A tale of two databases: The use of Web of Science and Scopus in academic papers

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Abstract Web of Science and Scopus are two world-leading and competing citation databases. By using the Science Citation Index Expanded and Social Sciences Citation Index, this paper conducts a comparative, dynamic, and empirical study focusing on the use of Web of Science (WoS) and Scopus in academic papers published during 2004 and 2018. This brief communication reveals that although both Web of Science and Scopus are increasingly used in academic papers, Scopus as a new-comer is really challenging the dominating role of WoS. Researchers from more and more countries/regions and knowledge domains are involved in the use of these two databases. Even though the main producers of related papers are developed economies, some developing economies such as China, Brazil and Iran also act important roles but with different patterns in the use of these two databases. Both two databases are widely used in meta-analysis related studies especially for researchers in China. Health/medical science related domains and the traditional Information Science & Library Science field stand out in the use of citation databases.

Keywords Web of Science; Scopus; Citation database; Bibliometric analysis; Meta-analysis

Introduction

As the most important legacy of Eugene Garfield (Li et al. 2018; Jacso 2018), Web of Science (WoS) Core Collection especially its three classical journal citation indexes, i.e. Science Citation Index Expanded (SCIE), Social Sciences Citation Index (SSCI), and Arts & Humanities Citation Index (A&HCI), are well-known and widely used in academia (Hu et al. 2018; Liu et al. 2020; Tang & Shapira 2011). By focusing on the database itself, Li et al. (2018) conduct a pioneer empirical analysis on the use of Web of Science during 1997 and 2017 and uncover the characteristics of the academic use of WoS across countries/regions, institutions, and knowledge domains. Moreover, in order to depict the non-transparent use of WoS, Liu (2019) also finds that an increasing number of papers have mentioned WoS in their topic field. Although the new-comer Scopus was launched in 2004, it is a powerful competitor of WoS and is attempting to challenge the dominating role of WoS. Various studies have compared these two databases from different perspectives (Abdulhayoglu & Thijs 2018; Adriaanse & Rensleigh 2013; Harzing & Alakangas 2016; Martín-Martín et al. 2018; Meho & Sugimoto 2009; Moed et al. 2018; Mongeon & Paul-Hus 2016; Wang & Waltman 2016; Zhu et al. 2019a, 2019b). However, to the best of our knowledge, no empirical study has been conducted focusing on the use of Scopus in academic papers let alone a comparative study about both of them. Some questions are interesting for further investigation: 1) Is Scopus really threatening the dominating role of WoS? 2) Do the researchers from different countries/regions and research fields have any preference in choosing these two databases?

This study tries to answer these questions by conducting a comparative, dynamic, and empirical analysis focusing on the use of WoS and Scopus in academic papers. The remaining part of this paper is organized as follows. This study first describes the data and methods used in this research and then presents the dynamics, main contributors and knowledge domains of the use of WoS and Scopus in academic research respectively. Lastly, this study ends with the conclusion and discussion.

Data and methods

The web-based Web of Science was launched in 1997 and renamed Web of Science Core Collection around 2014¹. The WoS integrated SCIE, SSCI and A&HCI indexes initially in 1997² and expanded its coverage gradually (Liu 2019; Rousseau et al. 2018). To keep consistent, this study uses "Web of Science" and the abovementioned three index names as the keywords to retrieve WoS-related records³. The Scopus database was launched in 2004, therefore this study sets the time span between 2004 and 2018 for analysis. The WoS's topic field is used to search via the advanced search platform⁴. The following two queries are used to search WoS- and Scopus-related records⁵. The data source is limited to SCIE and SSCI. The search was conducted on 9th August via the library of

http://wokinfo.com/nextgenwebofscience?elq=4e2a3b0638fb400cae0565fc0e03a24e&elqCampaignId=820 1

² https://www.thomsonreuters.cn/zh/about-us/company-history.html

³ The search strategy used in this study is a bit different to that used by Li et al. (2018), both these two search strategies may introduce a very small percentage of records which have only mentioned some regional citation indexes such as Chinese Social Sciences Citation Index.

⁴ Although Web of Science's topic search (search in title, abstract, author keywords and keywords plus fields) is widely used in practice, the search in the keywords plus field may introduce some noise. Besides, records which only mention the data sources in the data and methods section will also be omitted in this study.

⁵ According to Wikipedia, Scopus also has some other meanings. This study excluded these ambiguous records manually.

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#1 TS=("Web of Science" OR "Science Citation Index" OR "Social Science* Citation Index" OR "Art* and Humanit* Citation Index" OR "Art* & Humanit* Citation Index")

#2 TS="Scopus"

Indexes=SCIE, SSCI; Timespan=2004-2018

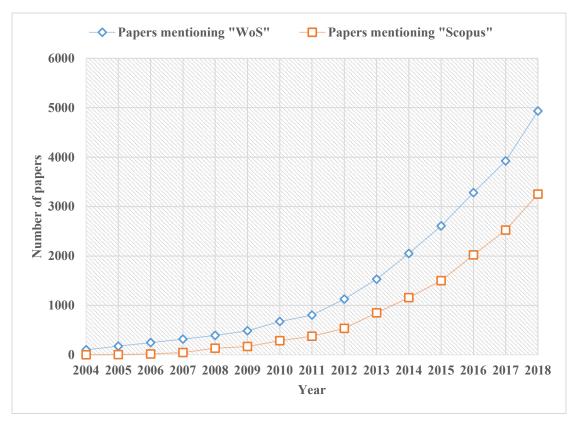
Analyses

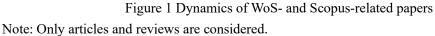
Dynamics of the use of WoS and Scopus in academic papers

The search query #1 retrieves 22890 hits of WoS-related records. This study keeps 22648 articles and reviews for further analysis. Figure 1 depicts the dynamics of the annual production of WoS-related papers. The number of papers mentioning "WoS" rose rapidly from 102 in 2004 to 4932 in 2018, especially after 2011, which demonstrates the increasing use of WoS in scientific papers.

After manually excluding 18 ambiguous records, the study identifies 12953 Scopus-related records published during 2004 and 2018 in SCIE and SSCI databases. 12861 articles and reviews are selected for further analysis. Similar to the WoS-related records, the annual production of Scopus-related records also grows rapidly. Only two Scopus-related papers were published in 2005⁶, however, the number of Scopus-related papers went up to 3252 in 2018. As a new database, Scopus is increasingly used (at least mentioned) in academic papers (only a bit less than the competitor WoS) and is challenging the dominating role of WoS, which is obviously revealed by Figure 1.

⁶Two records published in 2004 are related to Scopus, however, one of them is news item and another one is editorial material which are excluded from this study.





Main contributors of WoS- and Scopus-related papers

During the past 15 years, researchers from over 140 countries/regions have contributed to WoS-related papers. China leads with 6938 (30.6%) papers, followed by the USA (4261, 18.8%) and the UK (3372, 14.9%)⁷. Similarly, about 140 countries/regions have contributed to Scopus-related papers. Comparatively, the USA leads with 3093 (24.0%) Scopus-related papers followed by the UK (1590, 12.4%) and Australia (1438, 11.2%). However, China, although the largest contributor of WoS-related papers, only ranks as the 9th contributor of Scopus-related papers (737, 5.7%).

In order to depict the dynamics of the main contributors of WoS- and Scopus-related papers, this study splits the 15-year period into three successive 5-year phases: 2004-2008, 2009-2013, and 2014-2018. Table 1 lists the top 10 countries/regions which have contributed most to WoS- and Scopus-related research in each phase.

The USA, as the dominating research power, takes the lead in the number of Scopus-related papers in all the three phases. Researchers from the USA also produced the largest number of WoS-related

⁷Echoing the finding of Liu et al. (2017) and Liu et al. (2018), a small percentage of country/region information omission is also identified. This study merges England, Scotland, Wales and North Ireland into the UK.

papers during the first two phases but were replaced by China in the third phase. Although the number of related papers produced by the USA is increasing during all the three phases, its relative share is decreasing for both WoS- and Scopus-related papers.

According to Table 1, most of the main contributors of these two databases related papers are also developed economies. One possible explanation is that these developed economies have enough budget to subscribe to these two expensive databases while the budget of many developing economies may be limited. However, Brazil, China and Iran, the three developing economies are also the main contributors of related research. What's more, they demonstrate different patterns regarding the publishing of WoS- and Scopus-related papers. Researchers from Brazil contribute 1202 (5.3%, 7th) WoS-related papers and 1130 (8.8%, 5th) Scopus-related papers during the past 15 years. However, as mentioned before, researchers from China produced much more WoS-related papers than Scopus-related papers among all the three phases (from both absolute and relative perspectives). Contrarily, Iran contributed 670 (3.0%, 11th) WoS-related papers but 1105 (8.6%, 6th) Scopus-related papers.

Phase		Papers mentioning	Web of S	Science	Papers mentioning Scopus			
	Rank	Countries/regions	#	%	Countries/regions	#	%	
	1	USA	339	27.6	USA	71	36.6	
	2	UK	231	18.8	UK	28	14.4	
	3	Canada	112	9.1	Canada	24	12.4	
200	4	Netherlands	92	7.5	Greece	24	12.4	
2004-2008	5	Spain	82	6.7	Germany	16	8.2	
800	6	Australia	60	4.9	Iran	12	6.2	
	7	Peoples R China	51	4.1	Italy	12	6.2	
	8	Germany	49	4.0	Switzerland	7	3.6	
	9	Brazil	43	3.5	Israel	5	2.6	
	10	Denmark	42	3.4	Netherlands	5	2.6	
	1	USA	1012	21.9	USA	656	29.7	
	2	Peoples R China	925	20.0	UK	270	12.2	
	3	UK	895	19.4	Australia	208	9.4	
20	4	Canada	397	8.6	Canada	199	9.0	
-600	5	Netherlands	338	7.3	Brazil	155	7.0	
2009-2013	6	Australia	317	6.9	Spain	153	6.9	
ω	7	Spain	242	5.2	Italy	145	6.6	
	8	Germany	205	4.4	Iran	133	6.0	
	9	Brazil	202	4.4	Greece	118	5.3	
	10	Italy	191	4.1	Netherlands	118	5.3	
2014-	1	Peoples R China	5962	35.5	USA	2366	22.6	
; [4	2	USA	2910	17.3	UK	1292	12.4	

Table 1 Main contributors of WoS-and Scopus-related papers

3	UK	2246	13.4	Australia	1228	11.7
4	Australia	1149	6.8	Italy	1084	10.4
5	Canada	1028	6.1	Brazil	973	9.3
6	Brazil	957	5.7	Iran	960	9.2
7	Netherlands	807	4.8	Spain	685	6.6
8	Italy	787	4.7	Canada	684	6.5
9	Germany	701	4.2	Peoples R China	644	6.2
10	Spain	697	4.1	Germany	369	3.5

Note: #, number of papers; %, relative share. Only articles and reviews are considered.

Knowledge domains of relevant papers

Role of meta-analysis

It is well known by researchers in the field of library and information science that both the classical Web of Science and the rising star Scopus are widely used in bibliometric related studies (Ellegaard 2018; Lei & Liu 2019; Yu et al. 2018). By using the following search queries #3 and #4, this study also identifies both citation database and meta-analysis related records⁸.

#3 TS=("Meta analy*" OR "Metaanaly*") and #1

#4 TS=("Meta analy*" OR "Metaanaly*") and #2

According to the data, 50.1% of the WoS-related records published during the past 15 years are also meta-analysis related. Comparatively, 39.1% of the Scopus-related records are meta-analysis related. Figure 2 demonstrates the increase of WoS+meta-analysis and Scopus+meta-analysis related records in SCIE and SSCI databases. That is to say, both WoS and Scopus are widely used in meta-analysis related studies. Another surprising finding is that 50.0% of all the WoS+meta-analysis related papers are contributed by China followed by the USA (15.8%). Comparatively, the USA still leads with 27.0% of Scopus+meta-analysis related papers and China ranks as the 5th contributor with the share of 10.3%.

⁸ For information about meta-analysis, please refer to Gurevitch et al. (2018). A similar search method was also used by Guilera et al. (2013).



Figure 2 Dynamics of meta-analysis related papers Note: Only articles and reviews are considered.

Distribution of Web of Science categories

The WoS-related papers published during the past 15 years cover over 200 Web of Science categories. The category Medicine, General & Internal leads with 3347 papers (14.8%), followed by Oncology (1692, 7.5%), Information Science & Library Science (1371, 6.1%), Public, Environmental & Occupational Health (1262, 5.6%) and Surgery (1236, 5.5%).

Similarly, the Scopus is also widely used (at least mentioned) in over 200 Web of Science categories. Medicine, General & Internal also leads with 1037 papers (8.1%), followed by Pharmacology & Pharmacy (914, 7.1%), Surgery (864, 6.7%), Public, Environmental & Occupational Health (856, 6.7%) and Information Science & Library Science (662, 5.1%).

The Science overlay maps of WoS- and Scopus-related papers during the whole 15-year study period are demonstrated in Figure 3 and Figure 4 (Leydesdorff et al. 2013; Zhang et al. 2016). The size of the node is positively associated with the number of papers in each category. The Science overlay map gives a full picture of WoS- and Scopus-related papers. As evidenced by the Figure 3 and Figure 4, both WoS and Scopus are widely used in various domains.

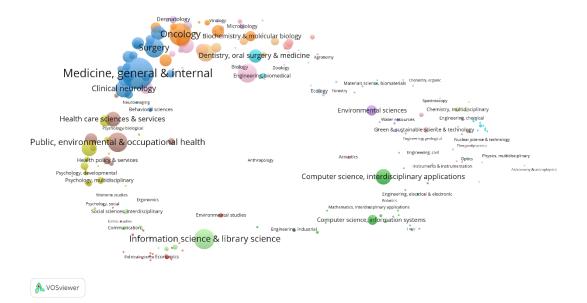


Figure 3 Science overlay map of WoS-related papers (2004-2018)

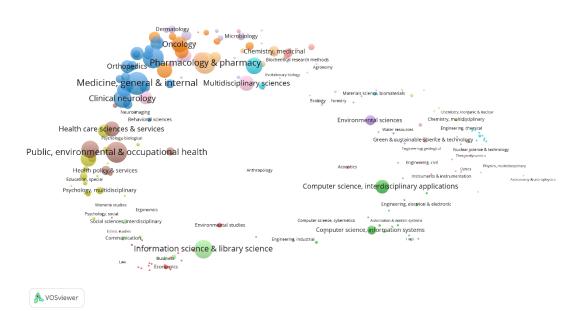


Figure 4 Science overlay map of Scopus-related papers (2004-2018)

In order to depict the dynamics of the distribution of Web of Science categories, the top 10 categories of each phase are listed in Table 2. We also calculate the relative share of each category among all the WoS/Scopus-related records and the ratio of studies that use WoS/Scopus in a specific category relative to the total number of studies in that category. Echoing the finding of Li et al. (2018) and Liu (2019) based on WoS-related papers, health/medical science related categories play important roles in taking WoS and Scopus as the data source for academic research. Information Science & Library Science also stands out. Although papers belong to this category also grow gradually, its

rankings and relative shares (columns: % Within WoS/Scopus studies) decrease for both two groups⁹. However, compared to other top categories, the use of WoS/Scopus in this category are always more frequently for all the three phases (columns: % Within entire category). Besides, both WoS and Scopus are more and more frequently mentioned in Information Science & Library Science records evidenced by the rising shares provided by columns of % Within entire category in Table 2.

Medicine, General & Internal is the largest category for both groups. This category leads in all the three phases for both two groups, with the exception of the first phase in the Scopus group. However, along with the increasing number of categories involving in the use of WoS and Scopus for academic research, the relative share of papers in the category of Medicine, General & Internal is also decreasing for both two groups (columns: % Within WoS/Scopus studies). Besides, the relative shares of Medicine, General & Internal for the WoS group are much higher than the Scopus group for all the three phases (columns: % Within WoS/Scopus studies).

⁹ The decease of relative shares (columns: % within WoS/Scopus studies) in Information Science & Library Science is due to faster growth rates in some other categories where literature mentions WoS/Scopus.

Pl	hase	Papers mentioning W	eb of Science	2		Papers mention	ning Scopu	8	
	Rank	Web of Science Categories	# WoS studies	% Within WoS studies	% Within entire category	Web of Science Categories	# Scopus studies	% Within Scopus studies	% Within entire category
	1	Medicine, General & Internal	309	25.1	0.4	Information Science & Library Science	33	17.0	0.2
	2	Information Science & Library Science	193	15.7	1.4	Medicine, General & Internal	30	15.5	0.0
2004-2008	3	Computer Science, Interdisciplinary Applications	90	7.3	0.2	Pharmacology & Pharmacy	21	10.8	0.0
	4	Public, Environmental & Occupational Health	74	6.0	0.1	Clinical Neurology	17	8.8	0.0
	5	Computer Science, Information Systems	67	5.4	0.1	Anesthesiology	16	8.2	0.1
	6	Pharmacology & Pharmacy	62	5.0	0.0	Computer Science, Information Systems	16	8.2	0.0
	7	Psychiatry	42	3.4	0.1	Computer Science, Interdisciplinary Applications	10	5.2	0.0
	8	Surgery	41	3.3	0.0	Infectious Diseases	9	4.6	0.0
	9	Gastroenterology & Hepatology	40	3.3	0.1	Dentistry, Oral Surgery & Medicine	8	4.1	0.0
	10	Health Care Sciences & Services	37	3.0	0.1	Obstetrics & Gynecology	8	4.1	0.0
	1	Medicine, General & Internal	908	19.7	0.9	Medicine, General & Internal	204	9.2	0.2
20	2	Information Science & Library Science	446	9.7	2.5	Information Science & Library Science	199	9.0	1.1
2009-2013	3	Oncology	254	5.5	0.2	Pharmacology & Pharmacy	194	8.8	0.1
013	4	Computer Science, Interdisciplinary Applications	245	5.3	0.4	Public, Environmental & Occupational Health	133	6.0	0.1
	5	Public, Environmental & Occupational Health	243	5.3	0.2	Surgery	112	5.1	0.1

	6	Surgery	211	4.6	0.1	Computer Science, Interdisciplinary Applications	96	4.3	0.2
	7	Multidisciplinary Sciences	208	4.5	0.1	Nursing	91	4.1	0.3
	8	Pharmacology & Pharmacy	196	4.2	0.1	Clinical Neurology	90	4.1	0.1
	9	Health Care Sciences & Services	183	4.0	0.5	Oncology	87	3.9	0.1
	10	Psychiatry	174	3.8	0.2	Psychiatry	84	3.8	0.1
	1	Medicine, General & Internal	2130	12.7	1.7	Medicine, General & Internal	803	7.7	0.6
	2	Oncology	1410	8.4	0.6	Surgery	744	7.1	0.4
	3	Surgery	984	5.9	0.6	Public, Environmental & Occupational Health	716	6.8	0.5
)	4	Multidisciplinary Sciences	969	5.8	0.3	Pharmacology & Pharmacy	699	6.7	0.3
	5	Public, Environmental & Occupational Health	945	5.6	0.6	Clinical Neurology	548	5.2	0.4
	6	Clinical Neurology	752	4.5	0.5	Nursing	485	4.6	1.2
5	7	Pharmacology & Pharmacy	752	4.5	0.4	Dentistry, Oral Surgery & Medicine	471	4.5	1.0
	8	Information Science & Library Science	732	4.4	3.5	Information Science & Library Science	430	4.1	2.0
	9	Medicine, Research & Experimental	688	4.1	0.5	Oncology	418	4.0	0.2
	10	Psychiatry	560	3.3	0.6	Nutrition & Dietetics	402	3.8	0.6

Note: # WoS/Scopus studies, number of WoS/Scopus-related studies; % Within WoS/Scopus studies, relative share within all WoS/Scopus-related studies; % Within entire category, relative share within all SCI/SSCI records in this category. Only articles and reviews are considered.

Distribution of main publishing journals

During the past 15 years, over 3000 journals have published WoS-related papers and over 2500 journals have published Scopus-related papers. Cochrane Database of Systematic Reviews leads with 1506 (6.7%) WoS-related papers, followed by PLoS One (904, 4.0%), Scientometrics (621, 2.7%), Medicine (498, 2.2%), and BMJ Open (354, 1.6%). Comparatively, PLoS One leads with 349 (2.7%) Scopus-related papers followed by Scientometrics (260, 2.0%), BMJ Open (189, 1.5%), Cochrane Database of Systematic Reviews (132, 1.0%), and Journal of Ethnopharmacology (101, 0.8%). These two groups share four common journals among each group's top 5 journals, although the rankings are a bit different. The relative shares of top journals for Scopus-related papers are much smaller than that for WoS-related papers, which indicates more even distribution of Scopus-related papers among publishing journals.

Table 3 lists the top 10 journals in each phase for two groups. Although more and more journals are involved in publishing WoS- or Scopus-related papers, most of the top journals are from the domain of library and information science or health/medical science. Although the main journals from the domain of health/medical science are a bit different for the two groups, they share some library and information science journals such as Scientometrics, Journal of the American Society for Information Science and Technology, and Journal of Informetrics.

P	nase	Papers mentioning Web of Science			Papers mentioning Scopus		
	Rank	Journals	#	%	Journals	#	%
	1	Cochrane Database of Systematic Reviews	210	17.1	Schmerz	10	5.2
	2	Scientometrics	78	6.3	Online Information Review	7	3.6
2	3	Journal of the American Society for Information Science and Technology	34	2.8	Journal of the American Society for Information Science and Technology	6	3.1
2004-2008	4	JAMA Journal of the American Medical Association	17	1.4	Clinical Therapeutics	5	2.6
-20(5	Annals of Pharmacotherapy	15	1.2	Journal of Informetrics	5	2.6
8(6	Journal of Advanced Nursing	11	0.9	Scientometrics	5	2.6
	7	Annals of Internal Medicine	10	0.8	Cochrane Database of Systematic Reviews	4	2.1
	8	BMJ British Medical Journal	9	0.7	JAMA Journal of the American Medical Association	4	2.1
	9	Journal of Informetrics	9	0.7	Journal of Antimicrobial Chemotherapy	4	2.1
	10	Alimentary Pharmacology Therapeutics	8	0.7	Archives of Internal Medicine	3	1.5
	1	Cochrane Database of Systematic Reviews	612	13.2	Scientometrics	68	3.1
	2	Scientometrics	188	4.1	PLoS One	61	2.8
	3	PLoS One	182	3.9	Cochrane Database of Systematic Reviews	49	2.2
2009-2013	4	Journal of the American Society for Information Science and Technology	70	1.5	Journal of Informetrics	24	1.1
·2013	5	Health Technology Assessment	57	1.2	Journal of the American Society for Information Science and Technology	24	1.1
	6	Journal of Informetrics	46	1.0	Journal of Advanced Nursing	17	0.8
	7	Asian Pacific Journal of Cancer Prevention	32	0.7	Annals of Internal Medicine	15	0.7
	8	BMJ British Medical Journal	31	0.7	European Urology	15	0.7

Table 3 Main publishing journals of WoS- and Scopus-related papers

	9	Tumor Biology	30	0.6	Journal of Ethnopharmacology	15	0.7
	10	World Journal of Gastroenterology	29	0.6	Online Information Review	15	0.7
	1	PLoS One	718	4.3	PLoS One	288	2.8
	2	Cochrane Database of Systematic Reviews	684	4.1	Scientometrics	187	1.8
	3	Medicine	498	3.0	BMJ Open	180	1.7
	4	Scientometrics	355	2.1	Journal of Ethnopharmacology	85	0.8
2014-	5	BMJ Open	337	2.0	Cochrane Database of Systematic Reviews	79	0.8
4-2018	6	International Journal of Clinical and Experimental Medicine	292	1.7	Medicine	67	0.6
	7	Oncotarget	282	1.7	Sports Medicine	59	0.6
	8	Oncotargets and Therapy	138	0.8	International Journal of Nursing Studies	56	0.5
	9	Scientific Reports	137	0.8	Iranian Journal of Public Health	54	0.5
	10	Tumor Biology	118	0.7	Journal of Informetrics	51	0.5

Note: #, number of papers; %, relative share. Only articles and reviews are considered.

Conclusion

By using data from the SCIE and SSCI indexes, this study conducts a comparative, dynamic, and empirical analysis focusing on the use of Web of Science and Scopus in academic papers published during 2004 and 2018. This study shows that more and more papers have used (at least mentioned) WoS/Scopus for academic research. Scopus as the new-comer, is challenging the dominating role of WoS. Although researchers from increasing number of countries/regions are involved, the main contributors are still the developed economies. China, Brazil and Iran are three developing economies who also contribute a lot to WoS- and Scopus-related research. However, their patterns in using WoS and Scopus for academic research vary significantly. The database preference may be influenced by a variety of factors including data source availability, data quality and coverage and even users' past experience. China's overrepresentation in WoS-related papers may partly due to the overemphasis of SCIE and SSCI indexed publications in China (Liu et al. 2015a, 2015b; Quan et al. 2017; Tang et al. 2015).

This study also finds a large share of WoS- and Scopus-related papers which are associated with the meta-analysis. That is to say, besides the wide use of WoS and Scopus in bibliometric related studies, both these two databases are also widely used in meta-analysis related studies, especially in China. What's more, researchers from more and more knowledge domains are using WoS and Scopus for academic research. Both the WoS and Scopus are widely used in health/medical science related domains and the traditional Information Science & Library Science field.

This short communication also has some limitations. Firstly, the search strategy used in this study is a balance between recall and precision. For example, this study only uses the topic field to identify related studies rather than searching in the full text. Many related records which may only mention the data source in the methods section will be omitted in this study. Secondly, similar to the work of Li et al. (2018), a deeper analysis focusing on the content of WoS- and Scopus-related papers is also deserved further investigation in the future. A classification of the use of these two databases into meta-analysis (as provided in Role of meta-analysis section of this paper), research evaluation and so on is also an interesting topic. The databases may be used for different purposes in different fields. Besides, this study only focuses on the use of these two databases in academic papers, the use of these two databases reflected by policy documents or evaluation practice is also deserved further investigation.

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