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A Novel Lifetime Prediction for Integrated LED Lamps by Electronic-Thermal Simulation

Bo Sun, Xuejun Fan, Huaiyu Ye, Jiajie Fan, Cheng Qian, Willem van Driel, Guoqi Zhang

ABSTRACT

In this paper, an integrated LED lamp with an electrolytic capacitor-free driver is considered to study the coupling effects of both LED and driver's degradations on lamp's lifetime. An electrolytic capacitor-less buck-boost driver is used. The physics of failure (PoF) based electronic thermal simulation is carried out to simulate the lamp's lifetime in three different scenarios: Scenario 1 considers LED degradation only, Scenario 2 considers the driver degradation only, and Scenario 3 considers both degradations from LED and driver simultaneously. When these two degradations are both considered, the lamp's lifetime is reduced by about 22% compared to the initial target of 25,000 hours. The results of Scenario 1 and 3 are close to each other. Scenario 2 gives erroneous results in terms of luminous flux as the LED's degradation over time is not taken into consideration. This implies that LED's degradation must be taken into considerations when LED and driver's lifetimes are comparable.

Key Words: Lifetime Prediction, LED, LED Driver, Electronic-Thermal Simulation, Degradation.

Abbreviations and Acronyms

LED	Light Emitting Diode
PoF	Physics of Failure
T-droop	Temperature-droop
J-droop	Current-droop
FEA	Finite Element Analysis

Notation

$\Phi_{lm}(t)$	Absolute luminous flux at time t
Φ_0	Absolute luminous flux at $t=0$
β	LED depreciation rate
A_β	Pre-factor of LED's depreciation
$E_{a,\beta}$	LED's activation energy
T_j	Junction temperature of LED
$I(t)$	LED's current at time t
V_f	LED's forward voltage
$\eta(t)$	LED's efficacy at time t
η_0	Efficacy factor of LED
a	Linear non-radiative recombination rate of LED
b	Radiative recombination rate of LED
c	3rd-order non-radiative recombination rate of LED
n	Average carrier density of LED
A_e	Linear non-radiative current coefficient of LED
B_e	Radiative current coefficient of LED
C_e	3rd-order non-radiative current coefficient of LED
V_{ref}	LED driver's reference voltage
R_{ref}	LED driver's overall resistance
R_0	Initial LED driver's resistance
T_D	LED driver temperature
A	LED driver degradation rate
A_0	LED driver degradation rate factor
$E_{a,D}$	LED driver overall activation energy
N	LED's ideality factor
I_s	LED's saturation current
R_s	LED's equivalent series resistance
R_{s0}	LED's equivalent series resistance factor

A_s	Temperature coefficient of equivalent series resistance of LED
I_{s0}	Saturation current factor of LED
A_I	Temperature coefficient of saturation current of LED
A_N	Temperature coefficient of ideality factor of LED
B_N	Non-thermal coefficient of ideality factor of LED
C	Ratio of radiative power and luminous flux
P_{in}	Input power of LED driver
P_D	Thermal power of LED driver
P_L	LED's thermal power

I. INTRODUCTION

Light Emitting Diode (LED) has been regarded as one of the most promising lighting solutions due to its energy efficiency, flexible controllability and long lifetime [1-3]. An LED lamp is a complex system which is mainly comprised of an LED light source, a driver, control gears, secondary optical parts and heat dissipation components [3]. The LED light source often has a lifetime as long as 25,000 - 100,000 hours [1-3]. However, the LED driver has a much shorter life, in particular, when electrolytic capacitors are utilized [4-6]. Many studies have focused on the degradation analysis of LEDs only, without taking consideration of the LED driver's degradation [2, 7-11]. For example, a test method has been developed to accelerate of luminous flux depreciation of LED lamps or luminaires by an elevated temperature [2]. Degradations of LEDs in the high temperature-humidity environment have been studied [7, 8]. The LED color shift by optical materials has been investigated [9, 10]. **A life prediction method for LED considering real mission profiles has been developed [12].**

For the driver's degradation, if the driver's lifetime is much shorter than LED's life, LEDs' degradation may not be significant for prediction the system's lifetime, as the eventual lifetime of the entire system is determined by driver's lifetime. With such an assumption, a physics-of-

failure (PoF) based lifetime prediction methodology for LED drivers has been developed [4]. However, little research considers both degradations of the driver and LEDs in an integrated LED lamp.

Numerous reliability assessment methodologies have been developed to consider the degradations of a complex system. For instance, the general path models have been well established and widely used in reliability assessment [13-16], owing to their ease of use. As the requirement of reality, many stochastic process approaches have been developed in recent years [17-21]. **For LED systems, the Gamma process and copula function have been applied to model the reliability [22]. The Wiener process has been used to predict the LEDs' lumen depreciation and color shift [23].** Moreover, the reliability block diagram method is developed for degradation analysis of complex systems [24]. A stochastic modeling framework has been investigated for interactions among degradations of components of a system [25]. These statistical reliability models and methods need to collect large amounts of data experimentally. Recently, using the Physics of Failure (PoF) simulations as virtual tests to collect data for reliability assessment have attracted increasing research attention. For instance, the degradation distribution models, degradation path models and SPICE simulations have been integrated for a tolerance design [15]. A multi-physics simulation method has been used to predict the performance of an LED driver during degradations of semiconductor devices [26].

For an integrated lamp, in which the LED light source and driver are assembled together, both degradations of the LEDs and the driver will affect each other through the ever-changing of temperature distributions and current during operation. Therefore, it is necessary to use the electronic thermal simulation to determine the electronic and thermal characteristics as a function of time. In this paper, an integrated LED lamp with an electrolytic capacitor-free driver is considered to study the coupling effects of both LEDs and driver's degradations on lamp's lifetime. An electrolytic capacitor-less buck-boost driver is used as it has a comparable lifetime with LEDs. Such a driver is integrated with a commercial LED light bulb for lifetime study. Circuit simulations are carried out to obtain the power distributions and current to LEDs. Thermal simulations are performed subsequently based on power distribution to obtain the temperature distribution of the LED lamp, in particular, the LED junction temperature and driver's overall temperature. The lumen flux depreciation as a function of time can then be obtained. The interaction of these two degradations is studied with several scenarios.

This paper is organized as follows. Section II describes the degradation models used for LEDs and driver respectively. In Section III, a selected driver circuit and the corresponding electronic model is introduced. The thermal simulation for the selected LED lamp is described in Section IV. Section V describes and explains the integrated simulation methodology and flowchart. In Section VI, several scenarios are analyzed to predict the lifetime of the lamp and the effects of both degradations. Section VII concludes this work finally.

II. DEGRADATION MODELS

A. LED Light Source

The exponential model is applied to describe lumen depreciation in the constant junction temperature T_j and the constant driving current I as follows [2]:

$$\Phi_{lm}(t) = \Phi(I) \cdot e^{-\beta(T_j)t} \quad (1)$$

where t is time, Φ_{lm} is the absolute luminous flux at time t , $\Phi(I)$ is the luminous flux factor that is a function of current I , and the depreciation rate β follows the Arrhenius Equation [6]:

$$\beta(T_j) = A_\beta \cdot e^{-E_{a,\beta}/(\kappa T_j)} \quad (2)$$

where, A_β is the prefactor, and $E_{a,\beta}$ is the activation energy of LEDs. $\Phi(I)$ in Eq.(1) can be described by the following function [27]:

$$\Phi(I) = \eta(I) \cdot I \cdot V_f \quad (3)$$

where $\eta(I)$ is LED's efficacy at current I and V_f is the forward voltage which is a function of junction temperature and current.

The efficacy η is affected by both temperature droop (T-droop) and current droop (J-droop) [28, 29]. However, in high current status, the T-droop becomes negligible in comparison with the J-droop. Thus, η can be assumed approximately as a function of the J-droop [29]:

$$\eta = \eta_0 b n^2 / (a n + b n^2 + c n^3) \quad (4)$$

where η_0 is the efficacy factor, a and c are the linear and the 3rd-order non-radiative recombination rates, b is the radiative recombination rate, and n is the average carrier density of LED, which is proportional to the current I , hence the efficacy can be described the following function:

$$\eta(I) = \eta_0 B_e I / (A_e + B_e I + C_e I^2) \quad (5)$$

where η_0 , A_e , B_e and C_e are dependent on the LED's properties dependent on materials and structure.

Combine Eq.(1), (3) and (5), the luminous flux in the ever-changing junction temperature $T_j(t)$ and current $I(t)$ can be described by the following function [30]:

$$\Phi_{lm}(t) = \eta_0 \cdot \frac{B_e I(t)^2}{A_e + B_e I(t) + C_e I(t)^2} \cdot V_f \cdot e^{-\int_0^t \beta(T_j(x)) dx} \quad (6)$$

The derivation of Eq.(6) is shown in the Appendix A. System conditions, $I(t)$, V_f , and $T_j(t)$, depend on structure and materials' properties of the lamp and circuit, and can be determined by the electronic thermal simulations. The physical characteristics of the selected LED, η_0 , A_e , B_e , C_e , A_β and $E_{a,\beta}$, are invariables, and can be extracted by experiments. In this work, η_0 , A_e , B_e , and C_e were determined experimentally for the selected LED, and their values are shown in Table Appendix A-I.

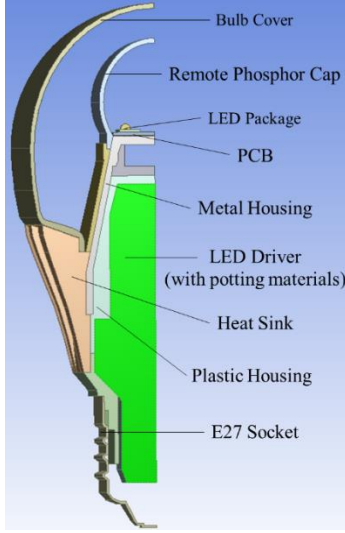


Fig.2 The Model of The selected (a) LED Lamp

This work selects a commercial LED light bulb as the carrier. Fig. 2 displays the lamp's structure, in which the geometrical information and material properties can refer to the literature [39-42]. An electrolytic capacitor-free buck-boost converter, as shown in Fig.1, is used as the driver to replace the original one. The heat generated by both LEDs and the driver determine the junction temperature of LED as well as the driver's temperature. Therefore, system-level finite element analysis is conducted to obtain the temperature distributions.

In this thermal finite element model, the driver and its potting materials as a whole are considered as a volume (green portion in Fig. 2). All thermal power dissipated by each individual component in Fig. 1 is summed together and uniformly distributed over the surface. The driver's temperature, T_D , is taken from the maximum temperature of the surface.

V. SIMULATION FLOWCHART

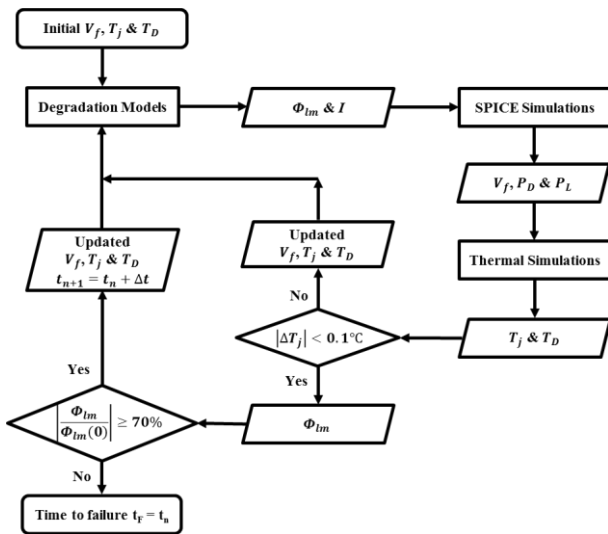


Fig.3 Flowchart of the Electronic-Thermal Simulation

Fig.3 illustrates the flowchart of the electronic thermal simulation in the present study. The simulation

process begins with the initial guess of T_j and T_D and the initial LED's forward voltage V_f , from which the degradation models in Eq.(6) and (10) can be applied to obtain the luminous flux Φ_{lm} , and the current I . Then the electronic simulations are performed to update V_f and obtain the power dissipations by Eq.(11), (15) and (16). Subsequently, the thermal simulations are performed to update T_j and T_D . Such a simulation process loop is performed iteratively until the error between values of T_j in two consecutive steps is less than 0.1K, as shown in Fig. 3. Then light output can be calculated using Eq.(6).

Generally, the useful lifetime of LED lighting products is typically given in terms of the expected operating hours until light output has depreciated to 70% of initial levels [2]. If this threshold is not reached, the aging time t advances to a small increment Δt . Since the temperatures update T_j and T_D are not known at $t + \Delta t$, the above iteration process repeats. The time increment Δt in this work is assumed to be 1000 hours. When time $t = t_F$, and the Φ_{lm} has depreciated to 70% of the initial value, the simulation stops, and t_F is considered as the lifetime of the LED lamp.

VI. RESULTS AND DISCUSSIONS

A. Lamp's Initial Temperature Distributions

Firstly, considering the lamp's operation conditions with an ambient room temperature of 298K and a natural convective heat transfer coefficient of 5W/m²K, the electronic-thermal simulation is performed to obtain the initial LED junction temperature $T_j(0)$ and the driver temperature $T_D(0)$. As shown in Table I. The simulation results agree well with the experimental data [40, 41]. Table I also gives the targeted temperatures of T_j and T_D for this lamp design. It can be seen that initial temperatures of both LEDs and driver are within the design requirement.

Table I Temperature Distributions		
	Predicted Initial Temperature	Targeted Temperature
T_j	351.8K	358K
T_D	318.4K	328K

B. Definition of Different Scenarios

LED is usually selected to have a desired lifetime at the initial temperature of operation. In the present study, the LED with a lifetime of 25,000 hours at the initial LED junction temperature is selected. The driver is also selected to have a 25,000 hours lifetime at the initial temperature T_D . Table II lists the parameters to be used to satisfy the selection requirement.

Table II Designed Parameters			
Parameters	Values	Parameters	Values
A_β	2.834×10^{-1}	$E_{a,\beta}$	0.3eV
A_0	3.591×10^{-1}	$E_{a,D}$	0.7eV

For LED, this means that the selected LED will have luminous flux above 70% of initial levels before 25,000hours if the LED's junction temperature and current

remain unchanged during operation. For LED driver, it implies that the output current from the driver will not decrease by 10% of the initial value at the constant initial driver temperature $T_D(0)$. However, the LED junction temperature, and the driver temperature will change continuously with time, due to the simultaneous degradations of both LEDs and driver. Ultimately, this will affect the actual lifetime of the lamp.

Three scenarios are therefore defined. Scenario 1 considers the LED depreciation only, Scenario 2 considers the driver degradation only, while Scenario 3 considers both degradations from LEDs and driver simultaneously, as summarized in Table III.

Table III Three Scenarios

Case	LEDs	Driver
Scenario 1	25,000hrs lifetime at the constant $T_j(0)$	No degradation
Scenario 2	No degradation	25,000 hrs lifetime at the constant $T_D(0)$
Scenario 3	25,000hrs lifetime at the constant $T_j(0)$	25,000 hrs lifetime at the constant $T_D(0)$

C. Results and Discussions

LED Current. Fig.4 shows the relative LED current with respect to the initial value in each scenario as a function of operation time. For Scenario 1, as the driver's degradation is not considered, the LED current maintains at its initial value (the driver is a constant current converter with no degradation). For Scenario 2 the LED current drops 10% at 25000 hours. When two degradations co-exist simultaneously, the LED current drops a little more, about 11% at 25,000 hours.

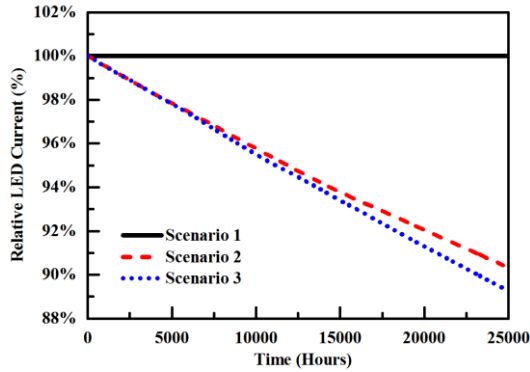


Fig.4 The LED Current of Each Scenario

LED Junction Temperature. Fig.5 displays the LED junction temperature in each scenario. The LED junction temperature increases with time for Scenarios 1, but decreases for Scenario 2. However, the LED's junction temperature does not change much for Scenario 3 with both degradations considered. This is because that driver's current decreases over time (in Fig.4), thus less power is provided to LEDs. On the other hands, when LED's degradation is considered, more thermal power is generated as the efficacy of LED decreases. These two effects eventually cancel out the impact on LED's junction temperature.

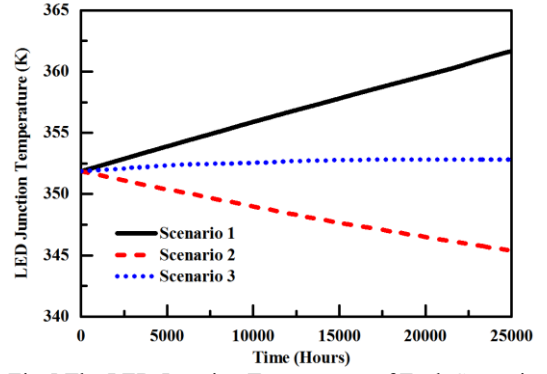


Fig.5 The LED Junction Temperature of Each Scenario

Driver's Temperature. Fig.6 indicates the driver's temperatures for three scenarios. Similarly, the driver's temperature increases with time in Scenarios 1, but decreases in Scenario 2, and does not change much for Scenario 3.

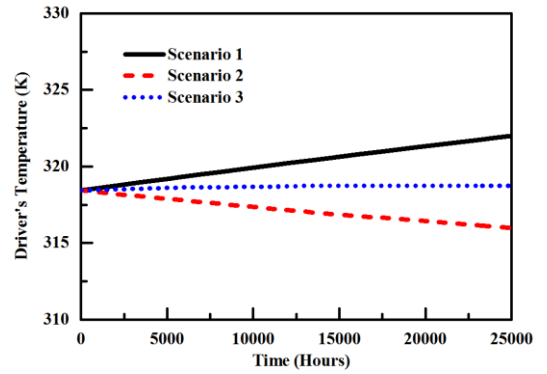


Fig.6 The Driver's Temperature of Each Scenario

Lumen Maintenance and Lifetime. Fig.7 shows the lumen maintenance over time for three scenarios. The lumen maintenance drops significantly with time for Scenario 1. As the driver does not degrade in this scenario, the luminous flux depreciation is due to the LEDs' degradation over time, and is further accelerated by the increase of the LED junction temperature over operation process. For Scenario 2, the lumen depreciation is not significant even though the driver may be near the end of its lifetime at 25,000 hours. It can be seen, from Fig.4 and Fig.5, that under Scenario 2, both LED current and LED junction temperature drops significantly. However, the lumen output does not change as much as temperature and current, as Scenario 2 does not consider the LED degradation over time. For Scenarios 3, when both degradations are considered, the lumen output decreases with time significantly, in spite of the little change in the LED junction temperature, shown in Fig.5 The lumen depreciation in Scenario 3 is attributed to the combined effects of the reduced current in LED, due to driver degradation, and the lumen depreciation on time, due to LEDs' degradation.

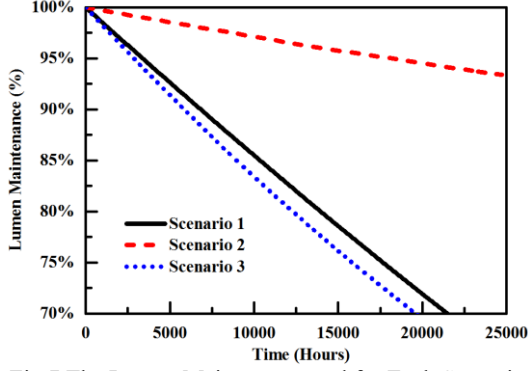


Fig.7 The Lumen Maintenance and for Each Scenario

Table IV summarizes the lifetime prediction for these three scenarios. It can be seen that when driver and LED's degradations are considered, the LED lamp's lifetime is reduced by about 22% compared to the initial target of 25,000 hours. From this table, it can be found that the results of Scenario I and III are close to each other. This implies that without considering driver's degradation (Scenario I), the predicted lamp lifetime may just underestimate a bit. The underlying mechanism is that the driver's degradation will reduce the LED's junction temperature so that LED's temperature does not rise as much as that LED degradation only. For Scenario 2, a lifetime of 25,000 hours is taken since the LED current would drop by 10% of its initial value at that time. This indicates that the driver's failure will occur, even though LEDs' degradation is not considered. It implies that LEDs' degradation should be taken into account when driver's lifetime is comparable to LED's lifetime. Otherwise, the luminous flux depreciation prediction would be inaccurate using Scenario 2, as shown in Fig.7.

Table IV lifetime Prediction	
Scenario	Lifetime
Scenario 1	21500 hrs
Scenario 2	25000 hrs
Scenario 3	19600 hrs

VII. CONCLUSIONS

In this paper, an integrated LED lamp with an electrolytic capacitor-free driver is considered to study the coupling effects of both LED and driver's degradations on LED lamp's lifetime. An electrolytic capacitor-less buck-boost LED driver is used, as it has a comparable lifetime with the LED. Circuit simulations are carried out to obtain the power distributions and output current and the voltage to LEDs. Thermal simulations are subsequently performed based on power distribution to obtain the temperature distributions of the LED lamp, in particular, LED junction temperature and driver's overall temperature. The lumen flux depreciation as a function of time can then be obtained. Three scenarios are considered: Scenario 1 considers LED degradation only, Scenario 2 considers the driver degradation only, and Scenario 3 considers both degradations from LED and driver simultaneously.

In Scenario 1, the LED current stays at its initial value as the driver does not degrade. As a result, the LED

junction temperature and the driver's temperature increase with operation time, which would accelerate the LED's degradation, and thus reduce the lumen maintenance further.

In Scenario 2, the LED current decreases over time due to the driver's degradation. As a result, the LED junction temperature and the driver's temperature decrease with operation time. However, Scenario 2 gives erroneous results in terms of luminous flux as the LED's degradation over time is not taken into consideration. This implies that LED's degradation must be taken into considerations when both LED and driver's lifetimes are comparable.

In Scenario 3, the LED current decrease with operation time, but the LED junction temperature and the driver's temperature do not change much. This is because that driver's output current decreases over time, thus less power is provided to LED. On the other hands, when LED's degradation is considered, more thermal power is generated as the efficacy of LED decreases. These two effects eventually cancel out the impact on the LED junction temperature. Nonetheless, because of the combined effects of the reduced current in LEDs and the lumen dependence on time, the LED lamp's lifetime is reduced significantly.

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APPENDIX

A. Derivation of The LED Degradation Model

In a constant junction temperature and current, the lumen depreciation of an LED can be described by the following function [6]:

$$\Phi_{lm}(t) = \Phi(I) \cdot e^{-\beta(T_j) \cdot t} \quad (A1)$$

If the LED junction temperature $T_j(t)$ and current $I(t)$ change as functions of time t , the deviation of lumen depreciation from time t to time $t + \Delta t$ can be described by:

$$\ln \Delta \Phi_{lm}[T_j(t), \Delta t] / \Phi[I(t)] = -\beta[T_j(t)] \cdot \Delta t \quad (A2)$$

Thus, when t is from time 0 to time x , the accumulated lumen depreciation can be described by:

$$\ln \frac{\Phi_{lm}[T_j(t), x]}{\Phi[I(t)]} = \sum_0^x \ln \frac{\Phi_{lm}[T_j(t), \Delta t]}{\Phi[I(t)]} = \sum_0^x -\beta[T_j(t)] \cdot \Delta t \quad (A3)$$

In the integral form, the accumulated lumen depreciation can be described by:

$$\Phi_{lm}[T_j(t), x] / \Phi[I(t)] = e^{\int_0^x -\beta[T_j(t)] \cdot dt + C_{lm}} \quad (A4)$$

The boundary condition is:

$$\Phi_{lm}[T_j(0),0]/\Phi[I(0)]=1 \quad (A5)$$

Thus, $C_{lm} = 0$, as a result:

$$\Phi_{lm}(x) = \Phi[I(t)] \cdot e^{-\int_0^x \beta[T_j(t)] \cdot dt} \quad (A6)$$

B. Parameter Extraction of LED Models

The physical parameters of the lumen depreciation model and the electronic model of the LED, C , η_0 , A_e , B_e , C_e , R_{s0} , A_s , I_{s0} , A_l , A_n and B_n , need to be determined experimentally. Ten selected high power LED packages were tested in eight junction temperature levels, from 293K to 363K. Each sample was placed on a thermal plate inside a 50cm integrating sphere system. Then, the transient electronic and optical characteristics of each sample, including current, forward voltage, luminous flux, and efficacy, are measured at different junction temperature levels. For each junction temperature, the transient current of each sample sweeps from 200mA to 350mA. As shown in Fig.B, the measured I-V characteristics were fitted by Eq.(11) to (14), whereas the efficacy was fitted by Eq.(5) by the least square method, obtaining these physical parameters of the LED models. Table A-I summarizes the averaged values of the model parameters. The details of tests and parameter extractions can refer to the literature [30].

Table A-I Physical Parameters of The LED Light Source

Parameters	Values	Parameters	Values
R_{s0}	5.914×10^{-1}	I_{s0}	4.786×10^5
A_l	1.274×10^{-1}	A_s	6.699×10^{-4}
A_n	1.240	C	4.087×10^{-3}
B_n	-2.882×10^2	η_0	1.456×10^2
A_e	0.999	C_e	2.138×10^3
B_e	1.406×10^3		

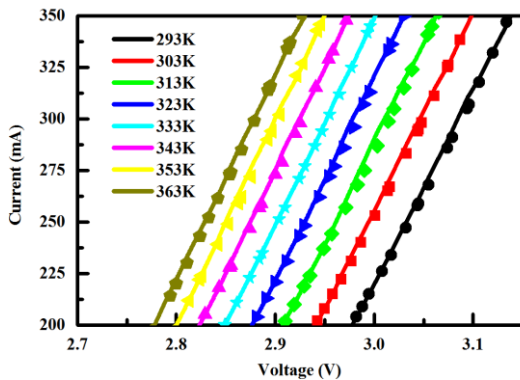


Fig.B Test Results of The Selected LEDs

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