

Fusion Classification of Stroke Patients' Biosignals by Weighted Cross-Validation-based Feature Selection (W-CVFS) Method

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Fusion Classification of Stroke Patients' Biosignals by Weighted Cross-Validation-based Feature Selection (W-CVFS) Method

Abstract: A multi-source information fusion-based disease class classification of stroke patients was implemented to address the low classification accuracy of pure input motion and electromyographic signals. sEMG sensor MYO arm ring and wearable wireless motion sensor Shimmer were used as data acquisition devices. The Butterworth high-pass filter filtering and envelope thresholding method detected the activity segment. Detection and FIR filtering using the window function method remove interference from the motion signal. A weighted cross-validation-based feature selection (W-CVFS) method is proposed for feature fusion selection. The top 10 features selected by the W-CVFS method and all 18 features are input to the deep neural network for training and testing, and the feature classification result of the W-CVFS method is 79.17%, which is better than the existing mRMR method (66.67%) and ILFS method (62.50%). The classification accuracy of multi-source information fusion was 95.385%, which was higher than that of a single input motion signal or sEMG. The experiments showed that the proposed method can retain the features that have more influence on the classification results and can improve the classification accuracy of the rehabilitation model for stroke patients.

Keywords: stroke, sEMG, multi-source information fusion, cross-validation, deep neural network

1. Introduction

Cerebral stroke, a cerebrovascular disease with a high fatality rate, is the main cause of disability among adults^[1]. Surface electromyography (sEMG)^[2] is a bioelectrical signal produced by the human body, which contains meaningful information related to muscle activity, and can be used to identify the muscle movement intention, evaluate the functional state of the muscle, and play a role in motor control. And neuromuscular physiology has many applications.

Using a genetic algorithm (GA) and pseudo-wavelet function, the classification rate of muscle fatigue was improved by 4.45% to 14.95% ($p < 0.05$), and the average correct classification rate was

87.90%^[3]. The performance was evaluated using six different sEMG signals with varying movements of the arm and four different classifiers. Better classification accuracy was obtained in the MT classifier with a 6% improvement in differentiation compared to the features extracted from the original sEMG signals^[4]. The fusion of surface EMG signal nonlinear features and time-domain features using the SVM-DS fusion algorithm and the recognition accuracy can be stabilized at 95%^[5]. The features of surface EMG signals were extracted using principal component analysis (PCA), and the processing effect of each feature extraction method was compared using discriminant analysis (DA). The recognition rate of six gestures could reach 98.29%^[6]. Upper limb movements were identified from surface EMG signals by digital signal processing, discrete wavelet transform, and enhanced probabilistic neural network (EPNN). This method's average classification accuracy was 75.5%^[7]. Identification of six different hand motions by comparing frequency domain (FD) and time-frequency domain (TFD) features using a most neighborly field (KNN) classifier with 95.5% classification accuracy for the TFD feature vector and 89% for the FD feature vector^[8]. Proposed an improved deep BP (Backpropagation)-LSTM for sEMG signal classification, achieving an accuracy of 92%^[9]. Individual time-frequency domain features were compared using a support vector machine (SVM) classifier and a linear regression (LR) model. The SVM classifier outperformed the LR classifier, achieving a classification accuracy of 95.8%^[10]. The data were analyzed using a multilayer neural network and an adaptive neuro-fuzzy inference system combined with surface electromyography (sEMG) and accelerometer (ACC) sensors^[11]. Most of the above studies have used a single surface EMG signal for analysis. Experiments have shown that the classification accuracy of pure input motion signal features and EMG features is low and cannot meet the requirements of clinical rehabilitation assessment. When fused motor features and sEMG features were input, training accuracy and test accuracy improved.

Therefore, to improve the accuracy of biosignal classification of stroke patients as well as to assist physicians in patient grade classification, to address the problem of the insufficient effect of single-input biosignal classification, this paper fuses biosignals of stroke patients to achieve fusion

classification of patient motion features and surface EMG features. A weighted cross-validation feature selection method (W-CVFS) is used for feature selection, which is experimentally validated with the collected patient data and compared with the classical mRMR and ILFS methods, and the classification accuracy of the W-CVFS method is higher through extensive experiments. By using the proposed method in this paper, we can more effectively select the features that have more influence on the classification results, and thus improve the classification accuracy of the rehabilitation model for stroke patients.

2. Methods

2.1 Data acquisition

In this paper, we use a novel dataset collected from 30 stroke patients. Table 1 shows the general information of the patients participating in the data collection experiment. We collect two kinds of data for every patient: signals (both motion and sEMG) and their disease stage. In this section, we introduce the collection process of each data.

Actual disease stage of patients To acquire the ground truth prediction target, we collect each patient's clinical file and let clinical experts assess their disease stage.

Motion and sEMG signal Wearable sensors collect both signals. Concretely, we use a Shimmer device to manage the motion signal from the wrist and a MYO arm ring device to select the sEMG signal from the upper arm. Both signs are collected with four selected rehabilitation movements (shoulder forward flexion, shoulder forward exhibition, shoulder 0° elbow 90° forearm pronation, hand touch lumbar vertebrae). The collection process is as follows:

Acquisition steps:

Step1. The technician helps the patient to wear the Shimmer and MYO^[12].

Step2. The patient sits in a relaxed position and adjusts the seat or bed to a comfortable height for better movement.

Step3. Under the guidance of the rehabilitation therapist, we familiarized the patient with the selected four movements by practicing them several times.

Step4. After hearing the technician's instruction, the patient completes the four sets of movements in sequence, doing each set of movements three times with an interval of three seconds each.

Precautions:

1. The technician disinfected the key areas of the skin with medical alcohol to improve the quality of the data.

2. To ensure the accuracy and consistency of the collected data, all MYO sensors were worn on the outside of the forearm wrist and all EMG sensors were worn on the upper arm up to 3 cm from the elbow joint.

3. During the collection process, we recorded the signal for each movement three times, with a 3-second interval between every two movements. We started recording 2 seconds before the movement and discarded movements that did not meet the rehabilitation therapist's criteria.

Figure 1 shows the motion sensor and the MYO arm ring wearing position. Table 2 shows the specific operation of the acquisition action.

Table1 General Data of patients

Medical record information		
Sex	Male / female	28/16
Age	Mean \pm standard deviation (years)	58.3 \pm
Diagnose	Cerebral hemorrhage / cerebral infarction (human)	17/27
Paraparesis site	Left / right	24/20
Disease time	Mean \pm standard deviation (month)	30.5 \pm 13.5
Brunnstrom grade	III/IV/V/VI	6/16/15/7

Table2 Collection Content

Action	Num- ber of times	Time	Sensor wearing position	
shoulder forward flexion	3	Four sets of movements Each completed action interval of 3 seconds	MYO	EMG
shoulder forward exhibi- tion	3			
shoulder 0° elbow 90°	3		Outer side of	Upper arm to el- bow joint 3cm
forearm pronation	3		forearm wrist	
hand touch lumbar	3			



Fig1 Motion Sensor and MYO Arm Ring Wearing Position

2.2 sEMG

2.2.1 Signal filtering

Since the energy of the sEMG is mainly concentrated above the 20Hz band, the sEMG is filtered with a Butterworth high-pass filter, setting the cut-off frequency to 20Hz.

2.2.2 Active segment detection

To extract valuable information from the three movements, we need to perform active segment detection in the data pre-processing. Therefore, we adopt an effective and efficient method, the envelope threshold method, to detect the functional segments. Figure 2 shows the Filtered sEMG and the envelope. Figure 3 shows the division of active components of sEMG.

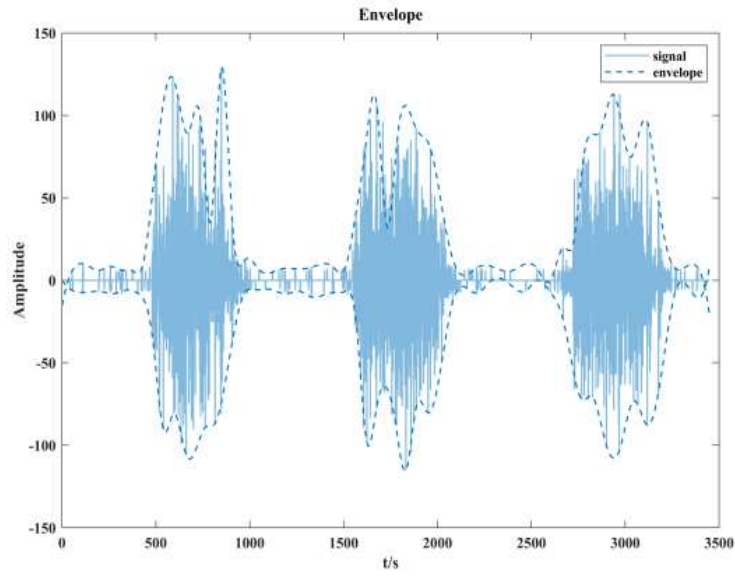


Fig2 Filter sEMG Data and Envelope

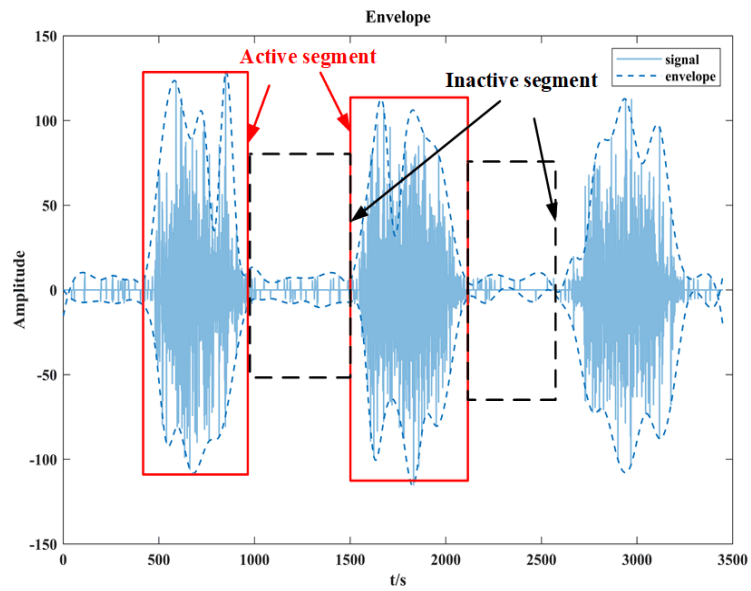


Fig3 Active Segment Division for sEMG

2.3 Motion signals

Due to the low-frequency nature of the biological signal, we adopt the FIR filtering for motion

signal pre-processing. Specifically, we use the FIR1 filter and set the cutoff frequency as 5.5HZ according to the characteristics of human medical-biological signals.

As the FIR1 filter requires normalized frequency as an input, we apply normalization to the signal before filtering. With a sampling frequency f_s and a cutoff frequency f_c , the normalized cutoff frequency W_n is calculated as $W_n = 2f_c / f_s$ [13][14]. In this study, the sampling frequency f_s is 102.4Hz, f_c is 5.5Hz. Therefore, in the MATLAB environment, we set $Wn = 5.5/51.2$ with a default Hamming window. For example, with an original motion data shown in Figure 4(a sixth-grade patient's shoulder flexion), the FIR1 outputs filtered motion data shown in Figure 5. The filtered data efficiently maintained the essential characteristics of the original data and removed the noises.

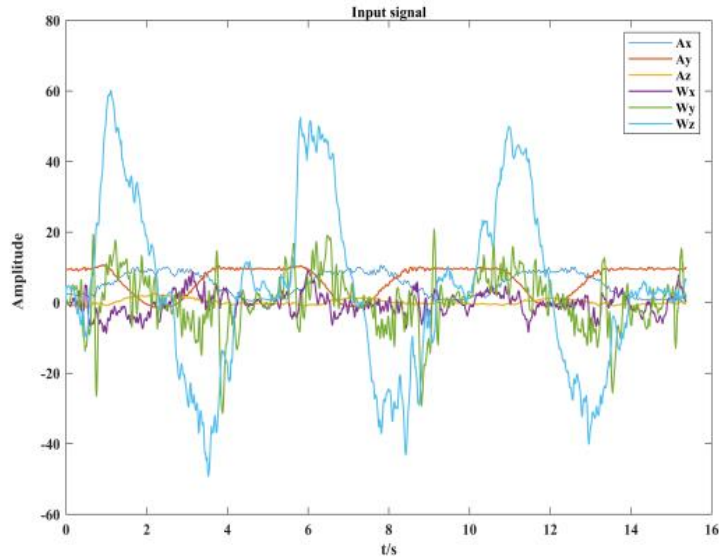


Fig4 The Original Motion Data of a Sixth-Grade Patient Doing Shoulder Flexion

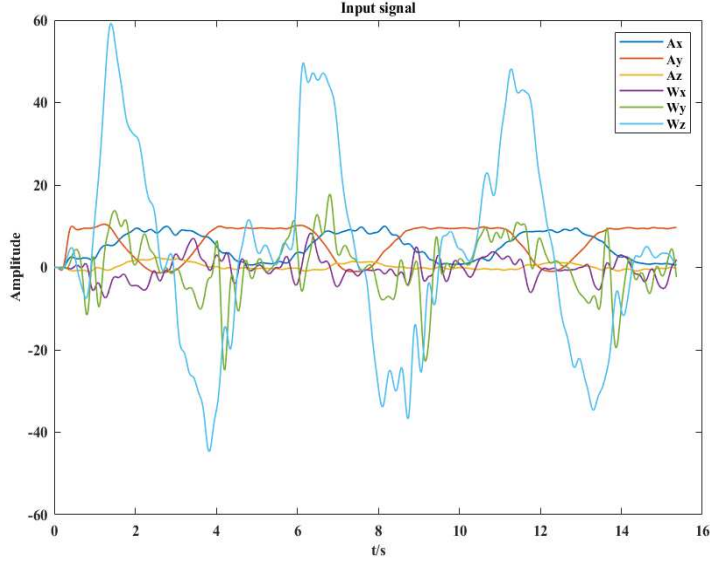


Fig5 Motion Data after Filtering

2.4 Feature selection

Feature selection is selecting the subset of relevant features in model construction. As a dimensionality reduction technique, it can help the model to save computational resources and achieve better generalization without sacrificing prediction performance^[15].

The classic feature selection methods include mRMR method^{[16][17]}, ILFE method^[18], and CVFS method^[19].

2.4.1 W-CVFS method

Based on the CVFS method, this paper proposes a weighted cross-validation feature selection (W-CVFS) method. Logistic regression is used as a classification calculation method to convert the classification problem into a regression analysis problem and solve the weight coefficient of the feature. Detailed steps are as follows:

Step 1: Divide the sample equally into K randomly, and use multi-class Logistic regression as the classification method.

Step 2: In each cross-validation, the weight value of each feature in each category is calculated, and the model classification accuracy rate of this cross-validation is obtained at the same time.

Step 3: Multiply the classification accuracy obtained this time with the weight value of each feature received this time, and record it as the feature weight value of each category under this set of cross-validation.

Step 4: After performing K times of cross-validation, the weight coefficients of the same feature in each group are averaged, and then the same feature weights under each category are arranged in descending order, and finally, the weight ranking of all features is obtained.

The specific calculation method will be introduced below:

Let x be n known samples with labels, where x_1 is a feature vector of dimension d with a one at the end of the vector, representing the bias term; the label y represents one of the U categories; each category corresponds to a weight vector, and there are a total of U weight vectors, let the weight vector of the i th category be w . The model's output is a probability distribution to represent the probability of each type. The Softmax function is used as the activation function to map the weighted summation results of the features into a probability distribution that satisfies the sum of the probability values of all categories as 1. The function can be interpreted as the posterior probability that the sample point x belongs to category r , with the following expressions.

Let $(\vec{x}_1, y_1), (\vec{x}_2, y_2), (\vec{x}_3, y_3), \dots, (\vec{x}_n, y_n)$ be n known samples with labels, where $\vec{x}_i = (x_1, x_2, x_3, \dots, x_{d-1}, 1)$ is a feature vector of dimension d with a one at the end of the vector, representing the bias term; the label $y_i \in \{1, 2, 3, \dots, r, \dots, U\}$ represents one of the U categories; each category corresponds to a weight vector, and there are a total of U weight vectors, let the weight vector of the i th category be \vec{w}_i . The model's output is a probability distribution to represent the probability of each type. The Softmax function is used as the activation function to map the weighted summation results of the features into a probability distribution that satisfies the sum of

the probability values of all categories as 1. The function can be interpreted as the posterior probability r that the sample point \vec{x}_i belongs to category $P(r|\vec{x}_i)$, with the following expressions.

$$P(r|\vec{x}_i) = \exp(\vec{\omega}_r \cdot \vec{x}_i) / \sum_{j=1}^K \exp(\vec{\omega}_j \cdot \vec{x}_i) \quad (1)$$

The probability distribution can be found by calling the Softmax function for all categories and satisfying.

$$\sum_{r=1}^K P(r|\vec{x}_i) = 1 \quad (2)$$

For the logistic regression model, find the weight vector $\vec{\omega}_i$ so that the model's output in the training set is as close to the given label as possible. Therefore, the maximum likelihood estimation method establishes a likelihood function G , hoping to maximize it. The likelihood function expression G is expressed as:

$$G = \prod_{i=1}^n P(y_i|\vec{x}_i) \quad (3)$$

$$(4)$$

$$L = \log G = \sum_{i=1}^n \left\{ -\vec{\omega}_{y_i} \cdot \vec{x}_i + \log \sum_{j=1}^K \exp(\vec{\omega}_j \cdot \vec{x}_i) \right\}$$

$\log L_i$

Adding a negative sign, the original maximization is changed to depreciation, so the above equation is the loss function that needs to be solved in logistic regression to minimize.

Find the weight vector $\vec{\omega}_i$ that minimizes the loss function L , let $v_{i,r} = \vec{\omega}_r \cdot \vec{x}_i$, represents the vector product of the feature vector \vec{x}_i and the corresponding weight $\vec{\omega}_r$ of the r th category. The traditional approach is to set the partial derivative of $\vec{\omega}_r$ of the loss function L to 0, that is:

$$\partial L / \partial \vec{\omega}_r = 0 \quad (5)$$

$$\vec{\omega}_r \leftarrow \vec{\omega}_r - \eta \partial L / \partial \vec{\omega}_r \quad (6)$$

$$\partial L / \partial \vec{\omega}_r = \sum_{i=1}^d \sum_{j=1}^U (\partial L_i / \partial v_{i,j}) \cdot (\partial v_{i,j} / \partial \vec{\omega}_r) \quad (7)$$

$$\partial v_{i,j} / \partial \vec{\omega}_r = 0 \quad (8)$$

$$\partial L / \partial \vec{\omega}_r = \sum_{i=1}^n \begin{cases} -\vec{x}_i (1 - P(r | \vec{x}_i)), & \text{if } y_i = r \\ \vec{x}_i P(r | \vec{x}_i), & \text{if } y_i \neq r \end{cases} \quad (9)$$

$$\vec{\omega}_r \leftarrow \vec{\omega}_r - \eta \sum_{i=1}^n \begin{cases} -\vec{x}_i (1 - P(r | \vec{x}_i)), & \text{if } y_i = r \\ \vec{x}_i P(r | \vec{x}_i), & \text{if } y_i \neq r \end{cases} \quad (10)$$

$$\omega_d^r = 1 / K (\omega_d^r)_k \quad (11)$$

$$A(D_n^i)_u = 1 - f(y_i - t_i) / n \quad (12)$$

Formula 6 is solved by gradient descent method. Among them, η is the learning rate, that is, the search step size, this article takes $\eta=0.001$, $\partial L / \partial \vec{\omega}_r$ is the gradient, "-" indicates that the update direction is toward the direction of decreasing loss function. Formula 7 is the shaving expression of the loss function for the $\vec{\omega}_r$:

Formula 10 is the updated formula $\vec{\omega}_r (r \in \{1, 2, 3, \dots, r, \dots, U\})$ $\vec{\omega}_r$ weight vector.

After K cross-validations, the weight value of feature d in category r is recorded as ω_d^r .

$A(D_n^i)_u$ is the classification accuracy rate.

Where $(\omega_d^r)_k$ is the weight value of feature d in the kth cross-validation category r , t_i is the sample category discriminated by the classifier after feature selection for sample i , and y_i is the actual category of sample i .

After multiplying the feature weight ω_d^r and the classification accuracy rate $A(D_n^i)_u$, the feature weight value of d feature in category r is updated to:

$$\omega_d^r \leftarrow \omega_d^r A(D_n^i)_u \quad (13)$$

Summing the same features under the U categories yields the weight value W_d of the feature vector d as:

$$W_d = \sum_{u=1}^U \omega_u^r \quad (14)$$

3. Results

To verify that the features of motion and sEMG selected by the feature selection method based on weighted cross-validation are more influential on the classification results and to select the features that are more influential on the classification results, the larger the weight value at this point, the more influential the selected features are and the more effective they are for our experiments. Experimental data of feature selection method comparison was performed using data from 36 patients. There were 4 patients in class III, 14 patients in class IV, 13 patients in class V, and 5 patients in class VI, for a total of 108 data sets. Experiments were conducted using the Matlab R2016a platform, and the mRMR method, ILFS method and the feature selection method proposed in this paper (W-CVFS) were used for feature selection of the extracted motion signals and the 18 features of sEMG, respectively. Table 3 shows the signal features. Table 4 shows the feature selection results of the three methods.

As shown in Table 5, the W-CVFS method outperforms the mRMR and ILFS methods for all the 18 features extracted. To further verify the superiority of the proposed method in the classification results, the weight results shown in the above table were rearranged in descending order, and the top 10 features were selected, as shown in Table 4. A five-fold cross-validation method is used, and the classification accuracy of each cross-validation is involved in the calculation of the feature weights, to improve the accuracy of the weights. Finally, the extracted motion features and sEMG features are fused and selected by applying the W-CVFS method, and the final feature selection results are obtained and compared for the classification effect, and the classifier is selected as SVM^[20]. After the experimental comparison, when using SVM classification, the W-CVFS method was able to select the more important features that had a greater impact on the results, and the classification accuracy

of the stroke patient class was higher, and the classification effect was better than that of mRMR and ILFS methods.

Table 6 shows the classification accuracies of the results of different feature selection methods. The results show that the classification accuracy of the features selected by the W-CVFS method when input to the SVM for classification is 79.17%, while the classification accuracy of the features selected by the mRMR and ILFS methods when input to the SVM is 66.67%, and 62.50%, respectively, which are lower than that of the W-CVFS method, indicating that the W-CVFS method proposed in this paper can select more important features. The proposed W-CVFS method can select more important features that have more influence on the classification results.

Table 7 shows the classification accuracy of the deep neural network with three different inputs. The analysis of the results in the table shows that the training accuracy and test accuracies were 81.026% and 89.744% when the motor features alone or the sEMG features alone were input, which could not meet the requirements of clinical rehabilitation assessment. The training accuracy and test accuracy of the Brunnstrom scale were both improved. The last two rows of the table compare the training accuracy and test accuracy of the neural network with 10 features and 18 features, and the results show that the training accuracy and test accuracy of the 18 features are 97.331% and 96.154%, respectively, which is only 0.371% and 0.769% higher than that of the 10 features, indicating that the weight ranking selected using the improved method features located in the top 10 improve the classification accuracy, reduce the amount of data processing, and lower the computational cost.

In summary, the W-CVFS method has the following advantages:

1. First, the entire dataset is randomly divided into K groups for cross-training and validation using the cross-validation method, in which multiple combinations of data are considered. Each feature data has the opportunity to be used as training and proof. The feature selection results obtained by the cross-validation method are more relevant and reasonable than a single calculation.

2. Each time cross-validation is performed, the same feature gets different weights in the model results obtained under additional training and test sets. This method can improve the generalization

ability of features, avoiding the phenomenon of underfitting relative to the mRMR method and overfitting close to the ILFS process.

3.The classification problem is transformed into a regression problem using logistic regression as the calculation method. The accuracy of this cross-validation and the weight value of each feature can be obtained at each cross-validation training and validation. The two can be multiplied to involve the accuracy of each classification in the final weight of each element for processing, which can more effectively improve the accuracy of the feature weight value and select the features that have more influence on the This can improve the accuracy of the feature weights and choose the features that have more impact on the classification results for the next step of the classification model, thus improving the classification accuracy of the classification model.

Table3 Signal Features

Feature	Description	Meaning
(Mean Absolute Value,MAV)	$MAV = 1/W \sum_{i=1}^W x_i $	z-axis direction plus, absolute value of angular velocity mean reflects the strength of the muscle action of the segment
(Root Mean Square,RMS)	$RMS_w = \sqrt{1/W \sum_{i=1}^W x_i^2}$	reflects muscle contribution during completion of movement
(Variance,VAR)	$VAR_k = 1/W \sum_{i=1}^W \left(x_i - 1/W \sum_{i=1}^W x_i \right)^2$	reflects action clustering characteristics
(Motion Symmetry,MS)	$MS = MAV_u / MAV_d$	lifting drop acceleration absolute value mean value ratio
(Degree of completion,DOC)	$DOC \in (0,1)$	reflects the degree of movement completion

Table4 mRMR, ILFS, W-CVFS Feature Selection Results

number	Action	Feature	Type	Weight(mRMR)	Weight(ILFS)	Weight(W-CVFS)
(1)	shoulder forward flexion	MAV	Acc	0.108536	0.056062	0.404404
(2)		MAV	Gyro	0.041855	0.048536	0.346618

(3)		MAV	sEMG	0.094536	0.056049	0.399358
(4)		RMS	sEMG	0.130183	0.056044	0.447368
(5)		VAR	sEMG	0.219157	0.056064	0.338317
(6)		MS	Acc	0.004679	0.055992	0.389607
(7)		DOC	Acc	0	0.056067	0.361927
(8)		MAV	Acc	0.038600	0.055411	0.390303
(9)		MAV	Gyro	0.199540	0.055324	0.381061
(10)		MAV	sEMG	0	0.056043	0.407227
(11)	shoulder forward exhibition	RMS	sEMG	0.230216	0.056066	0.408939
(12)		VAR	sEMG	0.037650	0.056046	0.290427
(13)		MS	Acc	0	0.056055	0.319371
(14)		DOC	Acc	0	0.056011	0.328344
(15)	shoulder 0° elbow 90° fore-	RMS	sEMG	0	0.056047	0.315214
(16)	arm pronation	DOC	Acc	0.137552	0.056064	0.247831
(17)	hand touch lumbar verte-	RMS	sEMG	0	0.056069	0.385273
(18)	brae	DOC	Acc	0.230216	0.056042	0.451213

Table5 Top 10 Features in Weights

Number	Action	Feature
(1)	hand touch lumbar vertebrae	DOC
(2)	shoulder forward flexion	RMS
(3)	shoulder forward exhibition	RMS
(4)	shoulder forward exhibition	MAV(sEMG)
(5)	shoulder forward flexion	MAV(Acc)

(6)	shoulder forward flexion	MAV(sEMG)
(7)	shoulder forward exhibition	MAV(Acc)
(8)	shoulder forward flexion	MS
(9)	hand touch lumbar vertebrae	RMS
(10)	shoulder forward exhibition	MAV(Gyro)

Table 6 Classification Accuracy of Results from Different Feature Selection Methods

Feature selection method	SVM classification accuracy
ILFS	62.50%
mRMR	66.67%
W-CVFS	79.17%

Table7 Classification Accuracy of Deep Neural Networks with Different Inputs

Input	Training accuracy	Test accuracy
Motion signal characteristics	85.719%	81.026%
sEMG characteristics	92.437%	89.744%
Fusion of motion features and sEMG features (10 types)	96.960%	95.385%
Fusion of motion features and sEMG features (18 types)	97.331%	96.154%

4. Discussion

In this paper, a weighted cross-validation-based feature selection (W-CVFS) method is proposed, and the effectiveness of the proposed method for feature selection is verified by comparing the extracted features with the classical mRMR and ILFS methods. The use of the cross-validation

method makes the feature selection results more relevant and reasonable, and each time when cross-validation is performed under different training and test sets, the weights of the same feature obtained are different, which improves the generalization ability of the features. At the same time, processing the weights of each classification accuracy involved in the final weight of each feature can be more effective in selecting the features that have more influence on the classification results for the next classification step. After verifying the effectiveness of the proposed method, three cases based on motion signal features, surface EMG features, and fusion of motion and EMG features are compared, and the results show that the classification accuracy is higher using the W-CVFS method. By using the proposed method in this paper, the features that have more influence on the classification results can be selected more effectively, and the classification of patients can be more accurate, which facilitates the objective detection of the degree of rehabilitation of patients.

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