

# An efficient volume improving method by using a modified Allen-Cahn equation

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## Abstract

Classifying and rendering volumes of structure are two essential goals of the visualization process. However, small holes or non-smooth patches in visualized volumes are usually induced by the loss of some voxels. Beginning with the classified volumes, we propose a modified Allen-Cahn equation, which has the motion of mean curvature, to recover lost voxels and to fill holes. We obtain the probability function, which indicates the probability of each voxel being a volume voxel. Usually, the obtained probability function is smooth due to the motion of the mean curvature flow. Therefore visualization quality of volumes can be significantly improved. Because of the unconditional stable operator splitting method, we can use a large time step size. Our proposed numerical scheme is fast and can

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be straightforwardly applied to GPU-accelerated DCT implementation that performs up to many times faster than CPU-only alternatives. Many experimental results have been performed to demonstrate the efficiency of the proposed method.

14 *Keywords:* Volume rendering, Volume repairing, Allen-Cahn equation, Mean  
15 curvature flow

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## 16 **1. Introduction**

17 Volume rendering is an important visualization technique for exploring and  
18 visualizing volume data. In this technique, the transfer function (TF) assigns different  
19 volumes (or volume voxels) with different opacities and assigns different  
20 structures with different colors. Then it can determine which structure is visible  
21 and estimate whether or not a structure can be well visualized [1].

22 However, volume rendered by the TF exhibits two drawbacks. One is that small  
23 fragments, unexpected volume patches, or even other volumes are visualized  
24 together with volumes of interest. The reason is because that volumes of different  
25 structures with similar attribution will have the same region in the TF space [2].  
26 The other drawback is that small holes (or gaps) appear on the visualized volume,  
27 because a slightly smaller region is selected from the TF. For example, different  
28 volumes are firstly separated from a volume data based on volume connectivity  
29 [3], and then are classified by segmenting the transfer function space into different

30 regions [4] or into different components [5]. In these methods, sometimes volume  
31 defects are generated. In order to generate a high-quality volume, it is necessary  
32 to overcome these two mentioned drawbacks. To our knowledge, the first men-  
33 tioned drawback has been studied recently in [3]. However, the volume repairing  
34 problem – refer to the problem to fill in small holes and improve rough patches of  
35 volume-rendered volumes, is rarely studied.

36 In this paper, we will develop an effective method to improve the volume ren-  
37 dering quality. The reason why small holes (or gaps) or rough patches appear  
38 on the visualized volumes is because that some volume voxels are assigned with  
39 much low opacities. However, most labeled volume voxels, which have been  
40 assigned with high opacities, are rightly determined from the volume data. Begin-  
41 ning with these labeled volume voxels, we try to recover the lost volume voxels  
42 and fill the holes. Whenever lost volume voxels are well recovered (refer to as-  
43 sign them with high opacities as well), volume defects will be repaired in the  
44 volume rendering. Based on this idea, the volume repairing problem in this paper  
45 is modeled as a constrained diffusion. Such diffusion is described by a modified  
46 Allen-Cahn equation (AC) which has the motion of mean curvature [6]. In the dif-  
47 fusion processing, the modified Allen-Cahn equation will adaptively adjust opac-  
48 ities of those voxels that are around labeled volume voxels. Finally, a probability

49 function, which indicates the probability of each voxel being a volume voxel, is  
 50 obtained. Usually, the obtained probability function is smooth without small holes  
 51 due to the motion of the mean curvature flow (See Fig. 1). Our proposed method  
 52 has several benefits. First, beginning with the labeled volume voxels by using the  
 53 TF, our method is performed without depending on the TF. Therefore, volume  
 54 repairing can be addressed without increasing the dimensionality of the TF. It al-  
 55 lows us to incorporate our method into other processions or transfer functions, for  
 56 example transfer function using L-H histograms [7] or curvature-based [8] trans-  
 57 fer function. Secondly, our algorithm is simple to implement and is guaranteed to  
 58 produce the good volume.

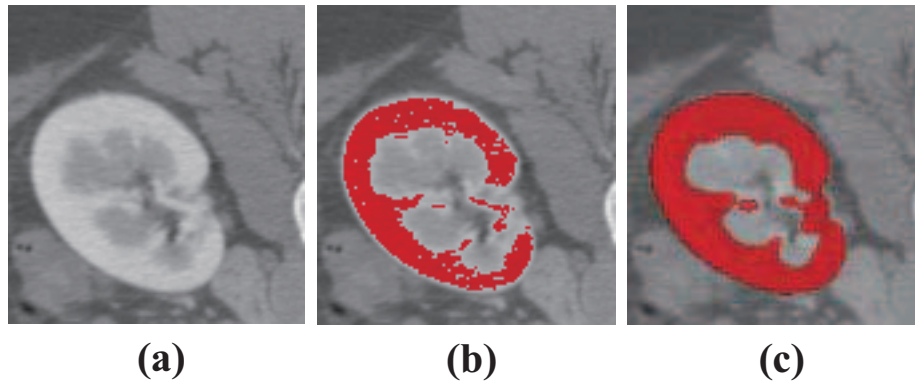


Figure 1: (a) Original 2D sectional slice. (b) Labeled volume by TF space (red color). (c) Repaired volume (red color). For the purposes of better visualization, the results are obtained in three dimensional space and are shown in a slice image.

59 The remainder of this paper is organized as follows: Section 2 discusses pre-  
 60 vious works related to our research. Section 3 introduces the proposed method

61 to improve the visualized volume. Several experimental results are performed in  
62 Section 4. Conclusions and future work are presented in Section 5.

## 63 **2. Related works**

64 Volume reconstruction methods include the deformable volume method [9],  
65 the method for detecting and reconstructing implicit volume from volume da-  
66 ta [10], the method based on marching cubes [11], the methods for reconstruct-  
67 ing 3D volume from 3D cloud points [12] or range images [13], polygon-based  
68 isosurface-extraction repairing method [14], and volume-based isosurface-extraction  
69 repairing method [15] etc. While volume-based isosurface-extraction method and  
70 deformable volume methods can generate the closed volume. Other volume re-  
71 construction methods usually generate the volume with small holes or fragments.

72 *Volume-based isosurface-extraction repairing methods:* Several isosurface-  
73 extraction volume repairing methods were studied. For example, beginning by  
74 constructing a signed distance function, Davis. et.al [15] applied a diffusion pro-  
75 cess to fill holes in complex surfaces. Their algorithm is simple to implement and  
76 is guaranteed to produce manifold non-interpenetrating surfaces. By combining  
77 information from the classifiers at the reconstruction stage, Lindholm. et.al [16]  
78 proposed an efficient approach to improve the classification of different material-

79 s. The volume-based methods generally patch the holes by first assigning signs  
80 to a set of 3D points (vertices of the polygonal volume) with a signed distance  
81 function. Then the point information in hole regions is completed in the volume  
82 representation. Finally, the repaired volume is given as an isosurface of the level  
83 set function. These volume-based methods can deal with topologically complex  
84 holes. However, they may miss some features of the original volume model when  
85 converting to and from a volume. Because the volume has been defined using the  
86 TF, it is not necessary to convert the volume for the initial processing. Therefore  
87 even with the volume-based method, these features can remain.

88 *Volume repairing methods during volume rendering:* Some researchers stud-  
89 ied the improvement of volume rendering results of structures in a volume data.  
90 For example, defects of volume-rendered volumes were repaired by directly di-  
91 lating all known volume voxels with a given radius [3]. However, this method  
92 usually incorrectly marks those voxels that are not volume voxels as volume vox-  
93 els. In [17], some lost vessel structures in 3D MRA or CTA images were well  
94 enhanced by constructing a new vessel filter. However, this method cannot be  
95 applied to repair other structures. The method for filling-in holes by mathemat-  
96 ical morphology was studied [18]. In this method, a filtered Euclidean skeleton  
97 is firstly utilized to represent thickness of the input object. After that, the authors

transformed the closed thick object to the input one by using the dilation operator. However, this method cannot always obtain a smooth volume for topologically complex holes, because the operators of mathematical morphology cannot work well when the object is complex.

In this paper, we intend to repair volume defects by suitably diffusing labeled volume voxels in the volume data. Logically, some volume-based repairing methods based on the diffusion flow may be applied for such task. However, Allen–Cahn (AC) equation, which is a partial differential diffusing equation having the motion of mean curvature [6], has the following merits: (i) A fast and accurate hybrid numerical solver is available for the numerical computation of AC equation [19]. This makes the AC equation to be simple to implement and efficient to run on large data sets. (ii) The AC equation removes small local oscillations, which results in repairing the missing volume. (iii) The AC equation can deal with topologically complex holes. The AC equation has been applied in 2D image segmentation [20], 2D image inpainting [21], binary volume reconstruction [22], and multiple volume reconstruction [23]. Note that the modified AC equation has also been used in 2D image inpainting problem, which is the process of filling in missing parts of damaged images based on information from the surrounding areas [21]. In this paper, we use this equation in the different contexts and appli-

117 cations. To our knowledge, the presented approach is the first algorithm using the  
118 motion of mean curvature for the volume repairing in the volume data.

### 119 **3. Methodology**

120 In this section, we will introduce the modified Allen-Cahn equation to repair  
121 the volume. An unconditional stable resulting method will be developed. To well  
122 render the volume, volume voxels are assigned with suitable opacities.

#### 123 *3.1. A modified Allen-Cahn equation for volume repairing*

124 Let  $f(\mathbf{x})$  be a 3D image data in a domain  $\Omega = (0, L_x) \times (0, L_y) \times (0, L_z)$ ,  $\nabla f(\mathbf{x})$   
125 be the gradient function of  $f(\mathbf{x})$ , where  $\mathbf{x} = (x, y, z) \in \Omega$ . In the volume rendering,  
126 a volume in  $f(\mathbf{x})$  is determined by using the TF. By [3], the volume defect can be  
127 determined and denoted by a discrete function  $\psi(\mathbf{x})$ , where  $\psi(\mathbf{x}) = 1$  if the voxel  
128  $\mathbf{x}$  is determined as a volume voxel, otherwise  $\psi(\mathbf{x}) = 0$ . As mentioned in above  
129 section,  $\psi$  contains lots of good volume voxels and few lost volume voxels. We  
130 want to obtain a new discrete function  $\phi(\mathbf{x})$ , which approaches to the given  $\psi(\mathbf{x})$   
131 and represents the volume without holes and rough patches.

132 As described in [24], volumes with zero mean curvature indicate that they are  
133 smooth and without holes. Meanwhile, the mean curvature of the lost volume will  
134 be much larger than zero. Therefore, the lost volume voxels can be detected by



135 the mean curvature in the level set framework. Furthermore, keeping the mean  
 136 curvature to be zero under a geometric evolution law will result in the removal  
 137 of noises and repairing of the missing volume. Along this line, we assume the  
 138 volume of the given volume data  $\psi(\mathbf{x})$  can be moved under the mean curvature  
 139 flow, in which the normal velocity of a moving hypersurface equals the negative  
 140 mean curvature:

$$V_n = -\kappa,$$

141 where  $V_n$  is the normal velocity of geometric volume and  $\kappa$  is the mean curvature.  
 142 Under the mean curvature flow, the volume in the repairing region will move faster  
 143 than that in the non-repairing region because of its higher mean curvature value.  
 144 Once the geometric volume is moved, the voxel near the volume will be filled by  
 145 diffusing the information from the nearby region. While Eq. (1) is defined on  
 146 the volume and will be difficultly performed as the volume moves. Allen-Cahn  
 147 equation can be simply performed and has the motion of the mean curvature[6]:

$$\frac{\partial \phi(\mathbf{x}, t)}{\partial t} = -\frac{F'(\phi(\mathbf{x}, t))}{\varepsilon^2} + \Delta \phi(\mathbf{x}, t) \quad (1)$$

148 Here  $\phi(\mathbf{x}, t)$  is also called as a phase-field function or probability distribution

149 function, which is close to 1 and 0 for the volume's interior and exterior, respec-  
 150 tively.  $\varepsilon$  is a constant and relates to the phase transition width. The function  
 151  $F(\phi) = 0.25\phi^2(\phi - 1)^2$  is a nonlinear potential function. To keep the voxel val-  
 152 ues outside of the repairing region be almost same as those in the original known  
 153 volume, we should put a fitting term into the Allen-Cahn equation as:

$$\frac{\partial \phi(\mathbf{x}, t)}{\partial t} = -\frac{F'(\phi(\mathbf{x}, t))}{\varepsilon^2} + \Delta \phi(\mathbf{x}, t) + \lambda(\mathbf{x})(\psi(\mathbf{x}) - \phi(\mathbf{x}, t)), \quad \mathbf{x} \in \Omega, \quad (2)$$

$$\phi(\mathbf{x}, 0) = \psi(\mathbf{x}), \quad (3)$$

$$\frac{\partial \phi(\mathbf{x}, t)}{\partial \mathbf{n}} = 0, \quad \mathbf{x} \in \partial\Omega, \quad (4)$$

154 where

$$\lambda(\mathbf{x}) = \begin{cases} 0, & \text{if } \mathbf{x} \in \Omega_D, \\ \lambda_0, & \text{otherwise.} \end{cases} \quad (5)$$

155 Here  $\lambda_0$  is a positive constant and  $\Omega_D$  is the repairing region, which is simply  
 156 defined as  $\Omega_D = \{\psi(\mathbf{x}) | \psi = 0\}$ . The useful information has been labeled as  $\psi =$   
 157 1 and less useful information is labeled as  $\psi = 0$ . We assume that  $\phi$  satisfies  
 158 Neumann volume conditions on  $\partial\Omega$  as drawn in Eq. (4) and  $\mathbf{n}$  is the outward  
 159 normal vector. Eqs.(2)-(4) can be derived from a constrained gradient flow in the

160  $L_2$  space of the free energy functional:

$$\mathcal{E}(\phi) = \int_{\Omega} \left( \frac{F(\phi)}{\varepsilon^2} + \frac{|\nabla \phi|^2}{2} \right) d\mathbf{x} + \int_{\Omega} \frac{\lambda}{2} (\psi(\mathbf{x}) - \phi)^2 d\mathbf{x}.$$

161 The modified Allen-Cahn equation (Eq. (2)) keeps the total energy  $\mathcal{E}(\phi)$  decrease  
 162 with time:

$$\begin{aligned} \frac{d}{dt} \mathcal{E}(\phi) &= \int_{\Omega} \left( \frac{F'(\phi)}{\varepsilon^2} \phi_t + \nabla \phi \cdot \nabla \phi_t \right) d\mathbf{x} - \lambda \int_{\Omega} (\psi - \phi) \phi_t d\mathbf{x} \\ &= \int_{\Omega} \left( -\frac{F'(\phi)}{\varepsilon^2} + \Delta \phi + \lambda(\psi - \phi) \right) \phi_t d\mathbf{x} + \int_{\partial\Omega} \phi_t \varepsilon^2 \mathbf{n} \cdot \nabla \phi ds = - \int_{\Omega} \phi_t^2 d\mathbf{x} \leq 0. \end{aligned} \quad (6)$$

163 It implies the solution of Eqs. (2)-(4) is uniqueness. Observing our modified  
 164 Allen-Cahn equation, we can find that the voxel values in the repairing domain  
 165 are obtained by curvature-driven diffusions due to the efficiency of Allen-Cahn  
 166 equation. Because of the second term of Eq. (2), the voxel values outside of the  
 167 repairing region will approach to those in the original volume data. Therefore  
 168 the voxels can be well repaired. Furthermore, the final result  $\phi$  can provide the  
 169 probabilities of voxels belonging to the true volume (See Fig.(2)). For example,  
 170  $\phi = 0.5$  means that voxel has 50% probability belonging to the true volume.

171 Figure 3(a) shows the synthetic image with noises. Figure 3(b-e) are the  
 172 results obtained by AC equation( $\phi_t = -F'(\phi)/\varepsilon^2 + \Delta \phi$ ), our proposed method

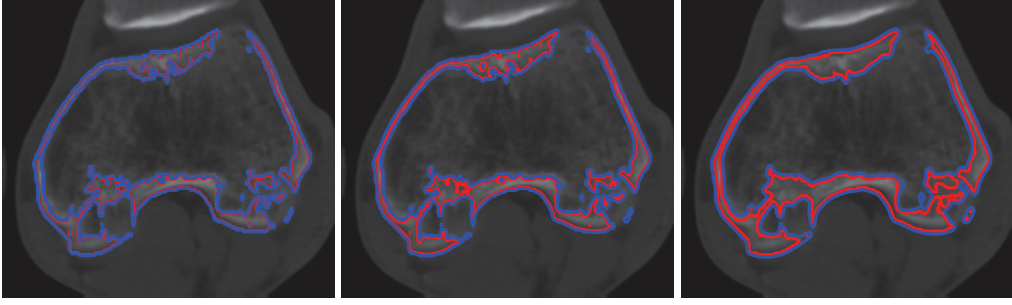


Figure 2: Evolution of the repairing volume method. From left to right, they are results at 0, 3, and 20 iterations. Red and blue lines denote the levels  $\phi = 1$  and  $\phi = 0.5$ , respectively. Note that we perform the computation in the three dimensional domain.

173  $(\phi_t = -F'(\phi)/\varepsilon^2 + \Delta\phi + \lambda(\psi - \phi))$ , modified level set method  $(\phi_t = |\nabla\phi|\nabla \cdot$   
 174  $(\nabla\phi/|\nabla\phi|) + \lambda(\psi - \phi))$ , and Laplacian smoothing method  $(\phi_t = \Delta\phi + \lambda(\psi - \phi))$ ,  
 175 respectively. With the classical AC equation, the noises are perfectly removed  
 176 with missing the detail information of original image as shown in Fig. 3(b). The  
 177 tips of the star move inward, while the gaps between the tips move outward, be-  
 178 cause the AC equation has the motion by mean curvature. On the other hand,  
 179 our method smooths away noises while preserving the image detail as shown in  
 180 Fig. 3(c). The modified level set method also works well as shown in Fig. 3(d).  
 181 However in the level set framework, an explicit time integration scheme is a gen-  
 182 eral choice for the mean curvature flow, which requires a small time step in order  
 183 to ensure the numerical stability. In addition, the Laplacian smoothing method  
 184 is simple and works well. But it leads to a over-smooth result compared to our  
 185 proposed method.

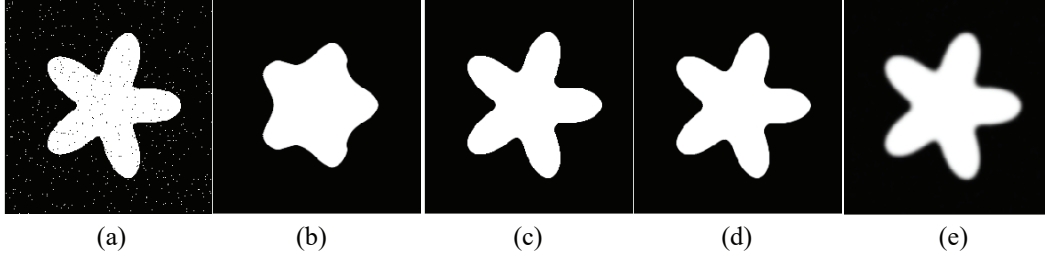


Figure 3: Results obtained by several different methods. (a) Synthetic image with noises. (b-e) Results obtained by AC equation ( $\phi_t = -F'(\phi)/\varepsilon^2 + \Delta\phi$ ), our proposed method ( $\phi_t = -F'_x(\phi)/\varepsilon^2 + \Delta\phi + \lambda(\psi - \phi)$ ), modified level set method ( $\phi_t = |\nabla\phi|\nabla \cdot (\nabla\phi/|\nabla\phi|) + \lambda(\psi - \phi)$ ), and Laplacian smoothing method ( $\phi_t = \Delta\phi + \lambda(\psi - \phi)$ ), respectively.

### 186 3.2. Opacity setting of the repaired volumes

187 In practice, some incorrectly voxels are partially reduced by assigning certain  
 188 weights to their opacity values. The solution of Eqs.(2)-(4),  $\phi(\mathbf{x})$ , provides the  
 189 probability of the voxel belonging to the true volume. Note that we regard the  
 190 solution  $\phi(\mathbf{x})$  as the steady state solution, if the relative error  $\partial\phi(\mathbf{x},t)/\partial t$  is less  
 191 than a tolerance  $tol$ . We determine the voxels with high probabilities such as  
 192  $\phi(\mathbf{x}) > \alpha$  be the repaired volume voxels. Here  $\alpha \in (0, 1]$  is a constant. We will  
 193 design the transfer function based on the obtained solution  $\phi(\mathbf{x})$  and the given  
 194 volume data  $\psi(\mathbf{x})$ . Every voxel in the volume is assigned with an opacity value by  
 195 its probability value. Generally, higher the probability value of a voxel, larger the  
 196 opacity of the voxel. However, some voxels, which are not volume voxels, may  
 197 be assigned with high probabilities. Then we will modify their opacity values  
 198 by assigning them with particular weights computed based on their gray values

199  $f(\mathbf{x})$ . Usually, those voxels have similar gray values in their neighbor regions. If  
 200 a recovered volume voxel has a gray value among its distribution, then it will be  
 201 assigned with a large weight, otherwise it will be assigned with a small weight.  
 202 Larger the distance, smaller the weight. In this paper, we use the following opacity  
 203 setting:

$$Opa(\mathbf{x}) = \begin{cases} \mathbf{w}\phi(\mathbf{x}), & \text{if } \phi(\mathbf{x}) > \alpha, \\ 0, & \text{otherwise,} \end{cases} \quad (7)$$

204 where

$$\mathbf{w} = \begin{cases} 1, & \text{if } \psi(\mathbf{x}) = 1, \\ \beta, & \text{if } \psi(\mathbf{x}) = 0 \text{ and } \mathbf{x} \in \mathbf{K}_8, \\ \gamma, & \text{otherwise.} \end{cases} \quad (8)$$

205 Here  $\beta \in (0, 1)$ ,  $\gamma \in (0, 1)$ , and  $\beta > \gamma$ .  $\psi(\mathbf{x}) = 1$  implies that the voxel  $\mathbf{x}$  is in  
 206 the given volume region.  $\mathbf{x} \in \mathbf{K}_8$  means the scalar value of 3D image at  $\mathbf{x}$  satisfies  
 207  $|f(\mathbf{x}) - f_{max}| \leq 8$  or  $|f(\mathbf{x}) - f_{min}| \leq 8$ . Here  $f_{max}$  and  $f_{min}$  are the maximum and  
 208 minimum scalar values of 3D image, respectively. All through the paper, we set  
 209  $\alpha = 0.3$ ,  $\beta = 0.7$ , and  $\gamma = 0.3$ . It implies that we only consider the voxel, whose  
 210 property is larger than 0.3. If the considered voxel has been already labeled in the

211 given volume, we will set  $w = 1$  and make the voxel be completely transparen-  
 212 t. Otherwise, we will set  $w = \beta = 0.7$ , if its gray value is much similar with its  
 213 neighbor region in the 3D image. If the considered voxel has not been labeled in  
 214 the given volume and its gray value is much different compared with its neigh-  
 215 borhood in the 3D image, we will set  $w = \gamma = 0.3$  to make its volume with a low  
 216 opacity. Note that  $\alpha$ ,  $\beta$ , and  $\gamma$  are chosen based on the experience of user. In  
 217 summary, the flowchart of the framework for improving the visualized volume is  
 drawn in Fig. 4.

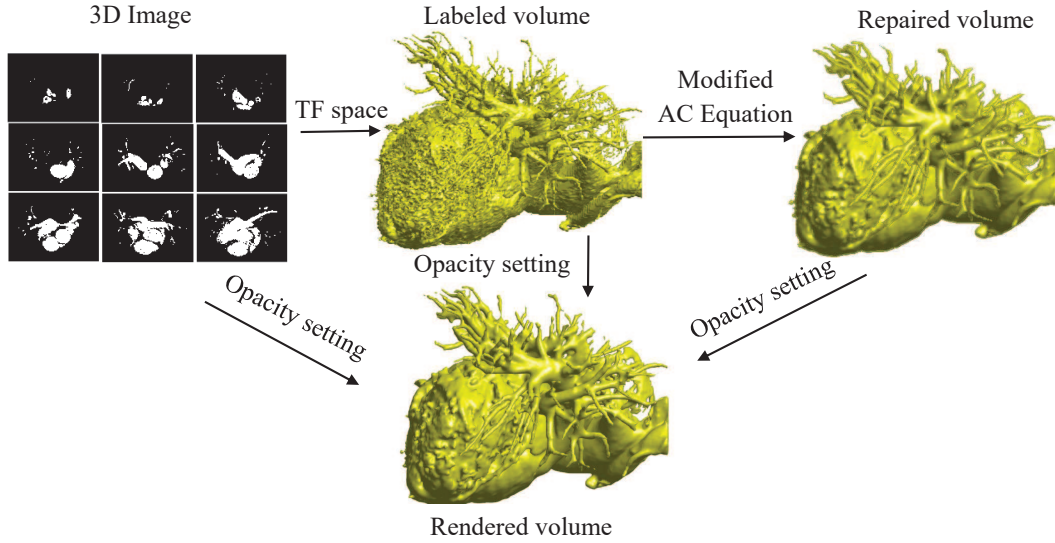


Figure 4: The flowchart of the framework for improving the visualized volume.

218

219 *3.3. Numerical computation*

220 Let  $x_i = ih_x$ ,  $y_j = jh_y$ ,  $z_k = kh_z$ ,  $1 \leq i \leq N_x$ ,  $1 \leq j \leq N_y$ , and  $1 \leq k \leq N_z$ , where  
 221  $N_x$ ,  $N_y$ , and  $N_z$  are positive integers and  $h_x$ ,  $h_y$ ,  $h_z$  are the uniform mesh spaces. Let  
 222  $\mathbf{x}_{ijk} = (x_i, y_j, z_k)$  and  $\phi_{ijk}^n$  be an approximation of  $\phi(\mathbf{x}_{ijk}, n\Delta t)$ , where  $\Delta t$  is the time  
 223 step. To obtain an unconditional stable scheme, we split the original problem (2)  
 224 into a sequence of simpler problems by using the operator splitting-based hybrid  
 225 numerical method:

$$\begin{cases} \frac{\partial}{\partial t} \phi_1(\mathbf{x}, t) = \lambda(\mathbf{x})(\psi(\mathbf{x}) - \phi_1(\mathbf{x}, t)), & (n-1)\Delta t < t \leq n\Delta t, \\ \phi_1(\mathbf{x}, (n-1)\Delta t) = \phi(\mathbf{x}, (n-1)\Delta t), \end{cases} \quad (9)$$

$$\begin{cases} \frac{\partial}{\partial t} \phi_2(\mathbf{x}, t) = \Delta \phi_2(\mathbf{x}, t), & (n-1)\Delta t < t \leq n\Delta t, \\ \phi_2(\mathbf{x}, (n-1)\Delta t) = \phi_1(\mathbf{x}, n\Delta t), \end{cases} \quad (10)$$

226 and

$$\begin{cases} \frac{\partial}{\partial t} \phi_3(\mathbf{x}, t) = -\frac{F'(\phi_3)}{\varepsilon^2}, & (n-1)\Delta t < t \leq n\Delta t, \\ \phi_3(\mathbf{x}, (n-1)\Delta t) = \phi_2(\mathbf{x}, n\Delta t). \end{cases} \quad (11)$$



227 Here  $\phi_1$ ,  $\phi_2$ , and  $\phi_3$  can represent the solutions for the subproblems (9), (10), and  
 228 (11), respectively. Then the split solution at time  $t = n\Delta t$  is defined as  $\phi(\mathbf{x}, n\Delta t) =$   
 229  $\phi_3(\mathbf{x}, n\Delta t)$ . For a fixed  $\mathbf{x}$ , Eq. (9) is a separable ordinary differential equation, i.e.,  
 230  $\lambda dt + \frac{1}{\phi - \psi} d\phi = 0$ . With the initial condition  $\phi_{ijk}^n$ , we have the following solution  
 231 after  $\Delta t$ :

$$\phi_{1,ijk}^{n+1} = e^{-\lambda\Delta t} \phi_{ijk}^n + (1 - e^{-\lambda\Delta t}) \psi_{ijk}. \quad (12)$$

232 Next, we solve Eq. (10) by applying an implicit method with  $\phi_1^{n+1}$  and homo-  
 233 geneous Neumann volume condition, that is,

$$\frac{\phi_{2,ijk}^{n+1} - \phi_{1,ijk}^{n+1}}{\Delta t} = \Delta \phi_{2,ijk}^{n+1}. \quad (13)$$

234 The resulting discrete equations is solved by a fast solver such as fast discrete  
 235 cosine transform. Then, for a fixed  $\mathbf{x}$ , Eq. (11) is a separable ordinary differential  
 236 equation, i.e.,

$$0 = \frac{dt}{\varepsilon^2} + \frac{d\phi}{F'(\phi)} = \frac{dt}{\varepsilon^2} + \frac{-2d\phi}{\phi} + \frac{4d\phi}{\phi - 0.5} + \frac{2d\phi}{1 - \phi}. \quad (14)$$

237 With the initial condition  $\phi_{2,ijk}^{n+1}$ , the solution can be obtained as

$$\phi_{ijk}^{n+1} = \phi_{3,ijk}^{n+1} = \frac{1}{2} + \frac{\phi_{2,ijk}^{n+1} - 0.5}{\sqrt{e^{\frac{-\Delta t}{2\varepsilon^2}} + (2\phi_{2,ijk}^{n+1} - 1)^2(1 - e^{\frac{-\Delta t}{2\varepsilon^2}})}}. \quad (15)$$

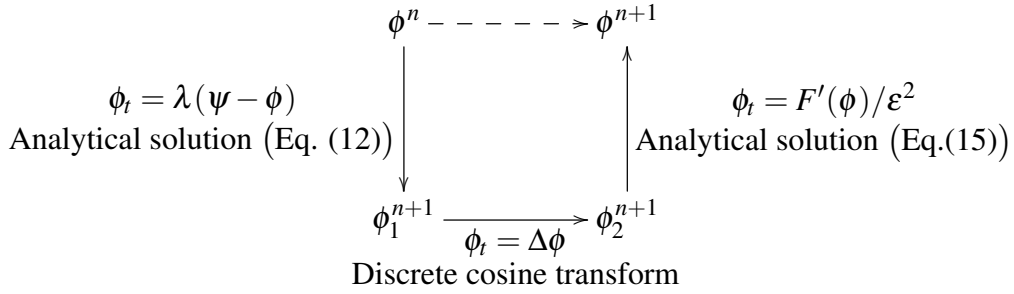


Figure 5: A hybrid numerical method for the original problem (2).

238 The proposed operator splitting algorithm is shown schematically in Fig. 5.  
 239 The procedure of improving volume repairing is simple and summarized here.  
 240 Beginning with the volume  $\psi$  from a 3D image using the TF method, we perform  
 241 Eqs. (12)-(15), until  $\|\phi^{n+1} - \phi^n\|_2^2 / \|\phi^n\|_2^2 < tol$ . Then we set opacity by using  
 242 Eqs. (7)-(8) and render the repaired volume. Our proposed numerical scheme has  
 243 a merit that it can be straightforwardly applied to GPU-accelerated DCT imple-  
 244 mentation that performs up to many times faster than CPU-only alternatives.

245 *3.4. Unconditional stability of our numerical method*

246 In this section, we will prove the unconditional stability of our proposed scheme.

247 For Eq. (12), since  $\phi^n$  and  $\psi$  are assumed as in  $[0, 1]$ , we get

$$0 \leq \phi_1^{n+1} \leq e^{-\lambda \Delta t} + (1 - e^{-\lambda \Delta t}) = 1. \quad (16)$$

248 Since Eq. (13) is a heat equation, its implicit numerical scheme is unconditionally

249 stable and the inequality  $\inf(\phi_1^{n+1}) \leq \phi_2^{n+1} \leq \sup(\phi_1^{n+1})$  is satisfied by the dis-

250 crete minimum and maximum principles [25]. Therefore,  $\phi_2^{n+1} \in [0, 1]$  because

251  $\phi_1^{n+1} \in [0, 1]$ . Secondly, for Eq. (15), we get

$$\phi^{n+1} = \begin{cases} 1 & \text{if } \phi_2^{n+1} = 1, \\ \frac{1}{2} + \frac{1}{2\sqrt{1 + ((2\phi_2^{n+1} - 1)^{-2} - 1)e^{\frac{-\Delta t}{2\varepsilon^2}}}} \leq 1 & \text{if } \phi_2^{n+1} \in (0.5, 1), \\ \frac{1}{2} & \text{if } \phi_2^{n+1} = 0.5, \\ \frac{1}{2} - \frac{1}{2\sqrt{1 + ((2\phi_2^{n+1} - 1)^{-2} - 1)e^{\frac{-\Delta t}{2\varepsilon^2}}}} \geq 0 & \text{if } \phi_2^{n+1} \in (0, 0.5), \\ 0 & \text{if } \phi_2^{n+1} = 0. \end{cases} \quad (17)$$

252 Thus if  $\phi_2^{n+1} \in [0, 1]$ , then  $\phi^{n+1} \in [0, 1]$ . Therefore our proposed scheme, Eqs. (12-

253 15), is unconditionally stable for any time step, because any numerical solution  $\phi$

254 is bounded and is always in  $[0, 1]$ .

## 255 4. Experimental results

256 In this section, we use the following parameters:  $\Delta t = 0.5$ ,  $h_x = h_y = 1$ ,  $tol =$   
 257  $1e-4$ , and  $\lambda_0 = 5$ .  $h_z$  is set according to the inter-slice spacing in CT images.  $\varepsilon$   
 258 is defined as  $\varepsilon = \varepsilon_m = hm/[4\sqrt{2}\tanh^{-1}(0.9)]$  and  $m = 12$  is chosen in this paper.  
 259 We apply our method to improve the quality of volumes for several CT data sets.  
 260 Figure 6 and Figure 7 show the repaired volumes by the proposed method. It can  
 261 be observed that the original volume defects are well repaired.

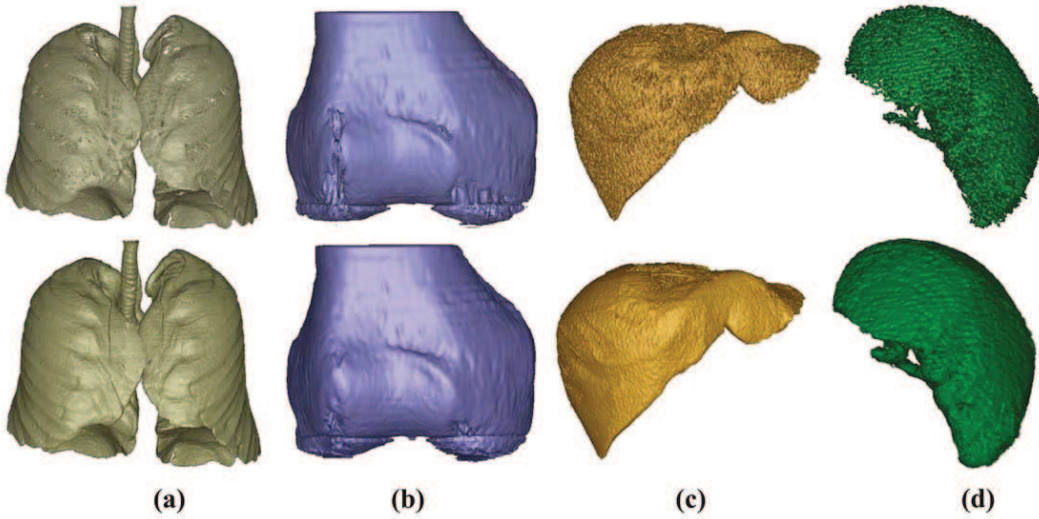


Figure 6: Repaired volumes by the proposed method. The first and second rows are the original and repaired volumes, respectively.

262 Table 1 provides the information of the iteration number and the CPU time.  
 263 The CPU times (seconds) of our calculations, which are performed in *MATLAB*,

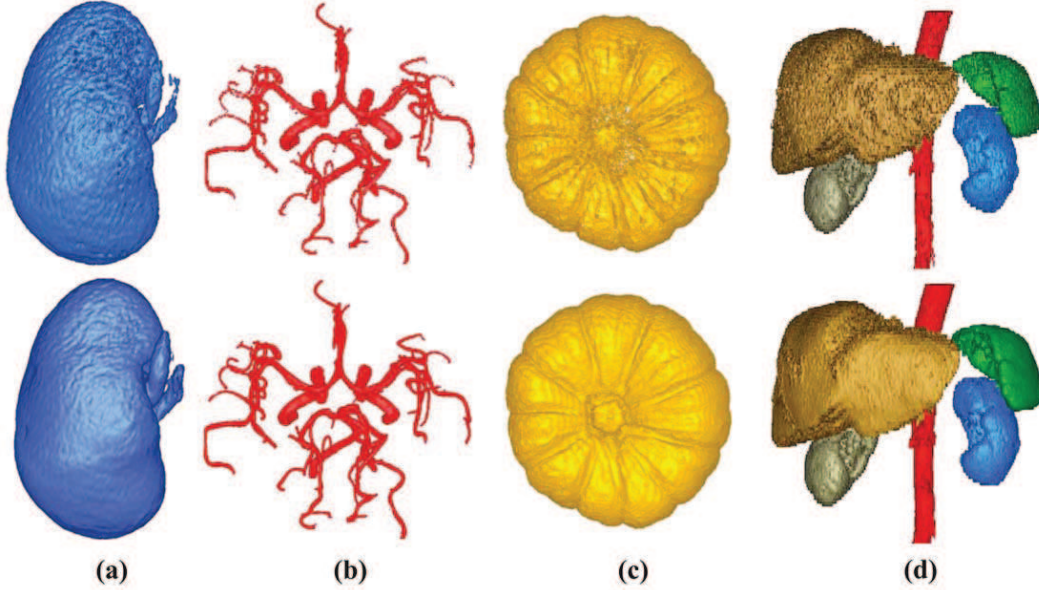


Figure 7: Repaired volumes by the proposed method. The first and second rows are the original and repaired volumes, respectively.

are measured on a desktop computer with 3.6 GHz with 16 G of RAM. As can be observed that the proposed method can achieve fast convergence after a few iterations, as expected from the unconditionally stable discrete scheme. Observing the elapsed CPU time, we can see that our method is fast, since our algorithm consists of two explicit evaluations of the closed-form solutions and one implicit heat equation solver. For the two linear equations, their computational complexities are  $O(N)$ , where  $N$  is the size of the mesh grid. For the heat equation solver, we apply a GPU-accelerated fast discrete cosine transform method with a computational complexity of  $O(N \log N)$ . Therefore our method is fast and simple.

Table 1: Performance of our proposed method.

| Case     | Mesh size                   | $h_x : h_y : h_z$ | Iterations | CPU time (s) |
|----------|-----------------------------|-------------------|------------|--------------|
| Fig.6(a) | $112 \times 120 \times 104$ | 1 : 1 : 1.5       | 14         | 0.280        |
| Fig.6(b) | $192 \times 192 \times 128$ | 1 : 1 : 1.0       | 20         | 1.516        |
| Fig.6(c) | $256 \times 256 \times 80$  | 1 : 1 : 2.5       | 33         | 3.954        |
| Fig.6(d) | $512 \times 512 \times 100$ | 1 : 1 : 3.4       | 26         | 8.614        |
| Fig.7(a) | $448 \times 328 \times 272$ | 1 : 1 : 1.5       | 33         | 18.65        |
| Fig.7(b) | $168 \times 152 \times 128$ | 1 : 1 : 1.0       | 15         | 0.740        |
| Fig.7(c) | $312 \times 232 \times 168$ | 1 : 1 : 1.5       | 19         | 3.495        |
| Fig.7(d) | $168 \times 152 \times 40$  | 1 : 1 : 3.8       | 18         | 0.312        |

#### 273 4.1. Comparisons with related works and accuracy test

274 In [3], volume defects were repaired by directly dilating given volume voxels  
275 with a radius in 3D images. However, due to the dilation operation, the volumes  
276 repaired in [3] are usually thicker than the real volumes, as shown Fig. 8(b).  
277 Unlike the method in [3], the repaired volumes using our proposed method are in  
278 quality agreement with the real volumes, as illustrated in Fig. 8(a). Figure 8(c)  
279 and (d) are the two dimensional results of Fig. 8 (a) and (b), respectively. Here  
280 the original CT image is overlapped with the labeled volume. It implies that our  
281 proposed method is more appropriate for repairing volumes than one in [3].

282 Figure 9 shows the original volume over some CT slices of a kidney and re-  
283 paired volume by using our proposed method. It can be seen that, the repaired  
284 volume without holes is in good agreement with the real volume in CT images.

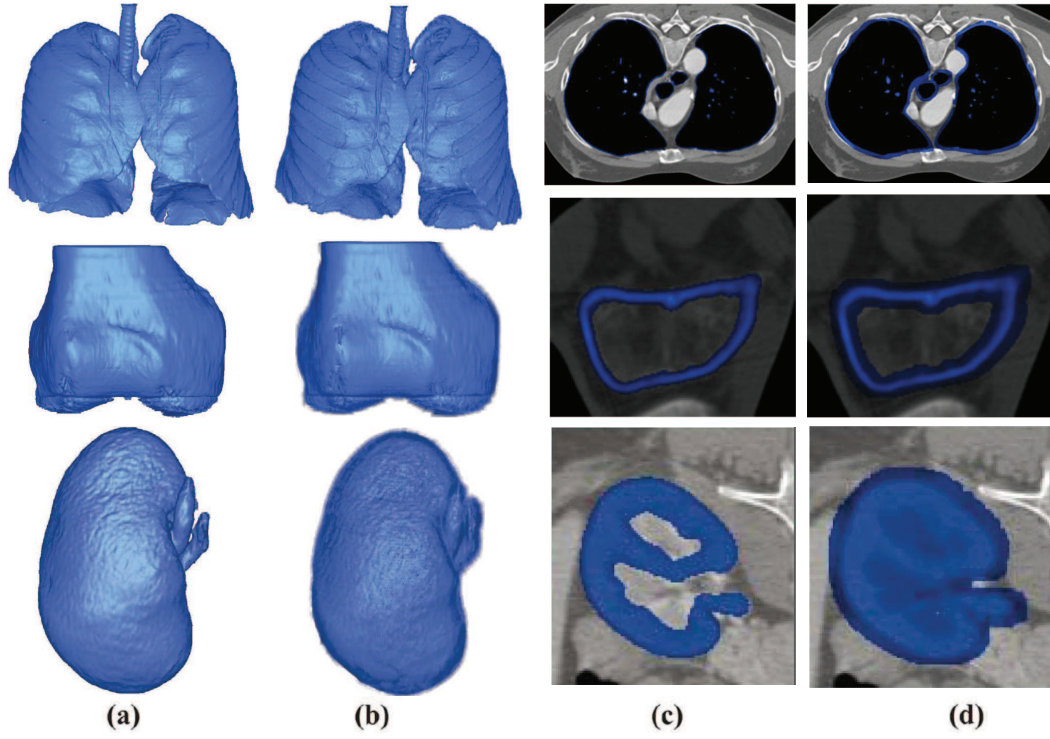


Figure 8: Comparison between our proposed model (a) and Lan et. al's model [3](b) in the CT slice. (c) and (d) are the two dimensional results of (a) and (b), respectively. Here the original CT image is overlapped with the marked voxel.

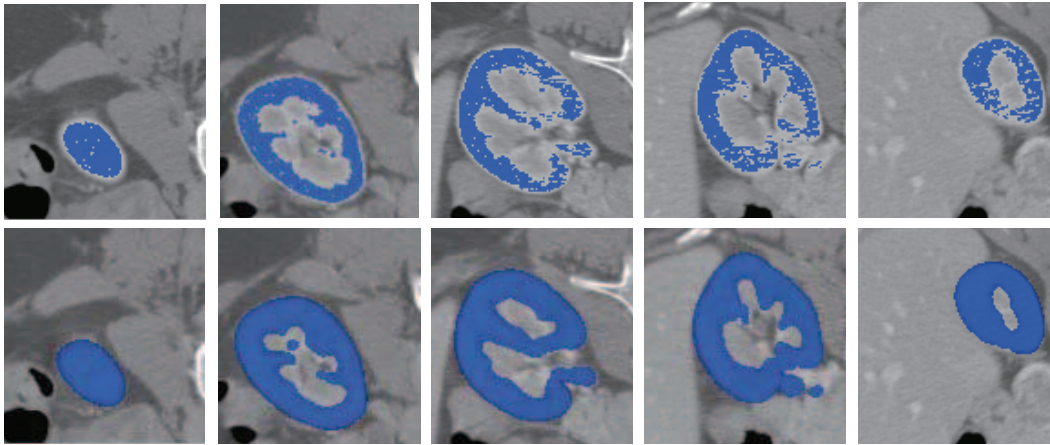


Figure 9: From top to bottom are 2D sectional slices with known volume and 2D sectional slices with repaired volume, respectively. From left to rights are the slices at 10, 30, 50, 70, and 90, respectively.

285 In Fig. 10, we compare the visualized results of volumes repaired by our pro-  
 286 posed method with the ground-truth results (i.e., organs such as CT Liver, Aorta,  
 287 Left Kidney, Right Kidney, and Spleen manually labeled from the CT image by  
 288 experts). As can be seen that the agreement between the repaired volume and the  
 289 ground-truth is obvious.

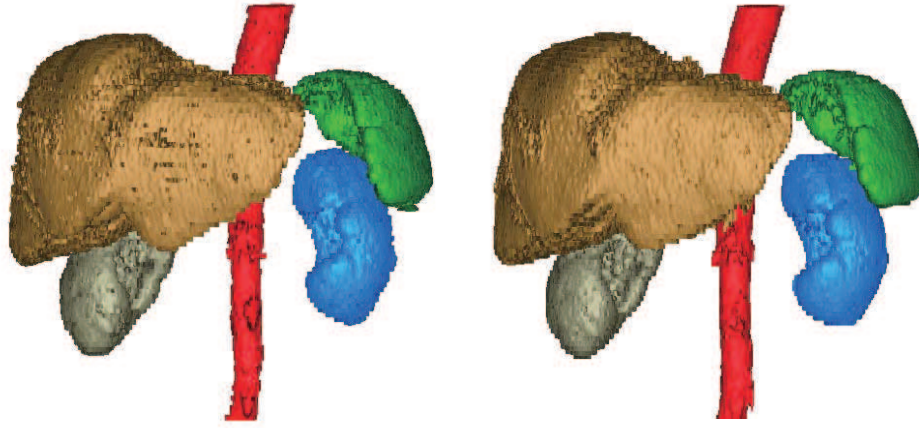


Figure 10: Comparison between ground-truth (left) and our proposed model (right).

290 We evaluate the quality of the volume based on the ground truth of multi-atlas  
 291 of some abdominal CT images. To get an accurate evaluation of the proposed  
 292 method, the criteria of Recall, Precision and DSC are employed. Precision is  
 293 defined as the ratio of classified positive volumes to the total number classified  
 294 volumes. Recall is defined as the ratio of the number of classified positive vol-  
 295 umes to the total number of positive volumes in the ground-truth. DSC is dice



coefficient. The definition of the performance measures are given below:

$$Recall = \frac{TP}{TP + FN}, \quad Precision = \frac{TP}{TP + FP}, \quad \text{and} \quad DSC = \frac{2TP}{2TP + FP + FN}. \quad (18)$$

Where, TP (true positives) is the total number of organ voxels which are correctly classified, FN (false negatives) accounts for the number of organ volumes which are incorrectly classified, and FP (false positives) is the total number of those volumes that are incorrectly classified as organ volumes. Laplacian smoothing method can smooth a volume data and fill the holes by using the following governing equation:  $\frac{\partial \phi(\mathbf{x}, t)}{\partial t} = \Delta \phi(\mathbf{x}, t) + \lambda(\mathbf{x})(\psi(\mathbf{x}) - \phi(\mathbf{x}, t))$ . Note that as  $\varepsilon \rightarrow \infty$ , our method will become the Laplacian smoothing method. To compare with the results obtained by using the previous method [3] and the Laplacian smoothing method, we put them together. Table 2 shows the accurate evaluations of the three mentioned methods. We can see that the Precision values of the three methods are qualitatively in good agreement with the theoretical values. Because the previous method [3] directly dilates all known volumes with a given radius as shown in Fig. 8(a) and (c), almost all correctly classified volumes can be marked. Hence, the number of incorrectly classified volumes as organ volumes approximates to zero. As a result, its precision values are much higher. However, because the

previous method [3] usually incorrectly marks those volumes that are not positive volumes as positive volumes, its Recall and DSC values are generally not good. It should be noted that we should evaluate the performance of the model by considering generally various performance indexes. On the other hand, our proposed method can obtain much higher Recall and DSC values than those the previous method [3] and Laplacian smoothing method. Combining the vision results in Fig. 8 and the quantitative results in Table 2, we can see that our proposed method is more efficient compared with the previous method [3] and Laplacian smoothing method.

Table 2: Accurate evaluation of the proposed method. Laplacian smoothing method can be developed by using the following equation:  $\phi_t = \Delta\phi + \lambda(\psi - \phi)$ . Note that as  $\varepsilon \rightarrow +\infty$ , our method will become the Laplacian smoothing method.

| Case      | Our proposed method |             |             | Previous method [3] |             |      | Laplacian smoothing method |           |      |
|-----------|---------------------|-------------|-------------|---------------------|-------------|------|----------------------------|-----------|------|
|           | Recall              | Precision   | DSC         | Recall              | Precision   | DSC  | Recall                     | Precision | DSC  |
| Spleen    | <b>0.91</b>         | 0.98        | <b>0.86</b> | 0.78                | <b>0.99</b> | 0.62 | 0.88                       | 0.96      | 0.75 |
| Kidney(R) | <b>0.92</b>         | 0.98        | <b>0.87</b> | 0.76                | <b>0.99</b> | 0.64 | 0.87                       | 0.96      | 0.82 |
| Kidney(L) | <b>0.89</b>         | <b>0.99</b> | <b>0.81</b> | 0.78                | <b>0.99</b> | 0.64 | 0.83                       | 0.97      | 0.75 |
| Liver     | <b>0.94</b>         | 0.96        | <b>0.96</b> | 0.89                | <b>0.98</b> | 0.81 | 0.89                       | 0.94      | 0.90 |
| Aorta     | <b>0.93</b>         | 0.97        | <b>0.91</b> | 0.79                | <b>0.99</b> | 0.67 | 0.85                       | 0.93      | 0.84 |

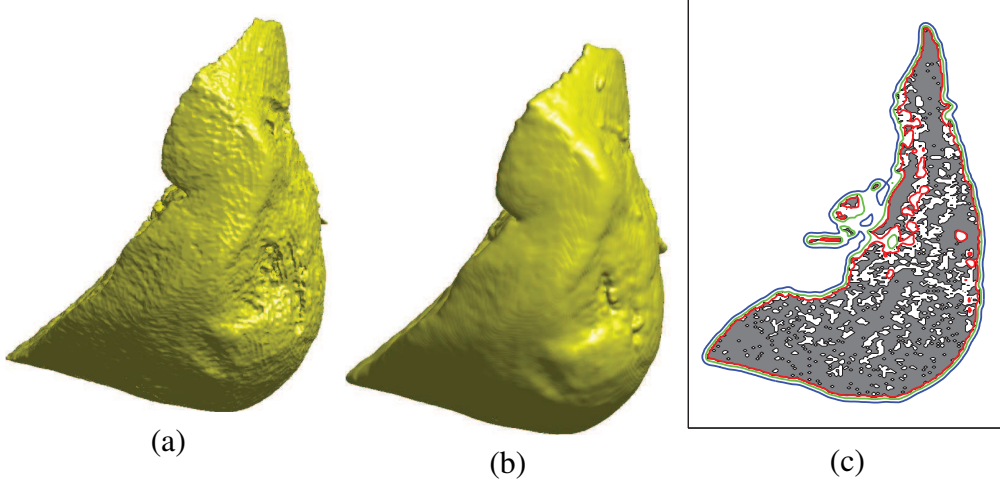


Figure 11: (a) 0.9 level of repaired volume, (b) 0.1 level of repaired volume, (c) show the cut view of repaired volume. To compared with the original volume, we put them together. Gray regions represent the original volume. Red, green, and blue lines denote 0.9, 0.5, and 0.1 levels of repaired volume, respectively.

#### 321 4.2. Results with different levels of $\phi$

322 Our proposed method has a merit that it can straightforwardly obtain the differ-  
 323 ent probability distributions from the known volume  $\psi(\mathbf{x})$ . Figure 11 (a) and (b)  
 324 show 0.9 and 0.1 levels of repaired volume (isosurface) from the original volume  
 325  $\psi(\mathbf{x})$ , respectively. These isosurfaces can be considered as the volumes, which  
 326 have the 90% and 10% probabilities of repaired volume, respectively. Figure 11  
 327 (c) shows the cut view of repaired volume. To compare with the original volume,  
 328 we put them together. Gray regions represent the original volume. Red, green,  
 329 and blue lines denote 0.9, 0.5, and 0.1 levels of repaired volume, respectively. As  
 330 can be seen, 0.9 level of  $\phi$  is in good agreement with the real volume, which im-

331 plies our model can remain the topology of volume. And other levels of repaired  
 332 volume can provide the volume rendering information for users.

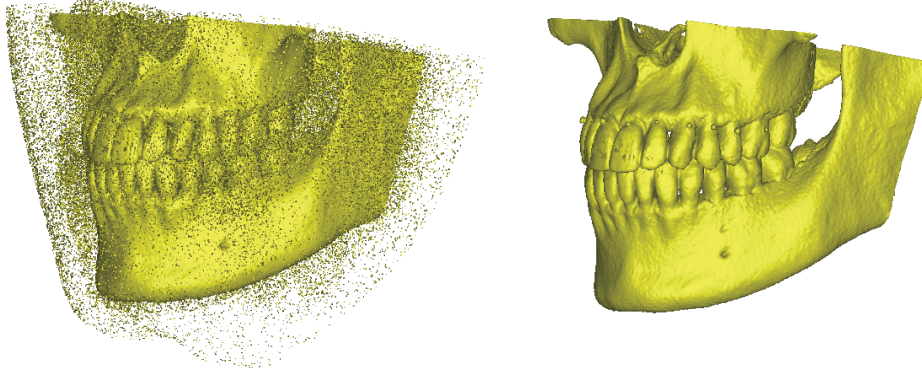


Figure 12: Volume repairing in removal of noises. From left to right, they are the known volume and repaired volume, respectively.

#### 333 4.3. Removing noise points in the repairing

334 In practice, there are outliers or conflicting points in a given volume. Our pro-  
 335 posed method can obtain a smooth volume, due to the mean curvature motion of  
 336 Allen-Cahn equation. Figure 12 shows the volume repairing in removal of noises.  
 337 From left to right, they are the given volume and repaired volume, respectively.  
 338 As can be observed, our proposed method can obtain a clear result.

#### 339 4.4. Parameter sensitivity analysis

340 In this section, we will perform parameter sensitivity analysis for the model  
 341 parameters  $\lambda_0$  and  $\varepsilon$ . The last term in Eq.(2) is the fidelity term that enforces

342 new version ( $\phi$ ) to be the known volume ( $\psi$ ).  $\lambda_0$  balances the motion by the  
 343 mean curvature flow and the fidelity term. If  $\lambda_0 = 0$ , our proposed method in Eq.  
 344 (2) becomes the classical Allen-Cahn equation. With the classical Allen-Cahn  
 345 equation, the noises are perfectly removed with missing the detail information of  
 346 Aneurism as shown in Fig. 13(a). On the other hand, with a suitable large  $\lambda_0$ ,  
 347 our method (Eq. (2)) smooths away noises while preserving volume detail and  
 348 sharp features as shown in Fig. 13(b). If the original volume is with high noises  
 349 (10% Salt-and-pepper noise),  $\lambda_0$  should be small to make the motion by the mean  
 350 curvature flow be dominant. Thus the noises from the original volume can be  
 351 removed (see Fig. 13(c)). Otherwise the fitting term is dominant and the restored  
 352 volume tends to become the original one with noises (see Fig. 13(d)).

353  $\lambda_0$  is an importance parameter. However, how to choose a suitable value  $\lambda_0$  is a  
 354 question. Our proposed method can straightforwardly show the restriction of used  
 355  $\lambda_0$ . Observing Eq.(12), we can find that if  $\lambda_0$  is larger than  $10/\Delta t$ , then  $e^{-\lambda_0 \Delta t} \approx 0$   
 356 and  $\check{\phi}^{n+1} \approx \psi$  for any time, which implies that the noise will remain (see Fig.  
 357 13(d)). We also can find that if  $\lambda_0 < 0.1/\Delta t$ , then  $\phi^{n+1} \approx 0.9\phi^n + 0.1\psi$  will be  
 358 much different with  $\psi$ . In this case, the results obtained by our proposed method  
 359 will not be able to hold the original topological shape (see Fig. 13(a)). Therefore,  
 360 we suggest to use  $0.1/\Delta t < \lambda_0 < 10/\Delta t$ . The role of  $\varepsilon$  is interface thickness of a

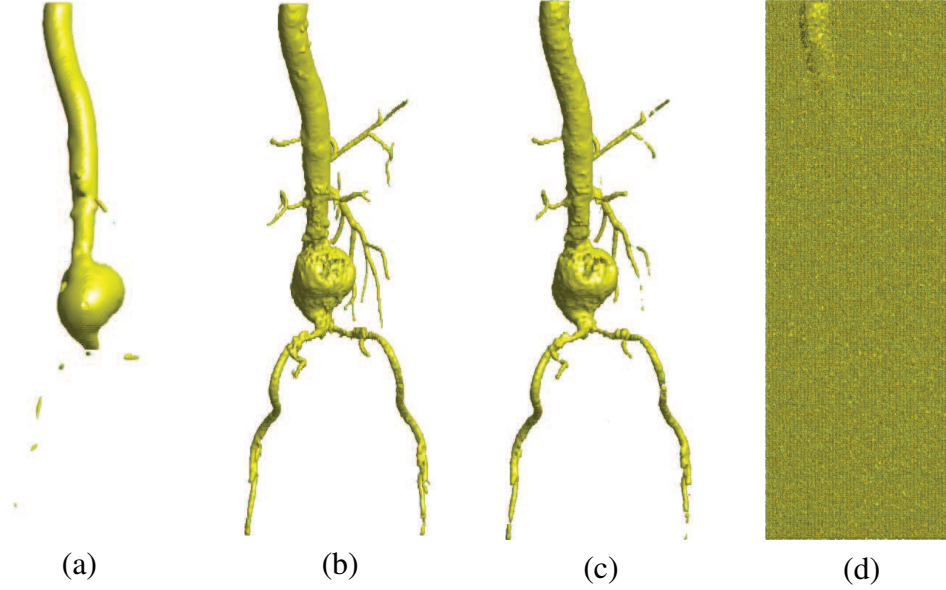


Figure 13: Parameter sensitivity analysis for  $\lambda_0$ . From left to right, the first two results are repaired volumes for the volume without noises. The second two ones are for the volume with 10% Salt-and-pepper noises. (a)  $\lambda_0 = 0$ , (b)  $\lambda_0 = 5$ , (c)  $\lambda_0 = 0.5$ , and (d)  $\lambda_0 = 5$ .

361 transition layer of the separated region which represents two different states. We  
 362 take the same initial condition except for different interface parameter values  $\varepsilon_5$   
 363 and  $\varepsilon_{20}$ . From the results shown in Fig. 14, we can observe that when  $\varepsilon$  is too  
 364 small, interfacial transition is too sharp. On the other hand, if it is too large, the  
 365 volume will become thicker. It should be noted that we can see from Fig. 14 that  
 366 whenever  $\varepsilon$  is larger or smaller used, our model can fill the small holes and remain  
 367 the topology of the known volume.

368 Eqs. (2)-(4) keep the total energy  $\mathcal{E}(\phi)$  decrease with time, which implies  
 369 the solution of our proposed method is uniqueness. Therefore, we can stop the

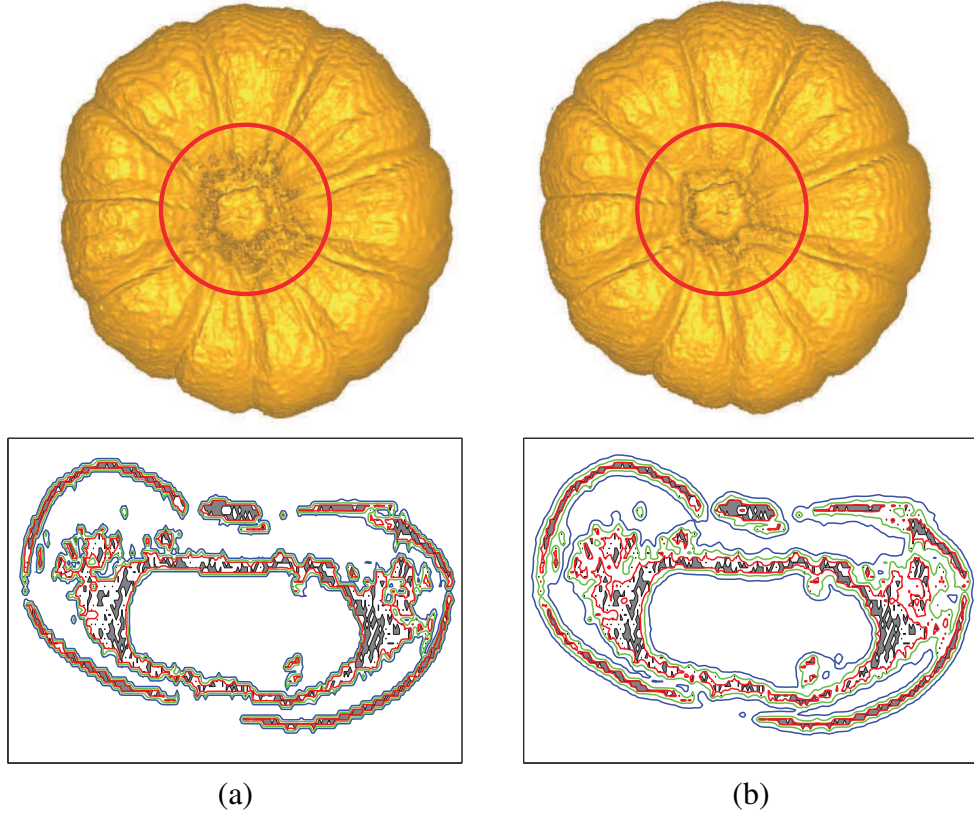


Figure 14: Parameter sensitivity analysis for  $\epsilon$ . (a)  $\epsilon = \epsilon_5$ , (b)  $\epsilon = \epsilon_{20}$ . Top row: whole view of repairing volume. Bottom row: vertical section of repaired volume. Gray regions represent the known volume. Red, green, and blue lines denote 0.9, 0.5, and 0.1 levels of repaired volume, respectively. When  $\epsilon$  is too small, interfacial transition is too sharp. On the other hand, if is too large, the volume of volume will become thicker (See the circle region).

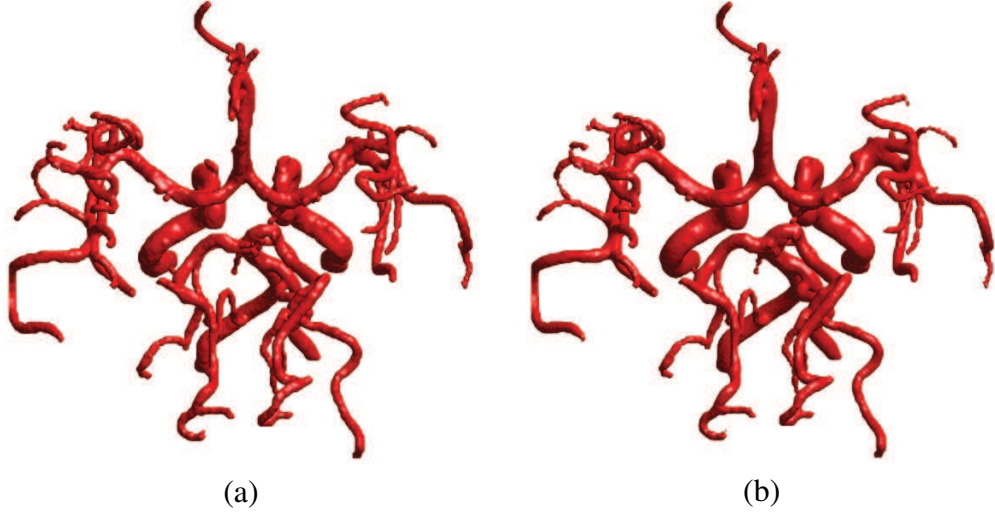


Figure 15: Parameter sensitivity analysis for the stop condition. (a)  $tol = 1e - 2$ . (b)  $tol = 1e - 6$ .

evolution and regard the numerical result as the steady state solution, when the  
 relative error  $\|\phi^{n+1} - \phi^n\|_2^2 / \|\phi^n\|_2^2$  is less than a tolerance  $tol$ . Figure 15(a) and  
 (b) show the repaired volume with  $tol = 1e - 2$  and  $tol = 1e - 6$ , respectively.  
 The used iterations are 6 and 98 for  $tol = 1e - 2$  and  $tol = 1e - 6$ , respectively. As  
 can be seen, the two results are much similar. Although the volume obtained by  
 using  $tol = 1e - 6$  seems slightly smooth than that using  $tol = 1e - 2$ , a larger  $tol$   
 requires much more iterations, until the relative error for the numerical solution is  
 less than the given  $tol$ . The good stopping condition is important for the efficiency  
 of our PDE based method. In this paper, we suggest to use  $tol = 1e - 4$ .



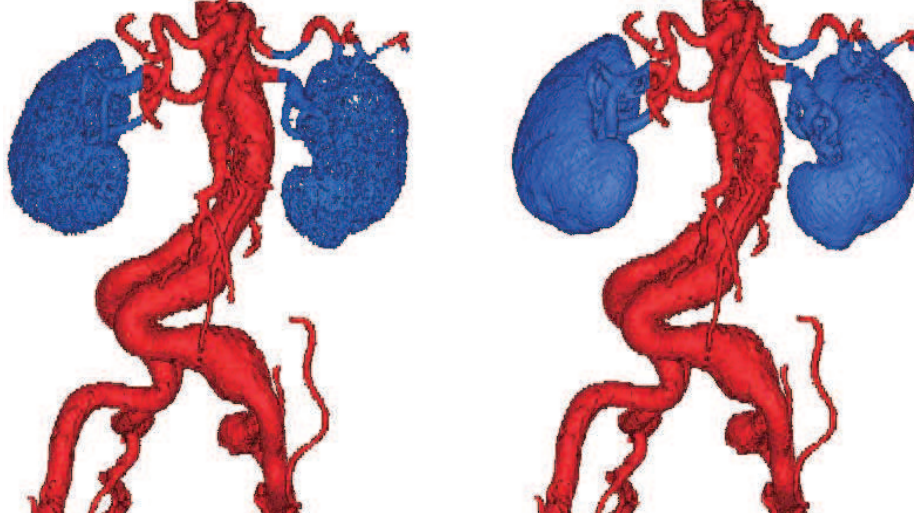


Figure 16: Repair defects over a specific patch. From left to right, they are initial volume and repaired volume, respectively. Here the blue region is the marked region.

#### 379 4.5. Repair defects over a specific patch

380 In practice, we may want to repair defects over a specifically marked patch of  
 381 the visualized volume but not over the whole visualized  $\psi$ . As shown in the left  
 382 figure of Fig. 16, we only want to repair the Kidney over a specific patch which  
 383 is marked as blue region, but not over the whole domain, because other regions  
 384 are smooth without holes. Here we will modify our method to repair defects  
 385 over a specific patch of the visualized volume, which is particularly marked by  
 386 users. Assume  $\Omega_M$  be the marked domain, which contains specific patch of the

387 visualized volume, we introduce a control function  $g(\mathbf{x})$ , which is defined as

$$g(\mathbf{x}) = \begin{cases} 1 & \text{if } \mathbf{x} \in \Omega_M, \\ 0 & \text{otherwise.} \end{cases} \quad (19)$$

388 Then the extension of our proposed model can be defined as

$$\frac{\partial \phi}{\partial t} = g \left( -\frac{F'(\phi)}{\varepsilon^2} + \Delta \phi + \lambda(\psi - \phi) \right). \quad (20)$$

389 Observing Eq. (20), we can find that if  $\mathbf{x}$  locates in  $\Omega_M$ , we will perform our pro-  
 390 posed method to repair defect. Otherwise, there will be no computations, which  
 391 replies that the information in that regions will remain. A numerical test for CT  
 392 aneurism image is performed and the numerical result is shown in Fig. 16. As can  
 393 be seen, the volume repairing is successfully done.

## 394 5. Conclusion and future work

395 In this paper, we discussed volume repairing problem to generate high quality  
 396 rendering results. By the constrained diffusion, we can adaptively adjust opacities  
 397 of the voxels around known volume voxels, and well recover lost volume voxel-  
 398 s. Consequently, visualization quality of volumes can be greatly improved in the  
 399 volume rendering. Our method can be addressed without increasing the dimen-

sional-ity of the TF. It allows us to incorporate our method into other processing or transfer functions. One limitation of our present implementation is that, since our method begins with the labeled volume voxels and is performed without depending on the TF, we can recover other lost volume voxels and remove the noises from volume data. But our method may fail to remove noises for the incorrect labeled volume voxels, since we do not have the corresponding sampling volume voxels in the hole regions. In the future, we will repair the volume by combining the 3D image gray values  $f(\mathbf{x})$  and the labeled volume data. In practice, multiple volumes with defects need to be volume rendered from a volumetric data. We will extend our binary method into multiple method in future.

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