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# Bidirectional Approximate Reasoning-Based Approach for Decision Support

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## Abstract

Fuzzy rule-based systems are widely applied for real-world decision support, such as policy formation, public 13 health analysis, medical diagnosis, and risk assessment. However, they face significant challenges when the 14 application problem at hand suffers from the "curse of dimensionality" or "sparse knowledge base". Combination 15 of hierarchical fuzzy rule models and fuzzy rule interpolation offers a potentially efficient and effective approach 16 to dealing with both of these issues simultaneously. In particular, backward fuzzy rule interpolation (B-FRI) 17 facilitates approximate reasoning to be performed given a sparse rule base where rules do not fully cover all 18 observations or the observations are not complete, missing antecedent values in certain available rules. This paper 19 presents a hierarchical bidirectional fuzzy reasoning mechanism by integrating hierarchical rule structures and 20 forward/backward rule interpolation. A computational method is proposed, building on the resulting hierarchical 21 bidirectional fuzzy interpolation to maintain consistency in sparse fuzzy rule bases. The proposed techniques are 22 utilised to address a range of decision support problems, successfully demonstrating their efficacy. 23

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#### **Index Terms**

Hierarchical systems, Fuzzy rule interpolation, Bidirectional interpolation, Rule base refinement, Decision
 support.

#### 27

#### I. INTRODUCTION

It is well known that uncertainty virtually exists in all complex situations which require intelligent 28 decision-making [25]. Fuzzy logic based approximate reasoning offers a practically applicable approach 29 to dealing with uncertainty and uncertainty management in decision support [7]. For instance, a fuzzy 30 logic based expert system has been developed to diagnose common diseases such as pneumonia and 31 jaundice, which is capable of returning an identified disease as output given observed symptoms [22]. 32 Also for medical decision support, there have been many hybrid systems which work by integrating 33 fuzzy logic and other computational intelligence mechanisms. These include: a fuzzy neural network 34 approach for treating the diabetes and heart diseases [13]; an adaptive neural fuzzy rough inference 35 system for facilitating diagnosis of tuberculosis disease [24]; and an interval-valued fuzzy rule-based 36 classification tool for performing diagnosis of cardiovascular diseases [23]. All such work collectively 37 demonstrates the success of fuzzy rule-based decision systems. 38

However, the "curse of dimensionality", namely the number of rules required to perform approximate 39 reasoning increasing exponentially along with the number of input features [21], causes a major challenge 40 for many automated decision-making systems, including fuzzy logic-based ones. This is because for a 41 fuzzy rule model containing K variables, with each variable partitioned into M fuzzy values, the order 42 of the number of the rules required in a conventional rule base is  $O(M^K)$ . The effort to address this 43 difficulty has led to the development of hierarchical fuzzy systems [20]. The number of rules in a typical 44 hierarchical fuzzy system as shown in Fig. 1 only increases linearly with an increasing number of input 45 variables, if a K-input hierarchical fuzzy system comprises K-1 low-dimensional fuzzy systems with 46 each sub-system taking just two inputs. In this case, given M fuzzy sets for each variable, the total 47 number of possible rules is  $(K-1)M^2$  which is a linear function of the number of the input variables. 48 Hierarchical fuzzy systems help reduce the modelling complexity, but they work by generally assuming 49 that there are dense fuzzy rule bases to cover most if not all of the problem space. Unfortunately, for 50 problems that typically involve decision-making in novel situations (e.g., diarrhoeal disease diagnosis 51 in newly built-up regions [30], organised crime investigation [3], and counter-terrorism surveillance 52 deployment [12]), there does not normally exist sufficient historical data to entail the generation of a dense 53 rule base covering the complete underlying problem domain. Indeed, a method for fuzzy rule interpolation 54 with self error-correction and adaptation mechanisms has been proposed to assist in decision-making 55 regarding the risk of regional diarrhoea development and spread [30]. This application will also serve as a 56 focus for comparison and discussion in this paper. As with this particular problem, there are many others 57 where only a "sparse rule base" is available, i.e., rules given in the rule base cover only small proportions 58



of the problem domain. This makes conventional rule-based reasoning unworkable for the uncovered 59 areas. Of course, the problem may significantly worsen when there are too many input variables or values 60 to consider [14]. Worse still is the situation in which the given observations are themselves incomplete, 61 that is, certain features required to support decision-making may not be directly observable. Such missing 62 antecedents in the decision rules may cause the breakdown of the inference procedure using classical 63 fuzzy rule interpolation (FRI) that is successful in addressing normal problem cases, where all input 64 features are assumed to be observable but there are no rules to match a certain observation. 65

The first attempt to address the aforementioned challenges facing the existing FRI work is the proposal 66 for backward fuzzy rule interpolation (B-FRI) [12]. It is of great potential to enable rule interpolation 67 to be implemented when certain rule antecedents are missing from the observation, through exploiting 68 those given antecedents and any relevant consequent derivable during the approximate reasoning process. 69 However, B-FRI does not explicitly tackle the problem where rules provided in the sparse rule base are 70 arranged hierarchically. This remarkably restricts its effectiveness in a variety of possible applications 71 where domain knowledge for decision-making is organised in a hierarchical manner. Use of hierarchical 72 rule bases is of intuitive appeal, as they mimic more closely human expertise in handling inferences that 73 involve many domain features. This research is therefore conducted to further develop the theoretical 74 framework of B-FRI, supporting approximate interpolative reasoning through a hierarchy of intertwined 75 fuzzy rules where each rule may contain multiple antecedent features that are not necessarily all observable. 76 The improved method is hereafter referred to as hierarchical bidirectional fuzzy rule interpolation 77

(HB-FRI). Following its original work as per B-FRI it is implemented by the use of the popular scale
and move transformation-based fuzzy interpolative reasoning (T-FRI) mechanism [10]. Nonetheless, the
underlying design principle appears to be sufficiently general. As such, the same idea may be applicable
to adapting alternative FRI techniques if preferred, though the proof of this conjuncture requires further
research.

Another important point is that the rule base in an intelligent decision-making system is often open. 83 That is, the user can modify, add and delete the rules in the knowledge base. Inconsistent rules and 84 redundant rules may be introduced in the process of such changes. This may increase the rule base 85 complexity while decreasing rule-firing and interpolation efficacy. Inconsistencies may also arise from 86 other aspects, such as incorrect selection of rules to fire or to interpolate with respect to inaccurate 87 observations [30]. For real-world decision-making applications, where rules are not always involve the 88 same antecedent features, such situations may deteriorate. To aid in handling this type of problem, a 89 further contribution of this paper is to introduce a novel rule base refinement mechanism that allows for 90 the removal of inconsistent rules in the rule base utilised by HB-FRI. This method works irrespective of 91 whether any rule antecedent features are missing. 92

The remainder of this paper is structured as follows. Section II presents the framework of HB-FRI. 93 For academic completeness, Section II-A first briefly introduces a method for data-driven derivation 94 of hierarchical fuzzy rule bases that is used to form the basis upon which to represent the domain 95 knowledge in a given application. Section II-B gives an overview of scale and move transformation 96 based FRI and B-FRI, as the foundation to implement HB-FRI. Section III describes the algorithm 97 that resolves inconsistencies in a fuzzy rule base via exploiting hierarchical FRI. Section IV reports on 98 a range of experimental investigations and discusses the results. In particular, Section IV-A shows an 99 illustrative numerical example of the proposed approach in action. Section IV-B offers a comparative 100 analysis between the proposed work and the standard approach to FRI, while dealing with a set of 101 prediction problems involving inconsistent rules. Section IV-C provides a real-world decision-making 102 application using the implemented HB-FRI system. Section V concludes the paper and points out open 103 issues for further research. 104

#### 105

## II. HIERARCHICAL BIDIRECTIONAL FUZZY RULE INTERPOLATION

## 106 A. Generation of Hierarchical Rule Bases

For a typical fuzzy rule-based decision-making system, especially for systems developed on the basis of historical data, data-driven learning is often applied to generate the required rule base. In particular, supervised learning is normally used to obtain an optimal rule base via heating search through a given

labelled dataset. Yet, direct application of such a method to learn a hierarchical fuzzy rule base from 110 data is not an easy task, especially for the problem domains where there are missing features. This 111 is because in such cases, the intermediate features within the hierarchy do not normally possess any 112 physical meaning or they may not be observable. In fact, the representation and retention of the physical 113 meaning of intermediate output values is one of the most difficult problems to resolve in hierarchical 114 fuzzy system modelling [26]. Fully addressing the learning of hierarchical fuzzy models is beyond the 115 scope of this research, but gradient-descent techniques [28] are herein utilised to learn and optimise 116 the parameters of the hierarchical fuzzy rules. That is, the updating of the parameters involved in the 117 (hierarchically arranged) rules at a lower level can be estimated from the errors propagated back from 118 the layer above it. Such a error backpropagation process is recursively performed within the hierarchy, 119 ultimately through exploiting the error measured and back-propagated from the final system output. 120 Alg. 1 summarises the gradient-descent-based learning process for producing a hierarchical rule base. 121

The complexity of this learning process can be assessed through the following analysis. Suppose that 122 a conventional flat fuzzy interpolative reasoning system employs K input features and M membership 123 functions per feature to describe each feature. Then,  $M^K$  rules are required in order to construct a 124 rule base that will fully cover the problem domain. This means that a complexity of  $O(M^K)$  is to be 125 incurred in an effort to generate the flat rule base. For a K-input hierarchical fuzzy system, consider 126 the worst scenario where it comprises K-1 low-dimensional sub-fuzzy systems, with each sub-system 127 having two input features. Also, suppose that each input feature may take any of M values. From this, 128 generating all the rules that is able to provide a full coverage of the domain involves a computational 129 complexity of  $O((K-1)M^2)$ . With FRI, it is not necessary to have a rule base that is so dense, but 130 the present analysis is to assume the worse computation cost and hence, the full coverage. On top of 131 this, additional runtime expense is needed to implement the backpropagation processes between the K-1132 layers, denoting this by O(bp(K-1)). Together, the learning process of a hierarchical fuzzy rule base 133 requires a maximum total runtime of  $O((K-1)M^2) + O(bp(K-1))$ . 134

## 135 B. Framework for Bidirectional Fuzzy Rule Interpolation

<sup>136</sup> In this work, for generality, the process of forward fuzzy rule interpolation is represented by

$$B^* = f_{FRI}((A_1^*, \cdots, A_l^*, \cdots, A_M^*), (R_i, \cdots, R_t))$$
(1)

where  $f_{FRI}$  expresses the interpolative reasoning process from M observed feature values, using N rules named  $R_i$ ,  $R_t$ , etc. that are the closest to  $A_l^*$ ,  $l \in \{1, \dots, M\}$  within a given sparse rule base, and  $B^*$ denotes the interpolated outcome.

## Algorithm 1: Learning of Hierarchical Fuzzy Rule Base

1 Specify membership functions for each input feature, and normalise value domain of each intermediate feature to [0,1];

2 Denote:

- k: Number of iterations,
- K: Maximum number of iterations,
- y(k): Actual output in iteration k,
- y'(k): Hierarchical system output in iteration k,
- e(k): Error between actual output and hierarchical system output in iteration k,
- $F_{l,p}$ : Sub-fuzzy system  $p, p \ge 1$  at level  $l, l \ge 1$ ,
- $e_p(k)$ : Error of sub-fuzzy system  $p, p \ge 1$ ,
- T: Number of training data,
- r: Index of training data,
- E: Accumulated error,
- $\eta$ : Learning rate,
- $Q_{l-1,p}$ : Number of original input features to  $F_{l,p}$ ,
- $P_{l-1,p}$ : Number of outputs from  $(l-1)^{th}$  layer to  $F_{l,p}$
- $j_{i,p}$ :  $i^{th}$  input for  $p^{th}$  sub-fuzzy system at  $l^{th}$  level,
- $\mu_{l,p,k}^{jk}(y_{l-1,p,k})$ : Fuzzy membership function for  $y_{l-1,p,k}$ ,
- $v_{l,p,k}^{jk}(x_{l,p,k})$ : Fuzzy membership function for  $x_{l,p,k}$ ,
- $y_{l,p}$ : Output of  $p^{th}$  fuzzy subsystem at  $l^{th}$  layer,
- $y_{l,p}^{j_{1,p}j_{2,p}...j_{P_{l-1,p}}i_{1}i_{2}...i_{Q_{l,p}}}$ : Consequent of  $j_{1,p}j_{2,p}...j_{P_{l-1,p}}i_{1}i_{2}...i_{Q_{l,p}}t^{h}$  fuzzy rule,
- **3**  $y_{1,p} \leftarrow f_{1,p}(x_{0,p,1,\dots}, x_{0,p,Q_0});$
- 4  $U_{l,p} \leftarrow \prod_{k=1}^{P_{l-1,p}} \mu_{l,p,k}^{jk}(y_{l-1,p,k});$
- **5**  $V_{l,p} \leftarrow \prod_{k=1}^{Q_{l-1,p}} v_{l,p,k}^{jk}(x_{l,p,k});$

**6** 
$$y_{l,p} \leftarrow \sum_{j_{1,p}j_{2,p}...j_{P_{l-1,p}}i_1i_2...i_{Q_{l,p}}} U_{l,p}V_{l,p} * y_{l,p}^{j_1j_2...j_{P_{l-1,p}}i_1i_2...i_{Q_{l,p}}}$$

7 
$$e_p(k) \leftarrow e_q(k) \times \frac{\partial y_{l,q}(k)}{\partial y_{l,n}(k)};$$

- 8  $k \leftarrow k+1;$
- 9 If  $r < T, r \leftarrow r+1$ ;  $y_{l,p}^{j_{1,p}j_{2,p}...j_{P_{q,p}}i_{1}i_{2}...i_{Q_{q,p}}}(k+1)$ 10

$$\leftarrow y_{l,p}^{j_{1,p}j_{2,p}\ldots j_{P_{q,p}}i_{1}i_{2}\ldots i_{Q_{q,p}}}(k) - \eta \times U_{q}(k)V_{q}(k) \times e_{p}(k);$$

- 11 Update parameters  $y_{l,p}^{j_{1,p}j_{2,p}...j_{P_{l-1,p}}i_1i_2...i_{Q_{l,p}}}$  for each iteration k, for every input-output pair  $(x^r, y^r)$ ;
- 12  $E \leftarrow \frac{1}{2} \times \sum_{r=1}^{T} (\hat{y}^r y^r)^2;$
- 13 If  $E > \varepsilon$  and k < K, go to 3;
- 14 End.

Similarly, B-FRI computes an unknown antecedent feature value using the closest rules of the following
 general form:

$$A_{l}^{*} = f_{B-FRI}(B^{*}, A_{1}^{*}, \cdots, A_{l-1}^{*}, A_{l+1}^{*}, \cdots, A_{M}^{*}), (R_{i}, \cdots, R_{t}))$$

$$(2)$$

In the above,  $f_{B-FRI}$  represents the process of B-FRI during which the N closest rules  $R_i$ ,  $R_t$ , etc. and the observed or interpolated values for the (M-1) antecedent features, together with their corresponding consequent  $B^*$ , are used to backward interpolate  $A_l^*$  that is missing from the observation.

To be concise, the processes of transformation-based forward FRI (T-FRI) and transformation-based backward FRI (T-B-FRI) are outlined in Alg. 2 and Alg. 3, respectively. In implementation, as with the common practice in performing T-FRI, trapezoidal fuzzy sets (which include triangular sets as their specific cases) are adopted here for computational simplicity.

By examining these two algorithms, their computational complexities can be established. In particular, 149 the complexity of Alg. 2 is mainly caused by the number of possible parameter combinations ( $\omega$ ,  $\delta$ ,  $\underline{s}$ , 150  $\overline{s}$ , and m). The weight  $\omega$  is computed in relation to all N closest rules, thereby having a complexity 151 of O(N). The handling of the other four parameters:  $\delta$ , s,  $\bar{s}$ , and m each incurs the same complexity 152 as handling a single  $\omega$  value. Therefore, the overall complexity of Alg. 2 is still O(N). Similarly, for 153 Alg. 3, the complexity can be estimated with regards to the number of all missing antecedent features, 154 say L, and that of all N closest rules. Particularly, the parameter combinations ( $\omega$ ,  $\delta$ ,  $\underline{s}$ ,  $\overline{s}$ , and m) of 155 (M-L) antecedent features need to be calculated. Thus, Alg. 3 incurs a considerably higher complexity: 156  $O((M - L)NL) \cdot O(\text{FRI})$ , where O(FRI) represents the complexity of the underlying FRI process. 157

## 158 III. REFINEMENT OF HIERARCHICAL FUZZY RULE BASE THROUGH HB-FRI

Generally speaking, a fuzzy logic-based approximate reasoning system consists of an inference engine 159 and a fuzzy rule base. It performs intelligent decision-making, typically by either firing the rules in the 160 rule base which match a given observation or carrying out interpolation if none of the rules match the 161 observation, not even partially. Although the original rule base may be assumed to be consistent, i.e., the 162 same input feature values are expected to always lead to the same inferred outcome, any modification 163 or new addition to the rule base during the rule interpolation process may introduce contradictory to 164 certain rules. This is of particular significance to systems that involve dynamic learning [18], whilst 165 such learning is a common requirement for dealing with novel problems, where typically only a rather 166 sparse rule base is available initially. It is therefore, clearly desirable to avoid such inconsistencies. In 167 this section HB-FRI is employed to implement a strategy that helps refine the rule base in an effort to 168 remove rule inconsistency. 169

## JOURNAL PRE-PROOF

Algorithm 2: Transformation-based Forward Interpolation (U, 
$$(A_1^*, \dots, A_1^*, \dots, A_M^*)$$
)  
1 U; given rule base;  
2  $R \in U$  with antecedent  $\{A_k, k = 1, 2, \dots, M\}$ ;  
3  $O = \{A_1^*, \dots, A_k^*, \dots, A_M^*\}$ , being observation;  
4  $Rep(A)$ : representative value of fuzzy set  $A$ ;  
5  $d_{reg}$ : distance between representative values of two fuzzy sets;  
6  $d_2$ : distance between representative values of two fuzzy sets;  
6  $d_2$ : distance between representative values of two fuzzy sets;  
7  $d(O, R)$ : distance between observation  $O$  and rule  $R$ ;  
8  $Rep(A) \leftarrow \frac{\omega_1 + \frac{\omega_1 + \omega_2 + \omega_1}{2}}{vonget}$ ;  
9  $range_k + sup_k - inf_k$ ;  
10  $d(O, R) \leftarrow \sqrt{\sum_{k=1}^{M} d(A_k, A_k^*)^2}$ , ominalising term;  
11  $R \leftarrow \{R_k| \in \{1, \dots, N\}\}$ . No being number of rules whose  $d(O, R_i)$  are first N smallest;  
12  $R \leftarrow \{R_k| i \in \{1, \dots, N\}\}$ . No being number of rules whose  $d(O, R_i)$  are first N smallest;  
13  $R_i$ : IF  $x_1$  is  $A_1^*, \dots$ , and  $x_k$  is  $A_k^*, \dots$ , and  $x_M$  is  $A_M^*$ . THEN  $y$  is  $B_i^*, R_k \in \mathbb{R}$ ;  
14  $\omega_{A_k}^* \leftarrow 1/(d_i(A_k^*, A_k^*) + 1)$ ;  
15  $w_{A_k} \leftarrow d_i(A_k^*, A_k^*)$ ; being normalised displacement factor;  
16  $A_k^{\dagger} \subset \sum_{i=1}^{M} \omega_{A_i} A_k^{\dagger}$ ,  $A_k^*$ , being intermediate fuzzy terms;  
17  $A_k^{\dagger} \subset \sum_{i=1}^{M} \omega_{A_i} A_k^{\dagger}$ ;  
18  $\delta_{A_k} \leftarrow d_i(A_k^*, A_k^{\dagger})$ ;  
19  $a_{A_k} \leftarrow A_k^{\dagger} + \delta_{A_k} range_{A_i}$ ;  
20  $\delta_B \leftarrow A_k^{\dagger} - \delta_{A_k} range_{A_i}$ ;  
21  $B_i^* \leftarrow A_k^{\dagger} + \delta_{A_k} range_{A_i}$ ;  
22  $B_i^* \subset \sum_{i=1}^{M} \omega_{B_i} B^* + \delta_{B_i} range_{B_i}$ ;  
23  $\delta_{A_k} \leftarrow \frac{\omega_{B_k}^{\dagger} \cdots \delta_{A_k}}{\frac{\omega_{B_k}^{\dagger} \cdots \delta_{B_k}}}$ ; if  $\overline{s} \ge \underline{s} \ge 0$ ,  $\overline{s} \in [0, 1]$ ;  
25  $K \leftarrow \begin{bmatrix} \frac{M_{B_k} \omega_{B_k}}{w_{B_k} - w_{B_k}} = \frac{M_$ 

## Algorithm 3: Transformation-based Backward Interpolation ( $\mathbb{U}$ , $(B^*, A_1^*, \cdots, A_{l-1}^*, A_{l+1}^*, \cdots, A_M^*)$ )

- $\mathbb{U}$ : given rule base;
- $R \in \mathbb{U}$  with antecedent  $\{A_k, k = 1, 2, \cdots, M\}$  and consequent B;
- $O' = (B^*, A_1^*, \dots, A_{l-1}^*, A_{l+1}^*, \dots, A_M^*)$ , given consequent  $B^*$  and observation with missing antecedent term  $A_l^*$ ;
- $w_B \leftarrow \sum_{k=1}^{M} w_{A_k} = 1, \ w_{A_k} = \frac{1}{M}, \ k \in \{1, \dots, M\};$ 5  $d(O', R) \leftarrow \sqrt{w_B d_f^2(B, B^*) + \sum_{k=1, \ k \neq l}^{M} (w_{A_k} d_f^2(A_k, A^*)))}$ , omitting normalising term;
- $\mathbb{R} \leftarrow \{R_i | i \in \{1, \dots, N\}\}$ , N being number of rules whose  $d(O', R_i)$  are first N smallest;
- $\omega'_{A_k^i} \leftarrow 1/(d_f(A_k^i, A_k^*) + 1);$  $\omega_{B^i} \leftarrow \frac{1}{M} \sum_{k=1}^M \omega_{A_k^i};$  $\omega_{A_l^i} \leftarrow M \omega_{B^i} - \sum_{k=1, k \neq l}^M \omega_{A_k^i};$  $\delta_{A_l} \leftarrow M \delta_B - \sum_{k=1, k \neq l}^M \delta_{A_k};$  $A_l^{\dagger} \leftarrow \sum_{i=1}^N \omega_{A_i^i} A_l^i;$  $A'_{l} \leftarrow A^{\dagger}_{l} + \delta_{A_{l}} range_{A_{l}};$  $\underline{s}_{A_l} \leftarrow M \underline{s}_B - \sum_{k=1, k \neq l}^M \underline{s}_{A_k};$  $\overline{s}_{A_l} \leftarrow M \overline{s}_B - \sum_{k=1, k \neq l}^M \overline{s}_{A_k};$  $m_{A_l} \leftarrow M m_B - \sum_{k=1, k \neq l}^M m_{A_k};$  $\begin{array}{l} \mathbf{16} \ \mathbb{S}_{A_l} \leftarrow M \mathbb{S}_B - \sum_{k=1, \ k \neq l}^M \mathbb{S}_{A_k}; \\ \mathbf{17} \ \overline{s}_{A_l} \leftarrow \begin{cases} \frac{\underline{s}_{A_l} \ast \mathbb{S}_{A_l}}{\overline{s}_{A_l}} - \underline{s}_{A_l} \ast \mathbb{S}_{A_l} + \underline{s}_{A_l} & if \ \overline{s}_{A_l} \ge \underline{s}_{A_l} \ge 0 \\ \\ \overline{s}_{A_l} \ast \mathbb{S}_{A_l} & if \ \underline{s}_{A_l} \ge \overline{s}_{A_l} \ge 0 \end{cases} \end{array}$ **18**  $A_{l}^{*} = T(A_{l}^{'}, \underline{s}_{A_{l}}, \overline{s}_{A_{l}}, m_{A_{l}})$

Without losing generality, suppose that two inconsistent rules are represented in the following form: 

- $x_1$  is  $A_1^i, \dots, x_k$  is  $A_k^i, \dots, x_M$  is  $A_M^i$ , THEN y is  $B^i$
- $R_i'$ : IF  $x_1$  is  $A_1^i, \dots, x_k$  is  $A_k^i, \dots, x_M$  is  $A_M^i$ THEN y is  $B^{i'}$
- where  $x_k, k = 1, 2, \dots, M$ , denote the input variables, and  $B^i \neq B^{i'}$ .
- The basic idea of the proposed refinement process is to use the average bias (aka. deviation) of the

inconsistent rules to rectify the inferred or interpolated consequent. Alg. 4 details this process. Firstly, each antecedent feature value  $A_k$  or  $A'_k$  is backward interpolated from  $R_i$  or  $R'_i$  respectively, using *B-FRI*. Secondly, the bias between each antecedent value  $A_k$  or  $A'_k$  and its corresponding observed value  $A^*_k$  is calculated. Then, the average bias over each of the two inconsistent rules in question is computed. Finally, from the resulting biases the consequent (of each of the two otherwise inconsistent rules) is corrected, resulting in the desired consequent value for the newly constructed rule that replaces the original two, which retains the same antecedent part as its originals.

## Algorithm 4: Refinement of Fuzzy Rule Base via HB-FRI

- 1 Given two inconsistent rules  $R_i$  and  $R_i'$ ;
- **2**  $R_i$ : IF  $x_1$  is  $A_1^i, \dots, x_k$  is  $A_k^i, \dots, x_M$  is  $A_M^i, (k = 1, 2, \dots, M)$ , THEN y is  $B^i$ ;
- 3  $R_i'$ : IF  $x_1$  is  $A_1^i, \dots, x_k$  is  $A_k^i, \dots, x_M$  is  $A_M^i, (k = 1, 2, \dots, M)$ , THEN y is  $B^{i'}$ ; where  $B^i \neq B^{i'}$ .
- 4  $A_k \leftarrow (B FRI) \{ R_i, \mathbb{R} \leftarrow \{ R_i | i \in \{1, ..., N\} \}$  N being number of rules whose d(O,Ri) are first N smallest;
- 5  $A'_k \leftarrow (B FRI)\{R'_i, \mathbb{R}' \leftarrow \{R'_i | i \in \{1, ..., N\}\}$  N being number of rules whose d(O,Ri') are first N smallest;
- 6  $\delta_k \leftarrow \frac{|Rep(A_k) Rep(A_k^*)|}{max_{A_k} min_{A_k}}$ , as bias between an antecedent value  $A_k$  and its corresponding observed antecedent value  $A_k^*$ ;
- 7  $\delta_k' \leftarrow \frac{|Rep(A_k') Rep(A_k^*)|}{max_{A_k} min_{A_k}}$ , as bias between an antecedent value  $A'_k$  and its corresponding observed antecedent value  $A'_k$ ;
- **8**  $\overline{\delta} \leftarrow \frac{1}{M} \sum_{k=1}^{M} \delta_k$ , as average bias for rule  $R_i$ ;
- 9  $\overline{\delta'} \leftarrow \frac{1}{M} \sum_{k=1}^{M} \delta_k$ , as average bias for rule  $R_i'$ ;
- 10  $y \leftarrow Rep(B^i) \times \frac{\overline{\delta'}}{\overline{\delta} + \overline{\delta'}} + Rep(B^{i'}) \times \frac{\overline{\delta}}{\overline{\delta} + \overline{\delta'}}$ , as refined consequence.

This algorithm presents the procedures that are required to implement both inconsistent rule removal and repair, without explicitly showing the running of HB-FRI. Nonetheless, HB-FRI is implicitly utilised within its specification and implementation since it produces the interpolated rules using the identified closest rules from the rule base, given an observation. As such, the computational complexity of this algorithm mainly depends on M, the number of antecedent features. The run-time of calculating  $\delta_k$  and  $\delta_k'$  is  $O(M) \cdot O(B$ -FRI), where O(B-FRI) denotes the complexity of the B-FRI process itself. Thus, for the entire inconsistency-removal process, the total run-time complexity is  $O(M) \cdot O(B$ -FRI) + 2  $\cdot O(FRI)$ .

## IV. EXPERIMENTAL RESULTS AND DISCUSSIONS

### <sup>192</sup> A. Evaluation with Numerical Function Approximation

*1) Problem case:* As the first example to demonstrate the efficacy of the proposed work, a function approximation problem is utilised here, focussing on the problem of rule inconsistency removal. The problem has three input features and one decision (output) attribute:

$$y = f(x_1, x_2, x_3) = (1 + x_1^{0.5} + x_2^{-1} + x_3^{-1.5})^2$$

Each input variable can take one of six fuzzy sets defined using trapezoidal membership functions. The rule base required to perform the experiments is generated, assuming a uniform distribution to cover the problem domain  $U = [1, 6]^3$ .

Note that in real applications, the rule base may be provided by the domain experts or learned from 200 historical data, or created from a mixture of both [5]. Here, the assumption for rule generation using 201 prescribed fuzzy values is made purely for illustration simplicity. This does not affect the explanation 202 of the underlying ideas. What is important is to show the potential of interpolative reasoning with a 203 hierarchical sparse rule base. This is assured by employing only a small part of the fully constructed rule 204 set as the sparse rule base in the example, deliberately leaving out many of the initially learned rules. 205 Those rules which are left out are used as the ground truth to evaluate the accuracy of any interpolated 206 result since they are learned using sufficient training samples read off the function (and hence, the 207 associated heating search outcomes can cover the full problem space). Obviously, for any real-world 208 application, had there been such a full rule base, there would not be a need to utilise rule interpolation. 209 To reveal the effectiveness of the proposed technique of rule inconsistency removal, intermediate rules 210 that are produced during the transformation-based FRI process are collated and subsequently promoted 211 for inclusion into the original rule base, following the advanced method of [18]. 212

213 2) *Rule refinement:* Table I lists a sub-rule base to support the illustration of solving the present 214 problem, where for simplicity, all fuzzy values have been denoted as a shorthand using their corresponding 215 representative values (which approximately reflects the geometrical properties of the original fuzzy 216 sets) [10]. In the following discussion, without causing confusion and unless otherwise stated, the 217 representative value of a fuzzy set associated with a given feature is simply referred to as a value of that 218 feature.

As can be observed, in Table I,  $Rule_6$  and  $Rule_{11}$  involve identical antecedent values but different consequent values. As such, these two form a pair of inconsistent rules and this inconsistency needs to be removed to ensure subsequent inference consistency. The proposed method works well in this case,

No. of Rules	$x_1$	$x_2$	$x_3$	у	
Rule 1	3.811486	5.183552	5.419737	10.3972497	
Rule 2	5.858488	1.448528	4.154679	17.88335981	
Rule 3	3.990305	2.566741	5.598877	11.9899926	
Rule 4	0.369646	3.392652	5.194505	3.948987083	
Rule 5	0.438950	0.396712	5.820496	18.1005066	
Rule 6	5.656367	5.368069	5.674704	13.8392177	
Rule 7	0.512235	3.007569	5.667789	4.50420492	
Rule 8	1.991630	0.445464	4.040010	22.84119918	
Rule 9	4.823559	2.682018	2.387356	14.74721597	)
Rule 10	3.253371	3.556458	4.797134	10.11281312	
Rule 11	5.656367	5.368069	5.674704	10.565988	

TABLE I Two inconsistent rules in a sub-rule base

as described below.

Applying B-FRI (or lines 4 and 5 in Alg. 14) leads to the determination of the antecedent values 223  $Rep(A_k)$  and  $Rep(A'_k)$  (k = 1, 2, 3) from  $R_6$  and  $R_{11}$ . Then, running lines 6 and 7 of the algorithm 224 results in the estimated values for the biases between each corresponding pair of antecedent values in 225 these two rules. Following this step, using lines 8 and 9 gives the average biases  $\overline{\delta}$  and  $\overline{\delta'}$ , as shown 226 in Table II and Table III, respectively. From these results, application of line 10 of Alg. 4 returns the 227 representative value (13.26370597) of the output of the refined rule. Remarkably, this outcome is very 228 close to the underlying ground truth value (13.2392175). This implies that the two inconsistent rules 229  $Rule_6$  and  $Rule_{11}$  are now replaced by a new rule, as presented Table IV. Such an accurate result shows 230 the significant potential of the proposed approach in refining the rule base that would otherwise contain 231 inconsistent rules 232

## TABLE II ANTECEDENT DEVIATION FOR $Rule_6$

 $\frac{\delta_1}{0.132227319} \frac{\delta_2}{0.061004378} \frac{\delta_3}{0.035891982} \frac{\overline{\delta}}{0.07637456}$ 

	TABLE III	
ANTECEDENT	DEVIATION	For $Rule_{11}$

$\delta_1'$	$\delta_2'$	$\delta_3'$	$\overline{\delta'}$
0.55324718	0.27989492	0.24087783	0.35800664

TABLE IV		
REFINED SUB-RULE BASE		

Rule 1         3.811486         5.183552         5.419737         10.3972497           Rule 2         5.858488         1.448528         4.154679         17.88335981           Rule 3         3.990305         2.566741         5.598877         11.9899926           Rule 4         0.369646         3.392652         5.194505         3.948987083           Rule 5         0.438950         0.396712         5.820496         18.1005066           Rule 6         5.656367         5.368069         5.674704         13.277335	
Rule 25.8584881.4485284.15467917.88335981Rule 33.9903052.5667415.59887711.9899926Rule 40.3696463.3926525.1945053.948987083Rule 50.4389500.3967125.82049618.1005066Rule 65.6563675.3680695.67470413.277335	$\mathbf{\mathcal{D}}$
Rule 3         3.990305         2.566741         5.598877         11.9899926           Rule 4         0.369646         3.392652         5.194505         3.948987083           Rule 5         0.438950         0.396712         5.820496         18.1005066           Rule 6         5.656367         5.368069         5.674704         13.277335	
Rule 4         0.369646         3.392652         5.194505         3.948987083           Rule 5         0.438950         0.396712         5.820496         18.1005066           Rule 6         5.656367         5.368069         5.674704         13.277335	] [
Rule 5         0.438950         0.396712         5.820496         18.1005066           Rule 6         5.656367         5.368069         5.674704         13.277335	
Rule 6         5.656367         5.368069         5.674704         13.277335	
Rule 7         0.512235         3.007569         5.667789         4.50420492	
Rule 8         1.991630         0.445464         4.040010         22.84119918	
Rule 9         4.823559         2.682018         2.387356         14.74721597	
Rule 10         3.253371         3.556458         4.797134         10.11281312	

## 233 B. Comparative Analysis against Standard T-FRI

1) Experimental setting: The proposed HB-FRI is in this subsection applied to four benchmark problems 234 of time series prediction [4], [11], in order to further evaluate its performance through comparison 235 with the use of the standard T-FRI method. Table V summarises the features of these datasets. For 236 simplicity, the fuzzy values of all input features addressed within this experimental study are represented 237 by triangular membership functions. For consistency, the number of membership functions is set as with 238 the previous practice, that is, six triangular functions are defined for each input feature across all data 239 sets. As different features have their own underlying value domains in reality, they are normalised to the 240 common scale of 0 to 1 to ease implementation and comparison. 241

Note that there exists an underlying difference in the representation of rule base structures between the two methods compared, with T-FRI employing a rule base consisting of flat rules only whilst HB-FRIuses hierarchical rules. Reflecting such a fundamental difference, the rule bases are learned from each given dataset using two distinct learning mechanisms. In particular, the rules used for running T-FRI are learned with the popular method of [27] while those used by HB-FRI are generated using Alg. 1 in Section II-A. Nonetheless, both forms of rule bases are produced from the same given dataset per problem, using the

Dataset	Number of Features	Number of Instances
Chemical Process Concentration Readings Prediction [4]	3	194
Chemical Process Temperature Readings Prediction [4]	3	233
Gas Furnace Prediction [4]	6	293
Mackey-Glass Chaotic Time Series Prediction [11]	4	3000

<sup>248</sup> aforementioned partitions.

To support this investigation, ensuring that the learned rule bases are sparse and contain inconsistent 249 rules, random changes to each rule base returned by the learning methods are made. Particularly, regarding 250 rulebase sparsity, for T-FRI each learned rule base has 30% of the originally learned rules removed, and 251 for HB-FRI each rule base is learned with 30% of the original raw data removed. Regarding rulebase 252 inconsistency, each learned rule base is set to includes a fixed percentage of inconsistent rules, which are 253 artificially added so that the same antecedents may have different consequences. To have a wider range 254 of comparison, three sets of experiments are carried out, involving the containment of 5%, 10% and 20% 255 of inconsistent rules, respectively. Any bias between the consequent of an artificially introduced rule and 256 that of its original counterpart is randomly set to be within 10%. Both the removal of the originally 257 learned rules or that of the original data, and the addition of inconsistent rules are randomly implemented 258 also, with a uniform distribution across each problem domain. 259

For fair comparison, both the standard T-FRI and the proposed HB-FRI are herein assisted by the use of the compositional rule of inference [31], in an effort to gain reasoning efficiency for those matched observations. The performance on prediction accuracy is measured by the conventional root mean square error (RMSE), defined by

RMSE = 
$$\sqrt{\frac{\sum_{i=1}^{N} (y_i^* - y_i^2)^2}{N}}$$
 (3)

where  $y_i^*$  is the predicted value of the  $i^{th}$  testing sample and  $y_i'$  is the  $i^{th}$  original outcome in the dataset concerned. To avoid any potential influence of noise on the forecasting quality, the results of experiments presented below are average values verified by ten times fivefold cross validation per dataset.

*267* 2) *Experimental results:* The average prediction RMSEs are shown in Table VI. It can be seen that for 268 each given amount of inconstant rules involved, the accuracy of the proposed method is systematically 269 greater than that of T-FRI across all datasets. Whilst the improvements gained by HB-FRI over T-FRI is 270 relatively small when the rule base contains just 5% inconstant rules, the improvements are much more

TABLE VI
Average RMSE in $10 \times 5$ -fold cross validation with 5%, 10% or 20% inconsistent rules

		T-FRI			HB-FRI	
Dataset	5%	10%	20%	5%	10%	20%
Chemical Process Concentr-						
ation Readings Prediction	0.389	0.495	0.844	0.323	0.324	0.333
Chemical Process Tempera-						
ture Readings Prediction	0.498	0.550	0.746	0.404	0.406	0.420
Gas Furnace Prediction	0.736	0.799	0.964	0.623	0.628	0.627
Mackey-Glass Chaotic Time Series Prediction	0.128	0.259	0.634	0.041	0.044	0.066
Average	0.438	0.526	0.797	0.348	0.351	0.362



Fig. 2. Standard deviation of obtained average RMSEs

remarkable as the percentage of inconstant rules increases. The overall average of the performances measured across all four datasets as per the bottom line of Table VI further reflects the significant improvement brought forward by the proposed method. This positively shows the potential of HB-FRI in performing interpolative reasoning with the ability of correcting inconsistent rules.

Figure 2 shows the standard deviation (SD) of the RMSE measures, indicating how each method's performance varies in response to different percentages of inconsistent rules. The smaller the standard deviation, the more robust the corresponding method. It can be seen from this figure that the higher the proportion of inconsistent rules is, the better gain is achieved using the proposed HB-FRI as compared to the standard T-FRI. This sufficiently shows the effectiveness of HB-FRI in dealing with prediction problems with sparse and yet inconsistent knowledge.



Fig. 3. Causal network model used for decision-making

## 281 C. Application to Diarrheal Disease Prediction

1) Problem specification: Environmental changes and their potential impacts upon the society, especially 282 upon the public health, are major concerns for both governments and the public, globally. For example, 283 much effort has been made to encourage the development of cause-effect relation models concerning 284 environmental change events and their influences upon various diseases and disease propagation. In 285 particular, much attention has recently been drawn towards carefully addressing the issue of decision-286 making and policy formation for problems such as diarrheal disease prediction and prevention [17], 287 [19]. Having taken notice of this, and by following previous studies in this area [8], [30], the present 288 application-oriented experimental investigation looks into the particular problem of predicting diarrheal 289 disease rate in a remote countryside village, through approximate reasoning that utilises HB-FRI. 290

Taking the northern coastal region of Ecuador as an example, building a new road or railway in 291 previously inaccessible areas may affect the epidemiology of diarrheal diseases [1], [9]. Close proximity 292 of newly constructed roads can lead to the increase of the contact between the residents of the village 293 and those outside the village. This in turn, can raise the rate of introduction of pathogens, which can 294 then cause the diarrheal disease rate to increase. As a demonstration case, this study focusses on part of 295 a much larger problem, where the occurrence of diarrheal disease is dependent upon village remoteness 296 (which is influenced by its distance to the closest city) and the village's connectivity level to public 297 transportation systems (which is determined by the connectivity situation to the nearest railway station 298 and road) [8]. The complete causal relation model considered herein is shown in Fig. 3. 299

2) Application of hierarchical bidirectional fuzzy interpolation: The nature of the above causal model is hierarchical. Based on human interpretation of this observation and supported by Alg. 1, a hierarchical sparse rule base can be obtained (by supervised learning as outlined in Section II-A, whilst as indicated previously, the exact learning process for the derivation of this rule base is not a concern of the present

## TABLE VII Sub-rule base 1

Variable	$x_1$	$x_2$	$x_3$
Meaning	Railway station proximity	Road proximity	Development of transportation
rule 1	(0.02,0.04,0.06,0.08)	(0.18,0.20,0.22,0.24)	(0.46,0.48,0.50,0.52)
rule 2	(0.28,0.30,0.32,0.34)	(0.39,0.41,0.43,0.45)	(0.62, 0.64, 0.66, 0.68)

work). The result is shown in Fig. 4, where  $x_1, x_2, x_4$  are observable input features and  $x_{11}$  is the output attribute of the overall system. All the other features are regarded as internal variables and are denoted by  $I_*$  with  $* \in \{1, ..., 7\}$  for easy cross-referencing. From this, eight sub-rule bases are constructed as given in Tables VII-XIV, including those rules which flank an observation or a previously interpolated outcome. As with the common practice in the relevant literature, all fuzzy sets used to encode rule antecedent feature values are represented using trapezoidal membership functions.



Fig. 4. Hierarchical fuzzy rule model

The task here is to predict the diarrheal disease rate of a certain village from several pieces of information obtained by different agencies. Such information is regarded as observations, respectively represented by  $x_1 = (0.16, 0.18, 0.20, 0.22), x_2 = (0.34, 0.36, 0.38, 0.40), x_4 = (0.65, 0.67, 0.69, 0.71),$ 

## TABLE VIII

#### SUB-RULE BASE 2

Variable	$x_3$	$x_4$	$x_5$
Meaning	Development of transportation	Distance to next city	Remoteness
rule 1	(0.52, 0.54, 0.56, 0.58)	(0.52,0.54,0.56,0.58)	(0.41,0.43,0.45,0.47)
rule 2	(0.85,0.87,0.89,0.91)	(0.82,0.84,0.86,0.88)	(0.72,0.74,0.76,0.78)

TABLE	IX
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SUB-RULE BASE 3				
Variable	$x_5$	$x_6$		
Meaning	Remoteness	Contact outside of village		
rule 1	(0.27,0.29,0.31,0.33)	(0.62, 0.64, 0.66, 0.68)		
rule 2	(0.58,0.60,0.62,0.64)	(0.30,0.32,0.34,0.36)		

## TABLE X SUB-RULE BASE 4

Variable	$x_6$	$x_7$	
Meaning	Contact outside of village	Transmission rate of pathogenic strains	
rule 1	(0.38,0.40,0.42,0.44)	(0.46,0.48,0.50,0.52)	
rule 2	(0.70,0.72,0.74,0.76)	(0.65,0.67,0.69,0.71)	

		TABLE XI Sub-rule ba	se 5
	Variable	$x_5$	$x_8$
	Meaning	Remoteness	Population change
	rule 1	(0.39,0.41,0.43,0.45)	(0.60,0.62,0.64,0.66)
)	rule 2	(0.62,0.64,0.66,0.68)	(0.30,0.32,0.34,0.36)

## TABLE XII

## SUB-RULE BASE 6

Variable	$x_8$	$x_9$
Meaning	Population change	Social connectedness
rule 1	(0.46,0.48,0.50,0.52)	(0.52,0.54,0.56,0.58)
rule 2	(0.68,0.70,0.72,0.74)	(0.20,0.22,0.24,0.26)

#### TABLE XIII

#### Sub-rule base $7\,$

Variable	$x_9$	$x_{10}$
Meaning	Social connectedness	Hygiene infrastructure
rule 1	(0.28,0.30,0.32,0.34)	(0.26,0.28,0.30,0.32)
rule 2	(0.55,0.57,0.59,0.61)	(0.61,0.63,0.65,0.67)

#### TABLE XIV

#### SUB-RULE BASE 8

Variable	$x_7$	$x_{10}$	$x_{11}$
Meaning	Transmission rate of pathogenic strains	Hygiene infrastructure	Incidence of diarrheal disease
rule 1	(0.30,0.32,0.34,0.36)	(0.36,0.38,0.40,0.42)	(0.18,0.20,0.22,0.24)
rule 2	(0.60, 0.62, 0.64, 0.66)	(0.58,0.60,0.62,0.64)	(0.68,0.70,0.72,0.74)

and  $x_8 = I_4 = (0.54, 0.56, 0.58, 0.60)$ , as summarised in Table XV.

For the sparse rule base available to the problem at hand, none of the rules match the above observations. 314 Therefore, it is unable to resolve the problem by ordinary approximate reasoning techniques (such as 315 via applying the compositional rule of inference alone). Thankfully, FRI can help: Table XVI lists the 316 intermediate values and the final output running HB-FRI over the hierarchical model. Note that the 317 intermediate value  $x_8 = I_4$  is provided as part of the observations and is not produced by the use of 318 HB-FRI. However, if the intermediate value  $I_4$  were not known, it could be approximately computed by 319 HB-FRI. Using the computed intermediate value (instead of the given observation) the final output of 320 the hierarchical fuzzy model is presented in Table XVII. Both of these two versions of the final result 321 (which is the predicted fuzzy value for the diarrheal disease rate) are depicted in Fig. 5. 322

The original value domain defining the variable  $x_{11}$  is [0%, 10%]. Mapping the inferred value back to this domain gives the predicted diarrheal disease rate being 4.1% or 5.8% for the studied village.

TABLE XV	
OBSERVATIONS GIV	ΕN

Variable	Fuzzy set	
$x_1$	(0.16, 0.18, 0.20, 0.22)	
$x_2$	(0.34, 0.36, 0.38, 0.40)	
$x_4$	(0.65, 0.67, 0.69, 0.71)	
$x_8 = I_4$	(0.54, 0.56, 0.58, 0.60)	

TABLE XVI

#### Intermediate values and final output with $x_8$ (intermediate variable $I_4$ ) imported externally

Intermediate variables	Fuzzy set	Representative value
$I_1$	(0.46,0.58,0.62,0.64)	0.57
$I_2$	(0.49,0.51,0.55,0.57)	0.53
$I_3$	(0.38,0.40,0.44,0.46)	0.42
$I_4$ (as observed)	(0.54,0.56,0.58,0.60)	0.57
$I_5$	(0.46,0.48,0.52,0.54)	0.50
$I_6$	(0.40,0.42,0.46,0.48)	0.44
$I_7$	(0.41,0.43,0.47,0.49)	0.45
Final output $x_{11}$	(0.37,0.39,0.43,0.45)	0.41

#### TABLE XVII

Intermediate values and final output with  $x_8$  (intermediate variable  $I_4$ ) inferred within HB-FRI

Intermediate variables	Fuzzy set	Representative value
$I_1$	(0.46,0.58,0.62,0.64)	0.57
$I_2$	(0.49,0.51,0.55,0.57)	0.53
$I_3$	(0.38,0.40,0.44,0.46)	0.42
$I_4$	(0.45,0.47,0.51,0.53)	0.49
$I_5$	(0.46,0.48,0.52,0.54)	0.50
$I_6$	(0.51,0.53,0.57,0.59)	0.55
<i>I</i> <sub>7</sub>	(0.56,0.58,0.62,0.64)	0.60
Final output $x_{11}$	(0.54,0.56,0.60,0.62)	0.58

SYSTEM

Which of these two outcomes holds depends on whether the observed  $x_8$  is directly used during the prediction process or the inferred intermediate variable  $I_4$  is used (without disrupting the internal inference mechanism). This result compares well with that which is achievable by the most advanced (and complicated) adaptive fuzzy rule interpolation mechanism in the literature [29], [30], where a result of approximately 5.5% is returned for the same problem. Yet, the existing work requires substantially more computational effort to reach this comparable outcome as it relies on the employment of an expensive model-based diagnostic engine [6] to correct the prediction errors.

<sup>332</sup> 3) Significance of HB-FRI for decision-making: The above practical application demonstrates that HB-<sup>333</sup> FRI can be of great potential to provide valuable suggestions in decision support, for both governments <sup>334</sup> and the general public. In general, it is difficult to track the transmission rate of pathogenic strains  $(x_7)$ <sup>335</sup> in real time, and it is also difficult to accurately estimate the population change  $(x_8)$ . Since the area



Fig. 5. Results by hierarchical bidirectional fuzzy interpolative reasoning

concerned is geographically remote and economically backward the employment of any sophisticated 336 technologies (e.g., big data analysis) that would require the acquisition of a substantial amount of data 337 to estimate such key values is not easy. With the use of a hierarchical model that is supported by 338 bidirectional fuzzy rule interpolation, through examining the incidence of diarrheal disease  $(x_{11}, which$ 339 can be measured timely in a given location), the transmission rate of pathogenic strains and population 340 change can now be assessed. The resulting estimated changes can be utilised to alert the local government 341 in a timely manner, thereby significantly increasing the effectiveness of any subsequent decision making 342 process. In particular, transmission rate of pathogenic strains and population change are both controllable 343 parameters which may be adjusted in order to model and deploy practical mechanisms that help minimise 344 the occurrence of diarrheal diseases. 345

More concretely speaking, aided by the proposed bidirectional fuzzy rule interpolation, useful informa-346 tion can indeed be provided to the relevant government in support of its decision-making. For example, in 347 order to reduce the incidence of diarrheal disease  $(x_{11})$  from say, Middle High (0.72, 0.74, 0.76, 0.78) to 348 *Middle Low* (0.38, 0.40, 0.50, 0.52), according to the proposed HB-FRI approach, the value of transmission 349 rate of pathogenic strains  $(x_7)$  needs to be changed from the current *Middle High* (0.62, 0.64, 0.66, 0.68) 350 to *Middle Low* (0.33, 0.35, 0.37, 0.39). This means that the local government needs to take medical or 351 administrative measures to control the spread of pathogenic strains. At the same time, the population 352 change rate  $(x_8)$  needs to be regulated to go from the current *Middle High* (0.69, 0.71, 0.73, 0.75) down to 353 *Middle* (0.53, 0.55, 0.57, 0.59), which in turn, implies that population changes due to immigration need to 354

<sup>355</sup> be stopped. This shows that the proposed HB-FRI approach is very helpful to interpolate the knowledge <sup>356</sup> that is useful to estimate unobservable domain features that are crucial for decision-making (say, at the <sup>357</sup> time of planning). Such variables can then be subsequently controlled and adjusted through government <sup>358</sup> administration. As such, the risk of diarrheal disease in the area concerned may be significantly reduced, <sup>359</sup> benefitting the general public.

360

## V. CONCLUSION

This paper has presented a theoretical framework for hierarchical bidirectional fuzzy rule interpolation 361 (HB-FRI), including computational complexity analyses for the algorithms introduced. The work enables 362 unknown antecedent feature values to be inferred in a manner involving both forward and backward rule 363 interpolation. It facilitates an effective way of coping with insufficient information or sparse knowledge 364 that may appear in automated decision-making. More importantly, this paper has proposed an automated 365 method for restoring consistency in a sparse rule base through the use of HB-FRI. The work has been 366 verified with a range of problems, including: numerical function approximation, time series prediction, 367 and real-world decision-making application. The particular application investigation has presented a 368 clear case for the potential benefits of utilising HB-FRI to aid in decision-making when only limited 369 knowledge is available. 370

Whilst very promising, the proposed approach does not give due attention to problems that require 371 consideration of automated dynamic update of the rule base. Also, the issue of its general scalability 372 remains open, requiring further assessment, both theoretically and empirically. There indeed exist 373 significant opportunities for further development. For instance, whether this approach could benefit from 374 a full integration with the most recent advance in dynamic fuzzy rule interpolation [18], in order to 375 cope with dynamic rule changes, appears to be a natural next step to conducting further research. In 376 addition, how flexibly a hierarchical fuzzy model may run in response to the use of different intermediate 377 features requires experimental investigation. Another important piece of work is to introduce weights 378 onto antecedent features as per the most recent work of [15], thereby allowing for the selection of least 379 number of the nearest neighbouring rules for interpolation [16], increasing the overall reasoning efficacy. 380 Last but not least, instead of encoding all fuzzy sets with pre-specified trapezoidal form it is interesting to 381 examine whether the employment of fuzzy values learned by data clustering tools (e.g., those introduced 382 in [2]) would entail more accurate interpolation. 383

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## **Declaration of interests**

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 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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