

1 **A human-centred approach based on functional near-infrared spectroscopy for adaptive**  
2 **decision-making in the air traffic control environment: A case study**

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35

### 36 **Abbreviation**

37 ATC, Air traffic control; ATM, Air traffic management; ATCOs, Air traffic controller; HFs, Human  
38 factors; DM, Decision-making; IA, Intelligent automation; EEG, Electroencephalography; fNIRS,  
39 Functional near-infrared spectroscopy; fMRI, Functional magnetic resonance imaging; GSR,  
40 Galvanic skin response; VAS, Visual analogue scoring; RPFC, right prefrontal cortex; LRFC, left  
41 prefrontal cortex; RMC, right motor cortex; LMC, left motor cortex; ROL, right occipital lobe; LOL,  
42 left occipital lobe.

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45 Abstract

46

47 Safety-critical systems like air traffic control (ATC) are usually less automated than might be expected  
48 by the public, so human intelligence will remain at the core in the decision-making (DM) process.  
49 Meanwhile, human factors (HFs) need to be fully considered in the DM process, which can design  
50 the ATC system to be more intelligent and more adaptive to the behaviour of the user. However, the  
51 existing DM research lacks the systematic methods that fully consider human performance in a smart  
52 manner. This study proposed a human-centred adaptive DM methodology that combines subjective  
53 and objective measurements made by functional near-infrared spectroscopy (fNIRS) via intelligent  
54 automation (IA). Moreover, this paper also described a case study of radar display map operation,  
55 including descriptive and optimised maps, to illustrate the proposed approach and verify its feasibility  
56 and effectiveness. The results were determined by jointly considering the user-generated and system-  
57 generated data and suggested that the proposed approach could capture subjective and objective data,  
58 take into consideration the HFs information to provide real-time online feedback and adjust the  
59 decision support system to HFs. It is hoped that this study can promote the methodology of human-  
60 centred subjective and objective data-driven applications in the future ATC environment adaptive  
61 decision research.

62

63 **Keywords:** Air traffic control; Adaptive decision-making; Functional Near-Infrared spectroscopy;  
64 Human factors; Intelligent automation

65

## 66        1.    Introduction

67    With the gradual development of technology and artificial intelligence, many robust and adaptive  
68    decision-making (DM) methods are being explored for developing real-life applications of  
69    engineering, such as transport systems, smart city, and inventory management, thereby increasing the  
70    convenience and reducing the risk inherent in engineering applications [1-3]. According to the latest  
71    annual global statistics reported by the International Civil Aviation Organization, the number of  
72    airline passengers is expected to reach 10 billion by 2040 [4]. Air traffic control (ATC) is responsible  
73    for resolving operational conflicts on the approach route and ensure passenger safety, as well as air  
74    traffic management (ATM) in the terminal manoeuvring area [5, 6]. The role of air traffic controllers  
75    (ATCOs) which involves continuous acquisition of the latest flight status and coordinates from several  
76    sources to make ATM decisions is becoming more significant [7, 8]. Normally, the work pressure of  
77    ATCOs increases with the volume of air traffic in the terminal manoeuvring area, leading to potential  
78    dangers. Hence, it is necessary to develop an advanced DM approach that can adapt to the mental  
79    condition of the ATCOs and control ATC system automatic parameters to ensure the efficiency and  
80    safety of air traffic operations [9, 10].

81  
82    Making ATC decisions is deemed as a typical multi-criteria DM problem [5, 6, 11, 12]. Following the  
83    common approach in operations research and optimisation methods, the aircraft conflicts under the  
84    condition of uncertainty can be resolved by adjusting the speed, angle, and accelerations of the aircraft  
85    [13-16]. Yet the ATC operating system should not perform automatic adjustment based only on  
86    numerical data. Feyer et al. [17] showed that 90% of accidents at workplaces are caused principally  
87    by human error. Human factors (HFs) play a crucial role in improving system performance, reducing  
88    operating errors and enhancing occupation safety in the workplace. The greater the cognitive  
89    workload on the operators, the more likely it is that fatigue will occur and increase the possibility of  
90    erroneous work decisions being made. In the ATC field, zero tolerance for errors is mandatory because  
91    ATCOs' work has a direct implication regarding passenger safety. Therefore, HFs such as ATCOs'  
92    mental status should be taken into consideration. However, in spite of most DM methods involving  
93    human performance research currently, they only rely on the analysis of accumulated human  
94    experience and behaviour [18-20]. Such methods are likely to be seriously affected by the mentality  
95    of the decision maker and will not perform real-time feedback in response to environmental changes.

96 Therefore, the present circumstances lead to the unilateral (system-oriented or human-oriented)  
97 design outputs of the ATC operating system, which often result in a disconnection in DM between  
98 humans and the system. To maintain an appropriate operation level for the human-machine system  
99 and avoid placing the working state of ATCOs in underload or overload, HFs must be more considered  
100 in the DM process reasonably by introducing intelligent automation (IA) into the ATC workplace in  
101 the future.

102  
103 The recent developments in neuroscience have made it possible to apply neuroimaging technology to  
104 detect the emotional and cognitive states of subjects and merge their data with other decision support  
105 systems. Neurophysiological measures, including Electroencephalography (EEG), functional Near-  
106 Infrared Spectroscopy (fNIRS), functional Magnetic Resonance Imaging (fMRI), and other bio-  
107 signals, such as Electrocardiography and Galvanic Skin Response (GSR) are used for human  
108 performance assessment [21]. Electrocardiography and GSR activities merely highlight certain  
109 mental states (stress, mental fatigue, drowsiness), while fMRI requires extremely exacting operating  
110 conditions [21]. EEG data are affected by various physiological noise signals. Besides, attachment to  
111 the body requires scalp abrasion and the application of a conductive gel. These necessities place  
112 restrictions on the subjects, which are not conducive to their executing tasks. Therefore, fNIRS, which  
113 does not have these disadvantages, is preferable for assessing human physiological performance in  
114 operational environments.

115  
116 Safety-critical systems like ATC are usually less automated than might be expected by the public [22].  
117 Human intelligence will remain at the core of the DM process. Although the current studies on  
118 advanced DM technologies and DM methods report a great improvement in the ATC field [23], there  
119 are still several challenges in their application and the related human activities: 1) Mainstream DM  
120 methods mainly take an aircraft's numerical data into consideration. However, the internal state of  
121 ATCOs cannot be detected and HFs do not receive consideration when manipulating and making  
122 decisions on operations, which has led to a failure to realise human-centred automatic regulation of  
123 ATC. 2) Most human performance and HFs assessment and detection methods are not efficient  
124 enough to provide both subjective and objective data in real time to support the DM process and  
125 elements in design, development, and optimisation of ATC system behaviour.

126

127 To address the above challenges, this research proposed an integrated DM method that combines  
128 subjective, behavioural, and neurophysiological measurement into ATC. The results from this study  
129 will contribute to a better understanding of human performance (ATCOs' workload, interaction, and  
130 mental status) in real time and thereby provide appropriate countermeasures (e.g. external automation  
131 will take over some of ATCOs' tasks when ATCOs are in a poor state) for the specific user-centred  
132 automatic regulation of ATC. Meanwhile, this process will add significant value in the provision of a  
133 useful approach and framework concerning data acquisition and analysis to assess machine and user  
134 state in real time of the entire ATC system. Moreover, by collecting and processing the subjective and  
135 objective data (e.g. user-created and system-generated data), the effectiveness and application of HFs  
136 can be evaluated based on data being driven to guide the development and optimisation of ATC  
137 system design. Operational insights from the findings would be useful in the development of ATCOs'  
138 training, cognitive identification, system decision behaviour, and human-machine interaction design.

139

140 The rest of the paper is organised as follows: Section 2 introduces some preliminaries of the proposed  
141 method. Section 3 illustrates the overall framework of DM in the ATC. Section 4 presents a case study  
142 using the radar map display interface to make the proposed decision research framework more  
143 specific and verify the effectiveness of the proposed method. Section 5 discusses and analyses the  
144 research results. Sections 6 sets out the managerial implications of this research. Section 7 states the  
145 contributions to the current literature in this field and the future direction of research that it suggests.

146

## 147 **2. Related studies**

148 Three streams of literature are relevant to this research, namely DM, HFs and cognitive fatigue  
149 measurements in ATC. The related literature is reviewed in this section to find the gaps in the research  
150 and build research strategies specific to the ATC system upon review of the theoretical foundations  
151 of the above aspects.

152

### 153 **2.1. DM studies**

154 The ATC system plays an important role in ensuring the flight efficiency and safety of aircraft by  
155 maintaining a safe longitudinal or vertical distance between aircraft and by changing the speed and

156 deviating aircraft from hazardous areas to eliminate (or reduce) conflicts between aircraft on flight  
157 routes and other emergencies. The ATCOs need to monitor radar displays at all times to ensure the  
158 safety of various flights and their path movements along paths at different speeds and altitudes. In the  
159 last 20 years, the cause of approximately 70% of aircraft accidents was found to be ATCOs operating  
160 errors [24]. Creating advanced systems to support the DM process in ATC in order to reduce the error  
161 in DM by ATCOs, increasing the convenience of human-machine systems, and ensuring the stability  
162 and safety of ATC have always been important research directions [25].

163  
164 The research on DM in the ATC system mainly includes expert systems, dynamic programming, path  
165 planning techniques, resilience engineering and metaheuristics [26, 27]. Cafieri et al. [13] proposed  
166 aircraft mixed-integer nonlinear programming modelling, based on speed regulation by acceleration  
167 or deceleration, to avoid aircraft conflicts while keeping their trajectories unchanged. Evans et al. [14]  
168 systematically collected the opinions of airlines through methods such as averaging, voting, and  
169 ranking, and then applied the game-theoretic approach and Monte Carlo methods to test the potential  
170 of airline strategic behaviour. The meta-heuristic framework based on variable neighbourhood search  
171 was proposed by Alonso-Ayuso et al. [28], which can be used to deal with conflict detection and  
172 resolution of such problems relating to aircraft by adjusting the angle of the aircraft. Moreover, the  
173 application of artificial intelligence to support the DM process in the ATC field has also attracted  
174 researchers' attention. Multi-agent-based modelling is frequently adopted in collaborative and  
175 complex DM processes by representing the entities of control centres, airports, lanes, etc. Agogino et  
176 al. [29] presented a multi-agent algorithm, where agents use reinforcement learning to reduce  
177 congestion through local actions. Each agent as a waypoint is responsible for three functions, ensuring  
178 separation between aircraft, ordering delays on the ground and changing the routes of aircraft. Lovato  
179 et al. [27] proposed a control strategy based on decisions on the longitudinal speed of flights without  
180 changing the route. Two series of fuzzy models based on Mamdani structure were adopted to quantify  
181 the level of longitudinal conflict between aircraft and to order aircraft to accelerate by a certain extent,  
182 thereby reducing or eliminating the possibility of conflict. However, Parasuraman et al. [22] have  
183 shown that a safety-critical system is usually a human-machine collaborative system. Most of the  
184 safety-related decisions are made by humans, and computer systems are used as auxiliary tools to  
185 assist controllers in their monitoring and communication tasks. Although the above systems make a

186 certain contribution to the development of DM, these systems do not gather reliable information to  
187 understand the internal state of ATCOs to support IA progress in the ATC field.

188

189 To meet the ATC system's IA during the DM process, it is necessary to estimate and predict the status  
190 indicators of ATCOs through some scientific methods. Task execution, [18] such as the explicit  
191 measurement of errors committed while executing a task, the number of control actions, the efficiency  
192 of communications and time, decision and action frequency, as well as empirical research, including  
193 instantaneous self-assessment [30], NASA task load index [31], and the subjective workload  
194 assessment techniques [32] can measure the quality of cognitive decisions to some degree. [Xiaotian  
195 et al. \[33\]](#) confirmed the mental landscape of ATCOs through the locus of attention scale, and the  
196 results suggested that subjects with a high overall degree of thinking are more sensitive to potential  
197 conflict events, which provided a reference for ATCOs' selection and training. [Wee et al. \[34\]](#)  
198 attempted to synchronise the dynamic changes in humans and the system to monitor the operational  
199 behaviour and mental status of the whole system using a real-time eye tracking system. [Dumais et al.  
200 \[35\]](#) used a real-time eye tracking system to identify different user types by capturing information  
201 such as the gaze time of the eyes and the corresponding heat map to better design a search interface  
202 and adjust the system behaviour. [Borst et al. \[36\]](#) studied the control performance and operant  
203 behaviour of ATCOs during a transfer manipulation in different target scenarios through two-day  
204 behaviour analysis and discussed the short-term effects of ecological interface design.

205

206 Although the subjective and behavioural methods contribute to refining the level assessment of the  
207 user's status, the contribution is limited to the user's awareness, subjective perception, and the length  
208 of interval between the occurrence of an event and its assessment by the subject. With the rapid  
209 development of physiological measurement technology, it has been widely demonstrated that  
210 neurophysiological measurements of discriminating cognitive demand fluctuations transcend both  
211 behavioural and subjective measures [37]. The online neurophysiological measurements are not only  
212 used as support tools in operative activities but also as monitoring techniques [38], enabling the  
213 measurement of any changes in cognitive activity immediately, which can help the system manipulate  
214 the task demands and make adjustments to achieve the optimal level of work. [Di Flumeri et al. \[4\]  
215](#) presented a vigilance and attention controller, which integrated the EEG and eye-tracking techniques.

216 The purpose was to evaluate the level of vigilance of ATCOs and to adjust the level of automation of  
217 the interface itself while working with highly automated human-machine interfaces. In the product  
218 scheme evaluation field, [Lou et al. \[39\]](#) utilized cloud models and EEG to form an integrated DM  
219 method, obtain the internal experience of design experts and target users, and provide professional  
220 test data to improve product design and development. The adoption of physiological and neurological  
221 tools to help understand the state of human perception while using engineering systems is more  
222 common in HFs research [\[40\]](#). To improve upon the maximum efficiency of the existing ATC system,  
223 it is urgent to adopt convenient, scientific and effective methods to provide reliable feedback on the  
224 internal state of ATCOs and to reveal the current adaptive DM and IA level of the human-machine  
225 system.

226

## 227 2.2. Human factors studies

228 The purpose of studying HFs is to simultaneously consider human capabilities, defects and needs, so  
229 that products can be adapted to suit human characteristics. That is, HFs play an important role in the  
230 ATC system, which determines whether ATCOs can work comfortably and then adjust the operating  
231 level of the system to ensure ATCOs' efficiency. HFs have always been a major area of research in  
232 aviation [\[41\]](#). The United States and Europe are paying more attention to HFs in the ATC system by  
233 gaining a better understanding and integration of HFs performance in the pursuit of superior business  
234 performance and security.

235

236 Human-machine interfaces, radar maps, voice interaction and radio communication help ATCOs to  
237 have a landscape of the latest traffic situation for ATM. Many high-frequency problems encountered  
238 in ATC operation are caused by unreasonable design [\[42\]](#). Therefore, the research on interface and  
239 system designs of the ATC system has increased gradually. In order to solve the problem of coarse-  
240 grained rotation interaction of ATC automation operation, [Luciani et al. \[43\]](#) developed a set of low-  
241 fidelity prototypes by using auxiliary sketch models to perform fine-grained interaction on the radar  
242 display human-machine interface and re-designed the display and interaction formats of the interface  
243 elements in the system. [Van Paassen et al. \[44\]](#) presented a shared representation of 4D trajectory  
244 management design based on the cognitive systems engineering framework, and also adopted a  
245 formative approach in the field of analysis of 4D trajectory planning. [Ten Brink et al. \[45\]](#) introduced

246 a conceptual interface for air traffic flow-based perturbation management in ATC. Their proposed  
247 system can enable ATCOs to manipulate multiple flows of traffic by facilitating interaction with a  
248 path-planning algorithm to change the route of several aircraft along an airway.

249

250 What calls for special attention is that individual factors, including the changes in workload, fatigue,  
251 stress and situational awareness, are important predictors for ATCOs when making decisions. All of  
252 these factors caused by the machine and environmental factors generally affect people's intervention  
253 and understanding of the system. By understanding the impact of these factors on the performance of  
254 ATCOs, specific solutions can be proposed. [Trapsilawati et al. \[46\]](#) measured HFs in conflict  
255 resolution, enduring mental workload, trust, dependence, and situation awareness under four  
256 conditions. [Lyu et al. \[47\]](#) introduced an HFACS-BN model (HFACS: Human factors analysis and  
257 classification system; BN: Bayesian network) to combine the subjective information of experts and  
258 objective data of accident reports, to evaluate training, physical fatigue, and mental state. The top five  
259 most influencing factors of HFs affecting the ATC system can also be obtained with the measurement  
260 proposed by [Lyu et al. \[47\]](#), that is, training, physical fatigue, mental state, ineffective monitoring,  
261 and ATC software/hardware.

262

263 In spite of some progress having been made on HFs research in the ATC field, most are considered  
264 and adjusted unilaterally (by the system or human intervention) or without a reasonable method to  
265 assess the HFs application, especially in effect on individual factors. Progress has not gone far enough  
266 towards realising human-machine fusion, nor have researchers evaluated the verification scheme  
267 further to optimise its design. In the current state, there is a major gap in the system, because it is  
268 unable to obtain physiological unconscious objective data through effective means to support the  
269 adjustment of the system to the user's state and optimise system behaviour, thereby strengthening the  
270 human-machine connection.

271

272 Effective integration of HFs could cover the design of all the system elements, such as tools, human-  
273 machine interface, procedures, roles and communication flows [\[48\]](#). The research design of the  
274 system elements extends from detailed basic design elements (lights and ergonomic design and  
275 colours) to high-level aspects that affect the DM process (assessing the cumulative workload or

276 fatigue induced in the operator by a new sector configuration). Compared to other safety-critical and  
277 high-hazard domains, ATC is characterised by the key role played by HFs. Different HFs incorporated  
278 into the system will affect the cognitive load of ATCOs determining whether the decision is correct.  
279 The results of the evaluation of HFs performance affect the implementation and presentation of the  
280 design of elements ranging from system interface to workflow procedures and may necessitate re-  
281 design of the system. Evaluation of HFs' performance is an effective way to significantly improve  
282 the stability of the system and reduce errors. Furthermore, it is also a common method of decision  
283 processing, and to some extent, it is the basis of realising the maximum potential of an IA system.

284

### 285 2.3. Cognitive fatigue measurement studies

286 The safety of the system depends on the attention and cognitive level of the operators in the operating  
287 environment, such as aviation, railway, maritime and road transport. The industries are now seeking  
288 more automated systems and assistive technologies in their daily operation. This should be the long-  
289 term focus in traffic monitoring because reliance on human monitoring of the system may lead to  
290 degradation of vigilance and a potential increase in the number of errors, which may lead to failure  
291 of the system [7, 49]. Human error will lead to serious and dramatic consequences [38]. Cognitive  
292 fatigue is closely related to the improvement or deterioration of the users' performance [50]. The  
293 quality of the HFs assessed by the ATC system will directly determine the level of cognition in the  
294 ATCOs' operation as affected by boredom, drowsiness or closer vigilance. Therefore, in ergonomics  
295 and HFs research, it is crucial to have a reliable estimation of the actual cognitive workload  
296 experienced by the operators and design a user interface that can preserve a proper level of the user's  
297 mental workload, avoiding either an under or overloaded state [51]. This is also an important  
298 component of the system's adaptive DM, which lays the foundation for the adjustment and  
299 improvement of human-machine design.

300

301 Cognitive workload refers to the dynamic relationship among the cognitive resources that are needed  
302 to carry out a task [52]. The interactive behaviour of the ATC system based on human cognitive laws  
303 is of great significance, so it is possible to reduce the rate of manual error by adjusting the cognitive  
304 load of ATCOs. Neurophysiological techniques can assess the cognitive status of humans with a high  
305 degree of reliability, even in operational environments [53, 54] and also transcend both behavioural

306 and subjective measures in discriminating cognitive demand fluctuations [38]. [Dehais et al. \[55\]](#)  
307 developed an fNIRS-EEG-based passive brain-computer interface system to monitor changes in  
308 pilots' cognitive fatigue in flight missions (flight simulation and real flight) and the results showed  
309 that more information was missed in the second phase than in the first phase; meanwhile, it also  
310 demonstrated that fNIRS and EEG-based systems can monitor psychological states in a working  
311 environment and noisy environment. [Di Flumeri et al. \[56\]](#) simulated a real driving experiment,  
312 inferring the driver's psychological and cognitive load based on the driver's brain activity through  
313 EEG. [Zhao et al. \[57\]](#) used EEG to measure the mental load and cognitive fatigue level of drivers in  
314 90 minutes of continuous driving in order to find more reliable physiological measurements for  
315 driving mental fatigue. In order to measure the real state of cognitive change, [Dehais et al. \[58\]](#) under  
316 the condition of a real flight, used a 32-channel dry EEG system to measure the pilot's psychological  
317 fatigue and overload, and the results showed that the occurrence of mental fatigue is associated with  
318 higher theta and alpha band power, which provides the feasibility of evidence for detecting neural  
319 cognitive fatigue and load research. [Li et al. \[59\]](#) used the fNIRS to detect and compare cerebral  
320 cortical activity in two stroke rehabilitation models, in order to reveal the multisensory mechanism.  
321 [Bu et al. \[60\]](#) revealed the physiological mechanism of patients with mild cognitive impairment  
322 through effective connectivity by fNIRS. [Liu et al. \[61\]](#) used the fNIRS system to record the changes  
323 in a driver's actual driving activity and analysed the effective relationship between the brain network  
324 and cognitive load while driving.

325

326 As mentioned in the introduction, fNIRS is more suitable than EEG for this study. It is safe, portable,  
327 user-friendly and relatively inexpensive, with rapid application times and near-zero run-time costs.  
328 So it could be a potential portable system for measuring cognitive workload in realistic settings.  
329 Despite the advances in automation technology, neurophysiological measurement will play a central  
330 role in the study and application of ATCOs' job knowledge in the work environment [48]. Adaptive  
331 systems driven by ATCOs' psychological cognition state have become an important research direction  
332 [62]. It is essential to integrate human performance into the ATC system to increase its resilience and  
333 tolerance to errors [48]. Therefore, combining the objective (fNIRS technology) and subjective  
334 (experience and behaviour) measurement would appear more suitable in realistic environments for  
335 recognising the nature of spontaneous brain activity and other inner activity to improve and modulate

336 the interaction between the operator and the system itself.

337

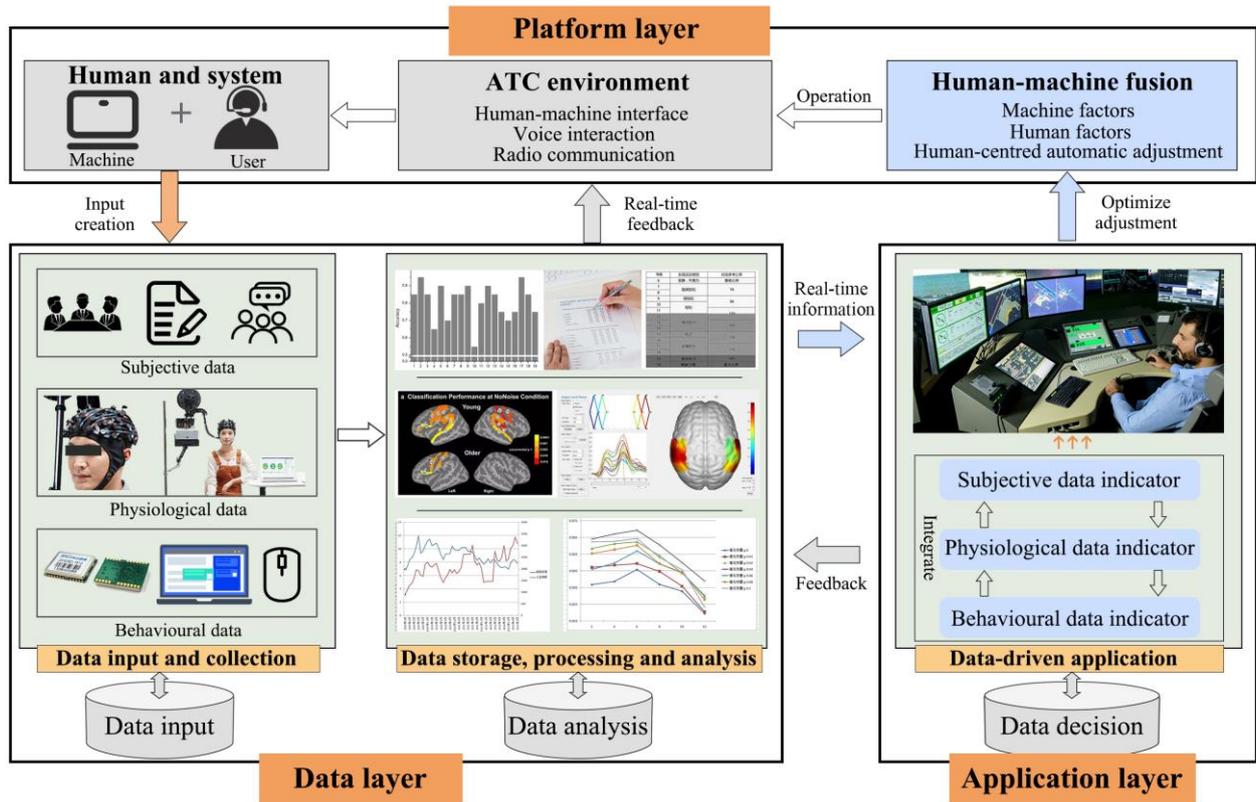
#### 338 2.4. Research gaps

339 As introduced in Section [2.1](#), [2.2](#), and [2.3](#), the internal state of ATCOs and the human-machine fusion,  
340 especially subjective and objective research methods that provide reliable feedback, have not been  
341 fully considered in the advanced DM process of the ATC system. This may be due to most operating  
342 processes performing automatic adjustment only using numerical data and ignoring the role of  
343 operators, which might be difficult to evaluate through the existing quantitative methods, resulting in  
344 inaccurate data and failing to realise the human-system connection closely and reasonably.  
345 Meanwhile, there is little information to study a user-centred and data-driven framework for advanced  
346 DM of the ATC system. To address the above issues, the human-centred adaptive DM method that  
347 combines subjective and objective measurements made by fNIRS via intelligent automation is  
348 desirable.

349

### 350 **3. The overall framework of data-driven DM on ATC**

351 ATCOs should be at the centre of the entire process of IA and adaptive DM process in the ATC system.  
352 The proposed method considers the application and impact of HFs on the system and the subjective  
353 behaviour and neurophysiological changes that the ATCOs show. **Figure 1** illustrates the overall  
354 framework of the research method, which is divided into three parts: platform layer, data layer and  
355 application layer. The platform layer is in charge of providing research materials and elements (e.g.  
356 user and machine) to meet the conditions of data input. The data layer, based on subjective and  
357 objective data (e.g. brain science data) [[63](#)], mainly processes data acquisition, storage, analysis, and  
358 transmission. The application layer can provide the guidance for system adjustment and design  
359 elements (e.g. automatic level or performance design) in light of the above data results representing  
360 both physical and psychological implication and signal, and then serve to system parameters.



361

362

**Figure 1.** Overall framework of the data-driven DM process

363

### 3.1. Platform layer of the framework

364

The platform layer mainly includes the existing ATC system elements (i.e. hardware and software, as well as human and machine), such as human-machine interface, voice interaction, radar map, radio communication and so on, which provides the experimental conditions and materials for the data-driven research. Meanwhile, this layer also involves design and optimisation progress based on reliable data and parameters to prompt the ATC system to become smarter and friendlier for ATCOs, guaranteeing the high-efficiency operation with advanced DM. For example, [Luciani et al. \[43\]](#) re-designed the display and interaction formats of the interface elements in the system by using auxiliary sketch models based on users' preference and experience.

372

373

### 3.2. Data layer of the framework

374

#### 3.2.1. Data input

375

The data layer includes data input and data analysis. Data input is mainly involved in the data collection function of the whole DM process. All the decisions that are supported by subjective or objective data which have certain defects. To ensure the orderly operation of the ATC system, the first

377

378 step in its design framework is the collection of subjective, behavioural and physiological data to help  
379 reduce one-sidedness in the process and ensure that the system's adaptive DM moves in the correct  
380 direction. Both ATCOs and machine are regarded as the research objects. Subjective data in the form  
381 of quantitative and qualitative subjective information was collected from the ATCOs through the use  
382 of questionnaires, interviews and discussions and included visual analogue scoring (VAS), fatigue  
383 severity scale, NASA-Task Load Index questionnaire and a focus group. Physiological data collection  
384 was based on the real-time monitoring of ATCOs' neuronal activity in the fNIRS system during  
385 operational interaction and real-time recording of the user's unconscious feedback data, such as  
386 oxygenated haemoglobin concentration, cerebral cortex activation level and functional connectivity  
387 between brain regions, etc., to collect the objective information of ATCOs in the current state. The  
388 acquired behavioural data, such as operation reaction time, correct rate, situational awareness, and  
389 user operation flow through computer, sensor, mouse, and keyboard input, shows the behavioural  
390 status in the course of performing tasks, and is non-participatory observation. The specific  
391 implementation methods that the ATCOs use to increase the efficiency of their performance for doing  
392 specific experimental tasks may be noted for inclusion in the operating procedures.

### 393 3.2.2. Data analysis

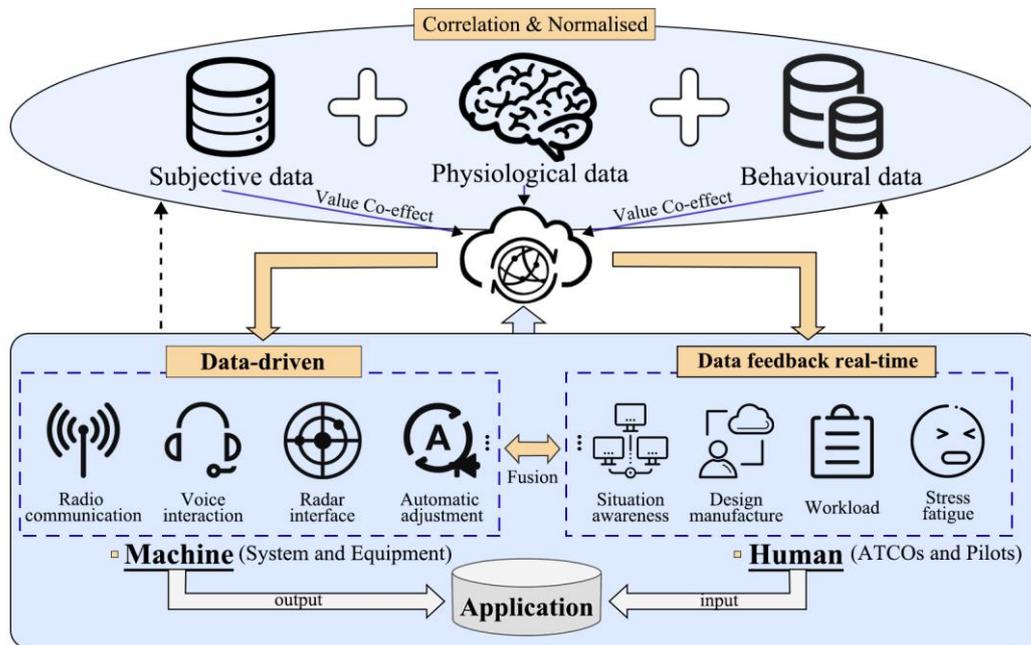
394 The main work of the data analysis included data storage and transformation, data processing and  
395 data analysis and transmission. Firstly, subjective and objective data, such as changes in oxygenated  
396 haemoglobin concentration, rating scales, accuracy, and reaction time were recorded and stored in an  
397 unstructured fashion and subsequently arranged in an appropriately structured relational database  
398 system [64]. Not only structured query language was used to manage unstructured data that may  
399 appear in this article (mainly subjective and behavioural). Before storing semi-structured data, a  
400 simple conversion step was required to facilitate subsequent analysis. For example, the qualitative  
401 information or semantic words on the subjective scale and behaviour data were converted into a score  
402 scale through a Likert scale or the attention point scale. After data storage and transformation, data  
403 cropping, data denoising, data filtering and data conversion were required to improve the data's  
404 quality by noise filtering to ensure the effectiveness and reliability of data analysis. Next, the  
405 processed data were analysed to show the implied information with simple and clear results to clarify  
406 the relationship between the data results and the system application. For example, a generalised linear

407 model was used to analyse the time series data of neuroimaging fMRI and fNIRS technology to obtain  
 408 the degree of influence of the corresponding stimulation on the activation of the cerebral cortex [59].  
 409 The results of subjective, behavioural and physiological data were then normalised.

410

### 411 3.3. Application layer of the framework

412 The analysed data could then be used in the implementation of some data-driven application services  
 413 based on results signals via data characteristics recognition, such as the adjustment of human-machine  
 414 interface, the optimisation of radar maps, and the fine-tuning of voice-interactive and system  
 415 automation level changes to meet the needs in its current state. Meanwhile, understanding the role of  
 416 the HFs in the ATC system is necessary for achieving the ideal state of human-machine integration  
 417 and decision processing in the ATC system. The evaluation system based on the subjective and  
 418 objective score of the system design scheme can be used to further support and improve the ATC  
 419 system design, as shown in **Figure 2**.



420

421 **Figure 2.** Data-driven system application services

422

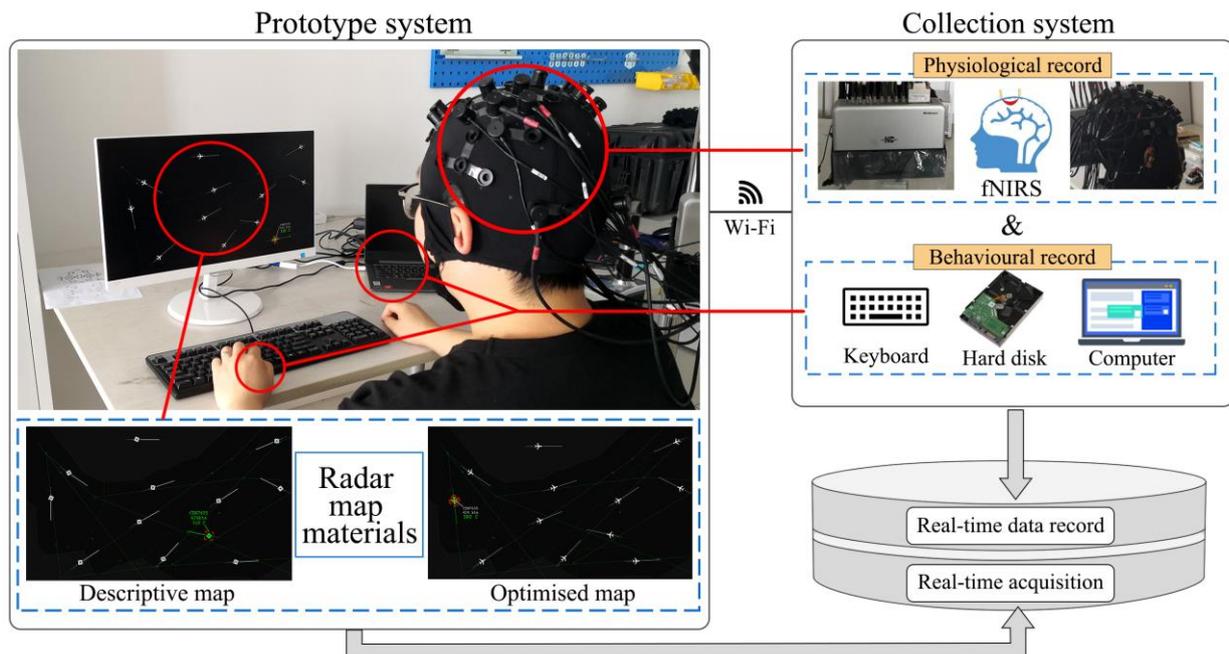
## 423 4. Case description

### 424 4.1. Platform development

425 This section provides an illustrative example of a radar display map in the ATC system's task

426 execution. A radar map is an event in the ATC system and has long-term high-frequency contact with  
 427 ACTOs, and is directly related to the intrinsic state of ATCOs, and a reasonable HF's test is a  
 428 prerequisite for radar map optimisation. Therefore, the above DM framework was applied to the radar  
 429 display interface as an example to study. **Figure 3** presents a prototype hardware and software system  
 430 and experimental radar materials. The experimental design considers 12 aircraft on the radar map.  
 431 One was described as a conflict alert event and displayed flight tag information, which included flight  
 432 number, speed, and altitude. Attempts were made to optimise the radar display map by using an  
 433 explorative design study and assisted sketching with the following design setting:

- 434     ▪ The radar map from Los Angeles (LAX) International Airport. Sector 38.
- 435     ▪ Dotted lines are sector boundaries.
- 436     ▪ Solid lines are airways.
- 437     ▪ Circles depict airports and navigational radio beacons.
- 438     ▪ X's show intersections along the airways.



439  
 440 **Figure 3.**Prototype of the hardware and software system

441 4.2. Data collection and processing

442 4.2.1. Data input and collection

443 4.2.1.1. Subjects

444 A total of 18 healthy subjects (14males and 4 females, 23-29 years old) with no history of neurological,

445 physical, or psychiatric illness and a certain level of experience of aviation were recruited for this  
446 study. The research was conducted at the Industrial Design Research Laboratory of the School of  
447 Mechanical Engineering, Shandong University (SDU). All subjects agreed to participate and signed  
448 informed consent forms. The experimental methods were approved by the SDU Human Ethics  
449 Committee and implemented according to the ethical standards of the 1975 Helsinki Declaration.

450

#### 451 4.2.1.2. Experiment procedure

452 The experiment was divided into three stages: Rest, Task1 (descriptive map), and Task2 (optimised  
453 map). Each stage lasted 10 min, with 10 min intervals between stages to ensure the accuracy of the  
454 measurement data shown in **Figure 4(A)**. Subjects were required to sit in front of a radar map monitor  
455 and be at rest and keep their body as still as possible for 10 min, and the task1-stage and task2-stage  
456 were based on the  $n$ -back task with  $n$  is 2. In the first stimulation, conflict warning aircraft appeared  
457 at any position among the 12 aircraft, flying at any altitude. In the subsequent stimulation, conflict  
458 warning events occurred at random positions and at random altitudes. Adjusting the flight altitude is  
459 the most common way for ATCOs to resolve conflicts and was, therefore, used as a determining factor.  
460 The subjects completed cognitive thinking activities by judging whether the  $n + 2$  stimulation and  
461 the  $n$  stimulation conflict warning events were the same in terms of position and flying altitude. The  
462 instruction was that if they were all the same, press the “SPACE” key, and if they are different, do  
463 nothing. The task1-stage and task2-stage were implemented in E-prime 2.0 psychology software.

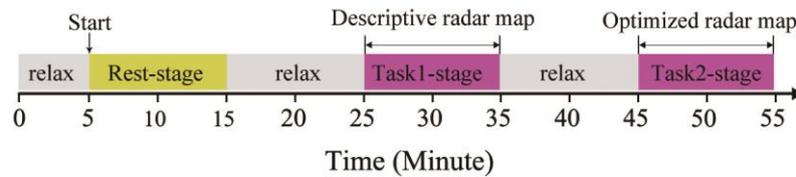
464

#### 465 4.2.1.3. Physiological data acquisition

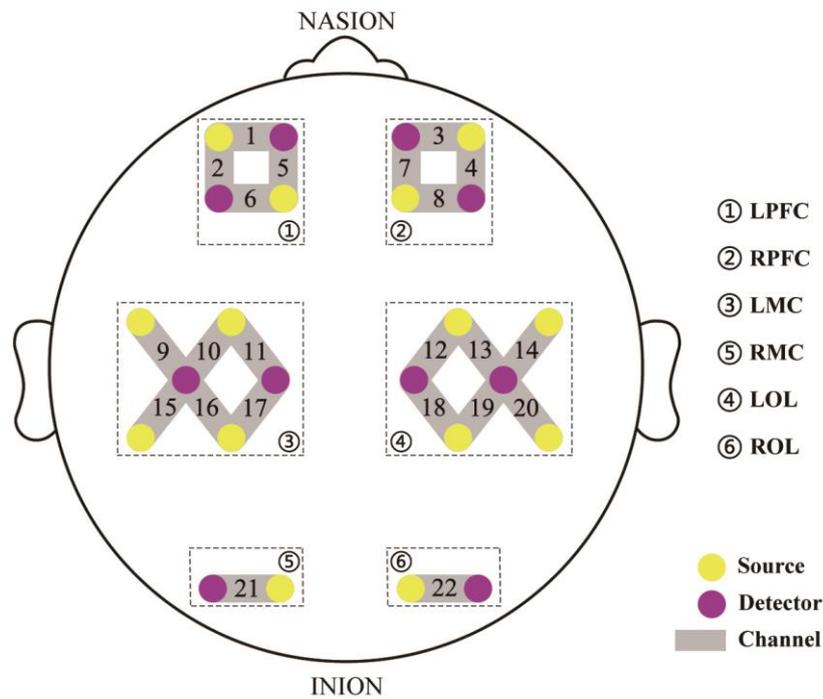
466 The prefrontal cortex of the brain performs advanced neural information processing functions,  
467 including memory, judgment, analysis, thinking, and manipulation, and plays a key role in cognitive  
468 control [60]. The sensorimotor cortex in the parietal lobe region plays an important role in  
469 somatosensory perception, visual body spatial information integration and movement. The occipital  
470 lobe is mainly responsible for visual processing. In order to place the probe correctly, spatial  
471 positioning information was obtained by using a 3D magnetic locator and spatial positioning  
472 acquisition software. The distance between each light source and the detector was 30 mm, which  
473 allows optical waves to reach the cortex and keep the signal quality stable and intense. This fNIRS  
474 equipment uses a multi-channel commercial near-infrared system (Nirsmart, Danyang Huichuang

475 Medical Equipment Co. Ltd, China) with a sampling frequency of 10 Hz and set wavelengths of 760  
 476 and 850 nm. Based on the international 10/20 system, 22 SD probes were placed on the right  
 477 prefrontal cortex (RPFC), the left prefrontal cortex (LPFC), the right motor cortex (RMC), the left  
 478 motor cortex (LMC), the right occipital lobe (ROL) and the left occipital lobe (LOL) to constitute a  
 479 22-channel fNIRS system as shown in **Figure 4(B)**.

(A) Experimental procedure



(B) Configuration of fNIRS system



480  
 481 **Figure 4.** Experiment procedure and the 22-channel fNIRS system

482 4.2.1.4. Subjective and behaviour record

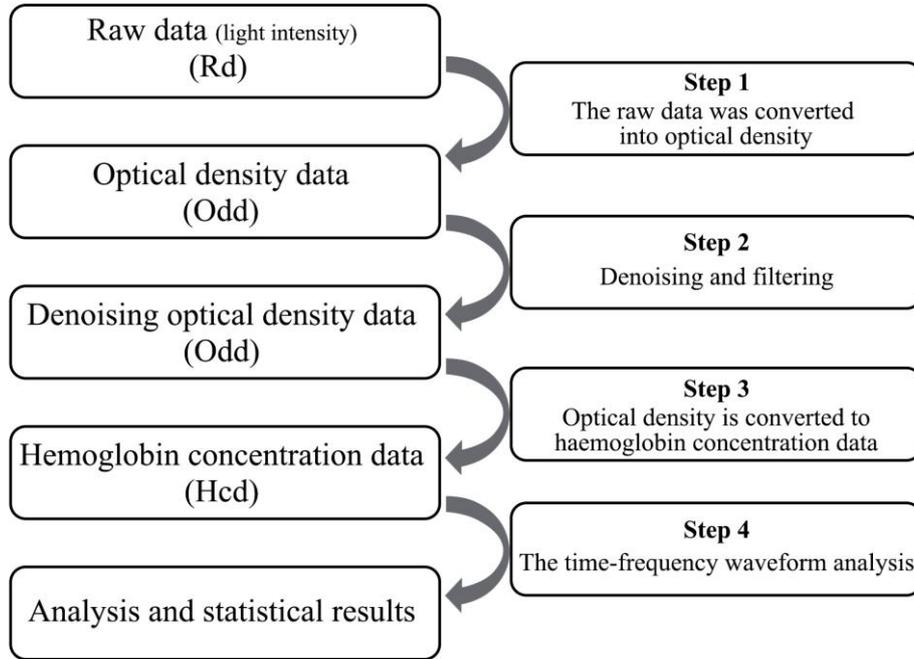
483 Subjects were asked to complete a VAS at the end of each stage to discover their subjective  
 484 psychological feelings. According to the experimental settings, fatigue, comfort, attention, positivity,  
 485 and stress were selected as the five key indicators. Furthermore, the psychology E-Prime2.0 software  
 486 was used to record the subjects' behavioural data during operation, including accuracy rate and single  
 487 reaction time information.

488

489 4.2.2. Data analysis and processing

490 4.2.2.1. Objective data processing

491 The deletion of irrelevant time intervals in the original data was performed first; thereafter the data  
492 was automatically spliced into a complete continuous time series. After this exclusion process, a series  
493 of processing steps was carried out, as shown in **Figure 5**.



494  
495 **Figure 5.** fNIRS data processing analysis flow

496 First of all, the raw data were converted into optical density. The raw data were light intensity received  
497 by the detector (avalanche diode), and changes in light intensity were measured by electrical signals  
498 created by emitted light signals [65]. This stage mainly included identifying and removing the  
499 artefacts, and finally converting the data the optical density data. Firstly, the sliding average method  
500 in Eq. (1) calculates the abnormal signals, such as a noise, caused by the light leakage on the data-  
501 time series to improve the SNR. The average value of  $2N + 1$  points is used to replace the abnormal  
502 points in the original signal. Then,  $y(n)$  is the time series after the sliding average,  $x(n)$  is the  
503 original time series, and the value of  $N$  is 2.

$$y(n) = \frac{1}{2N + 1} \sum_{i=1}^{2N+1} x(n - i) \quad (1)$$

504  
505 Next, the mobile standard deviation was calculated to automatically retrieve the interval of artefacts

506 that may be found in the data. In our experiment, a 0.5-s sliding time window was used to check all  
 507 the time periods. The detected artefacts made by the movement were modified by spline interpolation  
 508 and then the processed time series was converted into optical density data, expressed as Eq. (2), where  
 509  $I'_1$  is the incident light intensity and  $I_1$  represents the emitted light intensity.

$$\Delta\text{Odd} = \log I'_1 / I_0 - \log I_1 / I_0 = \log I'_1 / I_1 \quad (2)$$

510  
 511 Secondly, denoising and filtering were necessary for data correction. All heartbeat and Mayer waves  
 512 can be reflected in oxy and deoxy data, but these signals cannot be measured directly because this  
 513 character and noise are actually detected by optical means, where the direct feedback is the change  
 514 of Odd data. Hence, filtering Odd data can achieve the same effect and remove the noise caused by  
 515 physiological signals such as heartbeat [66]. In order to retain the original amplitude of the original  
 516 signal in the passband to the greatest possible extent, a Butterworth filter was used for processing the  
 517 optical density data to improve the data quality and ensure the validity and reliability of the data  
 518 analysis because the interference of high frequency noise and low frequency fluctuation signals were  
 519 reduced to improve correction and SNR. Further, a 0.01 Hz-0.2 Hz band-pass filter was set to remove  
 520 low baseline drift and physiological noise due to heartbeats, breathing, cardiac frequencies, and  
 521 Mayer waves [65]. The expression of the n-order Butterworth filter is as shown in Eq. (3), where  $f_c$   
 522 is the cutoff frequency,  $f_p$  represents the passband edge frequency, and the value of  $n$  is 6:

$$|H(f)|^2 = \frac{1}{1 + \left(\frac{f}{f_c}\right)^{2n}} = \frac{1}{1 + \epsilon^2 \left(\frac{f}{f_p}\right)^{2n}} \quad (3)$$

523  
 524 Thirdly, optical density was converted to haemoglobin concentration data by using the modified Beer-  
 525 Lambert law. Haemoglobin concentration data directly reflect changes in the brain nerves during  
 526 activity. The relative concentrations of oxygenated haemoglobin (HbO<sub>2</sub>) and reduced haemoglobin  
 527 (HbR) detected in the brain tissues were calculated through the modified Beer-Lambert law to obtain  
 528 the time-series of haemoglobin concentration data. As Eq. (4) shows,  $DPF$  is called differential  
 529 pathlength factors [67] with the value of 6, which accounts for the effective length between source  
 530 and detector. The value of  $r$  is the linear distance between paired probes on the scalp. The delta  
 531 optical density,  $\Delta\text{Odd}^{\lambda_i}$ , refers to the change in light absorption.  $\epsilon_{HBO}^{\lambda_i}$ , under near-infrared light of

532 wavelength  $i$ , is the absorption coefficient of HBO substance,  $\lambda$  represents wave length (1=760 mm,  
533 absorption coefficient is  $1486.5865 \left( \frac{cm^{-1}}{mol * L^{-1}} \right)$ ; 2=850 mm, and the absorption coefficient is  
534  $2526.391 \left( \frac{cm^{-1}}{mol * L^{-1}} \right)$ ). Moreover,  $\varepsilon_{HB}^{\lambda_i}$ , under near-infrared light of wavelength  $i$ , is the absorption  
535 coefficient of HB substance,  $\lambda$  is wave length (1=760mm, absorption coefficient is  
536  $3843.707 \left( \frac{cm^{-1}}{mol * L^{-1}} \right)$ ; 2=850mm, absorption coefficient is  $1798.643 \left( \frac{cm^{-1}}{mol * L^{-1}} \right)$ ).

$$\Delta Odd^{\lambda_i} = \left( \varepsilon_{HBO}^{\lambda_i} \Delta C_{HBO} + \varepsilon_{HB}^{\lambda_i} \Delta C_{Hb} \right) \cdot r \cdot DPF \quad (4)$$

537  
538 Fourth, time-frequency waveform analysis was performed. The data were further analysed (e.g.,  
539 cortical activation, brain functional connectivity) to visualise the implications of the results. The  
540 transformation from the original optical data to the blood oxygen concentration was completed by  
541 applying the above algorithm, and the time series of each channel was obtained, representing the  
542 functional activity attributes and cooperation level of corresponding brain regions. The connectivity  
543 attributes between cortical regions were measured by the correlation between the time series data.  
544 The Pearson correlation analysis method was used to calculate the correlation by substituting the  
545 relevant values in Eq. (5), where  $x_i(k)$  and  $x_j(k)$  are the  $k$ -th data value of the  $i$ -th and  $j$ -th  
546 channel time series;  $K$  is the total number of sequence values;  $\bar{x}_i$  and  $\bar{x}_j$  are the average values of  
547 the channel sequence.

$$r_{ij} = \frac{\sum_{k=1}^k [x_i(k) - \bar{x}_i][x_j(k) - \bar{x}_j]}{\sqrt{\sum_{k=1}^k [x_i(k) - \bar{x}_i]^2 \sum_{k=1}^k [x_j(k) - \bar{x}_j]^2}} \quad (5)$$

548  
549 To judge the difference of cooperation among the three stages (rest, task-1 and task-2 stages) of brain  
550 regions to provide clear guidance for the DM process, the significant differences among three stages  
551 were further analysed. Firstly, the strength of functional connectivity between brain regions in the  
552 three stages was calculated to evaluate whether the data exhibited a normal distribution, without  
553 outliers and spherical assumption. Then the functional connectivity in three stages was analysed by  
554 one-way repeated ANOVA measurement. Three stages were internal variables, and functional  
555 connectivity was the observed influencing factor. Finally, the functional connectivity of each stage  
556 was further compared in pairs.  $P < 0.05$  showed that there was a significant difference in functional

557 connectivity.

558

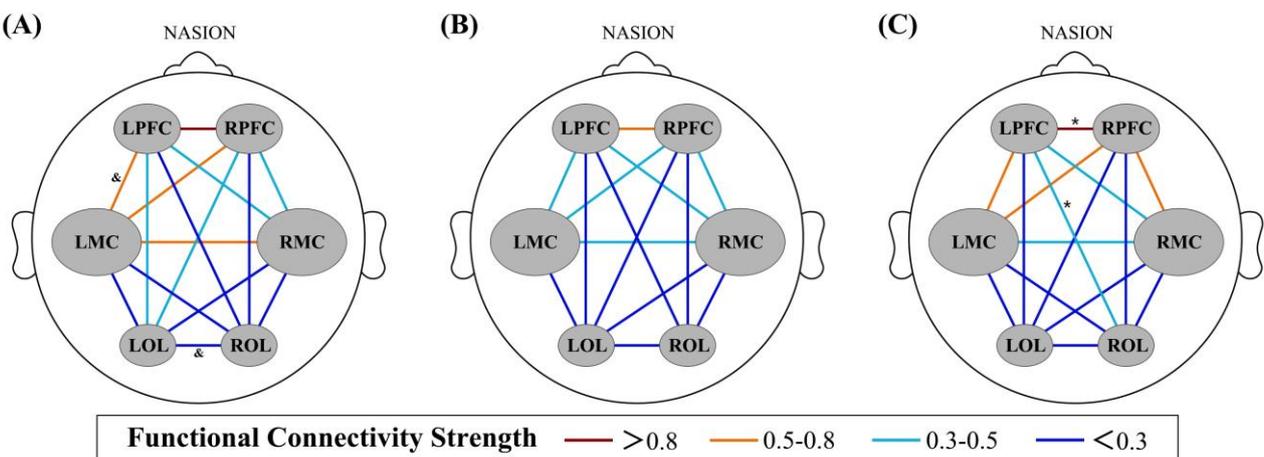
#### 559 4.2.2.2. Subjective data processing

560 The subjective and behavioural data were stored and summarised. The Shapiro–Wilk test was used to  
561 test the normal distribution of the data, and the box plot of each group of data was used to determine  
562 the presence of abnormal values. A paired-sample t-test was used for the data that met the normal  
563 distribution and the Wilcoxon signed-rank test was used for non-compliance. The comparison of the  
564 three sets of data needed to simultaneously conform to the normal distribution, without outliers and  
565 spherical assumptions, and then descriptive statistics and mean analysis were conducted. One-way  
566 repeated measurement ANOVA was used to detect significant differences between the subjective  
567 scales in three different stages, so as to further explain the difference between the subjective data in  
568 each two stages.  $p < 0.05$  was statistically significant.

#### 569 4.3. Application and results

##### 570 4.3.1. Physiological results

571 The results are shown in **Figure 6**. The intensity of the functional connectivity between LPFC and  
572 RPFC regions of the brain in the three stages was observed to be the highest of each stage. Their  
573 correlation coefficients were, in the rest-stage  $r=0.8222$ , and in the task 1-stage  $r=0.7987$  and in the  
574 task-2 stage  $r=0.8688$ . Further, the correlation between RMC and ROL in the rest-stage was the lowest,  
575  $r=0.2017$ . In the task1-stage, the functional correlation between LOL and ROL was the lowest,  
576  $r=0.0915$ . Similarly, the lowest degree of correlation between LOL and ROL appeared in the task2-  
577 stage,  $r=0.1659$ .



578

579

**Figure 6.** The strength of functional connectivity between brain regions in three stages. A. Rest-

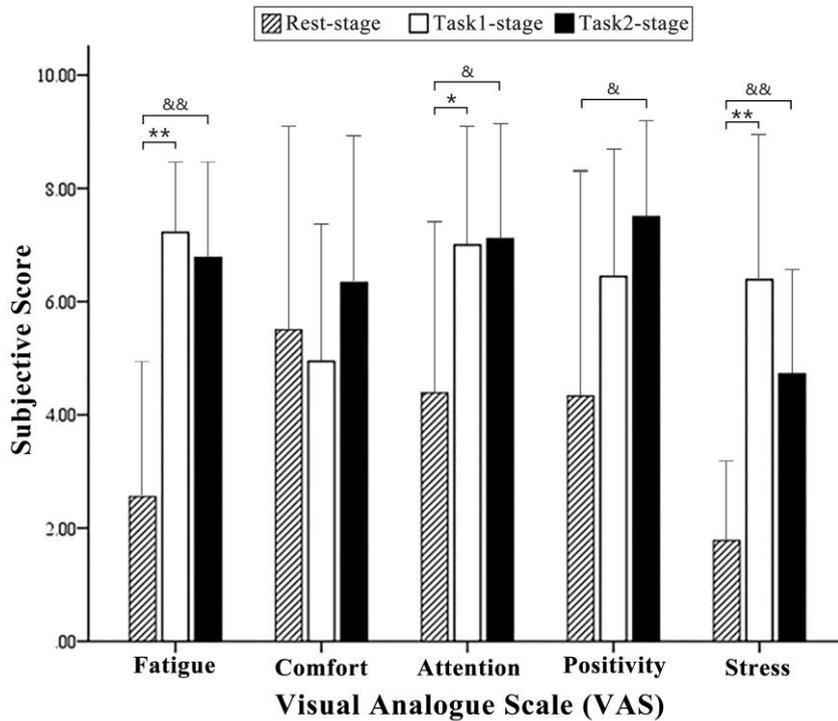
580 stage correlation; B. Task1-stage correlation; C. Task2-stage correlation. Significant differences in  
581 functional connectivity after ANOVA are marked with \* $p < 0.05$  between the task1-stage and  
582 task2-stage, and  $\& p < 0.05$  between the rest-stage and task-stage.

583

584 The functional connectivity strengths of LPFC and RPFC ( $F = 5.152, p = 0.011$ ), LPFC and LMC  
585 ( $F = 4.307, p = 0.022$ ), LPFC and ROL ( $F = 5.914, p = 0.006$ ), LOL and ROL ( $F = 4.396, p =$   
586  $0.02$ ) in rest-stage, task1-stage and task2-stage were statistically significant. Compared with task1-  
587 stage, the functional connectivity strength of LPFC and LMC in the rest-stage was significantly  
588 improved by 0.096 ( $p = 0.023$ , corrected). Compared with the task1-stage, the connectivity strength  
589 of LOL and ROL in the rest-stage significantly increased by 0.169 ( $p = 0.01$ , corrected). The LPFC  
590 and RPFC functional connectivity in the task2-stage was significantly improved by 0.070 ( $p = 0.012$   
591 correction). Meanwhile, the functional connectivity between LPFC and ROL in the task2-stage  
592 increased significantly by 0.181 ( $p = 0.014$  corrected). It is worth noting that there was no  
593 significant difference between the rest-stage and task2-stage. The ANOVA analysis of the functional  
594 connectivity of brain regions in the three stages showed that the functional connectivity strengths of  
595 certain brain regions in the task2-stage and rest-stage were improved compared with the task1-stage.

#### 596 4.3.2. Subjective results

597 The results of the subjective VAS scale are shown in **Figure 7**. The rest-stage, task1-stage, and task2-  
598 stage in Fatigue ( $F = 28.620, P < 0.001$ ), Attention ( $F = 7.101, p = 0.003$ ), Training Positive  
599 ( $F = 8.269, P = 0.001$ ), Pressure ( $F = 22.481, p < 0.001$ ) demonstrated statistical significance.  
600 Among these, the fatigue aspect of the rest-stage was significantly reduced by 4.667 ( $p < 0.001$ ) as  
601 compared to the task1-stage, and 4.222 ( $p < 0.001$ ) was a significant reduction as compared to the  
602 task2-stage. The attention level at the rest-stage was significantly lower than at the task1-stage by  
603 2.611 ( $p = 0.042$ ), and was significantly lower than in the task2-stage by 2.722 ( $p = 0.021$ ). The  
604 stress perception in the rest-stage was significantly lower than in the task1-stage by 4.611 ( $p < 0.001$ ),  
605 which was further significantly lower than the task2-stage by 2.944 ( $p < 0.001$ ). However, with the  
606 task training positive, only the task2-stage was significantly improved by 3.167 ( $p = 0.012$ )  
607 compared to the rest-stage.

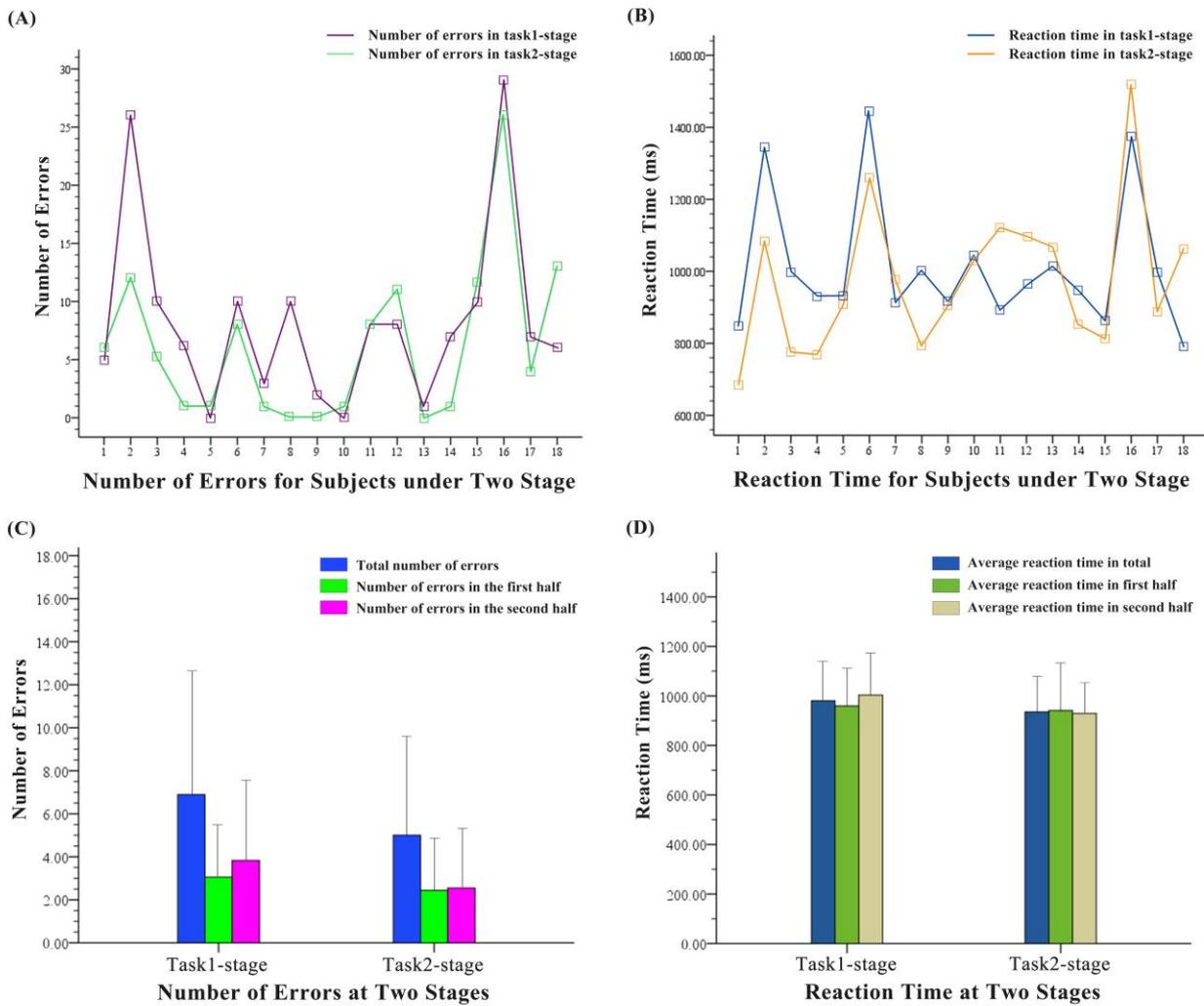


608

609 **Figure 7.** Results of the VAS scale at each stage. Significant differences in subjective score are  
 610 marked with  $*p < 0.05$  or  $**p < 0.01$  between the rest-stage and task1-stage, and  $&p < 0.05$   
 611 or  $&&p < 0.01$  between the rest-stage and task2-stage.

612

613 In this research, E-Prime software was used to record the subjects' level of accuracy and reaction time  
 614 during operations as shown in **Figure 8**. The total number of errors in the task1-stage was 6.8 (3.1 in  
 615 the first half and 3.8 in the second half), while the total number of errors in the task2-stage was 5 (2.4  
 616 in the first half and 2.5 in the second half). Meanwhile, in the reaction time aspect, the average  
 617 reaction time in the task1-stage was 980 ms, 959 ms in the first half, and 1004ms in the second half.  
 618 The average response time in the task2-stage was 935 ms, 941 ms for the first half and 929 ms for the  
 619 second half. Interestingly, the accuracy rate and response time between the task1-stage and task2-  
 620 stage were not statistically significant. However, accuracy and response time were both superior in  
 621 the task2-stage than in the task1-stage. Especially the response time in the second half of the task2-  
 622 stage was reduced though the accuracy remained high.



623

624 **Figure 8.** Analysis of the result of behaviour data in the task1-stage and task2-stage. (A) The number  
 625 of errors committed by each subject in the two stages. (B) Average reaction time for each subject in  
 626 the two stages. (C) The number of errors in total, first half, and the second half of the task1-stage and  
 627 task2-stage. (D) The total reaction time of all the subjects, first half and second half of the task1-stage  
 628 and task2-stage.

629

630 4.3.3. Interpretation of the results

631 4.3.3.1. Physiological meaning

632 The brain signals detected by fNIRS mainly stem from the changes in brain neural activity while  
 633 performing the task. Based on neurovascular coupling theory, functional connectivity is a significant  
 634 indicator of brain activity, which can directly analyse the cooperative level in the brain's complex  
 635 area. The stronger the functional connectivity between brain regions, the stronger the cooperation  
 636 between brain regions, which helps subjects reach higher performance levels and maintain higher  
 637 attention levels. Therefore, the functional connectivity strength is an intuitive expression of the degree

638 of a user's cognitive state which might lead to the occurrence of human error.

639

#### 640 4.3.3.2. Relationship between results and application

641 Normalised results of subjective, behavioural and physiological data are considered in the  
642 interpretation of the experiment. The execution of cognitive control is mainly carried out in the  
643 prefrontal lobes. LPFC and RPFC functional connectivity strength in the task2-stage was the highest,  
644 even higher than in the rest-stage, and it is significantly lower in the task1-stage than in the task2-  
645 stage, indicating that an optimised radar map with HFs is better than a descriptive radar map and more  
646 suitable for users' cognitive mental fatigue state. Although there was no significant difference in the  
647 behavioural data, it can be judged intuitively that the task2-stage is better than the task1-stage in  
648 regard to time and accuracy. Meanwhile, regarding the subjective aspect, it also emphasises again  
649 that the task2-stage's training positivity is superior to the other two stages. In this connection,  
650 synchronisation has occurred between subjective and objective data.

651

652 These results can be applied together to generate service options, such as cognitive fatigue threshold,  
653 comfort prediction, and internal state monitoring. The ATCOs' cognitive state was evaluated to  
654 determine whether the intervention behaviour of the system was turned on or not, and the operational  
655 behaviour beneficial to the ATCOs' current state was formed. In this case study, for the adaptive DM  
656 process, the functional connectivity level for both the task1-stage and task2-stage was not  
657 significantly lower than that of the rest-stage, which means that ATCOs' cognition and ability enable  
658 them to deal with the potential conflictions by adjusting an aircraft's angle, altitude, or other elements.  
659 If the functional connectivity strength in an event is significantly lower than that of the rest-stage,  
660 which indicates that the event has caused ATCOs fatigue, which will probably lead to operation errors,  
661 the system should change automatic level to take over ATCOs' behaviour based on the signals  
662 received in real time, for instance, strengthening the automatic control level, creating stimulating  
663 signals to assist users, or providing the alternative solutions for ATCOs, or even taking over the  
664 operation, and so on until the user's cognition recovers. In addition, for human-machine fusion, based  
665 on the subjective and objective data, it also suggested that this type of visual aid that the optimised  
666 radar map provides a design reference, which can effectively avoid human error and provide a  
667 direction for future design and development of ATC system elements.

668

## 669 5. Discussion

670 Detecting cognitive fatigue is a key problem in developing adaptive systems and has been proven to  
671 improve human-computer interaction [68]. The research conducted by [Lyu et al. \[47\]](#) focuses on the  
672 previous experience and determined the common human factor influence ranking through the  
673 HFACS-BN model, so as to guide the optimisation of the system. By contrast, this research can  
674 effectively monitor the user and system behaviour data in real time through the proposed data-driven  
675 framework. Then the data are processed by the framework data analysis section (characteristics  
676 process). And finally, according to the characteristics (range and threshold, extreme value, and  
677 significance compared to the resting state) of the data, the adaptive adjusting behaviour (the degree  
678 of automation, the replacement of two-dimensional or three-dimensional design presentation  
679 elements) of the system or keeping the original state is decided in a multi-dimensional way. This step  
680 is similar to a mock recogniser (characteristics recognition) that determines the user's level of  
681 cognitive status and then provides a basis for the system to be adaptive.

682

683 The complex cognitive information required by the human-machine interaction interface may come  
684 from two sources, namely the required operations and the information prompts. Both sources depend  
685 on how the user interacts with the target task under the information support structure (such as visual  
686 assistance, interactive media). Therefore, the design of the radar map considers the similarities  
687 between the elements and the observed transformation operations of the elements. The fNIRS  
688 considers the brain regions, namely prefrontal lobe, parietal lobe, and occipital lobe through 22  
689 channels. The prefrontal lobe is related to the DM process, working memory and attention. Therefore,  
690 the more complex the cognitive information, the higher the HbO<sub>2</sub> in the prefrontal lobe brain region.  
691 In this case, the functional connectivity values of significant interactions were averaged for 15  
692 directed interregional connection types between all possible pairs of 22 channels in each subject, and  
693 thereby, the mutual interactions among the six regions were analysed.

694

695 The prefrontal lobe maintains high connectivity among the three stages, but of the two task stages,  
696 the task1-stage is weakened at the functions' connection, which reflects the reduced transmission  
697 efficiency of this area. The parietal lobe is related to procedural memory and vision. The longer the

698 memory consolidation, the stronger the visual stimulation, and the higher the HbO<sub>2</sub> level in the  
699 parietal lobe. In the task2-stage, the functional connectivity between the left and right parietal regions  
700 and LPFC and RPFC were higher than for the task1-stage, indicating that appropriate visual auxiliary  
701 elements, such as object and important data indicator enhancement prompts and object visualisation,  
702 are conducive to the positive linkage effect of vision, memory and cognitive DM. Design elements  
703 research studied by [Luciani et al. \[43\]](#) and [Van Paassen et al. \[44\]](#) demonstrate that the visualisation  
704 auxiliary can help ATCOs operate the ATC system better, which is similar to our research. The rest-  
705 stage has a positive significant difference compared to the task1-stage, the task2-stage has a positive  
706 significant difference compared to the task1-stage, and there was no significant difference between  
707 the rest-stage and task2-stage, which may indicate that the optimised map task is closer to the resting  
708 state, and a descriptive map makes subjects fatigued. Meanwhile, according to the subjective results,  
709 it was found that the task2-stage's training positivity is significantly higher than for the rest-stage,  
710 while the results of the task1-stage and rest-stage have no significant difference. From the behaviour  
711 analysis, the average number of errors and the average response time of the task2-stage are lower than  
712 for the task1-stage, indicating that the optimal design of visual AIDS is expected to contribute to  
713 ATCOs' training, which can effectively avoid human error and provide a direction for future design  
714 and development in the ATC field.

715

716 From the aforementioned work on the memory task by ATCOs, one can see that good results have  
717 been achieved in brain functional connectivity and subjective analysis, such as maintaining normal  
718 function connectivity and improved accuracy and reaction time. [Dehais et al. \[69\]](#) also indicated  
719 undesirable neurocognitive states, such as mind wandering, while inattentive phenomena can  
720 negatively affect ATCOs' operation (increase human error rate and reaction time). Simultaneously, it  
721 also establishes that the DM framework can usefully apply HFs to the ATC system to avoid unilateral  
722 judgment errors, without causing a significant difference in behaviour. This can avoid the wastage of  
723 time and money, and achieve the timely adjustment of the system in response to behavioural changes  
724 and system performance decline. Meanwhile, fNIRS is sensitive to different levels of cognitive  
725 fatigue, which is consistent with the study by [Durantin et al. \[68\]](#).

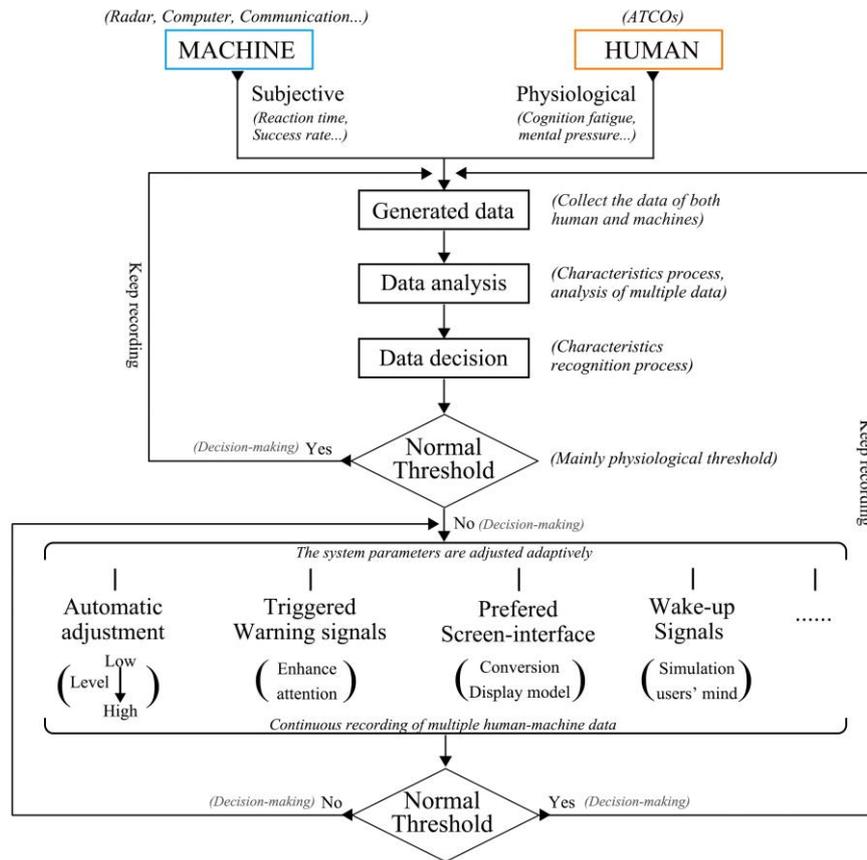
726

727 **6. Managerial implications**

728 This framework will further aid understanding of the performance of humans and machines for  
729 intelligent adjusting of the system's DM behaviour in relation to the external and internal working  
730 conditions and the skills, tasks and cognitive abilities of specific personnel, through intuitive data and  
731 good synchronisation. The results can support the combination with ATC system applications. The  
732 system behaviour can be adjusted accordingly via the data-driven approach. The possible applications  
733 mainly include: real-time online feedback that eases the capturing of the state of ATCOs to realise  
734 human-centred system adjustment, safety warning reminders according to the critical point of  
735 information implied by the data and level of automation in the human-machine interface. Also, it  
736 serves as a data-driven model that provides objective data to empirically prove the advantages and  
737 disadvantages of applications of HFs and further supports the subsequent design optimisation. Hence,  
738 these new data results provide insights into ATCOs interactions with the whole system interface and  
739 with a single field of interest and indicates the potentials for IA of ATC.

740

741 Data-driven adaptive DM supports and regulates system parameters, including adaptive automation  
742 level, workload scheduling, ergonomics interface and interaction. According to the ATCOs' real-time  
743 physiological state – mainly cognitive state – the behaviour of the system is adjusted automatically  
744 to adapt to the ATCOs. When ATCOs change from slight fatigue to high cognitive fatigue, that is, the  
745 brain functional connectivity falls below the normal range, the system will automatically determine  
746 that the ATCOs' cognitive fatigue is at danger level according to the findings of the cognitive fatigue  
747 simulation recogniser, and then improve the automatic operation level (from low to high) and add  
748 wake-up signals (the preferred warning graphics and tone) (**Figure 9**). For instance, when there is a  
749 possibility of aircraft conflict, the system will pop up optimal operation – adjust the plane's altitude  
750 (but not necessarily the most appropriate solution) – for ATCOs' selection to reduce their mental  
751 pressure. Meanwhile, triggered warning signals based on design elements will appear to awaken  
752 ATCOs' mind, which relieves ATCOs' workload, helps to restore cognition and also is able to  
753 guarantee the safety of the aviation system. When the system detects that ATCOs are operating at a  
754 reasonable level, the system's responsible behaviour will disappear and the ATCOs will manually  
755 adjust the best solution (more factors, such as weather conditions, can be considered  
756 comprehensively).



757  
758 **Figure 9.** Adaptive DM mechanism based on the data-driven framework  
759

760 The real-time online feedback system can also be incorporated into ATC operation to distinguish state  
761 changes when ATCOs participate in different human factor tasks to extract and identify new  
762 opportunities for its application in future HFs design applications. By interpreting the resource  
763 allocation between brain regions, such as whether multiple brain regions can be mobilised, or which  
764 brain regions are more effective, we can speculate about the internal effects of different factors on  
765 ATCOs. The above can serve as a basis for adaptive decisions of the system (preferred elements  
766 design, warning signal, and so forth). The priority of the design elements concerning HFs in regard  
767 to system aspects will be formed, which can provide guidance for the future system design and  
768 transformation, as well as being a way to show the two-dimensional and three-dimensional elements.  
769 Then subjective data can be combined to identify different human behaviour factors, patterns, and  
770 plans, and find the optimal design elements which need improvement, to support the design and  
771 development of different modes of human-machine interfaces.

772  
773 The proposed method can also be extended to situation awareness. As a method should be provided

774 to observe the individual factors influencing ATCOs, which is convenient for identifying the critical  
775 points of ATCOs including fatigue, pressure and workload and also to identify the most common  
776 factor relationships that negatively or positively affect ATCOs' performance, to propose several sets  
777 of core factors, provide hypotheses for further research, and combine with ATCOs' safety  
778 performance models or training programmes to establish effective human performance management.

779        **7. Conclusion**

780        The field of engineering, especially automation and control engineering, is further exploring adaptive  
781        DM methods to reduce the occurrence of human error and the risk index of engineering. HFs play an  
782        important role in DM, especially in the ATM field where ATCOs are at the centre of DM. At present,  
783        most of the research is limited to the level of automation of operation systems. However, obtaining  
784        feedback on ATCOs' performance is difficult, which makes it impossible to scientifically monitor  
785        human behaviour in real time. In this context, this paper proposes a subjective and objective data-  
786        driven adaptive DM method based on fNIRS, which takes ATCOs' internal state as the dominant  
787        supporting factor in decision behaviour. The main contributions are summarised as follows:

- 788        ▪ A novel framework and approach for adaptive DM based on fNIRS from the user's perspective is  
789        proposed, which captures the internal status and defects in the performance of users to achieve  
790        human-centred automatic adjustment.
- 791        ▪ A novel data collection and processing method is proposed, which can directly reflect and evaluate  
792        the user and system status. In addition to considering the user experience and machine behaviour  
793        data, the objective physiological data of the user in the operation process is also fully considered.
- 794        ▪ Data-driven methodology combining subjective and objective data is proposed to detect the  
795        impact of system factors on the user, ensure the accuracy and validity of data, and understand the  
796        best facilitation for maximising human performance in the ATC environment.
- 797        ▪ The application of HFs in the system was evaluated to support element design optimisation so  
798        that the performance of HFs in design and manufacturing lays the foundation for the realisation  
799        of the IA of the system.

800        To make the research framework more specific, the feasibility of DM proposed in this paper was  
801        verified through the radar case study, which can play a certain role in promoting the application of  
802        human-centred subjective and objective data-driven applications in the future ATC environment in  
803        adaptive decision research.

804

805        This paper is limited to research of human-machine interfaces. The whole ATC system also has tools,  
806        procedures, roles and communication flows, which have not been covered in this study and need to  
807        be considered in the future. Also, the follow-up study should be more than an hour-long task designed  
808        to mimic the real work situation. Future work should focus on applying the proposed methodology to

809 multiple complex environments involving more users to explore more data-driven system application  
810 services, so as to promote the development of system IA and DM.

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