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An evolutionary approach to robot scheduling in protected cultivation systems for uninterrupted and maximization of working time

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Corresponding Author:	Yushin Ha Kyungpook National University Daegu, Korea, Republic of
First Author:	Daniel Uyeh
Order of Authors:	Daniel Uyeh Trinadh Pamulapati Rammohan Mallipeddi Tusan Park Seungmin Woo Siyoung Lee Jongwon Lee Yushin Ha
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	walikh@kust.edu.pk Related expertise
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An evolutionary approach to robot scheduling in protected cultivation systems for uninterrupted and maximization of working time

Daniel Dooyum Uyeh^{1,2,3}, Trinadh Pamulapati⁴, Rammohan Mallipeddi⁴, Tusan Park^{1,3}, Seungmin Woo^{1,2,3}, Siyoung Lee⁴, Jongwon Lee⁵, and Yushin Ha^{1,2,3*}

¹Department of Bio-Industrial Machinery Engineering, Kyungpook National University, Daegu 41566, Republic of Korea

²Upland-Field Machinery Research Centre, Kyungpook National University, Daegu 41566, Republic of Korea

³Smart Agriculture Innovation Center, Kyungpook National University, Daegu 41566, Republic of Korea.

⁴Department of Artificial Intelligence, School of Electronics Engineering, Kyungpook National University, Daegu 41566, Republic of Korea.

⁴Division of Smart Farm Development, National Academy of Agricultural Science, Rural Development Administration, Jeonju 54875, Republic of Korea

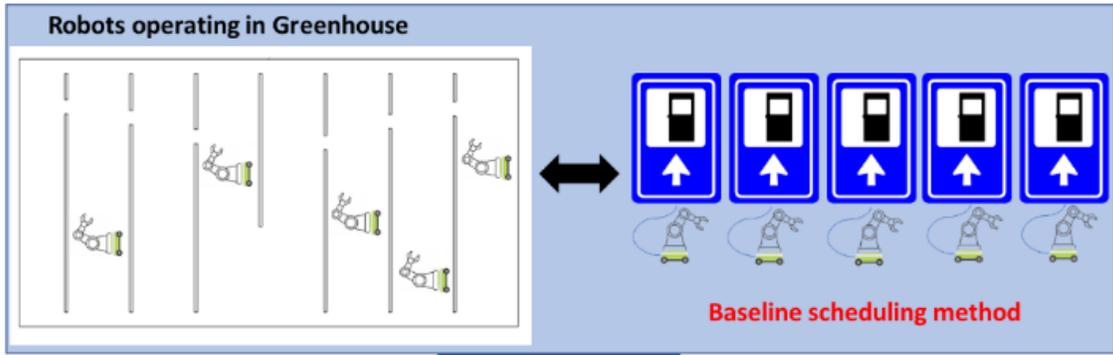
⁵Korea National College of Agriculture and Fisheries, Jeonju, Republic of Korea

Correspondence: yushin72@knu.ac.kr

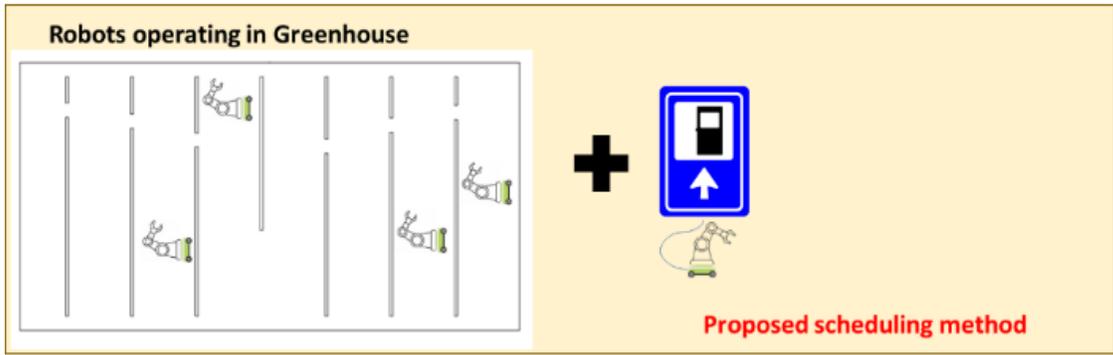
Abstract

The protected cultivation system, an alternative to open field cultivation provides opportunities such as year-round crop production and improved food security especially during disasters as well as ease in automation. However, protected cultivation is limited by the hazardous work environments and skilled labor shortages thus necessitating robotic applications. Robots are mostly battery-powered, requiring regular charges depending on the task. In a multi-robot system, due to the limitation on the availability of charging infrastructure and uneven discharge rates of the robots depending on the task, it is very difficult to predict when the robots would require charging. Therefore, to maximize the continuous work time of the robots, optimal scheduling is required. Consequently, we propose a novel system for efficiently utilizing mobile robotic systems in protected cultivation by developing a scheduling system that will maximize work time and minimize concentrated energy demand. We formulated the robot scheduling problem to regularly evaluate battery charge state and optimally send the robot to the charging station. This problem was solved using an evolutionary algorithm. We considered: a) the number of available robots; b) number of charging stations; c) required work hours; d) robot battery capacity; e) robot battery charge and discharge rates; and f) the number of continuous discharge time instances. All parameters could be set to user preference. The applicability of the proposed method was demonstrated with experimental simulations using MATLAB under different cases and scenarios. These cases and scenarios demonstrated that our proposed system maximized worktime by a significant percentage and minimized the required power to charge the batteries in all situations.

Keywords: Energy demand; Food security; Greenhouse; Robot battery; State of battery charge



Evolutionary Algorithm



34
35
36

Graphical Abstract

37 **1. Introduction**

38 Protected cultivation systems such as greenhouses and plant factories for growing plants in controlled environments
39 are becoming popular recently. Cultivating in these systems has numerous benefits to the grower and global food
40 security due to increased productivity and availability of food year-round regardless of the climatic conditions (Jensen
41 et al., 1995, Khan et al., 2011). This is even becoming more pertinent during disasters such as the recent COVID 19
42 global pandemic outbreak caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) (Wu et al.,
43 2020) where movement is restricted due to fears of rapid spread of the virus. Invariably, farm labor and trade would
44 be substantially affected. Furthermore, the Food and Agriculture Organization of the United Nation (FAO) has warned
45 of impending starvation and malnutrition in many countries across the globe (FAO, 2020) . This is even more so
46 because of the climate of many countries in temperate regions or the non-availability of land for growing essential
47 foods with short shelf-lives such as vegetables. These make countries rely heavily on imports to meet demand of some
48 essential foods. The FAO suggested coherent and robust plans for global food systems as a solution for disasters that
49 restrict movement of people and goods (FAO, 2020) . Protected systems where the climate can be controlled such as
50 greenhouse and plant factories provide a solution to these issues, by allowing the use of autonomous robotic growing
51 systems that require limited or no physical presence. Regardless of external factors like temperature, relative humidity,
52 wind speed and rainfall, plants in protected cultivation systems can be grown in relatively safer conditions in which
53 essential macro and micro requirements are provided. This increases productivity while ensuring year supply of
54 essential foods.

55 It is much easier to implement automation in protected systems compared to the open field with many disturbances
56 such as lighting conditions, rain, etc. (Roldán et al., 2018). However, growing crops in a protected cultivation system
57 has some drawbacks. For example, the use of pesticides in protected systems is much more complicated compared to
58 open-field cultivation where there is adequate circulation of air. Other conditions like elevated temperatures and
59 relative humidity required for optimal plant growth could also cause long-term health complications for human
60 workers (Arundel et al., 1986, Basu, 2009). Apart from the adverse impact to the health of the workers, protected
61 systems require repetitive tasks like harvesting and transportation which are also cumbersome and cause fatigue to the
62 human workforce. Due to the high capital investments required in protected cultivation, optimal growing conditions
63 and skilled labor is required for an economically viable and sustainable system. Farmers are also facing the challenge
64 of producing more food from less land in a sustainable way to meet the demand of the predicted 9.8 billion human

65 population expected by 2050 (King, 2017). With the global shortage of skilled labor especially in developed countries
66 due to migration of young people from farming rural communities to urban areas, (Cai et al., 2006, Hertz et al., 2013),
67 most growers are increasingly seeking to employ robotics in cultivation. In (Future Farming, 2019), the increased
68 use of robots in greenhouses to mitigate labor shortage was recorded in the Netherlands.

69 Consequently, robotic companies have keyed into developing robots for tasks in protected cultivation systems. These
70 include inspection and treatment of plants (Acaccia et al., 2003), recognition and cutting system for sweet-pepper
71 picking (Kitamura et al., 2005), autonomous spraying of pesticides in greenhouses (Sammons et al., 2005) and
72 greenhouse operation (Mandow et al., 1996). According to Verified Market Research, the agricultural robot market is
73 expected to reach \$11.58 billion by 2025 (Verified Market Research, 2020) .

74 Using robots in protected cultivation requires optimal implementation for best results. This led to different studies
75 such as navigation techniques for mobile robots in greenhouse (González et al., 2009), path tracking of mobile robots
76 in greenhouses controlled by slide mode variable structure (Niu et al., 2013), ultrasonic sensors for determining
77 position and orientation of mobile robots in a greenhouse (Masoudi et al., 2010), and vision-based localization in
78 greenhouses using a daisy-chaining approach (Mehta et al., 2008). However, there are limited studies considering
79 rapid utilization of robots to save time, thereby lowering operation costs. Consequently, in our earlier study (Uyeh et
80 al., 2019), we proposed efficient navigation in a greenhouse by optimizing the layout system. We developed a system
81 to find optimal points on each bed to create an access path that would enable a reduction in the total travel time from
82 all points in the greenhouse to the base point. The system allowed: (a) specifying bed size; (b) inputting greenhouse
83 size; (c) specifying required space for inter-bed and rotary robot navigation; and (d) indicating base point for starting
84 and terminating navigation.

85 Just like in electric vehicles (EV), robots in protected cultivation are mostly battery powered. An EV's charging
86 scheduling strategy based on photovoltaic output prediction was proposed in (Wei et al., 2017), while (Yang et al.,
87 2013) proposed a system to minimize the waiting times of EVs by charge scheduling on highways. Other studies
88 includes optimal routing and charge scheduling of EVs (Barco et al., 2017), EV's charging scheduling problem derived
89 from a charging station designed to be installed in community parking (García-Álvarez et al., 2018) , delay-optimal
90 charging scheduling of EVs with multiple charging stations (Zhang et al., 2013), and determining an optimal vehicle
91 schedule given a set of trips (Niekerk et al., 2017).

92 However, in protected cultivation, the battery power consumption of robots depend on tasks and environmental
93 conditions like temperature and relative humidity (Smart et al., 1999, Hu et al., 2004) making it difficult to predict at
94 what point the robot would need charge. For example, a harvesting robot took between 18 and 25 seconds to harvest
95 a ripe fruit (Shamshiri et al., 2018). The battery usage and consumption in harvesting robots can be separated into
96 three main sections as sensing (i.e., fruit recognition), planning (i.e., hand-and-eye coordination) and acting (i.e., end-
97 effector mechanism for fruit grasping) (Murphy, 2019). Duration of each task will vary among models of robots.
98 Furthermore, with the frequent improvement in technologies related to greenhouse robotics, it can be challenging to
99 predict the required number of robots needed to complete a task without a scheduling system. Without scheduling,
100 usage of robots in protected cultivation systems involve using the battery to a drainage point (baseline algorithm) and
101 charging all robots together. This has drawbacks such as: a) same time robots charge means high power consumption
102 and this could result in higher costs of power and transformers (Darabi et al., 2011, He et al., 2018); b) non continuation
103 in operation in the protected system especially in a task where two different types of robots with different battery
104 capacities are involved (for example, the harvesting and transportation tasks). The working time of robots in a
105 protected system mainly depends on battery status of the robots and speed to complete a task. If a greenhouse is small
106 and the available robots can finish a task in one charge-discharge cycle, then scheduling of robots is not essential.
107 However, in large commercial greenhouses that are commonly found in most countries, the scheduling of robots is
108 beneficial, and can reduce operational costs.

109 In general, optimal scheduling is required to reduce the cost of operation or to satisfy the needs of the application.
110 Other well-known applications of scheduling includes, travelling sales man problem, swarm robots scheduling, UAV
111 scheduling, path planning (Jin et al., 2006, Peters et al., 2018), electric vehicles charge and discharge scheduling (Yao
112 et al., 2017), and agricultural robot scheduling (Ahsan et al., 2019) which was limited to seedling and more of
113 sequencing.

114 Various scheduling techniques employed for mobile robots primarily focused on task-based scheduling. In previous
115 studies, various scheduling problems were solved using integer linear programming (Tiotsoy et al., 2020) (Cheng et
116 al., 2019), and dynamic programming (Jin et al., 2006). Optimal scheduling problems arising in different real-world
117 activities have been solved using classical search and optimization algorithms including linear programming methods.
118 The difficulties often faced in solving such problems are the dimensionality of the search space, and integer restriction
119 of the decision variables (Deb et al., 2003). For the past few decades, optimal scheduling problems have also been
120 solved by using various nontraditional methods such as simulated annealing (Kirkpatrick et al., 1983), genetic

121 algorithms (Goldberg, 2006), and tabu search (Glover et al., 1998). Genetic algorithm optimization has good search
122 capabilities for stochastic operators, are flexible with easy tunable parameters according to the type of the problem.
123 In the current study, we solved the scheduling of robots in protected systems using the binary genetic algorithmic
124 approach (Goldberg, 2006).

125 The scheduling of available robots in a protected cultivation system to accomplish a task (harvesting, spraying or
126 transportation) is complex and differs from EVs where the approximate distance a charge can cover, and information
127 of charging stations are known.

128 In this study focusing on protected cultivation, the objectives were to develop a system to determine: a) the optimal
129 number of charging stations required in respect to number of robots; b) the optimal number of robots required to meet
130 a target worktime or task; c) compute the available work hours in relation to the number of robots and battery charge
131 and discharge rates; and d) frequently (every 15 minutes) evaluate the charge status of each robot and determine the
132 optimal time to dispatch it for charging.

133

134 **2. Problem formulation and proposed method:**

135 This study focused on scheduling robots to maximize working time to complete a given task in a protected cultivation
136 system by assuming the following scenarios: a) all the robots were identical with similar battery capacity; and b)
137 robots have different battery capacities. As mentioned earlier, the total time a robot can operate, depends on the battery
138 capacity and its task-based discharge characteristics. Depending on usage, the batteries require charge at the time
139 when the current battery state of charge (SOC) falls below the minimum limit to complete the task. Consequently, the
140 proposed system has the capabilities to be adjusted to user preference.

141 We assumed all robots had same charge/discharge limits i.e., the minimum allowable SOC for a robot's battery was
142 5% and the maximum allowable SOC was 100%. When the battery of a robot reached the minimum discharge limit,
143 it went for charging. When the robot is performing a task and active, it was considered as '1' and not working condition
144 or charging was considered as '0'. This scheduling problem can be considered as combinatorial and nondeterministic
145 polynomial time hard (NP-hard).

146 Due to the high temperature and relative humidity in protected cultivation systems, it is usually recommended that
147 charging stations should be located outside. The location of the charging station has a direct relationship to the
148 minimum SOC the robot should have to be triggered to go for a charge. The number of robots that can be
149 simultaneously charged at the same time depends on the charging infrastructure or the number of charging slots

150 available. In addition, depending on the charging infrastructure and number of robots, it may not be possible to fully
 151 charge all the robots before the start of a new workday. Therefore, the initial SOC of the robots may be different. In
 152 addition, a limitation on the minimum continuous time instances a robot undergoes discharge (working) between two
 153 consecutive charging instances has been considered and can be specified by the user.

154 The objective of the problem was to minimize the charge time of robots i.e., maximizing the worktime of each robot
 155 so that the overall worktime to complete a given task was reduced. The scheduling constraints that were needed to be
 156 satisfied were: a) maintain minimum battery level; b) ensure maximum charge limit; c) dispatch only the maximum
 157 number of robots allowed for charging at any one time; and d) execute the minimum continuous instances of discharge
 158 (T_{dis}).

159 The objective functions modelled for the current scheduling problem consisted of the parameters related to battery
 160 characteristics and initial battery SOCs. The different charge and discharge characteristics of batteries usually depend
 161 on the usage, type of battery, operating temperature, and their charge and discharge rates. The discharge time of the
 162 robot's battery may depend on the state of the crop or availability of crop.

163 Major parameters that affect the scheduling process are:

- 164 1. number of robots (N)
- 165 2. number of charging stations (m)
- 166 3. state of charge of the robot (SOC)
- 167 4. minimum duration the robot needs to continuously work before going for charge (T_{dis}) = 1,2 and 3.

168 The objective function of maximizing the working time of robots is given in Equation (1) subject to Equations (2), (3)
 169 and (4).

$$170 \quad \text{Maximize} \left(\sum_{t=1}^T \sum_{n=1}^N S_n^t \right) \quad (1)$$

171 Subjected to

$$172 \quad n_c^t \leq m \quad (2)$$

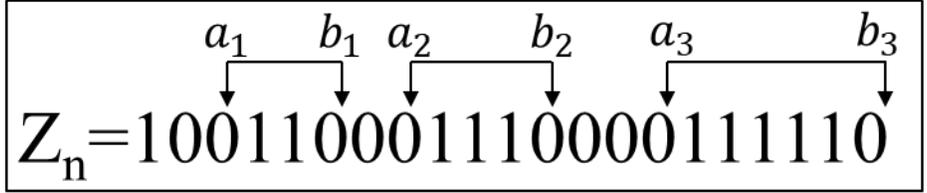
$$173 \quad \theta_{min} \leq SOC_n^t \leq \theta_{max} \quad (3)$$

$$174 \quad \sum_{n=1}^N \sum_{i=1}^{|Z_n|} [Z_n(b_i) - Z_n(a_i) - 1 \geq T_{dis}] \quad (4)$$

177 where N is number of robots, m is number of charging stations; T is the total number of scheduling instances for the
 178 given task and time ($T = 24/48/72$ for 6/12/18 hours, respectively for one scheduling instance of 15 minutes), t is time
 179 index for evaluating SOC, S_n^t is state of robot n at time index t , state vector $= [S_n^1, S_n^2, S_n^3, \dots, S_n^{24}]$, SOC_n^t is battery
 180

181 SOC of robot n at time t , n_c^t is number of robots that need charging at time t , θ_{min} is the minimum discharge limit of
 182 battery, and θ_{max} is maximum charge limit of battery. $Z_n = \{(a, b) \in T, S_n^a = S_n^b = 0 \& \prod_{j=a+1}^{b-1} S_n^j = 1\}$ is a set of
 183 ordered pairs (a, b) , a and b are integers that represents the time instances as demonstrated in Figure 1.

184 In Figure 1, the vector (Z_n) represents a prospective schedule of robot n , where 0 and 1 represent the charging and
 185 discharging (working) states of robot, respectively. $Z_n = \{(a_1, b_1) (a_2, b_2) (a_3, b_3)\}$ and ordered pair (a_i, b_i) gives
 186 information on the number of continuous working time instances (1's) between two charging time instances (0's).



187
 188 Figure 1. Prospective scheduling vector of robot n

189 An additional continuity constraint (Equation 4) is included to satisfy the smooth charge or discharge operation for
 190 robots by considering a user-specified input (T_{dis}). Since the size of the protected system and the dynamics of robots
 191 (speed and accelerations) varies among growers, and type of robots, respectively, two constraints (Equations 3 and 4)
 192 were formulated and implemented to incorporate the dynamics with robot utilization. The constraint related to the
 193 minimum state of charge (SOC) (Equation 3) prompts the robot to go for a charge when the SOC is below a preset
 194 threshold. For example, in (Arad et al., 2020), the authors developed a first-of-its-kind commercial sweet pepper
 195 harvesting robot with varying durations to carry out sub-tasks such as platform movement, fruit and obstacle
 196 localizations, fruit harvesting, etc. Based on these, it is difficult to estimate at what point the robot would need to
 197 charge and efficiently plan its travel. Equation 4 (continuous discharge time instances (T_{dis})) constraints the robot to
 198 work for a minimum amount of time (T_{dis}) between two charging instances. In other words, once in a charging state,
 199 the robot continues to remain in a charging state until its SOC reaches a level that is enough to work for at least T_{dis}
 200 scheduling instances.

201 Between two charging instances, the minimum working time of a robot should be at least T_{dis} . The speed, acceleration,
 202 and task of the robot have a direct relationship with the discharge rate of the robot battery. Consequently, depending
 203 on the size of the protected system, the robot should continuously have sufficient power (SOC) to travel for events
 204 such as harvesting, spraying, charging, discharge of products, pesticide refilling, etc. Further, if the T_{dis} is not
 205 implemented, then a robot scheduled to be charged would return to work immediately after SOC reaches the preset
 206 minimum threshold (θ_{min}). However, the robot would be forced to go back to charging after a short period of work,

207 which would not be efficient especially in large commercial protected cultivation systems. Consequently, when
 208 charging, it should gain enough power to perform work for at least the set T_{dis} . This would help save time for the robot
 209 to travel a long distance to charge and return to carry out a negligible amount of work and expend another long time
 210 to travel back for a charge. The inbuilt navigation system of robots especially in protected cultivation systems helps
 211 the robot estimate the distance from its position to where it needs to travel for tasks or charge (Arad et al., 2020). In
 212 our previous study (Uyeh et al., 2019), we developed a layout system for rapid robot navigation in a protected
 213 cultivation system. This was because, unlike other situations where path planning could be implemented, the scenario
 214 in a protected cultivation system is complex since the location of the tasks the robots need to carry out is constantly
 215 unknown and the usage of the battery varies in tasks to be performed each day. This is because mobile robots in
 216 protected cultivation system are required to navigate down every aisle to perform a task, and it is difficult to predict
 217 at which point the robot will need to return to the start point, to offload or refill for transportation and spraying
 218 schedules, respectively or battery charges. For efficient navigation, a layout with access paths that would enable a
 219 reduction in the total travel time from any point to the base point would be required. The developed system in this
 220 study could ensure maximization of total work time in a protected cultivation system, and avert situations where robots
 221 are waiting to charge.

222 The modeling for SOC estimation for every scheduling interval with battery characteristics, (i) fixed rate of charge
 223 /discharge, and (ii) variable charge/discharge depending on efficiency of charge/discharge are given in Equations (5)
 224 and (6)

225 i) *Robots with Fixed charge and discharge rate:*

$$226 \quad SOC_n^t = SOC_n^{t-1} + (\theta_{charge} \times (1 - S_n^t)) - (\theta_{discharge} \times (S_n^t)) \quad (5)$$

227 ii) *Robots with variable charge and discharge rates:*

$$228 \quad SOC_n^t = SOC_n^{t-1} + \left(\frac{\eta_n^c \times P_n^{c,max} \times T_s}{B_n^{cap}} \times (1 - S_n^t) \right) - \left(\frac{P_n^{d,max} \times T_s}{B_n^{cap} \times \eta_n^d} \times (S_n^t) \right) \quad (6)$$

229
 230 Where: S_n^t is state of robot 'n' at time 't' and 't - 1' (0 = charging; 1 = working); SOC_n^t and SOC_n^{t-1} is the state of
 231 charge of robot 'n' at time 't' and 't-1'; B_n^{cap} is battery capacity of robot 'n'; η_n^c and η_n^d are the efficiencies of charge
 232 and discharge of robot 'n' battery which varies with temperature; $P_n^{c,max}$ and $P_n^{d,max}$ are the maximum allowable
 233 charge and discharge rates of robot 'n' battery and $T_s =$ is the sampling time of 0.25 (that is: 15 min = 25%)

234 The Battery parameters such as η_n^c and η_n^d , $p_n^{c,max}$ and $p_n^{d,max}$ are directly affected by the working time of the batteries.
235 These parameters depend on the type of battery and the environmental conditions where it is used. Therefore, in this
236 study, we considered the variation in efficiencies of charge and discharge of batteries.

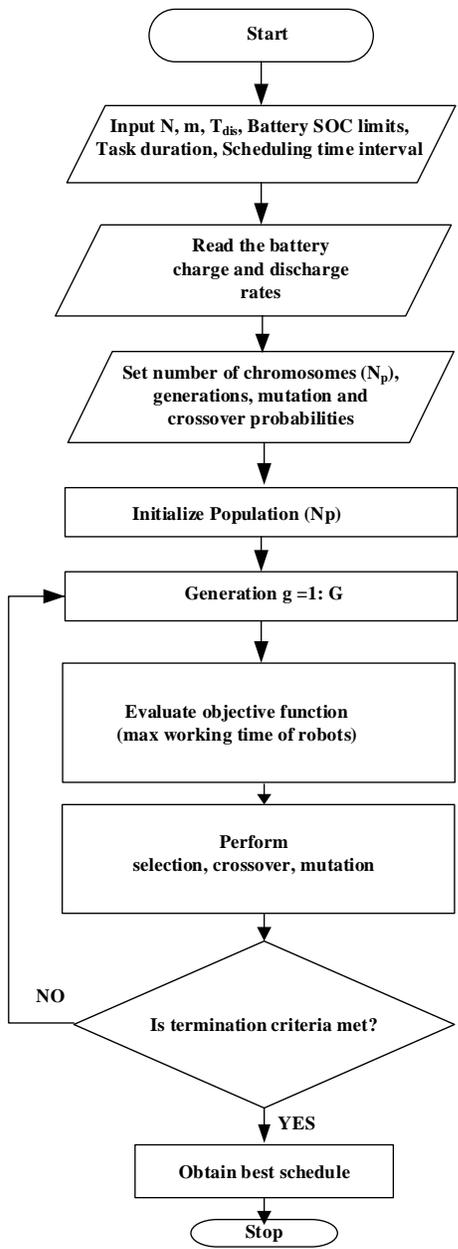
237 **2.1. Search algorithm**

238 Genetic Algorithm (GA) is a stochastic population-based optimization algorithm based on Darwin's theory of
239 evolution (Beasley et al., 1993, Mirjalili, 2019). In GA, a group of prospective solutions to the optimization problem
240 referred to as population, evolve over the iterations to converge to the optimal solution of the optimization problem
241 defined by an objective function (Equation 1) and a set of constraints (Equations 2 ~ 4). The population evolves by
242 producing new solutions, referred to as offspring population, by exploiting the information present in the population.
243 The offspring population is produced from the parent population through variation operators referred to as mutation
244 and crossover. Mutation produces a new solution by the perturbation of an existing solution. Crossover produces one
245 or two different individuals by combining the information present in two different solutions of the population
246 (Mallipeddi et al., 2011). Further, the solutions in the parent and offspring populations compete to enter the next
247 generation which is determined through the selection operator. The goal of the selection operator is to promote
248 solutions that better suit the environment defined by the objective and constraint functions of the optimization problem
249 to future generations. In other words, the population dynamics follow the basic rule of evolution "survival of the
250 fittest". The process of producing new solutions from the current population of solutions and enforcing selection
251 repetitively forces the population to converge to an optimal solution.

252 In summary, the major steps in GA are a) initialization of population; b) the individuals in the population evolve over
253 a given number of generations through operations such as mutation, crossover, and selection. The parameters of GA
254 are fine-tuned depending on the problem. Consequently, we coded and fine-tuned these parameters (initial population,
255 the maximum number of generations which is also a termination criterion, probability of mutation, and crossover rates)
256 and evaluated the populations (solutions) on the objective function in Equation 1 which was to maximize the working
257 time of the robots subjected to Equations 2 to 4. The process is repeated (iterations) until the stopping criteria are met
258 which is the maximum number of generations.

259 The flow chart of the GA used as search algorithm to solve the problem is given in Figure 2. Primarily, N_p
260 chromosomes are initialized. Each chromosome has D genes (dimensions) and are initialized randomly with '0' or '1'.
261 Until the termination criteria are met, each chromosome is evaluated on the objective function. Selection, crossover,

262 and mutation are performed during each iteration. The optimal solution obtained represents the best schedule for the
 263 robots, which also gives the individual operation times of robots for the given charge/discharge characteristics.
 264 The proposed scheduling problem was solved using the binary GA. Roulette Wheel based selection between single,
 265 double, and uniform crossover and binary mutation were used. The implementation was done in MATLAB 2019®
 266 (Mathworks, 2019), with 64-bit Windows 10, 3.4 GHz CPU and 24 GB RAM.
 267



268
 269
 270

Figure 2. Flowchart of the search genetic algorithm

- 271 The parameters of the optimization algorithm were set as:
- 272 a. Population size (NP): 500
 - 273 b. Maximum number of generations (termination criteria): 500
 - 274 c. Probability of crossover (Pc): 1.0 and
 - 275 d. Mutation and Crossover rates: 0.8 and 0.3, respectively.

276

277 **3. Experimental design and simulations**

278 Simulations were performed to demonstrate the applicability of the proposed method to schedule robots in a protected
279 cultivation system. Two Cases of robot scheduling (Cases 1 and 2) were considered. These Cases were designed to
280 investigate when all the robots start a workday with a 100% level of battery charge (Case 1) and random levels of
281 battery charge (Case 2). These two Cases were evaluated in four Scenarios (Scenarios 1, 2, 3, and 4) to investigate
282 different capacities of batteries.

283 **a. Battery characteristics**

284 Two different types of battery characteristics and variations with 1) 100% SOC, and 2) random levels of SOC. The
285 batteries considered in this study were classified based on their efficiencies (Battery-University, 2017, Eftekhari,
286 2017). Their efficiencies were as follows: efficiency of charge = 0.9, efficiency of discharge = 0.99 and efficiency of
287 charge = 0.8, efficiency of discharge = 0.6. Batteries with 100% SOC and random levels of SOC were selected to
288 investigate what would happen when a grower has a shorter workday and resources to fully charge the batteries and
289 when the workday is long and no time to fully charge the batteries before the start of another workday, respectively.

290 **b. Power requirement for charging batteries**

291 Scenarios for the given two cases of initial SOCs of robots.

- 292 i) Fixed rate of charge and discharge of 5% for each scheduling instance t . (i.e., for 15min)
- 293 ii) Variable rates of charge/discharge that depend on efficiencies of charge/discharge of robot batteries.
- 294 iii) Number of robots ($N = 5, 10$ or 15),
- 295 iv) Number of charging stations
- 296 v) Initial SOCs of batteries
- 297 vi) Instances for discharge (T_{dis} : 1 to 3).

298 For the two cases (Cases 1 and 2), the initial SOCs used in the experimental simulations are presented in Table 2. We
299 considered 6, 12 and 18 hours as total operation hours. However, any duration and number of robots could be entered

300 by the user for scheduling. The charge and discharge of robots were evaluated for every instance of scheduling with
 301 time frame of 15 minutes. Therefore, the total number of scheduling instances required were $T = 24, 48$ and 72 .

302 The power required for charging during the task for one scheduling instance can depend on the scenario and initial
 303 SOC of robots. The calculation of power for charging a single instance for each robot is given below.

304 For the 5 robots, the power needed to charge for one scheduling instance can be calculated as follow:

305 Scenario 1: for each scheduling instance (t) the power required to charge $\theta_{charge} = 5\%$ (fixed) of an 8-kW robot
 306 battery is, $P_{req} = 8 \text{ kW} \times 5/100 = 0.4 \text{ kW}$

307 For Scenarios 2, 3 & 4, the rate of charge was calculated using the part of the Equation (7) and (8).

$$308 \quad \text{i.e., } \theta_{charge} = \frac{\eta_n^c \times p_n^{c,max} \times T_s}{B_n^{cap}} \quad (7)$$

$$309 \quad \eta_n^c = \begin{cases} 0.9, & \text{for Scenario 2 and 3} \\ 0.8, & \text{for Scenario 4} \end{cases} \quad (8)$$

310 From the above settings $\theta_{charge} = 4.5\%$ for Scenario 2 and 3 and $\theta_{charge} = 4\%$ for Scenario 4. The power required
 311 to charge a robot's battery depends on the battery's capacity. In Scenario 2, the robot batteries with capacity of 8 kW
 312 are used. In Scenarios 3 and 4, robots with 8, 16, and 48 kW are used.

313 In Scenario 2, for each one scheduling instance (t), the power required to charge, $\theta_{charge} = 4.5\%$ of an 8-kW robot
 314 battery was $P_{req} = 0.36 \text{ kW}$.

315 In Scenario 3, for each one scheduling instance (t) the power required to charge θ_{charge} , 4.5% of 8-, 16-, and 48-
 316 kW robot batteries (P_{req}) were 0.36 kW, 0.72 kW, and 2.16 kW, respectively

317 In Scenario 4, for each one scheduling instance (t) the power required (P_{req}) to charge θ_{charge} , 4 % of 8-, 16-, and
 318 48-kW robot batteries were 0.32 kW, 0.64 kW, and 1.92 kW, respectively. The power required for scheduling at
 319 different scenarios are given in Table 1.

320

321 Table 1. Power required to charge robot batteries with different characteristics for one scheduling instance

Robot N	Scenario 1 $\theta_{charge} = 5\%$		Scenario 2 $\theta_{charge} = 4.5\%$		Scenario 3 $\theta_{charge} = 4.5\%$		Scenario 4 $\theta_{charge} = 4\%$	
	B_n^{cap} (kW)	P_{req} (kW)	B_n^{cap} (kW)	P_{req} (kW)	B_n^{cap} (kW)	P_{req} (kW)	B_n^{cap} (kW)	P_{req} (kW)
1	8	0.4	8	0.36	8	0.36	8	0.32
2	8	0.4	8	0.36	8	0.36	8	0.32
3	8	0.4	8	0.36	16	0.72	16	0.64
4	8	0.4	8	0.36	16	0.72	16	0.64
5	8	0.4	8	0.36	48	2.16	48	1.92

322

323 **c. Scenarios to evaluate battery capacities**

324 The efficiency of the proposed algorithm was shown with the following scenarios for the two cases of initial SOC of
325 robots.

326 Scenario #1: Robots with fixed rates of charge and discharge = 5%

327 Scenario #2: Robots with same capacities (8 kW) (efficiency of charge = 0.9, efficiency of discharge = 0.99)

328 Scenario #3: Robots with different capacities (efficiency of charge = 0.9, efficiency of discharge = 0.99)

329 Scenario #4: Robots with different capacities (efficiency of charge = 0.8, efficiency of discharge = 0.6)

330 The scenarios included different battery capacities, charge, and discharge efficiencies.

331 In Scenario 1, the robot will charge and discharge 5% of its battery if it is charging or working for a duration of 15-
332 minutes (one scheduling interval). The state of operation was represented with '0' and '1' for charging and working,
333 respectively.

334 In Scenarios 2, 3, and 4, as described by equation (7), we considered variable charge and discharge patterns that were
335 dependent on the efficiency of charge and discharge, maximum allowable charge, and discharge (η_{cn} η_{dn} p_c , max_n)
336 of robot batteries.

337 In Scenario 2, we assumed the robots had an equal battery capacity of 8 kW each.

338 In Scenarios 3 & 4, we performed the simulations with variable standard battery capacities (Yao et al., 2017).

339 The battery percentage increase for every 15 minutes (single instance) is 5%. However, we considered scenarios where
340 the battery starts aging or the batteries of other robots do not have similar efficiencies resulting in less charge
341 percentages such as 4.5% and 4% for a single instance.

342 The selection of the capacities and initial SOC considered for 5, 10 and 15 robots in this study are shown in Table 2.

343

344 Table 2. Experimental design using two different initial states of charge of robots and random battery capacities

Robots No.	Battery capacities (kW)			Initial state of charge (%)					
				Case 1			Case 2		
	5 robots	10 robots	15 robots	5 robots	10 robots	15 robots	5 robots	10 robots	15 robots
1	8	8	8	100	100	100	100	100	100
2	8	8	8	100	100	100	75	90	85
3	16	16	16	100	100	100	50	80	80
4	16	16	16	100	100	100	25	70	75
5	48	17	17	100	100	100	5	60	70
6		17	17		100	100		50	65
7		18	18		100	100		40	60
8		18	18		100	100		30	55
9		30	20		100	100		20	50
10		48	25		100	100		10	45
11			30			100			40
12			35			100			35
13			40			100			30
14			45			100			25
15			48			100			20

345
 346 To demonstrate improvement of our proposed method for scheduling the robots, we performed simulations using a
 347 base line algorithm where the robots charge and discharge pattern was well-known. The robot works until the battery
 348 discharges completely and sent for full charge (i.e., 100% SOC).

349
 350 **4. Simulation results**

351 This study considered two Cases of robot scheduling (Cases 1 and 2). These Cases were designed to investigate when
 352 all the robots start a workday with 100% level of battery charge (Case 1) and random levels of battery charge (Case
 353 2). Furthermore, four Scenarios (Scenarios 1, 2, 3, and 4) were considered to investigate different capacities of
 354 batteries.

355 **4.1. Scheduling of robots in protected cultivation system**

356 **a. Scheduling of robots in protected cultivation system with baseline algorithm**

357 In a commercial protected cultivation system, the work time of the robots would not be optimal because of the charge
 358 needs of the robots. Additionally, a greater number of robots may need charging at the same time and consequently,
 359 the variable cost of the protected system will increase from power initialization and increase in the cost of installing
 360 the required number of charging stations. A baseline scheduling system is described in Figure 3.

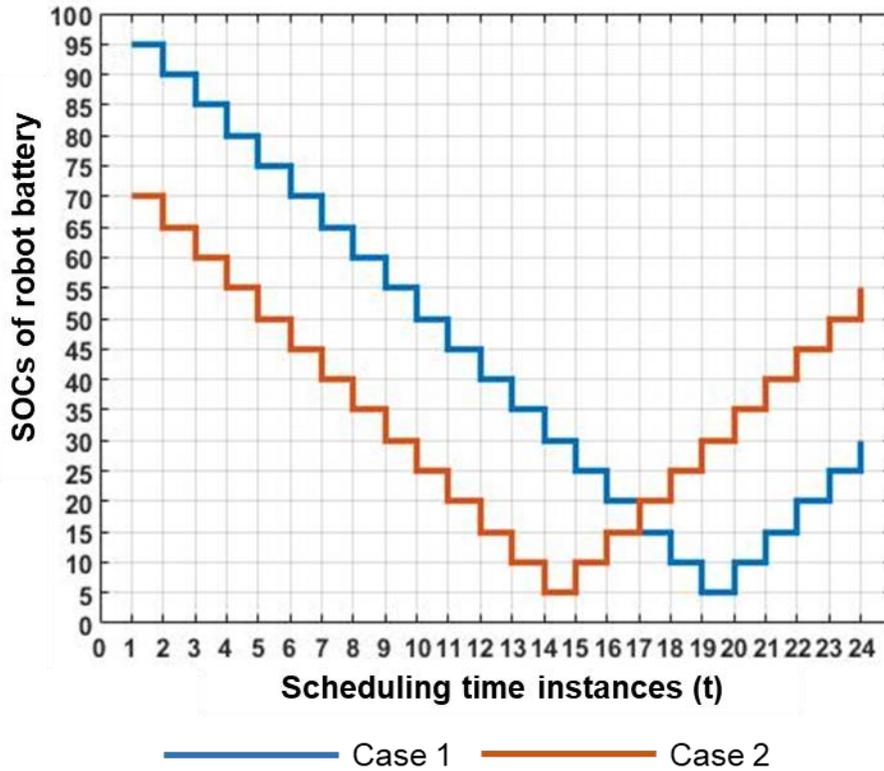


Figure 3. Robot discharge and charge curves using a baseline algorithm

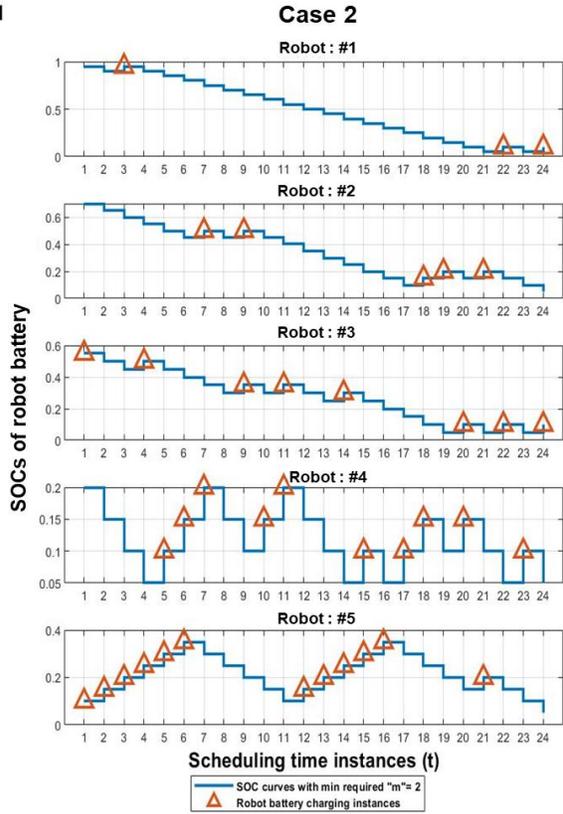
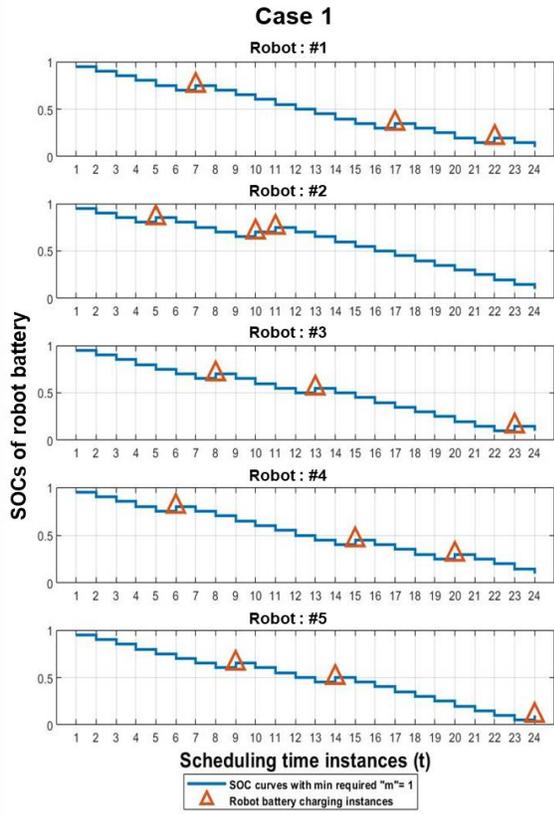
361
 362
 363
 364 Other drawbacks of a conventional scheduling using a baseline algorithm is a disruption in operation. For example,
 365 the harvesting task requires both the harvesting and transportation robots to be simultaneously working. Without an
 366 efficient scheduling system, it is most likely for at least one of the robots to run out of charge. An example can be seen
 367 considering a scenario of fixed charge and discharge rate of 5% (Scenario 1) for five robots with different initial charge
 368 levels (Case 1 and Case 2). In this case, robots 1 to 5 have initial charges of 100% in Case 1, whereas 100, 75, 50, 25,
 369 5 for Case 2. For Case 1, each robot works for the first 19 instances and the total working scheduling instances of all
 370 the robots are 95. After that, all the robots will undergo charging as their battery SOC would be less than the minimum
 371 allowable limit. Thus, the robots will require battery charge at the same time where the number of stations equals that
 372 of the robots or one at a time. This will lead to high power requirement and or delay in finishing a given task.

373
 374 **b. Scheduling of robots in protected cultivation system with single instance for battery discharge ($T_{dis}=1$)**

375 The battery discharge and charge curves are presented in Figure 4a, b, c, and d for Scenarios 1, 2, 3 and 4, respectively
 376 for 5 robots. In Scenario 1 where robots had fixed rates of charge and discharge of 5%, there were more robots
 377 continuously working at Case 1 compared to Case 2 showing the positive impact of initial full charge. A similar trend

378 was observed in Scenarios 2, 3 and 4 despite differences in their battery capacities and efficiencies. A further analysis
379 of the optimal number of charging stations required for the different cases and scenarios are shown in Table 3. We
380 observed here that the scenarios did not have considerable impact on the number of charging stations required
381 compared to cases and number of robots. Despite the scenarios differing significantly, the number of stations were the
382 same for five robots in Scenario 1, 2 and 3 and increased by an extra charging station in Scenario 4. However, as the
383 number of robots increased to 10, the optimal number of charging stations remained the same in Scenario 1, 2 and 3
384 but drastically increased by 150% to 5 in Scenario 4 (Table 3). With a further increase in number of robots, all
385 scenarios in Case 1 recorded different increases in the number of charging stations. In Case 2, a similar trend in the
386 optimal number of charging stations required at the different scenarios was observed. Scenarios 1, 2 and 3 had similar
387 numbers of optimal charging stations compared to Scenario 4 (Table 3) for 5, 10, and 15 robots with only a charging
388 station increased at 5 robots and 50% at 10 robots which was much lower compared to Case 1. This could be because
389 Scenarios 1, 2, and 3 had a higher charge capacity of 4.5% and above compared to Scenario 4 with 4%. Further,
390 Scenario 4 here showed 80% increase in the required optimal number of charging stations from Scenarios 1 to 3. Our
391 analyses showed that the efficiency of charge and discharge of the batteries contributed significantly to the optimal
392 number of charging stations required in optimally scheduling at single instance of battery discharge ($T_{dis}=1$).

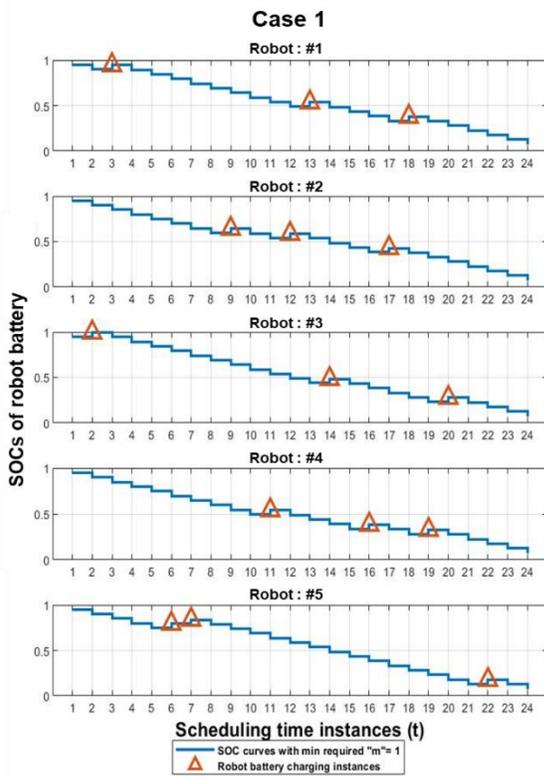
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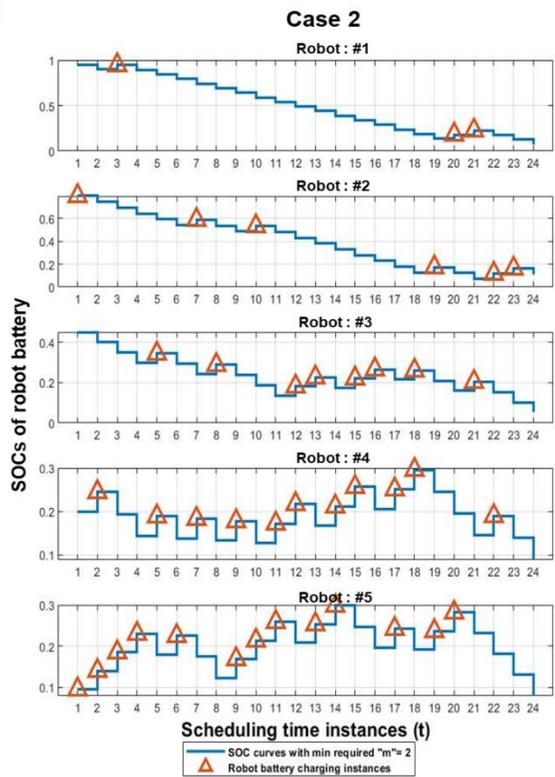
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(a)



Scenario 2

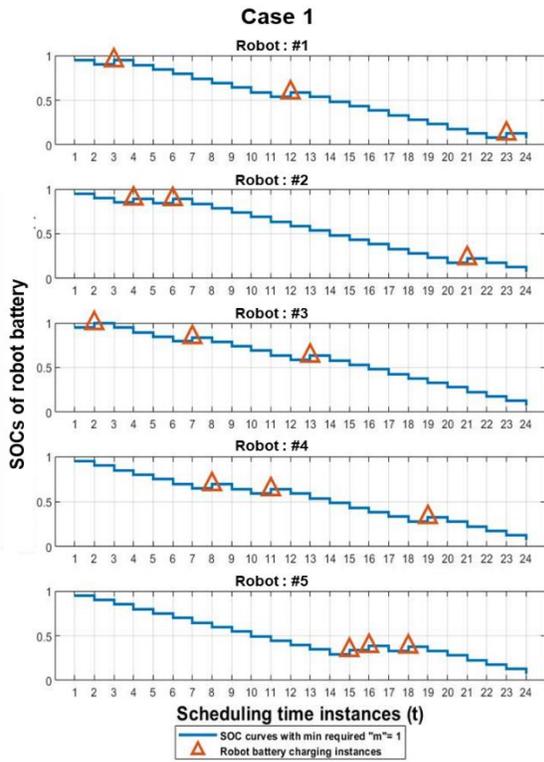


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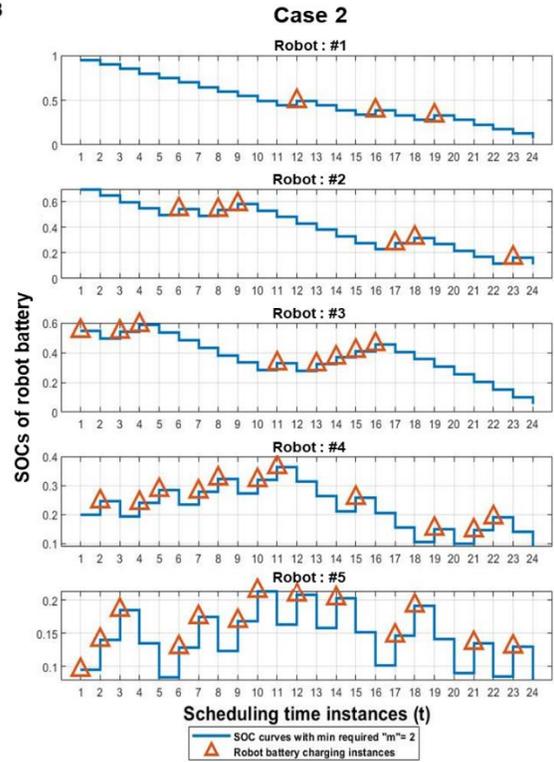
397

(b)

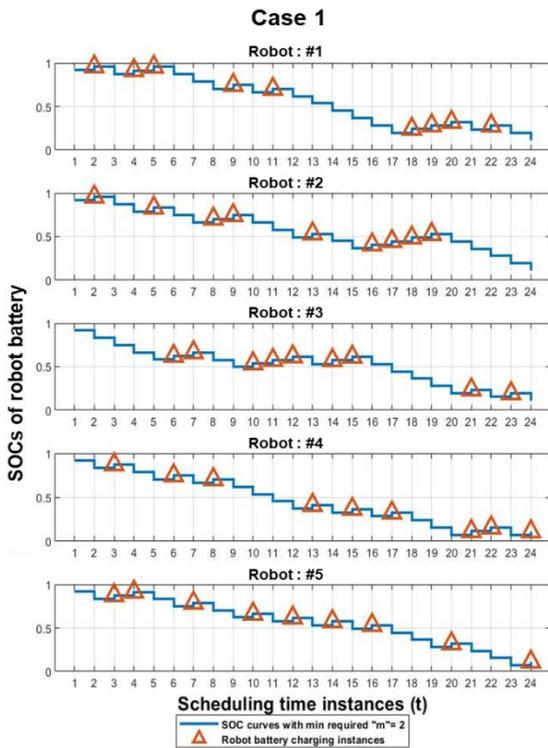
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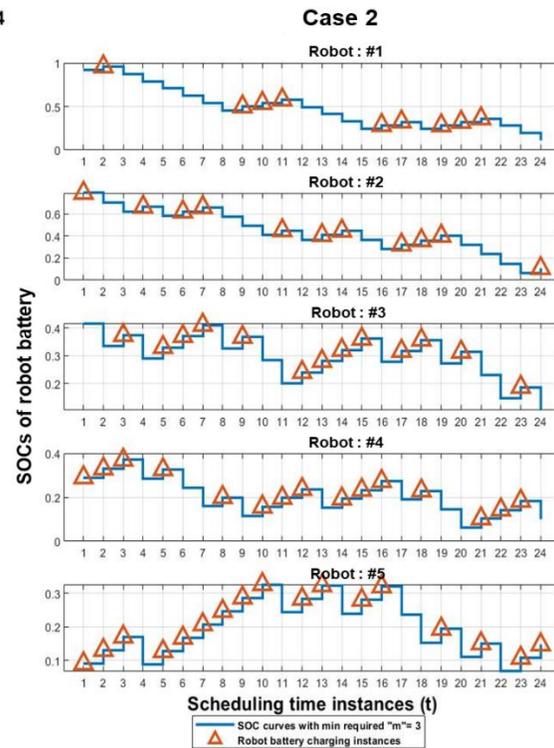
Scenario 3



(c)



Scenario 4



(d)

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402
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404
405

Figure 4. Battery SOC curves and robot charges (Δ) for individual robots with required optimal (minimum) number of charging stations (m) for Cases 1 and 2 at $T_{dis}=1$; Scenario 1 (a); Scenario 2 (b); Scenario 3 (c) and Scenario 4 (d)

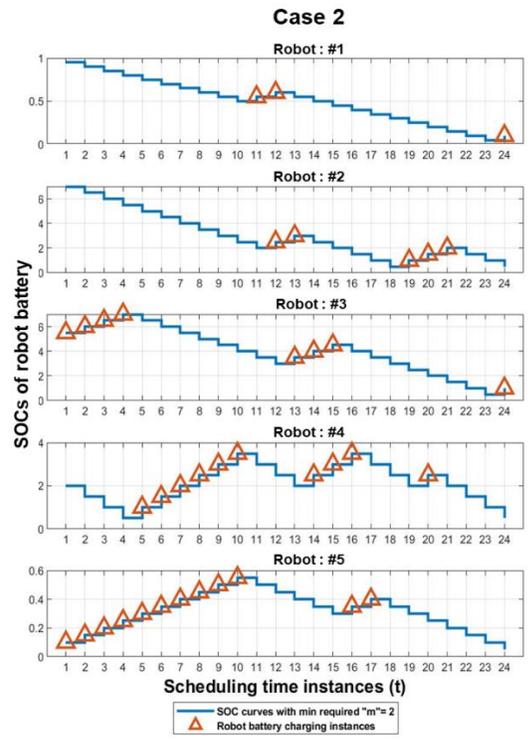
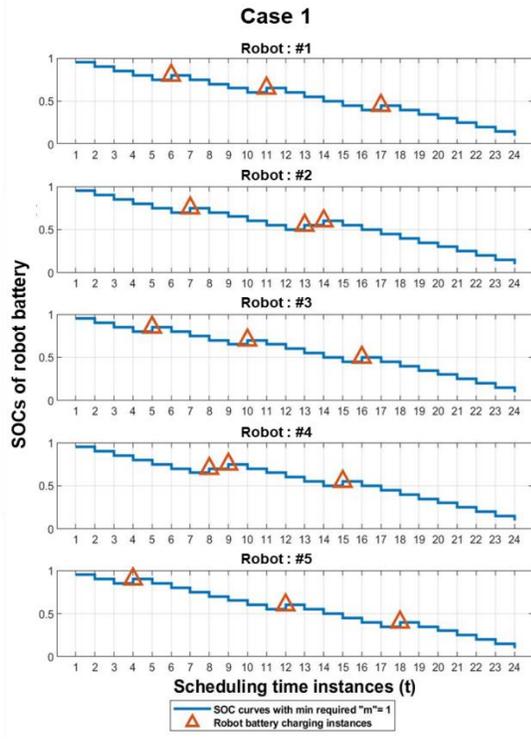
406 Table 3. Optimal number of charging stations required for scheduling 6 hours (24 Instances) task

Optimal (minimum) number of charging stations required when $T_{dis}=1$									
Initial SOCs	Scenario 1			Scenario 2 & Scenario 3			Scenario 4		
	5 robots	10 robots	15 robots	5 robots	10 robots	15 robots	5 robots	10 robots	15 robots
Case 1	1	2	3	1	2	4	2	5	7, (>6)
Case 2	2	4	5	2	4	5	3	6	9, (>6)

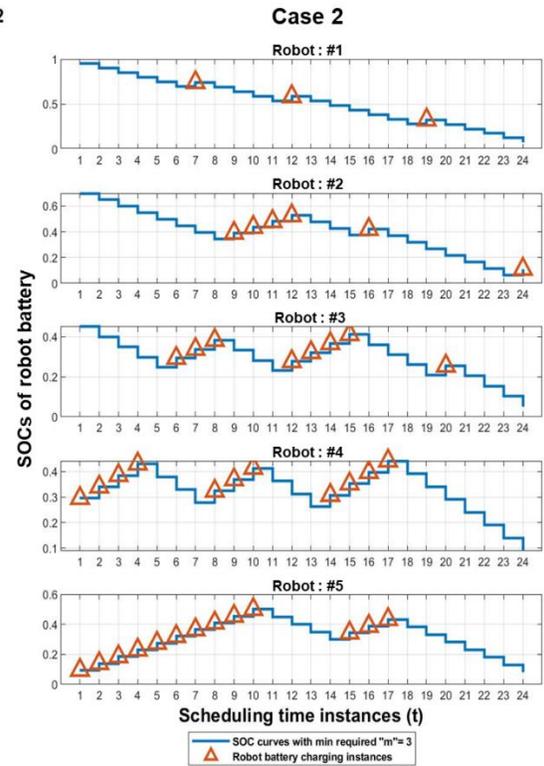
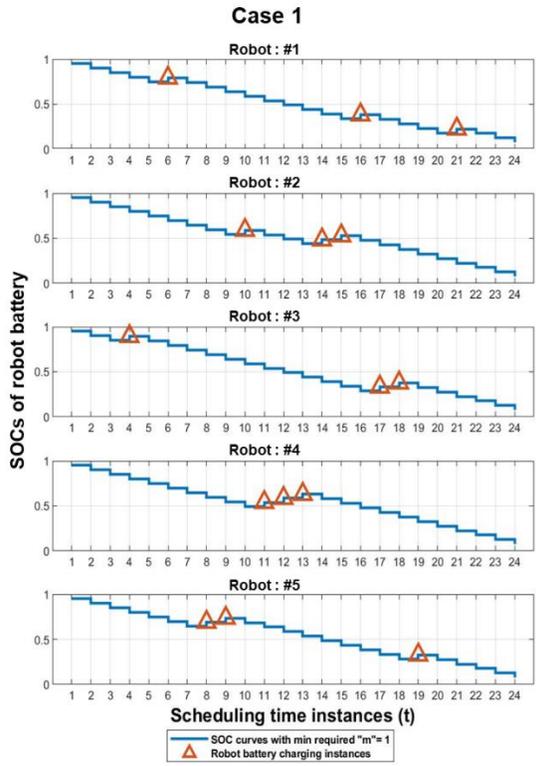
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408 **c. Scheduling of robots in protected cultivation system with $T_{dis} > 2$**

409 Individual working states of robots, battery SOC and robot charge and discharge curves are presented in Figure 5 for
 410 scheduling at three instances of discharge ($T_{dis}=3$) for 5 robots. We discussed the results of only three continuous
 411 instances of discharge here and presented a complete analysis in the subsection below. Although there was a different
 412 trend in the optimal number of charging stations between single instance of battery continuous discharge ($T_{dis}=1$) and
 413 three instances of battery continuous discharge ($T_{dis}=3$), we observed a similar trend of the impact of the initial battery
 414 SOC on the working state of the robots. In Scenario 1 where the robots had fixed rates of charge and discharge of 5%,
 415 there were also more continuously working of robots in Case 1 compared to Case 2 (Figure 5). A similar trend was
 416 observed in the other scenarios even with disparity in their battery capacities and efficiencies. However, as mentioned
 417 above, the number of instances affected the optimal number of charging stations (Table 4). In scheduling with three
 418 continuous instances of battery discharge before charge, a distinct pattern was recorded for the optimal number of
 419 charging stations in all scenarios. This is presented in Table 4. The first three scenarios in this instance of battery
 420 continuous discharge at Case 1 recorded similar optimal number of charging stations at 5 robots just like in the
 421 scheduling of the single instance of continuous discharge ($T_{dis} = 1$). A similar optimal number of charging stations
 422 required in 10 robots for Scenarios 1 and 2 and a reduction by one in Scenario 3 and 100% increase from Scenarios 1
 423 and 2 to Scenario 4 were observed. A further 200% increase in the number of optimal charging stations from Scenario
 424 3 to 4 were recorded. The scenarios also differed in the optimal number of charging stations for 15 robots with a 100%
 425 increase from the least number of charging stations (Scenarios 2 and 3) to the highest (Scenario 4). In Case 2, there
 426 were some similarities in between the two cases but a high number of optimal stations required at 15 robots for
 427 Scenario 4. In this instance of battery discharge ($T_{dis}= 3$), it was difficult to conclude on what exactly affected the
 428 optimal number of charging stations, suggesting that when the complexity of constraints increases, predictions will be
 429 challenging without enough scheduling simulations.



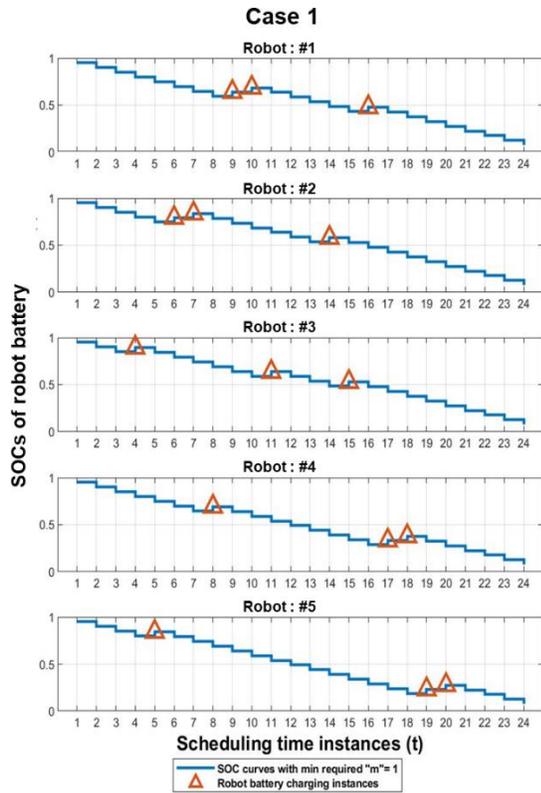
(a)



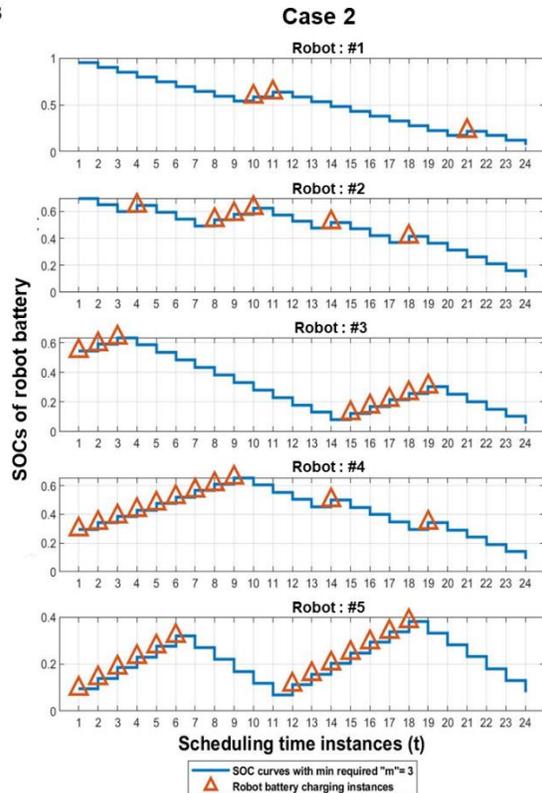
(b)

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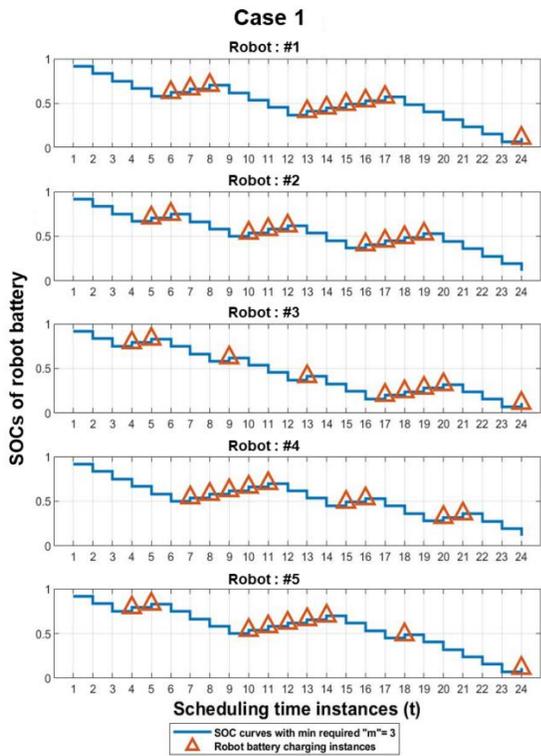
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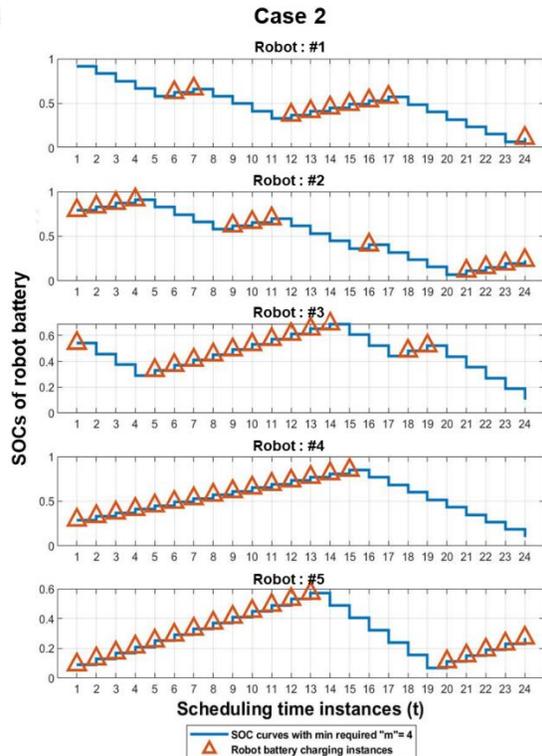
Scenario 3



(c)



Scenario 4



(d)

Figure 5. Battery SOC curves and robot charges (Δ) for individual robot with required optimal (minimum) number of charging stations (m) for Cases 1 and 2 at $T_{dis}=3$; Scenario 1 (a); Scenario 2 (b); Scenario 3 (c) and Scenario 4 (d)

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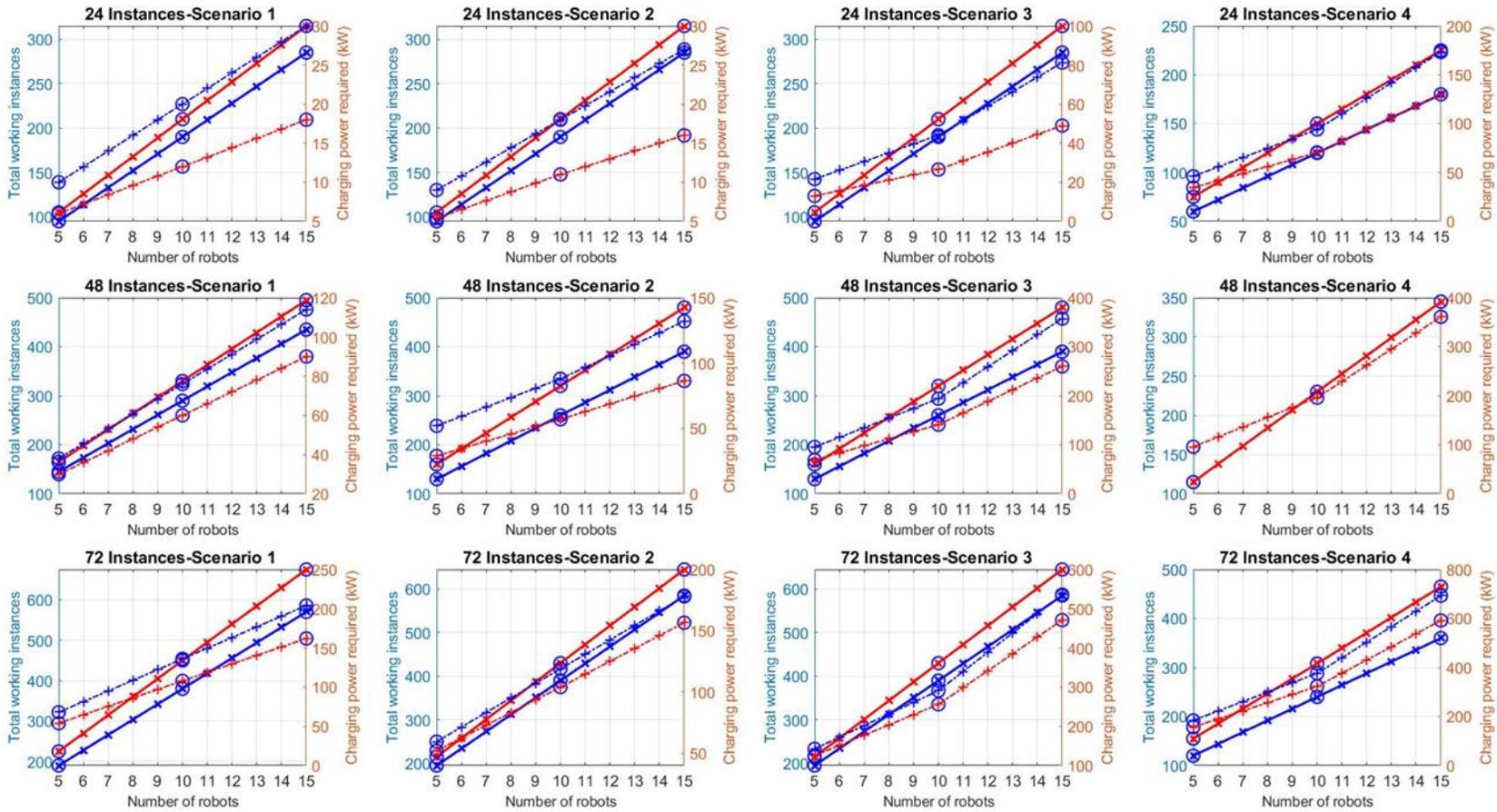
441 Table 4. Optimal number of charging stations required for scheduling 6 hours task (24 Instances) and $T_{dis} = 3$

Optimal (Minimum) number of charging stations required when $T_{dis} = 3$												
Initial SOCs	Scenario 1			Scenario 2			Scenario 3			Scenario 4		
	N=5	N=10	N=15									
Case 1	1	3	5	1	3	4	1	2	4	3	6	8
Case 2	2	5	7	3	5	8	3	6	8	4	7	Infeasible For <10

442 **4.2.1. Total robot working time, number of robots and required charging power for Case 1**

443 In evaluating the total working time, required charging power and number of robots between baseline algorithm and
444 our proposed method for Case 1 in a single instance of battery discharge, various observations were made. This further
445 necessitates scheduling in protected cultivation system. In all instances of scheduling (24, 48 and 72 Instances) our
446 proposed method provided better solutions. In 24 Instances, scheduling instances of single instance of battery
447 continuous discharge ($T_{dis}=1$) (Figure 6a), about 15% increase was recorded in the total working instances at 15 robots
448 and about 11% and 2% at 10 and 5 robots, respectively. A similar trend was seen at all cases indicating that as the
449 number of robots increases, especially in commercial protected cultivation systems, the worktime of the robot would
450 be drastically increased. This trend was seen at all the scheduling of scenarios and instances in Case 1, single instance
451 of battery continuous discharge ($T_{dis}=1$) with as much as about 66% increase recorded at 72 Instance-Scenario 4.
452 In the power required to charge the batteries, 40% decrease was recorded between the proposed method and baseline
453 algorithm at 15 robots, 43% and 64% for 10 and 5 robots, respectively. Significant decrease in the power required to
454 charge the batteries were observed in all the instances and scenarios in scheduling at Case 1, single instance of battery
455 continuous discharge ($T_{dis}=1$). This will save costs for initialization and installation of a bigger transformer.
456 In two and three instance scheduling of battery continuous discharge ($T_{dis} = 2$ and 3) (Figure 6b and c), there was no
457 significant improvement in total working time and charging power required in some scenarios like 48 Instances-
458 scenario for both two and three instances of battery continuous discharge ($T_{dis} = 2$ and 3), and 24 Instances-Scenario
459 2 for two instances of battery continuous discharge ($T_{dis} = 2$). However, there was recorded improvement in all other
460 scenarios with drastic reduction in the power required to charge the batteries at 15 robots of 24 Instances-Scenario 1
461 in two instance of battery continuous discharge ($T_{dis} = 2$) where about 43% reduction was obtained. A similar
462 percentage reduction was also recorded in single and three instances of battery continuous discharge ($T_{dis} = 1$ and 3)
463 in this case. Here, we learnt that all factors which include battery SOC, battery efficiencies, worktime and instances
464 of battery discharge have impact on the percentage improvements that would be recorded for increasing worktime and
465 that for reducing required charge power.

Case = 1 ($T_{dis} = 1$)



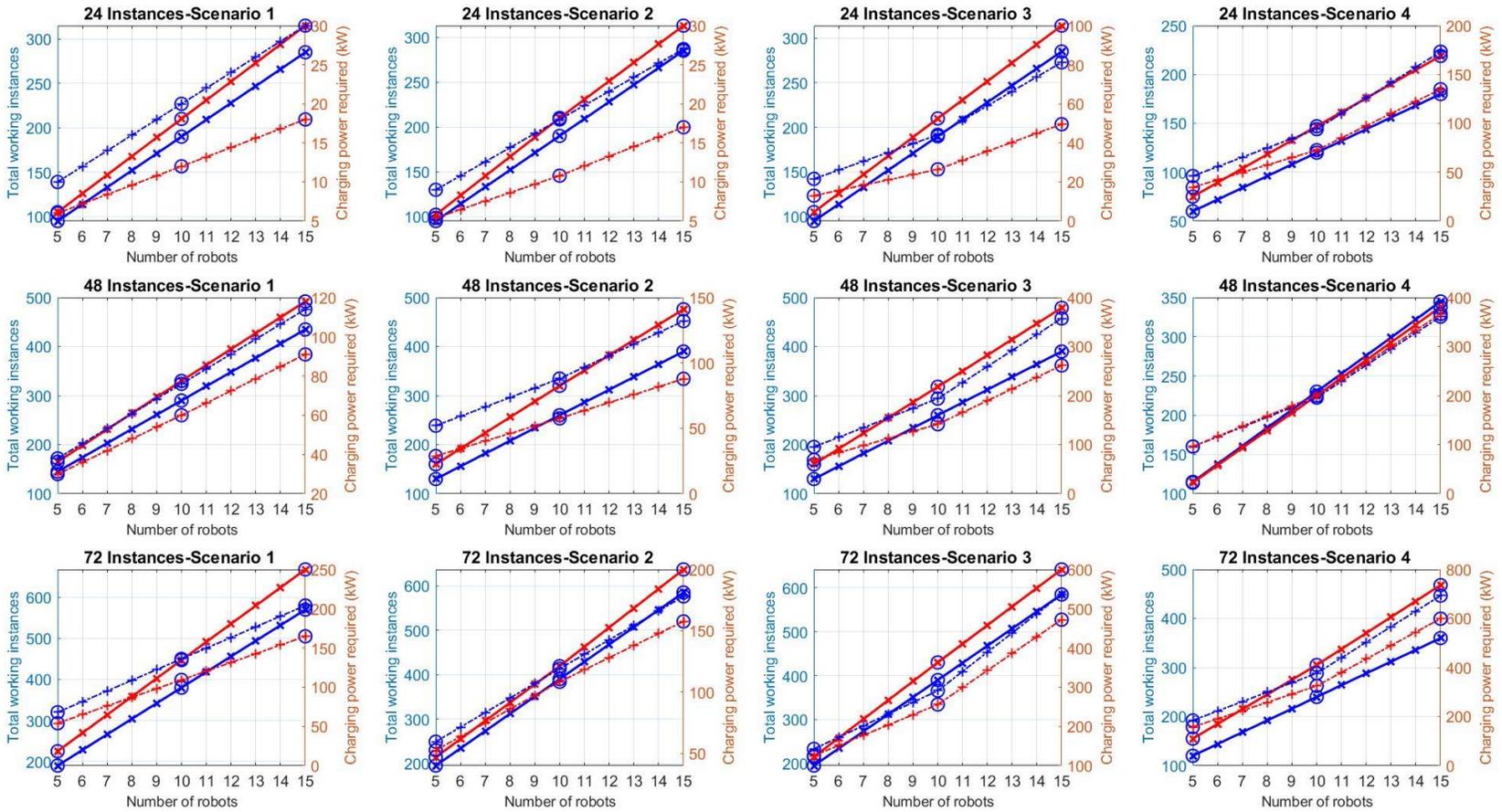
Total working instance — Baseline — Proposed
 Power required for charging — Baseline — Proposed

(a)

466

467
468

Case = 1 ($T_{dis} = 2$)



Total working instance — Baseline — Proposed
 Power required for charging — Baseline — Proposed

(b)

469

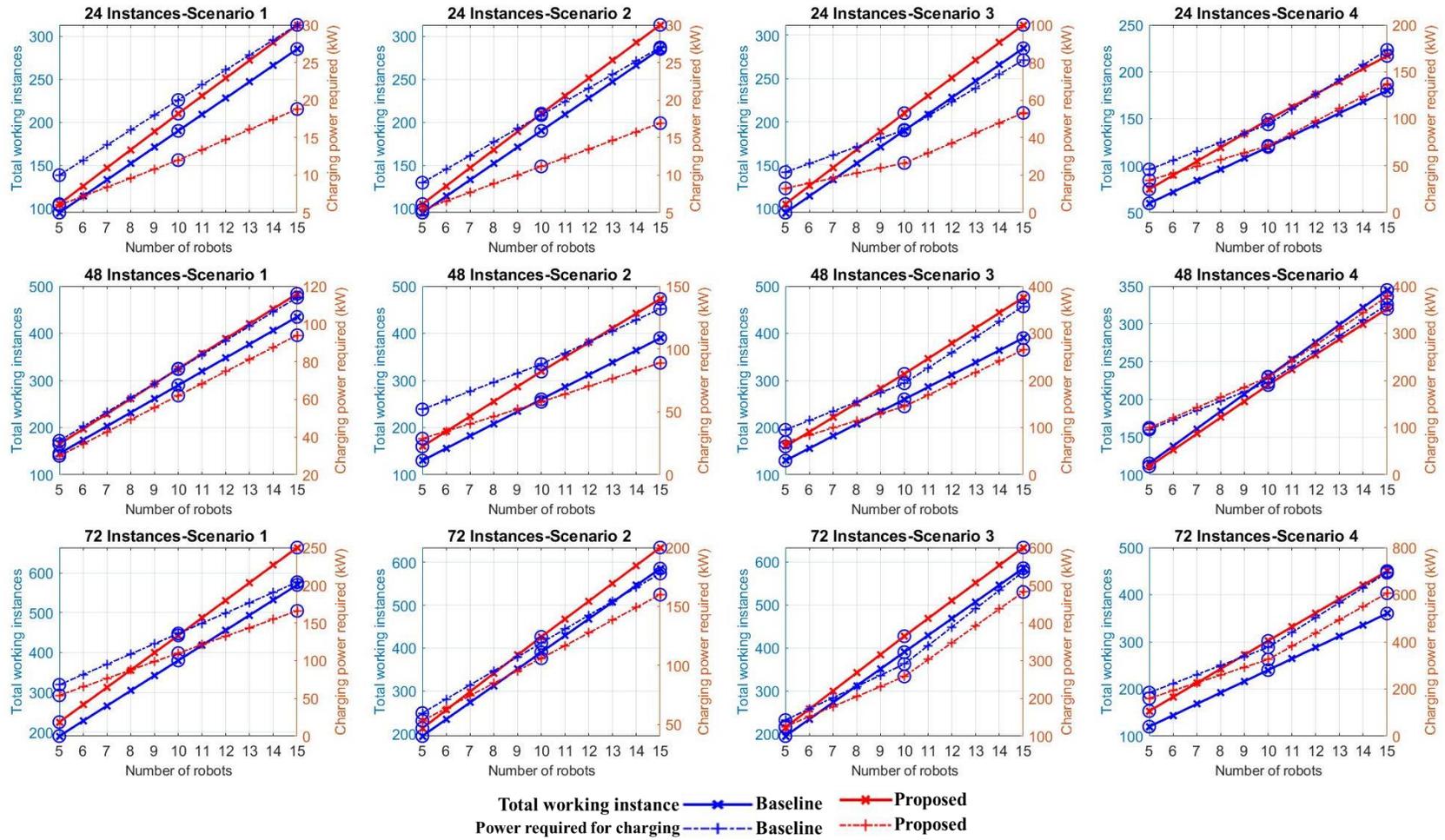
470

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472

473

Case = 1 ($T_{dis} = 3$)



(c)

Figure 6. Total working instances and power requirements for scheduling robots at various scenarios for baseline algorithm and proposed method for Case 1; $T_{dis} = 1$ (a); $T_{dis} = 2$ (b); and $T_{dis} = 3$ (c)

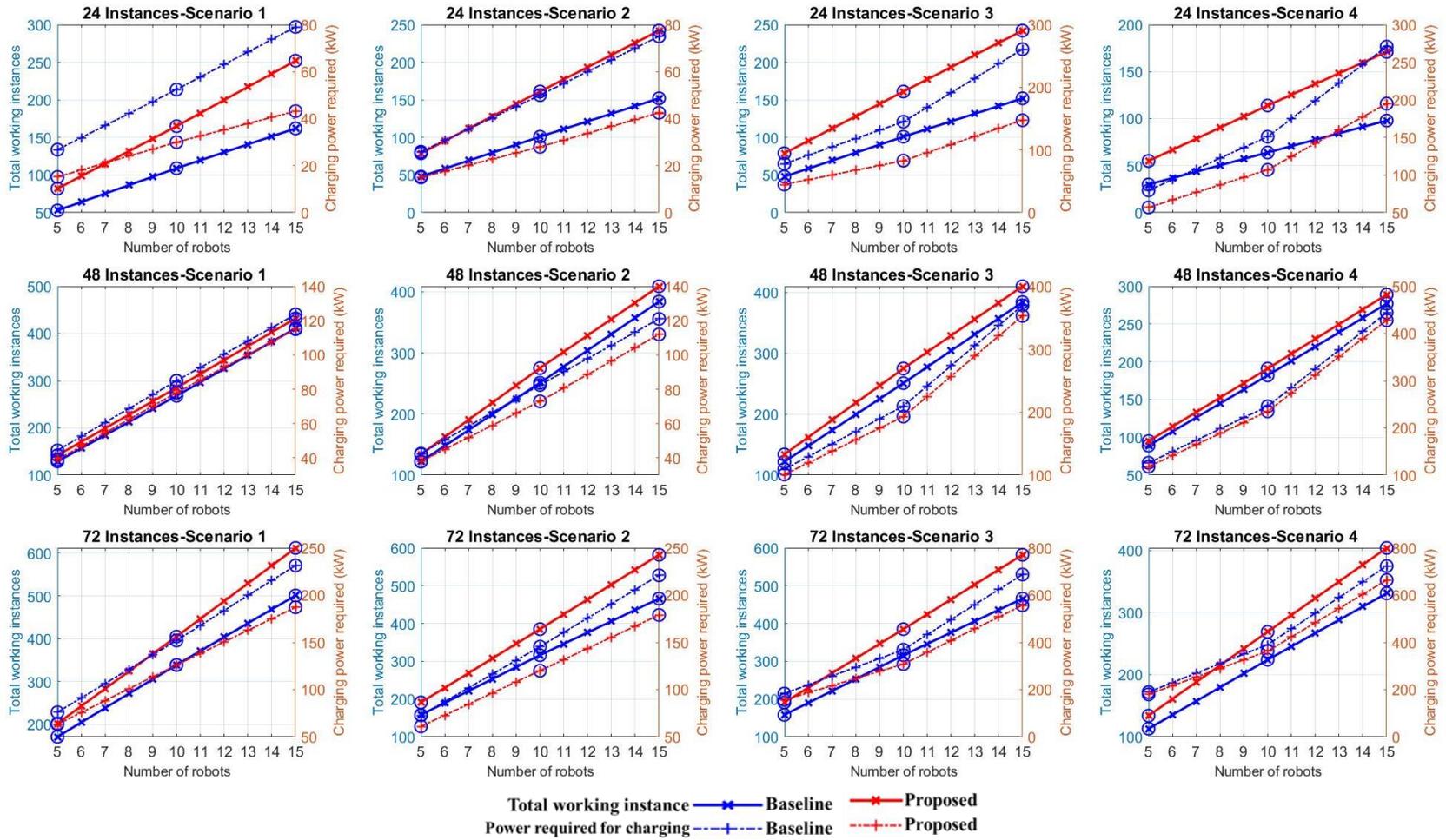
480 **4.2.2. Total robot working time, number of robots and required charging power for Case 2**

481

482 In Case 2, with the SOC of the robots varying, the improvements in total work time varied between instances, scenarios
483 and minimum continuous discharge instances (T_{dis}). Unlike Case 1, the scenarios with more improvement in total
484 working instances and reduction in power requirement differed. In this case, more worktime improvement was
485 recorded in 5 robots 24 Instances-Scenario 1, 2, 3 and 4 with an improvement of about 69%, 108%, 72% and 100%,
486 respectively (Figure 7a). A similar trend was observed regardless of the minimum continuous discharge scenarios (T_{dis}
487 = 2 and 3) (Figure 7b and c) at 24 Instances with other instances at 48 and 72 not showing such improvement. This
488 clearly shows that since the batteries in Case 2 had different SOC, a scheduling for a shorter work instance would
489 result in more improvements. Furthermore, even though there was no significant difference in the improvements in
490 the total working instances in the two and three continuous discharge scenarios ($T_{dis} = 2$ and 3), in both Case 1 and 2,
491 the more the continuous battery discharge scenario, the more benefit it will be in real life. This is because, in a practical
492 protected cultivation system as discussed earlier, the charging stations are usually situated outside because of the high
493 temperature and humidity content inside the protected cultivation facility. Consequently, higher minimum discharge
494 scenarios will benefit from the time saved in travelling to and from the charging station.

495 For the power required to charge the battery in this case, we also observed more reductions in required power to charge
496 the batteries at the 24 Instances in all the scenarios at all the minimum discharge scenarios ($T_{dis} = 1$ to 3). About 43%
497 power reduction was recorded in 24 Instances Scenarios 1 and 2. About 46% and 37% reduction in power required to
498 charge the batteries were recorded in Scenarios 3 and 4. These percentage reductions were obtained in the 15 robots
499 scheduling which also was the scheduling with the most significant reduction. A similar trend was recorded in the two
500 and three continuous discharge scenarios ($T_{dis} = 2$ and 3). All scenarios and instances showed the proposed method
501 outdid the baseline algorithm.

Case = 2 ($T_{dis} = 1$)



(a)

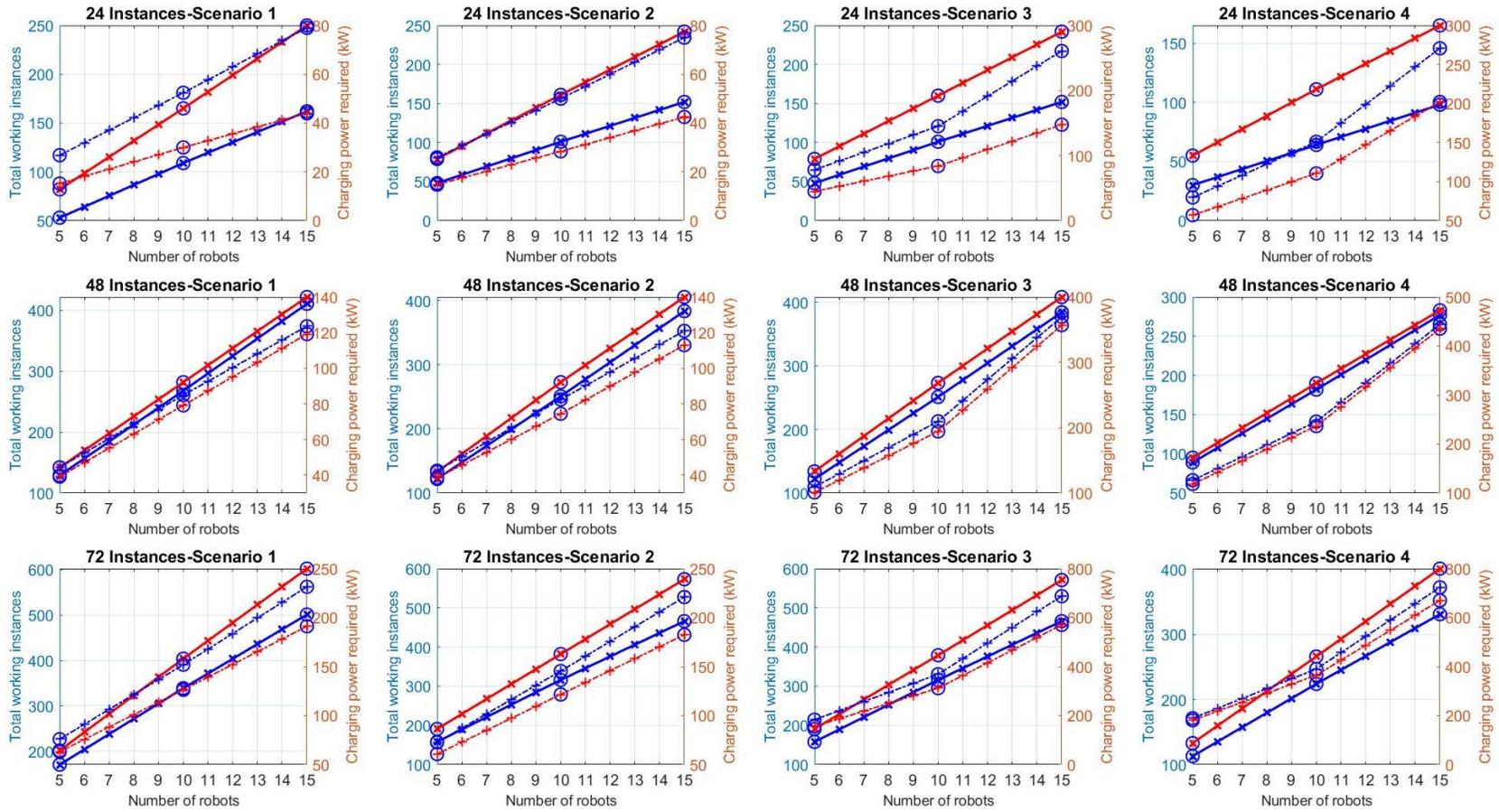
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Case = 2 ($T_{dis} = 2$)



Total working instance —+— Baseline —x— Proposed
Power required for charging - -+ - - Baseline - -x - - Proposed

(b)

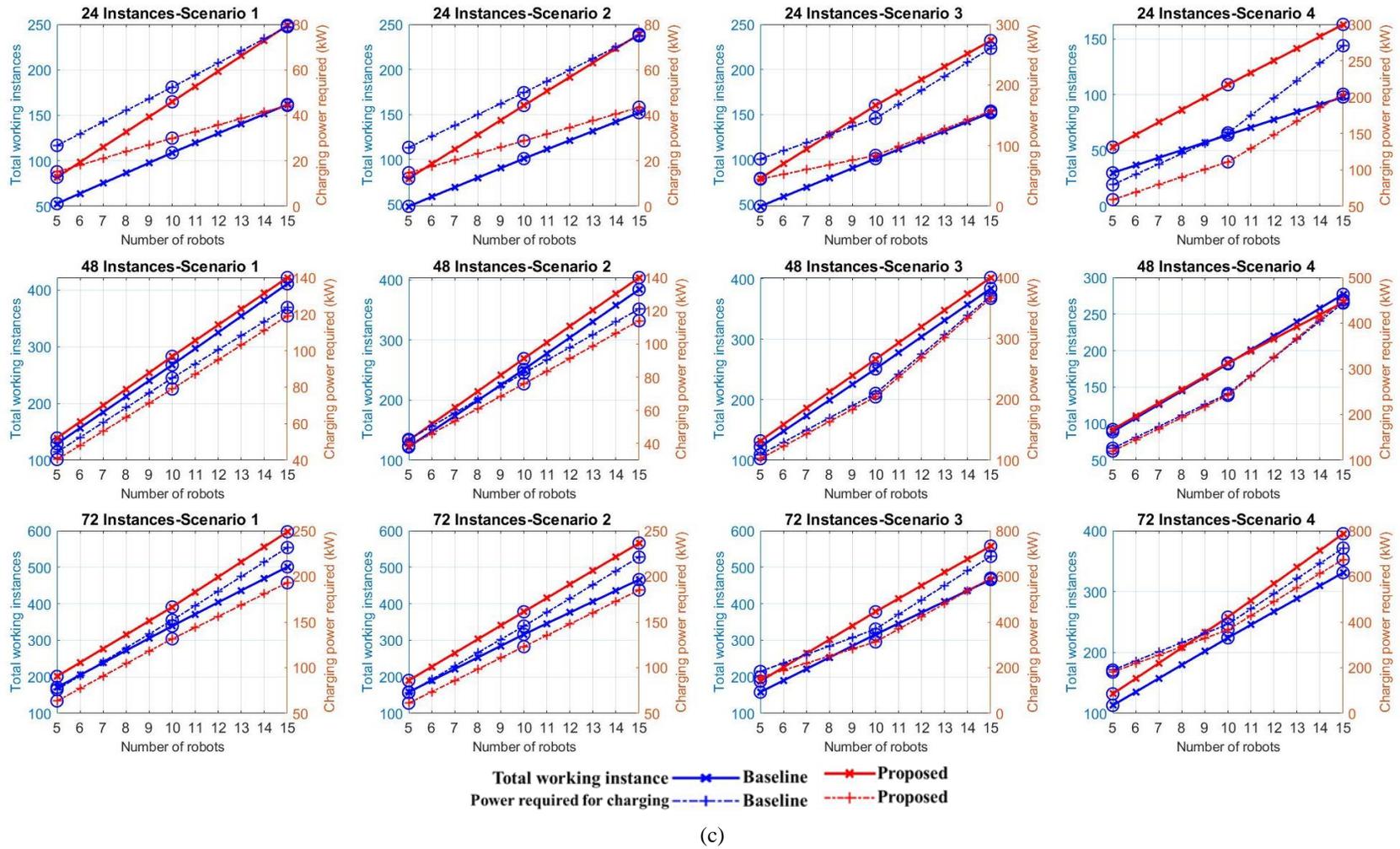
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Case = 2 ($T_{dis} = 3$)



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Figure 7. Total working instances and power requirements for scheduling robots at various scenarios for baseline algorithm and proposed method for Case 2; T_{dis} = 1 (a); $T_{dis} = 2$ (b); and $T_{dis} = 3$ (c)

516 **5. Conclusion**

517 We developed a system for optimal scheduling of robots in a protected cultivation system such as greenhouses to
518 maximize work time and support uninterrupted operation. We observed that the number of working hours of a robot
519 depended on its initial charge and had a direct impact on the optimal number of charging stations required. Also, the
520 speed of the robot and the size of the protected cultivation system had a direct relationship to the minimum SOC the
521 robot battery needs to have at every given time. Therefore, to account for that, we incorporate a constraint the imposes
522 the minimum SOC on the robot. Furthermore, the more continuous instances of discharge (T_{dis}) the robots need to
523 work before going to charge would benefit growers in saving time spent from frequent travels to and from the charging
524 station in large commercial protected systems when the charging location is located outside. However, in small
525 systems, the T_{dis} would not have a significant impact on extending the work hours and the robot should be allowed to
526 go to charge at any time. This is because the reduction in robot travel time for charges would no longer be a factor.
527 Overall, we recorded improvements in robot work time and reduction in charge power and stations required in the
528 proposed method as robot numbers increased compared to the conventional baseline algorithm.

529

530 **Acknowledgement**

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532 Rural Development Administration, Republic of Korea, under Project PJ013871-02.

533 **Author contributions**

534 DDU: Conceptualization, Methodology, Investigation, Formal analysis, Data Curation, Visualization, and Writing-
535 original draft. TP and RM: Methodology, Investigation, Software, Data Curation, Visualization, and Writing. TPark
536 and YH: Validation, Resources, Writing - review & editing, Supervision and Funding acquisition. SW, SL and JL:
537 Methodology, validation, Funding acquisition and Project administration.

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Author contributions

DDU: Conceptualization, Methodology, Investigation, Formal analysis, Data Curation, Visualization, and Writing-original draft. TP and RM: Methodology, Investigation, Software, Data Curation, Visualization, and Writing. TPark and YH: Validation, Resources, Writing - review & editing, Supervision and Funding acquisition. SW, SL and JL: Methodology, validation, Funding acquisition and Project administration.