Curvature of planar aesthetic curves

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Abstract

In [1] Farin proposed a method for designing Bézier curves with monotonic curvature and torsion. Such curves are relevant in design due to their aesthetic shape. The method relies on applying a matrix M to the first edge of the control polygon of the curve in order to obtain by iteration the remaining edges. With this method, sufficient conditions on the matrix Mare provided, which lead to the definition of Class A curves, generalising a previous result by Mineur et al. [2] for plane curves with M being the composition of a dilatation and a rotation. However, Cao and Wang [3] have shown counterexamples for such conditions. In this paper, we revisit Farin's idea of using the subdivision algorithm to relate the curvature at every point of the curve to the curvature at the initial point in order to produce a closed formula for the curvature of planar curves in terms of the eigenvalues of the matrix M and the seed vector for the curve, the first edge of the control polygon. Moreover, we give new conditions in order to produce planar curves with monotonic curvature. The main difference is that we do not require our conditions on the eigenvalues to be preserved under subdivision of the curve. This facilitates giving a unified derivation of the existing results and obtain more general results in the planar case.

Keywords: Aesthetic curve, Class A curve, Bézier, curvature, subdivision

1. Introduction

In many design applications, aesthetically pleasing curves and surfaces are preferred. The term "class A surface" appeared when Mercedes-Benz CAD/CAM systems' developers required a high quality shape from the "outside surface" parts, for which "Außenhaut" is the German word, but they have been used in other industries, such as naval architecture.

For a curve to be considered pleasing, its curvature and torsion should vary monotonically with respect to the parameter of the curve. According to [4], a curve is *fair* if its curvature plot is continuous and consists of only a few monotone pieces. There are several papers which deal with this issue for Bézier and B-spline curves. See [5, 6, 7] for nice compilations on this issue. In [8] the issue of the *best* spline curve is discussed. One of the possibilities are log-aesthetic curves and their generalisations [7]. Another approach deals with curves and surfaces minimising functionals related to elasticity theory [9, 10]. And another choice is restriction to a known family of curves such as clothoids, as in civil engineering, [11, 12], or logarythmic spirals. In this paper we focus on the class of curves known as "Class A curves" [1]. In the context of regression functions, the requirement of monotonicity and convexity has lead to the concept of C-splines [13].

In [2] it is defined a *typical curve*, a 2D Bézier curve for which each edge of its control polygon is obtained after rotating a given angle and changing the length of the previous edge by a given factor. The curve has monotonic curvature when a simple condition involving the angle rotated and the scale factor is satisfied. Previous results on this issue may be found in [14].

In [1] Farin discusses a 3D generalization of this concept of typical curves and defines a special Bézier curve by its degree, a vector \mathbf{v} describing its first edge and a square matrix M, in such a way that each edge is obtained from the previous one by multiplying it by M. Two conditions for the matrix Mare given that are claimed to be sufficient to induce a Class A Bézier curve with monotonic curvature and torsion. These Class A matrices are viewed as "expansion" matrices that "do not distort" lengths "too much".

These two conditions on a square matrix are supposed to imply two properties of the induced Class A curve which guarantee monotonicity of curvature and torsion. The first property is that subdivision of a Class A Bézier curve provides a Class A Bézier curve. The second property requires that for a Class A Bézier curve the curvature and torsion at the initial point are not smaller (greater) than the ones at the endpoint. In other words, the curvature and torsion of a Class A curve are decreasing (increasing) functions of the parameter of the curve.

However, in [3] it is claimed that Class A Bézier curves defined in [1] are not invariant under subdivision based on a counterexample in which the

second condition of a Class A matrix (that of not distorting lengths too much) is supposed not to be invariant under subdivision. They also point out that the proof of this fact in [1] is incomplete. They propose new conditions for a matrix to be called a Class A matrix in the case of symmetric matrices and verify that these are sufficient conditions for the induced Class A Bézier curve to have the two properties that guarantee monotonicity of its curvature and torsion. In the case of a non-symmetric matrix, the authors state that their new conditions are not sufficient to guarantee monotonic curvature and torsion.

Furthermore, in [15] it is stated that the conditions defining Class A matrices in [1] are incorrect using two counterexamples in which Farin's conditions are supposed to hold for a curve, but its curvature is not monotonic. The issue of finding out necessary and sufficient conditions to guarantee monotonicity of curvature is seen as future work.

Finally, in [16] geometric sufficient conditions are provided for monotonic curvature, but with no reference to Class A matrices.

In this paper we recover Farin's idea of using the subdivision algorithm for relating the curvature at a point on the curve to the curvature at the initial point, producing a new closed formula for the curvature of planar curves in terms of the eigenvalues of the matrix M and the seed vector for the curve. We also obtain new and simple conditions on the eigenvalues of the matrix M, instead of its singular values, for designing planar aesthetic curves. In this we generalise and depart from Farin's framework, since our conditions are not preserved under subdivision and involve the seed vector, while comprising previous results by Mineur et al (see [2]) and Cao and Wang (see [3]).

Moreover, we discuss several claims present in [1], [3] and [15]. We explicitly show that in [1] neither the condition on the singular values of M is the right one for achieving a monotonic curvature nor it is preserved under subdivision of the curve. We note that the example in [3] for a Class A matrix in which the condition involving singular values of the matrix is not supposed to be invariant under subdivision is not a strict counterexample for Farin's method. Finally, we point out that the first example of [15] is not a counterexample for Farin's method.

This paper is organised in the following way. In Section 2 we disclose a new general formula for the curvature of a planar curve in terms of the eigenvalues of the matrix M and the seed vector \mathbf{w} . This enables us to provide new conditions for designing planar aesthetic curves in Section 3. Several examples are given. Finally, in Section 4 we discuss previous issues on Class A curves in the literature.

2. Curvature of planar Bézier curves generated by a matrix

In [2], Mineur et al. consider planar Bézier curves for which each edge of the control polygon is obtained from the previous one by the action of a square matrix M that consists on a rotation and scaling, what they call *typical curves*. They give a relation between the rotation angle and the scaling factor in order to obtain Bézier curves with monotonic curvature. Farin (see [1]) generalizes this approach to space curves and more general matrices Mwith the goal to construct curves with monotonic curvature and torsion.

Following [2] and [1] we study planar Bézier curves for which the edges of its control polygon are generated by the action of a general square matrix Mon a vector $\mathbf{w} \neq \mathbf{0}$, the first edge of the control polygon. Concretely, given a 2 × 2 matrix M and a vector $\mathbf{w} \neq \mathbf{0}$ in \mathbb{R}^2 , we consider Bézier curves of degree n parameterised as

$$c(t) = \sum_{j=0}^{n} b_j B_j^n(t) \qquad t \in [0, 1],$$

where b_j are the control points of the curve, B_j^n are the Bernstein polynomials of degree n and the edges of the control polygon are given by

$$b_{j+1} - b_j = M^j \mathbf{w}, \qquad j = 0, \dots, n-1.$$
 (1)

Recall that the curvature of a parameterised planar curve is

$$\kappa(t) = \frac{\det(c'(t), c''(t))}{\|c'(t)\|^3}.$$

Following [4], we may write the expressions for the curvature at the endpoints of the curve in terms of the edges of the control polygon,

$$\kappa(0) = \frac{n-1}{n} \frac{\det(\mathbf{w}, M\mathbf{w})}{\|\mathbf{w}\|^3},$$

$$\kappa(1) = \frac{n-1}{n} \frac{\det(M^{n-2}\mathbf{w}, M^{n-1}\mathbf{w})}{\|M^{n-1}\mathbf{w}\|^3}.$$
(2)

Hence, to calculate the curvature for any $t \in (0, 1)$, we reparameterise the arc of the Bézier curve defined in [0, t] by subdivision, so this arc is obtained by the action of the matrix $T = (1 - t)\mathbb{I} + tM$ on the vector $t\mathbf{w}$ and the endpoints of this arc are c(0) and c(t). Using the invariance of the curvature under reparameterisations we get

$$\kappa(t) = \frac{n-1}{n} \frac{\det\left(T^{n-2}\mathbf{w}, T^{n-1}\mathbf{w}\right)}{t \|T^{n-1}\mathbf{w}\|^3},\tag{3}$$

which gives an expression of the curvature in terms of \mathbf{w} , M and t.

In order to get a more useful expression of the curvature, it is enough to write the vector \mathbf{w} in a suitable basis on which the action of M on \mathbf{w} is as simple as possible, that is, either a basis of eigenvectors of M or a Jordan basis.

Let σ_1 and σ_2 be the eigenvalues of the matrix M. They could be real (equal or different) or complex conjugates. If M is a diagonalizable matrix, let \mathbf{v}_k be an eigenvector with eigenvalue σ_k , k = 1, 2 such that $\{\mathbf{v}_1, \mathbf{v}_2\}$ is a basis of \mathbb{R}^2 (in the case of complex eigenvalues, $\mathbf{v}_2 = \overline{\mathbf{v}_1}$). If M does not diagonalize, write $\sigma = \sigma_1 = \sigma_2$ and take a Jordan basis $\{\mathbf{v}_1, \mathbf{v}_2\}$ such that \mathbf{v}_1 is an eigenvector and \mathbf{v}_2 is a vector satisfying $(M - \sigma \mathbb{I})\mathbf{v}_2 = \mathbf{v}_1$. In this case \mathbf{v}_1 and \mathbf{v}_2 can be taken to be orthogonal.

Now, any vector $\mathbf{w} \in \mathbb{R}^2$, $\mathbf{w} \neq \mathbf{0}$ can be written with respect to the basis of eigenvectors of M or with respect to the Jordan basis as

$$\mathbf{w} = \mu_1 \mathbf{v}_1 + \mu_2 \mathbf{v}_2,$$

where $\mu_1, \mu_2 \in \mathbb{R}$ if M has real eigenvalues or otherwise, $\mu_1 \in \mathbb{C} \setminus \mathbb{R}$ and $\mu_2 = \overline{\mu_1}$.

If M is diagonalizable, then for $j \ge 1$,

$$M^j \mathbf{v}_1 = \sigma_1^j \mathbf{v}_1, \qquad M^j \mathbf{v}_2 = \sigma_2^j \mathbf{v}_2,$$

and thus,

$$M^j \mathbf{w} = \mu_1 \sigma_1^j \mathbf{v}_1 + \mu_2 \sigma_2^j \mathbf{v}_2.$$

Written in this way, we get,

$$\det \left(\mathbf{w}, M \mathbf{w} \right) = \mu_1 \mu_2 (\sigma_2 - \sigma_1) \det(\mathbf{v}_1, \mathbf{v}_2).$$

Notice that even in the case of complex eigenvalues det $(\mathbf{w}, M\mathbf{w}) \in \mathbb{R}$ since $\sigma_2 - \sigma_1 = -2i \operatorname{Im} \sigma_1$ and det $(\mathbf{v}_1, \mathbf{v}_2) = -2i \operatorname{det}(\operatorname{Re}(\mathbf{v}_1), \operatorname{Im}(\mathbf{v}_1))$.

When M does not diagonalize, denote by σ the unique eigenvalue of M. Then,

$$M^j \mathbf{v}_1 = \sigma^j \mathbf{v}_1, \qquad M^j \mathbf{v}_2 = j \sigma^{j-1} \mathbf{v}_1 + \sigma^j \mathbf{v}_2,$$

and therefore,

$$M^{j}\mathbf{w} = \left(\mu_{1}\sigma^{j} + j\mu_{2}\sigma^{j-1}\right)\mathbf{v}_{1} + \mu_{2}\sigma^{j}\mathbf{v}_{2}$$

which gives in this case,

$$\det\left(\mathbf{w}, M\mathbf{w}\right) = -\mu_2^2 \det(\mathbf{v}_1, \mathbf{v}_2).$$

In every case, using the properties of the determinant, for $j \ge 1$

$$\det \left(M^{j-1} \mathbf{w}, M^{j} \mathbf{w} \right) = (\sigma_1 \sigma_2)^{j-1} \det \left(\mathbf{w}, M \mathbf{w} \right),$$

where $\sigma_1 = \sigma_2 = \sigma$ if M is not diagonalizable or $\sigma_2 = \overline{\sigma_1}$ if the eigenvalues are non-real complex numbers.

Hence by (2),

$$\kappa(1) = \kappa(0) \frac{(\sigma_1 \sigma_2)^{n-2} \|\mathbf{w}\|^3}{\|M^{n-1} \mathbf{w}\|^3}.$$

where

$$\kappa(0) = \frac{n-1}{n} \frac{\mu_1 \mu_2 (\sigma_2 - \sigma_1) \det(\mathbf{v}_1, \mathbf{v}_2)}{\|\mathbf{w}\|^3}$$

if M is diagonalizable, or otherwise,

$$\kappa(0) = -\frac{n-1}{n} \frac{\mu_2^2 \operatorname{det}(\mathbf{v}_1, \mathbf{v}_2)}{\|\mathbf{w}\|^3}$$

Recall that for any $t \in [0, 1]$ the curvature is given by the action of $T = (1-t)\mathbb{I} + tM$ on the vector $t\mathbf{w}$. T diagonalizes or not depending on whether M does. If M is diagonalizable then T has eigenvalues $\sigma_k(t) = (1-t) + t\sigma_k$ (real or complex conjugates) and eigenvectors \mathbf{v}_k , k = 1, 2 (that are also the eigenvectors of M). Otherwise, the eigenvalues of T are $\sigma_1(t) = \sigma_2(t)$ where $\{\mathbf{v}_1, \mathbf{v}_2\}$ is the Jordan basis of M chosen above. In every case, by (3),

$$\kappa(t) = \kappa(0) \frac{\left(\sigma_1(t)\sigma_2(t)\right)^{n-2} \|\mathbf{w}\|^3}{\|T^{n-1}\mathbf{w}\|^3},$$

being $\kappa(0)$ as above.

Thus, it has been shown the following

Theorem 1. The curvature of a planar Bézier curve of degree $n \ge 2$ generated by a matrix M and a vector $\mathbf{w} \neq \mathbf{0}$ is given by

$$\kappa(t) = \kappa(0) \frac{\left(\sigma_1(t)\sigma_2(t)\right)^{n-2} \|\mathbf{w}\|^3}{\|T^{n-1}\mathbf{w}\|^3},\tag{4}$$

where $\kappa(0)$ is the curvature at t = 0, T is the matrix $T = (1 - t)\mathbb{I} + tM$, and for k = 1, 2, $\sigma_k(t) = (1 - t) + t\sigma_k$ are the eigenvalues of T, with σ_k the eigenvalues of M.

Moreover, writing \mathbf{w} in terms of a basis of eigenvectors of M or a Jordan basis of M, as $\mathbf{w} = \mu_1 \mathbf{v}_1 + \mu_2 \mathbf{v}_2$, if M is diagonalizable then

$$\kappa(0) = \frac{n-1}{n} \frac{\mu_1 \mu_2 (\sigma_2 - \sigma_1) \det(\mathbf{v}_1, \mathbf{v}_2)}{\|\mathbf{w}\|^3},$$

otherwise,

$$\kappa(0) = -\frac{n-1}{n} \frac{\mu_2^2 \operatorname{det}(\mathbf{v}_1, \mathbf{v}_2)}{\|\mathbf{w}\|^3},$$

where \mathbf{v}_1 is an eigenvector of M and \mathbf{v}_2 satisfies $(M - \sigma \mathbb{I})\mathbf{v}_2 = \mathbf{v}_1$.

Notice that the curvature identically vanishes when $\kappa(0) = 0$ which occurs when **w** is an eigenvector of M and the resulting Bézier curve is a line segment. On the other hand, if M has complex non-real eigenvalues $\kappa(0) \neq 0$ and hence the curvature never vanishes regardless the choice of **w**. Notice also, that if n is even, or the real eigenvalues of M are equal or positive, or M has complex eigenvalues, then for any $t \in (0, 1]$, the sign of $\kappa(t)$ is given by the sign of $\kappa(0)$.

Taking the derivative in (4) using that $||T^{n-1}\mathbf{w}||^3 = (||T^{n-1}\mathbf{w}||^2)^{3/2}$,

$$\kappa'(t) = \frac{\kappa(0) \|\mathbf{w}\|^3 (\sigma_1(t) \sigma_2(t))^{n-3}}{2 \|T^{n-1} \mathbf{w}\|^5} \cdot (2(n-2) \|T^{n-1} \mathbf{w}\|^2 (\sigma_1(t) \sigma_2(t))' - 3(\sigma_1(t) \sigma_2(t)) (\|T^{n-1} \mathbf{w}\|^2)').$$
(5)

The expression (5) will be used in the next section to find conditions on M to obtain Bézier curves with monotonic curvature.

3. Conditions for monotonic curvature

We start this section assuming that M has two positive real eigenvalues $\sigma_1 \geq \sigma_2 > 0$. Consider a basis $\{\mathbf{v}_1, \mathbf{v}_2\}$ of unitary eigenvectors of M such that \mathbf{v}_1 is an eigenvector for σ_1 and \mathbf{v}_2 is an eigenvector for σ_2 . In this situation (5) can be rewritten as

$$\kappa'(t) = \kappa(0) \frac{\|\mathbf{w}\|^3}{2\|T^{n-1}\mathbf{w}\|^5} (\sigma_1(t)\sigma_2(t))^{n-3} \cdot (-(n+1)((\sigma_1+\sigma_2-2)(1-t)+(2\sigma_1\sigma_2-\sigma_1-\sigma_2)t)\|T^{n-1}\mathbf{w}\|^2 -3(n-1)(\sigma_1-\sigma_2)(\mu_1^2\sigma_1^{2n-2}(t)-\mu_2^2\sigma_2^{2n-2}(t)))$$
(6)

where it has been used that

$$||T^{n-1}\mathbf{w}||^2 = \mu_1^2 \sigma_1^{2(n-1)}(t) + \mu_2^2 \sigma_2^{2(n-1)}(t) + 2\mu_1 \mu_2 \big(\sigma_1(t)\sigma_2(t)\big)^{n-1} \mathbf{v}_1 \cdot \mathbf{v}_2$$

with $\mathbf{v}_1 \cdot \mathbf{v}_2$ the scalar product of \mathbf{v}_1 and \mathbf{v}_2 .

From (6), we give an alternative proof of a result of Cao and Wang (see [3]) where the matrix M is assumed to be symmetric.

Theorem 2 (Cao-Wang). If the matrix M is symmetric and its eigenvalues, $\sigma_1 \geq \sigma_2 > 0$, satisfy

$$\sigma_1 \ge 1, \qquad 2\sigma_2 \ge \sigma_1 + 1, \tag{7}$$

then the Bézier curve of degree $n \ge 2$ generated by M and \mathbf{w} has monotonic curvature (decreasing if $\kappa(0) > 0$, and increasing if $\kappa(0) < 0$).

Proof. Since $\sigma_1 \geq \sigma_2$ conditions in (7) imply also that

$$\sigma_2 \ge 1, \quad \text{and} \quad 2\sigma_1 \ge \sigma_2 + 1.$$
 (8)

When M is symmetric its eigenvectors \mathbf{v}_1 and \mathbf{v}_2 are orthogonal, and then,

$$||T^{n-1}\mathbf{w}||^2 = \mu_1^2 \sigma_1^{2n-2}(t) + \mu_2^2 \sigma_2^{2n-2}(t).$$

It is easy to check that k'(t) does not change its sign for $t \in [0, 1]$. Indeed, we may group the large parenthesis of the derivative of the curvature (6) so the terms that multiply $\mu_1^2 \sigma_1^{2n-2}(t)$ and $\mu_2^2 \sigma_2^{2n-2}(t)$ are respectively,

$$-\Big(2(n+1)(\sigma_1-1)(\sigma_2-1)t+2(2\sigma_1-\sigma_2-1)n+2(2\sigma_2-\sigma_1-1)\Big),$$

and

$$-\Big(2(n+1)(\sigma_1-1)(\sigma_2-1)t+2(2\sigma_2-\sigma_1-1)n+2(2\sigma_1-\sigma_2-1)\Big).$$

Thus the sign of every summand is given by (7) or (8) and hence, $\kappa'(t)/\kappa(0) < 0$ for every $t \in [0, 1]$ and for any degree n as shown in [3, Condition (6)]. \Box

For a non-symmetric matrix M we get the following result.

Theorem 3. If the eigenvalues of M, $\sigma_1 \ge \sigma_2 > 0$, satisfy

$$\sigma_1 + \sigma_2 - 2 \ge 0,\tag{9}$$

and the vector $\mathbf{w} = \mu_1 \mathbf{v}_1 + \mu_2 \mathbf{v}_2 \neq \mathbf{0}$ is chosen so that

$$|\mu_1| \ge |\mu_2| > 0, \tag{10}$$

then the Bézier curve of degree $n \ge 2$ generated by M and \mathbf{w} has monotonic curvature (decreasing if $\kappa(0) > 0$ and increasing if $\kappa(0) < 0$).

When $\sigma_1, \sigma_2 \geq 1$ condition (9) is immediately satisfied, so (9) only has content when no a priori lower bound on the eigenvalues (other than their positivity) is assumed. On the other hand, condition (9) implies that the largest eigenvalue, σ_1 is at least 1, and gives a lower bound for the smallest eigenvalue, σ_2 , in terms of σ_1 , namely $\sigma_2 \geq 2 - \sigma_1$.

If $\sigma_1, \sigma_2 \geq 1$, our approach differs from that of Cao and Wang (see [3]) and of Farin (see [1]), in that the restriction is not applied on the eigenvalues, but rather on the vectors on which the matrix M acts, that is, condition (10). Notice that, by the affine invariance property of Bézier curves and the fact that the curvature does not change its monotonicity by rotation or scaling, (10) does not imply any restriction on the direction of the tangent to the Bézier curve at its initial point.

One interesting feature of condition (9) is that it is not invariant under subdivision, so Farin's approach in [1] could not lead to such a result. Indeed, the matrix that generates the second subarc of the Bézier curve from t to 1, MT^{-1} (where $T = (1 - t)\mathbb{I} + tM$), has eigenvalues $\sigma_k/\sigma_k(t)$, k = 1, 2, for which condition (9) does not necessarily hold (for example if $\sigma_1 = 2$, $\sigma_2 = 1/2$ and t = 3/4). *Proof.* Without loss of generality assume $\sigma_1 > \sigma_2$, (that is, the matrix M is not a constant multiple of the identity) and that the eigenvectors \mathbf{v}_1 and \mathbf{v}_2 are unitary. The sign of the derivative (6) of the curvature can be obtained from the sign of the term inside the big parenthesis that will be denoted by P_1 ,

$$P_{1} := -(n+1) \big((\sigma_{1} + \sigma_{2} - 2)(1-t) + (2\sigma_{1}\sigma_{2} - \sigma_{1} - \sigma_{2})t \big) \|T^{n-1}\mathbf{w}\|^{2} - 3(n-1)(\sigma_{1} - \sigma_{2}) \big(\mu_{1}^{2}\sigma_{1}^{2n-2}(t) - \mu_{2}^{2}\sigma_{2}^{2n-2}(t) \big).$$

By condition (10) the second summand in P_1 is always negative. If for a given t, the first summand in P_1 is non-positive then $\kappa'(t)/\kappa(0) < 0$. Thus we are left to prove that $\kappa'(t)/\kappa(0) < 0$ still holds for those t for which the first summand in P_1 is positive, that is, t such that $(\sigma_1 + \sigma_2 - 2)(1 - t) + (2\sigma_1\sigma_2 - \sigma_1 - \sigma_2)t < 0$. Then, by condition (9), necessarily t > 0 and $\sigma_2 < 1$.

So assume that $(2 - \sigma_1 - \sigma_2)(1 - t) + (\sigma_1 + \sigma_2 - 2\sigma_1\sigma_2)t > 0$. Since \mathbf{v}_1 and \mathbf{v}_2 are chosen to be unitary,

$$\|T^{n-1}\mathbf{w}\|^{2} = \mu_{1}^{2}\sigma_{1}^{2n-2}(t) + \mu_{2}^{2}\sigma_{2}^{2n-2}(t) + 2\mu_{1}\mu_{2}(\sigma_{1}(t)\sigma_{2}(t))^{n-1}\mathbf{v}_{1} \cdot \mathbf{v}_{2}$$

$$< \mu_{1}^{2}\sigma_{1}^{2n-2}(t) + \mu_{2}^{2}\sigma_{2}^{2n-2}(t) + 2|\mu_{1}\mu_{2}|(\sigma_{1}(t)\sigma_{2}(t))^{n-1}$$

$$= (|\mu_{1}|\sigma_{1}^{n-1}(t) + |\mu_{2}|\sigma_{2}^{n-1}(t))^{2}.$$

Using this bound in the expression of P_1 , taking common factor

$$|\mu_1|\sigma_1^{n-1}(t) + |\mu_2|\sigma_2^{n-1}(t)$$

and recalling that $|\mu_1| \ge |\mu_2|$ (condition (10)),

$$P_{1} \leq |\mu_{1}| \left(|\mu_{1}|\sigma_{1}^{n-1}(t) + |\mu_{2}|\sigma_{2}^{n-1}(t) \right) \cdot \left((n+1) \left((2-\sigma_{1}-\sigma_{2})(1-t) + (\sigma_{1}+\sigma_{2}-2\sigma_{1}\sigma_{2})t \right) \left(\sigma_{1}^{n-1}(t) + \sigma_{2}^{n-1}(t) \right) - 3(n-1)(\sigma_{1}-\sigma_{2}) \left(\sigma_{1}^{n-1}(t) - \sigma_{2}^{n-1}(t) \right) \right),$$

so again, the sign of P_1 is given by the sign of the factor inside the big parenthesis,

$$P_2 := (n+1) \left((2 - \sigma_1 - \sigma_2)(1 - t) + (\sigma_1 + \sigma_2 - 2\sigma_1\sigma_2)t \right) \left(\sigma_1^{n-1}(t) + \sigma_2^{n-1}(t) \right) - 3(n-1)(\sigma_1 - \sigma_2) \left(\sigma_1^{n-1}(t) - \sigma_2^{n-1}(t) \right).$$

Consider P_2 as a function of n, that is $P_2 = P_2(n)$. Since $\sigma_1 > \sigma_2 > 0$ then $\sigma_1(t) > \sigma_2(t) > 0$, and recall that we are under the assumption that $(2 - \sigma_1 - \sigma_2)(1 - t) + (\sigma_1 + \sigma_2 - 2\sigma_1\sigma_2)t > 0$. Therefore, denoting

$$A = (n+1) \left((2 - \sigma_1 - \sigma_2)(1 - t) + (\sigma_1 + \sigma_2 - 2\sigma_1\sigma_2)t \right) \left(\sigma_1^{n-1}(t) + \sigma_2^{n-1}(t) \right),$$

$$B = -3(n-1)(\sigma_1 - \sigma_2) \left(\sigma_1^{n-1}(t) - \sigma_2^{n-1}(t) \right),$$

both A and B are positive, $P_2(n) = A - B$ and we can consider the function f(n) given by $f(n) = \log A - \log B$.

Clearly, $P_2(n) < 0$ if and only if f(n) < 0. Since f(n) is a decreasing function of n for $n \ge 2$, it is enough to show that $P_2(2) < 0$ to obtain that $P_2 = P_2(n) < 0$ for every $n \ge 2$. Thus, taking n = 2

$$P_{2}(2) = 3((2 - \sigma_{1} - \sigma_{2})(1 - t) + (\sigma_{1} + \sigma_{2} - 2\sigma_{1}\sigma_{2})t)(\sigma_{1}(t) + \sigma_{2}(t)) - 3(\sigma_{1} - \sigma_{2})(\sigma_{1}(t) - \sigma_{2}(t)).$$

Substituting $\sigma_1(t) + \sigma_2(t) = 2(1-t) + t(\sigma_1 + \sigma_2)$ and $\sigma_1(t) - \sigma_2(t) = t(\sigma_1 - \sigma_2)$, the expression above can be written as

$$P_{2}(2) = 3(2 - \sigma_{1} - \sigma_{2})(1 - t) (2(1 - t) + t(\sigma_{1} + \sigma_{2})) + 3t(\sigma_{1} + \sigma_{2} - 2\sigma_{1}\sigma_{2}) (2(1 - t) + t(\sigma_{1} + \sigma_{2})) - 3t(\sigma_{1} - \sigma_{2})^{2}.$$

Combining the terms with common factor 3t, writing $(\sigma_1 - \sigma_2)^2 = (1-t)(\sigma_1 - \sigma_2)^2 + t(\sigma_1 - \sigma_2)^2$ and reorganizing the terms on the right hand side of the inequality we get

$$P_2(2) = 3(2 - \sigma_1 - \sigma_2)(1 - t) (2(1 - t) + t(\sigma_1 + \sigma_2)) + 3t(1 - t)(\sigma_1 + \sigma_2)(2 - \sigma_1 - \sigma_2) + 6t^2\sigma_1\sigma_2(2 - \sigma_1 - \sigma_2).$$

By (9), the common factor $2 - \sigma_1 - \sigma_2$ is negative, and every other factor is positive therefore $P_2(2) < 0$ which gives that $P_2 < 0$ for any $n \ge 2$ which in turn implies that $\kappa'(t)/\kappa(0) < 0$ for any $n \ge 2$, even for those t such that $(\sigma_1 + \sigma_2 - 2)(1 - t) + (2\sigma_1\sigma_2 - \sigma_1 - \sigma_2)t < 0.$

Hence $\kappa'(t)/\kappa(0) < 0$ for every $t \in [0,1]$, and therefore $\kappa(t)$ is monotonic in [0,1].

Remark. Although condition (9) is not invariant by subdivision the stronger condition $2\sigma_1\sigma_2 - \sigma_1 - \sigma_2 \ge 0$ that appears in the derivative of the curvature is.

Below, we include some examples to show that without conditions (9) and (10) in Theorem 3, the resulting curve may not have monotonic curvature. The first example gives a counterexample when condition (9) does not hold.

Example 1. Let $M_1 = \begin{pmatrix} 5/4 & 0 \\ 0 & 1/10 \end{pmatrix}$ and let $\mathbf{w}_1 = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$. Then the cubic Bézier curve generated by M_1 and \mathbf{w}_1 does not have monotonic curvature. See Figure 1.



Figure 1: Cubic Bézier curve generated by M_1 and \mathbf{w}_1 and the graph of its curvature

The next example is a counterexample of monotonic curvature when condition (10) is not satisfied

Example 2. Let M_2 be the matrix $M_2 = \begin{pmatrix} 4 & 0 \\ 0 & 1 \end{pmatrix}$ and let $\mathbf{w}_2 = \begin{pmatrix} 2/5 \\ -5 \end{pmatrix}$. Then the cubic Bézier curve generated by M_2 and \mathbf{w}_2 does not have monotonic curvature. See Figure 2.



Figure 2: Cubic Bézier curve generated by M_2 and \mathbf{w}_2 and the graph of its curvature

And finally we show a quintic Bézier curve generated by matrix and vector as in Theorem 3.

Example 3. Consider the vectors $\mathbf{v}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $\mathbf{v}_2 = \begin{pmatrix} -\sqrt{3}/2 \\ -1/2 \end{pmatrix}$ so that the angle γ between \mathbf{v}_1 and \mathbf{v}_2 is $\gamma = -5\pi/6$. Let M_3 be the matrix with eigenvectors \mathbf{v}_1 and \mathbf{v}_2 with eigenvalues $\sigma_1 = 3/2$ and $\sigma_2 = 3/4$ (so condition (9) holds for these eigenvalues). Take $\mathbf{w}_3 = 2\mathbf{v}_1 + 2\mathbf{v}_2$. Hence $M_3 = \begin{pmatrix} 3/2 & -3\sqrt{3}/4 \\ 0 & 3/4 \end{pmatrix}$ and $\mathbf{w}_3 = \begin{pmatrix} 2-\sqrt{3} \\ -1 \end{pmatrix}$.

By Theorem 3 the Bézier curve of degree 5 generated by M_3 and \mathbf{w}_3 has monotonic curvature. See Figure 3.



Figure 3: Quintic Bézier curve generated by M_3 and \mathbf{w}_3 and the graph of its curvature

Conditions (9) and (10) in Theorem 3 are not necessary conditions to obtain curves with monotononic curvature as the next two examples show:

Example 4. Consider the matrix $M_4 = \begin{pmatrix} 3/2 & 6/5\sqrt{3} \\ 0 & 3/10 \end{pmatrix}$ with eigenvalues $\sigma_1 = 3/2$ and $\sigma_2 = 3/10$, and corresponding eigenvectors $\mathbf{v}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $\mathbf{v}_2 = \begin{pmatrix} 1/2 \\ -\sqrt{3}/2 \end{pmatrix}$. Then the Bézier curve of degree 4 generated by M and $\mathbf{w}_4 = \mathbf{v}_1 + \frac{1}{2}\mathbf{v}_2$ has monotonic curvature in spite that condition (9) does not hold. See Figure 4.

Example 5. Consider the matrix $M_5 = \begin{pmatrix} 3/2 & 0 \\ 0 & 7/10 \end{pmatrix}$ with eigenvalues $\sigma_1 = 3/2$ and $\sigma_2 = 7/10$, and corresponding eigenvectors $\mathbf{v}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $\mathbf{v}_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$. Then the cubic curve generated by M and $\mathbf{w}_5 = \mathbf{v}_1 - \frac{11}{10}\mathbf{v}_2$ has monotonic



Figure 4: Quartic Bézier curve generated by M_4 and \mathbf{w}_4 and the graph of its curvature

curvature in spite that condition (10) does not hold. Notice that this example does not satisfy condition (7) in Theorem 2 of Cao and Wang. See Figure 5.



Figure 5: Cubic Bézier curve generated by M_5 and \mathbf{w}_5 and the graph of its curvature

Next, we consider the case of a non-diagonalizable matrix M with (double) real eigenvalue σ . As mentioned above, the Jordan basis $\{\mathbf{v}_1, \mathbf{v}_2\}$ is chosen to be orthogonal where \mathbf{v}_1 is an eigenvector of M and \mathbf{v}_2 satisfies $(M - \sigma \mathbb{I})\mathbf{v}_2 = \mathbf{v}_1$.

Theorem 4. If σ , the eigenvalue of M, satisfies $\sigma \geq 1$, and the vector $\mathbf{w} = \mu_1 \mathbf{v}_1 + \mu_2 \mathbf{v}_2 \neq \mathbf{0}$ is chosen with coefficients such that

$$\mu_1\mu_2 \ge 0, \quad \mu_2 \ne 0,$$

then the Bézier curve of degree $n \ge 2$ generated by M and \mathbf{w} has monotonic curvature (decreasing if $\kappa(0) > 0$ and increasing if $\kappa(0) < 0$).

Proof. Recall that for $t \in [0, 1]$ the matrix T is given by $T = (1 - t)\mathbb{I} + tM$ with eigenvalue

$$\sigma(t) = 1 - t + t\sigma.$$

Substituting in (5), $\sigma_1(t) = \sigma_2(t) = \sigma(t)$ and,

$$||T^{n-1}\mathbf{w}||^{2} = \left(\mu_{1}\sigma^{n-1}(t) + \mu_{2}(n-1)t\sigma^{n-2}(t)\right)^{2}||\mathbf{v}_{1}||^{2} + \left(\mu_{2}\sigma^{n-1}(t)\right)^{2}||\mathbf{v}_{2}||^{2},$$

(where the orthogonality of \mathbf{v}_1 and \mathbf{v}_2 has been used), we get

$$\kappa'(t) = -\frac{\kappa(0) \|\mathbf{w}\|^3}{\sigma^{n-1}(t)} \left(\frac{(n+1)(\sigma-1)}{\left((\mu_1 \sigma(t) + \mu_2 (n-1)t)^2 \|\mathbf{v}_1\|^2 + (\mu_2 \sigma(t))^2 \|\mathbf{v}_2\|^2\right)^{3/2}} + \frac{3\mu_2 (n-1) \left(\mu_1 \sigma(t) + \mu_2 (n-1)t\right) \|\mathbf{v}_1\|^2}{\left((\mu_1 \sigma(t) + \mu_2 (n-1)t)^2 \|\mathbf{v}_1\|^2 + (\mu_2 \sigma(t))^2 \|\mathbf{v}_2\|^2\right)^{5/2}} \right).$$

Since $\sigma \geq 1$, $\mu_1\mu_2 \geq 0$ and $\mu_2 \neq 0$, every term in the parenthesis is positive for any $t \in [0, 1]$, and hence $\kappa'(t)/\kappa(0) < 0$ in [0, 1].

In the following examples, the Jordan basis $\{\mathbf{v}_1, \mathbf{v}_2\}$ is given by $\mathbf{v}_1 = \begin{pmatrix} 0\\1 \end{pmatrix}$ and $\mathbf{v}_2 = \begin{pmatrix} 1\\0 \end{pmatrix}$. The next two examples show that without conditions $\sigma \ge 1$ or $\mu_1\mu_2 \ge 0$ the curve may not have monotonic curvature.

Example 6. Let $M_6 = \begin{pmatrix} 0.7 & 0 \\ 1 & 0.7 \end{pmatrix}$ and $\mathbf{w}_6 = \begin{pmatrix} 10 \\ 1 \end{pmatrix}$. Then the cubic Bézier curve generated by M_6 and \mathbf{w}_6 does not have monotonic curvature. See Figure 6.

Example 7. Let $M_7 = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ and $\mathbf{w}_7 = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$. Then the cubic Bézier curve generated by M_7 and \mathbf{w}_7 does not have monotonic curvature. See Figure 7.

We show an example under the conditions of Theorem 4.

Example 8. Let $M_8 = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ and $\mathbf{w}_8 = \begin{pmatrix} 3 \\ 1 \end{pmatrix}$. Then the cubic Bézier curve generated by M_8 and \mathbf{w}_8 has monotonic curvature. See Figure 8.

Finally, we show two examples of curves with monotonic curvature for which the conditions of this theorem do not hold:



Figure 6: Cubic Bézier curve generated by M_6 and \mathbf{w}_6 and the graph of its curvature



Figure 7: Cubic Bézier curve generated by M_7 and \mathbf{w}_7 and the graph of its curvature

Example 9. Consider the matrix $M_9 = \begin{pmatrix} 1/2 & -2 \\ 0 & 1/2 \end{pmatrix}$ with eigenvalue $\sigma = 1/2 < 1$, with the Jordan basis $\mathbf{v}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $\mathbf{v}_2 = \begin{pmatrix} 0 \\ -1/2 \end{pmatrix}$. Then the cubic Bézier curve generated by M_9 and $\mathbf{w}_9 = \frac{3}{2}\mathbf{v}_1 + 2\mathbf{v}_2$ has monotonic curvature. See Figure 9.

Example 10. Consider the matrix $M_{10} = \begin{pmatrix} 3/2 & -2 \\ 0 & 3/2 \end{pmatrix}$ with eigenvalue $\sigma = 3/2$, with the Jordan basis $\mathbf{v}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $\mathbf{v}_2 = \begin{pmatrix} 0 \\ -1/2 \end{pmatrix}$. Then the Bézier curve of degree 4 generated by M_{10} and $\mathbf{w}_{10} = \frac{7}{2}\mathbf{v}_1 - \frac{3}{2}\mathbf{v}_2$ has monotonic curvature. See Figure 10.

Consider now a diagonalizable matrix M with complex eigenvalues $\sigma_1 = \sigma$ and $\sigma_2 = \overline{\sigma}$. In this case the curvature (4) can be written as

$$\kappa(t) = \kappa(0) \frac{|\sigma(t)|^{2(n-2)} \|\mathbf{w}\|^3}{\|T^{n-1}\mathbf{w}\|^3},$$
(11)



Figure 8: Cubic Bézier curve generated by M_8 and \mathbf{w}_8 and the graph of its curvature



Figure 9: Cubic Bézier curve generated by M_9 and \mathbf{w}_9 and the graph of its curvature

where we are writing $\sigma(t) = \sigma_1(t)$ and $\overline{\sigma}(t) = \sigma_2(t)$.

As it was mentioned above, in [2], Mineur et al. define *typical curves* as those planar Bézier curves of degree n for which the edges of its control polygon are obtained iteratively by a rotation of angle φ , $|\varphi| < \pi/2$, and a scaling of factor h > 0 of the previous edge. They also give an explicit expression of the curvature that can be easily recovered using (11).

Theorem 5 (Mineur-Lichah-Castelain-Giaume). Let h > 0 and $|\varphi| < \pi/2$, $\varphi \neq 0$ and let

$$M = h \begin{pmatrix} \cos \varphi & -\sin \varphi \\ \sin \varphi & \cos \varphi \end{pmatrix}.$$

If $h > 1/\cos\varphi$ or $0 < h < \cos\varphi$ then the Bézier curve of degree $n \ge 2$ generated by M and any vector $\mathbf{w} \neq \mathbf{0}$ has monotonic curvature.



Figure 10: Quartic Bézier curve generated by M_{10} and \mathbf{w}_{10} and the graph of its curvature

Proof. The matrix M has eigenvector $\mathbf{v} = \begin{pmatrix} 1 \\ i \end{pmatrix}$ with eigenvalue $\sigma = he^{-i\varphi}$ so $\overline{\sigma} - \sigma = (2h\sin\varphi)i$ and $\det(\mathbf{v}, \overline{\mathbf{v}}) = -2i$. If $\mathbf{w} = \mu \mathbf{v} + \overline{\mu} \overline{\mathbf{v}}$ then, $\|\mathbf{w}\| = 2|\mu|$, and thus by Theorem 1

$$\kappa(0) = \frac{n-1}{n} \frac{4|\mu|^2 h \sin\varphi}{\|\mathbf{w}\|^3} = \frac{n-1}{n} \frac{h \sin\varphi}{\|\mathbf{w}\|}.$$

Now, due to the fact that the hermitian product $\mathbf{v} \cdot \overline{\mathbf{v}} = 0$ (analogously to the example of a real diagonalizable symmetric matrix M), $||T^{n-1}\mathbf{w}|| = |\sigma(t)|^{n-1}||\mathbf{w}||$ and substituting in (11)

$$\kappa(t) = \frac{\kappa(0)}{|\sigma(t)|^{n+1}} = \frac{n-1}{n} \frac{h \sin \varphi}{\|\mathbf{w}\| |\sigma(t)|^{n+1}}.$$

The derivate takes a simple form,

$$\kappa'(t) = -\frac{n+1}{2} \frac{\kappa(0)}{|\sigma(t)|^{n+3}} \big((\sigma + \overline{\sigma} - 2)(1-t) + (2\sigma\overline{\sigma} - \sigma - \overline{\sigma})t \big).$$

From the expression above it is immediately deduced that the curvature is monotonic if and only if the last factor in the parenthesis does not change its sign for $t \in [0, 1]$. Since $\sigma = he^{-i\varphi}$

$$(\sigma + \overline{\sigma} - 2)(1 - t) + (2\sigma\overline{\sigma} - \sigma - \overline{\sigma})t = 2(h\cos\varphi - 1)(1 - t) + 2h(h - \cos\varphi)t,$$

thus, in this situation, the curvature is monotonic if and only if $h > 1/\cos\varphi$ or $0 < h < \cos\varphi$, as shown in [2].

Example 11. Let h = 1.8, $\varphi = 0.925$, so that $M_{11} = \begin{pmatrix} 1.083 & -1.438 \\ 1.438 & 1.083 \end{pmatrix}$ and $\mathbf{w}_{11} = \begin{pmatrix} 0.4 \\ 0.1 \end{pmatrix}$. Then the Bézier curve generated by M_{11} and \mathbf{w}_{11} has monotonic curvature. For Figure 11 we have taken n = 7.



Figure 11: Bézier curve of degree 7 generated by M_{11} and \mathbf{w}_{11} and the graph of its curvature

Example 12. Let h = 1.2, $\varphi = 0.925$, so that $M_{12} = \begin{pmatrix} 0.722 & -0.958 \\ 0.958 & 0.722 \end{pmatrix}$ and $\mathbf{w}_{12} = \begin{pmatrix} 0.4 \\ 0.1 \end{pmatrix}$. The Bézier curve generated by M_{12} and \mathbf{w}_{12} does not have monotonic curvature. For Figure 12 we have taken n = 7.



Figure 12: Bézier curve of degree 7 generated by M_{12} and \mathbf{w}_{12} and the graph of its curvature

With the explicit expression for the curvature, it is possible to extend the previous result to more general matrices M with complex eigenvalues:

Theorem 6. Let M be a diagonalizable matrix with non-real complex eigenvalues $\sigma = he^{i\varphi}$ and $\overline{\sigma} = he^{-i\varphi}$, and corresponding eigenvectors \mathbf{v} and $\overline{\mathbf{v}}$. Let

 γ be the angle formed by the vectors $\operatorname{Re} \mathbf{v}$ and $\operatorname{Im} \mathbf{v}$. If

$$\left|\cos\gamma\right| < \frac{h\cos\varphi - 1}{\sqrt{(h\cos\varphi - 1)^2 + 9h^2\sin^2\varphi}} \tag{12}$$

then the Bézier curve of degree $n \ge 2$ generated by M and any vector $\mathbf{w} \neq \mathbf{0}$ has monotonic curvature (decreasing if $\kappa(0) > 0$ and increasing if $\kappa(0) < 0$).

Also, if

$$|\cos \gamma| < \frac{\cos \varphi - h}{\sqrt{(\cos \varphi - h)^2 + 9 \sin^2 \varphi}}$$

then the Bézier curve of degree $n \ge 2$ generated by M and any vector $\mathbf{w} \neq \mathbf{0}$ has monotonic curvature (increasing if $\kappa(0) > 0$ and decreasing if $\kappa(0) < 0$).

Observe that when $\operatorname{Re} \mathbf{v}$ and $\operatorname{Im} \mathbf{v}$ are orthogonal (that is $\gamma = \frac{\pi}{2}$ or $\gamma = -\frac{\pi}{2}$) we recover the result of Mineur et al. stated in the previous theorem.

Proof. The eigenvector \mathbf{v} is chosen so that $\|\operatorname{Re} \mathbf{v}\| = \|\operatorname{Im} \mathbf{v}\|$. Write $\mathbf{w} \neq \mathbf{0}$ as $\mathbf{w} = \mu \mathbf{v} + \overline{\mu} \overline{\mathbf{v}}$ with $\mu \in \mathbb{C} \setminus \{0\}$.

We use the expression of the derivative of the curvature shown in Lemma 1 below:

$$\kappa'(t) = \frac{2\kappa(0) \|\mathbf{v}\|^2 \|\mathbf{w}\|^3 |\mu|^2 |\sigma(t)|^{2(2n-4)}}{\|T^{n-1}\mathbf{w}\|^5} \\ \cdot \left[(n+1)M(t) \left(-1 + \cos\gamma \operatorname{Im} \left(e^{i\theta} e^{i2(n-1)\varphi(t)} \right) \right) \right. \\ \left. + 3(n-1)h\cos\gamma \sin\varphi \operatorname{Re} \left(e^{i\theta} e^{i2(n-1)\varphi(t)} \right) \right],$$

where $M(t) = (h \cos \varphi - 1)(1 - t) + t(h^2 - h \cos \varphi)$, $\sigma(t) = 1 - t + t\sigma = |\sigma(t)|e^{i\varphi(t)}$, and θ is an argument of μ^2 . Hence the sign of $\kappa'(t)/\kappa(0)$ is given by the sign of

$$S(t) = (n+1)M(t)\left(-1 + \cos\gamma \operatorname{Im}\left(e^{i\theta}e^{i2(n-1)\varphi(t)}\right)\right) + 3(n-1)h\cos\gamma \sin\varphi \operatorname{Re}\left(e^{i\theta}e^{i2(n-1)\varphi(t)}\right).$$

Assume that (12) holds, then $h \cos \varphi - 1 > 0$ and hence M(t) > 0 for every $t \in [0, 1]$. So S can be bounded by

$$S(t) \leq (n+1)M(t) \left(-1 + \left| \cos \gamma \operatorname{Im} \left(e^{i\theta} e^{i2(n-1)\varphi(t)} \right) \right| \right) \\ + 3(n-1)h \left| \cos \gamma \sin \varphi \operatorname{Re} \left(e^{i\theta} e^{i2(n-1)\varphi(t)} \right) \right|$$

Consider now the terms with common factor $|\cos \gamma|$, that is,

$$(n+1)M(t)\left|\operatorname{Im}\left(e^{i\theta}e^{i2(n-1)\varphi(t)}\right)\right| + 3(n-1)h\left|\sin\varphi\operatorname{Re}\left(e^{i\theta}e^{i2(n-1)\varphi(t)}\right)\right|.$$

This expression gets its biggest value when $\left| \operatorname{Im} \left(e^{i\theta} e^{i2(n-1)\varphi(t)} \right) \right|$ is replaced by

$$\frac{(n+1)M(t)}{\sqrt{(n+1)^2M^2(t) + 9(n-1)^2h^2\sin^2\varphi}}$$

and $\left|\operatorname{Re}\left(e^{i\theta}e^{i2(n-1)\varphi(t)}\right)\right|$ is replaced by

$$\frac{3(n-1)h\left|\sin\varphi\right|}{\sqrt{(n+1)^2M^2(t)+9(n-1)^2h^2\sin^2\varphi}}$$

Hence S can be bounded by

$$S(t) \le -(n+1)M(t) + |\cos\gamma| \sqrt{(n+1)^2 M^2(t) + 9(n-1)^2 h^2 \sin^2\varphi},$$

and by (12) we obtain,

$$S(t) \le -(n+1)M(t) + \frac{(h\cos\varphi - 1)\sqrt{(n+1)^2M^2(t) + 9(n-1)^2h^2\sin^2\varphi}}{\sqrt{(h\cos\varphi - 1)^2 + 9h^2\sin^2\varphi}}$$

Now, since for any $n \ge 2$ we have 0 < (n-1)/(n+1) < 1,

$$\frac{h\cos\varphi - 1}{\sqrt{(h\cos\varphi - 1)^2 + 9h^2\sin^2\varphi}} < \frac{(n+1)(h\cos\varphi - 1)}{\sqrt{(n+1)^2(h\cos\varphi - 1)^2 + 9(n-1)^2h^2\sin^2\varphi}}$$

and since $M(t) \ge h \cos \varphi - 1 > 0$ for any $t \in [0, 1]$, this last quotient is less or equal than (n + 1)M(t)

$$\frac{(n+1)M(t)}{\sqrt{(n+1)^2M^2(t) + 9(n-1)^2h^2\sin^2\varphi}}.$$

Therefore, we obtain that

$$\frac{(h\cos\varphi - 1)}{\sqrt{(h\cos\varphi - 1)^2 + 9h^2\sin^2\varphi}} < \frac{(n+1)M(t)}{\sqrt{(n+1)^2M^2(t) + 9(n-1)^2h^2\sin^2\varphi}}$$

and thus

$$S(t) < -(n+1)M(t) + (n+1)M(t) = 0,$$

so $\kappa'(t)/\kappa(0) < 0$ as claimed.

For the other case the reasoning is similar but using that M(t) < 0 for every $t \in [0, 1]$ and, that the minimum of -M(t) is attained at t = 1 and given by $-M(1) = h(\cos \varphi - h)$.

The proof of Theorem 6 gives a condition to get Bézier curves of a given degree with monotonic curvature. Concretely:

Corollary 1. Let $n \ge 2$ be a given integer and M, $\sigma = he^{i\varphi}$, and \mathbf{v} as in Theorem 6. If

$$|\cos \gamma| \le \frac{(n+1)(h\cos \varphi - 1)}{\sqrt{(n+1)^2(h\cos \varphi - 1)^2 + 9(n-1)^2h^2\sin^2 \varphi}}$$
(13)

or

$$\left|\cos\gamma\right| \le \frac{(n+1)(\cos\varphi - h)}{\sqrt{(n+1)^2(\cos\varphi - h)^2 + 9(n-1)^2\sin^2\varphi}}$$

then the Bézier curve of degree n generated by M and $\mathbf{w} \neq \mathbf{0}$ has monotonic curvature.

The next result is a technical lemma to obtain the expression for the derivative of the curvature used in Theorem 6:

Lemma 1. Let M be a diagonalizable matrix with non-real complex eigenvalue $\sigma = he^{i\varphi}$ and, choose its eigenvector \mathbf{v} so that $\|\operatorname{Re} \mathbf{v}\| = \|\operatorname{Im} \mathbf{v}\|$. Let $\mathbf{w} \neq \mathbf{0}$ be written as $\mathbf{w} = \mu \mathbf{v} + \overline{\mu} \overline{\mathbf{v}}$.

Then the derivative of the curvature of the Bézier curve of degree $n \ge 2$ generated by M and \mathbf{w} is

$$\kappa'(t) = \frac{2\kappa(0) \|\mathbf{v}\|^2 \|\mathbf{w}\|^3 |\mu|^2 |\sigma(t)|^{2(2n-4)}}{\|T^{n-1}\mathbf{w}\|^5} \\ \cdot \left[(n+1) \left(-1 + \cos\gamma \operatorname{Im} \left(z(t) \right) \right) \left((h\cos\varphi - 1)(1-t) + t(h^2 - h\cos\varphi) \right) \right. \\ \left. + 3(n-1)h\cos\gamma \sin\varphi \operatorname{Re} \left(z(t) \right) \right].$$

where γ is the angle formed by the vectors $\operatorname{Re} \mathbf{v}$ and $\operatorname{Im} \mathbf{v}$, $\mu^2 = |\mu|^2 e^{i\theta}$ and $\sigma(t) = |\sigma(t)|e^{i\varphi(t)} = 1 - t + \sigma t$ is an eigenvalue of $T = (1 - t)\mathbb{I} + tM$ and $z(t) = e^{i\theta}e^{i2(n-1)\varphi(t)}$.

Proof. Consider the derivative given by (5) with $\sigma_1(t) = \sigma(t) = 1 - t + \sigma t$ and $\sigma_2(t) = \overline{\sigma}(t) = 1 - t + \overline{\sigma}t$. The norm of $T^{n-1}\mathbf{w}$ is calculated by means of the hermitian product,

$$||T^{n-1}\mathbf{w}||^{2} = 2||\mathbf{v}||^{2} (|\mu|^{2}|\sigma(t)|^{2(n-1)} - \cos\gamma \operatorname{Im}(\mu^{2}\sigma(t)^{2(n-1)})),$$

since $\mathbf{v} \cdot \overline{\mathbf{v}} = i \cos \gamma \|\mathbf{v}\|^2$ because of the choice of \mathbf{v} with $\|\operatorname{Re} \mathbf{v}\| = \|\operatorname{Im} \mathbf{v}\|$. Substituting in (5) we obtain

$$\kappa'(t) = \frac{\kappa(0) \|\mathbf{w}\|^3 |\sigma(t)|^{2(n-3)}}{\|T^{n-1}\mathbf{w}\|^5} \\ \cdot \left[2(n-2) \|\mathbf{v}\|^2 \left(|\mu|^2 |\sigma(t)|^{2(n-1)} - \cos\gamma \operatorname{Im}\left(\mu^2 \sigma(t)^{2(n-1)}\right) \right) \left(|\sigma(t)|^2 \right)' \\ - 3|\sigma(t)|^2 \|\mathbf{v}\|^2 \left(|\mu|^2 \left(|\sigma(t)|^{2(n-1)} \right)' - \cos\gamma \operatorname{Im}\left(\mu^2 \left(\sigma(t)^{2(n-1)}\right)' \right) \right].$$

Taking the derivatives of $|\sigma(t)|^{2(n-1)}$ and of $\sigma(t)^{2(n-1)}$ as powers of $|\sigma(t)|^2$ and $\sigma^2(t)$ respectively, and taking common factors $\|\mathbf{v}\|^2$, $|\mu|^2$ and $|\sigma(t)|^{2(n-1)}$ we get

$$\kappa'(t) = \frac{\kappa(0) \|\mathbf{v}\|^2 \|\mathbf{w}\|^3 |\mu|^2 |\sigma(t)|^{2(2n-4)}}{\|T^{n-1}\mathbf{w}\|^5} \cdot \left[2(n-2) \left(1 - \cos\gamma \operatorname{Im} \left(e^{i\theta} e^{i2(n-1)\varphi(t)}\right)\right) \left(|\sigma(t)|^2\right)' - 3(n-1) \left(\left(|\sigma(t)|^2\right)' - \cos\gamma \operatorname{Im} \left(e^{i\theta} e^{i2(n-2)\varphi(t)} \left(\sigma^2(t)\right)'\right) \right) \right].$$

Thus, grouping the terms multiplied by the derivative of $|\sigma(t)|^2$,

$$\kappa'(t) = \frac{\kappa(0) \|\mathbf{v}\|^2 \|\mathbf{w}\|^3 |\mu|^2 |\sigma(t)|^{2(2n-4)}}{\|T^{n-1}\mathbf{w}\|^5} \\ \cdot \left[\left(-(n+1) - 2(n-2)\cos\gamma \operatorname{Im}\left(e^{i\theta}e^{i2(n-1)\varphi(t)}\right) \right) \left(|\sigma(t)|^2\right)' \right. \\ \left. + 3(n-1)\cos\gamma \operatorname{Im}\left(e^{i\theta}e^{i2(n-2)\varphi(t)}\left(\sigma^2(t)\right)'\right) \right].$$

Now

$$\operatorname{Im}\left(e^{i\theta}e^{i2(n-2)\varphi(t)}\left(\sigma^{2}(t)\right)'\right) = \operatorname{Im}\left(e^{i\theta}e^{i2(n-1)\varphi(t)}\right)\operatorname{Re}\left(e^{-i2\varphi(t)}\left(\sigma^{2}(t)\right)'\right) \\ + \operatorname{Re}\left(e^{i\theta}e^{i2(n-1)\varphi(t)}\right)\operatorname{Im}\left(e^{-i2\varphi(t)}\left(\sigma^{2}(t)\right)'\right),$$

and since $\sigma(t) = 1 - t + t\sigma$, and $\overline{\sigma}(t) = 1 - t + t\overline{\sigma} = |\sigma(t)|e^{-i\varphi(t)}$,

$$e^{-i2\varphi(t)} \left(\sigma^2(t)\right)' = \frac{\left(\overline{\sigma}(t)\right)^2}{|\sigma(t)|^2} 2\sigma(t)(\sigma-1) = 2\overline{\sigma}(t)(\sigma-1)$$
$$= 2\left((\sigma-1)(1-t) + t(|\sigma|^2 - \overline{\sigma})\right).$$

Writing $\sigma = h e^{i\varphi}$,

$$\operatorname{Re}\left(e^{-i2\varphi(t)}\left(\sigma^{2}(t)\right)'\right) = 2\left((h\cos\varphi - 1)(1-t) + t(h^{2} - h\cos\varphi)\right),$$

$$\operatorname{Im}\left(e^{-i2\varphi(t)}\left(\sigma^{2}(t)\right)'\right) = 2h\sin\varphi.$$

On the other hand,

$$(|\sigma(t)|^2)' = (\sigma + \overline{\sigma} - 2)(1 - t) + t(2\sigma\overline{\sigma} - \sigma - \overline{\sigma}) = 2((h\cos\varphi - 1)(1 - t) + t(h^2 - h\cos\varphi)).$$

So the expression of the derivative becomes,

$$\kappa'(t) = \frac{2\kappa(0) \|\mathbf{v}\|^2 \|\mathbf{w}\|^3 |\mu|^2 |\sigma(t)|^{2(2n-4)}}{\|T^{n-1}\mathbf{w}\|^5} \\ \cdot \left[(n+1) \left(-1 + \cos\gamma \operatorname{Im} (z(t)) \right) \left((h\cos\varphi - 1)(1-t) + t(h^2 - h\cos\varphi) \right) \right. \\ \left. + 3(n-1)h\cos\gamma \sin\varphi \operatorname{Re} (z(t)) \right].$$

where $z(t) = e^{i\theta} e^{i2(n-1)\varphi(t)}$.

L		

We end this section with some examples. The matrix of the next example generates a Bézier curve with monotonic curvature for any degree $n \ge 2$.

Example 13. Let

$$M_{13} = \frac{3}{4} \begin{pmatrix} 2\sqrt{2+\sqrt{3}}+2-\sqrt{3} & -\frac{(6-\sqrt{3})\sqrt{2-\sqrt{3}}}{\sqrt{2+\sqrt{3}}} \\ 1 & 2\sqrt{2+\sqrt{3}}-2+\sqrt{3} \end{pmatrix} \approx \begin{pmatrix} 3.1 & -0.86 \\ 0.75 & 2.7 \end{pmatrix}$$

with complex eigenvalue $\sigma = 3e^{-i\pi/12}$ and $\gamma = 5\pi/12$ the angle between the real and the imaginary parts of its eigenvector $\mathbf{v} = \begin{pmatrix} 1+i\cos\frac{5\pi}{12}\\i\sin\frac{5\pi}{12} \end{pmatrix}$. Thus, condition (12) holds for M_{13} .

The Bézier curve of degree 5 generated by M_{13} and $\mathbf{w}_{13} = 10 \begin{pmatrix} \cos(5\pi/12) \\ \sin(5\pi/12) \end{pmatrix}$, has positive decreasing curvature. See Figure 13.



Figure 13: Quintic Bézier curve generated by M_{13} and \mathbf{w}_{13} and the graph of its curvature

The next example shows a matrix for which the condition in Theorem 6 is not valid for any $n \ge 2$.

Example 14. Let

$$M_{14} = \frac{1}{2} \begin{pmatrix} 3\sqrt{2} & -5\frac{\sqrt{2}}{\sqrt{3}} \\ \sqrt{6} & \sqrt{2} \end{pmatrix} \approx \begin{pmatrix} 2.12 & -2.04 \\ 1.22 & 0.71 \end{pmatrix}$$

with complex eigenvalue $\sigma = 2e^{i\pi/4}$ and $\gamma = \pi/3$ the angle between the real and the imaginary parts of its eigenvector $\mathbf{v} = \begin{pmatrix} 1+i\cos\frac{\pi}{3}\\ i\sin\frac{\pi}{3} \end{pmatrix}$. Consider $\mathbf{w}_{14} = \begin{pmatrix} 2\\ 2\sqrt{3} \end{pmatrix}$. The Bézier curve of degree 3 generated by M_{14} and \mathbf{w}_{14} does not have monotonic curvature. See Figure 14.

Notice that condition (12) does not hold although $h \cos \varphi - 1 = \sqrt{2} - 1 > 0$.

The next example shows a matrix for which the condition (13) holds only for some values of n.

Example 15. Let

$$M_{15} = \begin{pmatrix} 2\sqrt{3} - 1 & -\frac{5}{\sqrt{3}} \\ \sqrt{3} & 2\sqrt{3} + 1 \end{pmatrix} \approx \begin{pmatrix} 2.46 & -2.89 \\ 1.73 & 4.46 \end{pmatrix}$$

with complex eigenvalue $\sigma = 4e^{i\pi/6}$ and $\gamma = 2\pi/3$ the angle between the real and the imaginary parts of its eigenvector $\mathbf{v} = \begin{pmatrix} 1+i\cos\frac{2\pi}{3}\\i\sin\frac{2\pi}{3} \end{pmatrix}$. Take $\mathbf{w}_{15} = \begin{pmatrix} 4\\0 \end{pmatrix}$.



Figure 14: Cubic Bézier curve generated by M_{14} and \mathbf{w}_{14} and the graph of its curvature

The Bézier curve of degree 3 generated by M_{15} and \mathbf{w}_{15} has decreasing curvature (see Figure 15) but the Bézier curve of degree 8 generated by M_{15} and \mathbf{w}_{15} does not have monotonic curvature (see Figure 16). In fact, condition (13) holds for n = 2, ..., 5 but does not hold for $n \ge 6$.



Figure 15: Cubic Bézier curve generated by M_{15} and \mathbf{w}_{15} and the graph of its curvature

4. Class A Bézier curves and related results

In [1] Class A Bézier curves are defined as space Bézier curves for which each edge of the control polygon is obtained from the previous one by the action of a Class A matrix, which is a square matrix M satisfying certain conditions imposed in order to get curves with monotonic curvature and



Figure 16: Bézier curve generated by M_{15} and \mathbf{w}_{15} and the graph of its curvature

torsion and, in this way, to generalise the concept of typical curves introduced by Mineur et al. in [2]. One of the conditions on M is written in terms of its singular values, that is, the eigenvalues of the symmetric matrix $M^t M$. Following Farins notation in [1], in this section $\sigma_1 \geq \sigma_2 \geq \sigma_3$ denote the singular values of the matrix M.

In [1] the two conditions that a Class A matrix M is required to satisfy are:

1. For $t \in [0, 1]$, and every \mathbf{v} ,

$$\|(1-t)\mathbf{v} + tM\mathbf{v}\| \ge \|\mathbf{v}\|,\tag{14}$$

(i.e. *M* is viewed as an "expansion" matrix).

2. The singular values of the matrix M,

$$\sigma_3^3 \ge \sigma_1,\tag{15}$$

(i.e. M is not "distorting" lengths "too much").

Note that in [1, expression (6)] there is a misprint, where there is written $\sigma_3^2 \ge \sigma_1$ instead of $\sigma_3^3 \ge \sigma_1$, which is what can be deduced from the reasoning in [1].

We note that condition (14) is equivalent to $\mathbf{v} \cdot M\mathbf{v} \geq \mathbf{v} \cdot \mathbf{v}$. Geometrically, this means that the size of the orthogonal projection of $M\mathbf{v}$ over \mathbf{v} must be at least the size of \mathbf{v} , that is:

$$\|M\mathbf{v}\|\cos\alpha \ge \|\mathbf{v}\|,\tag{16}$$

with α being the angle determined by **v** and M**v**. Written in this way, condition (14) is a direct generalisation of the condition for decreasing curvature

of typical curves of [2], $h \cos \alpha > 1$, with the matrix M being the product of a rotation matrix of angle α and a dilatation of factor h.

In [1] it is claimed that, with the previous definition, the Bézier curves generated by a Class A matrix M, that is Class A curves, have two properties that guarantee monotonic curvature and torsion, namely:

- i) Class A curves are invariant by subdivision.
- ii) The curvature at the initial point of a Class A curve is bigger (smaller) than the curvature at the end point. And the torsion behaves in the same way.

However, regarding property i) the inequality (15), $\sigma_3^3 \geq \sigma_1$, is not preserved by subdivision of the curve. This is easily seen for a diagonal matrix M. According to [1], after subdivision of the interval [0, 1] at t the matrix M is replaced by either $T = (1 - t)\mathbb{I} + Mt$ (to reparameterise the arc of the curve in the interval [0, t]) or MT^{-1} (to reparameterise the arc of the curve in the interval [t, 1]). The smallest eigenvalue of T, $1 - t + \sigma_3 t$, and the largest one, $1 - t + \sigma_1 t$, are to fulfill (15). That is,

$$f(t) = (1 - t + \sigma_3 t)^3 - (1 - t + \sigma_1 t)$$

must be non-negative for $t \in [0, 1]$ and, since f(0) = 0, f'(0) must be non-negative. However,

$$f'(0) = 3\sigma_3 - \sigma_1 - 2 \le 0,$$
 for $\sigma_1 \in [3\sigma_3 - 2, \sigma_3^3],$

and hence by choosing $\sigma_1 \in [3\sigma_3 - 2, \sigma_3^3]$, we get counterexamples of the claim.

On the other hand, in [1], Farin imposes condition (15) above to show property ii). When considering the curvature, the inequality $\kappa(0) \geq \kappa(1)$ can be written in terms of the edges of the control polygon and $|M^{j-1}D|$, the area of the triangle formed by $M^{j-1}\mathbf{v}$ and $M^j\mathbf{v}$, as

$$2\frac{n-1}{n}\frac{|D|}{\|\mathbf{v}\|^3} \ge 2\frac{n-1}{n}\frac{|M^{n-2}D|}{\|M^{n-1}\mathbf{v}\|^3}.$$
(17)

The reasoning in [1] begins with the case n = 3. In this case, proving $\kappa(0) \geq \kappa(1)$ is reduced to show

$$\frac{|D|}{\|\mathbf{v}\|^3} \ge \frac{|MD|}{\|M^2\mathbf{v}\|^3}.$$

Since $\sigma_1 \geq \sigma_2 \geq \sigma_3$ are singular values of M,

$$\sigma_3 \|\mathbf{v}\| \le \|M\mathbf{v}\| \le \sigma_1 \|\mathbf{v}\|,\tag{18}$$

and by (14), $||M^2\mathbf{v}|| \ge ||M\mathbf{v}||$, then

$$\frac{1}{\|\mathbf{v}\|^3} \ge \frac{\sigma_3^3}{\|M^2 \mathbf{v}\|^3}.$$

The proof in [1] is completed by deducing from (18) the inequality

$$\sigma_3|D| \le |MD| \le \sigma_1|D|,\tag{19}$$

which relates the areas |D| and |MD|, with the smallest and largest singular values of the matrix M (see [1, inequality (10)]). This would lead to establish condition (15), $\sigma_3^3 \ge \sigma_1$, on the singular values of M in order to finish the proof of property ii).

However, it is easy to check that claim (19) is not valid. For instance, for typical curves, the matrix M is a rotation with angle φ and a scaling with factor h > 1, hence the singular values are all equal to the factor h > 1 and the angle between \mathbf{v} and $M\mathbf{v}$ is equal to the angle between $M\mathbf{v}$ and $M^2\mathbf{v}$ and it is φ . Hence $|D| = h ||\mathbf{v}||^2 \sin \varphi$, $|MD| = h^3 ||\mathbf{v}||^2 \sin \varphi$, and (19) would imply

$$h^2 \le h^3 \le h^2,$$

which is impossible for h > 1.

In fact, using the bounds for $||M\mathbf{v}||$ in terms of the singular values of M given by (18),

$$\sigma_3^m \|\mathbf{v}\| \le \|M^m \mathbf{v}\| \le \sigma_1^m \|\mathbf{v}\|,\tag{20}$$

and therefore a valid expression relating the areas |D| and |MD|, (instead of [1, inequality (10)]), is

$$\sigma_3^2 |D| \frac{\sin \alpha_2}{\sin \alpha_1} \le |MD| \le \sigma_1^2 |D| \frac{\sin \alpha_2}{\sin \alpha_1},\tag{21}$$

where α_j is the angle determined by the vectors $M^{j-1}\mathbf{v}$ and $M^j\mathbf{v}$.

Now, following the proof of [1, inequality (8)] but using (20) with m = 2 and (21), and extending to arbitrary degrees by iteration of the previous bounds, we get:

Proposition 1. If M satisfies condition (14) and its singular values satisfy

$$\sigma_3^{3(n-1)} \ge \sigma_1^{2(n-2)} \frac{\sin \alpha_{n-1}}{\sin \alpha_1}$$

with α_j the angle between $M^{j-1}\mathbf{v}$ and $M^j\mathbf{v}$ then,

$$\frac{|D|}{\|\mathbf{v}\|^3} \ge \frac{|M^{n-2}D|}{\|M^{n-1}\mathbf{v}\|^3}$$

where $|M^{j-1}D|$ is the area of the triangle determined by $M^{j-1}\mathbf{v}$ and $M^{j}\mathbf{v}$.

In particular, for n = 3, if the singular values of the matrix M satisfy

$$\sigma_3^6 \ge \sigma_1^2 \frac{\sin \alpha_2}{\sin \alpha_1}$$

(instead of $\sigma_3^3 \ge \sigma_1$) then

$$\frac{|D|}{\|\mathbf{v}\|^3} \ge \frac{|MD|}{\|M^2\mathbf{v}\|^3}$$

as it was needed in [1] to show that $\kappa(0) \geq \kappa(1)$, where $\kappa(t)$ is the curvature of a cubic Bézier curve whose edges of the control polygon are given by $M^j \mathbf{v}$ for j = 0, 1, 2.

We finish this section with some comments about some results in the literature regarding Farin's Class A curves.

In [3] an example of a Class A matrix is provided for which the condition involving the singular values of the matrix, $\sigma_1 = 1.102$ and $\sigma_3 = 1.05$, is not supposed to be invariant under subdivision at t = 0.5. However this is a counterexample for the misprinted condition $\sigma_3^2 \ge \sigma_1$, but not for $\sigma_3^3 \ge \sigma_1$.

In [15] two counterexamples are found for conditions (14) and (15) on the Class A matrix that generate a Class A Bézier curve. Note that the matrix M is M^t in our notation. The first example of a cubic Bézier curve is not a counterexample for Farin's method because it does not satisfy condition (14) since the given $\mathbf{v} = \begin{pmatrix} 0.9724 \\ 0.2333 \end{pmatrix}$ and $M = \begin{pmatrix} 1.2545 & -2.9594 \\ 1.5576 & 2.3836 \end{pmatrix}$ produce $\frac{\mathbf{v} \cdot M\mathbf{v}}{\mathbf{v} \cdot \mathbf{v}} = 0.9979 < 1.$

In the second example of [15] the curve has non-monotonic curvature although it satisfies the misprinted condition in [1] about singular values of M and it also satisfies Proposition 1 here, showing that Farin's method does not work. This points out that the condition about singular values involved in Proposition 1 is not preserved under subdivision of the curve.

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