¹ Detecting Healthy Concrete Surfaces

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7 Abstract

8 Teams of engineers visually inspect more than half a million bridges per year in the US and EU. There 9 is clear evidence to suggest that they are not able to meet all bridge inspection guideline requirements 10 due to a combination of the level of detail expected, the limited time available and the large area of 11 bridge surfaces to be inspected. Methods have been proposed to address this problem through 12 damage detection in visual data, yet the inspection load remains high. This paper proposes a method 13 to tackle this problem by detecting (and disregarding) healthy concrete areas that comprise over 80-14 90% of the total area. The originality of this work lies in the method's slicing and merging to enable 15 the sequential processing of high resolution bridge surface textures with a state of the art classifier to 16 distinguish between healthy and potentially unhealthy surface texture. Morphological operators are 17 then used to generate an outline mask to highlight the classification results in the surface texture. The training and validation set consists of 1,028 images taken from multiple Department of Transportation 18 19 bridge inspection databases and data collection from ten highway bridges around Cambridge. The 20 presented method achieves a search space reduction for an inspector of 90.1% with a risk of missing 21 a defect patch of 8.2%. This work is of great significance for bridge inspectors as they are now able to 22 spend more time on assessing potentially unhealthy surface regions instead of searching for these 23 needles in a mainly healthy concrete surface haystack.

24 **Keywords:** Bridge inspection; Defect detection; Automated bridge inspection; Healthy concrete;

25 1. Introduction

26 Bridges are the most critical and complex structures in a road network, both technically and 27 strategically. Weight-limitations or closures have negative consequences on the economic success of 28 a country as well as on the user satisfaction. Bridge inspections need to be carried out to know the 29 bridge condition, to collect information about damages and to make appropriate operational or 30 maintenance decisions (load limitations, maintenance needs or closure). A team of engineers inspect 31 a bridge manually on site regularly (typically every two years a general, purely visual inspection, every 32 five years a more detailed in-depth inspection from a touching distance including the use of tools) 33 (The Highways Agency 2007).

34 Bridge inspection guidelines require engineers to visually identify both small and large defects (e.g. 35 down to 0.3 mm in width for cracks) on all bridge element surfaces (The Highways Agency 2007). Our 36 datasets show that the concrete surface area of an average highway bridge taken around Cambridge 37 is 2,440 square meters, equal the size of almost six basketball courts. Inspecting this takes more than 38 20 hours if allowing for 30 seconds inspection time per square meter to identify potentially unhealthy 39 areas, closely examine these areas, taking measurements and documenting the defects in writing and 40 visually. Moreover, this is the pure inspection time, not accounting for time required to perform safety 41 measures, walking and climbing to get into a solid inspection position or rest periods. In addition, 42 there usually exists a serious issue of accessibility where some areas to be inspected are not easily accessible. Image timestamps of 399 inspections were analysed to learn about inspection duration. 43 44 The time span between the first and last image allows a conclusion on the duration of the visual part of an inspection based on the assumption that an inspector regularly takes images during a visual 45 inspection. The average time for a general inspection was 19 minutes and for an in-depth inspection 46 47 72 minutes. It is therefore questionable whether an inspector is able to inspect the entire bridge 48 surface with the required level-of-detail and from a distance from which all defect types can be identified. Inspectors have to make a trade-off between inspection time and inspection distance. They
do it in two ways: (1) Inspectors might look from a distance where they are unable to see small details
or (2) Inspectors might skip some surface parts because it is too time-consuming to get into a position
from where the surface is visible. As a result, bridge condition information is incomplete.

Missing out small details leads to missing minor defects. Preventive maintenance, which means to
maintain minor defects before they become major, can reduce costs by up to 65% (Kong et al. 2003).
More importantly, skipping surface area completely bears the risk of missing major defects which can
lead to major closings or a complete loss of structural integrity and fatal accidents (Xie and Levinson
2011).

58 1.1. State of practice

59 Inspectors perform two tasks during an inspection: First, they identify which areas of a bridge are 60 prone to and critical for defects. This is done empirically and based on a subjective structural interpretation; no defined rules exist. Typical areas are the ones close to a support (e.g. the connection 61 62 between column and girder) or with maximal bending (e.g. middle of span). Second, an inspector 63 looks for potentially unhealthy spots in the critical areas. Only these potentially unhealthy spots are 64 examined more closely by conducting four steps: take a close look to identify the defect type and possible cause; take measurements of the relevant defect properties; give a condition rating based on 65 66 the measurements and the inspection guidelines and finally document findings in writing and figurative in a sketch or an image (Spencer 1996). This second step typically affects only a minor 67 68 surface area; most of the surface is non-deficient concrete.

69 1.2. State of research

70 Technologies for collecting the as-is raw data of a bridge exist: Laser scanning or Structure from 71 Motion (SfM) can provide high-precision dense point cloud data with registered imagery. Methods for 72 manual or automated as-is modelling exist (Hüthwohl et al. 2016; Lu and Brilakis 2017). High resolution 73 imagery can be used for texturing elements. Textures are stored in common 2D image formats such 74 as jpeg or png. UV mapping is the process of applying a flat, two-dimensional image onto a three-75 dimensional shaped object (Murdock 2008). With these methods, a fully textured as-is digital 76 representation from a real structure can be compiled such as the one shown in Figure 1. The textures 77 include very small surface details from the real surface such as cracks, aggregate and spider nets. 78 Hence, these models can be used to research, if they are sufficient for manual or automated defect 79 detection.



Figure 1: 3D as-is model of fully-textured RC highway bridge, deck view on the left, bottom view on the right

Any method, automated or manual, has to achieve at least the same inspection quality as the state of
practice: a team of human inspectors on site. Two metrics define the level of inspection quality for the
scope of this work: the risk of missing a defect and the ability to generalize over healthy and potentially
unhealthy areas.

Determining the performance of existing inspection schemes regarding the risk of missing a defect is difficult. No up-to-date study exists. Phares et al. (2004) did an investigative study to evaluate the performance of bridge inspectors. They found out that inspectors tend to miss documenting 46% of the defects. Authorities adopted inspection schemes since then. One of the adoptions was to change from a component inspection level to an element inspection level. A performance evaluation of the new scheme is missing. Hence, any automated inspection method has to have a considerably lower risk of missing a defect than the one determined by Phares et al. The second metric, generalization, is difficult to measure quantitatively for the scope of this work. Nevertheless, it is an absolute requirement for the evaluation. Human inspectors generalize well, as they are able to identify and examine suspicious areas based on their experience even if inspection guidelines do not list rare, untypical types of defects.

95 1.2.1. Appearance of healthy and potentially unhealthy concrete

A general definition of the appearance of potentially unhealthy or healthy concrete does not exist.
Newly build reinforced concrete is approximately homogeneously coloured. The admixed aggregate
and sand appear as small spots in different colours (depending on the mixture, white, shades of brown,
almost black).

100 Multiple influences immediately change the appearance of a concrete surface already during 101 construction. For example, shrinkage during hardening and design loads plus gravity, traffic and 102 environmental loads lead to initial capillary cracks in the concrete. These cracks are difficult to see 103 with the naked eye and do not constitute a defect, hence are not to be considered as potentially 104 unhealthy. Formwork marks, minor corroding metal pieces (e.g. nails left from construction) and 105 differences in concrete texture are also common and occur frequently on concrete surfaces. 106 Environmental influences such as rain, vegetation or dirt change the concrete surface texture over 107 time. These influences vary depending on the location and exposure. Momentary environmental 108 conditions during the data collection, such as strong sun or rain, have an additional effect on the image 109 texture. Figure 2 shows multiple examples of such normal patterns: (a) dust and spider webs, (b) 110 formwork marks, (c) water stains and (d) strong shadows.

Potentially unhealthy areas are all areas relevant for an inspector to take a close look for the scope of this work. These are primarily concrete defects, but also include signs of vandalism, graffiti and

littering. Inspection manuals list typical examples of concrete defects. Huethwohl et al. (2017)
analysed multiple inspection manuals from different continents. Spalls (e), cracks (f), rust stains (g),
efflorescence (h), scaling and abrasion / wear are the most common ones and pictured in Figure 2.

116 Methods for detecting potentially unhealthy / healthy concrete use a two-dimensional image as input.

117 The three-dimensional shape is irrelevant for most considered defect classes, as long as the texture

image is undistorted. Abrasion / wear is the only defect class that primarily affects the shape. Abrasion

119 / wear is excluded for the scope of this work as these defects are not visually detectable in 2D images

120 and state of the art as-is models do not model such minor shape deformations.



Figure 2: Concrete texture examples: (a) dust, dirt and spider webs, (b) formwork marks, (c) water stains, (d) strong shadows, (e) spall, (f) crack, (g) rust stain, (h) efflorescence

123 1.2.2. Research on concrete defects

The research community has shown interest in tackling the challenge of separating potentially 124 125 unhealthy from healthy concrete, yet has not been able to entirely address the problem for bridges. 126 General approaches directly address the problem of distinguishing potentially unhealthy and healthy 127 areas in one step by using a single metric for all possible potentially unhealthy candidates. McRobbie 128 et al. (2007) tested fifteen different feature descriptors such as entropy, standard deviation, mean 129 value of area, quadtree decomposition and different edge detectors on a deteriorated bridge 130 abutment wall, where the surface texture was reconstructed from multiple images. They used a gridbased feature threshold for the classification based on the assumption that large variations in the 131 132 feature descriptor imply potentially unhealthy areas. Yet, none of the metrics was able to reliably 133 distinguish between the two classes.

134 A different approach is to combine multiple single defect class detectors to a combined multi-135 classifier. A considerable number of single class detectors exist, mostly for detecting cracks. These 136 detectors, however, address a different problem and are out of scope of this work. Koch et al. (2015) 137 have recently done a thorough review on this. They concluded that crack detector methods need 138 improvement. They are prone to noisy data, changing lighting conditions, and still require a significant 139 amount of user input. McRobbie et al. (2011) examined in addition to the afore mentioned fifteen separate detection metrics, if potentially unhealthy concrete areas can be detected by combining 140 141 multiple sufficiently uncorrelated metrics. They found out, that this approach could slightly improve 142 the detection results. Quantitative results are not given. There are two limitations why these 143 combined methods cannot reliably solve the problem of identifying potentially unhealthy areas on 144 bridge element texture. First, single class detectors detect only one single defect type by design. A 145 combination of single class detectors is only able to detect the defects out of the combined single class 146 detectors. Inspectors, however, have to detect potential defects even if they are not listed in the defect catalogue. Secondly, single class detectors use a dataset containing mostly samples of their 147 148 specific target class for validation; their performance with images from other defect classes in unclear.

149 Change detection is the process of identifying differences in a set of multi-temporal datasets, primarily 150 images. This field is well-studied in different disciplines such as remote sensing (Lu et al. 2004), 151 surveillance (Collins et al. 2000) or medical diagnoses (Bosc et al. 2003). Multiple researchers have 152 exploited this technique for civil infrastructure, mostly tunnels. The key idea is that an initial dataset 153 represents a faultless structure. Changes to a second dataset, taken at a later point in time, 154 automatically present a potential defect and hence are potentially unhealthy. The difficulty, however, 155 is to find a difference metric that is robust to changes in lighting and imperfect registration. Guo et al. 156 (2009) tested the image difference after an image registration and pre-processing step on images from 157 a storm-water pipe segment. Based on the intensity difference, a threshold determines for each pixel 158 if it has changed or not, hence if it is potentially unhealthy or healthy. The per-defect accuracy was 159 84% with a false positive rate (FPR) of 21%. Stent et al. (2015) trained a convolutional neural network 160 (CNN) to detect change in tunnel linings. Two registered images for comparison were loaded into 161 separate input channels of the CNN. The training dataset comprised of real tunnel surface texture with 162 artificially added defects. 84% of the changes were detected with a per pixel FPR of 10%. Change 163 detection has three major disadvantages for the scope of automated bridge inspection: First, images 164 need to be perfectly aligned down to a pixel level. This is already difficult for simple geometries such 165 as tunnels, but even more so in the case of more complex structures such as bridges. Secondly, change 166 detection is prone to major changes in lighting. Lighting can be controlled up to a certain degree 167 indoors or inside a closed structure. Certainly it can not be controlled at a bridge with reasonable 168 effort. Thirdly, one might miss a defect completely if relying on changes as the sole indication for 169 potentially unhealthy areas. This happens if in one case an inspector misses a defect and marks an 170 area as healthy. If it does not change until the next inspection cycle, it will still be considered healthy 171 as it was already present and labeled as healthy during the last inspection.

Additional sensors have been investigated regarding their possible use for bridge inspections. This study focuses on the data analysis rather than presenting technical details on the sensors. Matsumoto et al. (2012) used a thermal camera to identify internal defects on a bridge deck. A heatmap is 175 automatically generated based on the local temperature profile. Potentially unhealthy areas are 176 highlighted based on a simple temperature gradient. Quantitative results are not given. Lerma et al. 177 (Lerma et al. 2011) utilized a thermographic camera to detect moisture. Both studies are able to detect 178 invisible sub-surface defects. But other crucial interest classes such as cracks are not detectable. 179 Jahanshahi et al. (2013) used a depth sensor (Microsoft Kinect) to automatically detect pavement 180 defects. Their approach is based on detecting major deviations from a fitted plane within the three-181 dimensional depth data. As before, shape-based methods lack in completeness regarding different 182 defect classes which do not involve significant change on the 3D shape. Valença et al. (2017) combined 183 a digital camera and a laser scanner in order to utilise the precision of laser scanning with the high 184 resolution of image processing. Reference points are used for orthorectifying the image which is then 185 used for crack property extraction.

Conservative image classification approaches typically use handcrafted features (edges, corners, gradient variations, etc.) and a simple classifier (threshold) to make a classification decision. This is based on the assumption that one understands how to detect specific things, such as cracks, based on assumptions and simplifications such as changes in contrast or colour. In fact, it is unknown how humans identify defects on a surface. Hand-crafted features are our best guess. Increase of performance of hand-crafted feature methods have stagnated in recent years (Jia et al. 2014)

192 1.2.3. State-of-the-art classification / segmentation approach

In contrast, deep learning has outperformed humans in classification tasks such as recognizing 193 194 handwritten digits (Cireşan et al. 2012) and skin cancer classification (Esteva et al. 2017). An artificial 195 neural network with multiple hidden layers is trained using an extensive, typically labelled dataset. 196 The training is end to end, meaning that raw image pixel values form the input of the network and the 197 output directly presents the desired output format. Training algorithms use gradient descent to 198 converge towards a local minimum. Hidden layers form a hierarchical feature set and each layer 199 models more complex data based on the predecessors. This way, relevant features for a specific 200 classification task are learned automatically without the need of hand-crafted features. The Inception 201 Resnet network has outperformed previous state of the art models for image classification on the 202 common academic image classification dataset ImageNet achieving 80.4% top-1 accuracy and 95.3% 203 top-5 accuracy (Szegedy et al. 2016). The ImageNet dataset contains a wide range of categories such 204 as animals, flowers, sports, vehicles and persons. Deep learning, however, is a relatively simple brute 205 force approach, it requires an extensive dataset and it results in a black box. If and how it will converge 206 and how it will come to a classification decision is unclear and a special focus of current computer 207 science research. A rule of thumb is that the size of the training dataset and the number of variables 208 in the network should be equal in size. The mentioned inception network roughly has 50 million 209 parameters (Alex Alemi 2016).

210 Fully convolutional deep neural networks (CNN) for semantic segmentation are networks that directly 211 output a semantic map of an input image (Long et al. 2014). Each image pixel has a class assignment; 212 clusters of neighbouring pixels represent a class instance. This has been popular for autonomous 213 driving to locate asphalt, road signs, trees, pedestrians, etc. (Badrinarayanan et al. 2015). Semantic 214 texton forests (STFs) can be used for semantic segmentation as done by Golparvar-Fard et al. (2015) 215 for road scene segmentation or Radopoulou and Brilakis (2016) for road surface inspection, yet have 216 been outperformed by CNNs regarding their classification accuracy. The input size to CNNs for images 217 is, even with enormous computing power, still very limited. A typical input size is 512x512 pixels or 218 0.26 megapixel (MP). A resolution of 0.1 mm² per Pixel is needed for reliably detecting 0.3 mm wide cracks (Marks 1991). Following this, an element surface of only 1 m² requires a resolution of 100 MP, 219 220 more than 380 times the size of what is possible to be processed by a CNN today. In addition, a 221 representative and labelled dataset sufficient in size for training a neural network to detect potentially 222 unhealthy areas on concrete bridge elements does not exist.

223 1.3. Gaps in Knowledge and Research Questions

Hence, the research body does not present a method to reliably reduce the visual search space for a bridge inspection to potentially unhealthy regions of interest only. A new approach is needed that can separate potentially unhealthy from healthy concrete based on surface texture images to drastically increase the inspection efficiency. This has to happen on a real life dataset with limited FPR. Existing
work focuses on detecting a specific type of defect, works only for simple geometrical structures and
limited environmental conditions or is technically not able to deal with the massive image resolution
given by inspection requirements and is therefore not able to solve the problem. Deep learning,
instead, has achieved promising results. Yet it has not been researched entirely on how to fully utilise
it for the scope of bridge inspection.

233 This work's objective is to present a method that is capable of separating potentially unhealthy from 234 healthy concrete by automatically assigning a class label (potentially unhealthy / healthy) to each 235 location (patch or pixel). The significance of detecting healthy concrete derives from the fact that there 236 are no effective defect detection methods findable in the body of knowledge. Defect methods could 237 be more effective if a method is able to separate healthy concrete. In addition, disregarding healthy 238 concrete can save time for both manual inspectors, as well as for automated defect detection. This 239 results in the following research questions: (1) how can high resolution surface texture be split, such 240 that it maintains all necessary details for defect detection but at the same time can be sequentially 241 processed by a state-of-the-art image classifier; (2) which state-of-the-art classifier is suitable; (3) how 242 can the results be merged to represent a meaningful representation to guide inspectors to areas of 243 potentially unhealthy concrete; (4) by how much can this approach reduce the search space for an 244 inspector; (5) what are the risks of this approach to miss a defect?

The following chapter "Proposed solution" details the proposed method of splitting, classifying and merging the results. "Research Methodology and Results" presents the dataset, data sources, the label assignments and the experiments carried out in order to prove the performance. Finally, "Conclusion" summarizes and discusses the results, benefits and limitations of this presented work along with an interpretation and implication for both, practice and society.

250 2. Proposed Solution

The proposed method automatically detects potentially unhealthy areas in bridge surface textures. A sliding window approach splits the surface texture into image patches. Then, a pre-trained Inceptionv3 network is used and fine-tuned on a domain-specific dataset to classify each image patch separately. The final step merges the classification results to a mask for indicating the different patch labels. Figure 3 depicts a flowchart of our method.

256 Raw bridge inspection images contain a variety of unrelated image contents with irrelevant parts, such

as sky, vegetation, different elements at different scale and perspectives. An increased complexity of

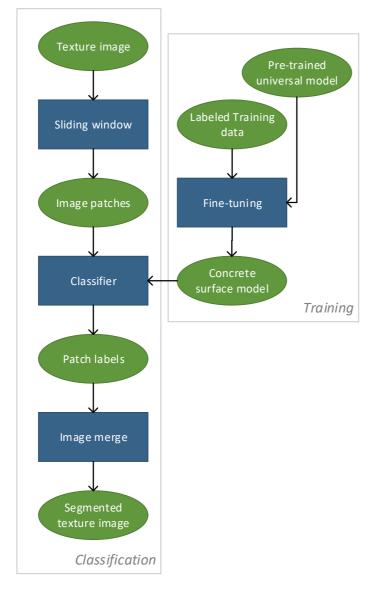


Figure 3: Proposed training and classification method for detecting potentially unhealthy and healthy concrete areas

258 image contents worsens training and classification quality. In addition, a finding in an unregistered 259 image cannot be easily located on the 3D geometry, which is necessary for a subsequent assessment. 260 For these reasons, a reconstructed surface texture, as in Figure 4, is used as input image. The following 261 assumptions can be made by using the reconstructed surface texture: (1) there is only relevant image 262 content which is either potentially unhealthy or healthy; (2) background is uniformly coloured (black 263 or white); (3) there are no or minor optical distortions; (4) each point of the surface texture represents 264 the corresponding and nearly orthogonal view of that point; there are no or minor perspective 265 distortions (as they have been compensated during surface reconstruction); (5) the surface area 266 mapped by each pixel is constant throughout the image; there are no scale or resolution differences. 267 Minor seams will occur, as the reconstruction process is not able to perfectly stitch the images and 268 completely remove any radial distortions. However, these effects are assumed to be insignificant since both effects can be reduced by an improvement in image acquisition and, moreover, slight seams and 269 270 distortion does not alter the overall appearance of a defect.

A state-of-the-art classification method shall do the classification task. The GoogleNet Inception v3 architecture, which is a convolutional neural network (CNN), is the second most accurate model on the ILSVRC 2012 image classification benchmark, a common academic image recognition dataset. Only the much deeper Inception ResNet-v2 model has achieved a slightly higher accuracy (+2.4%) but at the price of double the memory and double the computation costs (Alex Alemi 2016).

The input of this classifier is not able to take images of arbitrary size. They are limited to 299x299 pixels. A common approach would be to simply resize the surface texture. This works well for images where classification objects fill a considerable part of an image. The scenario is different for the scope of bridges. Cracks, for example, fill a very small portion of an image. A one meter long and one millimetre wide crack only fills a thousandth of a rather small, one square meter surface. Downscaling this image to a typical network input size would remove this defect completely. For this reason, this work proposes to use a sliding window approach. It converts the surface texture into a size applicable

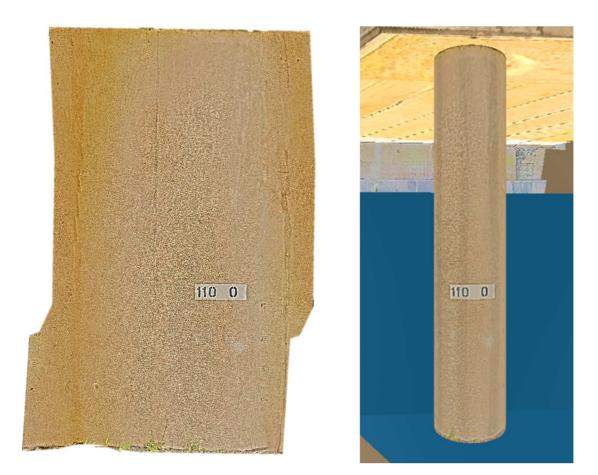


Figure 4: Reconstructed high-resolution surface texture of a bridge column, uncoiled 2D view on the left, 3D view on the right

283 as input for a classifier by retaining the original resolution and aspect ratio. Retaining the resolution is 284 crucial particularly for bridge inspection. The sliding window is a fixed-size window that partially copies 285 the source image I into a new image P, which represents one patch. It then slides by a defined offset o before it extracts the next patch. It iterates over both dimensions of the image and terminates as 286 287 soon as the method has finished extracting patches from the entire input image. The image is 288 extended over the edge in a mirrored manner to handle the edge area identically. Formula 1 theoretically describes the patch extraction. k is the patch number, o the offset between two patches 289 290 and nx the number of patches in the direction of the first dimension.

291
$$P(i,j,k) = I((k \mod nx) \cdot o + i, \lfloor k/nx \rfloor \cdot o + j)$$
(1)

The extracted image patches serve as input for the second step which is the classifier. This step is a binary classifier; the corresponding class labels are potentially unhealthy and healthy. Using semantic segmentation would result in a pixel-based classification. An inspector needs to see the classification result based on a potentially unhealthy area, not on a pixel. Having a pixel-based classification result would therefore require consolidating the classification results to a useful format later on. A patchbased classification decision, instead, is superior as it simplifies this consolidation step and results can be directly presented to an inspector.

The final step post-processes patch labels into a mask which outlines potentially unhealthy areas as areas of interest for an inspector. Patch regions are partly overlapping which can result in having different class labels for the same location in an image. Image locations with at least one potentially unhealthy label is marked as such. This is a conservative approach; missing out potentially unhealthy areas is crucial to the overall validation, whereas having a healthy area labelled as potentially unhealthy is less critical. A binary mask in a grayscale image represents the class labels. This process is demonstrated in Figure 5. A value of 0 represents the label healthy, 255 represents a potentially

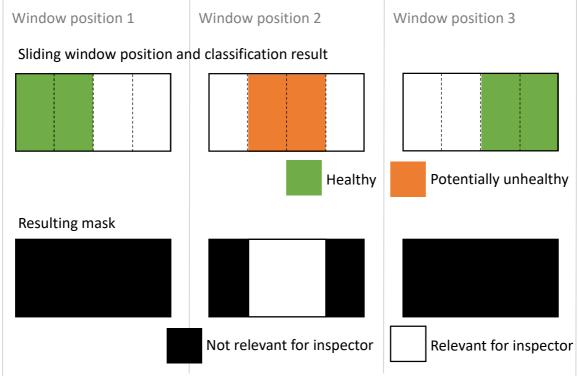


Figure 5: Post-processing overlapping classification results to build masks of potentially unhealthy concrete.

306 unhealthy label. Potentially unhealthy areas in the texture files are framed to retain a maximum of 307 the original surface texture. Binary morphology reduces the mask to the outline only as described in 308 Formula 2 where O is the binary image showing the outlines; M is the binary mask and H the 309 structuring element. First, the mask M is eroded using a standard 4-neighbour structuring element H310 which is then inverted. Multiple eroding iterations determine the border width. Secondly, the 311 intersection of the resulting inverted and eroded mask with the original mask M leads to the outline 312 mask O which is set to red in the output image.

$$0 = M \cap \overline{M \ominus H}$$
 (2)

314 This study hypothesizes, that our presented slicing and merging algorithm in combination with a state-315 of-the-art image classifier can outperform existing healthy concrete detectors. It is tested on a manually labelled dataset using the two performance metrics: The first one is the reduction of search 316 space for an inspector in order to answer the question of how much of the surface texture can be 317 318 skipped by an inspector without increasing the risk of missing a defect. This is equivalent to true 319 negative rate (TNR) stated in Formula 3, where TN is the healthy concrete area that is correctly 320 classified as such divided by the area that was correctly classified as negative (TN) plus the area that was falsely classified as positive (FP). 321

322

$$TNR = TN/(TN + FP) \tag{3}$$

The second metric is the likelihood of missing a defect in order to find the risk of actually missing a defect. This is the false negative rate (FNR) which is calculated as stated in Formula 4. The falsely as negative classified area (FN) divided by the sum of correctly positive classified area (TP) and the area which is falsely classified as negative (FN).

$$FNR = FN/(TP + FN)$$
(4)

328 3. Results and Discussion

The assumption is that inspectors could spend more time per defect if they were able to focus on potentially unhealthy areas only. In general, it is the idea that complexity of the problem can be substantially alleviated if an inspector is guided in the decision where to look for defects. Inverting the problem by identifying areas that are obviously without problems does generally take much less effort than identifying problematic areas by taking a closer look.

334 3.1. Dataset preparation

335 Classification performance directly depends on the quality of a corresponding training dataset. Hence, 336 its composition requires special care. A labelled dataset for the scope of this work is not publically 337 available. Cambridge Bridge Inspection Dataset (Huethwohl 2017) is a newly composed dataset which 338 is part of this work and is based on two data sources: The first one is from our own data collection. 21,284 high resolution images (42 MP) from 10 RC highway bridges around Cambridge were collected 339 340 for the scope of this work, out of which 17,124 images cover the main parts of the bridges (deck, 341 columns, piers and abutment; 4,160 images from non-concrete side walls and basements were 342 excluded). These images, however, do not contain a sufficient number and variety of defects as the bridges are in a good condition. Departments of Transportation (DoT) or their contractors maintain 343 344 bridge management systems (BMS) which contain inspection and condition information, in particular 345 defect images taken during inspections. Atkins, the U.S. Federal Highway Administration (FHWA) and 346 the Georgia DoT have kindly granted access to 22,121 of their inspection images, which sums to 39,245 347 raw image candidates. Still, image labels are missing for utilizing the image candidates for classifier 348 training. Hence, images need to be manually labelled. Image content consists of three label types, out 349 of which two are relevant to this work: Healthy areas (concrete in various colours and appearances), 350 potentially unhealthy areas (defects, discolorations, etc.) plus background noise (sky, asphalt, 351 vegetation, workers, cars, etc.). As many of the images are just single, random images from bridges, 352 there is no way to use them for surface texture reconstruction. Nevertheless, they can be used for 353 training and validation based only on the relevant parts of the images. The naïve approach is to label

354 each image separately and assigning a label on a pixel level. This labour-intensive task, however, would 355 be unreasonably time consuming to achieve. Picking only a subset of images instead for manual 356 labelling risks compiling a biased training set with only easy samples. To overcome this, Figure 6 357 illustrates a newly established process for randomly extracting and manually labelling image patches. 358 It starts with randomly selecting an image (uniformly distributed) from all candidates and then 359 extracting a squared patch with a randomly selected window length (normal distributed, mean at 360 window size and variance of a tenth of the window size) and at a randomly selected position (uniformly 361 distributed). The classifier is trained to be independent of the size of the image area covered on the 362 surface (surface resolution), although the input size of the network is invariable (with a pixel size of 363 299x299). This is achieved by the fact that the patches of the training data cover a different surface 364 area size. The patch is resized to the input size of the network and then manually assigned with a 365 patch-based label following two decisions: The first decision is if the patch only contains concrete. If 366 this is not the case, the patch is discarded and a new patch is drawn. If the patch, instead, contains 367 concrete only, the second decision follows: to manually assign the relevant labels healthy and 368 potentially unhealthy. Only the patch area is considered; surroundings of the image patch are not 369 included for the labelling decision. At this point, one could criticize that a subjective labelling decision 370 directly influences the classifier outcome. The key point is to conservatively assign the label. If in any 371 doubt that a patch shows an area of concern, it gets the potentially unhealthy label. In addition, the 372 labelling and the training is a repeatable process which can be analysed and optimized in more detail 373 for the close decision patches. The dataset has 1,028 labelled and randomly picked patches out of 374 which 896 build the training subset and 132 build the evaluation subset. Table breaks down the 375 number of images in regards to class labels, data sources and training / evaluation dataset.

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Table 1: Break down of image patch numbers with respect to label and data source

	Cambridge Data Collection		DoT Inspection Data		Total	
Potentially unhealthy	Train:	70	Train:	206	Train:	276
	Eval:	11	Eval:	50	Eval:	61
	Total:	81	Total:	256	Total:	337
Healthy	Train:	473	Train:	147	Train:	620
	Eval:	54	Eval:	17	Eval:	71
	Total:	527	Total:	164	Total:	691
Total	Train:	543	Train:	353	Train:	896
	Eval:	65	Eval:	67	Eval:	132
	Total:	608	Total:	420	Total:	1028

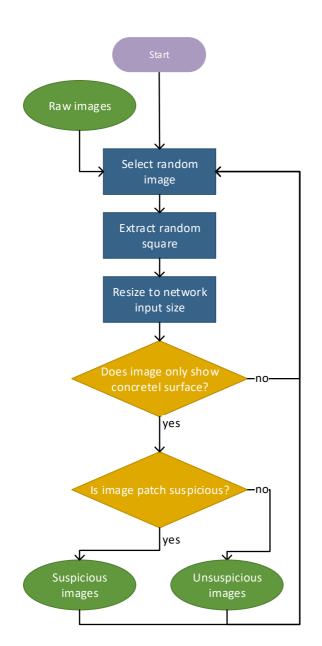


Figure 6: Process to extract random patches and to manually assign image labels

The input layer of the neural network determines the input size in pixels of the image. Resizing the pixel input size of a trained network is not possible without losing the trained weights. The pre-trained network has an input size of 299x299 pixels, and hence, all patches in our dataset have a pixel size of 299x299 pixels.

384 3.2. Implementation and network training

Gygax is a research platform developed at Cambridge that allows researchers to simultaneously access 385 386 BIM, image, video, and/or point cloud data, as well as to load them in memory, visualize them and 387 process them simultaneously (Huethwohl et al. 2017). The platform already supports textured as-is 388 bridge models. Google's open-source software library Tensorflow 1.2.1 provides strong machine 389 learning functionality (Abadi et al. 2016). Tensorflow was integrated into Gygax by using 390 TensorFlowSharp, which wraps the Tensorflow C API as a strongly-typed .NET API for the use from C# 391 (Icaza et al. 2017). An implementation of the inception network along with a pre-trained model exists. 392 Gradient descent Root Mean Square Propagation (RMSProp) trained the network for 300,000 steps or 393 about 330 epochs after reducing and randomly initializing the output layer with a batch size of 32, an 394 initial learning rate of 0.001 and a learning rate decay factor of 0.16. A change of the hyper-parameters 395 was not tested as the moving average over the loss-function converged. Different hyper-parameter 396 sets were not tested as these do have minor impact on the training quality for the scope of this work. 397 They rather control the stability and speed of convergence.

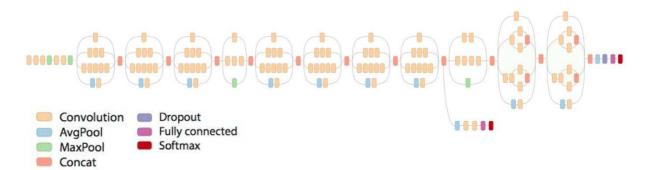


Figure 7: GoogleNet Inception v3 network with the nodes highlighted for adjustment (Alex Alemi 2016)

398 Figure 7 shows the working system of the deep neural network. The network consists of multiple 399 stacked inception modules. Each inception module consists of three or four parallel convolution 400 operations with varying filter sizes and one max or average pooling step. The network roughly needs 401 five billion multiply-adds per inference and has less than 25 million parameters. Our bridge inspection 402 dataset is too small to train such a network from scratch for the stated purpose. Splitting the training 403 into two phases based on transfer learning overcomes this; the key idea is that meaningful features in 404 one feature space can be transferred to a different one. The first phase is a general training. An on 405 1,000 classes and 1.2 million images pre-trained ImageNet model is used. This pre-trained network is 406 publically available. The second stage is the fine-tuning. The number of labels is changed in the final 407 classification layer to two and use the weights from the pre-trained model except for the modified 408 final layer. This final layer is assigned with random weights and then fine-tune the network using our 409 own dataset. Two alternative training strategies exist: Training a full network means to input one 410 training sample into the network, then calculate the outcome based on current weights and back-411 propagate the difference to the desired label. The back-propagation changes the weights based on the participation in the decision-making and the hyper-parameters (such as learning rate). This update 412 413 happens through all layers of the network. It is computationally expensive and leads to the best 414 possible accuracy. A less computationally expensive approach is to only update the newly initialized weights and to back propagate the training samples only through the last layer of the network. This 415 416 strategy assumes that a new classification task can utilize relatively high abstracted features from

417 some of the pre-trained model classes to describe new classes. In simple terms, this assumes that new 418 classes are visually close to one of the pre-trained classes. The presented work follows the complete 419 training and back-propagation through the whole network, as the goal is to find a reliable classification 420 result rather than reducing computational costs. The training results in a concrete surface model that 421 is able to label each image patch separately as potentially unhealthy or healthy concrete.

Both, training and evaluation ran on a dual GPU system with two GeForce GTX 1070 and 8 GB of GPU
memory each, an Intel Core i7 4 GHz CPU and 32 GB system memory. Execution of 300,000 steps took
67 hours on this machine.

425 3.3. Experiments

441

426 Two experiments evaluated the performance of the presented method. First, stability of the 427 classification result was determined by classifying the evaluation dataset only using the trained 428 network and a bias. The outcome of the classification can be interpreted as a likelihood of the patch 429 belonging to either the potentially unhealthy or the healthy class. This assignment is typically done 430 based on the maximal class score. A tendency to classify a patch as potentially unhealthy in case of 431 doubt is appropriate in order to minimizing the number of missed defects. The potentially unhealthy 432 class is preferably selected if class scores are close. More precisely, the difference between the two 433 classes is used as a control variable to understand the stability and balance between false negatives, 434 which come at very high costs, and false positives which are annoying but tolerated. Figure 8 presents 435 the results. The horizontal axis represents the difference threshold to determine the class assignment. 436 The vertical axis represents the value of four different measures:

Precision, as defined in Formula 5, is the fraction of samples classified as positive and actually being a
positive sample. This measure alone is not sufficient as a classifier that classifies everything as negative
(except one sample to avoid division by 0) would result in a high *precision* value and hence, would
misleadingly be assessed as positive.

$$Precision = TP/(TP + FP)$$
(5)

Therefore, *recall* was introduced which also considers the false negative classified samples. This in
turn would lead to good results if all samples are classified as positive. It is the fraction of positive
samples that actually were classified as positive as in Formula 6.

$$Recall = TP/(TP + FN)$$
(6)

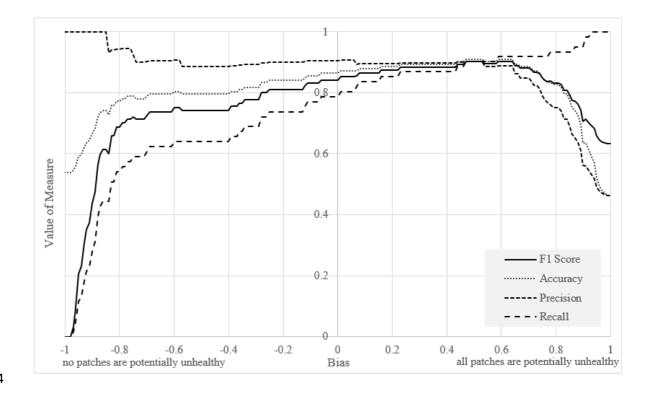
446 *Accuracy* is a combined measure that takes TP, TN, FP, and FN into account. However, it depends on447 a balanced number of positive and negative samples.

448
$$Accuracy = (TP + TN)/(TP + TN + FP + FN)$$
(7)

449 The F_1 score in Formula 8 was introduced to overcome all stated limitations. It is the harmonic mean 450 between precision and recall.

451
$$F_1 Score = 2 \cdot Precision \cdot Recall/(Precision + Recall)$$
 (8)

452 The F_1 score in Figure 8 shows a steep rise and fall in the range of -1 to -0.8 and 0.8 to 1.0. In between, 453 there is a stable plateau of around 0.8 with a slight increase and a peak of about 0.92 at a threshold 454 value of 0.5 towards the tendency to label patches as potentially unhealthy. The maximum F_1 score 455 of 0.90 was achieved at a bias of 0.61. This illustrates that the classifier is able to reliably distinguish 456 between potentially unhealthy and healthy patches and is able to do this in a very stable and robust 457 way (relatively independent from the threshold). Figure 9 gives examples of the classification results 458 for a difference threshold of 0.5 for the group of true positives, true negatives, false positives and false 459 negatives. The classifier is able to distinguish between the two classes over a variety of different defect types and concrete appearances. It even learns to distinguish between healthy lines arising from 460 461 element crossings or different element sides and potentially unhealthy cracks. If looking at the false 462 positives and false negatives, one can see that the transition between the two classes is fluid and 463 cannot be established beyond doubt even for a human inspector.







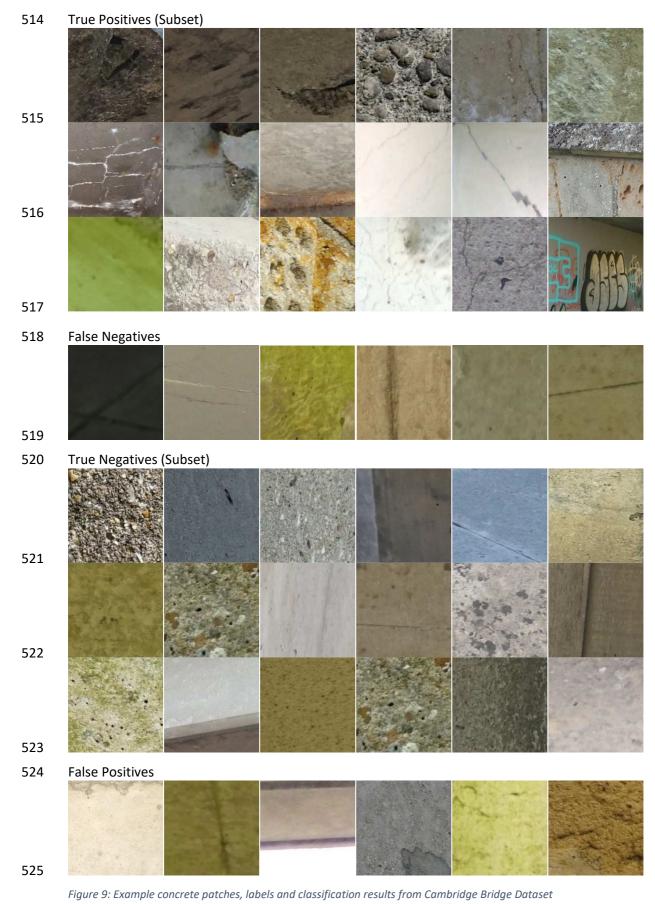
A second experiment aimed at measuring the performance of the presented method versus the existing work in this field. McRobbie (2007) evaluated different metrics and metric combinations. Replicating the quadtree decomposition metric was not possible because it is not fully documented how the quadtree was built and which metric was included into the texture classification. The authors did not expect this to have a major impact on the classification results as it did not outperform the other metrics by far. Entropy was defined as in Formula 9 where *p* is the relative histogram counts of pixel values.

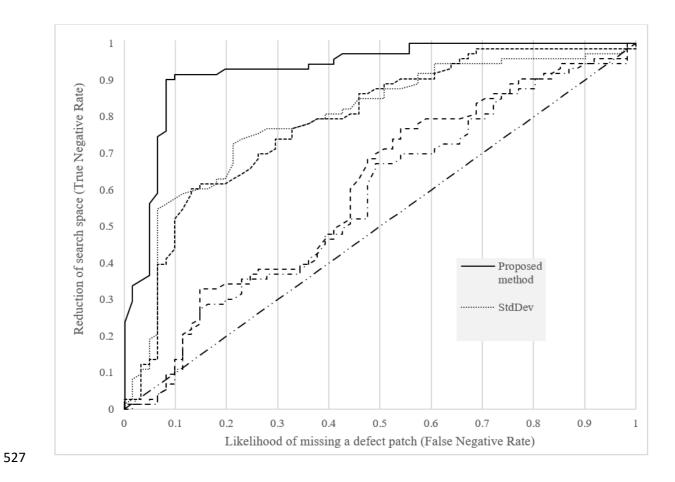
$$Entropy = -\sum_{i} (p_i \cdot log(p_i)) \tag{9}$$

Figure 10 shows the results in comparison. The use of the same bias presented in the first experiment and different thresholds for the measures StdDev, Mean, Entropy, Metrics Combined, and Flip a Coin allows to contrast TNR and FNR as a continuous curve. Consequently, it can be decided which FNR or likelihood of missing a defect is allowed. This then gives the necessary bias or threshold and the corresponding TNR or reduction of search space. The horizontal axis represents the false negative rate 479 (FNR), which in this scope is the likelihood of missing a defect patch. The vertical axis represents the 480 true negative rate (TNR) or the reduction of search space. This TNR is the decisive number to 481 understand the potential of this method as it illustrates how much of an area can be skipped for a 482 manual inspection. Out of the existing work, standard deviation and entropy worked best on the 483 Cambridge Bridge Inspection Dataset. However, the newly presented method outperforms existing 484 work considerably by achieving a reduction of search space of 90.1% at a risk of missing a defect patch of 8.2% at the maximal F_1 score determined in the first experiment. The actual risk of missing a defect 485 486 is even lower if considering that a defect consists of multiple image patches.

487 Finally, a demonstration qualitatively examines the accuracy on an example surface texture where 488 unseen defect samples were added using the image editing software Gimp. The surface texture is from 489 a bridge column and has a surface size of 10.15 square meters. The image representing the surface 490 texture has a resolution of 5,485 x 10,888 pixels and is manually enriched with spalling, efflorescence 491 and a crack. Figure 11a shows the texture with defects and in red the outlined classification results. 492 An overlap of 5/6 was used to achieve a high spatial resolution regarding the classification results and 493 to be independent of the defect location within a single patch. The optimal degree of overlap was not 494 further investigated because only a few reconstructed surface textures were available. The method 495 detects all three example defects correctly. Only three areas are misclassified as false positive. Out of 496 these two are the top and bottom part of the column, where stones, some asphalt parts of the street and grass is present in the image. The third part is the label that is present on this column to identify 497 the bridge (such labels are not yet part of the training or evaluation dataset. They could be added to 498 499 the dataset. The label is actually painted on the column and serves as bridge mark). The four other 500 false positives are small and insignificant; three arise from a change of texture appearance due to 501 previous maintenance work, one arise from texture reconstruction artefacts. It should be pointed out 502 that all three defects are correctly detected and no defect has been missed. In addition, the size, shape 503 and location of all three defects was detected correctly. Figure 11b shows the classification result in 504 form of a normalized heat map. It visualizes the stability of the detection as all defect areas are clearly

505 identifiable and differ considerably from the other texture. Figure 11c shows a close-up of the crack 506 area, which is marked by the blue rectangle in Figure 11a. It is especially interesting to look at and 507 compare the lines originated from concrete formwork and cracks. The presented method is able to 508 distinguish between those two based on their unique appearance. Figure 11d illustrates that this does 509 not depend on the absolute intensity change. It shows the cross-section intensity diagram for the 510 formwork mark and the crack. The formwork mark has a greater footprint in the profile than the crack 511 in both the absolute intensity value as well as in the spatial extent. Still, the trained model is able to 512 distinguish and correctly classify both based on the different characteristics. Figure 11e shows the 3D 513 view of the highlighted defects in our prototype implementation.





528 Figure 10: Comparison of presented method vs. existing methods regarding their reduction of search space and the



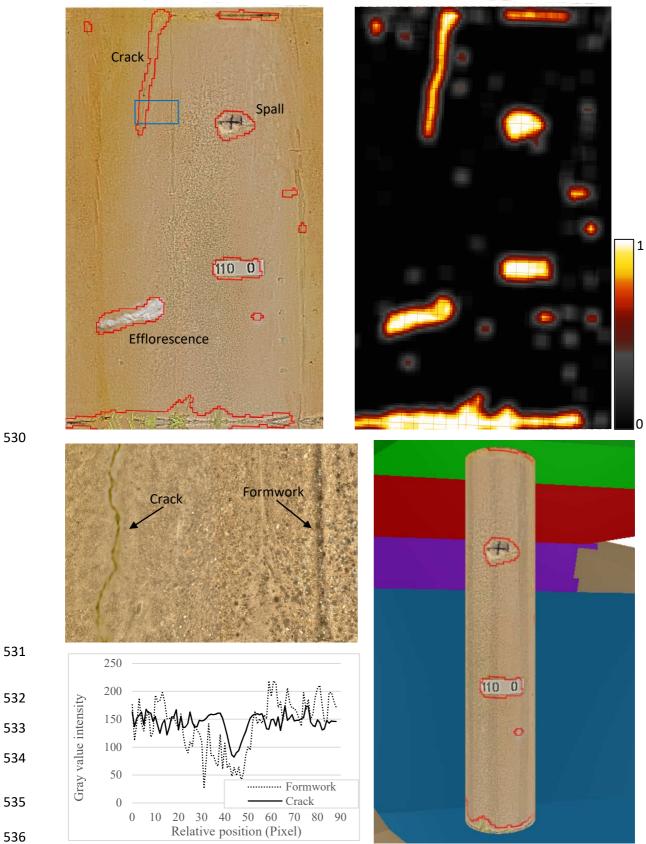


Figure 11: (a) Surface texture with manually added defect examples and overlaid classification results, (b) classification scores, (c) close-up comparison of a crack and formwork markings, (d) grey value intensity of a crack and formwork markinas, (e) 3D view of as-is aeometry with texture and defect highlight

537 4. Conclusion

The current practice of manual visual bridge inspection suffers from limitations such as inefficiency and subjectivity. Multiple efforts have been made to automate this task by automatically detecting specific defect types, mostly cracks. However, a variety of defects must be detected simultaneously, and detecting a subset of defect types does not solve the problem. More importantly, defects can appear in many different forms, colours, shades and textures. The existing methods do not generalize well with respect to varying defect classes and/or concrete appearance.

544 In this paper, the authors presented a method to automatically identify regions of interest in order to 545 reduce the inspection space to areas which can then subsequently be inspected by a human engineer 546 or by an automated defect classifier. This is done by inverting the problem from detecting potentially 547 unhealthy concrete to detecting healthy concrete. A sliding window approach splits the surface 548 texture in smaller chunks, such that it can be processed by a state-of-the-art classifier without losing 549 small details such as cracks. A deep convolutional neural network is trained to detect healthy concrete. 550 Classification results are merged to highlight potentially unhealthy areas directly on the element. This way, search space for inspectors is reduced to the areas which are not classified as healthy. 551

This approach can reduce the surface area that an inspector has to inspect by 90.1% with having a risk of missing a defect patch of 8.2%. It is assumed that a reduction of surface area has a proportional influence on the inspection duration. The per-defect failure rate is even lower based on the assumption that a defect is depicted in multiple patches. A bias towards the potentially unhealthy class enables to determine how much risk is acceptable to the cost of limiting the search space reduction. The authors have shown that the presented method is able to outperform existing methods for detecting potentially unhealthy areas for the scope of bridge inspection.

The contribution of this work is the process of slicing and merging high resolution bridge texture into patches, such that they can be processed with a state of the art image classifier. Our method has the benefit of not depending on multiple, hard to determine parameters and does not depend on

562 handmade features. The method works end-to-end, taking the raw image data as input and directly 563 outputting surface texture with potentially unhealthy areas highlighted. As with all machine learning 564 approaches, the limitation of this method is that it is hard to understand how the classifier comes to 565 a decision. The relevant feature vectors are trained automatically and are difficult to interpret as a 566 human. Consequently, the classification reliability highly depends on the quality of the training data. 567 This is particularly challenging for the scope of this work as there is no distinct definition of what is 568 considered as potentially unhealthy and what is not. Different inspectors would label patches 569 differently. This, however, is a reasonable weakness as controversial patches can be labelled as 570 suspicious to be on the safe side. Increasing the size and diversity of the training dataset (variety of 571 inspectors, agencies and countries) would result in a more representative dataset.

572 The presented method for automatically detecting potentially unhealthy areas can help to increase 573 inspection efficiency by reducing the search space for a bridge inspector and guiding the inspector 574 directly to the regions of interest. This way, the risk of missing a defect can be reduced. This will help 575 to improve the overall quality of bridge condition information and hence will help to improve the cost-576 to-benefit ratio for transportation maintenance operations. It is important, however, to emphasize 577 that this method does not solve the overall problem. It is just one component in the complex process 578 of bridge inspection which needs to be reviewed and adjusted, continually and methodically. Only this 579 way the overall inspection data quality, integrity and efficiency can be improved and technological 580 advances in the field of civil engineering and computer science can be utilized. This leads to a 581 fundamental change in the operation principles of the inspectors. More accurate and up-to-date 582 condition information can help eliminate bridge maintenance backlogs while enabling municipalities 583 to better ascertain road network service quality.

584 Multiple problems must be overcome in order to have a fully automated inspection solution. This is 585 foremost the data collection and pre-processing task. An applicable technique to fully-automate or 586 even semi-automate data collection of all relevant bridge element surfaces does not exist. This

concerns and includes multiple disciplines, such as the sensor hardware (how is sufficient surface
resolution achieved), sensor registration and actuation (using a drone, robot, handheld device) and
legislation (major restrictions exist to fly drones close to bridges).

590 Data Access

- 591 The Cambridge Bridge Inspection Dataset supporting the findings of this study is available at University
- of Cambridge research repository with the identifier doi:10.17863/CAM.13813 (Huethwohl 2017)

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