

Science and Engineering Ethics

Equal Co-Authorship Practices: Review and Recommendations

--Manuscript Draft--

Manuscript Number:	JSEE-D-19-00204R2
Full Title:	Equal Co-Authorship Practices: Review and Recommendations
Article Type:	Review
Keywords:	Scientific Authorship; Equal Co-authorship; Equal Contribution; Authorship Attribution; Recognition
Corresponding Author:	Mohammad Hosseini Dublin City University Dublin, IRELAND
Corresponding Author Secondary Information:	
Corresponding Author's Institution:	Dublin City University
Corresponding Author's Secondary Institution:	
First Author:	Mohammad Hosseini
First Author Secondary Information:	
Order of Authors:	Mohammad Hosseini
Order of Authors Secondary Information:	
Funding Information:	
Abstract:	<p>There has been an increase in the number of journal articles that are co-authored by researchers who claim to have made equal contributions. This growth has sparked discussions in the literature, especially within medical journals. To extend the debate beyond medical disciplines and support journal editors in forming an opinion, the current review collates and explores published viewpoints about so-called Equal Co-authorship (EC) practices. The Web of Science core database was used to identify publications that mention and discuss EC. Within the limited number of publications that were found on the Web of Science database, the most-cited item was used to trace other papers that discuss EC. In total, 39 papers (including articles and editorials) met the inclusion criteria. This review identifies four main themes within the sample including the growth of EC, challenges of attributing EC, guidelines and policies about EC and gender issues in the attribution of EC. Based on the survey and analysis of publications that discuss EC, this review provides recommendations regarding journal policy statements, and EC indicators. Those recommendations include: 1) Journal policies should address EC; and 2) Use should be made of available functionalities (CRediT, for example) to capture and indicate equal contributions.</p>

Abstract

There has been an increase in the number of journal articles that are co-authored by researchers who claim to have made equal contributions. This growth has sparked discussions in the literature, especially within medical journals. To extend the debate beyond medical disciplines and support journal editors in forming an opinion, the current review collates and explores published viewpoints about so-called Equal Co-authorship (EC) practices. The Web of Science core database was used to identify publications that mention and discuss EC. Within the limited number of publications that were found on the Web of Science database, the most-cited item was used to trace other papers that discuss EC. In total, 39 papers (including articles and editorials) met the inclusion criteria. This review identifies four main themes within the sample including the growth of EC, challenges of attributing EC, guidelines and policies about EC and gender issues in the attribution of EC. Based on the survey and analysis of publications that discuss EC, this review provides recommendations regarding journal policy statements, and EC indicators. Those recommendations include: 1) Journal policies should address EC; and 2) Use should be made of available functionalities (CRediT, for example) to capture and indicate equal contributions.

Keywords: Scientific Authorship, Equal Co-authorship, Equal Contribution, Authorship Attribution, Recognition

Introduction

The practice of sharing (at least) one of the positions in the byline between two or more authors is often referred to as Equal Co-authorship (EC). In 2009, Xiaojun Hu was the first academic to inform the scientific community about the rise of EC using a quantitative analysis. She illustrated the growth of EC in the *Journal of Biological Chemistry* over a 10-year period. Furthermore, she showed that a similar pattern exists in other journals within the discipline of Biochemistry and Molecular Biology (*Proceedings of the National Academy of Sciences of the United States of America (PNAS)*, *Journal of Immunology* and the *Journal of Virology*). Considering the growth of EC to be an unfortunate affair that resulted from putting too much emphasis on authorship order and bibliometric analysis, Hu concluded that more research on EC is warranted (Hu 2009). Since then, the rise of EC has been measured in other areas of medicine, and sometimes explored within the context of emerging issues in scientific authorship or publication ethics.

While the discussion of EC by medical researchers and ethicists (some of whom are also trained medical professionals) has been fruitful, it has resulted in a siloed debate that mainly takes place in medical journals. Consequently, after a decade of measuring the growth rate of EC in different medical disciplines and discussing it from different perspectives, the debate is likely to be unfamiliar to researchers and journal editors from other areas of Science where EC might be on the rise. On that basis, this review aims to collate published viewpoints, discussions and explanations in support or rejection of EC, to help the debate spread beyond medical disciplines and support journal editors in developing policies about EC. Towards that aim, this review conducts a systematic search to identify published items that discuss EC and provides an analysis of how EC is viewed in the literature.

Methods

1
2
3 For this review, the Web of Science (Clarivate Analytics 2020) core collection was used to find
4 relevant sources. In order to retrieve the most useful sources that pay specific attention to EC, the
5 following combination of terms were used to search in the titles of publications: “equal*” AND
6 “author*”, “equal* contribution*” AND “author*”, and “equal*” AND “co-author*”. The search was
7 not limited by date and all publications published in English were included. After reviewing titles and
8 abstracts of the resulted items, papers in which equal or equality were not referring to the practice
9 of sharing authorship were excluded. Further, the most cited paper among the shortlisted items —
10 (Akhabue and Lautenbach 2010)¹ — was used to trace articles that discuss EC but do not mention it
11 in their titles. The title and abstracts of all papers that cited Akhabue and Lautenbach’s 2010 article
12 were reviewed too. Additionally, the references of retrieved items were examined to identify any
13 additional papers that discuss EC. At this stage, 70 items were shortlisted for full-text reading.
14
15 Among those that were fully read, 31 were excluded because they did not discuss EC in a significant
16 way, or only mentioned the growth of EC as explored by others without adding further insights (See
17 figure 1).

18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37 [FIGURE 1 HERE]

38
39
40
41 Consequently, a total of 39 papers were analyzed using an inductive approach. The analysis involved
42 highlighting segments that contained viewpoints about EC and creating a label for that part. After
43 reducing overlaps and redundancy among labels, each paper was assigned a theme that indicates
44 what aspect of EC is being explored (in a significant way) in that paper.
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59

60 ¹ According to The Web of Science, until August 2019 this paper was cited 41 times.
61
62
63
64
65

Results

Characteristics of the sample

Papers that met the inclusion criteria either exclusively discuss EC, or, explore EC within a wider context (e.g. ethical issues of scientific authorship). In analyzing these papers, four main themes emerged. These themes include challenges of attributing EC (14 papers), policies and guidelines regarding EC (12 papers), the growth of EC in various disciplines (10 papers), and gender issues in the attribution of EC (3 papers).

Challenges of attributing EC

Most papers that engage with challenges of attributing EC analyze this practice in the context of collaborative authorship and mention EC as one of the complexities of scientific authorship that may contribute to ethical issues (See table 1).

[TABLE 1 HERE]

For instance, Esposito (2016) argues that since EC complicates determining the primary contributors to publications, it may contribute to the loss of scientific credibility of publications. Furthermore, it might also enable post-publication malpractices such as swapping equal authors' positions in the CVs. Difficulties in identifying the sequence of equal authors is another challenge. In most disciplines, first and last positions in the byline are the most coveted, and in attributing EC, controversies might emerge in choosing the very first of equal authors.² Even an alphabetical ordering of names, which seems neutral is believed to discriminate against those whose last names start with letters nearer to the end of the alphabet (Patel et al. 2019; Smith 2017).

² In case of shared last position, it is perhaps the very last position that will be desired more.

1 The rare possibility of making exactly equal contributions is another challenging aspect in the
2 attribution of EC (Agoramoorthy 2017; Habibzadeh and Marcovitch 2012). Ideas and arguments are
3
4 believed to be incommensurable to quantification, and hence, accurate division of all contribution
5
6 types would, in principle, be impossible. In relation to various tasks that are often carried out in
7
8 biomedical and other interdisciplinary projects³, it is argued that having made *exactly* equal
9
10 contribution would only be possible once contributors repeat each other's work. Even if having
11
12 contributed equally to a task would be possible, two or more contributors cannot be equally
13
14 involved in drafting the manuscript in the strictest sense (Moustafa 2016). From that follows that if it
15
16 is impossible to have made an exactly equal contribution, it is likely to have some contributors short-
17
18 changed and some over-credited.
19
20
21
22

23
24 Since contributions are reported by groups (or sometimes only by the corresponding author),
25
26 suspicions about the veracity of claims to equal contributions remain firm. Given the inability of
27
28 editors to authenticate claims to EC, some consider EC as ethically questionable (Agoramoorthy
29
30 2017). This suspicion is not only put forward by editors but also by researchers. Results of a survey
31
32 that investigated the perceptions of author position versus contribution among Chinese medical
33
34 researchers showed that 42.7% of respondents who were asked about claims to EC, believed that
35
36 these claims are unreliable (Jian and Xiaoli 2013).⁴
37
38
39
40
41

42 The so-called diffusion of responsibility is a key concern raised by various papers. For instance,
43
44 Habibzadeh and Markovitch highlight ambiguities in the attribution of responsibilities in short
45
46 reports with equal co-authors: "If an 800-word medical case report is submitted to a journal with
47
48 eight authors, does that mean the ridiculous fact that each co-author is responsible for just 100
49
50
51

52
53
54 ³ "An experiment involving DNA cloning, cell culture, or DNA extraction cannot be 'equal' to an experiment
55 involving an immunofluorescence assay, western blot, or transcriptome analysis, neither methodologically nor
56 temporally; neither can scientific interpretations and arguments built on such experiments or on others be
57 equal" (Moustafa 2016, p. 389).

58 ⁴ While Jian and Xiaoli do not clarify how many of surveyed researchers had ever been involved in publications
59 with EC, in absence of other quantitative/qualitative studies about researchers who were an equal co-author,
60 further exploration of the issue of the reliability of claims to EC is currently not possible.
61
62
63
64
65

1 words?" (2012, p. 40). Others believe that in cases where EC is granted based on having made
2 similar contributions to various tasks (instead of contributing to a single task that was equally
3 shared), EC could erode individual responsibility and accountability (Smith 2017).
4
5
6

7
8 Among the practical challenges, disagreements about EC are believed to delay the publication
9 process (Scott-Lichter 2012). Furthermore, the fact that EC is currently not captured and shown
10 consistently by indexing websites such as Google Scholar (Alphabet Inc. 2020), PubMed (NCBI 2020),
11 and ScienceDirect (Elsevier 2020) can confuse users. In cases where EC was deserved, not having
12 these attributions reflected in indexing websites may result in discrimination against equal authors
13 (Brown and Merad 2015; Cappell 2016). For instance, in cases of equal first-authorship, it is only the
14 first listed author who fully enjoys the benefits of being the first author in their digital records. A
15 major practical challenge is the lack of guidelines on how EC should be attributed and how they
16 should be used in academic assessments and promotions (Beshyah et al. 2018; Faulkes 2018;
17 Gasparyan et al. 2013). In a 2016 study, Resnik and his colleagues analyzed authorship policies of a
18 random sample of 600 journals and noticed that none of the considered journals addresses EC
19 within their authorship policies. In stressing the need for clearer guidelines on how EC should be
20 attributed, they note that "since equality could be based on the quality or quantity of the author's
21 contribution to the research", authors should receive guidance on what counts as an equal
22 contribution (Resnik et al. 2016, p. 201).
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44

45 Policies and guidelines regarding EC

46
47
48
49 These papers consist of a dozen editorials that communicate journals' stance in relation to EC and/or
50 conditions for accepting submissions with EC. All these editorials are published in medical journals
51 and while announcing the recognition of EC in these journals, they put forward dissimilar terms and
52 conditions for accepting submissions with equal co-authors (See table 2).
53
54
55
56
57
58
59

60 [TABLE 2 HERE]
61
62
63
64
65

1 Among these editorials, some require that authors indicate who contributed equally via a statement
2 in the byline or footnote (Alfonso et al. 2019; Cleary et al. 2012; Supak-Smolcic and Simundic 2015).
3
4 However, the majority of journals put forward stricter conditions and ask for further explanations
5 that clearly describe why certain contributors are equal. Explanations should often be accompanied
6
7 by contributorship statements that describe individual contributions (Casadevall et al. 2019;
8
9 Fontanarosa et al. 2017; Heinemann & Beyersdorf 2016; Hinds et al. 2018; Kressel 2015; Yao and
10
11 Jiang 2018).
12
13
14
15
16

17 A few journals go one step further, and in addition to accepting submissions with EC, also suggest
18 methods to improve the recognition of EC in reference lists and in-text citations. For instance, the
19 editors of the journal of *Gastroenterology* added a new line in their instructions to authors, which
20 encourages researchers to highlight the last names of equal first authors in the reference lists using
21 bold letters (Dubnansky and Omary 2012). Similarly, in a concerted effort, five medical journals
22 featured a change of policy to highlight joint first authors in the reference lists using bold letters or
23 underlining (Omary et al. 2015). In addition to acknowledging articles with equal authors in the
24 references, the editor of the *Journal of Molecular Biology of the Cell* suggests mentioning all equal
25 first authors on in-text citations “(e.g., Flannagan, Canton *et al.*, 2014)” to prevent giving undue
26 credit to the first listed author (Drubin 2014, p. 1937).
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41

42 Different policies exist in relation to the number of authors who may share first or last positions in
43 the byline. Some journals limit the number of equal lead authors to three or six, and some other
44 journals have not specified any limitations. Similarly, diverse practices exist in relation to how many
45 corresponding authors are allowed in each paper. For example, the *Journal of Scientific Reports*
46 allows three, the *American Journal of Human Genetics* a maximum of two, and the *Journal of Neuron*
47 only one corresponding author per submission (Yao and Jiang 2018). *JAMA* and *JAMA Network*
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896
897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953
954
955
956
957
958
959
960
961
962
963
964
965
966
967
968
969
970
971
972
973
974
975
976
977
978
979
980
981
982
983
984
985
986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000

EC is growing

The growth rate of EC is mainly measured in biomedical disciplines. Within the selected sample, ten papers note the growth rate of EC (See table 3).

[TABLE 3 HERE]

Most of these papers analyze the growth rate of EC in one or more prominent journals within a certain discipline in a specific timeframe. There is a consensus among these papers on several issues. For instance, all these papers claim that designating equal credit is for the most part due to the rise of multidisciplinary and multi-center research projects (Akhabue and Lautenbach 2010; Conte et al. 2013; Dotson 2013; Hu 2009; Huang et al. 2016; Jia et al. 2016; Lei et al. 2016; Li et al. 2013; Tao et al. 2012; Wang et al. 2012). These complex projects require a wide range of resources and expertise, and hence, EC is believed to enable the attribution of equal credit to authors who made equal contributions.

Another similarity among all these papers is that the results of their analyses indicate that sharing the first position of the byline is the most common form of EC. This is linked to the growing importance of citation-based evaluations and the significance of first and last authorship for hiring and academic evaluations. Furthermore, authors of these papers conclude that given the growth rate of EC, detailed policies (e.g. when and how EC should be designated), are warranted. Although, some are concerned that given the frequency of misappropriations in authorship practices, further recognition of EC might increase the possibility of undeserved authorship status (Dotson 2013; Jia et al. 2016; Wang et al. 2012).

In terms of the geographical distribution of EC, limited information is available based on the affiliation of the corresponding authors. While within top three Spine Surgery journals, most of the papers containing EC were submitted by a corresponding author affiliated to an Asian institution (Jia et al. 2016), within the fields of Anaesthesiology (Tao et al. 2012), Anaesthesia (Li et al. 2013) and

1 Critical Care (Wang et al. 2012), most of equal co-authors were based in European institutions.
2 Whether one country stands out within each region, or whether all equal co-authors of the same
3 article were coming from the same countries, are not explored systematically. However, the 2016
4 study that analyzed EC in Public Health journals found that 57% of authors that received equal credit
5 in a publication were based in different institutions (Lei et al. 2016).
6
7
8
9

10 11 12 13 14 Gender issues in the attribution of EC 15

16
17 Only three papers analyze gender in the context of EC (See table 4).
18
19

20 [TABLE 4 HERE]
21
22
23

24 Two of these papers measure the representation of female authors in articles with equal co-authors
25 of different genders. While both studies show that, at least in some areas, female authors are less
26 likely than their male counterparts to be listed first in bylines with co-first authors (Aakhus et al.
27 2018; Broderick and Casadevall 2019), one of these studies claims that the gap is getting smaller
28 after 2007 (Broderick and Casadevall 2019). The third paper is a commentary published in *The*
29 *Lancet* by two female researchers who share their experience of equal co-first authorship. They note
30 that EC allowed both of them to take maternity leave by giving them the flexibility to keep up with
31 their childcare commitments while obtaining the academic recognition which they deserved (Rose-
32 Clark and Felmeth 2019).
33
34
35
36
37
38
39
40
41
42
43
44
45
46

47 Discussion 48

49 *Why are various positions in the byline shared?*
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

It is clear from this review that within discussions about EC, the conditions for and meaning of equality are among contentious issues. Analyzing articles that were eligible for this review shows that depending on the dynamics of collaborations, different positions in the byline may be shared.

Among various forms of EC, equal-first authorship refers to sharing the first position in the byline between two or more co-authors (Agoramoorthy 2017). Given the importance of the first position for tenure and promotion, equal-first authorship is the most contested, and yet more common form of EC (Huang 2016; Lei et al. 2016). The percentage of equal-first authorship designations of the total number of EC ranges between 70.4% in selected Public Health journals (Lei et al. 2016) to more than 90% in selected Pharmacy and Anesthesia journals (Huang 2016). Often linked to the growing number of contributors as well as complexity and size of scientific projects, equal-first authorship designations seem to be justified in projects where more than one author makes a significant contribution *throughout* the project (Conte et al. 2013; Jia et al. 2016).

Equal-middle authorship refers to sharing middle authorship position among the co-authors who are positioned between the first and last authors in the byline of papers with four, or more co-authors. Sharing middle positions in the byline is not as common as sharing first or last positions (Lei et al. 2016; Li et al. 2013). In general, equal-middle authors are believed to have contributed less to the project than the first or last authors and often consist of technicians and graduate students (Patel et al. 2019). The rise of equal-middle authorship is believed to enable “a more accurate and fairer way of distributing credit to members of a very large team” (Smith 2017, p.20).

Co-last authorship is another form of EC. Sharing the position of corresponding author (Hu 2009) or the last position in the byline is especially common (and justified) in multidisciplinary and multi-site projects where different levels of supervision are employed via multiple supervisors who lead different groups and manage various parts of the work (Alfonso et al. 2019). While not as common as equal co-first authorship, co-last authorship is the second most popular form of EC (Lei et al. 2016; Li et al. 2013).

1 EC also happens when the first and last names in the byline claim to have made equal contributions.

2 While this is not as common as equal-first or equal-last authorship practices, it seems to be more
3 diverse (e.g. first and last, first two and last, first two and last two, first three and last, etc.). In this
4 form of EC, the rationale for equality is *not* based on equal contribution to identical or comparable
5 tasks, or, even the time spent on carrying out the tasks. The supporting argument is that due to
6 mentors' experience, "equal authorship equitably balances the greater time spent by a mentee
7 versus the greater impact of a mentor on manuscript quality" (Cappel 2016, p. 364).
8
9

10
11
12
13
14
15
16
17
18 Finally, sharing authorship among all co-authors implies an equal contribution of all, which has been
19 common practice in disciplines such as Economics and Math (Smith 2017). Alphabetical ordering
20 often insinuates equal contribution but sometimes equal contribution of all co-authors is mentioned
21 explicitly (especially, in disciplines where alphabetical ordering is not a custom).
22
23
24
25
26
27

28 Other forms of EC might exist where the first author(s) and some of the middle authors, or the last
29 author(s) and some of the middle authors claim to have made an equal contribution. While neither
30 of these two situations is reported in studies that quantified the prevalence of EC, they can be a
31 possibility.
32
33
34
35
36
37

38 *How to identify EC in published articles?*

39
40
41
42 Currently, to identify equal co-authors of a published item, one has to look for names that are
43 followed by superscript characters in the byline (e.g. Jones[†], Wang[#], etc.) and check footnotes for
44 further descriptions. However, superscript characters are not used consistently across different
45 platforms and some websites do not specify equal co-authors. For instance, ScienceDirect (Elsevier
46 2020) uses [†], PubMed (NCBI 2020) uses [#], and Google Scholar (Alphabet Inc. 2020) does not display
47 EC at all.
48
49
50
51
52
53
54
55
56

57 Identifying equal co-authors from in-text citations and reference lists is more challenging. As
58 mentioned in the section on policies and guidelines regarding EC, some journals that recognize this
59
60
61
62
63
64
65

1 practice encourage the specification of equal authors in references and in-text citations. This
2 recognition is facilitated by using bold lettering or underlining names in references, and mentioning
3 all equal authors in citations (e.g. Jones, Wang, Ali et al. instead of Jones et al.). However, since
4 referencing journal articles with EC is not mentioned in academic style guides⁵, or, recognized by
5 reference management applications⁶, these suggestions remain arbitrary to adhere to, and may
6 contribute to inconsistent practices. Consequently, in cases where the claim to equality is justified
7 and approved, omissions (e.g. in cases of equal co-first authorship) are believed to discriminate
8 against the second of equal authors, who will be viewed and evaluated as a lesser contributing
9 author when these publications are being cited (Cappel 2016). Moreover, in cases where numerous
10 authors make an equal contribution, or articles with equal co-first and equal co-last authors (e.g.
11 three equal first and three equal last), an accurate reflection of EC on in-text citations would be
12 much more complicated. Therefore, while displaying EC on some indexing websites and within
13 citations and references of some journals can be seen as positive steps in recognizing equal
14 contributions, a lack of consistency among these efforts is noticeable.

34 *Limitations of this review and suggestions for future research*

35
36
37 This review is limited by the small sample of papers that were reviewed. Although several methods
38 were employed to find papers that investigated EC in a significant way, this review is not
39 representative of debates that took place on the blog-sphere, online forums or within the grey
40 literature. Exploring these outlets could be useful for future research. In addition, this review only
41 considered contributors who were listed as authors, and therefore, viewpoints and issues about the
42 equal contribution of acknowledged names could be among possible directions for future research.
43
44
45
46
47
48
49
50

54
55 ⁵ Examining style and referencing handbooks and websites shows that The Chicago Manual of Styles (17th
56 edition), MLA Style (8th edition), and APA Style (6th edition) do not provide guidance on citing or referencing
57 journal articles with EC (Pears and Shields 2019). Even the 7th version of APA style published in 2019 does not
58 address EC (American Psychological Association 2020).

59 ⁶ At the time of submission Zotero, Mendeley and RefWorks neither support the display of EC, nor reflect EC in
60 creating bibliographies.

1 The way in which EC practices are used in promotion and evaluation processes is another area
2 where future research could focus.
3
4

5 Recommendations 6

7
8
9
10 This review shows that there is a consensus about the growth of EC in medical disciplines. While EC
11 is identified as an inevitable side-effect of new forms of contribution to publications and prevalence
12 of complex and multidisciplinary projects, it remains under-regulated. Academics continue to voice
13 their concerns about the challenges of using EC without clear guidelines and publish their views in
14 support or rejection of EC. While this article does not intend to arbitrate the debate, it recognizes
15 tensions that may complicate collaborative authorship. As shown in this article, given their
16 experiences about the application of EC in their respective research areas, several journal editors
17 have clarified their personal/journal stance regarding EC in editorials.
18
19
20
21
22
23
24
25
26
27
28
29

30 On that basis, the first recommendation of this article is for all journal editors to clarify whether they
31 allow the use of EC, and indicate their terms and conditions for that. Indeed, this recommendation
32 echoes what was suggested by the Committee Of Publication Ethics (COPE):
33
34
35
36
37

38 “to help prevent dispute, however, journals should have a policy on how they denote equal
39 contribution, and consider publishing a section on the individual contributions of each
40 author” (COPE 2014, p. 4).
41
42
43
44
45

46 In encouraging journal editors to clarify their position in relation to EC, international committees and
47 societies can play a significant role. For instance, editors’ committees such as the International
48 Committee of Medical Journal Editors (ICMJE) are among parties that can encourage journal editors
49 to take a clear stance regarding EC. Currently, the ICMJE guidelines neither mention EC as a
50 possibility nor suggest to journal editors how to capture and recognize it (ICMJE 2018). Indeed, the
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1 mentioning of EC in the ICMJE guidelines could contribute to the development of more consistent
2 policies.
3

4
5 The second recommendation of this article aims to address the issue of recognizing equal
6 contributions, and inconsistencies regarding the display of these contributions. Until all journal
7 guidelines are adjusted and indexing websites as well as reference management applications provide
8 their analysis regarding the display of EC practices consistently (e.g. infrastructural complexities,
9 costs, etc.), deserved and justified equal contributions could be registered using other means. For
10 instance, journals and institutions can adopt and encourage researchers to use CASRAI's CRediT
11 taxonomy. CRediT provides an option for specifying the *degrees* of contribution to tasks and offers
12 identifiers such as Lead, *Equal* or Supporting for contributors (CASRAI, 2019).⁷
13
14
15
16
17
18
19
20
21
22
23
24

25 Clarifying degrees of individual's contribution in projects that involve voluminous tasks, prevents
26 shortchanging those who (may) deserve to be credited equally. In those contexts, using CRediT
27 addresses most of the concerns about acknowledging equal contributions. Due to the clarity of tasks
28 and contributions, questions such as *equality in what? or which equal author is responsible for what*
29 *task?* will be much easier to address. Furthermore, given that ORCID records also allow the display of
30 CRediT badges (Paglione, 2015a; Paglione, 2015b), these equal contributions will be easier to locate
31 and showcase in academic resumes, hence giving researchers who contributed equally the
32 recognition that they deserve.
33
34
35
36
37
38
39
40
41
42
43
44

45 Lastly, this article suggests continuing the discussion about EC, and on that basis, it invites comments
46 and correspondence from those who wish to be involved in the debate. Especially, journal editors
47 and experts who have been working in this field with experiences other than those that were
48 reflected in this paper.
49
50
51
52
53
54

55
56
57 ⁷ This feature is currently optional, and only applicable to cases where multiple individuals contributed to a
58 large task (e.g. several individuals can be introduced as an *equal contributor* to the role of supervision). More
59 information on features, and also a list of publishers and institutions that have adopted CRediT can be found at
60 CRediT's website (Last accessed Jan 7, 2020): <https://casrai.org/credit/>
61
62
63
64
65

Acknowledgements

I wish to acknowledge that my discussions with Professor Samuel Bruton clarified the absence of structured research on this topic and the need for a review. I also want to thank and acknowledge the valuable feedback offered by the editor, three anonymous reviewers, and Ms. Ellen Howley of the Dublin City University Writing Center.

At the time of submission, I receive funding from the EnTIRE Consortium (Mapping Normative Frameworks for EThics and Integrity of Research), which is supported by the European Union's Horizon 2020 research and innovation program under Grant Agreement No. 741782. The funders have not played a role in the design, analysis, decision to publish, or preparation of the manuscript.

References

- 1
2
3
4 Aakhus, E., Mitra, N., Lautenbach, E., & Joffe, S. (2018). Gender and Byline Placement of Co-first
5
6 Authors in Clinical and Basic Science Journals With High Impact Factors. *JAMA-Journal of the*
7
8 *American Medical Association*, 319(6), 610–611. <https://doi.org/10.1001/jama.2017.18672>
9
10
11
12 Agoramoorthy, G. (2017). Multiple First Authors as Equal Contributors: Is It Ethical? *Science and*
13
14 *Engineering Ethics*, 23(2), 625–627. <https://doi.org/10.1007/s11948-016-9794-x>
15
16
17
18 Akhabue, E., & Lautenbach, E. (2010). “Equal” Contributions and Credit: An Emerging Trend in the
19
20 Characterization of Authorship. *Annals of Epidemiology*, 20(11), 868–871.
21
22 <https://doi.org/10.1016/j.annepidem.2010.08.004>
23
24
25
26 Alfonso, F., Zelveian, P., Monsuez, J.-J., Aschermann, M., Bohm, M., Buendia Hernandez, A., Wang,
27
28 T., Cohen, A., Izetbegovic, S., Doubell, A., Echeverri, D., Enç, N., Ferreira-González, I., Undas, A.,
29
30 Fortmüller, U., Gatzov, P., Gingham, C., Goncalves, L., Addad, F., Hassanein, M. Heusch, G. Huber, K.,
31
32 Hatala, R., Ivanusa, M., Lau, C. Marinskis, G., Dei Cas, L., Rochitte, C. E., Nikus, K., Fleck, E., Pierard, L.,
33
34 Obradović, S., del Pilar Aguilar Passano, M., Jang, Y., Rødevand, O., Sander, M., Shlyakhto, E., Erol, Ç.,
35
36 Tousoulis, D., Ural, D., Piek, J. J., Varga, A., Flammer, A. J., Mach, F., Dibra, A., Guliyev, F., Mroczek,
37
38 A., Rogava, M., Guzman Melgar, I., Di Pasquale, G., Kabdrakhmanov, K., Haddour, L., Fras, Z., Held,
39
40 C., Shumakov, V., Editors’ Network, European Society of Cardiology (ESC) Task Force (2019).
41
42 Authorship: From credit to accountability. Reflections from the Editors’ Network. *Clinical Research in*
43
44 *Cardiology*, 108(7), 723–729. <https://doi.org/10.1007/s00392-019-01436-8>
45
46
47
48
49
50
51 Alphabet Inc. (2020). Google Scholar [Website]. Last accessed January 7, 2020.
52
53 <https://scholar.google.com/>
54
55
56
57 American Psychological Association (APA). (2020). *Quick Reference Guide*. Accessed January 7, 2020.
58
59 <https://apastyle.apa.org/instructional-aids/reference-guide.pdf>
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Publication manual of the American Psychological Association Beshyah S. A., Ibrahim W.H., Aburawi E. H.,
Elkhammas E. A. (2018). The rules and realities of authorship in biomedical journals: A cautionary
tale for aspiring researchers. *Ibnosina Journal of Medicine and Biomedical Sciences*, 2018;10:149-57.

Broderick, N. A., & Casadevall, A. (2019). Gender inequalities among authors who contributed
equally. *ELIFE*, 8. <https://doi.org/10.7554/eLife.36399>

Brown, B. D., & Merad, M. (2015). Archives and citation miss equal authors. *Nature*, 528(7582), 333.
<https://doi.org/10.1038/528333a>

Cappell, M. S. (2016). Equal authorship for equal authors: Personal experience as an equal author in
twenty peer-reviewed medical publications during the last three years. *Journal of the Medical
Library Association : JMLA*, 104(4), 363–364. <https://doi.org/10.3163/1536-5050.104.4.022>

Casadevall, A., Semenza, G. L., Jackson, S., Tomaselli, G., & Ahima, R. S. (2019). Reducing bias:
Accounting for the order of co-first authors. *The Journal of Clinical Investigation*, 129(6), 2167–2168.
<https://doi.org/10.1172/JCI128764>

Consortia Advancing Standards in Research Administration Information (CASRAI). (2019). *How to
implement CRediT?*. Retrieved August 12, 2019, from <https://casrai.org/credit/>

Clarivate Analytics. (2020). Web of Science [Website]. Philadelphia, United States. Last accessed
January 7, 2020. <https://apps.webofknowledge.com/>

Cleary, M., Jackson, D., Walter, G., Watson, R., & Hunt, G. E. (2012). Editorial: Location, location,
location—The position of authors in scholarly publishing. *Journal of Clinical Nursing*, 21(5–6), 809–
811. <https://doi.org/10.1111/j.1365-2702.2011.04062.x>

1 Committee On Publication Ethics (COPE). (2014). *What constitutes authorship? COPE Discussion*
2 *Document*. Retrieved from https://publicationethics.org/files/Authorship_DiscussionDocument.pdf.
3
4 Accessed 05 July 2019.
5
6

7
8 Conte, M. L., Maat, S. L., & Omary, M. B. (2013). Increased co-first authorships in biomedical and
9
10 clinical publications: A call for recognition. *The FASEB Journal*, 27(10), 3902–3904.
11
12 <https://doi.org/10.1096/fj.13-235630>
13
14

15
16 Dotson, B. (2013). Equal contributions and credit assigned to authors in pharmacy journals.
17
18 *American Journal of Pharmaceutical Education*, 77(2), 39.
19
20

21
22 Drubin, D. G. (2014). MBoC improves recognition of co-first authors. *Molecular Biology of the Cell*,
23
24 25(13), 1937. <https://doi.org/10.1091/mbc.E14-05-0954>
25
26

27
28 Dubnansky, E., & Omary, M. B. (2012). Acknowledging joint first authors of published work: The time
29
30 has come. *Gastroenterology*, 143(4), 879–880. <https://doi.org/10.1053/j.gastro.2012.08.009>
31
32

33
34 Elsevier (2020). Science Direct [Website]. Amsterdam, The Netherlands. Last accessed January 7,
35
36 2020. <https://www.sciencedirect.com/>
37
38

39
40 Esposito, M. (2016). Editorial: Some random reflections on the equal co-first authorships. *European*
41
42 *Journal of Oral Implantology*, 9(3), 211–212.
43
44

45
46 Faulkes, Z. (2018). Resolving authorship disputes by mediation and arbitration. *Research Integrity*
47
48 *and Peer Review*, 3(1), 12. <https://doi.org/10.1186/s41073-018-0057-z>
49
50

51
52 Fontanarosa, P., Bauchner, H., & Flanagin, A. (2017). Authorship and Team Science. *JAMA*, 318(24),
53
54 2433–2437. <https://doi.org/10.1001/jama.2017.19341>
55
56
57
58
59
60
61
62
63
64
65

1 Gasparyan, A. Y., Ayyvazyan, L., & Kitas, G. D. (2013). Authorship problems in scholarly journals:
2 Considerations for authors, peer reviewers and editors. *Rheumatology International*, 33(2), 277–284.

3
4 <https://doi.org/10.1007/s00296-012-2582-2>

5
6
7
8 Habibzadeh, F., Marcovitch H. (2012). Authorship dispute among the league of extraordinary
9 gentlemen. *European Science Editing*, 38(2):40–41.

10
11
12
13 Heinemann, M. K., & Beyersdorf, F. (2016). ‘Two Minds with but a Single Thought’ *European*
14 *Journal of Cardio-Thoracic Surgery*, 49(6), 1543–1544. <https://doi.org/10.1093/ejcts/ezw137>

15
16
17
18
19 Hinds, P. S., Bedinger Miller, A., Richardson, A., & Chan, R. J. (2018). Giving Credit Where Credit Is
20 Due. *Cancer Nursing*, 41(3), 179–180. <https://doi.org/10.1097/NCC.0000000000000595>

21
22
23
24
25 Hu, X. (2009). Loads of special authorship functions: Linear growth in the percentage of “equal first
26 authors” and corresponding authors. *Journal of the American Society for Information Science and*
27 *Technology*, 60(11), 2378–2381. <https://doi.org/10.1002/asi.21164>

28
29
30
31
32
33 Huang, M., Hsieh, H. T., & Lin, C. S. (2016). The co-first and co-corresponding author phenomenon in
34 the pharmacy and anesthesia journals. *Proceedings of the Association for Information Science and*
35 *Technology*, 53(1), 1–4.

36
37
38
39
40
41 International Committee of Medical Journal Editors (ICMJE). (2018). *Recommendations for the*
42 *Conduct, Reporting, Editing, and Publication of Scholarly Work in Medical Journals*. Accessed January
43
44
45
46
47 7, 2020. <http://www.icmje.org/icmje-recommendations.pdf>

48
49
50 Jia, Z., Wu, Y., Tang, Y., Ji, W., Li, W., Zhao, X., Li, H., He, Q., Ruan, D. (2016). Equal contributions and
51 credit: An emerging trend in the characterization of authorship in major spine journals during a 10-
52
53
54
55
56
57
58
59
60
61
62
63
64
65 year period. *European Spine Journal*, 25(3), 913–917. <https://doi.org/10.1007/s00586-015-4314-2>

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Jian, D., & Xiaoli, T. (2013). Perceptions of author order versus contribution among researchers with different professional ranks and the potential of harmonic counts for encouraging ethical co-authorship practices. *Scientometrics*, 96(1), 277–295. <https://doi.org/10.1007/s11192-012-0905-4>

Kressel, H. Y. (2015). New for 2015. *Radiology*, 274(1), 7–8. <https://doi.org/10.1148/radiol.14142273>

Lei, S. Y., Dong, Y. P., Zhu, W. F., & Li, L. J. (2016). An emerging trend of equal authorship credit in major public health journals. *SpringerPlus*, 5(1). <https://doi.org/10.1186/s40064-016-2771-7>

Li, Z., Sun, Y. M., Wu, F. X., Yang, L. Q., Lu, Z. J., & Yu, W. F. (2013). Equal Contributions and Credit: An Emerging Trend in the Characterization of Authorship in Major Anaesthesia Journals during a 10-Yr Period. *PLoS ONE*, 8(8), e71430. <https://doi.org/10.1371/journal.pone.0071430>

Moustafa, K. (2016). Contributorships Are Not ‘Weighable’ to be Equal. *Trends in Biochemical Sciences*, 41(5), 389–390. <https://doi.org/10.1016/j.tibs.2016.03.001>

National Center for Biotechnology Information (NCBI). (2020). [Website]. Bethesda, USA. Last accessed January 7, 2020. <https://www.ncbi.nlm.nih.gov/pubmed/>

Omary, M. B., Wallace, M. B., El-Omar, E. M., Jalan, R., & Nathanson, M. H. (2015). A multi-journal partnership to highlight joint first-authors of manuscripts. *Gut*, 64(2), 189–189. <https://doi.org/10.1136/gutjnl-2014-308880>

Paglione, L. (2015a, August 11). *Contributor recognition: An update on ORCID, Project CRedIT, and contributorship badges*. Retrieved August 12, 2019, from ORCID blog website: <https://orcid.org/blog/2015/08/11/contributor-recognition-update-orcid-project-credit-and-contributorship-badges>

Paglione, L. (2015b, October 20). *Contributorship Open Badges on ORCID*. Retrieved August 12, 2019, from ORCID blog website: <https://orcid.org/blog/2015/10/13/contributorship-open-badges-orcid>

1 Patel, V. M., Panzarasa, P., Ashrafian, H., Evans, T. S., Kirresh, A., Sevdalis, N., Drazi, A., Athanasiou,
2 T. (2019). Collaborative patterns, authorship practices and scientific success in biomedical research:
3
4 A network analysis. *Journal of the Royal Society of Medicine*, 112(6), 245–257.

5
6
7 <https://doi.org/10.1177/0141076819851666>
8
9

10 Pears, R., & Shields, G. J. (2019). *Cite them right: the essential referencing guide*. London: Palgrave
11
12 Macmillan

13
14
15
16 Resnik, D. B., Tyler, A. M., Black, J. R., & Kissling, G. (2016). Authorship policies of scientific journals.
17
18 *Journal of Medical Ethics*, 42(3), 199–202. <https://doi.org/10.1136/medethics-2015-103171>
19
20

21
22 Rose-Clarke, K., & Fellmeth, G. (2019). Co-first authorship and gender equity in academic publishing.
23
24 *The Lancet*, 393(10185), 2036. [https://doi.org/10.1016/S0140-6736\(19\)31040-2](https://doi.org/10.1016/S0140-6736(19)31040-2)
25
26

27
28 Scott-Lichter, D. (2012). Authorship disputes: Me first, me equally, me too, not me. *Learned*
29
30 *Publishing*, 25(2), 83–85. <https://doi.org/10.1087/20120201>
31
32

33
34 Smith, E. (2017). A Theoretical Foundation for the Ethical Distribution of Authorship in
35
36 Multidisciplinary Publications. *Kennedy Institute of Ethics Journal*, 27(3), 371–411.
37
38

39
40 Supak-Smolcic, V., & Simundic, A. M. (2015). Biochemia Medica's editorial policy on authorship.
41
42 *Biochemia Medica*, 25(3), 320–323. <https://doi.org/10.11613/BM.2015.032>
43
44

45
46 Tao, T., Bo, L., Wang, F., Li, J., & Deng, X. (2012). Equal contributions and credit given to authors in
47
48 anesthesiology journals during a 10-year period. *Scientometrics*, 91(3), 1005–1010.

49
50 <https://doi.org/10.1007/s11192-011-0558-8>
51
52

53
54 Wang, F., Tang, L., Bo, L., Li, J., & Deng, X. (2012). Equal contributions and credit given to authors in
55
56 critical care medicine journals during a 10-yr period*: *Critical Care Medicine*, 40(3), 967–969.

57
58 <https://doi.org/10.1097/CCM.0b013e318236f66a>
59
60
61
62
63
64
65

Yao, Y. G., & Jiang, X. L. (2018). 2018 New Year Address of Zoological Research. *Zoological Research*,
39(1), 1–2. <https://doi.org/10.24272/j.issn.2095-8137.2018.011>

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Tables and Figures

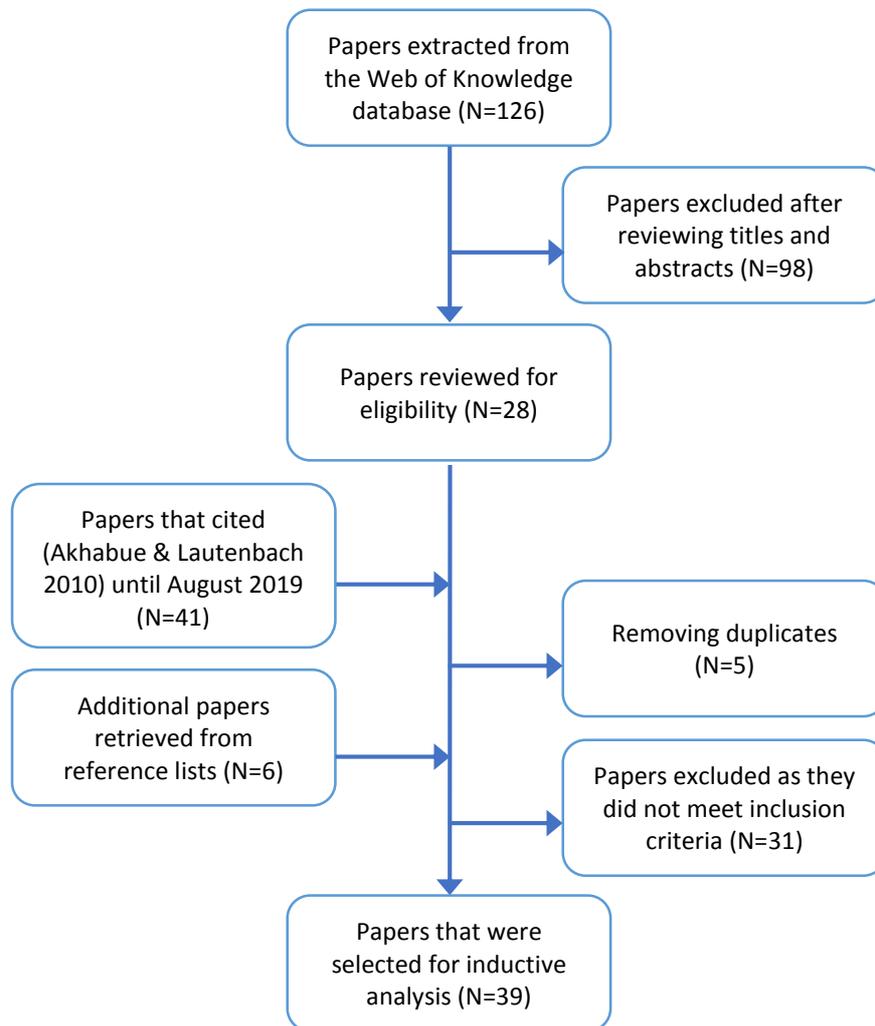


Figure 1. After conducting the searches and removing duplicates or documents that did not meet the inclusion criteria, 39 papers were deemed eligible for analysis.

Paper	Journal	Introduced Challenge(s)
Habibzadeh & Marcovitch 2012	<i>European Science Editing</i>	Ambiguities in relation to personal responsibilities.
Scott-Lichter 2012	<i>Learned Publishing</i>	It may lead to further disputes between co-authors and delay publications.
Gasparyan et al. 2013	<i>Rheumatology International</i>	No guidelines on how to attribute EC and use it in academic promotion.
Jian & Xiaoli 2013	<i>Scientometrics</i>	Claims to EC are not always reliable.
Brown & Merad 2015	<i>Nature</i>	EC is not reflected in indexing sites, in in-text citations or in reference lists.
Cappell 2016	<i>Journal of the Medical Library Association</i>	EC is not reflected in indexing sites, in in-text citations or in reference lists.
Esposito 2016	<i>International Journal of Oral Implantology</i>	EC contributes to a loss of credibility.
Moustafa 2016	<i>Trends in Biochemical Sciences</i>	Claims to EC are invalid.
Resnik et al. 2016	<i>Journal of Medical Ethics</i>	Equality could be based on different criteria.
Agoramoorthy 2017	<i>Science and Engineering Ethics</i>	Claims to EC cannot be authenticated.
Smith 2017	<i>Kennedy Institute of Ethics Journal</i>	Ambiguities in relation to personal responsibilities; Tension in identifying the sequence of equal authors.
Beshyah et al. 2018	<i>Ibnosina Journal of Medicine and Biomedical Sciences</i>	No guidelines on how to attribute EC and use it in academic promotion.
Faulkes 2018	<i>Research Integrity and Peer Review</i>	No guidelines on how to attribute EC and use it in academic promotion.
Patel et al. 2019	<i>Journal of the Royal Society of Medicine</i>	Tension in identifying the sequence of equal authors.

Table 1. List of papers that mention challenges of attributing EC.

Paper	Journal	Policy/Suggestion
Cleary et al. 2012	<i>Journal of Clinical Nursing</i>	Authors may write a statement of equal contribution.
Dubnansky & Omary 2012	<i>Gastroenterology</i>	Recognize cited articles with equal-first authors by using bold lettering for all last names and initials of the first authors in references.
Kressel 2015	<i>Radiology</i>	Indicate who are equal co-authors, this should be requested and justified in a cover letter.
Drubin 2014	<i>Molecular Biology of the Cell</i>	Equal-first authors will be mentioned in the footer of the PDF version. Cited articles with equal-first authors will be recognized by using bold lettering for last names of the first authors in references, and also mentioning the last name of all the equal authors within in-text citations.
Supak-Smolcic & Simundic 2015	<i>Biochemia Medica</i>	Joint authors must be clearly declared.
Omary et al. 2015	<i>Gastroenterology, Gastrointestinal Endoscopy, Gut, Journal of Hepatology, and Hepatology</i>	Recognize cited articles with equal-first authors by using bold lettering or underlining for all last names and initials of the first authors in references.
Heinemann & Beyersdorf 2016	<i>European Journal of Cardio-Thoracic Surgery</i>	If the claim to equality is well-founded, an acknowledgment should explain this.
Fontanarosa et al. 2017	<i>Journal of American Medical Association (JAMA)</i>	Requests for co-first authors or co-last authors will be considered but require a detailed justification.
Yao & Jiang 2018	<i>Zoological Research</i>	Emphasizing equal contribution of involved parties is fair and encourages teamwork.
Hinds et al. 2018	<i>Cancer Nursing</i>	First or last positions may be shared, but a statement of authors' contribution with a justification for the multiple role holders will be required.

1 2 3 4 5 6	Alfonso et al. 2019	<i>Clinical Research in Cardiology</i>	Articles with equal contribution designations should include a footnote clearly indicating that both authors equally contributed to the work.
7 8 9 10 11 12 13 14 15 16 17	Casadevall et al. 2019	<i>Journal of Clinical Investigation</i>	Using the phrase “contributed equally” is discouraged and replaced by the statement that “two or more authors share a specific author position.” Once individuals share a position in the byline, information on how the authors’ position was selected is required.

18 *Table 2. List of papers that mention policies and guidelines about EC.*

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Paper	Journal	Analyzed field or journals (period)	Growth in the proportion of articles with equal co-authors from the sample size
Hu 2009	<i>Journal of the American Society for Information Science and Technology</i>	<i>Journal of Biological Chemistry (1999-2008)</i>	From less than 8% of the articles in 1999 to more than 25% in 2008
Akhabue & Lautenbach 2010	<i>Annals of Epidemiology</i>	Five medicine journals with the highest impact factor (2000-2009)	From 0.3% in 2000, to 4.38% in 2009
Tao et al. 2012	<i>Scientometrics</i>	Top four major Anesthesiology journals (2001-2010)	From 0.33% in 2001 to 6.68% in 2010
Wang et al. 2012	<i>Critical Care Medicine</i>	Top four journals in Critical Care medicine (2001-2010)	From 0.36% in 2001 to 12.37% in 2010
Conte et al. 2013	<i>Journal of the Federation of American Societies for Experimental Biology</i>	Top six Biomedical journals, three high-impact and three mid-level impact journals (1990-2012)	From 0.55% in 1990 to 27.65% in 2012
		Top six Clinical journals, three high-impact and three mid-level impact journals (2000-2012)	From 1.25% in 2000 to 9.01% in 2012
Dotson 2013	<i>American Journal of Pharmaceutical Education</i>	Three prominent Pharmacy journals (2012)	2.5% of all published articles had equally credited authors
Li et al. 2013	<i>PLOS ONE</i>	Top three Anesthesia journals (2002-2011)	From 0.4% in 2002 to 6.4% in 2011

Huang et al. 2016	<i>Proceedings of the Association for Information Science and Technology</i>	Top ten journals in Pharmacy (1995-2014)	From 0% in articles published between 1995-1999 to 11.51% in articles published between 2010-2014
		Top ten journals in Anesthesia (1995-2014)	From 0.05% in articles published between 1995-1999 to 5.79% in articles published between 2010-2014
Jia et al. 2016	<i>European Spine Journal</i>	Top three Spine journals (2004-2013)	From 0.13% in 2004 to 7.12% in 2013
Lei et al. 2016	<i>Springer Plus</i>	Top five Public Health journals (2004-2013)	From 0.59% in 2004 to 6.05% in 2013

Table 3. List of papers that measure the growth of EC.

Paper	Journal	Analyzed period	Results/Conclusion
Aakhus et al. 2018	<i>Journal of American Medical Association</i>	1995-2017	Among mixed-gender co-first authors publishing in high-impact clinical journals, women are more likely to be placed second.
Broderick & Casadevall 2019 ⁸	<i>eLIFE</i>	2005-2014	Within publications after 2007, there is no significant difference between male and female researchers of studies with mixed-gender co-first authors.
Rose-Clarke & Fellmeth 2019	<i>The Lancet</i>	N/A	EC helps female researchers to remain involved in high impact research while their careers are interrupted by maternity leave.

Table 4. List of papers that mention gender issues in the attribution of EC.

⁸ An earlier version of this research was published in 2017 as a preprint with a different title, but since the 2019 version is more comprehensive, the earlier version was excluded from this research. The 2017 preprint is available at: <https://www.biorxiv.org/content/10.1101/241554v1.full.pdf>, Last accessed Jan 7, 2020.