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# 15 Abstract

Salmonid populations are widely distributed globally and are of economic, cultural and 16 ecological importance. Evidence suggests that they are in decline in many parts of the 17 18 world and one of a number of hypotheses for their decline is the degradation of spawning habitat. Knowledge of spawning sites and their evolution through time is a 19 20 means of estimating regional population dynamics and sizes. Traditionally, spawning 21 sites have been identified visually. However, this may not allow a precise quantification 22 of the real extent of salmonid reproduction and of its evolution through time (i.e. within the spawning season). This paper develops a framework for using small Unmanned 23 24 Aircraft Systems (sUASs) and Structure from Motion (SfM) photogrammetry to detect 25 salmonid redds, the nests that are the distinctive footprint of spawning, through 26 analysis of inter-epoch Digital Elevation Models (i.e. DEMs of Difference). SfM-derived 27 DEMs of Difference are an effective tool to investigate spawning because of the distinctive ellipsoidal erosion-deposition pattern of salmonid redds, which discriminates 28 them from other stream-bed elevation changes. The method detects more redds (e.g. 29 30 those covered by algae or biofilm) compared with classical visual observation, allowing for a better and more rigorous detection of spawning grounds. SfM photogrammetry 31 32 also provides additional information relevant to understanding salmon spawning, 33 including redd-density and probable female lengths, without disturbance of the 34 spawning sites.

Key words: sUAS; Structure-from-Motion (SfM); Digital Elevation Model (DEM); DEM
 of Difference (DoD); Salmonid spawning; Redd

#### 38 **1. Introduction**

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Salmonid populations are widely distributed globally (Elliot, 1994; Crisp, 2000) and are 40 of economic, ecological and cultural importance (Crisp, 2000), in particular the genera 41 42 Salmo (e.g. brown trout or Atlantic salmon) and Oncorhynchus (e.g. rainbow trout or Pacific salmons). For example, the Pacific Northwest ecosystem relies on salmon 43 44 migration for direct food provision (e.g. bears, eagles, etc.) and soil fertilization (Quinn, 2005), meaning that the hypothetical disappearance of salmonids might trigger serious 45 negative effects. The preservation of salmonid populations is fundamental to maintain 46 their ecological, economic and cultural roles in the various ecosystems they inhabit. 47

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Salmonid populations are reported as being at risk for a range of reasons across the 49 50 northern hemisphere, including Switzerland (Borsuk et al., 2006; Zimmerli et al., 2007), the British Isles (Hendry, 2003) and the Pacific Northwest (Bradford and Irvine, 2000; 51 Simenstad and Cordell, 2000; Quinn, 2005; Bisson et al. 2009). These risks relate to 52 53 a range of drivers, including climate change, over-fishing, water quality and habitat degradation (Crisp, 2000). One of many hypotheses for population decline (Kondolf et 54 al., 2008) is the degradation of spawning grounds. However, there are fewer data on 55 the presence and number of spawning sites and their changes through time even 56 though such data are likely to contribute to understanding and managing regional 57 population dynamics (Rieman and McIntyre, 1996; Gallagher and Gallagher, 2005; 58 Murdoch et al., 2010; Howell and Sankovich, 2012). 59

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The most common method for detecting and counting salmonid spawning sites remains walking along river banks during the spawning season and making visual observations of the nests, redds, made by salmonids (e.g. Crisp and Carling, 1989; Gallagher and Gallagher, 2005; Riedl and Peter, 2013). This approach has some weaknesses. First, it is influenced by the experience of researchers (Dunham et al., 2001; Muhlfeld et al., 2006; Howell and Sankovich, 2012) and their ability to detect the signatures of redd morphology and sedimentology in riverbeds. Second, redds may be
masked soon after their construction by sediments, periphyton and algae making them
difficult to observe (Rieman and McIntyre, 1996; Maxell, 1999; Dunham et al., 2001).

71 Third, significant time is required to do such survey and many hundreds of meters of 72 river bank may need to be walked to identify spawning at a meaningful spatial scale. 73 the river reach, and ultimately the river-basin. At the river scale, redds are rarely 74 concentrated in just one specific location; i.e. some can be upstream, other downstream, and the longer the length of river covered, the more accurate the redd 75 count is likely to be (Rieman and McIntyre, 1996). Then, measurements may be 76 77 needed in the same river several times during the spawning season, which may last 78 for many weeks, to avoid bias in redd counts (Dunham et al., 2001). While the potential 79 duration of the spawning season is commonly known, it is impossible to know exactly 80 when a fish will spawn in the river being investigated; and redds may be progressively harder to see with time after their construction (Rieman and McIntyre, 1996; Gallagher 81 82 and Gallagher, 2005), making a single end of season survey less rereliable. For these reasons, methods that facilitate more rapid redd counting over large areas may be of 83 84 interest to the salmonid ecology community.

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86 Developments in remote sensing technologies (Lejot et al. 2007; Westoby et al., 2012; Micheletti et al., 2015a; Micheletti et al., 2015b) provide an opportunity to improve 87 88 current redd identification and counting methods. Some attempts at salmon redd counting have been made using unmanned aircraft systems (Groves et al., 2016). In 89 90 Groves et al. (2016) use of UASs allowed visual interpretation of images to replace 91 visual identification by walkover (Groves et al., 2016). Here, we go one-step further by 92 showing how it is possible to detect redds using digital elevation data acquired using small Unmanned Aircraft Systems (sUASs) and automated image processing 93 94 methods.

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Recent research in fluvial remote sensing (Tamminga et al., 2015; Woodget et al.,
2015; Dietrich, 2016; Marteau et al., 2017) has shown that the morphology of shallowwater streams can be quantified using a combination of sUASs and Structure-fromMotion (SfM) photogrammetry, the latter used to extract three-dimensional
morphological data (Digital Elevation Models or DEMs). By comparing DEMs through

time (DEMs of difference), changes can be detected. As redds have a distinctive
morphological signature, an ellipsoidal shape characterized by a depression upstream
and an accumulation downstream (e.g. Crisp and Carling, 1989), DEMs of Difference
(DoD) could be a means of quantifying the presence of redds.

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106 The principal aim of this research is to develop a framework for the use of sUASs in 107 detecting salmonid redds using photogrammetrically-based processing of acquired 108 images. Redds need to be counted (Rieman and McIntrye, 1996), and this new 109 framework should be able to do it in an easier and much more accurate way, as well as for larger spatial and temporal scales. A second and subsidiary aim is to show that 110 such information can be used to enhance our knowledge of salmonid spawning 111 112 processes. This information includes knowledge of redd densities, redd locations on the riverbed, possible female lengths, timing of spawning and egg burial depths, 113 elements crucial for fish biology (Crisp and Carling, 1989). A key advantage here is 114 that it may be possible to acquire data without entering the water and so avoiding redd 115 116 disturbance (e.g. movement of fines, etc.). The focus of the work are the redds of Salmo trutta, or brown trout, which is naturally present in the southern Switzerland. 117 118 However, the framework is extendible to other salmonid species given that all build 119 nests with a particular morphological signature.

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The paper begins by detailing the information that our framework should be capable of providing in relation to salmonid redds. Then we present an overarching framework for this kind of approach, making reference to established research on how to produce precise, high resolution 3D data on streambed morphology and its change through time. We detail the methodology that we used to test this framework for brown trout redds. The results are presented for the case-study and discussed in the final sections of the paper.

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# 129 **2.** Spawning of brown trout and the structure of salmonid redds

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In order to understand the methodology proposed in this research, it is necessary to review briefly our current understanding of brown trout spawning and the structure of salmonid redds. The former identifies when sampling is needed and the latter provides critical information on the target precision and resolution of acquired data, and hence the required design of the image surveys. Such analyses would need to be undertakenfor the target salmonid population if not brown trout.

### 137 2.1 Timing, spawning and construction of the redd

Spawning of brown trout takes place each year, generally from autumn to late winter 138 139 in the northern hemisphere (Armstrong et al., 2003). Swiss populations of brown trout tend to spawn from October to January (Riedl and Peter, 2013). Spawning time 140 depends on altitude (Riedl and Peter, 2013) and mean water temperature (Heggberget 141 et al., 1988; Webb and McLay, 1996; Klemetsen et al., 2003). Consequently trout 142 spawning occurs earlier at higher altitudes (Riedl and Peter, 2013). Reproduction 143 144 involves two main stages: (1) search for the ideal site and erosion of the streambed by a female trout; and (2) deposition, fertilization and burial of eggs. 145

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In the first stage, the female trout searches for a site where conditions for spawning 147 are apparently present (Crisp and Carling, 1989; Crisp, 2000), and these conditions 148 are a function of water velocity, water depth and grain-size (Armstrong et al., 2003). 149 150 Additional parameters shown to be important include the ease of disturbance of the substratum (Kondolf, 2000) and the presence of a local down- or up-welling of flow 151 (Burner, 1951; Healey, 1991). In order to check the conditions, the female erodes the 152 153 streambed with tail fin motions, creating a depression called a pit, displacing the grains just downstream (Crisp and Carling, 1989; Crisp, 2000). In this way, the female trout 154 can check local conditions and evaluate their suitability (Crisp, 2000). While the female 155 erodes the streambed, one (normally the dominant) or more males stay in the proximity 156 157 of the female waiting to fertilize the eggs (Crisp and Carling, 1989; Elliot, 1994; Crisp, 158 2000). If the conditions remain suitable after the initial erosion, the female increases 159 the erosion (Crisp and Carling, 1989) and the second stage begins.

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At the beginning of the second stage, the female continues to erode the streambed more and more frequently while the dominant male drives away the beta males (Crisp and Carling, 1989; Crisp, 2000). At that point, the female lays the eggs while the male fertilizes them (Elliot, 1994; Crisp, 2000). The female then buries them with sediments created by erosion of the surrounding streambed (Crisp and Carling, 1989). This process may be repeated several times in the same spawning process, creating more pockets of eggs in the same redd (Crisp and Carling, 1989; Crisp, 2000). During the
final burial stage, the female continues to cut the streambed in order to complete burial
but with a low frequency, while the males move away (Crisp and Carling, 1989). The
result is a salmonid redd created on the streambed.

171

# 172 2.2 Redd structure

173 A salmonid redd is recognizable (Crisp and Carling, 1989) by its ellipsoidal shape, with 174 a depression upstream, called a pit, and an accumulation downstream, called a tail (Figure 1). The tail volume is related to the volume of the pit because it results entirely 175 from the displacement of the grains from the pit. The redd size is related to the size of 176 177 the female trout (Crisp and Carling, 1989) and the dimension increases with the 178 increment of female length: the redd size is approximately 3.5 times longer than the 179 female length (Crisp, 2000). Following Crisp and Carling (1989) it is possible to estimate redd length from female length (and vice versa) in a more rigorous approach 180 using different parameters (see Section 4.6). In the same way, it is possible to estimate 181 182 other horizontal dimensions (e.g. pit and tail width). In the literature there is no evidence regarding the estimation of the vertical dimensions, such as pit depth and tail height. 183 However, Grost et al. (1991) found that pit depths normally range between 0.07 to 0.34 184 m while tail heights vary between 0.03 and 0.25 m. 185

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# **3. Development and justification of a framework for redd detection**

193 3.1 Image acquisition platforms

The imagery needed for survey needs to be near nadir because of refraction at the water surface and available at the scale of 100s of meters to kilometers. This requires an airborne image acquisition platform. Helicopters are a solution (e.g. Carbonneau et al., 2004, 2005; Bergeron and Carbonneau, 2012; Dietrich, 2016), but are expensive, prohibiting repeat data acquisition. The emergence of small UASs in science provides an alternative, low-cost and flexible method to acquire images (Lejot et al., 2007; Carbonneau et al., 2012; Groves et al., 2016).

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#### 202 3.2 Extraction of elevation data

203 SfM photogrammetry has emerged in river research (e.g. Tamminga et al., 2015; 204 Woodget et al., 2015), as an alternative to classical digital photogrammetry (e.g. Lane 205 et al., 2000) as it is rapid, automated, low-cost and easy to use by non-experts 206 (Fonstad et al., 2013; Woodget et al., 2015). If correctly applied it can produce high 207 quality DEMs (e.g. Westoby et al., 2012; Fonstad et al., 2013), of a similar quality to 208 those produced by airborne LiDAR (Fonstad et al., 2013).

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As with classical digital photogrammetry, SfM produces 3D data from overlapping 210 images (Westoby et al., 2012; Fonstad et al., 2013). However, unlike traditional aerial 211 212 photogrammetry, where images overlap in parallel strips captured from parallel flights 213 (Fonstad et al., 2013), SfM photogrammetry can use overlapping images captured 214 from any given point of view (Westoby et al., 2012; Fonstad et al., 2013). As with terrestrial photogrammetry (Lane et al., 1994), oblique imagery can be used, but such 215 216 imagery can be problematic for river research as it increases the probability of reflection at the water surface due to refraction. SfM facilitates processing by using 217 218 machine vision methods which can produce good results even with very low-grade 219 quality sensors such as smartphones (e.g. Micheletti et al., 2015b).

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As with classical photogrammetry SfM uses the collinearity equations to describe the three-dimensional relationship between the sensor position and orientation and the ground surface (Fonstad et al., 2013; Woodget et al., 2015). In traditional photogrammetry the collinearity equations are solved in what is called a bundle adjustment after the introduction of Ground Control Points (GCPs). In SfM photogrammetry these equations can be solved without GCPs and the derived data scaled from an arbitrary to an actual coordinate system later (Fonstad et al., 2013). Introducing GCPs after a preliminary bundle adjustment, to aide GCP measurement on imagery, and then re-running the bundle adjustment may improve solution of the collinearity equations (James et al., 2017a). After solution, machine vision methods are used to identify conjugate points (the same point visible on at least two images) and through application of the collinearity equations determines coordinates of those points. Typically, the point clouds that result have an average spacing that is 3 to 5 times the image pixel resolution.

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# 236 3.3 Theoretical precision and flying height

The design of image acquisition has to be based upon the target measurement 237 precision for the elevation data needed to detect redds. The size of redds is likely to 238 vary as a function of female lengths (Bjorn and Reiser, 1991), as well as of species: 239 240 for example, brown trout (Salmo trutta) redds are smaller than those of Chinook salmons (Oncorhynchus tshawytscha) (see Reiser and Wesche, 1977; Neilson and 241 Banford, 1983). This size should be used to inform survey design. In principle, to 242 reduce data acquisition and processing times, imagery should be flown with as coarse 243 a resolution as possible given the surface changes that are to be detected. Under the 244 assumption that errors in Digital Elevation Model (DEM) are random. Gaussian and 245 independent, a change in elevation between two dates has an uncertainty (U<sub>crit</sub>) 246 247 defined by:

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- 249

$$U_{crit} = \pm t \sqrt{(\sigma_i)^2 + (\sigma_j)^2}$$
 [1]

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where t is set for a given confidence interval, here taken as 95% (so t = 1.96) and  $\sigma_i$ 251 and  $\sigma_i$  are the precisions of elevation of the two analysed DEMs (e.g. Lane et al., 2003). 252 In classical photogrammetry, it is well established that the theoretical precision of DEM 253 254 elevations is approximately the same as the image resolution R (e.g. Lane et al., 2010). Research suggests that in SfM photogrammetry, this may be downgraded to be about 255 10% higher but also spatially variable reflecting the difficulties that SfM has in 256 257 recovering camera geometry precisely (James et al., 2017b). Here, we use R as the elevation precision noting that achieving this value of *R* requires careful attention to be 258 given to image acquisition geometry and that H in [5] below should be set as a 259

| 260 | maximum given that precision           | n may be degraded in wh   | en using sUASs with SfM             |
|-----|--|---|-------------------------------------|
| 261 | photogrammetry.                        |   |                                     |
| 262 |  |   |                                     |
| 263 | Under the assumption that the          | images are both acquired v  | vith the same study design:         |
| 264 |  |   |                                     |
| 265 |  | $U_{crit} = \pm 1.96\sqrt{2R^2}$  | [2]                                 |
| 266 |  |   |                                     |
| 267 | Thus, the image resolution req         | uired to detect change is:  |                                     |
| 268 |  |   |                                     |
| 269 |  | $R = \left[0.5 \left(\frac{U_{\rm crit}}{1.96}\right)^2\right]^{0.5}$                               | [3]                                 |
| 270 |  |   |                                     |
| 271 | R can be defined approximatel          | y through:  |                                     |
| 272 |  |   |                                     |
| 273 |  | $\frac{p}{f} = \frac{R}{H}$   | [4]                                 |
| 274 |  |   |                                     |
| 275 | where <i>p</i> is the sensor pixel res | olution, <i>f</i> is the sensor foca  | I length and <i>H</i> is the sensor |
| 276 | flying height. Thus, we can de         | termine the <i>H</i> needed in th   | e first flight path to obtain a     |
| 277 | given U <sub>crit</sub> as:            |   |                                     |
| 278 |  |   |                                     |
| 279 |  | $H < \frac{f}{p} \left[ 0.5 \left( \frac{\mathrm{U}_{\mathrm{crit}}}{1.96} \right)^2 \right]^{0.5}$ | [5]                                 |

Use of [5] should be accompanied with visual inspection of acquired imagery to make sure that with this value of H there is the texture in the acquired imagery needed for the machine vision methods to work. Note that [5] implies that the derived precision is not simply a function of the flying height, but is also impacted by f and p. As technology progresses (and p in particular falls), use of [5] should remain robust unlike use of simple multiples of flying height alone.

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# 288 3.4 Image acquisition geometry

289 Whilst [5] may determine the minimum flying height needed for change detection, this 290 does not deal with the potential problem of systematic error in DEM surfaces which 291 can arrive due to uncertainties in sensor position and orientation (e.g. Lane et al., 2004) as well as poorly reconstructed sensor geometry. The latter has been found to be a 292 particular issue with small UASs as these tend to use low grade sensors with high 293 levels of distortion and lead to artefacts in derived DEMs such as doming (Fonstad et 294 al., 2013; James and Robson, 2014; Carbonneau and Dietrich, 2017). As shown by 295 Wackrow and Chandler (2011), James and Robson (2014) and Carbonneau and 296 297 Dietrich (2017), such errors may be reduced through careful design of flight paths, to 298 include convergent images, multiple flight altitudes and a high degree of image overlap. 299 The basic principle here is to reproduce the kind of geometries long-used for calibration of non-metric cameras in photogrammetry (e.g. Robson, 1992). An example is shown 300 301 in Figure 2, which combines at least two flying heights with some off-nadir imagery and 302 two differently oriented flight paths (as not all geometrical distortions in the sensor are 303 symmetrical) and which lead to convergent views. A minimum overlap of 70% is required for photogrammetric analysis but increasing the level of overlap to as high as 304 305 90% may improve calibration. Finally, the sUAS flight velocity should not be so high that it introduces image blurring, which results from forward speeds that are too high 306 307 for a given exposure time.

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Figure 2: Flight strategy following Wackrow and Chandler (2011), James and Robson (2014) and Carbonneau and Dietrich (2017). A) Frontal view of two single acquisitions points where H = flight altitude of the 1<sup>st</sup> flight and 1.4 x H = flight altitude of the 2<sup>nd</sup> flight; B) Upper view of the flight path grid at H; C) Upper view of the flight path grid at 1.4 x H.

### 315 3.5 Ground control points

Early applications of SfM photogrammetry claimed that it may eliminate the need for 316 317 Ground Control Points (GCPs) to be installed prior to image acquisition and that only a small number would then be needed for addition a posteriori if absolute orientation, 318 position and scale were required (Fonstad et al., 2013). However, it has been shown 319 that carefully located GCPs can improve solution of the collinearity equations so 320 reducing systematic error (James and Robson, 2014) and improve morphological 321 322 change detection (e.g. Woodget et al., 2015). For orientation, position and scale, at least 3 GCPs are needed (e.g. Woodget et al., 2015) but more may help to avoid 323 erroneous data transformations (Westoby et al., 2012) and ultimately to reduce 324 systematic error (James et al., 2017a) (Figure 3). 325

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Figure 3: Systematic error may not be reduced with poorly-placed GCPs. In 3A, GCPs are located only on one side of the river creating a non-regular tilt effect of  $\Delta Z$ =0+x (where x is the difference between the real elevation and DEM elevation for the same spatial point) on the other side. In 3B, GCPs are located in the centre of the study area creating a non-regular tilt effect of  $\Delta Z$ =0+x on the both sides of the area (similar to doming effect). In 3C, GCPs are scattered across the study area better constrainingthe results and reducing the level of systematic error.

### 335 3.6 Post-processing: bathymetric correction

336 A crucial stage in automated redd detection using DEMs is the correction for the effects 337 of refraction at the air-water interface. This is a well-established problem in river studies 338 (e.g. Fryer, 1983; Fryer and Kniest, 1985; Westaway et al., 2000, 2001; Butler et al., 339 2001; Dietrich, 2017). The magnitude of refraction changes as a function of flow depth, 340 and hence discharge, and so if data are collected on two different dates, with different discharges, the effect has to be removed from both datasets for true changes to be 341 342 detected. Tamminga et al. (2015) and Woodget et al. (2015) used a simple procedure to correct submerged areas of DEMs based on SfM photogrammetry with near-nadir 343 imagery. The principle follows Westaway et al. (2000): an uncorrected DEM is 344 345 subtracted from the water surface elevations, the result is multiplied by the refractive 346 index of clear water and then the new result is subtracted again from prior water surface elevations in order to produce a corrected DEM. Following the more complex 347 approach of Westaway et al. (2001), Dietrich (2017) developed this approach for SfM 348 349 photogrammetry (Dietrich, 2017). Dietrich's approach is based on the correction of each wet-point in the point cloud by solving the refraction equations for each camera 350 351 that sees the wet-point itself and by then iterating the equations to convergence. This 352 per-camera and iterative approach is needed because each wet-point may be seen 353 from more than two images, each with different flight altitudes and camera angles.

354

### 355 3.7 Redd detection: from visual detection to morphological change detection

356 Switching from visual to morphological analysis needs two issues to be addressed. The first requires removal of any residual systematic error in the derived DEMs that 357 358 has not been minimized through the chosen image geometry. Such error may be 359 manifest in validation data, or when two DEMs are compared and zones of no known 360 change show apparent erosion or deposition. As such error is systematic, it can 361 normally be modeled (e.g. using a two dimensional, non-linear fit to data that should 362 show no change) and then removed. The second is addressed after removal of systematic error and involves quantifying the probable limits of detection (Brasington 363 et al., 2000; Fuller et al., 2003; Lane et al., 2003; Wheaton et al., 2010; Milan et al., 364 365 2011) in the data. The simplest approach uses [1] but replaces the theoretical

366 precisions with some measure of the actual elevation precisions achieved, under the assumption that the errors are Gaussian, random and pairwise independent (Lane et 367 al., 2003; Fisher and Tate, 2006). Such thresholding may be harsh to datasets, 368 especially where changes are small in magnitude but spatially extensive and coherent 369 370 (Wheaton et al., 2010). To assess the quality of morphological detection, orthoimages may also be used. Redds visible in the orthoimages (i.e. those that are not masked) 371 should also be reflected in the DoD. If this is not the case, the DoD quality should be 372 373 questioned, and it may be concluded that the vertical precision of one or both of the 374 derived DEMs was not sufficient.

## 376 4. Materials and methods

377

### 378 4.1 Case study

We applied and tested the above framework for a Swiss stream, the Breggia, that flows 379 through the southern part of Canton Ticino (Figure 4). The Swiss part of the Breggia 380 has a catchment area of c. 81 km<sup>2</sup>. The study site (Figure 4) is located northeast of 381 382 Chiasso, in the lower part of Breggia, c. 3 km from Lake Como. Here, the Breggia flows 383 through an urban area and its channel has been straightened, with concrete banks. We chose this small reach (~70 m) mostly for three reasons: i) it is known to be a 384 potentially important reach of the Breggia for spawning; ii) the small size compared 385 with other upstream reaches allows us to focus upon testing the processing and post-386 387 processing phases, even though the method may be extendable to larger spatial scales compared with those used here; and iii) reach depths did not exceed c. 0.45 m 388 389 (except for the deep pool upstream of the reach  $\overline{x}_{depth} = 0.48$  m;  $\tilde{x}_{depth} = 0.41$  m;  $\sigma_{depth}$  $= \pm 0.2$  m) leading to a more effective bathymetric correction. The ease of applying the 390 method over large scales may be limited by regulations regarding drone use, such as 391 those that require lone of sight to be maintained. 392

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Figure 4: On the left, localization map of the studied zone (©swisstopo). On the right, orthomoisaic of the zone with boundaries of the focused area of interest. All of our further analysis (e.g. DoD) will be performed only on the focused area.

We surveyed the reach 8 times during the spawning season between Oct. 7<sup>th</sup> and Dec. 30<sup>th</sup> 2017 (Oct. 7<sup>th</sup>, Oct. 20<sup>th</sup>, Oct. 28<sup>th</sup>, Nov. 11<sup>th</sup>, Nov. 19<sup>th</sup>, Dec. 2<sup>nd</sup>, Dec. 10<sup>th</sup>, Dec. 23<sup>rd</sup> and Dec. 30<sup>th</sup>), with a mean time interval between survey dates of 9.5 days. Here
we present data for three dates (Nov. 19<sup>th</sup>, Dec. 2<sup>nd</sup> and Dec. 10<sup>th</sup>): we did not identify
redds before Nov. 19<sup>th</sup> and, in the same way, we did not identify redds after Dec. 10<sup>th</sup>,
although this was partly due to important sediment accumulation in the reach between
Dec. 10<sup>th</sup> and 23<sup>rd</sup> caused by in-stream works in the upper Breggia.

405

### 406 4.2 Image and GCP acquisition

We acquired images with a DJI Phantom 3Pro quadcopter. This drone is low cost, easy to use, lightweight, easy to transport and it has a built in GPS. Software is available to aid flight planning which is necessary to make sure that imagery has the required resolution, coverage and geometry.

411

412 We planned flight paths with Pix4Dcapture (Pix4D, 2017a). We set U<sub>crit</sub> of ±0.024 m on the basis of expected bed level changes due to redd construction by brown trout, that 413 414 generally are bigger than 0.03 m (Grost et al., 1991). This corresponds to a flight altitude of ~20 m with a Phantom 3Pro. We flew two flights paths at this altitude and 415 416 two additional flight paths at 30 m. To allow for convergent images, we oriented all four flight paths orthogonally, and set the second of the two flight paths to have an off nadir 417 view (see Figure 3). We emphasise that an off nadir view does not necessarily imply 418 419 convergent imagery. With the drone control software we were using, the drone turns 420 through 180° at the end of each flight line and this allowed us to acquire imagery with 421 different view angles.

422

We painted GCPs on stable zones using a biodegradable white paint, with a small red 423 424 dot as a permanent marker. The white cross disappeared between surveys but the red 425 dot remained so we could repaint the GCPs in subsequent visits. We painted 5 GCPs and measured using a Trimble R10 dGPS. We installed a permanent base in case we 426 needed to remeasure GCPs. No reoccupation was needed as the 5 GCPs were all 427 detectable on later visits. We then processed the data to the Swiss CH1903+ 428 429 coordinate system. Note that with the use of only 5 GCPs our survey design may not remove doming; rather it is improving the precision with which the point derived clouds 430 431 are registered to the ground. For this reason, below, we discuss the additional systematic error removal that was needed. Collecting more ground control points was 432

a challenge because we did not have permission to survey the Italian side of the river.
Similarly, we did not acquire in-water validation points to assess submerged DEM
quality because this would have required crossing an international frontier to obtain
data, which would have also needed additional permissions. As we note below, such
data may be valuable for validating DEMs, but are not necessarily suitable for
validating DEMs of difference.

439

# 440 4.3 SfM photogrammetric processing

We used Pix4Dmapper (Pix4D, 2017b) for the SfM photogrammetry. In an initial step, 441 conjugate features were identified by the software across multiple images and to 442 provide a provisional calibration of internal (i.e. focal length, principal point offsets, lens 443 distortion) and external (i.e. image position and orientation) camera parameters. We 444 445 then generated an initial sparse point cloud was generated and an orthoimage, which we used to help to insert GCPs before we re-ran the calibration. In the second step, 446 the sparse point cloud was densified by the software to obtain a dense point cloud. In 447 the third step we interpolated the DEM to ~0.043m resolution and produced an 448 orthoimage free of perspective distortions (~0.008m resolution). An important by-449 product of all steps, but notably Step 1, is a quality report, which we used to assess 450 451 the fidelity of the photogrammetric solution.

452

### 453 *4.4 Bathymetric correction*

454 To deal with water refraction, we applied Dietrich's (2017) bathymetric correction method. First, we modeled the water surface from water edge data using kriging in a 455 456 GIS framework. Second, we used the associated water surface to identify DEM 457 elevations that were inundated. These were exported. Data on the relative positions 458 and calibration of each image were exported from Pix4D. Each DEM point was then 459 corrected. The only parameter that this analysis requires is the refractive index of 460 water, which is here taken as 1.337 (Harvey et al., 1998). We then recombined them with the elevations from non-inundated zones in the GIS to produce a corrected DEM. 461

462

# 463 *4.5 DEMs of Difference*

464 We calculated DEMs of difference (DoDs). Initial visualization of the results suggested 465 that there was a residual systematic error, notably revealed by changes where there

466 should have been none (e.g. in large boulders that would not have moved between the dates of acquisition). This systematic error was caused by the absence of GCPs on 467 468 the right bank (Italy), and the consequent poor transformation to the co-ordinate system being used. Hence, the DEMs describing the channel were shifted compared 469 470 with the absolute coordinate system (Figure 3A). Additionally, the datum shift was 471 different between survey dates because the acquisition conditions (e.g. light) were 472 different during the surveys. Given the impossibility of registering all of the DEMs to an 473 absolute reference, we decided to register them to the channel portion of a reference 474 DEM which we took as the first DEM surveyed, before spawning began. Our changes are therefore with reference to this DEM. We used the freeware CloudCompare, to co-475 476 register the datasets to our reference DEM using an iterative closest point (ICP) 477 algorithm (see Besl and McKay, 1992). The principle of the ICP is to identify shapes in 478 one dataset and to register them onto those in the reference dataset by distance 479 minimization using a least squares method (Besl and McKay, 1992). As datum shift could lead to erroneous bathymetric correction, we applied the correction after the 480 481 bathymetric correction.

482

483 In order to calculate the actual DEM quality and hence the level of detection, we identified zones of no-known change (Figure 5) between the reference DEM and the 484 485 analyzed DEMs. These zones of no-known change were submerged boulders that did not move during the surveys. Ideally, independently-acquired validation points might 486 487 be used to do this. They could not be acquired in this case. Further, as it is the detected changes which we would want to validate, we would need to detect change at the same 488 489 points by reoccupying them. Given the scales of surface variability (a function of grain 490 size) and dGPS errors, such a dataset is likely to contain error and for this reason 491 assuming that our method should produce no change in zones of no change is 492 preferable. We compared the elevations and we calculated the standard deviations of 493 error needed for [1]. Hence, we used elevations extracted from the reference DEM as 494 they were independent variables to solve [1]. We explored the effects of both a 95% 495 level of detection (i.e. 1.96 in [1]) but also a 68% level of detection. To produce DoDs we used our reference DEM (Nov. 11<sup>th</sup>), which was the closest in time to the first 496 497 spawning event.

- 498
- 499

501 Figure 5: No-known change points (n=84). The points have been chosen by comparing 502 orthoimages and DEMs. Points could also be collected on the concrete bar, which is 503 immobile. However, water surface roughness created poor 3D reconstruction in this 504 region so reducing the reliability of points in this zone.

- 505
- 506

#### 507 *4.6 Data on redd characteristics*

508 We estimated female lengths and egg burial depths using data derived from both the 509 DoDs and orthomosaics. We measured the tail of each redd and we used in an 510 empirical relation to estimate female length and egg burial depth:

InT = blnL + lna

- 511
- 512

513

514 where L, the length of the female fish (cm), is defined by:

- 515
- 516

 $L = e^{\left(\frac{\ln a - \ln T}{b}\right)}$ [7]

517

T is the redd tail length (cm), and ln a and b are constants (for the genus *Salmo*: b =  $1.2 \pm 0.2$  and ln a =  $0.45 \pm 0.38$ ) (Crisp and Carling, 1989). From [7] we estimated the basal main egg pocket burial depth following Ottaway et al. (1981):

521

 $B_{depth} = c + dInL$  [8]

[6]

522 523

where B<sub>depth</sub> is the basal main egg pocket burial depth, c and d are constants 524 (c =  $-37.7 \pm 12.1$  and d =  $14 \pm 3.3$ ). We note that the semi-logarithmic relationship of 525 526 Ottaway et al. (1981) was performed on the fork length of the female trout and not on the total length as in Crisp and Carling (1989) in [7]. However, we assume (mainly from 527 photographs) that thr differences between the fork length and the total length of brown 528 trout are negligible (in the adult and mature life-stages). The use of Ottaway et al.'s 529 (1981) semi-logarithmic relationship instead of Crisp and Carling's (1989) linear 530 regression to estimate egg burial depth is pertinent because we can derive the main 531 basal depth and not the mean depth. In this sense, we are more interested in the 532 533 estimation of the basal depth to understand egg loss from washout or overcutting by later spawning trout, if we know the approximate lower location of the main egg pocket.
However, as noted by Crisp and Carling (1989), predictions of egg burial depths
derived by both equations are normally similar. That said, burial depth estimation
remains uncertain (Crisp and Carling, 1989; DeVries, 1997).

538

539 Measurements of redd tails were easier on the DoD due to a clear distinction between 540 the erosion and deposition zones. However, an estimation of redd tail sizes was also 541 possible from orthoimagery, even if this was more difficult and less precise than that 542 done on DoD. Even if tail lengths were similar between the two measurement ways, 543 we opted for the more rigorous DoD approach. We used as a starting point the first 544 evidence of deposition (near the pit) and as end point the last depositional evidence, 545 following the general angle of the redd itself.

546

547 We also noted that redds were constructed one on top of another and so it was also 548 possible to look at the extent to which redd construction was leading to the washout of 549 the eggs of previously constructed redds.

550

551 A summary of the key stages used here to detect redds and extract useful information

- is provided by Figure 6.
- 553





Figure 6: Summary of the methodological workflow and methods

## 556 **5. Results**

557

# 558 5.1 Illustration of data post-processing steps

559 Without prior correction of the datum shift and for underwater topography (Figure 7) 560 the DoD is of no use for change detection: the DoD suggests that erosion is dominant 561 in the all three situations which is not the case; although there appears to have been 562 some more localised change that might suggest that spawning has occurred (e.g. in 563 the bottom left of Figure 7A). Here, the datum shift is strongly negative, which means 564 that the Z-coordinates of our analysed DEMs are located at a lower spatial position 565 than the reference (Nov. 11<sup>th</sup>).

566

Figure 7: Raw DoD derived from original DEMs, therefore without bathymetry and
datum shift corrections. Sub-figure A shows the situation between Nov. 11<sup>th</sup> and Nov.
19<sup>th</sup>, sub-figure B between Nov. 11<sup>th</sup> and Dec. 2<sup>nd</sup> and sub-figure C between Nov. 11<sup>th</sup>
and Dec. 10<sup>th</sup>.

- 571 The DEMs of difference after datum shift correction (Figure 8) show that erosion is no
- 572 longer dominant, and erosion and accumulation patterns are more balanced even if
- 573 the latter is more present than the former (Figure 8A and C).

575

Figure 8: Raw DoD derived from DEMs with a first datum shift mitigation. Subfigure A shows the situation between Nov. 11<sup>th</sup> and Nov. 19<sup>th</sup>, sub-figure B between Nov. 11<sup>th</sup> and Dec. 2<sup>nd</sup> and sub-figure C between Nov. 11<sup>th</sup> and Dec. 10<sup>th</sup>.

580 With correction of both datum shift and bathymetry (Figure 9) erosion and 581 accumulation patterns become coherent. Comparison with the orthoimages shows that 582 visually identifiable zones of possible spawning (Figure 10) correspond to vertical 583 changes in the DoDs (Figure 9).

584

585 Figure 9: Raw DoD derived from bathymetry and tilt corrections DEMs. Sub-figure

586 A shows the situation between Nov. 11<sup>th</sup> and Nov. 19<sup>th</sup>, sub-figure B between Nov.

587 11<sup>th</sup> and Dec. 2<sup>nd</sup> and sub-figure C between Nov. 11<sup>th</sup> and Dec. 10<sup>th</sup>.



588 589

590

Figure 10: Orthomosaics of the spawning site. A) Nov. 11<sup>th</sup>; B) Nov. 19<sup>th</sup>; C) Dec. 2<sup>nd</sup>; D) Dec. 10<sup>th</sup>.

To assess the quality of the DoD results, Table 1 shows the mean and standard deviation of errors for zones of no-known change for the case of no treatment, datum shift only and datum shift combined with bathymetric correction. The distributions of error are shown in Figure 11.

- 596 Table 1: Mean errors and standard deviation of errors for the original DEMs calculated
- from the differences in elevations of fixed points (n=84) in zones of no change between
- the reference DEM (Nov. 11<sup>th</sup>) and the analysed DEMs.

| No correction               | Nov. 11 <sup>th</sup> - Nov. 19 <sup>th</sup> | Nov. 11 <sup>th</sup> - Dec. 2 <sup>nd</sup> | Nov. 11 <sup>th</sup> - Dec. 10 <sup>th</sup> |
|-----------------------------|---|--|---|
| Mean (m)                    | -0.070  | -0.128                                       | -0.124  |
| Standard Deviation (m)      | ±0.009  | ±0.016                                       | ±0.013  |
| Datum shift correction      |   |  |   |
| Mean (m)                    | 0.016   | -0.007                                       | 0.015   |
| Standard Deviation (m)      | ±0.007  | ±0.018                                       | ±0.014  |
| Datum shift and bathymetric |   |  |   |
| correction                  |   |  |   |
| Mean (m)                    | -0.001  | -0.003                                       | -0.006  |
| Standard Deviation (m)      | ±0.010  | ±0.015                                       | ±0.013  |

Table 1 shows that the mean errors decrease with each post-processing phase. As 600 601 expected, the standard deviations of error (i.e. the variability about the means) do not 602 change much, as both datum shift and bathymetric corrections remove systematic 603 error rather than improve survey precision. The distributions confirm (Figure 11A) that 604 before any kind of correction, errors are primarily negative. Datum shift correction does not eliminate systematic error fully and deviations still persist (Figure 11B). Finally, 605 after both corrections, errors are well distributed around the origin (Figure 11C). Nov. 606 11<sup>th</sup> - Dec.2<sup>nd</sup> and Nov. 11<sup>th</sup> - Dec. 10<sup>th</sup> show small remaining negative deviation, but 607 this is now very small and < 0.01 m. 608



Figure 11: A) Error distributions for the original DEMs; B) For datum shift corrected DEMs only; C) For bathymetry ant datum shift corrected DEMs. All errors are calculated for zones of no change.

614

#### 615 5.2 Redd detection

Figure 12 shows how redds disappear and new redds appear within the spawning season: the redd of Nov. 19<sup>th</sup> (Figure 12B) disappeared completely after 13 days (Dec. 2<sup>nd</sup>); the same happened to the redds that had then formed by Dec. 2<sup>nd</sup> (Figure 12C) compared with Dec. 10<sup>th</sup>; and on Dec. 23<sup>rd</sup> (Figure 12E) no redds were still visible at the site.

621

Apart from Dec. 23<sup>rd</sup> where deposition occurred, visual disappearance of redds in the reach were attributable to periphyton and algal growth. This makes sense considering that disturbances were not recorded before Dec. 23<sup>rd</sup>, and periphyton and algae were could develop on the streambed. The lower Breggia river has relatively high rates of primary production because upstream there is wastewater treatment plant which does discharge some nutrient-containing water.



Figure 12: Evolution of the spawning site on the Breggia river throughout the 2018
season. A) Nov. 11<sup>th</sup>; B) Nov. 19<sup>th</sup>; C) Dec. 2<sup>nd</sup>; D) Dec. 10<sup>th</sup>; E) Dec. 23<sup>rd</sup>.

Figure 9 shows that it is possible to detect redd-related morphological changes. Some 631 of them are confirmed by visual analysis of Figure 12. However, comparing Figures 9 632 and 12, it seems that more redds are present in the morphological analysis than in the 633 visual one. Once a 68% level of detection threshold was applied (Figure 13), the redds 634 become clearer and this 10 m reach of stream had a total of 9 redds that formed during 635 the study period. These redds are much less clear when a 95% level of detection is 636 applied (Figure 14) with only 8 of the 9 redds apparent in Figure 13 (see Table 2) and 637 638 their morphology much less clear.

639

Table 2: Resumed results for the four different analyses performed on the Breggiaspawning site.

| Survey date                         | Nov. 19 <sup>th</sup> | Dec. 2 <sup>nd</sup> | Dec. 10 <sup>th</sup> |
|-------------------------------------|-----------------------|----------------------|-----------------------|
| Number of redds in the orthomosaics | 1                     | 3                    | 2                     |
| Number of redds in the raw DoD      | 1                     | 6                    | 2                     |
| Number of redds in the 68% CL DoD   | 1                     | 6                    | 2                     |
| Number of redds in the 95% CL DoD   | 1                     | 5                    | 2                     |



Figure 13: DEMs of Difference at the 68% confidence limit. Sub-figure A shows
the changes between Nov. 11<sup>th</sup> and Nov. 19<sup>th</sup>; sub-figure B shows the changes
between Nov. 11<sup>th</sup> and Dec. 2<sup>nd</sup> while sub-figure C shows the changes between
Nov. 11<sup>th</sup> and Dec. 10<sup>th</sup>.



Figure 14: DEMs of Difference at the 95% confidence limit. Sub-figure A shows
the changes between Nov. 11<sup>th</sup> and Nov. 19<sup>th</sup>; sub-figure B shows the changes
between Nov. 11<sup>th</sup> and Dec. 2<sup>nd</sup> while sub-figure C shows the changes between
Nov. 11<sup>th</sup> and Dec. 10<sup>th</sup>.

# 659 5.3 Redd characteristics

660 Spawning began around Nov. 19<sup>th</sup> and it ended approximately around Dec. 10<sup>th</sup>, which 661 means that the season was relatively short (21 days). The site used to spawn was 662 small compared to the full area studied (Figure 15). In fact, trout used approximately  $~~77.8 m^2$  out of ~849.4 m<sup>2</sup> (~9.2%) with a redd area of ~14.1 m<sup>2</sup> (based on redds identified using the 68% confidence interval).



666

665

Figure 15: Spawning site (red rectangle) compared to the studied Breggia reach.
On the left, out of image bounds, spawning habitat is limited by a deep pool
generated by an artificial waterfall of ~4 m while on the right, out of image bounds,
spawning is limited by a sandy and macrophytes-based pool. The orthomosaic
presents the situation before spawning, on Nov. 11<sup>th</sup>.

Assuming that the 9 redds detected in the Breggia spawning site with the raw DoD and
the 68% C.L. DoD are true redds, we measured the length of the tails and we estimated
female lengths and the basal burial depths of eggs (Table 3).

675

Table 3: Estimated female length and basal burial depth from tail length for the Breggia

677 Spawning site.

| Date                  | Redd | Tail length | Estimated female length | Estimated egg burial depth |
|-----------------------|------|-------------|-------------------------|----------------------------|
|                       |      | (cm)        | (cm)                    | (cm)                       |
| Nov. 19 <sup>th</sup> | R1   | 166.9       | 48.9                    | 16.7                       |
| Dec. 2 <sup>nd</sup>  | R2   | 68.3        | 23.2                    | 6.3                        |
| Dec. 2 <sup>nd</sup>  | R3   | 72.2        | 24.3                    | 6.9                        |
| Dec. 2 <sup>nd</sup>  | R4   | 74.2        | 24.9                    | 7.3                        |

| Dec. 2 <sup>nd</sup>  | R5 | 47.4  | 17.1  | 2.1  |
|-----------------------|----|-------|-------|------|
| Dec. 2 <sup>nd</sup>  | R6 | 76.9  | 25.63 | 7.7  |
| Dec. 2 <sup>nd</sup>  | R7 | 92.3  | 29.8  | 9.8  |
| Dec. 10 <sup>th</sup> | R8 | 84.6  | 27.7  | 8.8  |
| Dec. 10 <sup>th</sup> | R9 | 149.7 | 44.7  | 15.5 |

Estimated female lengths varied from 17.1 cm to 48.9 cm with a mean size of 29.6 cm and a standard deviation of  $\pm 10.4$  (Table 3). Curiously, four of the six female lengths estimated for Dec. 2<sup>nd</sup> are similar ( $\sigma_{length} = \pm 1.02$ ), which might mean that it was only one instead of four female trout that produced R2, R3, R4 and R6. The estimated female length for R5 seems to be too small (17.1 cm) for a sexually mature trout.

684

Estimated basal burial depths varied from the minimum of 2.1 cm to the maximum of 16.7 cm with a mean depth of 9 cm and a standard deviation of  $\pm$ 4.6 (Table 3). The estimated basal burial depths may be analysed to understand if later spawners eroded previous redds.

689

Figure 16: Sub-figure A shows the DoD between Nov. 19<sup>th</sup> and Dec. 2<sup>nd</sup> with the respective redds while sub-figure B the DoD between Nov. 19<sup>th</sup> and Dec. 10<sup>th</sup> with the respective redds.

693 From Figure 16 it appears that R2 was partially created on the tail sediments of R1, 694 however the female erosion does not reach the egg basal burial depth of R1 because the maximum pit depth of R2 is 5.2 cm. In addition to this, the pit was created only on 695 696 one side of the R1 tail, reducing the probability of egg pocket destruction. By contrast, 697 the R9 pit was created totally on the R1 tail, which might mean that eggs located in R1 were eroded and lost. However, as for R2, the maximum depth reached in the creation 698 of the R9 pit (5.8 cm) did not reach the basal burial depth of R1 (16.5 cm). 699 700 Consequently, the sediments might have naturally protected eggs pockets located 701 near the basal depth of R1 even if the R9 pit was created where R1 eggs were laid. 702 Clearly, one or more egg pockets might have been in upper layers and consequently 703 they might have been destroyed by R9. Even with doubt over R5 and a certain probability of some R9 egg pockets being destroyed, we finally consider a total of 9 704 705 redds in this 10 m reach of the Breggia site.

#### 707 6. Discussion

708

#### 709 6.1 The use of UASs in surveying redds

710 The potential resolution of the images acquired is a strength of sUASs (Lejot et al., 711 2007; Niethammer et al., 2012) and, as implicit in [5], lower resolution imagery implies 712 lower vertical precision but allows higher flights so covering larger areas more rapidly 713 (Westoby et al., 2012). Thus, the operator has substantial opportunity to control data 714 acquisition and quality (e.g. evaluate U<sub>crit</sub>), depending on the specific research aim. 715 This is why a critical element of the framework proposed here is the evaluation of the 716 necessary theoretical vertical precision using [5] such that the UAS can be flown as 717 high as possible so maximizing areal coverage, and consequent redd detection extent. 718 Current developments of drone technology (e.g. GPS positioning) do not require 719 manual-flight modes but allow for the use of pre-programmed flight paths, which can 720 be designed to specifically meet the requirements of subsequent post-processing 721 (Carbonneau et al., 2012) and data quality that is sought.

722

723 UASs represent three weaker points. First, weather conditions may limit the use of 724 UASs, in particular strong winds and rain. Cold or hot temperatures can also limit the 725 autonomy of batteries, reducing the performance of the drone. Second, battery 726 autonomy is a key point in survey and planning, in fact the area covered by UASs is 727 strictly dependent on the autonomy of batteries and on the number of batteries carried with in the survey. Third, the water should be clear enough (i) to be able to correct 728 729 bathymetry and (ii) to be able to detect redds. In turbid rivers the use of UASs and SfM photogrammetry to characterized streambed topography is not appropriate, at least for 730 731 bathymetric corrections based on Snell's law, which requires sufficient texture for point 732 matching. Lastly, regulation restrictions refer to the legal principles regarding drone 733 use and each country has normally its own. These rules may not only impact on 734 whether or not UAS can be used but also how it has to be used. For instance, in Switzerland, line of sight has to be maintained during drone use, which restricts the 735 736 spatial extent of any one flight path.

737

In our application, our study site was *c*. 70 m long and 60 m wide, and took no more
than 30/35 minutes to be surveyed with 4 flight paths (including the time to set up, set
down and change the batteries of the drone). This means that potentially, in a setting

similar to that presented here, almost 1000 m in length can be mapped in 8 hours of
work. If there is prior knowledge of where spawning is possible then this may allow
longer total river reaches to be measured by focusing upon known spawning grounds.
This may be aided by observations that salmonids that salmonids usually return to the
same spawning grounds season after season (Dittman and Quinn, 1996).

746

### 747 6.2 Error assessment and management

748 The DEMs were initially affected by a negative datum shift. Here, our initial created 749 DoDs were dominated by apparent erosion (Figure 7). This problem was reflected in the associated mean errors (Table 1) and error distributions (Figure 11A) between our 750 reference DEM and the analysed DEMs for areas of no-known change between 751 752 surveys. Datum shift removal improved DEM quality (Table 1, Figure 11B) but 753 bathymetric correction was also needed to remove the mean error to negligible levels 754 (Table 1, Figure 11C) and to reproduce redds that were apparent in orthorectified 755 images (Figures 10 and 12). It is worth noting that in this study, a datum shift was found, rather than doming (James and Robson, 2014; Carbonneau and Dietrich, 2017), 756 757 and this may reflect the survey design adopted here (multiple flying heights, inclusion 758 of off-nadir imagery) which was designed to minimize doming effects (Robson et al., 759 1992; Wackrow and Chandler, 2011; James and Robson, 2014; Carbonneau and 760 Dietrich, 2017). As mentioned earlier in this paper, the datum shift recorded here was 761 attributable to the absence of GCPs on the right side of the channel, which led to poor 762 transpositions of the point clouds to the absolute coordinate system. This was 763 overcome by registering the analysed DEMs to our pre-spawning reference with the 764 ICP algorithm.

765

Bathymetric correction was also crucial to reconcile the effects of flow variability (potentially also erosion and deposition which influences water depths locally) upon streambed bathymetry. This demonstrates the importance of the bathymetric corrections identified by Westaway et al. (2000, 2001) and the solution developed by Dietrich (2017) for SfM photogrammetry.

771

The precision, as expected, was not substantially impacted upon by either the datum shift or the bathymetric correction. The standard deviation of errors of  $\pm 10$ ,  $\pm 13$  and 774  $\pm$ 15 mm (Table 1) correspond to levels of detection of  $\pm$ 14,  $\pm$ 18 and  $\pm$ 22 mm with a 775 68% confidence level, and  $\pm 27$ ,  $\pm 36$  and  $\pm 42$  mm at the 95% level. These latter values should be the same as (or slightly greater than; James et al., 2017b) the theoretically-776 777 predicted precision ( $U_{crit}$ ) of ±24 mm (from [5]) given the survey design and UAS used, 778 if all other influences on data error have been minimised. Only one LoD is really near  $U_{crit}$  (±27 mm); the other two LoD (±36 and ±42 mm) are higher (±12 and ±18 mm) 779 compared with U<sub>crit</sub>. This is common in photogrammetric studies as there is some 780 781 degradation of survey precision from ideal or theoretical conditions (James et al., 782 2017b). However the results are encouraging, and suggest that [5] is a good means of 783 identifying the survey design necessary for redd detection.

784

We found some evidence to suggest that an LoD threshold at 68% was appropriate (Lane et al., 2003) as redd changes are spatially coherent and one possible development of what we report here would be to integrate the Wheaton et al. (2010) treatment of coherence in DEMs of difference so as to reduce the probability of false negatives (small magnitude but spatially coherent changes that fall within the 95% detection limits). Given the spatial coherence of redd related changes, a lower detection threshold seems to be appropriate.

792

# 6.3 Morphological change versus visual detection

A key finding from this research is the advantage of quantifying redds and their dynamics in 3D using DoDs. Comparisons between Figures 12 and 13 show why interpretation of orthoimagery on its own is dangerous as it is biased by the redd age and consequent redd masking, something reported by others (e.g. Rieman and McIntyre, 1996; Gallagher and Gallagher, 2005). We suggest that this is a primary advantage of working with DEMs of difference rather than just orthoimagery.

800

Figures 9 and 13 identify more redds than were visible in the orthoimagery, and would likely have been identified in a walkover survey. This is of particular interest because reliable monitoring programs need unbiased redd counts (Gallagher and Gallagher, 2005). Our approach is less sensible to redd aging and masking by, for example, periphyton development. It also discrimination of superimposed redds, which is another source of error in classic redd counting (Dunham et al., 2001; Muhlfeld et al.,
2006). The method is non-contact, avoiding redd disturbance.

808

#### 809 6.4 Biological assessment

810 The Breggia spawning site does not only give us the possibility to demonstrate how 811 new remote sensing technologies may help fish biology and water management but it also lends itself to a biological assessment. Spawning began around Nov. 19<sup>th</sup> (first 812 detected redd) and it ended around Dec. 10<sup>th</sup> (last detected redds), with a total season 813 length of 21 days. The spawning time was relatively short compared with the literature, 814 815 which suggests for Switzerland a long spawning season, from October to January (Riedl and Peter, 2013). Previous research has shown that spawning season depends 816 on both biotic and abiotic factors including genetic background (Quinn et al., 2000; 817 Keller et al., 2011), river altitude (Riedl and Peter, 2013) and mean water temperature 818 (Heggberget et al., 1988; Webb and McLay, 1996; Klemetsen et al., 2003). In the 819 absence of data about mean water temperatures at the Breggia spawning site, we 820 assume that spawning began when the conditions for reproduction were more suitable 821 822 for brown trout. In this sense, and in addition to water temperatures, water velocities and water depths (see Armstrong et al., 2003) in the spawning site had to be ideal 823 824 throughout these 21 days, or at least during the 9 spawning episodes.

825

The surface used to spawn by trout was small compared with the total inundated area investigated (see Figure 6). Here, the reason might be the limited availability of good spawning habitat (Armstrong et al., 2003), which confines spawning to such a small area. However, this also might mean a low productivity of the stretch. In this sense, Armstrong et al. (2003) argued that in case of a high density of spawners, some fish might be forced to spawn in poor habitat (e.g. outside the limits of the spawning area investigated), but the low density of spawning here suggests that this was not the case.

The data also suggested that redd superposition occurred throughout the season. Redd superposition is well documented in the scientific literature (Witzel and MacCrimmon, 1983; Sorensen et al., 1995) and it is typically explained by a limited spawning habitat availability (Ligon et al., 1995) or by spawning behaviour (Witzel and MacCrimmon, 1983; Essington et al., 1998). Either assumption could apply here. Following the behavioural point of view, Essington et al. (1998) argued that trout might 840 choose a site previously used by another one just because it is more attractive, and not because habitat is limited. Grain dislocations by earlier spawners might induce later 841 842 spawners to use the same grounds just because grains are less compacted and they 843 are easier to move (Kondolf, 2000). Redd superimposition has a biological relevance 844 for the success of spawning. The construction of a new redd on top of an old one normally means dispersion and loss of the older eggs (Hayes, 1987). Our results 845 846 suggest however that later redd pits do not necessarily reach the basal depth of the 847 eggs pockets in the Breggia site, meaning that not all eggs have been washed-out. Egg pockets might be washed out if they were located in the upper sediments layers. 848 This is also compounded by the possibility that flat, streambed-oriented stones in the 849 850 sediment column under the redd sites have forced trout to lay their eggs at a lower 851 depth (Crisp and Carling, 1989) compared with the predicted one. The ability to look 852 at the vertical geometry of redds using the methods we present is a particular 853 advantage.

854

855 We observed 4 redds with similar tail sizes (R2, R3, R4 and R6), which means similar female lengths and that they were constructed by the same female. However, a visual 856 analysis of the Dec. 2<sup>nd</sup> orthomosaic suggests that R5, R6 and R7 were constructed 857 before R2, R3 and R4. This makes sense because the color gradients of R5, R6 and 858 859 R7 are less important compared with the other three. Under this assumption, we suppose that there are high probabilities that a single female created R2, R3 and R4 860 861 in the same spawning period. Considering that a female usually creates only one redd per spawning season (Crisp, 2000), R3 and R4 may be false redds without eggs, 862 863 possibly because the trout did not find satisfactory conditions after a first cut session 864 and decided to abandon the site (Crisp, 2000). According to Gallagher et al. (2007), fresh and real redd pits have an undisturbed sub-surface gravel-bed, which is normally 865 866 composed of a dominant pebble matrix. R2 clearly shows this undisturbed gravel-bed 867 while R3 and R4 show only a rough sediment matrix.

868

Some doubts emerged regarding R5 because of its size, smaller than the others, and the consequent estimated female length. In fact, the estimated female length of 17.11 cm seems to be too small for a mature trout in a low altitude river like the Breggia. In the Platte River (Michigan, US), Taube (1976) recorded sexual maturity from 17.7 cm, however the majority of females were sexually mature from 20.2 to 22.7 cm. Even if the comparison with the Breggia is difficult, the data recorded by Taube (1976) might validate our measurements for R5. There is also the possibility, nevertheless too complicated to demonstrate, that R5 is a false redd constructed by the R6 female.

877

878 From a biological point of view, doubts on the real extent of spawning in this site 879 remain. These are compounded by the impossibility to know a priori (i.e. without look 880 inside the redd, e.g. freeze-coring) if redds contain eggs or not.

### 882 **7. Conclusions**

883

This paper shows that salmonid redds may be detected through the combination of 884 UASs and Structure-from-Motion photogrammetry, using morphological change 885 instead of color gradients. Morphological changes are less sensitive to the evolution of 886 887 the streambed in normal hydraulic conditions and this may be an advantage. Using the 888 method, we show that it is also possible to quantify redd superposition, something that 889 is particularly hard to identify visually, and also to understand the ecology of redd 890 formation in more detail, such as the extent to which superposition of redds leads to destruction of the older redd. Crucial here is the derivation, correction and 891 interpretation of DEMs of Difference, something that is increasingly straightforward 892 given advances in our understanding of SfM photogrammetry, but which still requires 893 894 careful study design. The main limit is use of the method under full or partial vegetation cover or in turbid water. In theory, the spatial scale of the survey method is defined 895 simply by the time required to obtain imagery and necessary ground control. However, 896 we emphasise that different countries and regions have different rules regarding drone 897 898 use and these may limit the ease with which spatially extensive surveys may be 899 undertaken.

900

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