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1	Validity of the Microsoft Kinect sensor for assessment of normal walking patterns in pigs
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12	
13	Abstract
14	Lameness is a major problem affecting pigs and its detection is subjective and challenging on
15	large farms. Previous research using advanced kinematic gait analysis (Vicon) has established
16	that abnormality in the movement of the axial body during walking is associated with
17	lameness in pigs. Vertical excursion of head and neck was most affected, and increased by
18	+15-58 mm in lame compared to normal pigs. However, simpler technology is required to
19	automate lameness detection. In this experiment, walking trajectories of mid-line dorsal body
20	regions of seven normal pigs varying in size were filmed repeatedly within day and between
21	days on two or three occasions within one week. Trajectories were tracked simultaneously
22	using both a 6-camera Vicon system, set up in an array flanking a walkway and detecting
23	reflective markers, and a Microsoft Kinect motion sensor, mounted above the walkway. Four
24	pigs wore a large (height 30 mm) reflective marker in the mid-neck region, detectable by both
25	Kinect and Vicon during two days. Two custom-written computer algorithms using the

26 Kinect developer toolkit were produced to (1) follow the large neck marker and (2) enable marker-free tracking of other body regions. Reversed depth data from the Kinect and vertical 27 position data from the Vicon were compared to assess agreement. There was a high positive 28 29 correlation between the Kinect and Vicon trajectory means of the large neck marker (P<0.001; r=0.994). The Kinect neck marker trajectory mean was generally higher than the 30 Vicon trajectory mean, therefore a positive difference of 4 mm \pm 4.2 mm (LoA) was noted. 31 There was no pig effect on trajectory differences, but a pig effect on trajectory mean which 32 reflected the size of the pig (P<0.001). The mean±SD of continuous differences between 33 34 corresponding Kinect and Vicon neck marker trajectories amounted to 5 ± 1.5 mm. The mean of vertical displacement amplitudes was 5 ± 2.8 mm, and hence the minimum difference of 35 +15 mm in lame animals should be detectable in more than 99% of cases. Trajectories of 36 37 neck, back and pelvis generated by a marker-free Kinect application showed less similarity to corresponding Vicon trajectories. It was concluded that the Kinect device could distinguish 38 sound from lame pigs by tracking neck region elevation during walking; however, markerfree 39 40 tracking algorithms need refinement and further development to become sensitive and reliable. 41

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43 Keywords: Kinect; Lameness; Pigs; Automated detection; Motion capture

44 1. Introduction

Lameness is a major problem afflicting 10-20% of the pigs within the modern pig industry (Kilbride et al., 2009). To date, lameness detection in livestock is largely subjective, potentially delayed and insensitive to early or mild problems (Dalmau et al., 2010). Subjective lameness scoring often has a low to moderate repeatability between observers, and estimates of true lameness prevalence on a farm require the examination of all animals, which makes the monitoring of animal mobility a challenging and expensive task (Mullan et al., 2009).

52 There are various lameness indicators in different farm animal species. Arching of the back is a common indicator of lameness in cows (Poursaberi et al., 2010; Sprecher et al., 53 1997), head bobbing is characteristic in sheep and horses (Kaler et al., 2009; Buchner et al., 54 55 1996) and in pigs (Stavrakakis et al., 2013; Mustonen et al., 2011). Other qualitative lameness indicators which have been used by observers of cows include 'tenderness', 56 'irregular gait' and 'increased abduction' (van Nuffel et al., 2009). Generally, most lameness 57 scoring systems across species include concepts such as "changes in weightbearing of 58 affected limb(s)", "irregular or assymmetric gait" and "discomfort and reluctance in moving". 59 Visual mobility scoring requires a high level of training and assessment of individual 60 animals. However, this is often difficult to implement on farms with multiple animals in a 61 pen and other factors, such as dirty floors, potentially influencing the subjective outcome 62 63 (Mullan et al., 2009).

In an attempt to achieve objectivity and to automate lameness detection, various researchers have recently used biomechanical and computer vision techniques to assess lameness in a range of species including horses (Pfau et al., 2007), cattle (Viazzi et al., 2014a; van Hertem et al., 2013) and pigs (Meijer et al., 2014; Pluym et al., 2013). Temporal gait variables (stance times), measures of asymmetry between left and right limbs and the 69 arching of the back are the most widely used gait variables for automated lameness detection in cows (Viazzi et al., 2014a; van Nuffel et al., 2009). However, there are differences 70 between species in gait alteration and compensation strategies and also in farming routines, 71 72 therefore suitable species-specific gait variables and detection algorithms need to be identified and developed (Stavrakakis et al., 2015; Neveux et al., 2006). Using a specialised 73 marker-based Vicon system for kinematic gait analysis, abnormality in the movement of axial 74 75 body regions during walking has been associated with lameness in pigs. Stavrakakis et al., (2015; 2013) reported that vertical excursion of the head and neck was most affected in lame 76 77 pigs and increased by +15-58 mm compared to normal pigs.

Extensive attempts are now being made within the field of clinical biomechanics to 78 79 utilise the Microsoft Kinect sensor as a cheaper alternative to conventional expensive and 80 laborious gait analysis technologies, such as the Vicon system (Sandau et al., 2014). Good 81 agreement between the Kinect and specialised motion analysis systems has already been established for some clinical purposes, such as assessment of postural control, functional 82 83 activity and spatiotemporal gait assessment in humans (Bonnechere et al., 2014; Clark et al., 2013). Kinect studies of gait assessment in humans currently use the full skeletal tracking 84 ability of the Kinect (Seer et al., 2014), whereas this study used only the depth sensor since 85 the skeletal tracking was not designed to work with quadrupeds. If developed further, 86 however, the Kinect sensor could provide a new, cheap and portable movement monitoring 87 88 device for quadrupeds and may allow early and consistent identification of lame pigs. Furthermore, continuous monitoring could enable assessment of changes in pen- and farm 89 based lameness prevalence over time, for example when changes occur in management, 90 91 genetics, nutrition and behaviour of pigs (Viazzi et al., 2014b).

92 The aim of this study was to evaluate the validity of the Microsoft Kinect sensor for 93 assessment of normal walking in pigs, by comparing its depth data measurements with the

94 "gold standard" provided by the Vicon system. Pigs of varied size were used to identify potential sensitivity of the Kinect sensor to differences in depth, i.e distance from the body 95 surface to the sensor. It was hypothesised that the Kinect depth data could reproduce Vicon-96 97 derived trajectories both in terms of absolute and relative values, and that pigs could be correctly identified as having a normal walking pattern based on relevant Kinect-derived 98 measurements. Correlation of both single values derived from Kinect and Vicon trajectories 99 and continuous differences along trajectories were assessed. A reference marker tracked by 100 101 both systems on the neck gave the ground truth estimate for the difference between the 102 Kinect and Vicon; a markerfree tracking within the Kinect depth data was performed to evaluate the potential "unaided" performance of the sensor. 103

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105 2. Materials and Methods

106 *2.1.1 Experimental design and data collection*

107 All procedures on animals were in accordance with institutional and UK animal 108 welfare regulations (http://www.ncl.ac.uk/research/ethics/animal/animalpolicy.htm). From 109 the commercially-run pig unit at Cockle Park, Newcastle University, seven clinically healthy 110 pigs (Hermitage Genetics, Kilkenny, Ireland) were randomly selected at a mean liveweight 111 of 52 kg (SD 9.5, range 39-63kg) and housed in a partly-slatted concrete pen (9 m²) in a 112 controlled environment building. One week of habituation to close human contact and short 113 isolation from pen mates followed.

Subsequently, over a period of seven days, data were collected on liveweight and selected gait parameters on two (N=3 pigs) or three (N=4 pigs) separate days. Not all seven pigs were cooperative on all three days or their data were not usable due to marker occlusion or very irregular movement. Motion capture took place in an adjacent building, a modified finisher pig building which had been adapted to provide a waiting area, a handling area and a 119 motion capture arena. Hemispherical, reflective markers (19Lx19Wx10H mm; The Vibration Solution, Burlington) were attached at the central nasal bone, the mid-neck proximal to 120 shoulders (frontal to the shoulder widening), the posterior mid-thorax (back), anterior mid-121 pelvis (narrowest width between abdomen and pelvis) and tail base of one pig at a time 122 (Figure 1, B), using double-sided, adhesive tape (Supa Brands, Worsley, Manchester). Next, 123 the pig was moved into the motion capture area, where it proceeded to walk along a concrete 124 walkway measuring 3.5 m long and 2.0 m wide. Movement was captured simultaneously by 125 the Vicon 3D optoelectronic motion analysis system and the Kinect motion sensor. 126

The Vicon system (Vicon T20, Oxford, UK) included six infrared cameras set up in an array to one side of the walkway and connected to a PC featuring Nexus software (v1.7.1, Vicon, Oxford, UK). Frames were sampled at 125 Hz and subsequently interpolated to match the sampling rate of the Kinect. The Kinect motion sensor (v1, Kinect for Windows, Microsoft, USA) was mounted 1.8 m above the walkway (Figure 1, A). Filming was triggered manually when pigs approached the field of view of the Kinect camera. Cooperative pigs followed a human guide at a regular and continuous walking pace along the walkway.

Since, during the process of filming, it transpired that the hemispherical markers were too flat for extraction by the Kinect sensor, the marker on the neck was replaced by a larger spherical, reflective marker (25x25x30 mm) on the second and third days for four out of the seven pigs. This large marker served as a reference marker for the true difference between Kinect and Vicon, since it could be tracked by both motion capture systems. The remaining three pigs were fitted with only hemispherical markers for collection of Vicon data and constituted the dataset for marker-free Kinect tracking.



Figure 1 A-D:

A)

Gait lab set-up showing the Vicon cameras with infrared strobe around each lens, and the Kinect camera mounted above the walkway (arrow).



B) Pig on walkway with five reflective Vicon markers (arrows) visible on the Kinect RGB camera.





C) Reflective markers visible on the Vicon Nexus software motion capture screen. In this image the trajectory of the neck marker is displayed.

D) The 30mm neck marker (arrow) extracted by a custom-written Kinect algorithm.

141 *2.1.2 Data processing and analysis*

Two custom-written computer algorithms using the Kinect developer toolkit were 142 produced. Kinect algorithm (1) identified and followed the large neck marker, placed on four 143 of the pigs on two occasions, by finding regional points along the pig spine with the least 144 distance to the sensor (referred to as depth). This was achieved by a programme which 145 identified the pig outline, derived a band area along the longitudinal axis of the pig and 146 compared the least distant values within the band on a frame-by-frame and frame-aligned 147 region-by-region basis. Kinect algorithm (2) enabled a marker-free tracking of neck, back and 148 149 pelvic sampling points, approximating the position of Vicon reflective markers on those three pigs without the large marker. Sampling points of nasal bone and tail base were discontinued 150 due to inconsistencies of head and tail movement and therefore inconsistent tracking within 151 152 the Kinect depth data.

Kinect sampling point depth data generated by both algorithms were converted into 153 distance-from-floor for comparison with Vicon's vertical position data. To account for the 154 fact that the floor of the walkway was not completely even, two different approaches were 155 taken to adjust the depth data. Three empty frames at the beginning of a film selected 156 immediately before entry of a pig onto the screen were used to generate a floor distance-to-157 sensor pixel map, so that the coordinates of pig body sampling points could subsequently be 158 subtracted from the corresponding floor positions (dynamic floor inverse). A second 159 160 reversion technique assumed a constant floor distance value for the generation of a Kinectindependent inverse of the sampling point data (constant floor inverse). The latter method 161 enabled assessment of the true differences between both systems after normalising each value 162 163 by subtracting the mean of the entire trajectory, but this method was not suitable for comparing absolute values. For the marker-free Kinect assessment, the second technique was 164 also used, since only relative measures were compared. 165

Vicon marker trajectory data were collected from Nexus software and imported into
Matlab (R2010b, Mathworks©, Natick, USA) for resampling at 30 Hz and corresponding
Vicon and Kinect video footages were identified. Vertical excursions (amplitudes) of Kinect
and Vicon trajectories were calculated as the difference between local extremes on curves
and averaged. Overall, 3-5 films per pig and per capture day were processed.

After checking for normality of the data, the correlation between Kinect and Vicon trajectory means and effect of pig and capture date on trajectory means and differences were assessed using Minitab statistical software (v16, Minitab Inc., State College, USA).

174

175 3. Results

176 3.1.1 Large neck marker dataset (N = 4 pigs)

177 Differences between absolute Kinect and Vicon trajectories (dynamic floor inverse). The mean \pm SD of continuous differences between corresponding absolute Kinect and Vicon neck 178 marker trajectories amounted to 8 ± 1.1 mm. A high positive correlation between the Kinect 179 and Vicon trajectory means of the large neck marker (P<0.001; r=0.994) was found. This 180 relationship became stronger when observations within pig were averaged by day or over the 181 entire data collection (Figure 2 A-C). Average neck marker height was greater in all Kinect-182 derived observations compared to the Vicon data and this positive difference was 4 ± 4.2 mm 183 (Limits of Agreement, LoA; Figure 3). Pig effect on neck marker trajectory mean was 184 185 significant (P<0.001), reflecting the size of the pig. Pig height, based on large neck marker trajectory means obtained by Vicon, ranged from 540-580 mm. 186

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Figure 2 A-C: Correlation of Kinect and Vicon neck marker vertical position showing means from 4 pigs x 2 days with a total of 40 observations (a), pig mean within day (b) and total pig mean (c).

Figure 3: Limits of agreement between Kinect and Vicon neck marker vertical position trajectory means. Red line is at 3.8 mm mean difference \pm 4.2 representing mm, the limits of agreement as SD (2.1 mm) x 2.

Differences between normalised Kinect and Vicon trajectories and vertical amplitudes 188 (constant floor inverse). The mean \pm SD of continuous differences between corresponding 189 190 Kinect and Vicon neck marker trajectories amounted to 5 ± 1.5 mm. Similarly, the mean of vertical displacement amplitudes was 5 ± 2.8 mm, suggesting that differences were not 191 exaggerated around trajectory extremes. There was no effect of pig on the differences 192 between Vicon and Kinect trajectories, but there was a day effect (P=0.048). Mean difference 193 on day 3 (5.8 mm) was higher compared to day 2 (4.7 mm). Absolute values (mean \pm SD) of 194 195 the average vertical amplitude of the neck marker trajectories were 16 ± 7.0 mm and 14 ± 5 mm for the Vicon and Kinect measurement, respectively, which correspond to neck elevation 196 values observed in normal pigs. Corresponding Vicon and Kinect neck marker trajectories of 197 198 three pigs, performing a range of head movements are presented in Figure 4. Pig A shows the typical regular head bobbing at a quicker walking speed, whereas Pig B lifted its head and 199 Pig C dipped its head whilst walking past the cameras. Corresponding Vicon and Kinect neck 200 marker trajectories of one pig, with two different floor corrections methods applied, are 201 presented in Figure 5. 202







Figure 4 A-C: Neck marker trajectory of three pigs (A, B, C) tracked by Vicon (continuous) and Kinect (dashed). Local maxima and minima are identified on each trajectory. *The Kinect sampling rate may vary depending on the instantaneous processing capacity



Figure 5 A-B: (A) Neck marker trajectory of one pig tracked by Vicon (continuous), Kinect, assuming a constant floor (dashed), and Kinect with a dynamic floor correction (dotted). B) shows the previous Vicon (continuous) and Kinect (dashed), assuming a constant floor, trajectories normalised to the trajectory mean for the assessment of absolute differences along the entire trajectories. * The Kinect sampling rate may vary depending on the instantaneous processing

203 3.1.2 Marker-free Kinect tracking method of neck, back and pelvic region (N = 3 pigs)

Trajectories of neck, back and pelvis generated by a marker-free Kinect application generally 204 showed less similarity to the corresponding Vicon trajectories. This was mainly reflected in a 205 206 greater mean \pm SD of the continuous differences between corresponding Kinect and Vicon trajectories, specifically 11 ± 2.2 mm. Mean differences between vertical amplitudes of neck, 207 back and pelvic region trajectories were 6 ± 5.9 mm; 5 ± 3.7 mm and 4 ± 3.6 mm, 208 respectively. Absolute values (mean \pm SD) of the vertical amplitudes of neck trajectories 209 were 19 ± 7.6 mm and 18 ± 8 mm for Vicon and Kinect measurements, respectively. Back 210 211 vertical position amplitudes were 15 \pm 10.7 mm and 18 \pm 13 and pelvic amplitudes were 16 \pm 10.6 and 16 ± 8.7 according to Vicon and Kinect measurements, respectively. 212

213

214 4. Discussion

This study evaluated the validity of the Microsoft Kinect sensor for the identification 215 of normal walking patterns in pigs, by comparing Kinect depth data measurements to data 216 derived from the "gold standard" Vicon motion analysis system. It was hypothesised that the 217 Kinect depth data could reproduce Vicon-derived trajectories in terms of both absolute and 218 relative values and thus that pigs could be correctly identified as having a normal walking 219 pattern based on relevant Kinect-derived measurements. A reference marker on the neck 220 tracked by both motion capture systems gave the ground truth estimate for differences in 221 222 marker position measured by the Kinect and Vicon systems, whilst marker-free tracking within the Kinect depth data was undertaken to evaluate the potential "unaided" performance 223 of the sensor. Since this was a proof-of-concept study, the number of animals used was 224 225 relatively low, but the replication of data collection was nevertheless sufficient to confirm reproducibility of the technology. 226

In previous reports by Stavrakakis et al. (2015; 2013), lame pigs have been shown to 227 have a characteristic head bob arising from altered head and neck movement, particularly a 228 rise in the vertical displacement amplitudes of the head and neck during walking. This 229 230 movement alteration was regarded as one of the most suitable gait parameters to be incorporated into automated detection of lameness in pigs. Other gait parameters relating to 231 hoof placements in space and the timing of these, particularly asymmetries in temporospatial 232 gait, were also strongly associated with lameness in groups of pigs (Stavrakakis et al., 2015). 233 Nonetheless, these gait parameters are likely to be more difficult to exploit and integrate 234 235 within a motion analysis system suitable for pig farms, because of the necessary sensor proximity to legs. In these previous studies, all data were collected by a Vicon motion capture 236 system which enabled an accurate and steady tracking of both head and neck regions by 237 238 means of reflective markers. However, using the Kinect in the present study, sampling points 239 of nasal bone and tail base had to be discontinued due to inconsistencies of head and tail movement and therefore inconsistent tracking within the Kinect depth data. Additionally, the 240 241 Kinect sensor was mounted in a bird's eye-view perspective above the walkway, since this position was considered to be the most suitable perspective for an on-farm mobility 242 monitoring device. A large marker on the nasal bone, therefore, would not have provided a 243 large difference to surrounding surfaces within the depth data of the Kinect, whose x-and y-244 planes were almost parallel to the frontal plane of the pig walking underneath. Consequently, 245 246 when using the Kinect sensor filming from a bird's eye perspective, neck elevation was considered to be the best proxy measure for the characteristic lameness-related head bob. 247

In this study, tracking both the absolute depth and the relative depth trajectory of an object were of interest to test the general performance of the Kinect sensor in a farm environment. However, only the relative measures are subsequently required for the detection of lameness. Therefore, two techniques were applied to calculate distance-from-floor for

comparisons between Vicon and Kinect data and thus enable assessment of absolute and 252 relative differences. The technique which used the specific floor distance corresponding to 253 the pixel(s) in which the neck marker was identified was expected to lead to greater 254 255 differences between the two motion capture systems. Firstly, since the Kinect data is known to contain an uncertainty of up to 10 mm (Koshelham and Elberkink, 2012), then two 256 measures based on Kinect data will theoretically contain twice the inherent Kinect 257 uncertainty. Secondly, the walkway on which the pigs were walking had a minor inclination 258 of approximately 5-10mm. Hence, using the floor distance to correct the neck marker 259 260 distance from the sensor levelled the marker trajectory. No such correction was performed using the Vicon trajectories and hence differences between both systems became greater. The 261 finding that absolute Kinect-derived trajectory heights were overestimated compared with 262 263 Vicon is not surprising if taken into account that Vicon tracks the centroid of a marker, whereas the Kinect algorithm identified the nearest pixel(s) of the large marker. However, 264 another possible explanation is that within the recommended tracking range for the Kinect, 265 namely 0.8 - 4 m - a range which was never exceeded in this study, accuracy of the Kinect 266 decreases with increasing distance of an object from the sensor (Koshelham and Elberkink, 267 2012). This could be an additional error introduced by the dynamic floor correction. The 268 technique which assumed a constant floor height generated data which corresponded directly 269 270 to the Vicon data, since Vicon data also assumed a level floor. Consequentially, comparing 271 the results of the two techniques, levelling the marker trajectory with the dynamic floor inverse generated an additional mean error of at least 3 mm. 272

Due to differences in pig size, pig effect on absolute trajectory means was expected to be significant. However, there was no pig effect on differences between trajectories, suggesting that the same sensor mounting height could be recommended over walkways or pens containing pigs at different ages or sizes. Moreover, the absence of a pig effect on 277 differences between Kinect and Vicon systems encourages the conclusion that greater withinpig neck elevation due to lameness should be detectable by the Kinect. Interestingly, there 278 was a day effect on differences between trajectories measured by the two systems, with 279 280 results between two days deteriorating by 1.1 mm on average. Pigs generally became more habituated and cooperative with the process of motion capture and hence it might have been 281 expected that differences between the two sensors would have reduced over time due to more 282 regular movements by the pigs. Also, the equipment was not changed or handled differently 283 and therefore an inferior performance of the Kinect would not be expected for reasons related 284 to electronics. However, although this was not systematically quantified, the lighting 285 conditions in the experimental building may have varied between the two days due to the 286 prevailing weather conditions outside the building. The Kinect sensor is known to be 287 288 influenced by lighting conditions (Koshelham and Elberkink, 2012; Hernandez-Lopez et al., 289 2012), which can decrease accuracy of the device's output. Thus the recently released Kinect version (v2) has been improved to be less sensitive to variations in lighting (Breuer et al., 290 291 2014; Smisek et al., 2013). Future studies aiming to develop an on-farm automated lameness system based on the Kinect sensor should use the improved version to test whether 292 differences compared to reliable systems, such as the Vicon, can be minimised. Furthermore, 293 whilst in this study the Kinect and Vicon systems were manually synchronised, 294 improvements in synchronisation between the two systems could be made to minimise 295 296 differences even further. Finally, direct comparisons of normal and lame pigs should be made to confirm that normal and abnormal trajectories can be detected by the Kinect sensor 297 independently. 298

Overall, as a proof-of-concept study, the presented results have shown that the Kinect is a promising alternative device for tracking neck elevation in walking pigs, and even the marker-free tracking was surprisingly good despite imperfections in the methodology. However, tracking algorithms need improvement to accommodate for pigs walking at angles to the direction of movement and adjustments should also be made to the bending movements of body parts during walking with respect to the longitudinal body axis. Equally, for a reliable extraction of geometric points within body parts, machine learning classifiers should be trained to identify local image features corresponding to body parts of pigs, similar to the skeletal tracking tool used for humans (Henrickson et al., 2014).

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309 Conclusion

310 Vertical position trajectories of a dorsal neck marker on pigs produced by the Kinect motion sensor and the "gold standard" Vicon system showed a high level of agreement. It is therefore 311 concluded that the Kinect sensor is suitable to track characteristics of sound walking in pigs 312 313 based on neck elevation and shows considerable potential to track abnormalities in walking patterns caused by lameness. Thus fully automated and marker-free tracking of relevant 314 dorsal mid-line point trajectories for a relatively modest cost appears to be feasible, but the 315 technology requires refinement and further software development before it can be 316 recommended for commercial use. 317

318

319 Conflict of interest

None of the authors of this paper has a financial or personal relationship with other people or

321 organizations that could inappropriately influence or bias the content of the paper.

322

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