# THE POINTWISE STABILITIES OF PIECEWISE LINEAR FINITE ELEMENT METHOD ON NON-OBTUSE TETRAHEDRAL MESHES OF NONCONVEX POLYHEDRA

#### HUADONG GAO AND WEIFENG QIU

ABSTRACT. Let  $\Omega$  be a Lipschitz polyhedral (can be nonconvex) domain in  $\mathbb{R}^3$ , and  $V_h$  denotes the finite element space of continuous piecewise linear polynomials. On non-obtuse quasi-uniform tetrahedral meshes, we prove that the finite element projection  $R_h u$  of  $u \in H^1(\Omega) \cap C(\overline{\Omega})$  (with  $R_h u$  interpolating u at the boundary nodes) satisfies

 $||R_h u||_{L^{\infty}(\Omega)} \le C |\log h|||u||_{L^{\infty}(\Omega)}.$ 

If we further assume  $u \in W^{1,\infty}(\Omega)$ , then

 $||R_h u||_{W^{1,\infty}(\Omega)} \le C |\log h|||u||_{W^{1,\infty}(\Omega)}.$ 

## 1. INTRODUCTION

In this paper we consider the Ritz projection  $R_h u \in V_{r,h}$  of  $u \in H^1(\Omega) \cap C(\overline{\Omega})$  satisfying

$$(\nabla R_h u, \nabla v_h)_{\Omega} = (\nabla u, \nabla v_h)_{\Omega}, \quad \forall v_h \in V_{r,h}^0, \tag{1.1}$$

where  $V_{r,h}$  is the finite element subspace of  $H^1(\Omega)$  composed of piecewise polynomials of degree r ( $r \ge 1$ ),  $V_{r,h}^0 = H_0^1(\Omega) \cap V_{r,h}$ , and  $R_h u$  interpolates u at the boundary nodes on  $\partial\Omega$ . In fact,  $R_h u$  is the finite element projection of u onto  $V_{r,h}$  for the model problem

$$\Delta u = f \text{ in } \Omega, \tag{1.2}$$

with Dirichlet boundary condition on  $\partial \Omega$ .

Our motivation is to establish the stability in  $L^{\infty}(\Omega)$ 

$$||R_h u||_{L^{\infty}(\Omega)} \le C ||u||_{L^{\infty}(\Omega)},\tag{1.3a}$$

or 
$$||R_h u||_{L^{\infty}(\Omega)} \le C |\log h|||u||_{L^{\infty}(\Omega)};$$
 (1.3b)

and the stability in  $W^{1,\infty}(\Omega)$  (if  $u \in W^{1,\infty}(\Omega)$ )

$$||R_h u||_{W^{1,\infty}(\Omega)} \le C ||u||_{W^{1,\infty}(\Omega)},$$
(1.4a)

or 
$$||R_h u||_{W^{1,\infty}(\Omega)} \le C |\log h|||u||_{W^{1,\infty}(\Omega)}.$$
 (1.4b)

There are a lot of important works for estimates (1.3) and (1.4). [9] and [16] are the first contributions for general quasi-uniform meshes. On convex polygonal domains, [9] considered piecewise linear (r = 1) approximation while [16] treated the finite element approximation (for any  $r \ge 1$ ) to Neumann problem of (1.2). [13] proved (1.3) and (1.4) on polygonal (can be nonconvex) domains. When r = 1, the estimates provided in [13] are (1.3b) and (1.4b). Thus, estimates (1.3) and (1.4) are valid for most practical domains in  $\mathbb{R}^2$ . On the contrast, in three dimensional space, all existing works [1, 3, 4, 7, 8, 11, 12, 14, 15] for estimates

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(1.3) and (1.4) are available on either domains with smooth boundary or convex polyhedral domains (Instead of explicit assumptions on domains, [1] needs  $||w||_{W^{2,p}(\Omega)} \leq C ||\Delta w||_{L^{p}(\Omega)}$  for some p > 3 in three dimensional space, for any function w with zero trace on  $\partial\Omega$ ).

In this paper, we prove that if the meshes are non-obtuse (all internal dihedral angles of all tetrahedral elements are less than or equal to  $\frac{\pi}{2}$ ), then estimates (1.3b) and (1.4b) hold for the finite element projection (1.1) with piecewise linear finite element space  $V_h = V_{1,h}$  (r = 1).

In Section 2, we provide the main results and all assumptions. In Section 3, we show the proofs of our main results.

### 2. Main results

Let  $\Omega$  be a Lipschitz polyhedra (can be nonconvex) in  $\mathbb{R}^3$ . We denote by  $\mathcal{T}_h$  quasi-uniform conforming tetrahedral meshes of  $\Omega$ . We define  $V_h = H^1(\Omega) \cap P_1(\mathcal{T}_h)$  and  $V_h^0 = H_0^1(\Omega) \cap V_h$ . For any  $u \in H^1(\Omega)$ , we introduce the Ritz projection  $R_h u \in V_h$  to satisfy

$$(\nabla R_h u, \nabla v_h)_{\Omega} = (\nabla u, \nabla v_h)_{\Omega}, \quad \forall v_h \in V_h^0,$$
(2.1)

where  $R_h u$  interpolates u at the boundary nodes on  $\partial \Omega$ . In fact,  $R_h u$  is the finite element projection of u onto  $V_h$ , and (2.1) is exactly the finite element projection (1.1) with r = 1.

Assumption 2.1. For any  $T \in \mathcal{T}_h$ , all internal dihedral angles of the tetrahedral element T are less than or equal to  $\frac{\pi}{2}$ .  $\mathcal{T}_h$  is called non-obtuse tetrahedral meshes of  $\Omega$ .

Assumption 2.2. The mesh  $\mathfrak{T}_h$  of  $\Omega$  can be extended to a larger convex domain  $\Omega$  quasiuniformly with  $\Omega \subseteq \tilde{\Omega}$ . We denote by  $\tilde{\mathfrak{T}}_h$  the extension of  $\mathfrak{T}_h$  on  $\tilde{\Omega}$ .

Remark 2.1. We don't require  $\tilde{T}_h$  introduced in Assumption 2.2 to be non-obtuse for all tetrahedral elements. Only elements  $T \in T_h$  need to be non-obtuse.

**Theorem 2.2.** If Assumption (2.1) and Assumption (2.2) hold, then there is a positive constant C such that for any  $u \in H^1(\Omega) \cap C(\overline{\Omega})$ ,

$$||R_h u||_{L^{\infty}(\Omega)} \le C |\log h|||u||_{L^{\infty}(\Omega)}.$$

**Theorem 2.3.** If Assumption (2.1) and Assumption (2.2) hold, then there is a positive constant C such that for any  $u \in W^{1,\infty}(\Omega)$ ,

$$||R_h u||_{W^{1,\infty}(\Omega)} \le C |\log h|||u||_{W^{1,\infty}(\Omega)}.$$

## 3. Analysis

Proof. (Proof of Theorem 2.2) Since  $u \in C(\overline{\Omega})$ , we denote by  $\tilde{u}$  the extension of u to  $\tilde{\Omega}$ , such that  $u \in C_0(\tilde{\Omega})$  and  $\|\tilde{u}\|_{L^{\infty}(\tilde{\Omega})} = \|u\|_{L^{\infty}(\Omega)}$ . The existence of  $\tilde{u}$  satisfying the above two properties follows from the facts that  $u \in C(\overline{\Omega})$  and the Whitney type extension operator  $\mathcal{E}_0$  in Section 2.2 of Chapter 6 in [17] (see (8) and the proposition in Section 2.2 of Chapter 6 in [17]). We would like to emphasize that we don't need  $\tilde{u} \in H^1(\tilde{\Omega})$ .

We define  $\tilde{V}_h^0 = H_0^1(\tilde{\Omega}) \cap P_1(\tilde{\mathcal{T}}_h)$ . Let  $\tilde{u}_h \in \tilde{V}_h^0$  satisfy

$$(\nabla \tilde{u}_h, \nabla \tilde{v}_h)_{\tilde{\Omega}} = \Sigma_{T \in \tilde{\mathcal{I}}_h} \left( -(\tilde{u}, \Delta \tilde{v}_h)_T + \langle \tilde{u}, \nabla \tilde{v}_h \cdot \vec{n} \rangle_{\partial T} \right), \quad \forall \tilde{v}_h \in \dot{V}_h^0.$$
(3.1)

Here  $\vec{n}$  is the outward unit normal vector along  $\partial T$  for any  $T \in \mathfrak{T}_h$ . For any  $v_h \in V_h^0 = H_0^1(\Omega) \cap P_1(\mathfrak{T}_h)$ , we denote by  $\tilde{v}_h \in \tilde{V}_h^0$  the zero extension of  $v_h$  to  $\tilde{\Omega}$ . By (3.1) and the definition of  $\tilde{v}_h$ , it is easy to see that

$$(\nabla \tilde{u}_h, \nabla v_h)_{\Omega} = (\nabla \tilde{u}_h, \nabla \tilde{v}_h)_{\tilde{\Omega}}$$

$$= \Sigma_{T \in \tilde{\mathcal{T}}_h} (-(\tilde{u}, \Delta \tilde{v}_h)_T + \langle \tilde{u}, \nabla \tilde{v}_h \cdot \vec{n} \rangle_{\partial T})$$

$$= \Sigma_{T \in \mathcal{T}_h} (-(\tilde{u}, \Delta \tilde{v}_h)_T + \langle \tilde{u}, \nabla \tilde{v}_h \cdot \vec{n} \rangle_{\partial T})$$

$$= \Sigma_{T \in \mathcal{T}_h} (-(u, \Delta v_h)_T + \langle u, \nabla v_h \cdot \vec{n} \rangle_{\partial T}) = (\nabla u, \nabla v_h)_{\Omega}.$$
(3.2)

The last equality holds since  $u \in H^1(\Omega)$ . On the other hand, since  $\tilde{\Omega}$  is convex and  $\tilde{u} \in C_0(\tilde{\Omega})$ , (3.1) and [8, Theorem 12] imply that

$$\|\tilde{u}_h\|_{L^{\infty}(\tilde{\Omega})} \le C |\log h| \|\tilde{u}\|_{L^{\infty}(\tilde{\Omega})} = C |\log h| \|u\|_{L^{\infty}(\Omega)}.$$
(3.3)

We notice that  $R_h u \in V_h = H^1(\Omega) \cap P_1(\mathfrak{T}_h)$  satisfies

$$(\nabla R_h u, \nabla v_h)_{\Omega} = (\nabla u, \nabla v_h)_{\Omega}, \quad \forall v_h \in V_h^0 = H_0^1(\Omega) \cap V_h.$$

Thus, by the above equation and (3.2), we have that  $(R_h u - \tilde{u}_h)|_{\Omega} \in V_h$  and

$$(\nabla (R_h u - \tilde{u}_h), \nabla v_h)_{\Omega} = 0, \quad \forall v_h \in V_h^0 = H_0^1(\Omega) \cap V_h$$

By Assumption (2.1) and [18, Theorem 3.2 and Lemma 5.1(iii)] (or by [2, 5, 6]), the above equation implies that

$$\|R_h u - \tilde{u}_h\|_{L^{\infty}(\Omega)} \le \|R_h u - \tilde{u}_h\|_{L^{\infty}(\partial\Omega)} \le \|u\|_{L^{\infty}(\partial\Omega)} + \|\tilde{u}_h\|_{L^{\infty}(\partial\Omega)}.$$
(3.4)

Thus, by (3.3) and (3.4), it is easy to see that

$$\begin{aligned} \|R_h u\|_{L^{\infty}(\Omega)} &\leq \|R_h u - \tilde{u}_h\|_{L^{\infty}(\Omega)} + \|\tilde{u}_h\|_{L^{\infty}(\Omega)} \\ &\leq \|u\|_{L^{\infty}(\partial\Omega)} + \|\tilde{u}_h\|_{L^{\infty}(\partial\Omega)} + \|\tilde{u}_h\|_{L^{\infty}(\Omega)} \\ &\leq \|u\|_{L^{\infty}(\Omega)} + 2\|\tilde{u}_h\|_{L^{\infty}(\Omega)} \leq C|\log h|\|u\|_{L^{\infty}(\Omega)}. \end{aligned}$$

The proof is complete.

*Proof.* (Proof of Theorem 2.3) We denote by  $I_h u$  the standard interpolation of u on  $V_h = H^1(\Omega) \cap P_1(\mathcal{T}_h)$ .

By applying Theorem 2.2 to  $u - I_h u$ , we have

$$||R_h u - I_h u||_{L^{\infty}(\Omega)} \le C |\log h|||u - I_h u||_{L^{\infty}(\Omega)}.$$

By inverse inequality and approximation properties of  $I_h$ ,

$$||R_h u||_{W^{1,\infty}(\Omega)} \le ||R_h u - I_h u||_{W^{1,\infty}(\Omega)} + ||I_h u||_{W^{1,\infty}(\Omega)}$$
  
$$\le Ch^{-1} ||R_h u - I_h u||_{L^{\infty}(\Omega)} + C ||u||_{W^{1,\infty}(\Omega)} \le C ||u||_{W^{1,\infty}(\Omega)}.$$

The proof is complete.

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