

# A self-organized backpressure routing scheme for dynamic small cell deployments

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## Abstract

The increase of demand for mobile data services requires a massive network densification. A cost-effective solution to this problem is to reduce cell size by deploying a low-cost all-wireless Network of Small Cells (NoS). These hyper-dense deployments create a wireless mesh backhaul amongst Small Cells (SCs) to transport control and data plane traffic. The semi-planned nature of SCs can often lead to dynamic wireless mesh backhaul topologies.

This paper presents a self-organized backpressure routing scheme for dynamic SC deployments (BS) that combines queue backlog and geographic information to route traffic in dynamic NoS deployments. BS aims at relieving network congestion, whilst having a low routing stretch (i.e., the ratio of the hop count of the selected paths to that of the shortest path). Evaluation results show that, under uncongested conditions, BS shows similar performance to that of an Idealized Shortest Path routing protocol (ISPA), while outperforming Greedy Perimeter Stateless Routing (GPSR), a state of the art geographic routing scheme. Under more severe traffic conditions, BS outperforms both GPSR and ISPA in terms of average latency by up to a 85% and 70%, respectively. We conducted ns-3 simulations in a wide range of sparse NoS deployments and workloads to support these performance claims.

*Keywords:* dynamic, opportunistic, mobile backhaul, transport, small cell, backpressure, routing

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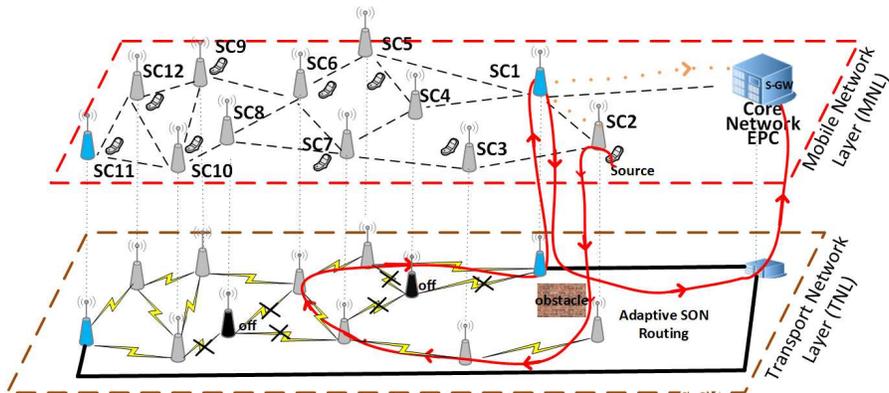


Figure 1: Portion of an all-wireless semi-planned and hyper-dense yet irregular NoS deployment due to obstacles and SCs powered off opportunistically.

## 1. Introduction

The ever increasing demand for wireless data services has given a starring role to dense small cell (SC) deployments, as increasing frequency re-use by reducing cell size has historically been the most effective and simple way to increase capacity [1]. Such densification, particularly substantial in densely populated areas, entails several challenges, both at the mobile network layer (MNL), specified by 3GPP, and at the underlying transport network layer (TNL). As for the former, the idea of network of small cells (NoS) has been proposed to confine control plane and data plane traffic in the local environment [2]. As for the latter, Figure 1 reveals how SCs, equipped with an additional wireless radio, can create a wireless mesh backhaul to direct control and data plane traffic between them or towards the core network (Evolved Packet Core, or EPC, for LTE networks). The resulting deployment yields improvements in terms of cost, coverage, ease of deployment, and capacity.

However, such deployments imply several challenges at the TNL level. On the one hand, the semi-planned and low-cost nature of the NoS placements will inevitably lead to irregular (or sparse) topologies, SC failures, or disconnection due to obstacles (e.g., wireless link amongst  $SC_1$  and  $SC_2$  in Figure 1), wireless

link variability (e.g., due to adverse weather conditions), or vandalism. On the  
20 other hand, the wireless backhaul is subject to traffic dynamics. The study  
in [3] shows that a large fraction of mobile subscribers generate traffic only a  
few days a week and a few hours during the day. The activation of all SCs when  
a low fraction of mobile subscribers are using the network results in unnecessary  
resource consumption and interference. In an hyper-dense SC environment, a  
25 possibility is to power off some SCs (e.g., SC4 and SC8 in Figure 1) selectively  
during low load conditions (e.g., at night), whilst still being able to serve all the  
traffic. Despite energy efficiency gains, these opportunistic SCs [4] could sub-  
stantially alter the wireless backhaul topology, hence contributing to dynamic  
and often sparse deployments.

30 The dynamicity of the above context may render transport protocols such as  
MPLS-TP [5], traditionally used in wired TNLs, unsuitable for an all-wireless  
NoS. A challenge for the TNL is to design a dynamic routing protocol that oper-  
ates efficiently in large-scale and changing SC topologies, whilst meeting mobile  
traffic demands. A strategy to tackle large-scale multi-hop wireless topologies  
35 is geographic routing [6]. A well known problem of geographic routing is how to  
react when packets get trapped in a dead end/local minimum (i.e., when there is  
not any neighboring node closer to the packet destination). In such situations,  
most geographic routing protocols have their own recovery methods to find a  
detour path when they reach a local minimum [7]. However, these strategies  
40 entail a substantial increase in control overhead as well as an increment of the  
per-node routing state, required to build alternative routes, hence compromising  
their scalability in sparse deployments. Further, despite eventually circumvent-  
ing network voids, geographic routing can lead to network congestion due to a  
misuse of network resources, and a high routing stretch (i.e., the ratio of the  
45 hop count of the selected paths to that of the shortest path) due to the lack of  
flexibility of the route recovery method.

To address these problems, we present Backpressure routing for dynamic  
Small cell deployments (BS), a self-organized routing scheme for the TNL that  
combines queue backlog, geographic information, and information carried in

50 the packet to route traffic in dynamic and often sparse NoS deployments. Our previous work on backpressure routing ([8, 9]) helped us to evaluate the potential of combining geographic and backpressure routing when applied to regular (i.e., grid-like) multi-hop wireless networks. However, practical deployments are far from regular due to the reasons explained above. The main contribution of this paper is to tackle this fundamental aspect by presenting a new scheme that 55 retains the beneficial features of our previous schemes. A preliminary extended abstract of this paper identifying the potential of using backpressure routing for sparse SC deployments appears in [10]. The novelty in this paper resides in the presentation of the resulting scheme and an extensive evaluation in a wide 60 range of scenarios as well. Instead of using a complex and resource consuming geographic recovery method to deal with dead ends, we propose a self-organized, low-overhead, scalable, and decentralized routing approach that makes the most out of the network resources. Unlike geographic routing schemes, the resulting approach neither requires routing recovery methods nor incrementing the per- 65 node state to dynamically adapt to the current wireless backhaul topology.

Extensive ns-3 [11] simulations results validate the robustness of BS under a wide variety of wireless mesh deployments and workloads. Under uncongested traffic demands, BS showed a latency and routing stretch performance close to an idealized single path routing protocol (ISPA), which is aware of the global 70 current network topology without consuming air resources. ISPA is taken as an abstraction of traditional TNL protocols of core networks and wired mobile backhauls (e.g., MPLS-TP [5]). In turn, BS improved the latency results obtained by GPSR [12], taken in general as benchmark for comparison against geographic routing featuring void circumvention mechanisms. In the case of 75 more severe traffic conditions, BS outperforms both GPSR and ISPA showing a reduction in terms of average latency of up to a 85% and 70%, respectively, due to its inherent load balancing capabilities while serving the offered load and maintaining a low routing stretch.

The remainder of this paper is organized as follows. The related work in 80 Section 2 is followed by a list of problems to tackle when using backpressure

routing in sparse deployments in section 3. The resulting solution with the details on the operation of BS are provided in Section 4. Finally, Section 5 discusses the simulation results before concluding the paper with Section 6.

## 2. Related Work

85 In the following, we describe the main ideas behind backpressure and geographic routing protocols.

### 2.1. Geographic Routing

Geographic routing [6] represents a stateless and scalable method to route packets in a mesh backhaul using position information. Usually, packets are  
90 routed using greedy forwarding. In greedy mode, each node forwards a packet to an immediate neighbor which is geographically closer to the destination node. However, local minima are an important issue for geographic routing under sparse deployments. A packet reaches a local minimum when its distance to the destination is smaller than that of all its neighbors. Most of the work  
95 done based on the circumvention of local minima ends up in a routing strategy that implies investing network resources (e.g., air resources) to circumvent the network void [7].

A summary of the protocol used as reference in most of the work based on geographic routing and circumvention of network voids follows. GPSR [12]  
100 belongs to the category of position-based routing, and proposes two modes of operation to forward packets: greedy and recovery (or perimeter) mode. The greedy mode is the default mode of operation until a packet reaches a local minimum. GPSR recovers from a local minimum entering in recovery mode, which performs routing operations based on the right-hand rule. As mentioned  
105 in [12], this rule states that when a packet arrives at node  $x$  from node  $y$ , that is, it traverses edge  $(x,y)$ , the next edge traversed is the next one sequentially counterclock-wise from link  $(x,y)$ . Nevertheless, the right hand rule can incur into routing loops if the graph is not planar, that is, there are cross-edges in the

graph. The packet resumes forwarding in greedy mode when it reaches a node  
110 whose distance to the destination is smaller than the distance from the local  
minimum to the destination.

## 2.2. Backpressure Routing

The intellectual roots of dynamic backpressure routing for multi-hop wireless  
networks lies in the seminal work by Tassiulas and Ephremides [13]. Despite this  
115 approach promises throughput optimality, two main practical problems were not  
tackled in this work: i) the high complexity of queue structure and ii) the high  
end-to-end latencies. Based on [13], several modifications have been proposed  
to the backpressure algorithm focused on decreasing the complexity of queue  
structures or decreasing the attained latency. The shadow queue concept in [14]  
120 reduces the queue complexity of the original backpressure framework by main-  
taining a counter per destination instead of a queue per flow. Authors from [15]  
tackle latency problems associated with the original backpressure algorithm by  
increasing its number of queues. Their scheme proposes per-hop queues in each  
node. That is, each node maintains a separate queue per packets that have to  
125 be delivered to each destination within a certain number of hops. Even though  
these proposals alleviate to some extent the original backpressure shortcomings,  
they still require per-flow or per-destination information.

Closer to our approach, Neely extended the concepts of Tassiulas and de-  
fined the Lyapunov-drift-plus-penalty ratio to optimize wireless multihop net-  
130 works [16]. The theoretical strengths derived from this work have recently in-  
creased the interest on practical implementation of the Lyapunov-drift-plus-  
penalty ratio over wireless networks. Based on Neely's theoretical work, some  
practical single-queue backpressure routing implementations can be found. In  
the context of dense sensor networks, authors from [17] consider many-to-one  
135 traffic communications, and use a single LIFO queue per node to reduce end-  
to-end latency.

In our previous work in the context of mesh backhauls ([8, 9]), we con-  
sider any-to-any traffic communications. Instead of keeping per-flow or per-

destination information, we combine backpressure and geographic information  
 140 to reduce latency on regular topology deployments with a single FIFO queue per  
 node. Nevertheless, the problem of a void handling procedure for a practically  
 deployable scheme remained unanswered up to our preliminary work in [10].  
 Next, we present in this paper a detailed description of the resulting algorithm  
 and demonstrate by means of extensive simulations that BS retains the good  
 145 features of the geographic and backpressure philosophies.

### 3. Backpressure Routing Challenges under Sparse Deployments

Our scheme uses the theoretical ideas of the Lyapunov drift-plus-penalty  
 method [16], refined by the addition of new techniques needed for tackling prac-  
 tical small cell (SC) deployments. We first define the basic routing algorithm  
 150 and then we identify the challenges for sparse SC deployments.

#### 3.1. Basic Backpressure Routing Algorithm

The theoretical foundations of our scheme are based on the Lyapunov op-  
 timization framework detailed in [16]. Note that this framework can omit the  
 statistics of the random events happening in the network, such as variability of  
 155 wireless link rates or packet arrival. This eases the design of routing algorithms  
 for dynamic wireless networks. A descriptions of the resulting self-organized  
 backpressure routing policy follows.

Let  $i$  and  $j$  denote two neighboring SCs/nodes. Our basic scheme, presented  
 for regular (i.e., grid-like) deployments in [8], is based on the calculation of  
 weights for every link  $(i, j)$ . The weights, denoted as  $w_{ij}$ , are defined as follows:

$$w_{ij}(t) \triangleq \Delta Q_{ij}(t) - V_i(t)c_{i,j}^d(t) \quad (1)$$

When taking forwarding decisions, each packet is forwarded to node  $j^*$   
 (amongst all nodes  $j$  neighbors of  $i$ ) with the maximum link weight  $w_{ij}$ . That  
 is, the selected neighboring node  $j^*$  is such that:

$$j^* \triangleq \arg \max_{j \in J} w_{ij}(t), \quad (2)$$

where  $J$  is the set of neighbors of  $i$ . In this sense, it is referred to as the Max-weight policy. Equation 1 presents three key components:

**Backpressure Routing:** The minimization of the Lyapunov drift ( $\Delta Q_{ij}(t)$ ) between neighboring SCs is essential for evenly balancing the traffic load among the wireless links and nodes in the mesh backhaul. Besides, we show in the following section that it allows the eventual circumvention of connectivity voids.

**Geographic Routing:** The cost function  $c_{i,j}^d(t)$ , proposed in [8], uses geographic information to penalize forwarding decisions that move the packet away from the destination  $d$  ( $c_{i,j}^d = 1$ ), and rewards routing decisions otherwise ( $c_{i,j}^d = -1$ ).

**The  $V$  parameter:** As defined in [9],  $V_i(t)$  is a non-negative function in charge of finding the appropriate tradeoff between geographic routing (getting as close as possible to the destination) and backpressure routing (evenly distributing the load among all neighbors), adapting automatically its value to the network state. The value of  $V_i(t)$ , upper bounded and initially set to the queue size limit (i.e.,  $Q_{MAX}$ ), is calculated as follows:

$$V_i(t) \triangleq Q_{MAX} - \max(Q_j(t)); j \in J \cup \{i\}. \quad (3)$$

Thus, low values of  $V_i(t)$  come as a consequence of detecting a node that starts to be congested, and so, a higher weight will be given to move to a lower congestion state by reducing the drift. Contrarily, in uncongested networks, the path with the shortest distance will be traversed by the packet.

### 3.2. Limitations of Basic Backpressure in Sparse Deployments

Despite the potential of the above framework, a few problems remain to be solved. Here, using network simulation with ns-3 [11], we analyze how our scheme reacts under sparse deployments. Figure 2 depicts a 5x5 grid mesh backhaul, and assume that a percentage of the SCs (shaded nodes) have been powered off at a certain instant. Each SC maintains a single FIFO queue with  $Q_{MAX}$  equal to 200 packets. We assume that for each SC, horizontal and vertical neighbors are 1-hop neighbors whereas diagonal neighbors are considered 2-hop

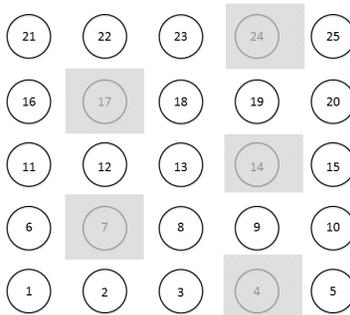


Figure 2: Sparse wireless backhaul scenario

170 neighbors. Within this scenario setup,  $SC_6$  sends a 2Mbps UDP CBR flow to  $SC_8$ . Thus, given that the  $SC_7$  is unavailable, the shortest path under this mesh backhaul configuration has 4-hops through SCs (11, 12, 13, and 8) and (1, 2, 3, and 8).

Figure 3 plots the time evolution of the queue backlog in  $SC_6$ , the one facing  
 175 a dead end due to  $SC_7$  being switched off at time  $t = 5s$ . The routing scheme is configured with different fixed  $V$  values and using the variable- $V$  algorithm described above. Interestingly, Figure 3 reveals that packets remain trapped up to a certain extent. *The first aspect to point out is that such a scheme requires to fill the queue of the SC being the local minimum up (i.e.  $SC_7$ ) to a*  
 180 *limit in which routing decisions emphasize more the reduction of queue backlog differentials rather than geographic proximity to the destination. Nonetheless, packets remain trapped in  $SC_6$  once the queue backlog is below this limit (i.e., at instant  $t = 35s$  when the flow terminates).*

We observed how different configurations of the  $V$  parameter yield different  
 185 queue backlogs to enable the use of queue backlog differentials, hence allowing packet to escape from the network void. With the decrease of the  $V$  parameter, the queue threshold required to start taking routing decisions based on queue backlog differentials also decreases, hence causing a decrease of queueing latencies. *The second point to remark is that the configuration of the  $V$  parameter is*  
 190 *of primal importance to determine the extent of queue backlogs to escape from network voids, and so, has a significant influence in the attained latency.*

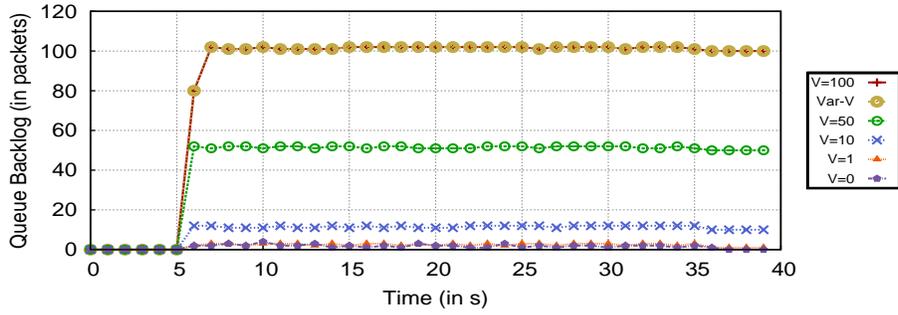


Figure 3: Impact of the value of the V parameter in the queue backlog to circumvent network voids. The void is circumvented once the queue backlog of the local minimum is stabilized, but some packets may get trapped.

Table 1: Impact of the value of the V parameter in latency.

Value of V parameter	Average Latency (ms)
V=0	143.81 ms
V=1	19.67 ms
V=10	126.79 ms
V=50	603.00 ms
V=100	1198.21 ms
Variable-V	1198.21 ms

Table 1 exhibits the consequent decrease on end-to-end latency with the decrease of the  $V$  parameter. Note that the case of the Variable- $V$ , initialized to  $Q_{MAX}$ , requires to decrease its value up to half the value of  $Q_{MAX}$  to enable  
195 routing decisions based on the minimization of queue backlog differentials. The trend towards lower latencies has a turning point at  $V=0$ . Indeed, we observe a latency increase with respect to  $V=1$  because the forwarding decisions are exclusively based on the minimization of queue backlogs, without taking into account the proximity to the destination. This results in long paths to reach  
200 the destination.

*The third main aspect to highlight is that an excessive use of the minimization of queue backlogs to take routing decisions could result in excessive path lengths to escape from network voids.* Figure 4 shows the hop distribution of all the packets carried in the backhaul with  $V=0$ . Note that the minimum path length  
205 in the considered case is four hops. Instead of merely using four hop paths, data packets traverse a number of hops that increases up to more than 60 hops. In particular, there is only a 20% of data packets following paths of a minimum number of hops. In this case, a fixed  $V$  value parameter set to 1 may solve the aforementioned problems for one single traffic flow. Nevertheless, as argued  
210 in [8] and [9], this is not a feasible solution, since it does not appropriately handle the traffic (presence of simultaneous flows) and network dynamicity that are the norm in wireless networks, hence resulting in routing loops and increased latencies.

Nonetheless, given its potential, the solution presented in this paper still re-  
215 lies on the Lyapunov drift-plus-penalty approach with a single queue per node to handle any-to-any traffic communication patterns. In this way, we benefit from its advantages, namely scalability, self-organization, statelessness, decentralization, and low control overhead.

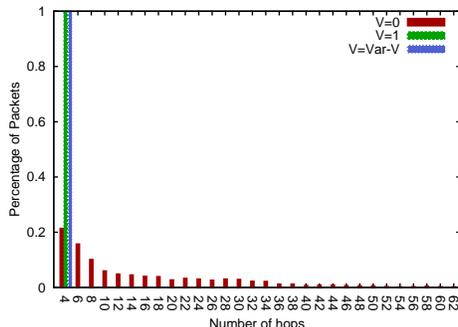


Figure 4: Histogram of the hop distribution when exclusively making forwarding decisions based on queue backlogs ( $V = 0$ ).

#### 4. Backpressure for Dynamic Small Cell Deployments

220 To address the above problems, this section describes the resulting scheme to manage both regular and sparse deployments. We propose to introduce a new mechanism in the routing penalty function that can handle network voids without incurring into additional routing recovery procedures, whose objectives are 1) to achieve reduced queue backlogs (and associated latencies), 2) to avoid  
 225 excessive path lengths causing potential routing loops, and 3) to avoid packets to get trapped at data queues while maintaining the advantages of our original scheme [9].

Both the minimization of the Lyapunov drift and the Variable-V algorithm are key components to make the most out of the network resources. Further-  
 230 more, an appropriate cost function is fundamental to avoid the inefficiencies observed in section 3.2 for sparse deployments and achieve the aforementioned objectives. As revealed by the results in section 3.2, this is particularly noticeable when a substantial decrease of latency is observed when reducing the importance of the geographic-based cost function when taking routing decisions  
 235 (low values of  $V$ ). Subsections 4.1, 4.2, and 4.3 explain the main intuition, as well as the details of the operation and implementation of the cost function.

#### 4.1. The Intuition behind the Cost Function

The cost function  $c_{i,j}^d(t)$  conceived for sparse (and uniform) deployments follows the same trend of rewarding the selection of SCs closer to (and penalizing SCs farther from) the destination when there is uniform connectivity. However, 240 the proposed cost function differs from the previous one designed for uniform SC deployments in two key points in order to avoid high queuing latencies in the presence of dead ends.

First, the cost function includes the possibility of rewarding routing decisions 245 that select SCs located farther from the destination in the presence of dead-ends, rather than allowing packets to get trapped in data queues. Second, the cost function penalizes decisions generating 1-hop loops, which occur when a packet is routed back to the node from which the packet was just received. In this way, a 1-hop loop would occur when there is only one neighbor available and the 250 Lyapunov drift minimization gains in importance regarding the cost function.

The results presented in section 5 show that the considered sparse deployments can serve the offered load appropriately when adding these two features in the routing cost function together with the geographic and backpressure components.

#### 255 4.2. The Cost Function

Before delving into the details of the cost function, let us first define some auxiliary functions. Let the loop function  $L_{i,j,d}(t)$  be equal to 1 when the current node  $i$  forwarding packet  $p$  received this packet from node  $j$  (that is, there is a 1-hop loop), and 0 otherwise. Additionally, let  $NC_{i,d}(t)$  denote the set of 1-hop 260 neighbors of node  $i$  closer to the destination  $d$  and  $dist(n1, n2)$  be the function that calculates the Euclidean distance between nodes  $n1$  and  $n2$ . Finally, let  $OC_{i,d}(t)$  be a binary function that, when forwarding a packet from  $i$  headed to  $d$ , is equal to 1 if there is a single neighbor closer to  $d$ , and 0 otherwise.

According to this notation, the new proposed cost function is defined as:

$$c_{i,j}^d(t) = \begin{cases} L_{i,j,d}(t) - 1 & \begin{array}{l} \text{dist}(j,d) < \text{dist}(i,d) \\ \text{or } (\text{dist}(j,d) > \text{dist}(i,d) \\ \text{and } |NC_{i,d}(t)| = 0 \end{array} \\ 1 - 2L_{i,k,d}(t)OC_{i,d}(t) & \begin{array}{l} \text{dist}(j,d) > \text{dist}(i,d) \text{ and} \\ \text{dist}(k,d) < \text{dist}(i,d) \text{ and} \\ |NC_{i,d}(t)| \geq 1 \end{array} \end{cases} \quad (4)$$

265 For easing the description of the cost function, we use the sparse network illustrated in Figure 5. The first case in equation (4) represents how the cost function treats neighbors i) closer to the destination, or ii) farther from the destination when there are not neighbors closer to the destination. For nodes closer to the destination, the loop function determines whether the cost is -1 (rewarding the closer neighbor if the loop function is 0), or is equivalent to 0 (base the decision on the queue drift to reward such neighbor if the loop is 1). In this sense, 270 forwarding decisions approaching packets to the destination  $d$  are rewarded, unless it supposes a 1-hop loop. When packets reach dead-ends such as  $SC_{11}$  in Figure 5 (i.e.,  $|NC_{i,d}(t)| = 0$ ) our approach circumvents the void by rewarding 275 forwarding decisions towards a node  $j$ , which is farther from  $d$  than the local node  $i$ . However, to avoid never-ending 1-hop loops, we check if the packet arrived from that same node  $j$ . This is controlled by the loop function, as when it is equal to 1, it makes the cost function towards node  $j$  equal to 0, and so, other nodes farther from  $d$  different from  $j$  are preferred. In terms of weights, 280 these farther nodes are preferred over closer node  $j$  because  $i$  obtains a more negative cost function when forwarding this packet, and so, a higher weight is obtained. Since the packet is eventually forwarded to the neighbor with the highest weight at the time node  $i$  takes the forwarding decision, these nodes are selected first. On the other hand, if there is no other node except  $j$  for 285 circumventing the void (i.e., going backwards through the same path),  $L_{i,k,d}(t)$  is equal to 1, hence resulting in node  $i$  taking the forwarding decision exclusively based on the queue drift (e.g., backward path from  $SC_{24}$  to  $SC_{23}$  in Figure 5).

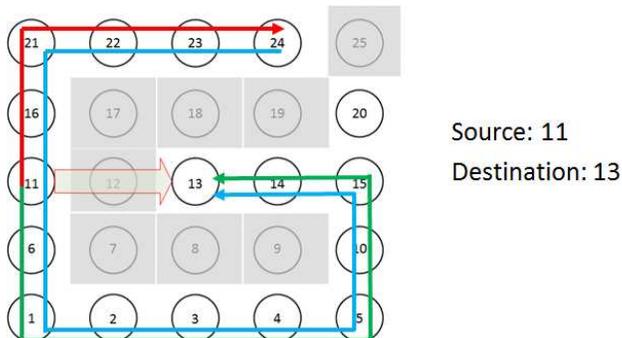


Figure 5: Topology surrounded by network void.

Since queue backlogs in dead-ends tend to be high, taking such decisions allows packets to go backwards to circumvent the void.

290 The second case of equation (4) is devoted to handle the cost calculation for nodes that are farther from the destination  $d$  when node  $i$  (the local node forwarding the packet) has neighbors closer to  $d$ . In the normal case, this will result in the cost being equal to 1. When combined with a positive value of  $V$  in equation 1, it results in lower weights than for those nodes close to  $d$ .  
 295 Therefore, closer nodes are preferred. However, to avoid packets being trapped in the queues of dead-end nodes (or nodes close to dead-ends) another case must be handled.

For instance, the depicted network in Figure 5 forms a multi-hop line sub-topology surrounded by a network void. If a packet arrives to the dead-end  
 300 node (e.g.,  $SC_{11}$  in Figure 5), it will be handled by the first case, as explained above. However, once this packet reached the node just before the dead-end (e.g.,  $SC_{16}$  or  $SC_6$  in Figure 5), instead of sending it again towards the dead-end, it must send it backwards (see red arrow in Figure 5) until all the hops in the line sub-topology are traversed to be able to circumvent the whole. This is  
 305 handled in the second case of the equation with the term  $2L_{i,k,d}(t)OC_{i,d}(t)$ . In fact, this term is different from 0 only when node  $i$  (the local node) receives a packet from node  $k$  (the only one closer to  $d$ ) from which the packet arrived to  $i$ . In this case, both  $L_{i,k,d}(t)$  and  $OC_{i,d}(t)$  are equal to 1, which makes the cost

become negative, which in turn, results in a high positive weight and the packet  
310 is sent to node  $j$  (farther from  $d$ ) instead of  $k$  (closer to  $d$ ). Thus, the packet  
traverses the line sub-topology in the backward direction. In this way, packet  
do not get trapped in the queues of such kind of sub-topologies.

#### 4.3. Implementation details of the Cost Function

To implement the binary function  $L_{i,j,d}(t)$ , knowledge of an identifier of  
315 previous hop that forwarded the data packet is required. In the IP header of a  
data packet, there is neither information identifying the previous node/SC that  
transmitted a data packet nor the coordinates (or the IP address) of that node.  
Rather than adding new headers with the source IP address of the previous hop,  
in our implementation we use MAC addresses for that purpose.

320 In terms of state information, each SC maintains a table with information  
related to its available 1-hop neighbors. Furthermore, each entry of the table  
contains the queue backlog, the geographic coordinates, the IP address, and the  
MAC address of the neighbor.

Additionally, we store the MAC address of the node who forwarded each  
325 incoming packet stored in the data queue. For each data packet being forwarded,  
the SC checks whether the MAC address of the target next hop matches the  
MAC address stored with the packet. If there is a match,  $L_{i,j,d}(t)$  becomes 1  
for packet  $p$ , and in this way 1-hop routing loops are detected.

## 5. Evaluation

330 Section 5.1 describes the methodology followed, whereas section 5.2 and  
section discuss the results obtained.

### 5.1. Methodology

We conduct all the simulations with the ns-3 [11] network simulator, with  
a duration per simulation run of 50 seconds. The simulated network is a 5x5  
335 square grid backhaul of SCs, where the distance between neighboring nodes is  
of 100 meters. The set of neighbors of a given SC are the nodes within a range

of 100 meters. To carry backhaul traffic, every SCs has a single IEEE 802.11a WiFi interface configured to the same channel, and at a link rate of 54Mbps. In particular, we use a simple WiFi channel model with a 2-hop interference pattern that does not generate losses due to hidden nodes or propagation errors.  
340 The goal of all the experiments is to show the robustness of Backpressure routing for dynamic Small Cell deployments (BS) when some SCs of the modeled wireless mesh backhaul are unavailable. The set of unavailable SCs is selected as follows: an SC may be unavailable with a certain probability  $p > 0$  when there  
345 is not any other SC already unavailable within wireless transmission range, else  $p = 0$  (i.e., SC continues active). This methodology ensures that a path can be constructed between any possible combination of source-destination SCs. We fixed the set of powered off SCs to the 20% of the total number of SCs. Figure 2 illustrates an example of the strategy followed to switch off nodes in the 5x5  
350 grid.

To evaluate BS robustness, simulation results compare the performance of BS with that of GPSR, and an idealized version of the shortest path routing algorithm (ISPA) under different traffic demands and different backhaul topologies. We use GPSR as a benchmark because it is the reference protocol in the literature [6] for comparison with geographic routing protocols, given its robustness  
355 and low control routing overhead. In turn, we use ISPA because it follows, in terms of data plane, the philosophy of current protocols deployed in the mobile backhaul such as MPLS-TP [5]. ISPA is 'ideal' in the sense of having a complete knowledge of the global network topology without exchanging any control in-  
360 formation message. Therefore, ISPA always knows a priori the shortest path in terms of number of hops between any pair of nodes, hence building routes that do not use nodes that are switched off. That is, network voids are not a problem in ISPA. On the other hand, GPSR must change its operation from greedy forwarding mode to perimeter routing, using the right hand rule to guarantee  
365 that it will find a path to the destination. BS, on the contrary, merely uses the distributed computation of weights to circumvent network voids, as described in the previous section.

In addition to this, note that in all the ns-3 simulations, BS sends HELLO broadcast messages of 110 bytes every 100ms, whereas ISPA routing does not  
370 transmit any control messages. In turn, GPSR [12] sends HELLO messages of 135 bytes every 100ms, and includes an additional header in the data traffic, adding 50 bytes to the packet size (1488 bytes). Every SC maintains a single first-in first-out (FIFO) data queue of a maximum of 400 packets.

We characterized the performance of each protocol by measuring the through-  
375 put, latency, number of hops, and routing stretch (i.e., ratio of the hop count of the select paths to that of the shortest path) in every simulation in steady state (i.e., transient periods in each simulation run are discarded). These results are obtained using our implementation of BS, the GPSR implementation provided by the authors of [18], and the ISPA implementation found in [19]. Note that  
380 most of the results regarding throughput are omitted, since, unless explicitly mentioned in the paper, the offered load is fully served by the three routing protocols. For each of these network performance metrics, we generally used average values and boxplots to represent their statistical distribution. In particular, the box stretches from the 25th to the 75th percentiles, and the whiskers  
385 represent the 5th and 95th percentiles.

### *5.2. Impact of the Traffic Demand*

This subsection provides the comparison of the performance of BS, GPSR, and ISPA while keeping a fixed set of SCs unavailable (see Figure 2) and considering different traffic workloads. In all the simulations, the same set of source-  
390 destination pairs are considered for all the routing protocols under comparison. The number of traffic flows injected to the network varies from 1 to 6, out of the set  $\{1,2,4,6\}$ . The generation of the set of traffic flows followed an incremental approach. That is, when moving from 2 to 4 flows, two new flows are added to the previous ones (i.e., 2 out of the 4 flows are the same ones as in the 2-flow  
395 case). Each of the four different traffic workload configurations were simulated forty times with different seeds. The use of different seeds provided the required random source/destination SC pairs. Each selected source SC injected 2Mbps

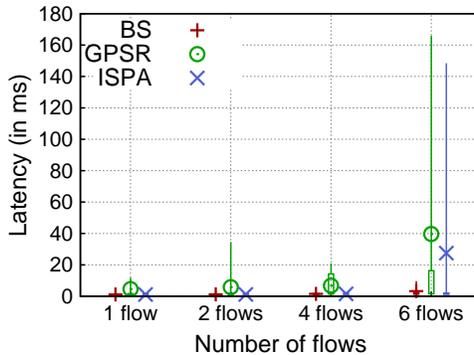


Figure 6: Average latency experienced by each injected workload of experiment in Section 5.2.

of UDP CBR traffic directed towards a destination SC, for a total offered load in the backhaul of  $2Mbps \cdot \text{Number of Flows}$ . Thus, we execute 160 different  
 400 simulations for each protocol, hence resulting in 480 simulations in total. Figure 6 compares the average latency of BS, GPSR, and ISPA as the number of traffic flows varies from one to six flows.

With one, two and four traffic flows, the performance of BS is on average close to that of ISPA, as both protocols route data packets following paths of a  
 405 minimum number of hops. Whilst ISPA builds offline end-to-end shortest path routing tables in every SC and requires topology information of the whole network to do that, BS only requires neighbor information. However, despite this remarkable qualitative difference, BS neither traverses paths with an excessive number of hops nor increases average queue backlogs. In turn, BS outperforms  
 410 the latency values of GPSR given that the defined cost function in section 4 allows overcoming local minima in a more efficient way than GPSR. Actually, GPSR starts suffering from highly variable latencies when the number of flows is equal or bigger than two. The backhaul topology showed in Figure 2 and the randomly chosen source-destination SC pairs provoked GPSR to use perimeter  
 415 mode for some combinations of source-destination pairs. When GPSR enters in recovery mode, the use of the right-hand rule to overcome a dead-end can often lead to suboptimal paths in terms of number of hops, increasing the end-to-end latency, even under light traffic conditions. Additionally, switching from

greedy forwarding to recovery mode already increases the latency. Data pack-  
420 ets experiencing recovery mode at the source node are queued and periodically  
served on bursts once the requested route, calculated using the right hand rule,  
is known [18]. In this way, when such packets are being served, the FIFO service  
policy is altered, which derives into additional latency perturbations to the rest  
of the traffic flows traversing the node.

425 When the number of traffic flows is equal to 6, the backhaul starts suffering  
from congestion and the latency increases with GPSR and ISPA because these  
protocols do not take into account all the available resources to take routing  
decisions. Thus, while certain SCs get congested, other SCs are not used at  
all. With six traffic flows, BS shows lower average latencies than GPSR and  
430 ISPA due to its inherent traffic distribution capabilities. By exploiting the  
minimization of the Lyapunov drift, BS attains an even resource consumption in  
the mesh backhaul. The resulting distribution of traffic is such that the resulting  
deviation from shortest path aims to relieve congestion, hence resulting in lower  
latencies despite traversing longer paths.

### 435 5.3. Impact of the Backhaul Topology

The goal of this subsection is to evaluate the robustness of BS compared  
to that of GPSR and ISPA when varying both the backhaul topology and the  
traffic demands. To this aim, this subsection extends the previous one to include  
in the evaluation twenty different mesh backhaul topologies. We generated each  
440 of the twenty topologies by varying the set of five SCs switched off from the  
5x5 grid illustrated in Figure 2. The set of powered off SCs have been selected  
randomly following the strategy explained in subsection 5.1. Figure 7 plots  
the average latency distribution exhibited by BS, GPSR, and ISPA accounting  
the twenty sparse mesh setups as the workload increases (from one flow to six  
445 concurrent flows). In turn, the latency values have been calculated over forty  
independent repetitions. Each of these forty simulations runs used a random set  
of source-destination pairs. As a result, we run 3200 different simulations for  
each protocol, making a total of 9600 different simulations for the three routing

variants.

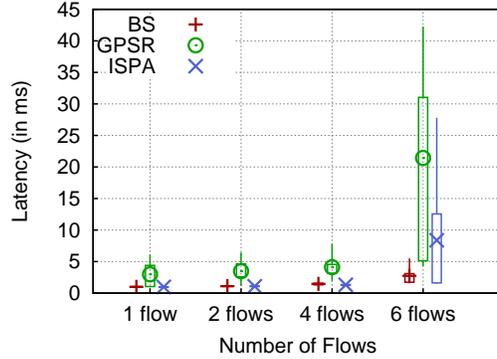


Figure 7: Average latency experienced for the different backhaul topologies and injected workload of experiment in Section 5.3

450 The most remarkable observation is that simulation results confirm the robustness of BS in different sparse mesh backhaul topologies. BS outperforms both GPSR and ISPA showing a reduction in terms of average latency of up to a 85% and 70% respectively, while maintaining its inherent load balancing capabilities and overcoming voids with a low routing stretch. Despite varying  
 455 twenty times the backhaul topology, the latency trend showed by the three protocols in Figure 7 is similar to that showed in the previous subsection with a fixed backhaul topology (see Figure 6). The workload is almost always served, yet there are some throughput inefficiencies under heavy traffic conditions, i.e. with six traffic flows.

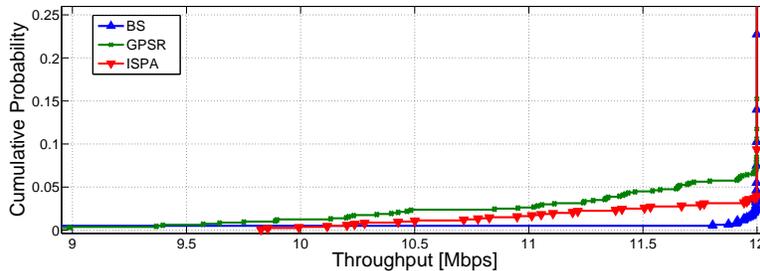


Figure 8: Aggregated throughput for six traffic flows.

460 Figure 8 shows the cumulative distributed function of the attained through-  
put for six traffic flows. Results show that GPSR and ISPA fail more frequently  
than BS, but for a minority (less than 1%) of the chosen source-destination  
pairs. In these cases, where the workload is above the rates that the network  
can handle, BS exhibits a remarkable degradation of throughput. This is due to  
465 the fact that the distributed variable- $V$  algorithm of BS excessively decreases  
the  $V$  values in most of the SCs to zero. Thus, routing decisions are merely fo-  
cused on minimizing the Lyapunov drift rather than maximizing the rate of data  
arrivals at the destinations. The other protocols stick to a given route, handling  
such saturation conditions by losing packets due to buffer overflows at nodes.  
470 This behavior results in less packets being transmitted over the network, and so,  
the remaining packets can be more appropriately served. However, the reader  
should recall that these are saturation conditions that the operator will avoid  
by other means. One potential solution is the design of a distributed flow rate  
controller that shapes the injected traffic to prevent the network from reaching  
475 saturation as in [20]. Nevertheless, this is out of the scope of this paper.

Figure 9 depicts the average path length distribution of the three routing  
variants for the twenty sparse mesh setups and a workload of four traffic flows.  
Note that ISPA represents the lower bound in terms of path length distribu-  
tion, and can be considered the optimal routing solution in terms of maximizing  
480 throughput and minimizing latency under light traffic loads. Interestingly, Fig-  
ure 9 confirms that BS exhibits a path length distribution close to that attained  
by ISPA for the twenty sparse mesh setups and different combinations of four  
traffic flows injected in the network.

Figure 10 illustrates the specific distribution of latency of the three routing  
485 variants for each of the twenty backhaul mesh topologies and a workload of  
four traffic flows. We can highlight two main observations. First, BS clearly  
outperforms GPSR for the twenty mesh backhaul topologies given the potential  
inefficiency of the routing recovery mode of GPSR. Second, BS presented similar  
latencies in most of the mesh backhaul topologies under evaluation compared  
490 to ISPA. We only found one backhaul topology, labeled as T20, of noticeable

higher mean average latency in BS compared to ISPA. For these specific setup, BS experiences a higher latency in the 5% of the forty repetitions.

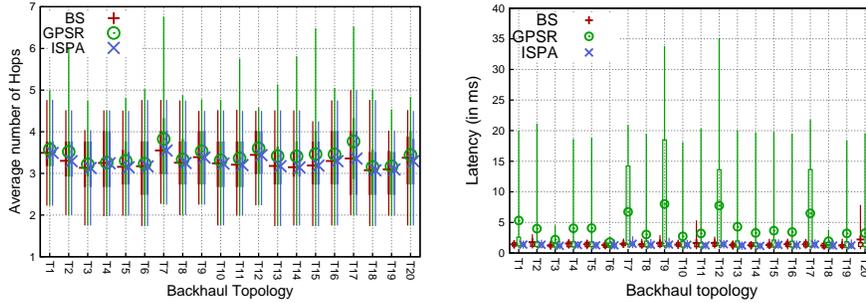


Figure 9: Average number of hops experienced in each one of the 20 mesh backhaul topologies with a workload of 4 flows  
 Figure 10: Latency distribution in each one of the 20 mesh backhaul topologies with a workload of 4 flows

Figure 11 presents the attained latency distribution attained by BS, GPSR, and ISPA for the considered twenty backhaul topologies and a workload of six traffic flows. BS clearly outperforms GPSR both on the average values and on their variance. Additionally, latency values of BS present a better trend on average than those attained by ISPA, as showed in Figure 7. The single shortest path selected by ISPA is not sufficient to serve efficiently the traffic, causing congestion. Load balancing is required to avoid packets stay longer periods at SC queues. Indeed, the problem with GPSR as well as ISPA is that they are insensitive to congestion, being not able to adapt dynamically their routes to relieve traffic congestion. The improvement in terms of latency experimented by BS is explained in part by its ability to distribute traffic, exploiting in a more efficient way the backhaul resources.

Despite the increase of the offered load to six traffic flows, BS still shows a path length distribution similar to that of ISPA. This indicates that BS leverages multiple non congested paths of a low number of hops, whereas ISPA merely uses one single shortest path. This explains why the difference in number of hops is so small between ISPA and BS. It is also important to note that the path length distribution of BS proves that routing loops are rare for most of the

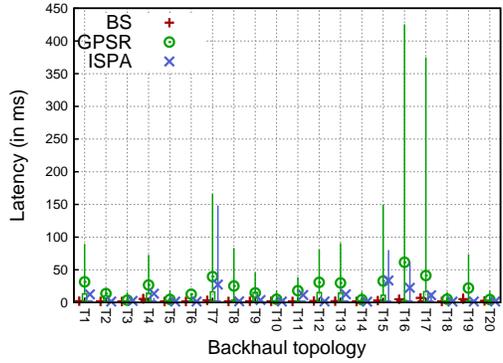


Figure 11: Latency distribution in each one of the 20 mesh backhaul topologies with a workload of 6 flows

twenty topologies under consideration. When balancing traffic, the aim of BS is to prioritize short rather than long paths. Figure 12 presents the CDF of the routing stretch metric for the BS and GPSR protocols with respect to ISPA (the routing protocol providing the optimal route in terms of hops) when injecting a workload of 6 flows. As we can observe, GPSR experiences path lengths up to 4.5 times bigger than ISPA, whereas BS path lengths do not exceed 1.7 times this value. BS incurs in more hops in order to exploit the resources of the network when overcoming a communication void, while GPSR uses the right-hand rule, which is not always effective. Simulation results indicate that the right-hand rule chooses on average a non optimal route in 50% of the cases, which derives in a high routing stretch value.

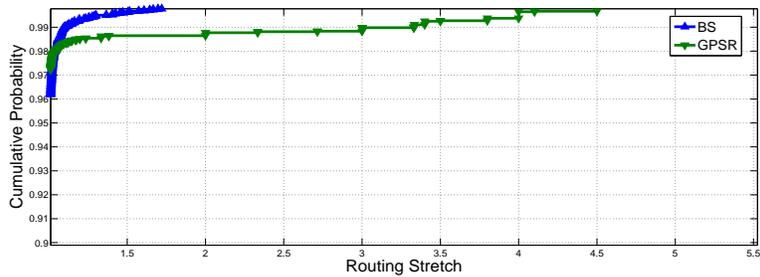


Figure 12: Routing stretch CDF of the flows considered in each one of the 20 mesh backhaul topologies with a workload of 6 flows

## 6. Conclusions

This paper presents BS, a self-organized routing protocol that combining backpressure, geolocation, and information carried in the packet avoids excessive network latencies while serving offered traffic demands under dynamic SC  
525 deployments. Amongst others, BS features self-organization, scalability, decentralization, (quasi-) statelessness, and low control overhead.

Simulation results with ns-3 show that BS provides robustness across a wide variety of wireless backhaul topologies and traffic demands, obtaining a similar  
530 (or even better) performance compared to ideal shortest path routing algorithm (ISPA), a protocol abstracting the properties of legacy transport network level protocols (e.g., MPLS-TP). BS experiences similar latencies to ISPA for light loads, and exhibits a latency reduction on average of up to a 70% under more heavy traffic demands due to its inherent load balancing capabilities. Besides,  
535 BS outperforms GPSR, the reference protocol for geographic routing, showing a reduction of up to 85% in average latency, while serving all the offered load. The key reasons for such latency improvement are the use of multiple paths when network congestion requires it, without incurring into a high value of the routing stretch metric (i.e., 1.7 times the shortest path). Overall, we believe  
540 that the results presented in this paper are crucial to consider maintaining the benefits of backpressure routing showed in uniform deployments for dynamic small cells deployments.

## 7. Acknowledgments

This work was supported by the TEC2011-29700-C02-01 grant of Spanish  
545 Ministry of Economy and Competitiveness and by the 2009-SGR-940 grant of the Catalan Government.

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