

# An Anonymous Authentication and Key Agreement Protocol in Smart Living

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## Abstract

Wireless Sensor Networks (WSNs) play an indispensable role in the application of smart homes, smart healthcare, and precision agriculture. However, WSNs confront privacy risks that hinder its practical applications. The leakage of privacy is one of the key factors to restrict the development of WSNs. Hence, in this paper, we propose an Anonymous Authentication and Key Agreement protocol (AAKA) to accomplish identity authentication and privacy protection. Based on the dynamic sequence number, the shared secret value, and the dynamic random number, the AAKA protocol implements a two-way authentication and keys negotiation among users, gateway, and sensors, which achieves the secure access control of legitimate users to WSNs and ensures the confidential transmission of data over the public channel. We perform the security proof with BAN logic for security evaluation. The performance analysis demonstrates that compared with other WSNs authentication schemes, the AAKA protocol obtained better security features, smaller storage, and more efficient communication. Therefore, it is more suitable for applications in smart living.

**Keywords:** Smart Living, Wireless Sensor Networks, AAKA protocol, Identity Authentication, Key Agreement

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## 1. Introduction

Wireless sensor networks are composed of a large number of resource-constrained and self-organized sensor nodes, mostly used in environmental monitoring, smart healthcare, precision agriculture, and other fields [1, 2]. The pivotal wireless communication and data fusion technologies have become increasingly mature in WSNs. However, the information security issues have become the current research hotspot due to high privacy requirements in WSNs. In the security research of WSNs, identity authentication is one of the key security technologies and is the fundamental basis of data access control [3]. Identity authentication can ensure that legitimate users safely access sensor nodes, preventing unauthorized users from entering the network to obtain sensitive information, which is extensively applied in various domains, for example, Internet of Things (IoT) [4, 5, 6, 7], Mobile Edge Computing (MEC) [8], or medical scenes [9].

The security of WSNs is manifested not only in the legitimacy of communication entities but also in the confidential transmission of sensitive data. The data collected by sensor nodes is particularly sensitive and often related to the privacy of users. However, the data confront the risk of eavesdropping and leakage of privacy since the sensor nodes transmit data wirelessly over the open channel. Therefore, WSNs usually use encryption to protect the sensitive data transmitted on the public channel [10]. However, only using encryption technologies cannot resist some common attacks, such as impersonation attacks, and replay attacks. Consequently, identity authentication and anonymous mechanism are needed for cooperation with an encryption scheme. Identity authentication and key agreement protocol can not only authenticate the identity legitimacy of the communication entities, but also generate a session key, which is used to encrypt the communication data. Anonymity mechanism protects the real identity of communicating entities from being exposed to attackers and enables anonymous communication between entities [11, 12]. Therefore, the design of a secure anonymous identity authentication and key agreement protocol is neces-

30 sary to provide the basic security for the whole wireless sensor network. This paper presents a lightweight identity authentication and key negotiation protocol following the architecture and security demand of WSNs, which forms a secure foundation for the widespread application of wireless sensor networks in the Internet of things field.

### 35 1.1. Related Work

User identity authentication is an important mechanism to ensure environmental security and user privacy in WSNs. Many researchers at home and abroad have successively proposed identity authentication technologies and key agreement protocols based on different principles [13, 14].

40 Literature [15] reports a superelliptic curve cryptosystem based identity authentication protocol. Its security is founded on the superelliptic curve discrete logarithm problem. The protocol realizes the authentication between two sensors but cannot verify the user's legitimacy. In reference [16], a password-based identity authentication and key consensus scheme is proposed, which realizes  
45 mutual authentication among users, gateway, and sensor nodes. However, the user transmits the identity of the sensor node directly to the gateway through the public channel in the authentication phase, which cannot guarantee the anonymity of the node. Literature [17] presents a three-factor anonymous authentication scheme under a wireless sensor network, and uses a fuzzy com-  
50 mitment scheme to process the user's biological information. While the scheme satisfies multiple security goals, it also has a relatively high computational and communication overhead. Literature [18] proposes a new security authentication and key agreement scheme, which uses dynamic pseudonymous identity to ensure user privacy and eliminate redundant calculations to improve efficiency.  
55 In literature [19], an improved lightweight identity authentication scheme is proposed to deal with various security vulnerabilities in the scheme proposed by Kumari et al. [20], such as smart card theft attack, denial of service attack, and user traceability. Zhang et al. propose two identity authentication models (USD and UDS) in reference [21] and design authentication schemes for

60 the two models, respectively. However, both schemes have design loopholes. Specifically, at the end of the identity authentication phase, smart card lacks an update to a parameter, which will cause the legitimate user to fail the smart card verification at the next login. Lee etc. present a secure and efficient authentication protocol based on three-factor authentication by taking advantage  
65 of biometrics and honey\_list technique [22]. Their protocol can provide security even if two of the three factors are compromised. Literature [23] proposes a lightweight anonymous privacy-preserving three-factor authentication scheme for WSN-Based IIoT (LAPTAS). The LAPTAS scheme obtains some excellent features such as perfect forward secrecy, privacy-preserving, biometric template  
70 privacy, and revocation support is more resilient against several attacks. Nashwan etc., propose an anonymous access authentication scheme for wireless sensor networks in big data environments [24], which achieves strong security services and performs the forward secrecy feature with a high level of efficiency.

This paper handles the above problem in the protocol [21] and designs an  
75 improved anonymous identity authentication and key agreement protocol suitable for WSNs. Specifically, the proposed protocol realizes two-way identity authentication among users, gateways, and sensor nodes based on the dynamic sequence and the shared secret value. In the process of authentication, the three parties negotiate a session key using dynamic random numbers. The session  
80 key is utilized for future secure communication, thus realizing the confidential transmission of sensitive data. Finally, the security and functionality analysis shows that the proposed protocol can meet multiple security objectives and resist diversified attacks.

## 1.2. Road map

85 The organization of the remaining paper is as follows. Section 2 introduces preliminaries used in this paper, such as hash function, XOR encryption, and BAN logic. The proposed protocol is illustrated in Section 3. Section 4 gives formal security proof using BAN logic. Before concluding the full paper in Section 6, Section 5 provides the performance analysis and compares our protocol

90 with other related works.

## 2. Preliminaries

### 2.1. Hash Function

The hash function is an irreversible operation that provides a way to map an arbitrary input string to a fixed output string. It is widely available for identity authentication and key agreement protocols [25, 26, 27]. The output of the hash function is called a hash value. The length of the hash value depends on the algorithm used, usually 128 to 256 bits. Moreover, the hash function has the following security features:

- (1) *One-way function* A hash function is one-way if given a uniform  $y$  it is infeasible for a PPT adversary to find a value  $x$  such that  $h(x) = y$ .
- (2) *Collision-resistant* A hash function is collision-resistant if given a uniform  $x$  it is infeasible for a PPT adversary to find  $x' \neq x$  such that  $h(x') \neq h(x)$ .
- (3) *Rapidity* A hash function can quickly calculate the hash value of a given message. Namely, it is easy to calculate  $h(x)$  according to the known  $x$ , such as linear time.
- (4) *Avalanche effect* The change of one bit in the input will cause more than half of the bits in the output to change.

### 2.2. XOR Encryption Algorithm

The XOR encryption algorithm is often used in the identity authentication protocol due to its simple and fast encryption and decryption. We suppose that  $a = b \oplus c$ . With any two of these parameters known (say,  $a$  and  $b$ ), the third parameter (i.e.,  $c$ ) can be easily solved. If only one parameter (say,  $b$ ) is known, it is impossible to solve the other two (i.e.,  $a$  and  $c$ ). Therefore, the XOR encryption is widely used in simple encryption operations to realize the private transmission of sensitive information [28].

### 2.3. BAN Logic

Burrow, Abadi, and Needham proposed the BAN logic in 1989. BAN logic is a widely used formal analysis method to analyze the correctness of authentication protocols and the security of key negotiation, playing an indispensable role in the security analysis of authentication protocols [29, 30, 31]. As a belief-based modal logic, the simplicity and practicality of BAN logic in protocol analysis is the main reason for the widespread interest. In the reasoning process of BAN logic, the beliefs of the subjects participating in the protocol are constantly changing as the message exchange. The first step of BAN logic is to carry out the “idealization” of the protocol, that is, to transform the interaction information in the protocol into the formula recognizable by BAN logic. Then, we make reasonable assumptions according to the specific situation. Finally, we apply the inference rules, idealized protocol, and assumptions to inferring whether or not the protocol achieves the expected goal. The BAN logic is based on the following premise.

- (1) The subjects participating in the protocol are honest.
- (2) The ciphertext block cannot be tampered with, nor can several small ciphertext blocks be used to form a new large ciphertext block.
- (3) The two ciphertext blocks in a message are considered to have arrived at two separate times.
- (4) Only the subject who holds the key can understand the ciphertext message.
- (5) The ciphertext contains redundant information so that the decryptor can judge whether or not he has applied the correct key.
- (6) The message contains redundant information so that the subject can judge whether or not the message comes from itself.

Table 1 lists the notations and their respective meanings related to the BAN logic.  $P$  and  $Q$  represent the subject variables,  $K$  denotes the key variables while  $X$  and  $Y$  depict the formula variables.

Next, we introduce BAN logic rules as follows:

Table 1: BAN logic notations and respective meanings

Notation	Meaning
$P  \equiv X$	$P$ believes the truthfulness of $X$
$P \triangleleft X$	$P$ sees $X$ , i.e. $P$ have received message $X$
$P  \sim X$	$P$ once said $X$ or $P$ had sent message $X$
$P \Rightarrow X$	$P$ has jurisdiction over $X$
$\sharp(X)$	$X$ is fresh
$\{X\}_K$	$X$ is encrypted under the key $K$
$P \stackrel{K}{\leftrightarrow} Q$	$P$ and $Q$ can communicate with the shared key $K$
$(X, Y)$	$X$ or $Y$ is a part of message $(X, Y)$

*Rule1 Message-meaning rule.* If  $P$  believes that  $K$  is the shared key between  $P$  and  $Q$ , and  $P$  receives the message  $\{X\}_K$  encrypted by  $K$ , then  $P$  believes that  $Q$  has sent the message  $X$ .

$$\frac{P| \equiv P \stackrel{K}{\leftrightarrow} Q, P \triangleleft \{X\}_K}{P| \equiv Q| \sim X}$$

*Rule2 Nonce verification rule.* If  $P$  believes that  $X$  is new and  $P$  believes that  $Q$  has sent  $X$ , then  $P$  thinks that  $Q$  also believes in  $X$ .

$$\frac{P| \equiv \sharp(X), P| \equiv Q| \sim X}{P| \equiv Q| \equiv X}$$

*Rule3 Jurisdiction rule.* If  $P$  believes that  $Q$  has control over  $X$ , and  $P$  believes that  $Q$  believes in  $X$ , then  $P$  also believes in  $X$ .

$$\frac{P| \equiv Q \Rightarrow X, P| \equiv Q| \equiv X}{P| \equiv X}$$

*Rule4 Belief rule.* If  $P$  believes in  $X$  and  $Y$ , then  $P$  believes in  $(X, Y)$ .

$$\frac{P| \equiv X, P| \equiv Y}{P| \equiv (X, Y)}$$

*Rule5 Freshness-conjunction rule.* If  $P$  believes that  $X$  is fresh, then  $P$  believes that  $(X, Y)$  is fresh.

$$\frac{P| \equiv \sharp(X)}{P| \equiv \sharp(X, Y)}$$

*Rule6 Session keys rule.* If  $P$  believes that  $X$  is new and  $P$  believes that  $Q$  believes in  $X$ , then  $P$  believes that  $P$  and  $Q$  secretly negotiate the session key  $K$ , where  $X$  is the necessary parameter of  $K$ .

$$\frac{P| \equiv \#(X), P| \equiv Q| \equiv X}{P| \equiv P \stackrel{K}{\leftrightarrow} Q}$$

### 3. Anonymous Authentication and Key Agreement Protocol

In this section, we first narrate the classic architecture of the WSN and the functions of its components. Then, we construct the authentication model of the user-gateway-sensor node and provide the general procedure of authenticating.

150 According to the identity authentication model, we design an anonymous identity authentication and key agreement protocol (AAKA) for the WSN, including five phases of the network setup, registration, pre-authentication, identity authentication and key agreement, and password update. Based on the dynamic sequence and the shared secret value, the AAKA protocol implements two-way  
155 authentication among users, gateway, and sensor nodes, preventing attackers from impersonating users, gateway, or sensor nodes and providing secure access to WSNs for legitimate users. During the authentication, the protocol applies dynamic random numbers to negotiating a session key among the three types of parties.

#### 160 3.1. Architecture of WSN

Wireless sensor networks usually contain three communication parties: users, gateways, and sensor nodes. Figure 1 shows the architecture of the WSN.

The sensor node is deployed in the monitoring area, responsible for collecting and converting the data. The collected data is wirelessly transmitted to  
165 the gateway node after multi-hop. The gateway node, also known as the sink node, is responsible for connecting the wireless sensor network with the external network, sending monitoring instructions to the sensor nodes, and transmitting perceptual data to users. The user node is the server and computer terminal,



responsible for configuring and managing the wireless sensor network, publishing monitoring tasks, and receiving monitoring data returned by the gateway [32].

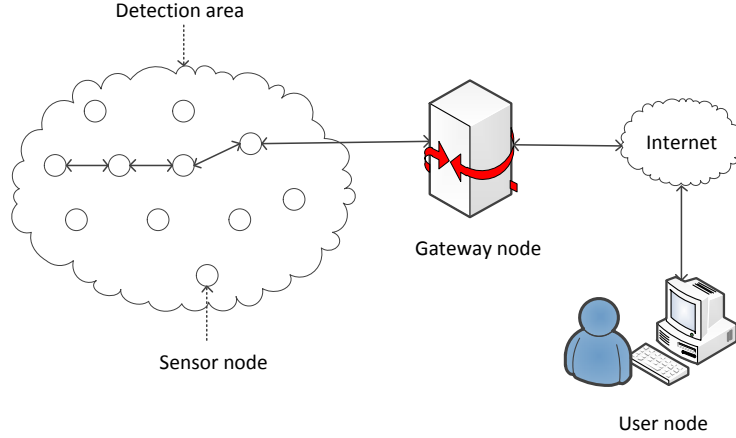


Figure 1: Architecture of wireless sensor network

### 3.2. Authentication Model

The authentication model of the proposed protocol includes three parties: users, gateway, and sensor nodes. The three parties first authenticate the identity legitimacy whenever users want to access the WSN. The overall authentication process is described as follows and shown in Figure 2.

The user sends an access request to the gateway, and the gateway authenticates the user's legitimacy based on the user's identity registration information such as dynamic sequence. After successful authentication, the gateway generates its identity authentication information using the secret value shared with the target sensor node. And the gateway sends its identity authentication information to the target sensor node. Similarly, the target sensor node authenticates the gateway's legitimacy based on the shared secret value and the information sent by the gateway. After successful authentication, the target sensor node calculates the session key using dynamic random numbers. And the target sensor node generates its authentication information utilizing the shared secret value

and the session key and sends it to the gateway. After the gateway obtains the session key according to the dynamic random numbers, it authenticates the legitimacy of the target sensor node. Providing the authentication is passed, the gateway generates its authentication information again based on the new dynamic sequence and sends it to the user. After the user obtains the session key using dynamic random numbers, he authenticates the gateway's legitimacy. If all three parties pass the identity legitimacy authentication, legitimate users can access the WSN to obtain data encrypted by the session key; otherwise, the session is terminated.

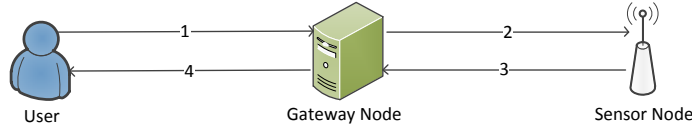


Figure 2: Authentication model

### 3.3. Network Setup

Before deploying the wireless sensor network, the gateway, sensor nodes, and smart cards perform the following initialization operations. Table 2 lists the notations and their respective meanings applied in this paper.

*Step 1.* The administrator stores some fundamental operation functions in the memory of smart card  $SC$ , gateway node  $GWN$ , and sensor node  $N_j$ , such as hash function  $h()$ , XOR operation, connection operation, and random number generator. Then, the administrator selects an identity  $ID_{SC}$  and a random number  $R_{SC}$  for the smart card  $SC$ , and stores  $\{ID_{SC}, R_{SC}\}$  to the user's authentication table  $UT$  and  $SC$ 's memory to make it a legal smart card. The user's authentication table  $UT$  is stored in  $GWN$  as shown in Table 3. Initially, the user's identity information  $XT$  and the dynamic sequence  $TS$  are empty. Next, the administrator assigns legitimate smart cards to users who demand to register.

Table 2: Notations and respective meanings

Notation	Meaning
$U_i, GWN, N_j, SC$	The $i$ -th user, gateway node, the $j$ -th sonser node, smart card
$ID_i, ID_j, ID_{SC}$	User, sensor, and smart cardr's identity
$PW_i$	$U_i$ 's password
$X$	Gateway's master key
$SV_j$	Secret value shared between gateway and sensor node
$SK$	Session key
$T_i$	Dynamic sequence number
$TS_i$	Time stamp
$\Delta T$	Tolerable transmission delay
$R_U, R_{GWN}, R_N, R_{SC}$	Random number generated by user, gateway, sonser node, and smart card
$h()$	One-way hash function
$\parallel$	Connection operation
$\oplus$	XOR operation

Table 3: User's authentication table UT stored in GWN

$SC$ 's identity	$SC$ 's random number	$U_i$ 's identity information	Dynamic sequence
$ID_{SC_1}$	$R_{SC_1}$	$XT_1$	$TS_1$
$ID_{SC_2}$	$R_{SC_2}$	$XT_2$	$TS_2$
...	...	...	...
$ID_{SC_m}$	$R_{SC_m}$	$XT_m$	$TS_m$

210 *Step 2.* The gateway node  $GWN$  secretly stores the master key  $X$ , assigns an identity  $ID_j$  to the sensor node  $N_j$ , and calculates the secret value  $SV_j$  (see Eq 1) shared with  $N_j$ . Before deploying the sensor node  $N_j$  in the monitoring area,  $GWN$  stores  $\{ID_j, SV_j\}$  in the sensor node's authentication table  $NT$  and  $N_j$ 's memory to make it a legal sensor node. The sensor node's authentication table  
 215  $NT$  is stored in  $GWN$  as shown in Table 4. Finally, the administrator deploys the legal sensor nodes in the environment.

$$SV_j = h(ID_j || X) \quad (1)$$

Table 4: Sensor node's authentication table  $NT$  stored in  $GWN$

$N_j$ 's identity $ID_j$	Secret value $SV_j$ shared with $N_j$
$ID_1$	$SV_1$
$ID_2$	$SV_2$
...	...
$ID_n$	$SV_n$

### 3.4. Registration

In this phase, a new user can register itself at the gateway node. Firstly, the user selects the identity and password to generate a registration request  
 220 and sends the request to the gateway. Next, the gateway generates the user's identity registration information and stores it in the authentication table  $UT$ . Finally, the user stores his identity registration information in the smart card. The specific process of this phase is detailed below and shown in Figure 3.

*Step1.* The user  $U_i$  generates his registration request.

225 Firstly, the user  $U_i$  inserts the smart card  $SC$  into a card reader for obtaining the  $SC$ 's identity  $ID_{SC}$ . Then,  $U_i$  inputs his identity  $ID_i$ , password  $PW_i$ , and random number  $R_U$  and calculates the registration request  $RPW_i$  and  $REG_i$  as follows. Finally,  $U_i$  sends the registration request  $\{ID_{SC}, RPW_i, REG_i\}$  to the gateway node  $GWN$ .

$$RPW_i = h(PW_i || R_U) \quad (2)$$

$$REG_i = ID_i \oplus (R_{SC} || RPW_i) \quad (3)$$

230 *Step2. The gateway node GWN generates the user  $U_i$ 's identity registration information.*

*GWN checks whether or not  $ID_{SC}$  exists in the authentication table  $UT$  after receiving the  $U_i$ 's registration request  $\{ID_{SC}, RPW_i, REG_i\}$ . If not, GWN rejects  $U_i$ 's registration request; otherwise, GWN retrieves the matching random number  $R_{SC}$  in the authentication table  $UT$  according to  $ID_{SC}$ . Then, 235 GWN checks the total number ( $sum$ ) of legitimate registration requests and access requests it handled and sets the dynamic sequence  $TS_i = sum$ . Next, GWN calculates  $U_i$ 's identity registration information as follows according to the registration request. Finally, GWN sends the identity registration information  $\{RSP_i\}$  to  $U_i$  and stores  $\{XT_i, TS_i\}$  in the authentication table  $UT$ .* 240

$$ID_i = REG_i \oplus h(R_{SC} || RPW_i) \quad (4)$$

$$US = h(ID_i || X) \quad (5)$$

$$UR = US \oplus h(ID_i || RPW_i) \quad (6)$$

$$SE = TS_i \oplus h(US || ID_i) \quad (7)$$

$$UV = h(ID_i || US || RPW_i) \oplus TS_i \quad (8)$$

$$RSP_i = h(ID_i || R_{SC}) \oplus (UR || SE || UV) \quad (9)$$

$$XT_i = h(X || TS_i) \oplus ID_i. \quad (10)$$

*Step3. The user  $U_i$  stores his identity registration information in the smart card  $SC$ .*

$U_i$  first restores  $UR, SE$ , and  $UV$  as Eq 11 after receiving  $\{RSP_i\}$ . Then,  $U_i$  calculates  $RE$  using equation 12 according to the random number  $R_U$ , identity 245  $ID_i$ , and password  $PW_i$ . Finally,  $U_i$  stores the identity registration information  $\{UR, SE, UV, RE\}$  into  $SC$  and deletes  $\{ID_{SC}, R_{SC}\}$ .

$$(UR||SE||UV) = RSP_i \oplus h(ID_i||R_{SC}) \quad (11)$$

$$RE = R_U \oplus h(ID_i||PW_i) \quad (12)$$

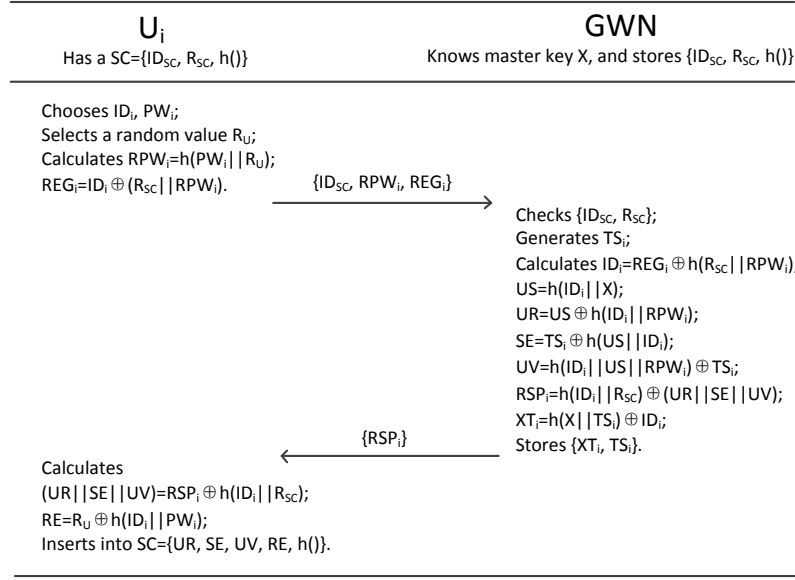


Figure 3: User registration phase

### 3.5. Pre-authentication

In this phase, the smart cards pre-authenticate the legitimacy of users when users want to access the WSN. Specifically, the smart card generates the user's access request  $S_1$  and sends it to the gateway only if the identity and password inputted by the user pass the pre-authentication. At this phase, the pre-authentication function of the smart card reduces the communication and calculation overhead between the user and the gateway and effectively resists denial of service attacks. The specific process of this phase is detailed below and shown in

Figure 4.

*Step1. The smart card SC pre-authenticates the user  $U_i$ 's validity according to the identity and password.*

260 Firstly,  $U_i$  keys identity  $ID_i^*$ , password  $PW_i^*$ , and identity  $ID_j$  of the target sensor node  $N_j$  after inserting  $SC$  into a card reader. Then,  $SC$  calculates  $R_U^*$ ,  $RPW_i^*$ ,  $US^*$ ,  $TS_i^*$ , and  $UV^*$  as follows according to the parameter  $RE, UR, SE$  and the user's identity  $ID_i^*$  and password  $PW_i^*$ . Finally,  $SC$  checks  $UV^* \stackrel{?}{=} UV$ . If equal,  $SC$  authenticates  $U_i$  as a legitimate user and continues the process; otherwise,  $SC$  terminates the session.

$$R_U^* = RE \oplus h(ID_i^* || PW_i^*) \quad (13)$$

$$RPW_i^* = h(PW_i^* || R_U^*) \quad (14)$$

$$US^* = UR \oplus h(ID_i^* || RPW_i^*) \quad (15)$$

$$TS_i^* = SE \oplus h(US^* || ID_i^*) \quad (16)$$

$$UV^* = h(ID_i^* || US^* || RPW_i^*) \oplus TS_i^* \quad (17)$$

*Step2. The smart card SC generates the user  $U_i$ 's access request.*

265  $SC$  chooses a time stamp  $T_1$  and computes the access request information  $M_1$  and  $M_2$ . Then,  $SC$  sends the access request  $S_1 = \{M_1, M_2, T_1, TS_i\}$  to the gateway node  $GW_N$ .

$$M_1 = (ID_j || R_U) \oplus h(US || ID_i || TS_i) \quad (18)$$

$$M_2 = h(ID_i || TS_i || US || T_1 || ID_j) \quad (19)$$

### 3.6. Identity Authentication and Key Agreement

In this phase, the AKA protocol completes anonymous authentication and  
270 key agreement. Specifically, the user and the gateway implement a two-way authentication based on the dynamic sequence. The gateway and the sensor node achieve a two-way authentication based on the shared secret value. While authenticating, the three parties negotiate a session key based on their dynamic random numbers. The negotiated key ensures the confidential transmission of

275 later data over the public channel. The specific process of this phase is detailed below and shown in Figure 4.

*Step1. The gateway authenticates the legitimacy of the user's identity and calculates its own authentication information.*

After receiving the user  $U_i$ 's access request  $S_1$ , the gateway  $GW_N$  authenticates  $U_i$ 's legitimacy according to  $S_1$  and the user's identity registration information stored in table  $UT$ . If the authentication is successful,  $GW_N$  calculates its identity authentication information  $S_2$  and sends it to the target sensor node  $N_j$ . The specific process is as follows:

① *The gateway  $GW_N$  authenticates the user  $U_i$ 's legitimacy.*  $GW_N$  first checks the validity of the time stamp  $T_1$  after receiving the access request  $S_1 = \{M_1, M_2, T_1, TS_i\}$ . If the time difference between  $T_1$  and the current time  $T_c$  exceeds the tolerable transmission delay  $\Delta T$ ,  $GW_N$  will reject the request; otherwise,  $GW_N$  retrieves the corresponding  $XT_i$  from table  $UT$  according to the dynamic sequence  $TS_i$ . Then,  $GW_N$  calculates  $ID'_i$ ,  $US'$ ,  $ID'_j || R'_U$ , and  $M'_2$  as follows. Finally,  $GW_N$  checks  $M'_2 \stackrel{?}{=} M_2$ . If equal,  $GW_N$  authenticates  $U_i$  as a legitimate user and continues the process; otherwise,  $GW_N$  terminates the session.

$$ID'_i = h(X || TS_i) \oplus XT_i \quad (20)$$

$$US' = h(ID'_i || X) \quad (21)$$

$$ID'_j || R'_U = M_1 \oplus h(US' || ID'_i || TS_i) \quad (22)$$

$$M'_2 = h(ID'_i || TS'_i || US' || T_1 || ID'_j) \quad (23)$$

② *After verifying the user  $U_i$ 's legitimacy, the gateway  $GW_N$  updates  $U_i$ 's identity registration information in table  $UT$ .* Firstly,  $GW_N$  chooses a timestamp  $T_2$  and a random number  $R_{GW_N}$ , checks the total number ( $sum^{new}$ ) of the legitimate registration and access requests it handled, and sets the new dynamic sequence  $TS_i^{new} = sum^{new}$ . Then,  $GW_N$  computes  $XT_i^{new}$  using Eq 24



and updates  $U_i$ 's identity registration information as  $\{XT_i^{new}, TS_i^{new}\}$  in table  $UT$ .

$$XT_i^{new} = h(X || TS_i^{new}) \oplus ID_i \quad (24)$$

300 ③ The gateway  $GWN$  calculates its identity authentication information  $S_2$  and sends it to the target sensor node  $N_j$ . First,  $GWN$  retrieves the corresponding secret value  $SV_j$  from table  $NT$  according to  $N_j$ 's identity  $ID_j$ . Then,  $GWN$  calculates the authentication information  $c$ ,  $M_3$ , and  $M_4$  as follows. Finally,  $GWN$  sends the authentication information  $S_2 = \{M_3, M_4, T_2\}$  to  $N_j$ .

$$c = TS_i \oplus TS_i^{new} \quad (25)$$

$$M_3 = (R'_U || R_{GWN}) \oplus h(SV'_j) \quad (26)$$

$$M_4 = h(ID'_j || R_{GWN} || T_2 || SV'_j) \quad (27)$$

305 Step2. The sensor node authenticates the legitimacy of the gateway and calculates the session key as well as its identity authentication information.

After receiving the gateway  $GWN$ 's authentication information  $S_2$ , the target sensor node  $N_j$  authenticates the legitimacy of  $GWN$  according to  $S_2$  and the shared secret value  $SV_j$ . If the authentication is successful,  $N_j$  calculates a

310 session key  $SK$  and its identity authentication information  $S_3$ . Then,  $N_j$  sends  $S_3$  to  $GWN$ . The specific process is as follows:

① The target sensor node  $N_j$  authenticates the gateway  $GWN$ 's legitimacy.  $N_j$  first checks the validity of the time stamp  $T_2$  after receiving  $GWN$ 's authentication information  $S_2 = \{M_3, M_4, T_2\}$ . If  $T_2$  is invalid,  $N_j$  will reject the session; otherwise,  $N_j$  computes  $R''_U || R'_{GWN}$  and  $M'_4$  according to  $S_2$  and the secret value  $SV_j$  shared with  $GWN$ . Then,  $N_j$  checks  $M'_4 \stackrel{?}{=} M_4$ . If equal,  $N_j$  believes in  $GWN$ 's legitimacy and continues the process; otherwise,  $N_j$  terminates the session.

$$R_U'' || R_{GWN}' = M_3 \oplus h(SV_j) \quad (28)$$

$$M_4' = h(ID_j || R_{GWN}' || T_2 || SV_j) \quad (29)$$

② After verifying the gateway  $GWN$ 's legitimacy, the target sensor node  $N_j$  calculates a session key  $SK$  and its identity authentication information  $S_3$ . Firstly,  $N_j$  chooses a timestamp  $T_3$  and a random number  $R_N$ , and computes the session key  $SK$  using Eq 30 according to the random numbers  $R_U''$ ,  $R_{GWN}'$ ,  $R_N$ . Then,  $N_j$  computes its identity authentication information  $M_5$  and  $M_6$  as follows. Finally,  $N_j$  sends the authentication information  $S_3 = \{M_5, M_6, T_3\}$  to  $GWN$ .

$$SK = h(R_U'' || R_{GWN}' || R_N) \quad (30)$$

$$M_5 = R_N \oplus h(SV_j) \quad (31)$$

$$M_6 = h(SK || SV_j || R_N || T_3 || ID_j) \quad (32)$$

*Step3. The gateway authenticates the legitimacy of the sensor node identity and calculates the session key and authentication information.*

Based on the authentication information  $S_3$  of the target sensor node  $N_j$ , the gateway  $GWN$  computes the session key and authenticates  $N_j$ 's legitimacy. If the authentication is successful,  $GWN$  calculates its identity authentication information  $S_4$  and sends it to the user  $U_i$ . The specific process is as follows:

① The gateway  $GWN$  computes the session key according to the authentication information  $S_3$  of the target sensor node  $N_j$ .  $GWN$  first checks the validity of the timestamp  $T_3$  after receiving the authentication information  $S_3 = \{M_5, M_6, T_3\}$ . If  $T_3$  is invalid,  $GWN$  will reject the session; otherwise,  $GWN$  restores  $N_j$ 's random number  $R_N'$  according to the shared secret value  $SV_j'$  and  $M_5$ . Then,  $GWN$  computes the session key  $SK'$  according to  $R_U'$ ,  $R_{GWN}$ ,  $R_N'$ .

$$R'_N = M_5 \oplus h(SV'_j) \quad (33)$$

$$SK' = h(R'_U || R_{GWN} || R'_N) \quad (34)$$

② *The gateway GWN authenticates the legitimacy of the target sensor node*  
 340 *N<sub>j</sub>. Firstly, GWN computes M'<sub>6</sub> as Eq 35 according to the session key SK'*  
*and the shared secret value SV'<sub>j</sub>. Then, GWN checks M'<sub>6</sub>  $\stackrel{?}{=}$  M<sub>6</sub>. If equal,*  
*GWN authenticates N<sub>j</sub> as a legitimate sensor node and continues the process;*  
*otherwise, GWN terminates the session.*

$$M'_6 = h(SK' || SV'_j || R'_N || T_3 || ID'_j) \quad (35)$$

③ *The gateway GWN calculates its identity authentication information S<sub>4</sub>*  
 345 *after verifying the legitimacy of the sensor node N<sub>j</sub>. Firstly, GWN chooses a*  
*timestamp T<sub>4</sub> and computes its identity authentication information M<sub>7</sub>, M<sub>8</sub>, and*  
*M<sub>9</sub>. Then, GWN sends its authentication information S<sub>4</sub> = {M<sub>7</sub>, M<sub>8</sub>, M<sub>9</sub>, T<sub>4</sub>}*  
*to U<sub>i</sub>.*

$$M_7 = c \oplus US' \quad (36)$$

$$M_8 = (R_{GWN} || R'_N) \oplus h(R'_U || US') \quad (37)$$

$$M_9 = h(SK' || ID'_i || TS_i^{new} || US' || T_4) \quad (38)$$

*Step4. The user calculates the session key and authenticates the legitimacy of*  
 350 *the gateway.*

*Based on the authentication information S<sub>4</sub> of the gateway GWN, the user*  
*U<sub>i</sub> computes the session key and authenticates the legitimacy of GWN. If the*  
*authentication is successful, the legitimate U<sub>i</sub> can access WSN to obtain the*  
*required data. The session key is used to encrypt the sensitive data to guar-*  
 355 *antee confidential communication between users and sensor nodes. The specific*  
*process is as follows:*

① The user  $U_i$  computes the session key according to the authentication information  $S_4$  of the gateway  $GWN$ .  $U_i$  first checks the validity of the timestamp  $T_4$  after receiving the authentication information  $S_4 = \{M_7, M_8, M_9, T_4\}$ .  
 360 If  $T_4$  is invalid,  $U_i$  will reject the session; otherwise,  $U_i$  performs the following to obtain the session key  $SK''$ .

$$c' = M_7 \oplus US \quad (39)$$

$$TS_i^{new'} = c' \oplus TS_i \quad (40)$$

$$R'_{GWN} || R''_N = M_8 \oplus h(R_U || US) \quad (41)$$

$$SK'' = h(R_U || R'_{GWN} || R''_N) \quad (42)$$

② The user  $U_i$  authenticates the legitimacy of the gateway  $GWN$ . Firstly,  $U_i$  computes  $M'_9$  according to the session key  $SK''$ , identity  $ID_i$ , and the new dynamic sequence  $TS_i^{new'}$ . Then,  $U_i$  checks  $M'_9 \stackrel{?}{=} M_9$ . If equal,  $U_i$  believes in  $GWN$ 's legitimacy and continues the process; otherwise,  $U_i$  terminates the session.  
 365

$$M'_9 = h(SK'' || ID_i || TS_i^{new'} || US || T_4) \quad (43)$$

③ The user  $U_i$  updates the parameters stored in the smart card  $SC$ .  $U_i$  selects a random number  $R_U^{new}$  and computes the new parameters  $\{SE, RE, UR, UV\}$  as follows. Finally, the parameters in  $SC$  are replaced as  $\{SE^{new}, RE^{new}, UR^{new}, UV^{new}, h()\}$ .

$$SE^{new} = SE \oplus c' \quad (44)$$

$$RE^{new} = RE \oplus R_U \oplus R_U^{new} \quad (45)$$

$$RPW_i^{new} = h(PW_i || R_U^{new}) \quad (46)$$

$$UR^{new} = US \oplus h(ID_i || RPW_i^{new}) \quad (47)$$

$$UV^{new} = h(ID_i || US || RPW_i^{new}) \oplus TS_i^{new} \quad (48)$$

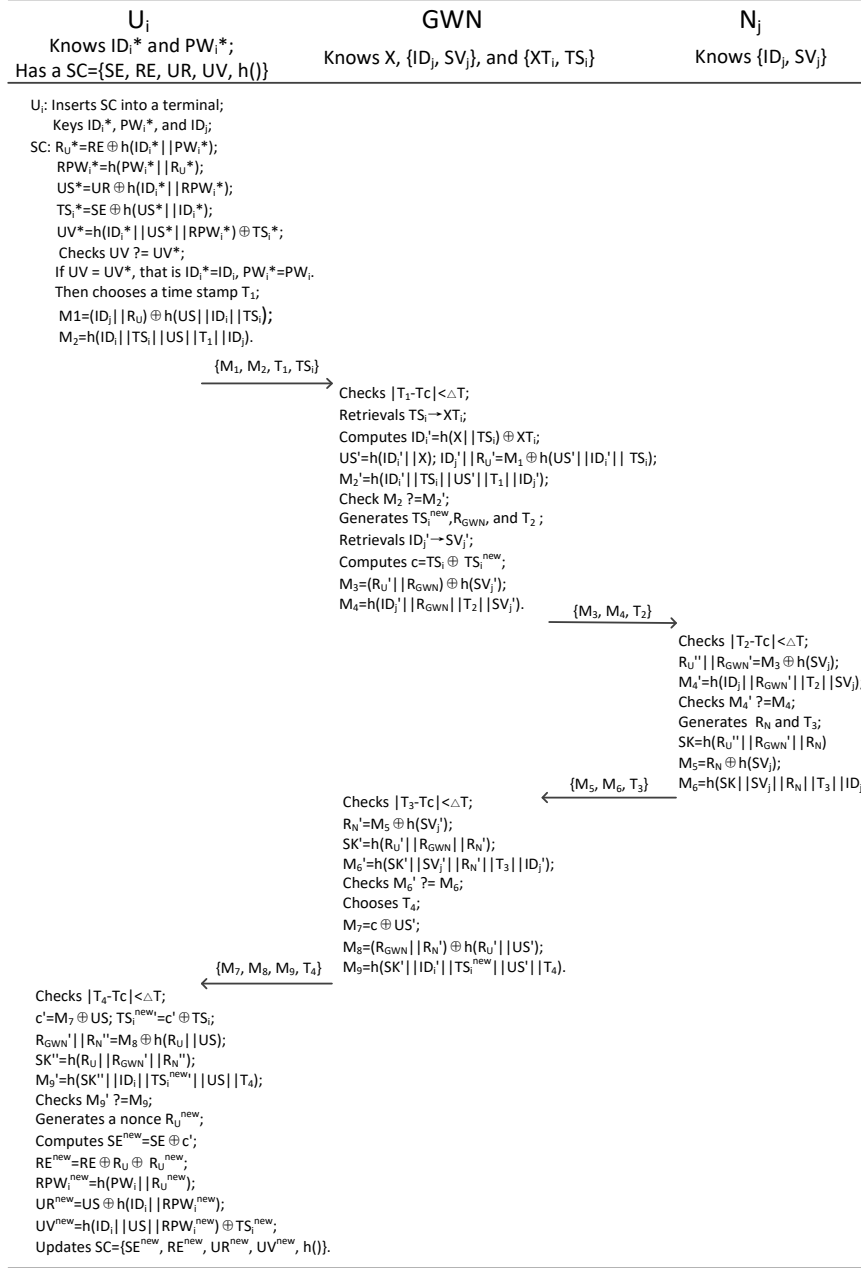


Figure 4: Identity authentication and key agreement phase

### 370 3.7. Password Update

Although the user's password is only in his possession, there is still the possibility of password leakage. Therefore, users need to change the password irregularly. The password update phase is only completed at the user terminal, without transmitting any bytes to the gateway, and does not involve the communication between the user and the gateway. Therefore, this phase provides users with a convenient password update operation. The specific process is detailed below and shown in Figure 5.

Step 1. The smart card  $SC$  pre-authenticates the user  $U_i$ 's validity.

The user  $U_i$  inserts the smart card  $SC$  into the card reader and inputs his identity  $ID_i^*$  and password  $PW_i^*$ . The smart card  $SC$  pre-authenticates the user  $U_i$ 's validity according to the identity  $ID_i^*$  and password  $PW_i^*$ . If the pre-authentication fails,  $SC$  rejects  $U_i$ 's password update request; otherwise,  $U_i$ 's enters a new password  $PW_i^{new}$ .

Step 2. The smart card  $SC$  updates the parameters.

The smart card  $SC$  updates its stored parameters as follows based on the new password  $PW_i^{new}$  entered by the user. Finally,  $SC$  updates the corresponding parameters as  $\{SE, RE^{new}, UR^{new}, UV^{new}, h()\}$ .

$$RPW_i^{new} = h(PW_i^{new} || R_U^*) \quad (49)$$

$$UR^{new} = US \oplus h(ID_i^* || RPW_i^{new}) \quad (50)$$

$$RE^{new} = R_U^* \oplus h(ID_i^* || PW_i^{new}) \quad (51)$$

$$UV^{new} = h(ID_i^* || US || RPW_i^{new}) \oplus TS_i^* \quad (52)$$

## 4. Security Proof

In this section, we carry out the formal security analysis of our protocol using the BAN logic. We prove that the AAKA protocol can achieve two-way authentication and negotiate session keys among users, gateways, and sensor

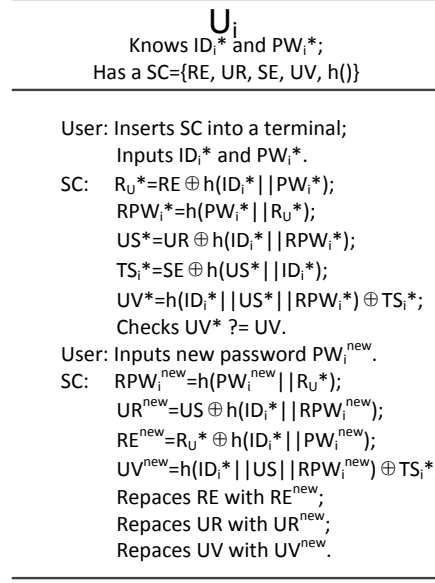


Figure 5: Password update phase

nodes through rigorous security analysis. First, we propose eight security goals that the AAKA protocol needs to meet in a network where users, gateways, and sensor nodes participate in authentication and key negotiation. Then, we use the BAN logic language to describe the initial state of the protocol and establish a set of initial hypotheses. Afterward, the actual messages are transformed into the formula of BAN logical. Finally, we use the inference rules to analyze whether the protocol satisfies the security goals.

#### 4.1. Security Goals

We establish the following goals which the AAKA protocol should be satisfied from the analytic procedures of BAN logic.

$$Goal1 : GWN | \equiv U \stackrel{SK}{\leftrightarrow} GWN$$

$$Goal2 : GWN | \equiv U | \equiv (U \stackrel{SK}{\leftrightarrow} GWN)$$

$$Goal3 : U | \equiv GWN \stackrel{SK}{\leftrightarrow} U$$

$$Goal4 : U | \equiv GWN | \equiv (GWN \stackrel{SK}{\leftrightarrow} U)$$

$$Goal5 : N | \equiv GWN \stackrel{SK}{\leftrightarrow} N$$

$$Goal6 : N | \equiv GWN | \equiv (GWN \stackrel{SK}{\leftrightarrow} N)$$

$$Goal7 : GWN | \equiv N \stackrel{SK}{\leftrightarrow} GWN$$

$$Goal8 : GWN | \equiv N | \equiv (N \stackrel{SK}{\leftrightarrow} GWN)$$

#### 4.2. Canonical Form

This section idealizes the transmission information of the AAKA protocol, i.e., the actual messages of the protocol are represented using the BAN logic language.

405

(1) The access request  $S_1 = \{M_1, M_2, T_1, TS_i\}$  sent from  $U$  to  $GWN$  is recorded as  $(U \rightarrow GWN) : \{M_1, M_2, T_1, TS_i\}$ , of which the canonical form is

$$S_1 : GWN \triangleleft \{M_1, M_2, T_1, TS_i\}$$

That is, the gateway  $GWN$  has received the message  $\{M_1, M_2, T_1, TS_i\}$ .

(2) The authentication message  $S_2 = \{M_3, M_4, T_2\}$  sent from  $GWN$  to  $N$  is recorded as  $(GWN \rightarrow N) : \{M_3, M_4, T_2\}$ , of which the canonical form is

$$S_2 : N \triangleleft \{M_3, M_4, T_2\}$$

That is, the sensor node  $N$  has received the message  $\{M_3, M_4, T_2\}$ .

(3) The authentication message  $S_3 = \{M_5, M_6, T_3\}$  sent from  $N$  to  $GWN$  is recorded as  $(N \rightarrow GWN) : \{M_5, M_6, T_3\}$ , of which the canonical form is

$$S_3 : GWN \triangleleft \{M_5, M_6, T_3\}$$

That is, the gateway  $GWN$  has received the message  $\{M_5, M_6, T_3\}$ .

(4) The authentication message  $S_4 = \{M_7, M_8, M_9, T_4\}$  sent from  $GWN$  to  $U$  is recorded as  $(GWN \rightarrow U) : \{M_7, M_8, M_9, T_4\}$ , of which the canonical form is

$$S_4 : U \triangleleft \{M_7, M_8, M_9, T_4\}$$

That is, the user  $U$  has received the message  $\{M_7, M_8, M_9, T_4\}$ .



410 4.3. Assumptions

The following initial assumptions have been established to prove the security of the proposed protocol.

$$A1 : U| \equiv \sharp(R_U, R_{GWN}, R_N)$$

$$A2 : GWN| \equiv \sharp(R_U, R_{GWN}, R_N)$$

$$A3 : N| \equiv \sharp(R_U, R_{GWN}, R_N)$$

$$A4 : U| \equiv U \stackrel{US}{\leftrightarrow} GWN$$

$$A5 : GWN| \equiv GWN \stackrel{SV_j}{\leftrightarrow} N$$

$$A6 : N| \equiv N \stackrel{SV_j}{\leftrightarrow} GWN$$

$$A7 : GWN| \equiv GWN \stackrel{US}{\leftrightarrow} U$$

$$A8 : N \triangleleft \{R_{GWN}\}_{SV_j}$$

$$A9 : GWN \triangleleft \{R_N\}_{SV_j}$$

$$A10 : U \triangleleft \{R_{GWN}\}_{US}$$

$$A11 : GWN \triangleleft \{R_U\}_{US}$$

$$A12 : GWN \triangleleft \{U \stackrel{RU}{\leftrightarrow} GWN\}_{US}$$

$$A13 : N \triangleleft \{GWN \stackrel{RGWN}{\leftrightarrow} N\}_{SV_j}$$

$$A14 : GWN \triangleleft \{N \stackrel{RN}{\leftrightarrow} GWN\}_{SV_j}$$

$$A15 : U \triangleleft \{GWN \stackrel{RGWN}{\leftrightarrow} U\}_{US}$$

4.4. Security Proof

Based on the BAN logical inference rules, the initialization assumptions, and the idealized description of the transmitted information, the formal proof for our protocol is as follows.

(1) According to A7, A12 and Rule1, we get:

$$V1 : GWN| \equiv U| \sim (U \stackrel{RU}{\leftrightarrow} GWN)$$

(2) According to  $A2$ ,  $V1$ , and  $Rule2$ , we get:

$$V2' : GWN| \equiv U| \equiv (U \overset{R_U}{\leftrightarrow} GWN)$$

where  $R_U$  is a necessary parameter of  $SK$ , so

$$V2 : GWN| \equiv U| \equiv (U \overset{SK}{\leftrightarrow} GWN) \quad (Goal2)$$

(3) According to  $A7$ ,  $S_1$ ,  $A11$ , and  $Rule1$ , we get:

$$V3 : GWN| \equiv U| \sim R_U$$

(4) According to  $V3$ ,  $A2$ ,  $Rule2$ , and  $Rule5$ , we get:

$$V4 : GWN| \equiv U| \equiv R_U$$

(5) According to  $V4$ ,  $A2$ ,  $A11$ , and  $Rule6$ , we get:

$$V5 : GWN| \equiv GWN \overset{SK}{\leftrightarrow} U) \quad (Goal1)$$

415 where  $R_U$  is a necessary parameter of  $SK$ .

(6) According to  $A15$ ,  $A4$ , and  $Rule1$ , we get:

$$V6 : U| \equiv GWN| \sim (GWN \overset{US}{\leftrightarrow} U)$$

(7) According to  $A1$ ,  $V6$ , and  $Rule2$ , we get:

$$V7' : U| \equiv GWN| \equiv (GWN \overset{R_{GWN}}{\leftrightarrow} U)$$

where  $R_{GWN}$  is a necessary parameter of  $SK$ , so

$$V7 : U| \equiv GWN| \equiv (GWN \overset{SK}{\leftrightarrow} U) \quad (Goal4)$$

(8) According to  $A10$ ,  $S_4$ ,  $A4$ , and  $Rule1$ , we get:

$$V8 : U| \equiv GWN| \sim R_{GWN}$$

(9) According to  $A1$ ,  $V8$ ,  $Rule2$ , and  $Rule5$ , we get:

$$V9 : U| \equiv GWN| \equiv R_{GWN}$$

(10) According to  $A1$ ,  $V9$ ,  $A10$ , and  $Rule6$ , we get:

$$V10 : U| \equiv GWN \stackrel{SK}{\leftrightarrow} U \quad (Goal3)$$

where  $R_{GWN}$  is a necessary parameter of  $SK$ .

(11) According to  $A6$ ,  $A13$ , and  $Rule1$ , we get:

$$V11 : N| \equiv GWN| \sim (GWN \stackrel{R_{GWN}}{\leftrightarrow} N)$$

(12) According to  $A3$ ,  $V11$ , and  $Rule2$ , we get:

$$V12' : N| \equiv GWN| \equiv (GWN \stackrel{R_{GWN}}{\leftrightarrow} N)$$

where  $R_{GWN}$  is a necessary parameter of  $SK$ , so

$$V12 : N| \equiv GWN| \equiv (GWN \stackrel{SK}{\leftrightarrow} N) \quad (Goal6)$$

(13) According to  $A6$ ,  $S_2$ ,  $A8$ , and  $Rule1$ , we get:

$$V13 : N| \equiv GWN| \sim R_{GWN}$$

(14) According to  $A3$ ,  $V13$ ,  $Rule2$ , and  $Rule5$ , we get:

$$V14 : N| \equiv GWN| \equiv R_{GWN}$$

(15) According to  $A3$ ,  $V14$ ,  $A8$ , and  $Rule6$ , we get:

$$V15 : N| \equiv GWN \stackrel{SK}{\leftrightarrow} N \quad (Goal5)$$

where  $R_{GWN}$  is a necessary parameter of  $SK$ .

(16) According to  $A5$ ,  $A14$ , and  $Rule1$ , we get:

$$V16 : GWN| \equiv N| \sim (N \stackrel{R_N}{\leftrightarrow} GWN)$$

(17) According to  $A2$ ,  $V16$ , and  $Rule2$ , we get:

$$V17' : GWN| \equiv N| \equiv (N \stackrel{R_N}{\leftrightarrow} GWN)$$

where  $R_N$  is a necessary parameter of  $SK$ , so

$$V17 : GWN| \equiv N| \equiv (N \stackrel{SK}{\leftrightarrow} GWN) \quad (Goal8)$$

(18) According to  $A5$ ,  $S_3$ ,  $A9$ , and  $Rule1$ , we get:

$$V18 : GWN| \equiv N| \sim R_N$$

(19) According to  $A2$ ,  $V18$ ,  $Rule2$ , and  $Rule5$ , we get:

$$V19 : GWN| \equiv N| \equiv R_N$$

(20) According to  $A2$ ,  $V19$ ,  $A9$ , and  $Rule6$ , we get:

$$V20 : GWN| \equiv N \xleftrightarrow{SK} GWN \quad (Goal7)$$

where  $R_N$  is a necessary parameter of  $SK$ .

The formal analysis shows that the proposed protocol can satisfy the above  
 420 security goals, provide two-way authentication, and secretly negotiate session  
 keys.

## 5. Performance Analysis

This section discusses the performance of the AAKA protocol from func-  
 tionality and overhead. We first analyze that our protocol resists most of the  
 425 known attacks and achieves some ideal functional features. The overhead anal-  
 ysis shows the AAKA protocol has lower computational, storage, and commu-  
 nication overhead under the premise of security.

### 5.1. Performance Analysis

#### 5.1.1. Users' Anonymity and Untraceability

430 User anonymity means that the user's real identity is shielded without know-  
 ing by any adversary. The AAKA protocol uses a one-way hash function to hide  
 the user's real identity  $ID_i$  in the access request  $\{M_1, M_2, T_1, TS_i\}$ . After re-  
 ceiving the request,  $GWN$  calculates  $TS_i \oplus h(X || TS_i)$  for obtaining  $ID_i$  through  
 searching  $TS_i$  in the authentication table  $UT$ . Suppose an adversary intercepts  
 435 the  $TS_i$ , the adversary cannot calculate the  $ID_i$  because he does not know the  
 master key  $X$ . Thus, the user is anonymous in our protocol.

User untraceability means that the adversary cannot trace the users in different sessions through the communication messages transmitted on the common channel. Since each user accesses the gateway irregularly and new users register  
440 to the gateway, there is no connection between the dynamic sequence  $TS_i$  used in this session and  $TS_i^{new}$  used in the next session. Besides, the communication messages  $\{M_1, M_2, T_1, TS_i\}$  are different since the user uses different random number  $R_U$  in each session. Therefore, the user is untraceability in our protocol.

#### 5.1.2. Anonymity of Sensor Nodes

445 In our protocol, the real identity  $ID_j$  of the sensor node  $N_j$  does not explicitly exist in any communication messages, so the adversary cannot directly obtain the sensor's  $ID_j$  according to the communication messages on the public channel. Furthermore, the adversary cannot compute  $(ID_j || R_U) = M_1 \oplus h(US || ID_i || TS_i)$  without knowing  $US$  and the user's real identity  $ID_i$ .  
450 Thus, the sensor node is anonymous in our protocol.

#### 5.1.3. Forward Security and Backward Security

In the AKA protocol, the session key is  $SK = h(R_U || R_{GWN} || R_N)$ , where  $R_U$ ,  $R_{GWN}$ , and  $R_N$  are random numbers generated by  $U_i$ ,  $GWN$ , and  $N_j$ , respectively. Since the session key does not depend on the  $GWN$ 's master key  
455  $X$  and the secret value  $SV_j$  shared between  $GWN$  and  $N_j$ , the disclosure of their secrets is not beneficial for the attacker to generate the session key. It is impossible to infer the session key of the previous session or the next session even if the adversary gets the current session's key since the random number used in each session is new. Hence, our protocol offers forward security and the  
460 backward security [33, 34].

#### 5.1.4. Resisting Replay Attack

Replay attack means that an adversary retransmits the intercepted message to receiver to impersonate the legitimate user. In this protocol, users, gateway and sensor nodes first check the validity of the timestamp after receiving the  
465 message. The authentication will be terminated if the timestamp is invalid.

Suppose that an adversary replaces  $T_1$  from  $\{M_1, M_2, T_1, TS_i\}$  as  $T_A$ , where  $T_A$  is the current timestamp. Evidently,  $T_A$  would pass the freshness test, but the replay would fail since  $T_1$  was used to compute  $M_2 = h(ID_i || TS_i || US || T_1 || ID_j)$ . Therefore,  $M_2^*$  would be different from  $M_2$  as  $T_A$  was used to compute  $M_2^* =$   
470  $h(ID_i || TS_i || US || T_A || ID_j)$ . Because of same reasons, the adversary could not replay the message  $\{M_3, M_4, T_2\}$ ,  $\{M_5, M_6, T_3\}$ , and  $\{M_7, M_8, M_9, T_4\}$ . So, the proposed protocol withstands replay attack.

#### 5.1.5. Resisting Stolen Smart Card Attack

Smart card is a tamper-resistant and counterfeit-resistant hardware. Legitimate users with smart cards confront the risk of smart card theft. When an  
475 adversary gets a legitimate user's smart card, he acquires the parameters stored in it. In our protocol, the smart card includes  $\{RE, UR, SE, UV, h()\}$ , where  $RE = R_U \oplus h(ID_i || PW_i)$ ,  $UR = US \oplus h(ID_i || RPW_i)$ ,  $RPW_i = h(PW_i || R_U)$ ,  $SE = TS_i \oplus h(US || ID_i)$ ,  $UV = h(ID_i || US || RPW_i) \oplus TS_i$ ,  $US = h(ID_i || X)$ .  
480 For  $RE$ , the adversary has no idea of user's  $ID_i$  and  $PW_i$ , so he cannot retrieve  $R_U$ . For  $UR$ , it is difficult for the adversary to compute  $RPW_i$  without knowing  $PW_i$  and  $R_U$ . Therefore, the adversary cannot restore  $US$  by  $UR \oplus h(ID_i || RPW_i)$ . For  $SE$  and  $UV$ , as the results of one-way hash function, the adversary gets no information from  $h(US || ID_i)$  and  $h(ID_i || US || RPW_i)$   
485 although  $TS_i$  is exposed in the channel. Furthermore, the adversary cannot compute  $US$  since  $X$  is only secretly known by  $GWN$ . Thus, the stolen smart card attack does not exist in our protocol.

#### 5.1.6. Resisting Impersonation Attack

Impersonation attack means that an adversary acts as a legitimate user, gateway or sensor node by using the information in the smart card or the  
490 communication message intercepted on the public channel. In our protocol,  $US, ID_i, ID_j$ , and  $R_U$  are the necessary parameters to generate the access request  $M_1, M_2, T_1, TS_i$ . According to Section 5.1.1, the adversary cannot get  $ID_i$  and  $US$  through the access request without knowing the master key  $X$ .

495 Therefore, the adversary cannot get  $R_U$  and  $ID_j$  by computing  $(ID_j||R_U) = M_1 \oplus h(US||ID_i||TS_i)$  without knowing  $ID_i$  and  $US$ . According to Section 5.1.5, the adversary cannot obtain  $ID_i$  and  $PW_i$  even if he has the legitimate user's smart card. Therefore, our protocol can prevent adversaries from impersonating users.

500 Besides, the master key  $X$  of  $GWN$  and the secret value  $SV_j$  shared between  $GWN$  and  $N_j$  are essential information to generate communication messages. Any adversary cannot impersonate as the gateway and sensor nodes since he does not know  $X$  and  $SV_j$ .

#### 5.1.7. Resisting Off-line Password Guessing Attack

505 Off-line password guessing attack means that an adversary can access gateway by guessing the password of a legitimate user. The adversary can guess the user's password with the help of the access request sent by the user or the data stored in the smart card. In our protocol, the access request  $\{M_1, M_2, T_1, TS_i\}$  does not contain any information about the password, so the adversary cannot  
510 guess the user's password through this way. Assuming that the adversary gets a smart card of a legitimate user  $U_i$  and guesses that  $U_i$ 's identity is  $ID^* \in \{0, 1\}^n$  and password is  $PW^* \in \{0, 1\}^n$ , the probability of  $ID^* = ID_i$  and  $PW^* = PW_i$  is  $\frac{1}{2^{2n}}$ , which is negligible. Therefore, the protocol can prevent off-line password guessing attack.

#### 515 5.1.8. Resisting Insider Attack

Insider attack means that the internal attacker obtains legitimate user's identity or password by using the obtained registration information. In the registration phase, the user  $U_i$  just sends  $\{ID_{SC}, RPW_i = h(PW_i||R_U), REG_i = ID_i \oplus h(R_{SC}||RPW_i)\}$  to  $GWN$  instead of directly transmitting  $ID_i$  and  $PW_i$ .  
520 The insiders of  $GWN$  cannot obtain  $U_i$ 's  $ID_i$  and  $PW_i$  because of the irreversibility of  $h()$  and the randomness of  $R_U$ , so the protocol can resist insider attacks.

## 5.2. Overhead Analysis

In this section, we compare the performance of the AAKA protocol with  
525 other related works in terms of computational, communication, and storage  
overhead. We only compare the overheads of identity authentication and key  
agreement phase since other phases are executed less frequently.

### 5.2.1. Computational Overhead

To facilitate the evaluation of computational costs,  $T_h$  and  $T_e$  are defined as  
530 the time cost of a hash function operation and an ECC point multiplication, re-  
spectively, where  $T_e \approx 0.442$  and  $T_h \approx 0.0004ms$  [26]. Table 5 provides the per-  
formance comparison of the AAKA protocol along with other related protocols.  
As shown in Table 5, Li's protocol has higher computational overhead because  
of using elliptic curve point multiplication; while the remaining three protocols  
535 have lower computational overhead since they only use the hash functions and  
XOR operations. Compared with the elliptic curve encryption algorithm, hash  
function and XOR encryption algorithm can achieve similar functions in less  
execution time. Therefore, lightweight encryption algorithms should be used  
to reduce the computational overhead of sensor nodes in resource-constrained  
540 wireless sensor networks.

Table 5: Computational overhead

Protocols	$U_i$	$GW N$	$N_j$	Toal overhead ( $ms$ )
Li et al.[17]	$8T_h + 2T_e$	$9T_h + 1T_e$	$4T_h$	1.3344
Devender et al.[19]	$8T_h$	$8T_h$	$4T_h$	0.0080
Zhang et al.[21]	$8T_h$	$7T_h$	$4T_h$	0.0076
AAKA protocol	$13T_h$	$8T_h$	$3T_h$	0.0096

### 5.2.2. Communication Overhead

The communication overhead refers to the sum of bits transmitted by users,  
gateway, and sensor nodes in the identity authentication and key agreement



phase. For convenience, we assume that the lengths of identity, password,  
545 timestamp, secret value, random numbers, and the output of hash functions  
are 128 bits, and the elliptic curve point's length is 160 bits. Table 6 lists the  
communication overhead of AAKA protocol along with other related protocols.  
As shown in Table 6, the total communication overhead in [17], [19], [21], and  
AAKA protocol are 1856 bits, 1536 bits, 2560 bits, and 1792 bits, respectively.  
550 Evidently, the AAKA's communication overhead is lower than that of Zhang et  
al. and Li et al. Compared with the protocol in [19], although the communica-  
tion overhead of AAKA protocol is slightly higher, the sensor node of AAKA  
protocol has a lower computational overhead.

Table 6: Communication overhead

Protocols	$U_i$		$GW_N$		$N_j$		Toal overhead ( <i>bits</i> )
	Send	Receive	Send	Receive	Send	Receive	
Li et al.[17]	704	384	896	256	256	512	1856
Devender et al.[19]	512	384	768	768	256	384	1536
Zhang et al.[21]	640	896	1152	1024	768	640	2560
AAKA protocol	512	512	896	896	384	384	1792

### 5.2.3. Storage Overhead

555 Table 7 shows the storage overhead of the AAKA protocol along with other  
related protocols. As shown in Table 7, the total storage overhead in [17], [19],  
[21], and AAKA protocol are 1920 bits, 1408 bits, 1280 bits, and 1408 bits,  
respectively. Obviously, the AAKA and Devender's protocol have the same  
storage overhead, and lower than that of Li's. Compared with the literature  
560 [21], although the storage overhead of the AAKA protocol is slightly higher, it  
has a lower communication overhead.

### 5.2.4. Performance Comparisons

Figure 6 shows the comprehensive comparison of the four protocols in terms  
of computational, communication, and storage overhead. The closer the coordi-  
565 nate of the protocol is to the origin (0,0,0), the smaller the protocol overhead

Table 7: Storage overhead

Protocols	$SC$	$GWN$	$N_j$	Toal overhead ( <i>bits</i> )
Li et al.[17]	960	704	256	1920
Devender et al.[19]	640	512	256	1408
Zhang et al.[21]	512	384	384	1280
AAKA protocol	512	640	256	1408

and the better the overall performance. As shown in Figure 6, the computational and storage overhead of the AAKA protocol is similar to that proposed by Devender et al., but the communication overhead is slightly higher than that of Devender. The protocols proposed by Li et al. have significantly higher costs in computation, storage, and communication. Although the storage and computation overhead of the protocol proposed by Zhang et al. is low, the communication overhead is the highest. Generally speaking, the AAKA protocol has relatively good performance in balancing computation, storage, and communication overhead, and meets the low-cost requirements of WSNs. Therefore, the AAKA protocol is more suitable for WSNs.

## 6. Conclusion

To address the problems of identity counterfeiting and the security risks of transmitting data over public channels, we designed an Anonymous Authentication and Key Agreement protocol (AAKA). The AAKA protocol consists of five phases, namely network setup, registration, pre-authentication, anonymous authentication and key agreement, and password update. Based on dynamic sequences, shared secret values, and dynamic random numbers, the AAKA protocol enables bidirectional authentication and session key negotiation between users, gateways, and sensor nodes. Furthermore, we proved the AAKA's security using BAN logic. Performance analysis shows that our protocol can resist most current known attacks and obtains multiple security attributes. Compared with other authentication protocols, the AAKA protocol has lower computation,

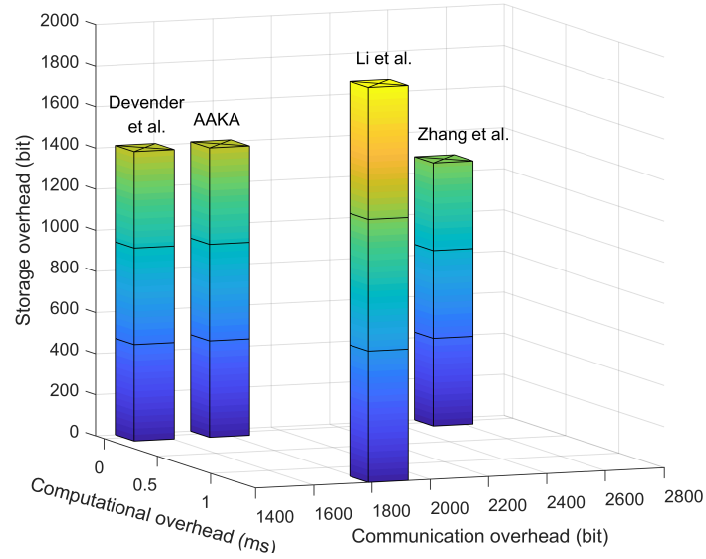


Figure 6: Performance comparison of four protocols

storage, and communication overhead besides the premise of security. Therefore, the AAKA protocol is suitable for secure access control and confidential data transmission in WSNs.

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