s/k \rceil$ . Then, if we search for k-plexes of size at least m, we can filter out all nodes that do not belong to any clique of size at least  $\lceil m/k \rceil$ . Regarding complexity, this operation requires to compute the cliques of the network, a process that may require exponential time but that can be executed efficiently in real-world networks [6].

In our example, we can filter out all nodes that do not belong to cliques of size at least  $\lceil m/k \rceil = \lceil 5/2 \rceil = 3$ , that is, the nodes  $\{x, y\}$  in the bottom of the network. We will show in Sect. 7 that in larger instances this criterion can be tested efficiently and is able to cut up even 80% of the nodes.

Even if some nodes can be filtered out because of both their low cliqueness and low coreness, the network in Fig. 2 shows that the two filtering criteria are indeed independent. When both criteria are used, the size of the network can be reduced by several orders of magnitude and standard techniques for finding k-plexes can be efficiently applied (e.g. full enumeration techniques). These techniques are described in Sect. 3.

**Block decomposition and advanced filtering.** As mentioned above, our idea is to start from cliques (which are *k*-plexes but not necessarily maximal) and possibly enlarge them to find maximal *k*-plexes.

The cliqueness criterion guarantees that each node in a k-plex C of size at least s belongs to a clique K of size at least  $\lceil s/k \rceil$  included in the k-plex. If we set  $m \ge k^2$ , we have that  $|K| \ge \lceil s/k \rceil > k$ , which implies that any other node of C must be adjacent to at least one node of K (in other words, K is a *dominating set* of C). Hence, we can search for C restricting to a block including K and all its adjacent nodes. Each block can be separately processed, possibly in a distributed environment.

We also show that a k-plex can be obtained by considering only nodes belonging to a clique K of size at least  $\lceil s/k \rceil$  and to other cliques of size at least  $\lceil s/k \rceil$  intersecting with K (Lemma 5). This gives rise to an advanced filtering technique and an efficient search algorithm that decompose the network into blocks each composed of one clique as the core, and all intersecting cliques as the boundary. These techniques are described in Section 4.

**Finding** k-plexes. Our filtering and decomposition strategies can be applied on top of any known enumeration algorithm to find all the k-plexes larger than a user-specified threshold m more efficiently. We describe the resulting enumeration meta-algorithms in Section 5.



# 3 Global filtering criteria

Our target is the enumeration of the large k-plexes of the input graph G, that is, of all k-plexes with size not smaller than a user-chosen threshold m. One ingredient of our approach is that of restricting the search to a suitable sub-graph of G, that we refer to as H. Clearly, the smaller H is with respect to G, the faster solving the problem is. Ideally, H consists exclusively of the nodes of the k-plexes we are looking for. Precisely, we aim at extracting a sub-graph H out of G, that is:

- 1. Small enough to make the enumeration fast;
- 2. Large enough to capture all k-plexes of G of size at least m.

We design two different global filtering *criteria*, dubbed CORENESS and CLIQUENESS. Our criteria are of the form "all the k-plexes of size at least m consist of nodes with property P", and fulfill the above desiderata for a choice of m.

We now describe our CORENESS and CLIQUENESS criteria, and how to use them for extracting the sub-graph  ${\cal H}$  out of  ${\cal G}$ .

Our first criterion is the simplest, and it is based on the intuition that all the nodes of a k-plex C, with  $|C| \ge m$  have degree at least m - k. Clearly, we do not know a priori what are the nodes of C. However, we can recursively filter out any node that has degree lower than m - k. Formally, this is equivalent to searching for the (m - k)-cores of C. We define this concept in the following:

**Definition 1** An h-core of G is a maximal connected subgraph of G in which all nodes have degree at least h. A node u has coreness h if it belongs to a h-core, but not to any (h+1)-core.

An h-core is one of the connected components of the sub-graph of G formed by repeatedly deleting all nodes of degree less than h. We are now ready to state our coreness criterion:

**Filtering Criterion 1** (Coreness) All the k-plexes of G of size at least m consist of nodes having coreness at least m-k.

Our second criterion is based on the intuition that all the nodes of a k-plex C, with  $|C| \ge m$ , form smaller cliques with other nodes of C. Informally speaking, if we try to "draw" a k-plex by adding one edge at a time, we soon realize that there are no ways of placing edges without forming progressively larger cliques here and there. Lemma 3 in Section 6 proves that every node of C participates in a clique of size at least  $\frac{m}{k}$ . Therefore, we can filter out any node that only participates in smaller cliques. Formally:

**Definition 2** A node u has *cliqueness* h if it belongs to a clique of size h, but not to any clique of size h + 1.

We are now ready to state our cliqueness criterion:

**Filtering Criterion 2** (Cliqueness) All the k-plexes of G of size at least m consist of nodes having cliqueness at least  $\frac{m}{k}$ .

**Computing the sub-graph.** Let m be the minimum size of the searched k-plexes specified by the user. The procedure PRUNE(G, k, m) shown in Algorithm 1, returns the sub-graph H resulting from deleting nodes of G according to the CORENESS and CLIQUENESS criteria. We first compute the (m-k)-cores (line 2), and then we filter out all the nodes with low cliqueness in the cores (line 3). Note that the two criteria can be applied sequentially, in any



**Algorithm 1** PRUNE(G, k, m) algorithm that computes a sub-graph of G according to filtering Criterion 1 and 2.

```
1: procedure PRUNE(G, k, m)

2: G' \leftarrow \{v \in G : G.\texttt{coreness}(v) \ge m - k\}

3: H \leftarrow \{v \in G' : G'.\texttt{cliqueness}(v) \ge \frac{m}{k}\}

4: return H

5: end procedure
```

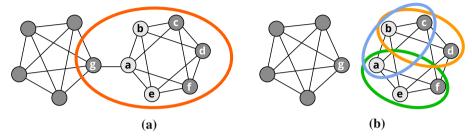


Fig. 3 An example network, where we search for all 2-plexes of size at least 5. Nodes of K are shown with black letters. Nodes  $\{a, b, e\}$  participate in a single maximal 2-plex  $C = \{a, b, c, d, e, f\}$ 

## **Algorithm 2** Algorithms for decomposition.

```
    procedure BLOCK(K)
    B ← K ∪ {u ∈ V : u is adjacent to K}
    return B
    end procedure
```

order. Since computing coreness is easy [3], we chose to apply CORENESS first and compute cliqueness on the smaller graph G' (line 3). At this point, one could re-apply CORENESS, then CLIQUENESS and so on, until the graph does not change anymore. In practice, however, we observed that this yields marginal to no gain.

We remark that Algorithm 1 corresponds to the filtering criteria used in the preliminary version of this paper [11]. In the next section we describe some additional filtering criteria which allow further pruning.

# 4 Block decomposition and advanced filtering

As mentioned in Sect. 2, our approach leverages on a decomposition of the network into blocks that can be processed independently, that is, we guarantee that any k-plex is entirely contained in some block of the decomposition.

Our decomposition exploits the cliqueness criterion. Namely, let C be a k-plex of size at least m. Consider any maximal clique  $K \in C$ . We know from the cliqueness criterion that  $|K| \ge \frac{m}{k}$ , that is, every node of C must participate in a clique at least as large as  $\frac{m}{k}$ . Observe that a k-plex is dominated by any set of k nodes of the k-plex, since every other node can miss up to k-1 neighbors. Therefore, if  $m \ge k^2$ , then  $|K| \ge \frac{m}{k} = k$  and the clique K dominates C. It follows that, in order to find C, it suffices to search in the neighborhood of K.

For example, suppose you are searching for all maximal 2-plexes of size at least 5 in the network of Fig. 3a. Consider any clique of size at least  $\lceil s/k \rceil = \lceil 5/2 \rceil = 3$ , for example the clique  $K = \{a, b, e\}$  (light gray nodes in Fig. 3a). The nodes of any k-plex of size at least 5



containing K are either (1) in K or (2) adjacent to K (circled nodes in Fig. 3a). This allows us to target our search for large k-plexes to the neighborhood of each clique K.

In Algorithm 2 we show the BLOCK(K) method for returning a block of nodes that can be processed independently to find all the k-plexes including a given clique K. The method returns K together with its neighborhood and it can be called multiple times during enumeration, as discussed in the next section (Sect. 5).

**Algorithm 3** FILTER(G, k, m) algorithm that computes a sub-graph of G according to PRUNE() strategy (Algorithm 1) and decomposition into blocks.

```
1: procedure FILTER EDGES(G,k,m)
2:
       S \leftarrow \emptyset
3:
       for K \in \mathcal{K} s.t. |K| > \frac{m}{k} do
                                                                                                                      \triangleright Cliques of G
4:
           for (u, v) \in K.edges() do
5:
               S \leftarrow S \cup (u, v)
6:
           end for
7:
      G' \leftarrow G.remove\_edges(S)
       return G'
10: end procedure
11: procedure FILTER(G, k, m)
12:
        \mathcal{K} \leftarrow \text{ALLCLIQUES}(G)
13:
         H \leftarrow \text{PRUNE}(G, k, m)
14:
        H \leftarrow \text{FILTER\_EDGES}(H, k, m)
15:
        H' \leftarrow \emptyset
16:
        for K \in ALLCLIQUES(H) do
17:
            B \leftarrow \operatorname{BLOCK}(K)
            H' \leftarrow H' \cup PRUNE(H[B], k, m)
18:
                                                                          \triangleright H[B] is the subgraph of H induced by B \subseteq V
19:
        end for
20:
        return H'
21: end procedure
```

**Advanced filtering.** Consider again the network in Fig. 3, where we search for 2-plexes of size at least m = 5, such as  $C = \{a, b, c, d, e, f\}$ . Let  $K = \{a, b, e\}$ . As shown in Fig. 3b, all the nodes of C not in K participate in cliques overlapping with K, that is, sharing at least one node with K, for instance  $\{a, b, c\}$ ,  $\{b, c, d\}$  and  $\{a, e, f\}$ . Note that, edge (a, g) is included in the neighborhood of K but is not needed to find C nor any other 2-plex larger than M.

This enables us to state a new filtering criterion, that we refer to as OVERLAPPINGCLIQUES. We state the criterion as follows and provide a proof of its correctness in Lemma 5.

**Filtering Criterion 3** (Overlapping Cliques) All the k-plexes of G with size  $m \ge k^2$  consist of nodes (and edges) either belonging to a clique K s.t.  $|K| \ge \frac{m}{k}$ , or to overlapping cliques K', s.t.  $|K'| \ge \frac{m}{k}$  and  $K \cap K' \ne \emptyset$ .

We implement this criterion via the FILTER\_EDGES(G) method in Algorithm 3, which filters away all the edges that do not participate in at least one clique larger than  $\frac{m}{k}$ : indeed any such edge cannot belong to either K, or any of the overlapping cliques. Observe that the method FILTER\_EDGES(G) can be called just once on the input graph, rather than when computing each block.

For example, method FILTER\_EDGES(G) launched on the graph of Fig. 3a yields the graph in Fig. 3b, filtering out the edge (a, g). When calling the method BLOCK(K) on the latter



graph, only nodes in overlapping cliques with K are returned (represented as colored circles in Fig. 3b).

Algorithm 3 also shows our final filtering strategy, that we refer to as FILTER(). Such strategy providing a more effective approach than the procedure PRUNE() (Algorithm 1) at a reasonable efficiency cost. In a nutshell, FILTER() starts by calling PRUNE() (line 13) and FILTER\_EDGES() (line 14). Then, it enumerates all the maximal cliques of the resulting subgraph H (line 16). Such set of cliques (line 16) is used to construct all the blocks with the method BLOCK() in Algorithm 2 (line 17). Since blocks can be processed independently, we can re-apply CORENESS and CLIQUENESS individually on each block (line 18) without missing any k-plex and we can further reduce the size of H. Specifically, FILTER() collects all the nodes and edges surviving the application of PRUNE() on each block (line 18) and returns their union H' (line 20) for subsequent processing. Our experiments show that further pruning at line 18 can provide on some instances a substantial reduction of the surviving nodes, with respect to the result of line 13.

# 5 Finding large k-plexes

In this section, we discuss how to apply our filtering criteria and block decomposition to find all the k-plexes larger than a user-specified threshold m.

# 5.1 Enumerating all large k-plexes

Algorithm 4 describes our approach for the enumeration of large *k*-plexes that exploits state-of-the-art algorithms for the exhaustive enumeration of cliques and *k*-plexes. In particular, the procedure LARGEPLEXES() leverages ALLCLIQUES() and ALLPLEXES(), implemented for instance as in [6,10] and in [5], respectively. Further, LARGEPLEXES() uses the auxiliary methods BLOCK() and FILTER(), that are detailed in Algorithm 2 and Algorithm 3 of Sect. 4, respectively.

#### **Algorithm 4** LARGEPLEXES(G, k, m) meta-algorithm.

```
1: procedure LARGEPLEXES(G, k, m)
      H \leftarrow \text{FILTER}(G, k, m)
3:
       \mathcal{K} \leftarrow \text{ALLCLIQUES}(H)
4:
      for K \in \mathcal{K} do
5:
          B \leftarrow \text{BLOCK}(K)
6:
          \mathbf{C} \leftarrow \text{ALLPLEXES}(H[B], k)
                                                                      \triangleright H[B] is the subgraph of H induced by B \subseteq V
7:
          for C \in \mathbf{C} do
8:
             if |C| \ge m \land \text{ISNOTDUPLICATE}(C, H, K) then
                 yield C
g.
10:
               end if
           end for
11:
12.
        end for
13: end procedure
14: procedure IsNotDuplicate(C,H,K)
15:
        parent\_clique \leftarrow complete(complete(\{min(C)\}, C), H)
        return parent_clique is equal to K
17: end procedure
```



Algorithm LARGEPLEXES() first calls the advanced FILTER() procedure (line 2) to extract a sub-graph H based on our filtering criteria and on the input threshold m. In fact, it is guaranteed (see Sect. 3) that the removed nodes and edges do not participate in any k-plex of size larger than or equal to m. Second, LARGEPLEXES() enumerates all the maximal cliques of the sub-graph H (line 3). The resulting set K only consists of those cliques that are larger than  $\frac{m}{k}$  (because of the constructive process of H) and that can be used as "seeds" from which large k-plexes can be derived. Then, the LARGEPLEXES() algorithm iterates over K (lines 4–12) and for each clique constructs a block by adding its neighborhood (line 5). Let B be the current set of nodes returned by BLOCK() $^1$ . We first enumerate all the maximal k-plexes of the sub-graph of H induced by B, denoted by H[B] (line 6). Observe that the same k-plex C may be found in multiple blocks. Therefore, we rely on a "de-duplication" method to return only one copy of each k-plex of size at least m (lines 7–11).

**De-duplication.** Let C be any k-plex computed by ALLPLEXES(H[B], k). We introduce the concept of "parent clique" of C, and return C only when the clique that generated the current block is equal to its parent clique. Specifically, let min(C) be the node u in C with smallest  $id^2$  and let complete(X, Y) be a method that iteratively adds to the clique X the node in Y that is adjacent to all the nodes in X and has the minimum id, if any exists. The method stops when there is no node left in Y adjacent to all nodes in X. The parent clique of C is defined by construction as follows.

$$complete(complete(\{min(C)\}, C), H)$$
 (1)

Specifically, we start from the node u in C with smallest id. Then, the process of construction has two phases. We first extend u into a clique inside C, we then keep extending the clique by selecting nodes from the whole H. Both operations are performed by taking nodes in increasing order of id. It is apparent that each k-plex C is contained into at least one block, and in particular we prove that C is contained into the block B built starting from its parent clique P (see Lemma 6 in Section 6). Conversely, there cannot be two blocks for which the procedure ISNOTDUPLICATE returns true. This guarantees that each k-plex is produced by exactly one block.

We remark that the graph H computed at line 2 of Algorithm 4 can be empty if the user specifies a very high threshold m. In this case, LARGEPLEXES() terminates without yielding any k-plex. Also, it may happen that H consists of a single clique. In this case, there is no need to execute lines 3-11: the enumeration procedure returns H and terminates.

## 5.2 Finding the maximum k-plex

While community detection algorithms typically aim at finding several communities, an important problem is also that of finding the largest, most relevant, one. The techniques of Sect. 5.1 can be used in this direction as well, to produce an algorithm for finding the maximum k-plex.

Let  $\omega$  be the size of the maximum clique in G. Since the maximum clique is also the maximum 1-plex and the size of the maximum k-plex is lower-bounded by the size of the maximum k-1-plex,  $\omega$  can be though of as a lower-bound for any maximum k-plex of G. This strategy, which we refer to as MAXPLEX(), is illustrated in Algorithm 5 and proceeds as follows. We first enumerate all the maximal cliques of G (line 1) and then use  $\omega + 1$  as



<sup>&</sup>lt;sup>1</sup> Observe that, it would be possible, in principle, to launch the FILTER() procedure on the sub-graph induced by each block. We measured experimentally that this does not reduce significantly the size of the blocks.

<sup>&</sup>lt;sup>2</sup> For instance, with respect to the lexicographic ordering.

#### **Algorithm 5** MAXPLEX(G, k) algorithm.

```
1: \mathcal{K} \leftarrow \text{ALLCLIQUES}(G)
2: K_M \leftarrow \operatorname{argmax}_{K \in \mathcal{K}} |K|
3: \omega \leftarrow |K_M|
4: \mathcal{C} \leftarrow \text{LARGEPLEXES}(G, k, \omega + 1, \mathcal{K})
5: C_M \leftarrow \operatorname{argmax}_{C \in \mathcal{C}} |C|
6: if |C_M| > |K_M| then
7: return C_M
8: else
9: return K_M
10: end if
```

the threshold m for LARGEPLEXES() (lines 2–4). Observe that the set of maximal cliques K computed at line 1 can be passed as an optional parameter to LARGEPLEXES(), in order to avoid their computation twice. Finally, we consider all the maximal k-plexes in C, and return the maximum one (lines 5–10). If there are no k-plexes found, we return  $K_M$  (line 9).

We observe that one might want to apply a binary search approach, by starting from a large threshold, until  $C_M \neq \emptyset$  We observed experimentally that this approach yields better performances than the MAXPLEX() in Algorithm 5 approach only when the maximum k-plex is order of magnitudes larger than  $\omega$ . However this is rarely the case in real-world graphs. We discuss more in detail the effectiveness of such a binary search algorithm for finding largest k-plex in [11].

In Sect. 7 we experimentally investigate the effectiveness of Algorithm 5.

## 6 Theoretical basis

In this section we consider a k-plex C = (V, E) with |C| = s nodes. For the sake of simplicity C may refer to both the set of nodes it contains and to the induced graph. We recall that if C is a k-plex then each of its nodes is adjacent to all nodes in C except at most k, thus a *clique* can be thought of as a 1-plex. We also observe that any subset of a k-plex is also a k-plex, and any k-plex is also a k + 1-plex. We now need to prove the correctness of Criteria 1, 2, and 3 dubbed CORENESS, CLIQUENESS and OVERLAPPINGCLIQUES, respectively, and described in the previous sections.

#### 6.1 Coreness criterion

Criterion 1 states that all the k-plexes of a graph G of size larger than or equal to m consist of nodes having *coreness* at least m - k. To prove this claim we need some preliminary results.

Let C be a k-plex of size s. Denote  $\Delta(C)$  the *diameter* of C, that is, the largest number of edges which must be traversed in order to travel from one node to another. While a clique, or a 1-plex, has diameter equal to 1, k-plexes with k > 1 come in a variety of forms and can have arbitrarily high diameters (which is not a desirable property for a community, as shown in Fig. 1). However, for  $k \le \frac{s}{2}$  — which means that every node is adjacent to more than half of the nodes in C — the diameter is at most 2. This is proven in the following.

 $<sup>^3</sup>$  Note that in the classic "max k-plex" application scenario the goal is to find just *one* largest k-plex, rather than enumerating all of them.



**Lemma 1** Let C be a k-plex of size s. If  $s \ge 2k$  then  $\Delta(C) \le 2$ .

**Proof** Assume, by contradiction, that C has diameter larger than 2. Then, there are at least two nodes u and v at distance more than 2. Since u is missing at most k edges, it has at least  $|N(u)| \ge s - k$  neighbors. However, neither u nor its neighbors are connected to v and therefore v is missing at least  $|N(u) \cup \{u\}| \ge s - k + 1$  edges. Since  $s \ge 2k$ , we have  $s - k + 1 \ge k + 1$ , so v violates the k-plex requirement – a contradiction.

**Corollary 1** *If*  $s \ge 2k$  *then C is connected.* 

We are now ready for proving the coreness criterion.

**Lemma 2** (Coreness) Every node of C has coreness at least s - k in C.

**Proof** Let |N(u)| denote the number of nodes of C adjacent to u. By the definition of k-plex every node  $c \in C$  has  $|N(c)| \ge s - k$ . Hence, C is unchanged by recursively removing nodes of degree less than s - k, that is, every node in C has coreness s - k [27].

Since the coreness does not decrease when considering a supergraph of C, we have the following.

**Corollary 2** Every node of C has coreness at least s - k in G.

Corollary 2 justifies the filtering based on Criterion 1 used in Section 3.

## 6.2 Other criteria

We give the technical lemma below, that we use for deriving the cliqueness and decomposition criteria, and the advanced filtering principle.

**Lemma 3** Every clique  $X \subseteq C$ , s.t.  $|X| < \frac{s}{k}$ , is included in a larger clique  $X_{big}$ , s.t.  $|X_{big}| \ge \frac{s}{k}$ .

**Proof** Let  $X \subseteq C$  be any clique of C, s.t.  $|X| < \frac{s}{k}$ . Let  $N \subseteq C$  be the set of nodes which are not adjacent to all nodes of X, that is, that are adjacent to only h nodes of X, with  $0 \le h \le |X| - 1$ . It is obvious that by picking any node  $u' \in C \setminus (X \cup N)$  (provided such a node u' exists) we have that  $X' = X \cup \{u'\}$  is a clique of size |X| + 1. Since every  $u \in X$  can miss at most k neighbors including itself,  $|N \cup X| \le |X|(k-1) + |X| = k|X|$ . This means that at most k|X| nodes are excluded for the selection of u'. Let N' be the nodes not adjacent to all nodes of X'. We can repeat the process and grow X', by picking any node  $u'' \in C \setminus (X' \cup N')$ , until we run out of nodes. Note that the newly-excluded nodes for selecting u'' are u' and its missing neighbors, which are at most k-1. Such a clique-growing process can be thought of as an iterative process starting from a node and growing a clique – as if X itself were grown after |X| steps of the process – and excluding at most k nodes at a time. Therefore, the process will run at least  $\frac{s}{k}$  steps, after which X has been grown to  $\frac{s}{k}$  nodes.

Note that, in case  $\frac{s}{k}$  is not integer, the proof yields  $|X_{big}| \ge \lceil \frac{s}{k} \rceil$ . This directly implies the cliqueness criterion. Precisely, the corollary below follows from the clique-growing argument given in the proof of Lemma 3, by starting from a single node.

**Corollary 3** (cliqueness) Every node in C has cliqueness at least  $\lceil \frac{s}{k} \rceil$ .



We now give the lemma below for proving the correctness of our block decomposition strategy.

**Lemma 4** Consider a clique  $X \subseteq C$ , s.t.  $|X| \ge \frac{s}{k}$ . If  $s \ge k^2$ , every node in C either belongs to X or has a neighbor in X.

**Proof** We know that such a clique always exists from Lemma 3. Since its size is at least k by assumption, then every  $u \in C \setminus X$  has to be adjacent to at least one node in X.

Our last criterion is a stricter form of the above lemma, which we formalize as follows and which justifies the FILTER\_EDGES() procedure in Algorithm 3.

**Lemma 5** [OverlappingCliques] Consider a clique  $X \subseteq C$ , s.t.  $|X| \ge \frac{s}{k}$ . If  $s \ge k^2$ , every node in C either belongs to X or to an overlapping clique X', s.t.  $|X'| \ge \frac{s}{k}$  and  $X \cap X' \ne \emptyset$ .

**Proof** Let u be any node of  $C \setminus X$ . We know from Lemma 4 that exists a node  $v \in X$  adjacent to u. Since  $\{u, v\}$  is a clique of size 2, we can apply Lemma 3 and conclude that both nodes belong to a clique X' of size at least  $\frac{s}{L}$ . Finally,  $v \in X \cup X'$ .

Finally, we demonstrate the correctness of our duplication check for Algorithm 4.

**Lemma 6** (Duplication check) Any k-plex C is contained into the block B generated by its parent clique P.

**Proof** Let  $P' = C \cap P$  denote the portion of the parent clique that is inside C. By construction, P' is maximal within C and thus from Lemma 3 it follows that  $|P'| \ge \frac{s}{k}$ . Since  $s \ge m \ge k^2$ , by Lemma 4 we have that all nodes of C are either in P or neighbors of a node in P. It follows that C is contained into B.

# 7 Experiments

In this section we experimentally verify the effectiveness and efficiency of the approaches described in the paper.

#### 7.1 Experimental set-up

We now describe our experimental set-up. The code for our experiments is publicly available.<sup>4</sup>

**Test environment.** Our experiments were performed on a machine with 32 CPU Intel Xeon E5-520 units, running at 2.26GHz, with 8MB of cache and 32GB RAM. The operating system was Linux CentOS 6.7, with kernel version 2.6.32, Java Virtual Machine version 1.8.0\_111 (64-Bit) and Python version 2.6.6 (64-Bit). All our executions have a 12 hours timeout, after which they are interrupted. In the tables of this section, the symbol \* denotes that the execution was interrupted due to timeout. All the running times are averaged over 3 runs.

**Datasets.** As shown in Table 1, we consider six real-world networks from the Stanford Large Network Dataset Collection<sup>5</sup> with different sizes and different clique size distribution.

<sup>&</sup>lt;sup>5</sup> http://snap.stanford.edu/data/index.html.



<sup>&</sup>lt;sup>4</sup> https://github.com/ddfir/kplexes-meta.git.

**Table 1** Datasets considered in our experiments.  $\omega$  is the largest clique size

Graph	Nodes	Edges	ω
cagrqc	5241	14, 484	44
hepth	9877	25, 973	32
hepph	12,005	118, 488	239
coAuthorsCiteseer	227, 320	814, 134	87
coAuthorsDBLP	299, 067	977, 676	115
citationCiteseer	268, 495	1, 156, 64	13

The size  $\omega$  of the largest clique for each network is shown in Table 1, while the clique size distributions are shown in Fig. 4. In the experiments, we show that with traditional methods even the smallest networks can incur in timeout. Our algorithms, instead, can process networks up to hundreds of thousands of nodes.

**Variants and Baseline.** We use the algorithm described in [5], denoted by ALLPLEXES(), as the k-plex enumeration method in the algorithm described in Sect. 5. We use the algorithm in [24] as the maximal cliques enumeration method, denoted by ALLCLIQUES(), in the filtering and search algorithms. We have implemented CORENESS criterion with the method in [3] for computing k-cores, and the CLIQUENESS criterion with the already mentioned algorithm in [24] for computing cliques. We consider the following variants of our enumeration methods:

- FILTER&BLOCKENUM, corresponding to running FILTER() followed by ALLPLEXES()
  over individual blocks of the filtered graph (as in the LARGEPLEXES procedure in Algorithm 4);
- 2. FILTER&BASELINE, corresponding to running FILTER() followed by ALLPLEXES() over the filtered graph as a whole;
- 3. BLOCKENUM, corresponding to running ALLPLEXES() over individual blocks of the original (i.e., unfiltered) graph;
- 4. BASELINE, corresponding to running ALLPLEXES() [5] over the original (unfiltered) graph as a whole, as described in [5].

In the variants FILTER&BLOCKENUM and BLOCKENUM the enumeration method is executed over each block in parallel. We demonstrate the efficiency and effectiveness of our techniques for different values of k and the threshold size m. For comparison, we also consider the filtering method in [15], that is a variant of our CORENESS technique described in Sect. 3. We denote this method as KDD18.

## 7.2 Impact of the filtering techniques

In the following we demonstrate the benefit of our filtering methods, by measuring how many nodes of real-world networks can be filtered out, and comparing them with the technique KDD18 in [15].

**Effectiveness.** Table 2 shows the impact of the filtering techniques on the largest graphs of our dataset for a threshold equal to 10, 50, and 100. In particular, column FILTER() shows the number of nodes of the networks surviving our advanced filtering method (see Algorithm 3) when searching for k-plexes with k equal to 2 and 3 (the two sections of the table). It is apparent that the percentage of nodes filtered out by FILTER() if very large, reducing the size



**Table 2** Effectiveness of our filtering criteria for different settings of m and k

Graph	m	CORENESS	CLIQUENESS	$PRUNE()^{\dagger}$	KDD18	FILTER()
k=2						
cagrqc	10	405	1238	404	405	400
	50	0	124	0	0	0
	100	0	0	0	0	0
hepth	10	285	2,068	273	185	159
	50	0	32	0	0	0
	100	0	0	0	0	0
hepph	10	3306	5506	3231	3198	2828
	50	894	1677	894	893	884
	100	297	864	297	297	297
coAuthorsCiteseer	10	34,624	104,151	34,584	34,188	33,382
	50	887	19,162	887	887	887
	100	0	778	0	0	0
coAuthorsDBLP	10	31,126	119,125	30,761	29,796	24,960
	50	359	1957	359	359	359
	100	217	310	217	217	217
citationCiteseer	10	49,559	34,090	24,037	42,710	4603
	50	0	0	0	0	0
	100	0	0	0	0	0
k = 3						
cagrqc	10	455	3855	455	455	452
	50	0	244	0	0	0
	100	0	0	0	0	0
hepth	10	598	7435	598	494	350
	50	0	96	0	0	0
	100	0	0	0	0	0
hepph	10	3881	10,359	3881	3766	3277
	50	896	2165	896	896	894
	100	297	1270	297	297	297
coAuthorsCiteseer	10	44,253	196,714	44,253	43,600	42,222
	50	950	11,146	950	950	950
	100	0	2399	0	0	0
coAuthorsDBLP	10	43,791	253,024	43,791	42,408	35,869
	50	455	5303	455	455	455
	100	217	949	217	217	217
citationCiteseer	10	68,198	175,480	67,680	61,561	28,048
	50	0	0	0	0	0
	100	0	0	0	0	0

Values show the number of surviving nodes, thus, lower is better. The smallest figure of the last two columns is highlighted in bold, or underlined in case of ties. (†) Algorithm PRUNE() was introduced in the preliminary version of this paper [11]



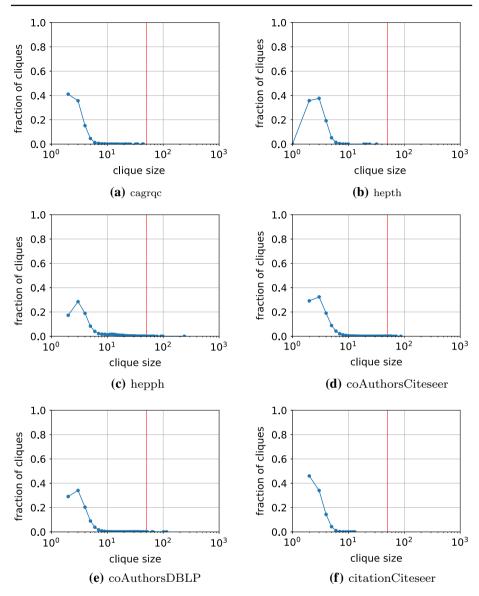


Fig. 4 Clique size distributions of graphs considered in our experiments. The red vertical bars at clique size = 50 correspond to the clique size threshold for m = 100 and k = 2

of the graphs by orders of magnitude. Increasing the value of m yields less surviving nodes, depending on the distribution of k-plexes in the input graph. We observe that, for values of the threshold that are higher than the maximum k-plex, there might be no surviving node at all, allowing us to immediately recognize that no such k-plex exists. Note also that increasing the value of k decreases the effectiveness of the filtering. These values justify the reduced computation times that we will have on the filtered networks with respect to the original ones.



**Table 3** Efficiency of our filtering methods compared to the processing time required by ALLPLEXES() [5]. Running times are expressed in seconds unless specified. Times refer to the same settings of m as in Table 2 and for k=2

Graph	CORENESS	CLIQUENESS	FILTER(G,	m, 2)		ALLPLEXES	
			10	50	100		
Cagrqc	< 1	< 1	1	< 1	< 1	4 h	
Hepth	< 1	< 1	2	< 1	< 1	*	
Hepph	< 1	2	1.25 h	24 min	5	*	
CoAuthorsCiteseer	3	8	2.2 min	22	12	*	
CoAuthorsDBLP	6	11	3.6 min	18	17	*	
CitationCiteseer	7	12	8.4 min	22	23	*	

Columns Coreness and Cliqueness show the impact of the two filtering criteria if they were applied separately. In most of the cases Coreness is more effective than Cliqueness, however, it is not obvious when one technique is preferable to the other. Hence, it is advisable to use both as in Algorithm 1 (while in most of the cases the advantage of using both criteria is limited, in some cases yields a much smaller networks). Although it is not shown in Table 2, we also tested the repeated application of the two criteria multiple times, obtaining a negligible gain. We remark that the Cliqueness criterion is more sensitive to the parameter k than the Coreness one and becomes quickly less effective as k increases. As a frame of comparison, we show in Fig. 4 the clique distribution of the networks in Table 2. The graphs confirm the intuition that when the distribution is skewed (i.e., there are few large cliques and a long tail of smaller ones) the CLIQUENESS criterion is most effective. We remark that having a skewed distribution is a property that can be expected in a scale-free network.

Back to Table 2, column [15] shows the impact of the filtering technique described in [15], that is a variant of our CORENESS technique described in Sect. 3. The technique in [15] is comparable to CORENESS alone. For the sake of completeness we also show the impact of the PRUNE() method alone, that corresponds to the filtering technique described in [11]. These experiments prove that the filtering criteria described in this paper are extremely effective, which is also confirmed by the fact that, after the introduction of these techniques in a preliminary version of this paper [11], they have inspired several works on the enumeration or on the search of k-plexes in large graphs, such as [15,18].

Efficiency of the filtering. Table 3 shows the preprocessing overhead. We measured the time needed to apply the two criteria CORENESS and CLIQUENESS separately. (obviously, the total time of the filtering procedure in Algorithm 1 is equal to the sum of the two times). We also measured the running time of the FILTER() procedure, for different values of m and for k=2. We observe that, as expected, CORENESS is faster to compute than CLIQUENESS, although the order of magnitude is the same. For comparison, we report in the same Table 3 the computation time of the exhaustive enumeration of k-plexes (Algorithm ALLPLEXES() described in [5]). The difference between the largest time for computing FILTER() (24 minutes) and the corresponding enumeration time (more than 12 h) suggests that filtering times are acceptable. Similar observations could be done for larger values of k.



# 7.3 Enumeration of large k-plexes

In this Section, we demonstrate the efficiency and effectiveness of the techniques in Sect. 5 for finding the larger k-plexes in a fraction of the time required by the method ALLPLEXES() in [5].

**Enumerating all large** k-plexes. Table 4 shows the running time of our large k-plexes enumeration strategy on different networks in our dataset, for different values of k and m, and for the variants presented in the experimental set-up section (Sect. 7.1). For this experiment, we set m to different fractions of the largest clique size  $(\omega)$  in order to ensure that the number of large k-plexes found ( $|\mathcal{C}|$ ) is at least one. Indeed, values of m larger than  $\omega$  do not yield any k-plex in our experiments while values of m smaller than  $0.5\omega$ can yield thousands of k-plexes, which is almost analogous to exhaustive enumeration. The time required for enumerating all k-plexes (column ALLPLEXES) of such networks is always larger than our timeout (12 hours), except for the smallest network and k=2. The table also shows the number of k-plexes returned (column  $|\mathcal{C}|$ ). All the networks considered, except for cagrac, contain less than a hundred k-plexes of size at least  $0.5\omega$ , which can be quickly found by our filtering-based strategies, showing output-semsitive properties. In order to demonstrate the benefit of our block decomposition method, we show the running time of the exhaustive enumeration strategy (that is, without filtering) by processing blocks in parallel (column BLOCKENUM). Our results show that as long as the network size is small enough to make exhaustive enumeration feasible, block decomposition can decrease running time by orders of magnitude, by completing the task in less than 1 hour, as opposite of more than 12 hours required for the traditional strategy with no decomposition. After filtering, in the networks considered in our experiments, we are left with at most a dozen blocks, except for citationCiteseer, and therefore the impact of block decomposition (column FILTER&BLOCKENUM) is less evident. Nonetheless, with more than 10 blocks, running time can be boosted up to 2.4x.

We conclude discussion of Table 4 by summarizing when to apply the filtering and block decomposition strategies presented in our framework.

- Filtering (i.e., the FILTER() method in Algorithm 3) is always beneficial. Indeed, it typically decreases running time by orders of magnitude, and its overhead is negligible with respect to exhaustive enumeration time (i.e., ALLPLEXES).
- 2. The block decomposition strategy is always beneficial when the number of blocks is less than the number of available processors. Indeed, it can decrease running time more than 2.4x, without overhead.
- 3. The block decomposition strategy can be beneficial even if the number of blocks is more than the number of available processors (e.g., BLOCKENUM(cagrqc)), but the overhead due to duplicate enumeration (see theory in Sect. 6) can be high (FILTER&BLOCKENUM(citationCiteseer)). In this case, if we have p processors, we can reserve one processor for no blocking and p-1 for blocking.

**Finding the maximum k-plex.** Finally, table 5 shows the efficiency of the MAXPLEX() method in Algorithm 5, for different values of k. We observe that the method is not only faster than ALLPLEXES() (which only terminated before timeout for cagrac and k = 2) but it yields similar performances up to k = 6, for all the graphs except citationCiteseer.



**Table 4** Efficiency of our enumeration methods. For each graph, the threshold value *m* is expressed as a fraction of its largest clique size ω. The smallest time figure of the last 4 columns is highlighted in bold, or underlined in case of ties

k=2 engaye	Graph	ш	2	FILTER & 1	FILTER & BLOCKENUM	FILTER & BASELINE	BLOCKENUM		BASELINE
50%         108         5         11         9         33 min         3902           75%         8         2         6         4				Time	Blocks	Time	Time	Blocks	Time
50%         108         5         11         9         33 min         3902           75%         8         2         6         4         4         35 min         3902           50%         4         <1	k = 2								
75%         8         2         6         4           100%         4         ≤1         3         ≤1           50%         4         ≤1         4         ≤1           100%         1         ≤1         1         ≤1           100%         1         19         1         19           100%         1         19         1         19           100%         1         19         1         19           0rsClieser         50%         N.A.         *         44         *           100%         1         14         1         14         *           100%         1         14         1         14         *           100%         1         14         1         14         *           100%         1         19         2         2         3         *           Clieser         50%         N.A.         *         468         *         *         *           NSA         1         10%         2         2         3         *         *         *         *           Clieseer         50%         N.A.         *	cagrqc	20%	108	w	11	6	33 min	3902	4 h
50%         4         <1		75%	8	7	9	4			
50%         4         <1         4         <1         4         <1         938           75%         2         <1		100%	4	<u>~</u>	3	<			
75%         2         <1         2         <1           100%         1         <1	hepth	20%	4	\ 	4		35 min	9638	*
100%         1         <1		75%	2	\   	2	\ <u>\</u>			
50%         1         19         1         19         1         19         1         19         1         19         *           100%         1         19         1         19         1         19         *         *           orsDBLP         50%         3         31         7         39         *         *         *           orsDBLP         50%         1         14         1         14         1         14         *		100%	1	\ - -	1	<u>^</u>			
75%         1         19         1         19           100%         1         19         1         19           100%         1         19         1         39           100%         1         14         1         14           100%         1         14         1         14           orsDBLP         50%         53         56         4         61         *           100%         1         19         2         23         *           100%         1         19         1         19         *           100%         N.A.         *         468         *         *           Cieseer         50%         N.A.         *         468         *           100%         38         3.6 h         2.015         38.3 min           50%         1,231         2.8 min         12         6.8 min           75%         6         33         6         41           100%         4         <1	hepph	20%	1	19	1	19	*		*
orsCiteser         50%         1         19         1         19           orsCiteser         50%         N.A.         *         44         *         *           100%         1         14         1         14         1         *           orsDBLP         50%         53         56         4         61         *         *           orsDBLP         50%         1         19         2         23         53         *           ciseer         50%         N.A.         *         178         *         *           Ciseer         50%         N.A.         *         468         *         *           ciseer         36         3.6 h         2.015         38.3 min         *           50%         1,231         2.8 min         12         6.8 min         *           75%         6         33         6         41         1           100%         4         <              100%         4               100%         4              100%         4 <t< td=""><td></td><td>75%</td><td>1</td><td>19</td><td>1</td><td><u>19</u></td><td></td><td></td><td></td></t<>		75%	1	19	1	<u>19</u>			
orsCiteseer         50%         N.A.         *         44         *         *         *           100%         1         14         1         14         1         14           100%         53         56         4         61         *           100%         1         19         2         23           100%         1         19         1         19           Citeseer         50%         N.A.         *         468         *           100%         38         3.6 h         2.015         38.3 min           50%         1,231         2.8 min         1         6.8 min           75%         6         33         6         41           100%         4         <1		100%	1	19	1	19			
75%         3         31         7         39           100%         1         14         1         14           orsDBLP         50%         5         4         61         *           75%         2         19         2         23         *           100%         1         19         1         19         *           Citeser         50%         N.A.         *         468         *         *           Citeser         50%         N.A.         *         468         *         *           Citeser         38         3.6 h         2,015         38.3 min         *           50%         1,231         2.8 min         1         6.8 min         *           75%         6         33         6         41         *           100%         4         <1	coAuthorsCiteseer	20%	N.A.	*	44	*	*		*
orsDBLP         50%         1         14         1         14           75%         2         4         61         *           100%         1         19         2         23           Citeser         50%         N.A.         *         100%         *           75%         N.A.         *         468         *         *           100%         38         3.6 h         2,015         38.3 min         *           50%         1,231         2.8 min         12         6.8 min         *           75%         6         33         6         41           100%         4         < 1         3         < 1		75%	3	31	7	39			
OrsDBLP         50%         53         56         4         61         *           75%         2         19         2         23         *         *           100%         1         19         1         19         *         *         *           Citeser         50%         N.A.         *         468         *         *         *         *           100%         38         3.6 h         2.015         38.3 min         *         *         *           50%         1,231         2.8 min         12         6.8 min         *         *           75%         6         33         6         41         *            100%         4         <1		100%	1	14	1	14			
75%         2         19         2         23           100%         1         19         1         19           Citeser         50%         N.A.         *         *         *           100%         38         3.6 h         2,015         38.3 min           50%         1,231         2.8 min         12         6.8 min         *           75%         6         33         6         41         *           100%         4         <1	coAuthorsDBLP	20%	53	26	4	61	*		*
Citeseer         50%         1         19         1         19           75%         N.A.         *         468         *         *           100%         38         3.6 h         2,015         38.3 min           50%         1,231         2.8 min         12         6.8 min         *           75%         6         33         6         41         *           100%         4         <1		75%	2	19	2	23			
Citeseer         50%         N.A.         *         178         *         *           75%         N.A.         *         468         *         *           100%         38         3.6 h         2,015         38.3 min           50%         1,231         2.8 min         12         6.8 min         *           75%         6         33         6         41         *           100%         4         <1		100%	1	19	1	19			
75%       N.A.       *       468       *         100%       38       3.6 h       2,015       38.3 min         50%       1,231       2.8 min       12       6.8 min       *         75%       6       33       6       41       *         100%       4       <1	citationCiteseer	20%	N.A.	*	178	*	*		*
100%         38         3.6 h         2.015         38.3 min           50%         1,231         2.8 min         12         6.8 min         *           75%         6         33         6         41         *           100%         4         <1		75%	N.A.	*	468	*			
50%       1,231 <b>2.8 min</b> 12       6.8 min       *         75%       6       33       6       41         100%       4       <1		100%	38	3.6 h	2,015	38.3 min			
50%     1,231     2.8 min     12     6.8 min     *       75%     6     33     6     41       100%     4     <1	k=3								
$6 \qquad 33 \qquad 6$ $4 \qquad \leq 1 \qquad 3$	cagrqc	20%	1,231	2.8 min	12	6.8 min	*		*
4 <1 3		75%	9	33	9	41			
		100%	4	\ 	3	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			



Graph         m          C          Filter & BLOCKENUM         Filter & BASELINE         Filter & BLOCKENUM         BASELINE         Time         BLOCKENUM         Time         BLOCKENUM         Time         BLOCKENUM         Time         BLOCKENUM         Time         BLOCKENUM         Time         BLOCKENUM         Time         Time         BLOCKENUM         Time         Time	lable 4 continued								
SO%         1         <1	Graph	ш	C	FILTER &	BLOCKENUM	FILTER & BASELINE	BLOCKEN	M	BASELINE
50%         1         <1				Time	Blocks	Time	Time	Blocks	Time
75%         2         <1         2         <1           100%         4         <1	hepth	20%	1	< 1	4	<1	*		*
100%         4         <1         <1           50%         1         19         1         19           75%         1         19         1         19           100%         1         19         1         19           norsCiteser         50%         N.A.         *         *           norsDBLP         50%         1,228         4h         4         4.05 h           norsDBLP         50%         1,228         4h         4         4.05 h           norsDBLP         50%         2         2         2           100%         1         20         2         2           100%         N.A.         *         *           100%         N.A.         *         *           100%         N.A.         *         *		75%	2	~   -	2				
50%         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         19         1         10         1         1         10         1         1         1         1         1         1         1         1         1         2         1         2         3         1         2         1         2         3         1         2         3         1         2         3         1         2         3         1         2         3         1         3         4		100%	4	\ - 	1	\ <u>\</u>			
75%         1         19         1         19           100%         1         19         1         19           50%         N.A.         *         *         *           75%         1         2.6 h         7         2.9 h         *           100%         3         15         1         15         *           50%         1,228         4 h         4         4.05 h         *           100%         1         20         2         23           100%         1         20         1         20           50%         N.A.         *         *           75%         N.A.         *         *	hepph	20%	1	19		19	*		*
100%         1         19         1         19           50%         N.A.         *         *         *           75%         1         2.6 h         7         2.9 h           100%         1,228         4 h         4         4.05 h         *           50%         1,28         4 h         4         4.05 h         *           100%         1         20         2         23           50%         N.A.         *         *         *           75%         N.A.         *         *         *           100%         N.A.         *         *         *		75%	1	19	1	19			
50%       N.A.       *       30       *       *         75%       1       2.6 h       7       2.9 h       *         100%       3       15       1       15         50%       1,228       4 h       4       4.05 h       *         75%       2       20       2       23         100%       1       20       1       20         50%       N.A.       *       *         75%       N.A.       *       *		100%	1	19	1	<u>19</u>			
75%         1         2.6 h         7         2.9 h           100%         3         15         1         15           50%         1,228         4 h         4         4.05 h         *           75%         2         20         23         *           100%         1         20         1         20           50%         N.A.         *         *           100%         N.A.         *         *	coAuthorsCiteseer	20%	N.A.	*	30	*	*		*
100%         3         15         1         15           50%         1,228         4h         4         4.05 h         *           75%         2         20         2         23         *           100%         1         20         1         20         *           50%         N.A.         *         *         *           100%         N.A.         *         *		75%	1	2.6 h	7	2.9 h			
50%       1,228       4h       4       4,05 h       *         75%       2       20       2       23         100%       1       20       1       20         50%       N.A.       *       *       *         75%       N.A.       *       *       *         100%       N.A.       *       *		100%	3	15	1	15			
75%         2         20         2         23           100%         1         20         1         20           50%         N.A.         *         *         *           75%         N.A.         *         *         *           100%         N.A.         *         *         *	coAuthorsDBLP	20%	1,228	4 h	4	4.05 h	*		*
100%         1         20         1         20           50%         N.A.         *         *           75%         N.A.         *         *           100%         N.A.         *         *		75%	2	20	2	23			
50% N.A. * * * * * * * 100% N.A. * * * * * * * * * * * * * * * * * *		100%	П	20	1	<u>20</u>			
N.A. * *	citationCiteseer	20%	N.A.	*		*	*		*
N.A. *		75%	N.A.	*		*			
		100%	N.A.	×		*			



Graph	k = 2		k = 3		k = 4		k = 5		k = 6	
	Time	Size								
cagrqc	< 1	44	< 1	45	< 1	46	< 1	46	< 1	46
hepth	< 1	32	< 1	32	< 1	32	< 1	32	< 1	32
hepph	19	239	19	239	19	239	19	239	19	239
coAuthorsCiteseer	15	87	15	87	15	87	16	87	16	87
coAuthorsDBLP	20	115	20	115	20	115	20	115	20	115
citationCiteseer	57	13	*	N.A.	*	N.A.	*	N.A.	*	N.A.

 Table 5
 Efficiency of MAXPLEX(). Running times are expressed in seconds

#### 8 Related works

In the field of network analysis, dense substructures in graphs (aka dense subgraphs) are associated with communities, or more in general sets of closely related elements [17,22]. The problem of finding these substructures has been extensively studied for decades, and continues to be the object of cutting edge research. The simplest and most rigorous definition of dense subgraph is the clique, i.e., a subgraph in which all nodes are pairwise connected. Many algorithms for finding all maximal cliques have been developed, most of them being inspired to the Bron-Kerbosh algorithm [6], such as [16,24] or to the more recent paradigm of *reverse search* [1], such as [9,14,20]. The definition of clique may be too strict in some instances, such as in real datasets where data can be noisy and incomplete, so several definitions of pseudo-clique have been produced [22], such as the k-plex [23].

To the best of our knowledge, our work provides the first meta-algorithm for detecting large k-plexes. The closest works to our are full enumeration k-plexes algorithms, such as [5,8]. The search space reduction mechanisms in [15,18] are inspired by our filtering criteria (in particular, by CORENESS), which have been described originally in [11]. These and other related works are discussed next.

We point out that this is an extended version of the paper appeared in [11]. With respect to our earlier work [11,12], we have: (1) largely improved the filtering algorithm, now referred to as FILTER, (2) extensively extended the related works considering more recent literature, (3) presented a more thorough and extensive experiment campaign, including executions on a parallel architecture, and (4) introduced new practical discussion on how to configure the proposed techniques in real-world applications.

**Full enumeration.** Cohen et al. [8] give a generic framework for enumerating all maximal subgraphs with respect to hereditary and connected hereditary graph properties, i.e., properties that are closed with respect to induced subgraphs and connected induced subgraphs, respectively. Berlowitz et al. [5] apply the framework in [8], together with insights on the k-plex problem, to produce efficient algorithms for the enumeration of maximal k-plexes and maximal connected k-plexes, which are, respectively, hereditary and connected hereditary. An important property of this algorithm is that it is *output-sensitive* for small values of k, that is, the total running time will be a function of the size of the graph and the number of maximal k-plexes. The algorithm for connected k-plexes in [5] outperformed the current state of the art enumeration algorithms, such as the recursive one by Wu et al. [28], and constitutes our baseline for the experimental evaluation. A noteworthy theoretical result is achieved by Zhou et al. [30], who are the first to present an algorithm with guaranteed total time significantly



lower than  $O(2^n)$ : their algorithm runs in  $O(n^2(2-\gamma)^n)$  time, for some  $\gamma$  which depends on k but is strictly larger than 0.

**Largest** k-**plex.** McClosky [21] performs a thorough study to devise exact algorithms for finding the largest k-plex, and heuristics for finding lower upper bounds on its size, exploiting co-k-plexes (i.e., k-plexes on the complement graph) and graph coloring techniques. The usability of such algorithm is however limited to small networks, as the running time exceeds the hour for graphs with hundreds of nodes. We note that, provided with a lower-bound on the size of largest k-plex, our extraction layer can be also helpful in the extreme scenario where we want to enumerate only k-plexes of maximum size. Indeed, one of the ideas behind the more recent algorithm in [18] consists in finding a lower-bound on the size of the largest k-plex and then use CORENESS for filtering out nodes that can be proven only participating in k-plexes with smaller size. Such efficient algorithm is more efficient than those provided by McClosky [21] and can run on larger instances. Recently, a heuristic algorithm for the maximum k-plex has been proposed in [19], based on the fact that k-plexes correspond to graphs of degree bounded by k-1 in the complement graph.

**Parallel algorithms.** Full enumeration can be slow when processing large instances. To this end, Wu et al. [28] propose Pemp, a parallel algorithm for enumerating all the k-plexes, which successfully improves its performance with the usage of multiple cores, which was later improved by Wang et al. [26]. In particular, [15] incorporates a filtering procedure based on *degeneracy* that can be optionally turned on to skip small k-plexes during enumeration. Such filtering criteria, rather than cutting the highest amount of vertices, aims to have minimal time spent on pruning in order to take advantage as quickly as possible of a distributed environment. the result is a computationally lighter pruning procedure which has the same underlying principle as the CORENESS criterion, and can provide similar results in practice, as discussed in Section 7. The k-plex computation step in [15] is then handled via a recursive procedure, which does not have the output-sensitive properties of [5], but shows good performance on real networks. Finally, our LARGEPLEXES() algorithm can be implemented effectively in a distributed environment, as discussed in Section 5.

**Efficient clique enumeration.** Since the number of cliques can be exponential in the worst case [24], a great amount effort was dedicated to find efficient algorithms for clique enumeration [7,10]. These algorithms can decompose the input graph into smaller sub-graphs that can be processed independently, allowing efficient in-memory computation of very large instances. Our BLOCK() method is inspired to the the *blocking* idea in [10]. Technically, we leverage a generalization of the principle in [10] that says that every clique is dominated by any of its nodes, by realizing that every k-plex is dominated by any k of its nodes. In terms of memory-efficiency, the recent work in [13] describes a shared-nothing distributed algorithm for the detection of 2-plexes, that is, for the specific case where k = 2.

**Other quasi-clique models.** Finally, let us observe alternative quasi-clique models studied in the literature, together with some pros and cons concerning their usability for the purpose of finding graphs communities.

Uno [25] considers the notion of dense subgraph, defined as a set S of nodes such that G[S] has density over a desired threshold. Dense subgraphs, however, are challenging to enumerate and the proposed algorithm enumerates also non-maximal solutions (which may be exponentially more in number).

A k-club [22] is a set S of nodes such that G[S] has diameter k; this concept relaxes the adjacency requirement in a clique to distance k in G[S], however they do not have the



*hereditary* property (a subset of a *k*-club may not be a *k*-club), making their detection elusive, and indeed an efficient enumeration algorithm is yet unknown.

Behar et al. [4] give an enumeration algorithms for s-cliques, a set S of nodes each at distance s or less from all others in G. This relaxes the k-club as distances may depend on connections outside of S, which may result in a less cohesive community, but allows for efficient enumeration.

A variant of the k-plex can be found in Zhai et al. [29], who add further connectivity constraints called CLB.

Finally, more models can be found in the survey by Pattillo et al. [22].

Out of all these quasi-clique models, it appears that k-plexes received a larger interest. This is perhaps due to their structure having strong cohesiveness, despite being more relaxed and thus noise-tolerant than a clique, while at the same time maintaining the hereditary property (a subset of a k-plex is a k-plex) and allowing for efficient detection, and thus making them a suitable model for our study.

## 9 Conclusions and future works

We have proposed a novel approach for the enumeration of large k-plexes, that are a formal and meaningful way to define interesting communities in real-world networks that generalizes the notion of clique. Our approach can be implemented efficiently in parallel over a distributed environment, which meets our goal of making the problem of computing k-plexes in large real-world networks practically tractable.

Two main clues have driven our solution:

- a relevant portion of the network can be filtered out before starting the detection of large k-plexes and
- cliques, which are more restricted but can be computed efficiently, can be used as starting points for the search of k-plexes in the network. The efficiency of the approach over state-of-the-art algorithms has been confirmed by our experiments.

Finally, we demonstrated the effectiveness of our approach in a parallel setting, by processing different blocks of LARGEPLEXES() on different cores with a shared memory.

In the future, we intend to further extend the applicability of our approach and tackle the problem of computing large k-plexes on real-world networks in a shared-nothing infrastructure (for any value of k).

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